

# Mucosal Immunization with Recombinant Fusion Protein DnaJ- $\Delta$ A146Ply Enhances Cross-Protective Immunity against *Streptococcus pneumoniae* Infection in Mice via Interleukin 17A

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**Pneumolysin (Ply) and its variants are protective against pneumococcal infections in animal models, and as a Toll-like receptor 4 agonist, pneumolysin has been reported to be a mucosal adjuvant. DnaJ has been approved as a useful candidate vaccine protein; we therefore designed novel fusion proteins of DnaJ with a form of Ply that has a deletion of A146 ( $\Delta$ A146Ply-DnaJ [the C terminus of  $\Delta$ A146Ply connected with the N terminus of DnaJ]) and DnaJ- $\Delta$ A146Ply [the C terminus of DnaJ connected with the N terminus of  $\Delta$ A146Ply]) to test whether they are protective against focal and lethal pneumococcal infections and their potential protective mechanisms. The purified proteins were used to intranasally immunize the animals without additional adjuvant. Immunization with DnaJ- $\Delta$ A146Ply or DnaJ plus  $\Delta$ A146Ply (Ply with a single deletion of A146) could significantly reduce *S. pneumoniae* colonization in the nasopharynx and lung relative with DnaJ alone. Additionally, we observed the best protection for DnaJ- $\Delta$ A146Ply-immunized mice after challenge with lethal doses of *S. pneumoniae* strains, which was comparable to that achieved by PPV23. Mice immunized with DnaJ- $\Delta$ A146Ply produced significantly higher levels of anti-DnaJ IgG in serum and secretory IgA (sIgA) in saliva than those immunized with DnaJ alone. The production of IL-17A was also striking in DnaJ- $\Delta$ A146Ply-immunized mice. IL-17A knockout (KO) mice did not benefit from DnaJ- $\Delta$ A146Ply immunization in colonization experiments, and sIgA production was impaired in IL-17A KO mice. Collectively, our results indicate a mucosal adjuvant potential for  $\Delta$ A146Ply and that, without additional adjuvant, DnaJ- $\Delta$ A146Ply fusion protein exhibits extensive immune stimulation and is effective against pneumococcal challenges, properties which are partially attributed to the IL-17A-mediated immune responses.**

Pneumonia remains the leading killer of children under 5 years of age, and over 90% of cases occur in developing and undeveloped countries (1). *Streptococcus pneumoniae* is one of the most common causes of pneumonia. As a common inhabitant of the respiratory tract, pneumococci cause many types of illnesses, including pneumonia, otitis media, meningitis, and bloodstream infections. Vaccination is an effective way to reduce the burden of pneumococcal diseases. Currently available pneumococcal vaccines are all based on the serotype-specific capsular polysaccharides. However, 93 distinct capsular serotypes have been identified so far (2). Although these polysaccharide-based vaccines have greatly decreased the burden of pneumococcal disease, the limited serotype coverage can be an issue. There is a risk of natural serotype switching, and it is believed that vaccine serotypes can be replaced by nonvaccine serotypes after vaccination (3–5). Protein-based vaccines are attractive because these antigens could avoid problems of poor polysaccharide immunogenicity in infants and elderly persons and would probably cover most pneumococcal strains. To obtain a comprehensive protection, multiprotein combination formulations against pneumococcal infections in animal models have been investigated (6–9).

Mucosal delivery is proposed to induce an effective protection against pneumococci which readily strengthens the protective immune response in the lungs and upper respiratory tract. Mucosal vaccination enhances the mucosal barriers through the important effector antigen-specific secretory IgA (sIgA), which prevents *S. pneumoniae* from adhering to or infecting the epithelial cells in the respiratory tracts (10–12). Also, specific effector T cells reinforce the barrier functions of mucosal sites, based on previous publica-

tions (13, 14). Despite the attractive advantages of mucosal immunity, only a few mucosal vaccines have been licensed. This is mainly due to problems with developing safe and effective mucosal adjuvants. As far as we are aware, cholera toxin (CT) and heat-labile enterotoxin (LT) are the two most important adjuvants which have been widely used in animal studies; however, they are not suitable for human use due to their toxicity (15, 16).

Pneumolysin (Ply) is an important virulence factor of *S. pneumoniae* and has a strong impact on the host response. Ply interacts with Toll-like receptor 4 (TLR4) (17–19) and induces the activation of the NLRP3 inflammasome independently of TLR4, thus contributing to host protection against *S. pneumoniae* infections (20, 21). Wild-type Ply has been suggested as a potential mucosal adjuvant for use in combination with other proteins (20, 22); nevertheless, like CT, wild-type Ply is toxic and should not be considered for human use. In previous studies, pneumococcal carriage was shown to induce production of anti-Ply antibodies (23, 24). Several Ply variants have been used as potential vaccine candidates

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and also as carriers for glycoconjugated vaccines in animal models (25–28); of them, ΔA146Ply (Ply with a single deletion of A146) was one of the most remarkable variants with minimal toxicity. Hence, we wanted to evaluate whether ΔA146Ply has mucosal adjuvant capacity like its wild type.

Many reports have shown that intraperitoneal or intranasal immunization with recombinant DnaJ induces a striking protective immune response and protects mice against focal and lethal infections with different serotypes of *S. pneumoniae* (29, 30). As a heat shock protein, DnaJ plays an important role in the pathogenesis of pneumococcal infection (31), and the antibody to DnaJ could inhibit *S. pneumoniae* adhesion to type II epithelial lung carcinoma cells (30). Also, it is highly conserved in prokaryotes.

In this study, we successfully overexpressed two types of ΔA146Ply and DnaJ fusion proteins and purified them by Ni<sup>2+</sup> affinity chromatography. Their immunogenicity and protective activities were investigated by intranasal immunization and were compared with those of DnaJ or ΔA146Ply alone and an equimolar DnaJ-and-ΔA146Ply mixture in animal models. The results indicate that ΔA146Ply has potential as a mucosal adjuvant and that DnaJ-ΔA146Ply (the C terminus of DnaJ connected with the N terminus of ΔA146Ply) is a promising candidate protein vaccine against pneumococcal infections. Notably, IL-17A-mediated immune responses are important for DnaJ-ΔA146Ply-elicited protection and the production of antigen-specific sIgA.

