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## DNA Aptamer Technology for Personalized Medicine

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### Abstract

This review highlights recent progress in developing DNA aptamers for personalized medicine, with more focus on *in vivo* studies for potential clinical applications. Examples include design of aptamers in combination with DNA nanostructures, nanomaterials, or microfluidic devices as diagnostic probes or therapeutic agents for cancers and other diseases. The use of aptamers as targeting agents in drug delivery is also covered. The advantages and future directions of such DNA aptamer-based technology for the continued development of personalized medicine are discussed.

### Keywords

DNA aptamer; personalized medicine; cancer; diagnosis; therapy; targeted delivery

## 1. Introduction

### 1.1 Personalized Medicine

In the last decade we have witnessed an explosive growth of research and development in personalized medicine. The advances in this area promise to improve healthcare while lowering costs of treatment. Despite significant progress, challenges still remain in shifting from traditional medicine to personalized medicine. For instance, clinical tools for the detection of genetic, proteomic, or metabolite biomarkers are required to correctly assess differences in individual conditions, in order to make appropriate medical decisions. Similarly, therapeutic methods for treating diseases need to shift from the administration of broadly acting therapeutic drugs towards the use of more specific drugs or dosages customized to each patient. Among the many approaches for meeting these demands, the use

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of DNA aptamers are a promising emergent approach, whose growth parallels that of personalized medicine (Figure 1).

## 1.2 Overview of DNA Aptamers

A major issue in personalized medicine is the introduction of target specificity or related functionality to diagnostic tools and therapeutic agents. Aptamers, sequences of nucleic acids that are capable of recognizing and binding to a specific target, have become one of the most promising tools for this purpose. Aptamers are selected from pools of oligonucleotides with random sequences in a process called Systematic Evolution of Ligands by EXponential enrichment (SELEX) [1–3]. They can recognize a wide range of biomedically relevant targets including metal ions, small molecules, peptides and proteins, with high affinity and specificity [4–8]. While aptamers may consist of either DNA or RNA, DNA aptamers are more stable against biodegradation than their RNA congeners. Compared with antibodies, aptamers exhibit significant advantages in terms of size, stability, non-immunogenicity, and synthetic accessibility. Furthermore, new aptamers can be selected through a well-established selection process towards any biomedically important target to advance pharmaceutical development, particularly small molecular targets such as organic metabolites, metal ions, or other biomarkers. Owing to these advantages, research in the selection of new aptamers, characterization of their physical properties, as well as application in a variety of biomedical systems has greatly increased over the past decade, and researchers have identified aptamers with high binding affinity for a wide range of clinical targets including kinases, growth factors, and cell-surface receptors (Figure 1) [9–12]. This review highlights recent work on the use of DNA aptamers for diagnosis, targeted therapy of cancers and other diseases, with special focus on those studies that demonstrate *in vivo* efficacy for potential clinical applications.

## 2. DNA Aptamer-Based Techniques for Cancer Diagnosis

Cancer has a major impact on society today. The World Health Organization (WHO) has reported that 7.6 million people die of cancer every year [13]. Identification of cancer cells at the earliest stage is critical to the successful prevention and effective treatment of cancers. Therefore, developing DNA aptamer-based diagnostic tools for cancer cells with high sensitivity and selectivity is important for the continued improvement of clinical cancer management [14].

### 2.1. Aptamer-containing DNA Nanostructures as Cancer Probes

DNA aptamers capable of recognizing biomarkers or cancer cells can be obtained through *in vitro* selection or cell-SELEX [15]. When modified with fluorophores, these functional DNA strands can be used as molecular probes for *in vivo* identification and imaging of cancer cells. The cell-SELEX approach has been adopted to obtain aptamers that specifically bind to and be internalized by glioblastoma (GBM) tumor-initiating cells (TIC). These aptamers were further able to differentiate cells with high tumorigenic potential from GBM xenografts [16]. Another example showed that the use of a DNA aptamer against the A549 lung carcinoma cell line allowed *in vivo* fluorescence imaging of carcinomas [17].