## MATERIALS AND METHODS

**Bacterial strains.** *Escherichia coli* DH5α (Invitrogen, CA, USA) was used as the host for routing plasmid cloning. Recombinant proteins were expressed in *E. coli* BL21(DE3) (Novagen). Pneumococcal strain D39 (NCTC 7466, serotype 2) was purchased from the National Collection of Type Cultures (London, United Kingdom); *S. pneumoniae* strain TIGR4 (serotype 4) was purchased from the American Type Culture Collection (ATCC), and pneumococcal strains CMCC 31436 (serotype 3), CMCC 31207 (serotype 6B), CMCC 31614 (serotype 14), and CMCC 31693 (serotype 19F) were obtained from the China Medical Culture Collection (CMCC, Beijing, China). *S. pneumoniae* was routinely grown on Columbia sheep blood agar or in semisynthetic casein hydrolysate medium supplemented with 0.5% yeast extract (C+Y) medium in an atmosphere of 5% CO<sub>2</sub> at 37°C.

**Mice.** Specific-pathogen-free 6- to 8-week-old female C57BL/6 wild-type mice were purchased from and raised at Chongqing Medical University, Chongqing, China. IL-17A knockout (IL-17A KO) mice were backcrossed 10 times to a C57BL/6 background and generated as described previously (32). All animal experiments were approved by the respective ethics committees of Chongqing Medical University.

**Cloning, expression, and purification of recombinant DnaJ, ΔA146Ply, DnaJ-ΔA146Ply, and ΔA146Ply-DnaJ in *E. coli*.** DnaJ is a recombinant antigen originating from the TIGR4 strain. The ΔA146Ply (full-length wild-type Ply with a deletion of A146) gene was constructed by using site-directed mutagenesis by overlap extension in our previous study (30). It was then cloned, expressed, and purified as described in the previous studies (26, 30). The full-length DnaJ gene was PCR amplified from the TIGR4 strain using two pairs of primers: F-DnaJ-N plus R-DnaJ-N and F-DnaJ-C plus R-DnaJ-C (Table 1). Similarly, the ΔA146Ply gene was amplified from plasmid ΔA146Ply/pW28 using two pairs of primers: F-ΔA146Ply-N plus R-ΔA146Ply-N and F-ΔA146Ply-C plus R-ΔA146Ply-C (Table 1). The DnaJ and ΔA146Ply PCR fragments were successively inserted into the expression vector pET28a (Novagen) (33). The constructs were then transformed into competent *E. coli* BL21(DE3), which was grown at 37°C in Luria broth (LB) supplemented with 50 μg/ml kanamycin. Correct cloning was confirmed by PCR and DNA sequencing. Induction with isopropyl-β-D-1-thiogalactopyranoside

TABLE 1 Primers used for cloning

Primer	Sequence (5'–3') <sup>a</sup>
F-DnaJ-N	GGAATTCCATATGAACAATACTGAATTT
R-DnaJ-N	CGAGCTCTTCTCCATCAAAGG
F-DnaJ-C	CGGCGGCCGCATGAACAATACTGAATTT
R-DnaJ-C	CCCTCGAGTTATTCTCCATCAAAGGCA
F-ΔA146Ply-N	GGAATTCCATATGGCA AAT AAAGCAGTA AAT
R-ΔA146Ply-N	CGAGCTCGTCATTTTCTACCTTATCCTCT
F-ΔA146Ply-C	CGGCGGCCGC ATGGCAAATAAAGCAGTAAATG
R-ΔA146Ply-C	CCCTCGAGTTACTAGTCATTTTCTACCTTATCCTCT

<sup>a</sup> Underlining indicates the recognition sites of restriction enzymes.

(IPTG) resulted in production of the 6×His-tagged recombinant fusion proteins DnaJ-ΔA146Ply and ΔA146Ply-DnaJ (the C terminus of ΔA146Ply connected with the N terminus of DnaJ), which were purified by Ni<sup>2+</sup>-charged column chromatography (GE). Fractions were collected and analyzed by 8% sodium dodecyl sulfate polyacrylamide gel electrophoresis (SDS-PAGE). The recombinant proteins were dialyzed in phosphate-buffered saline (PBS; pH 7.0) to remove the imidazole. Lipopolysaccharide (LPS) contamination was then removed from the recombinant proteins using the ToxinEraser endotoxin removal kit (Genscript Biotechnology, Inc.), and the residual LPS content of the protein preparation was determined by using the endpoint chromogenic assay (ECA) kit for the detection of bacteria endotoxin (Zhanjiang A&C Biological Ltd.). Proteins were stored at –80°C before use.

**Detection of DnaJ-ΔA146Ply and ΔA146Ply-DnaJ fusion proteins by Western blotting.** The DnaJ-ΔA146Ply and ΔA146Ply-DnaJ proteins were detected by Western blotting with anti-DnaJ or anti-ΔA146Ply sera. Pooled antisera from mice immunized with DnaJ-ΔA146Ply were used to probe the recombinant DnaJ and ΔA146Ply proteins and their native versions in different *S. pneumoniae* strains. *S. pneumoniae* standard strain D39, TIGR4, and clinical isolates CMCC 31436 (serotype 3), CMCC 31207 (serotype 6B), CMCC 31614 (serotype 14), and CMCC 31693 (serotype 19F) were grown in C+Y medium and collected.

**Intranasal immunization of mice.** In this study, groups of C57BL/6 mice were immunized three times at 14-day intervals with 30 μl PBS containing 8 μg DnaJ and/or 10 μg ΔA146Ply; this was calculated to ensure that the ratio of ΔA146Ply to DnaJ was identical to that present in an equivalent dose of the fusion protein. DnaJ in 1 μg CT (Sigma-Aldrich) was considered one positive control, and the 23-valent pneumococcal polysaccharide vaccine PPV23 (Chengdu Institute of Biological Products, Chengdu, China) was injected as another positive control. Intranasal immunization was carried out with the anesthetized C57BL/6 mouse held in a supine position with the head down while 30 μl of the antigen solution was delivered slowly with a micropipette onto the nares (34). Serum samples were collected from the tail vein of each animal on the day before each immunization and days 6, 13, 21, 35, and 42. The saliva was collected 7 days after the last immunization and stored at –20°C for further studies.

**ELISA analysis of serum and saliva.** The levels of specific antibodies in immunized mice were determined by enzyme-linked immunosorbent assay (ELISA). The titers of anti-DnaJ specific IgG in sera were also determined by ELISA (9). Purified recombinant DnaJ (5 μg/ml) was used to coat 96-well plates and incubated at 4°C overnight. The plates were washed three times with PBS–0.1% Tween 20 (PBST) and then blocked with 2% bovine serum albumin–PBST for 2 h at 37°C. After washing, serial dilutions of serum and saliva samples were added to the plates and incubated for 1 h at 37°C. Bound immunoglobulin was detected by peroxidase-conjugated AffiniPure goat anti-mouse IgG (ZSGB-Bio, Beijing, China), followed by the substrate tetramethyl benzidine. Absorbance was measured at 450 nm. The antibody titers were expressed as the reciprocal of the highest sample dilution giving absorbance 2.1-fold higher than the background absorbance. In addition, goat anti-mouse IgA, IgG1, IgG2a, IgG2b, and IgG3 conjugated to horseradish peroxidase (HRP) (Santa Cruz) were used to analyze the distribution of sIgA in saliva and IgG

TABLE 2 Properties of purified proteins used in this study

Construct	Protein	Size (kDa)	Western blotting result <sup>a</sup>			LPS concn (EU/ $\mu$ g)
			DnaJ	$\Delta$ A146Ply	DnaJ- $\Delta$ A146Ply	
pET28a $\Delta$ A146Ply	$\Delta$ A146Ply	53	–	+	+	0.0222
pET28aDnaJ	DnaJ	38	+	–	+	0.1
pET28aDnaJ- $\Delta$ A146Ply	DnaJ- $\Delta$ A146Ply	93	+	+	+	0.0223
pET28a $\Delta$ A146Ply-DnaJ	$\Delta$ A146Ply-DnaJ	93	+	+	+	0.0092
pGEX4T-2	GST	26	–	–	–	0.3598

<sup>a</sup> –, negative; +, positive.

subtypes in sera. The serum total IgE was measured by ELISA kits (Biolegend) following the manufacturer's recommendations.

**Cytokine assays.** Spleens were removed from immunized and control mice 7 days after the last immunization. The splenocytes were then washed and resuspended in RPMI 1640 (HyClone, Barrington, IL, USA) supplemented with 10% fetal bovine serum ( $5 \times 10^6$  cells/ml). The cells (1 ml) were cultured in 24-well plates and then stimulated with 5  $\mu$ g recombinant DnaJ protein *in vitro* for 72 h at 37°C in a 5% CO<sub>2</sub> incubator. The levels of IL-4, gamma interferon (IFN- $\gamma$ ), IL-10, and IL-17A in the supernatants were detected by ELISA kits (Biolegend).

**Histology.** For histological examination, lung samples were collected seven days after the last immunization, fixed in 4% paraformaldehyde, embedded in paraffin, and serially sectioned. Serial 5- $\mu$ m tissue sections were subjected to hematoxylin-eosin (HE) staining and examined under a light microscope.

**Challenge studies.** In focal infection models, C57BL/6 mice were challenged intranasally with CMCC31207 (serotype 6B,  $1 \times 10^8$  CFU) or CMCC31693 (serotype 19F,  $1 \times 10^8$  CFU) after immunization. Mice were sacrificed 3 days after the challenge, and nasal wash fluids were collected by flushing the nasal cavities with 300  $\mu$ l of sterile PBS. The lungs were removed and homogenized in PBS immediately. Samples were serially diluted with sterile PBS, and 100  $\mu$ l was plated on Columbia sheep blood agar. The colonies were counted after incubation overnight at 37°C and 5% CO<sub>2</sub>. IL-17A KO mice were challenged intranasally with CMCC31693 (serotype 19F,  $1 \times 10^7$  CFU) after immunization, and then the bacterial loads in nasal wash and lung were determined. Wild-type C57BL/6 mice were used as controls.

In the lethal-infection models, intranasal challenge experiments were performed as described previously. Two weeks after the last immunization, C57BL/6 mice were anesthetized and then intranasally challenged with 30  $\mu$ l of bacterial suspension containing D39 (serotype 2,  $5 \times 10^7$

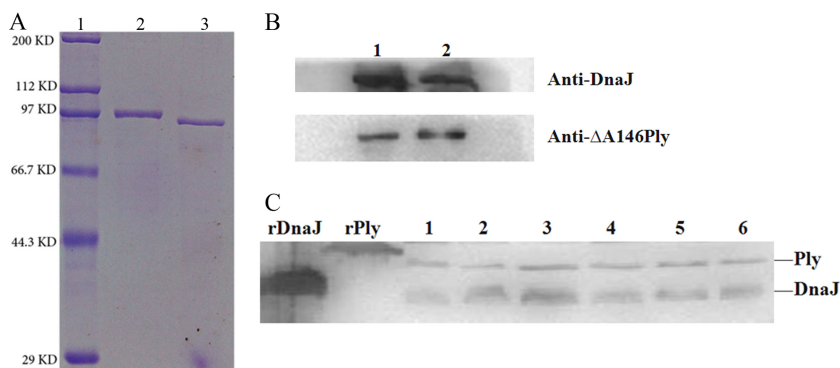
CFU) or CMCC31436 (serotype 3,  $1.5 \times 10^8$  CFU). The challenged mice were observed twice daily by an experienced person. The survival of each mouse was monitored for consecutive 21 days.

**Statistics analysis.** The Mann-Whitney U test (Prism 5; GraphPad Software, La Jolla, CA, USA) was used to compare antibody titers, numbers of pneumococci (CFU), cytokine levels, and median survival times for groups of mice. *P* values of <0.05 were considered to indicate significant differences.

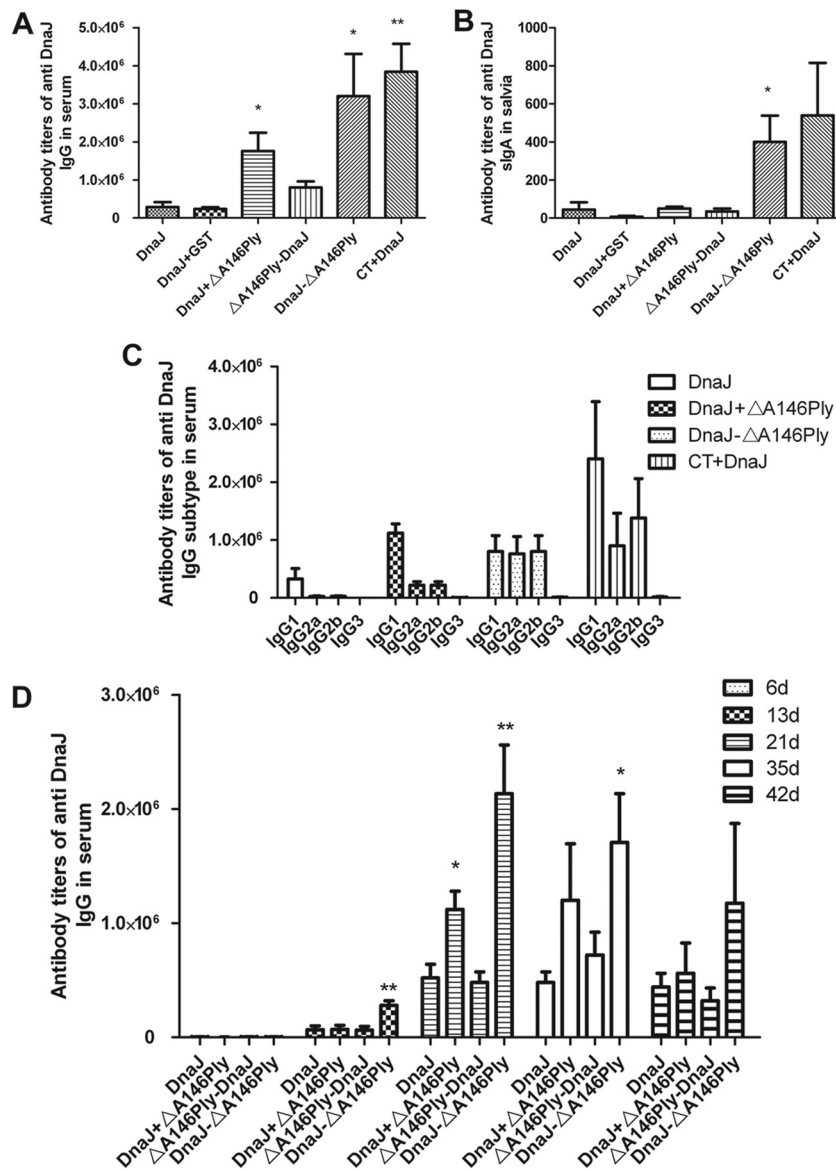
## RESULTS

**Expression, purification, and characterization of recombinant proteins.** The recombinant proteins DnaJ,  $\Delta$ A146Ply, DnaJ- $\Delta$ A146Ply, and  $\Delta$ A146Ply-DnaJ were overexpressed and purified from *E. coli*. In each case, analysis by gel electrophoresis revealed a single protein of the expected size (Table 2) that reacted with antisera to either DnaJ or  $\Delta$ A146Ply. Western blot analysis (Fig. 1) demonstrated the specific recognition by DnaJ- $\Delta$ A146Ply polyclonal antiserum of the recombinant DnaJ and  $\Delta$ A146Ply proteins, and corresponding bands were visible in samples from different *S. pneumoniae* strains.

As LPS is a TLR4 agonist, LPS contaminating protein preparations may act as an adjuvant. To avoid LPS contamination, a ToxinEraser endotoxin removal kit was employed to remove LPS from our protein preparations; the LPS concentration was below 0.1 endotoxin units (EU)/ $\mu$ g in our protein preparations. On the other hand, to test whether 0.1 EU/ $\mu$ g of LPS is able to induce mucosal adjuvant activity, we introduced an LPS-contaminated GST Tag protein as a control. GST protein was expressed and purified in *E. coli*, and LPS was not removed. The LPS concentra-



**FIG 1** Purification and characterization of recombinant DnaJ- $\Delta$ A146Ply and  $\Delta$ A146Ply-DnaJ proteins, respectively. (A) Recombinant DnaJ- $\Delta$ A146Ply (lane 2) and  $\Delta$ A146Ply-DnaJ (lane 3) proteins were cloned, expressed, and purified from *E. coli*. The proteins were subjected to SDS-PAGE and detected by direct staining with Coomassie brilliant blue. Lane 1, protein marker. (B) Western blotting of DnaJ- $\Delta$ A146Ply (lane 1) and  $\Delta$ A146Ply-DnaJ (lane 2) using DnaJ and  $\Delta$ A146Ply antisera. (C) Western blot analysis of native DnaJ (38 kDa) and Ply (53 kDa) in pneumococcal strains using DnaJ- $\Delta$ A146Ply antisera. SDS-12% PAGE gels were loaded with cell lysates obtained from pneumococcal strains, including NCTC 7466 (D39, serotype 2), CMCC 31436 (serotype 3), TIGR4 (serotype 4), CMCC 31207 (serotype 6B), CMCC 31614 (serotype 14), and CMCC 31693 (serotype 19F) (lanes 1 to 6, respectively).



**FIG 2** Anti-DnaJ responses in the serum and saliva of intranasally immunized mice. Antibody titers were measured in serum (A) and saliva (B) taken from animals immunized as depicted on the x axis. (C) Levels IgG subclasses were detected by purified DnaJ, and IgG1, IgG2a, and IgG2b were found predominantly in sera from mice immunized intranasally with DnaJ-ΔA146Ply. (D) Time course of antibody induction in immunized mice. Data are means for 4 mice and standard deviations. The results are representative of three independent experiments. \*,  $P < 0.05$ , and \*\*,  $P < 0.01$ , compared with the DnaJ group.

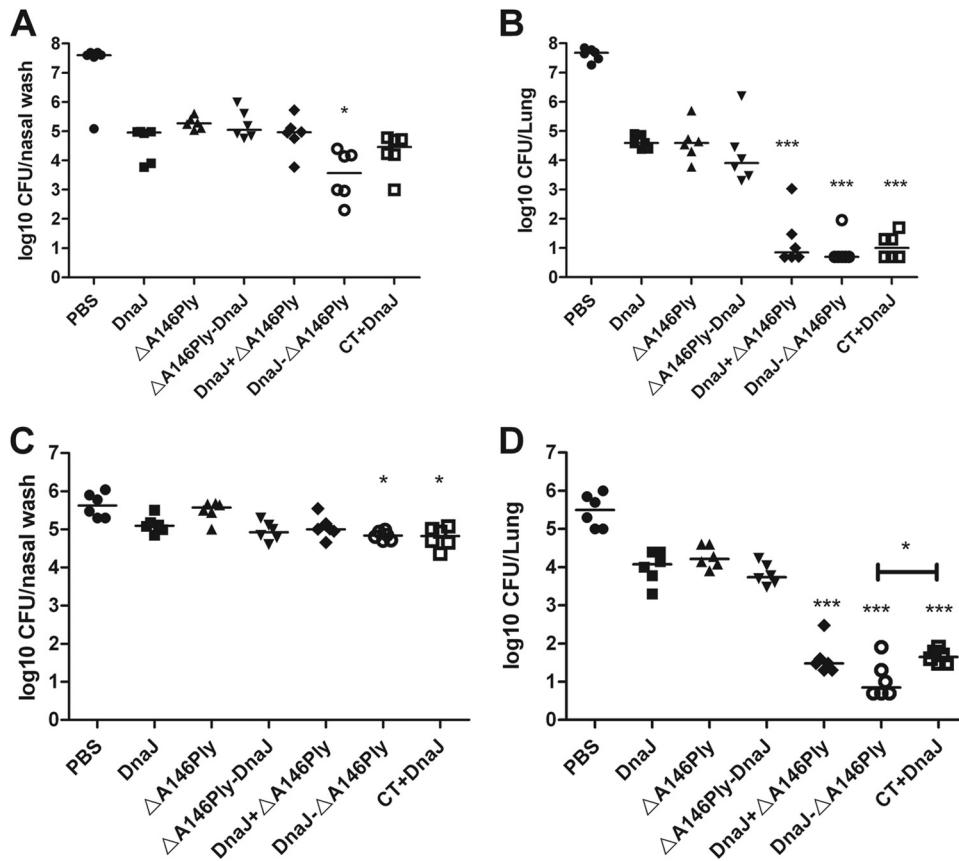
tion was 0.35 EU/ $\mu$ g in the purified GST preparation, which did not display any adjuvant effect despite containing significantly higher residual LPS (Fig. 2A and B). Thus, LPS contamination less than 0.1 EU/ $\mu$ g was considered to be insufficient to stimulate the immune system, and preparations could be safely evaluated in the following immune response and protection experiments.

**ΔA146Ply has a strong mucosal adjuvant activity that induces antigen-specific antibody.** In order to assess the mucosal adjuvant activities of ΔA146Ply, DnaJ-specific IgG and IgA responses were measured in serum and saliva. Intranasal immunization with DnaJ-ΔA146Ply significantly enhanced DnaJ-specific serum IgG and saliva sIgA antibody responses (Fig. 2A and B) and led to a very rapid production of anti-DnaJ antibodies ( $P < 0.01$ ) (Fig. 2D). Furthermore, to compare the relative amounts of IgG

isotypes, DnaJ-specific titers were measured using isotype-specific secondary reagents. IgG1 was predominant in DnaJ-plus-ΔA146Ply and CT-plus-DnaJ groups, whereas mice vaccinated with DnaJ-ΔA146Ply produced comparatively high levels of IgG1, IgG2a, and IgG2b (Fig. 2C).

**Intranasal immunization with DnaJ-ΔA146Ply or a DnaJ-and-ΔA146Ply mixture reduced *S. pneumoniae* colonization in nasopharynx and lung.** The focal pneumonia model has been successfully established using strains of two different serotypes, CMCC31207 (serotype 6B,  $1 \times 10^8$  CFU) and CMCC31693 (serotype 19F,  $1 \times 10^8$  CFU) (34, 35). In the 19F challenge model, intranasal immunization with recombinant DnaJ-ΔA146Ply reduced the bacterial load in the nasopharynx approximately 3 to 10 times relative to vaccination with DnaJ alone ( $P < 0.05$ ) (Fig. 3A).





**FIG 3** Vaccine efficacy with regard to pneumococcal colonization in focal infection models. Groups of six C57BL/6 mice were intranasally immunized with the indicated antigens and challenged 2 weeks after the third immunization with 19F/CMCC31693 ( $1 \times 10^8$  CFU) (A and B) or 6B/CMCC31207 ( $1 \times 10^8$  CFU) (C and D). Levels of nasopharynx and lung colonization in individual mice were determined at day 4 after challenge. Each dot represents one mouse. The horizontal lines indicate the median CFU per nasopharynx or lung. The detection line is 10 CFU. \*,  $P < 0.05$ , and \*\*\*,  $P < 0.001$ , compared with the DnaJ group.

Meanwhile, DnaJ-ΔA146Ply or DnaJ and ΔA146Ply mixture also resulted in significant protection against pulmonary infection, reducing the bacterial load in the lung  $>10$ -fold compared to DnaJ-immunized mice ( $P < 0.05$ ) (Fig. 3B). Similar results were observed in the serotype strain 6B infection model ( $P < 0.05$ ) (Fig. 3C and D). The most effective protection was achieved with the fusion protein DnaJ-ΔA146Ply, which led to a nearly 3-fold reduction in the bacterial load in nasopharynx and a  $>100$ -fold decrease in the load in lungs compared with the DnaJ control.

**Intranasal immunization with DnaJ-ΔA146Ply or DnaJ plus ΔA146Ply prevented lethal infection by two strains of *S. pneumoniae*.** To further evaluate the protection efficacies against different strains of *S. pneumoniae*, groups of mice were immunized intranasally with the recombinant protein antigens and subsequently challenged with the lethal dose of D39 (serotype 2,  $5 \times 10^7$  CFU) and CMCC31436 (serotype 3,  $1 \times 10^8$  CFU).

In a D39 challenge experiment (Fig. 4A), the median survival times for mice that received single DnaJ ( $P = 0.0722$ ), ΔA146Ply ( $P = 0.1724$ ), or ΔA146Ply-DnaJ ( $P = 0.0837$ ) were not significantly different from those for the group that received PBS. In contrast, mice immunized with DnaJ+ΔA146Ply ( $P < 0.01$ ) and DnaJ-ΔA146Ply ( $P < 0.001$ ) survived significantly longer than the PBS group. A total of 83.3% of the mice immunized with the DnaJ-ΔA146Ply protein were protected, whereas only 66.7% and 58.3% of mice survived in the CT+DnaJ- and PPV23-immunized

groups, but a survival difference was not noted between the groups.

A similar result was observed in CMCC 31436 challenge experiment (Fig. 4B), the median survival times for mice that received single DnaJ ( $P = 0.0833$ ), ΔA146Ply ( $P = 0.1878$ ), or ΔA146Ply-DnaJ ( $P = 0.1555$ ) were not significantly different from the time for the group that received PBS. In contrast, mice immunized with DnaJ+ΔA146Ply ( $P < 0.05$ ) and DnaJ-ΔA146Ply ( $P < 0.01$ ) survived significantly longer than PBS-treated negative-control mice. A 58.3% protection was achieved in the DnaJ-ΔA146Ply group, while a 50% protection was achieved in the PPV23 and CT+DnaJ groups.

As the positive control, immunization with CT+DnaJ or PPV23 elicited effective protection against D39 and CMCC31436. Furthermore, protection elicited by the DnaJ-ΔA146Ply protein vaccine was as effective as that resulting from PPV23 without additional adjuvant.

**DnaJ-ΔA146Ply immunized animals demonstrate minor histological change in lung tissues and decreased IgE level in serum compared with CT.** To study the histological change in animals after immunization, lung tissues were collected on day 7 after the last immunization and processed for HE staining. Representative lung sections from the three animal groups are shown in Fig. 5A. HE staining was used to assess the inflammatory response after DnaJ-ΔA146Ply and CT+DnaJ vaccination. HE-

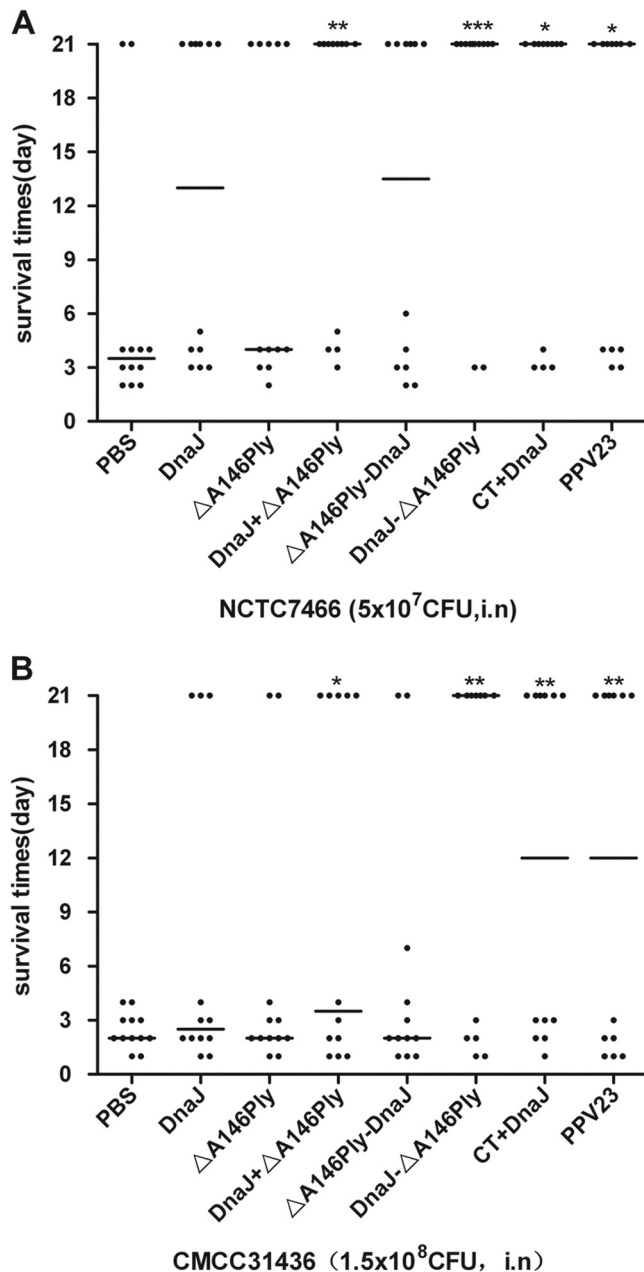


FIG 4 Survival times for mice after intranasal challenge. Groups of 12 C57BL/6 mice were immunized intranasally with the indicated antigens and challenged 2 weeks after the last immunization with NCTC7466 (D39,  $5 \times 10^7$  CFU) (A) or CMCC31436 (serotype 3,  $1 \times 10^8$  CFU) (B). Each dot represents one mouse. The horizontal lines denote the median survival time for each group. \*,  $P < 0.05$ , \*\*,  $P < 0.01$ , and \*\*\*,  $P < 0.001$ , compared with the PBS control group.

stained lung sections from DnaJ- $\Delta$ A146Ply- and CT+DnaJ-vaccinated mice showed inflammatory cell infiltration, in contrast to the PBS control. However, lungs from CT+DnaJ-vaccinated mice exhibited massive cell infiltration.

Further experiments were carried out to detect serum IgE level by ELISA. The amount of IgE was significantly greater after vaccination with CT+DnaJ than after vaccination with DnaJ- $\Delta$ A146Ply (Fig. 6B). In contrast, the amount of serum IgE in DnaJ-

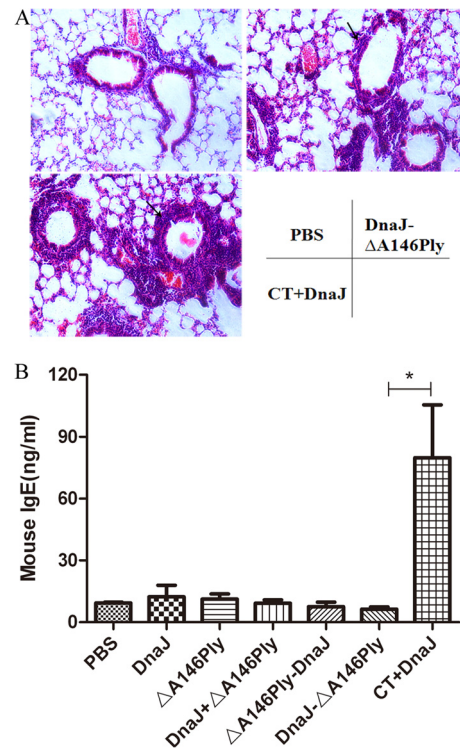


FIG 5 (A) Pathological analysis of the lung tissues from animals immunized with PBS, DnaJ- $\Delta$ A146Ply, and DnaJ plus CT before challenge. The arrow indicates cell infiltration, in contrast to the PBS control. (B) Comparison of serum total IgE between animals (4 per group) immunized with PBS, DnaJ, or  $\Delta$ A146Ply alone or with DnaJ+ $\Delta$ A146Ply, DnaJ- $\Delta$ A146Ply,  $\Delta$ A146Ply-DnaJ, or DnaJ plus CT. \*,  $P = 0.0292$  (DnaJ- $\Delta$ A146Ply versus CT+DnaJ).

$\Delta$ A146Ply-immunized mice was similar to that in PBS control mice, suggesting that DnaJ- $\Delta$ A146Ply did not induce IgE production or IgE-associated allergic and hypersensitivity reactions.

**Cytokine secretion by spleen cells in immunized mice.** To evaluate the phenotype (Th1, Th2, Th17, and Treg) of the immune responses elicited by intranasal immunization with DnaJ- $\Delta$ A146Ply, suspensions of splenocytes from vaccinated and control mice were isolated and cultured *in vitro* and stimulated with recombinant DnaJ (5  $\mu$ g/ml). The amounts of IFN- $\gamma$  and IL-17A in immunized groups were greater than those in the group that had not been vaccinated (Fig. 6). CT+DnaJ-vaccinated mice produced the highest levels of IFN- $\gamma$  and IL-17A, reflecting a stronger adjuvant effect for CT than  $\Delta$ A146Ply. In contrast, the amounts of IFN- $\gamma$  in DnaJ- $\Delta$ A146Ply- and DnaJ-vaccinated mice were not significantly different, suggesting that DnaJ- $\Delta$ A146Ply enhanced Th1 cell response only weakly, and serum IFN- $\gamma$  levels were significantly higher in DnaJ+ $\Delta$ A146Ply-immunized group than in the other protein-vaccinated groups. The amounts of IL-17A produced in splenocytes from DnaJ- $\Delta$ A146Ply- and DnaJ+ $\Delta$ A146Ply-immunized mice were significantly higher than in those from DnaJ- and  $\Delta$ A146Ply-vaccinated mice. No significant difference was found in the production of IL-10, and the IL-4 level was lower than the detection limit in this experiment (data not shown).

**IL-17A participates in vaccine-mediated bacterial clearance and the production of sIgA.** Several studies have demonstrated that IL-17A mediates pneumococcal colonization in mice

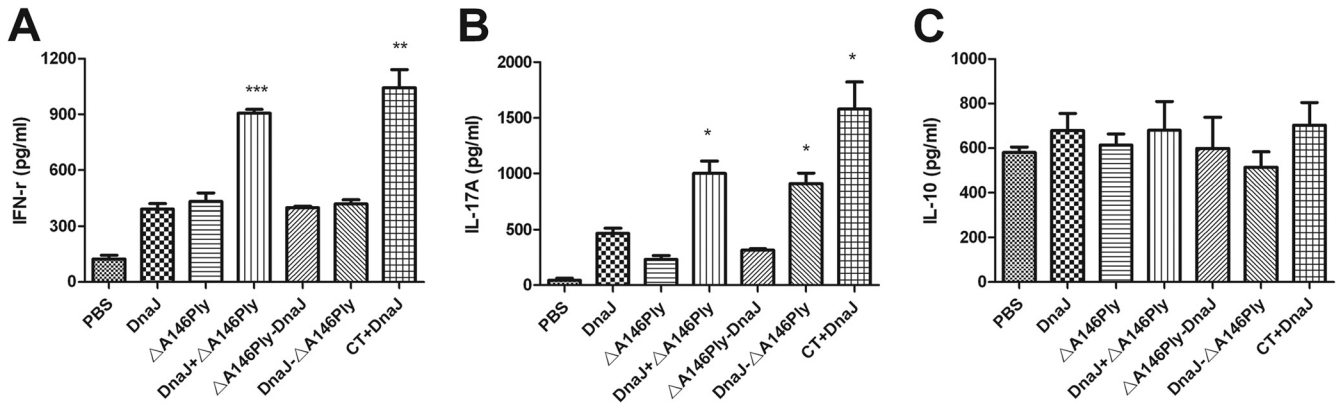


FIG 6 Effect of mucosal immunization with recombinant protein antigens or PBS alone on cytokine production in splenocytes. Seven days after the last immunization, the splenocytes ( $1 \times 10^5$  cells/well) were cultured in the presence of  $5 \mu\text{g}$  of recombinant DnaJ for 72 h at  $37^\circ\text{C}$ . After 72 h, the culture supernatants were assayed for the levels of IFN- $\gamma$ , IL-17A, and IL-10 by ELISA. \*,  $P < 0.05$ , \*\*,  $P < 0.01$ , and \*\*\*,  $P < 0.001$ , compared with the DnaJ group.

(36–38). In the present study, the DnaJ- $\Delta\text{A146Ply}$  fusion protein also induced significant production of IL-17A and reduced *S. pneumoniae* colonization in the nasopharynx and lungs. To validate the involvement of IL-17A in DnaJ- $\Delta\text{A146Ply}$ -mediated protection, IL-17A KO mice were immunized with DnaJ- $\Delta\text{A146Ply}$ ,

and the bacterial load in nasal washes and lungs was determined after challenge with pneumococcal strain 19F.

In the present study, a pronounced reduction in the level of sIgA (Fig. 7A) was observed in the saliva of IL-17A KO mice compared with wild-type mice, whereas the level of IgG (Fig. 7B) in

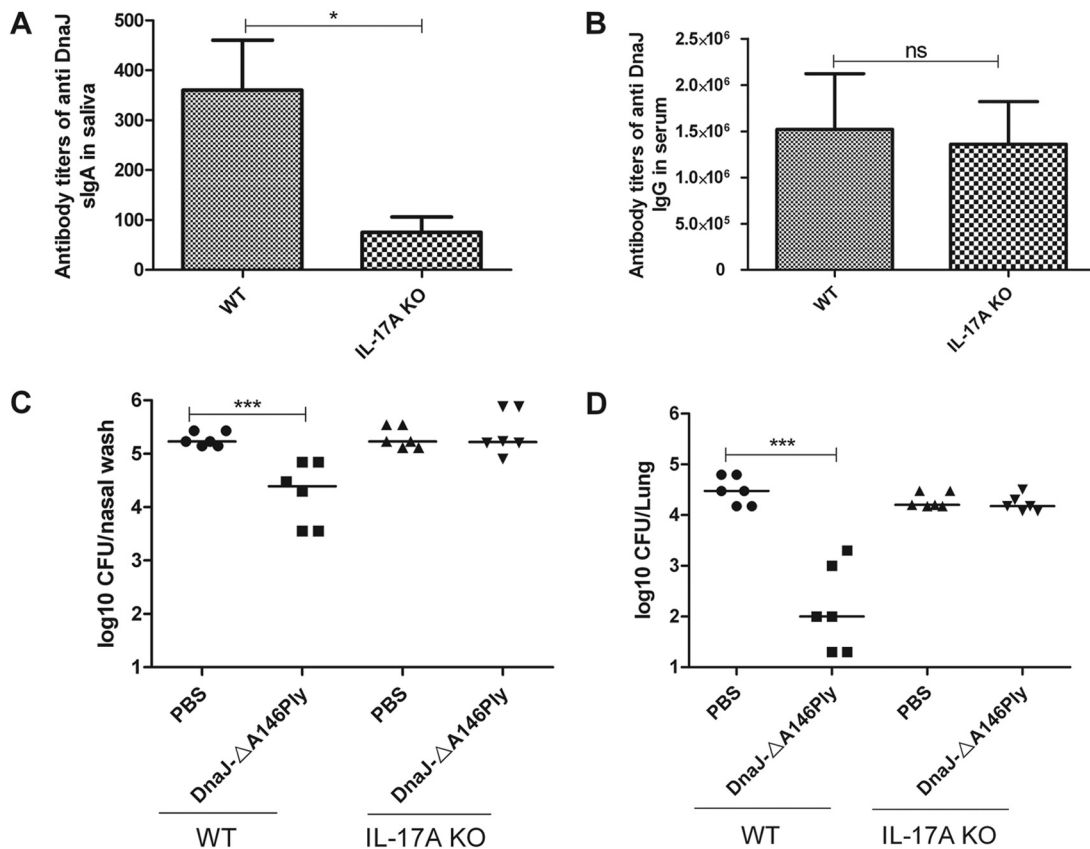


FIG 7 IL-17A regulates the production of sIgA and participates in bacterial clearance induced by DnaJ- $\Delta\text{A146Ply}$ . (A and B) Antibody titers were measured in serum and saliva taken from animals intranasally immunized with DnaJ- $\Delta\text{A146Ply}$ . Data are means and standard errors of the means from four mice. (C and D) Groups of six mice were intranasally immunized with the indicated antigens and intranasally challenged 2 weeks after the third immunization with 19F/CMCC31693 ( $1 \times 10^7$  CFU). Nasopharynx and lung colonization of individual mice was determined at day 4 after challenge. Each dot represents one mouse. The horizontal lines indicate the median CFU per nasopharynx or lung. WT, wild-type mice. \*,  $P < 0.05$ ; \*\*\*,  $P < 0.001$ .

serum was similar between wild-type and IL-17A KO mice. In the focal pneumonia model using strain 19F, the intranasal immunization of wild-type mice with recombinant DnaJ- $\Delta$ A146Ply reduced the bacterial load relative to mice vaccinated with PBS control. There was no difference in bacterial load between DnaJ- $\Delta$ A146Ply and PBS groups in the IL-17A KO mice. Together, these results demonstrate that the IL-17A-mediated immune response is important for DnaJ- $\Delta$ A146Ply-elicited production of antigen-specific sIgA and protection against pneumococcal infections.

## DISCUSSION

As a common respiratory pathogen, *S. pneumoniae* initially attaches and enters the body at mucosal surfaces, and therefore mucosal immune responses function as a first line of defense to prevent pneumococci from entering the body. The protective mucosal immune response against pneumococcal infections is likely to be more effectively induced by mucosal immunization through intranasal inoculation; however, the two main types of pneumococcal vaccines currently used are administered by injection. We and other groups have previously shown that systemic immunization with DnaJ and  $\Delta$ A146Ply alone is protective against pneumococcal infections (25–27, 29, 30). In this work, we investigated the protection elicited by the combination or fusion form of DnaJ and  $\Delta$ A146Ply proteins by the intranasal route and whether  $\Delta$ A146Ply functions as a mucosal adjuvant. The results revealed that intranasal immunization with DnaJ- $\Delta$ A146Ply significantly enhanced DnaJ-specific serum IgG and saliva sIgA antibody responses and provided striking protection against infections.  $\Delta$ A146Ply modulated the production of anti-DnaJ IgG subtypes in mice given the DnaJ- $\Delta$ A146Ply fusion protein intranasally. The DnaJ- $\Delta$ A146Ply fusion protein is sufficient to elicit a protective response and is a promising pneumococcal vaccine candidate.

In general, mucosal immunization requires the coadministration of appropriate adjuvants to induce immune responses connecting innate and adaptive immunity. *Vibrio cholerae* cholera toxin (CT) and *Escherichia coli* heat-labile enterotoxin (LT) and their subunits are known to readily induce immune responses and have been widely used (39, 40). However, neither of them can be used for human vaccine in their native form because of their intrinsic toxic effect. In this study, the histological analysis and the serum total IgE assay support the advantages of  $\Delta$ A146Ply over CT as a pneumococcal vaccine adjuvant. TLR agonists, including Pam3/2Cys, lipid A, flagellin, imidazoquinolines and CpG motifs, are important mucosal adjuvants (33, 41). Of these, flagellin, a TLR5 agonist, has been tested as an adjuvant against bacterial infections in animals, and human clinical trials are under way (33, 42, 43). Ply has previously been shown to interact with TLR4, resulting in changes in cellular activation (17–19, 44), suggesting that Ply has efficient adjuvant capacity. Hence, we wanted to evaluate whether the nonhemolytic Ply ( $\Delta$ A146Ply) has an efficient mucosal adjuvant capacity, like wild-type Ply and other TLR agonists.

Serum passive transfer studies have revealed the protective property of antigen-specific IgG in controlling pneumococcal diseases (26, 34). Also, it is well known that IgA is an important immunoglobulin with anti-inflammatory properties (45). In this study, intranasal immunization with DnaJ- $\Delta$ A146Ply induced significantly high levels of specific anti-DnaJ IgG antibodies in serum, as well as anti-DnaJ sIgA antibodies in saliva, demonstrat-

ing the protective properties of intranasal vaccination with the combination of the two proteins.

For effective vaccination, antigens and adjuvant should be administered simultaneously and through the same pathway. This could be easily achieved by coupling the TLR ligands directly to the antigens. Compared to nonconjugated antigens, fusion antigens have several advantages, including easy antigen delivery by targeting TLRs on immune cells, enhanced cellular uptake by immune cells, and finally increased induction of immune responses. Our observation coincides with previous reports, and it is therefore reasonable that the DnaJ- $\Delta$ A146Ply fusion form is better than the mixture, which is similar to results in recent reports (20, 22).

It should be noted that the fusion of proteins affects their biological properties.  $\Delta$ A146Ply-DnaJ was less potent in induction of protective immunity *in vivo* than DnaJ- $\Delta$ A146Ply and was less effective than the mixture of the two single antigens. Presumably, N-terminal DnaJ affects the folding property of the fusion proteins, because DnaJ is involved in protein folding by acting as a molecular chaperone (46). Additionally, the possibility cannot be ruled out that the TLR-binding domain of Ply is located in its C terminus, which would be destroyed by fusion and lead to poor immune responses. At this stage, we do not know the characteristics of the fusion proteins, and it is difficult to assess their spatial location. Nevertheless, based on the current data, it is suggested that antigens be fused to the N terminus of Ply to elicit better responses.

Intranasal delivery of recombinant DnaJ- $\Delta$ A146Ply and DnaJ+ $\Delta$ A146Ply induced both mucosal and systemic immunity against *S. pneumoniae*, and vaccinated mice produced high levels of IFN- $\gamma$  and IL-17A. Given that the Th1 cytokine IFN- $\gamma$  and the Th17 cytokine IL-17A modulate protective immunity to pneumococcal infections (38, 47, 48), we propose a mixed Th1 and Th17 response to assess the effectiveness of vaccination. Interestingly, we found that sIgA in DnaJ- $\Delta$ A146Ply-vaccinated IL-17A KO mice is barely detectable. Although IL-17A-mediated production of sIgA in the small intestine and lung has been reported (49, 50), further studies are required to illuminate the relationship between IL-17A and sIgA in vaccination.

We and other groups have shown that DnaJ or  $\Delta$ A146Ply protein protected against colonization and invasive pneumococcal infections where CT or alum was used as an adjuvant (25–27, 29, 30). In this study, without additional adjuvant, mucosal immunization with recombinant DnaJ- $\Delta$ A146Ply fusion protein provided the best protection against intranasal challenge with pathogenic *S. pneumoniae*. Protection elicited by the protein vaccine was as effective as that resulting from the PPV23 vaccine. In this formulation,  $\Delta$ A146Ply plays a double role, that of a conserved pneumococcal antigen itself and that of an adjuvant for DnaJ protein.

In conclusion, this study indicates that mucosal immunization with DnaJ- $\Delta$ A146Ply fusion protein induces both mucosal and systemic immunity and protects against pneumococcal diseases.  $\Delta$ A146Ply fusion proteins are promising candidates as next-generation pneumococcal vaccines.

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We declare that no competing interests exist.

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