To enhance their performance and functionality, aptamers can be further incorporated into DNA nanostructures. An activatable aptamer probe (AAP) featuring a *sgc8* aptamer targeting protein tyrosine kinase-7 (PTK7), a poly-T linker and a short DNA strand can form a molecular beacon structure [18]. Animal studies confirmed that such AAPs could be activated through cell membrane protein-triggered conformational changes, resulting in enhanced fluorescence signals at CCRF-CEM tumor sites. To increase the stability of DNA aptamer probes, branched polyethyleneimine (PEI) was used as a vector to deliver a TD05 aptamer-based probe (Figure 2a) [19]. Such PEI/aptamer probes showed higher stability against DNase degradation and were used for *in vivo* imaging of a Ramos tumor in mice. Moreover, a more sophisticated DNA-based nanorobot made by DNA origami method was reported for delivery of biologically active payloads for cell-targeting [20]. This stimuli-responsive device was locked with DNA aptamers in a dual-lock mode so that the nanorobot would open and release its payload only in the presence of two different target molecules.

## 2.2 Aptamer-Conjugated Nanomaterials as Cancer Probes

The conjugation of high-specificity DNA aptamers with nanomaterials featuring unique optical or magnetic properties has resulted in many innovative imaging agents for cancer diagnosis. A prominent example nanomaterial is the luminescent upconversion nanoparticle (UCNP). UCNPs are capable of converting near-infrared (NIR) excitation light into shorter wavelength visible luminescence, which is ideal for deep tissue bioimaging. However, functionalization of such UCNPs for targeting is difficult. Recently, our lab reported a one-step strategy to prepare uniform DNA-modified UCNPs through ligand exchange at the liquid–liquid interface (Figure 2b) [21]. The nucleolin DNA aptamer remained functional on the UCNP surface and enabled specific targeting of MCF-7 cancer cells and cell membrane penetration, with high internalization efficiency.

Besides UCNPs, other aptamer-modified nanomaterials allow different techniques to be used for imaging of tumors. Aptamer-modified, monodisperse silica nanoparticles have been synthesized as probes for multimodal imaging of lymph nodes (Figure 2c) [22]. Positron emission tomography (PET) and NIR fluorescence imaging confirmed that nucleolin aptamer-directed silica nanoparticles accumulated in lymph nodes containing metastatic breast tumors using a 4T1 tumor model. In addition, an AS1411 aptamer-modified cobalt–ferrite nanoparticle was used for targeted multi-modal imaging of C6 tumors in mice [23]. Moreover, aptamer-modified nano/micro-sized micelle bubbles [24], quantum dots [25], as well as iron oxide nanoparticles [26] have also been recently reported for cell-specific ultrasound, fluorescence, and magnetic resonance imaging, respectively.

## 2.3 Aptamers in Combination with Analytical Techniques for Cancer Detection

In addition to conjugation with nanostructures and nanomaterials, DNA aptamers have also been combined with common analytical techniques to enhance sensitivity and specificity. For example, a microfluidic device was combined with the *sgc8* aptamer to develop a 3D DNA platform for efficient detection and isolation of CCRF-CEM cancer cells in whole blood samples [27]. In addition, the use of multivalent gold nanoparticle-aptamer conjugates significantly increased the capture of circulating tumor cells in a microfluidic device [28].

In addition, a general approach to the *de novo* design of electrochemical biosensors with aptamer functionalization has been reported through *in vitro* selection and functional electrode construction [29]. This approach was able to detect lung cancer biomarker CTAP III/NAP2 with high specificity and sensitivity. Finally, an aptamer microarray was combined with MALDI-TOF MS for high-throughput on-target analysis of protein biomarkers (Figure 2d) [30].

### 3. DNA Aptamer-based Techniques for Cancer Therapy

Traditional cancer chemotherapy suffers from severe side effects and low therapeutic index. The use of DNA aptamers either alone, as a therapeutic, or in combination with nanomaterials, as a targeting agent, has shown great promise for the improvement of anticancer efficacy while reducing side effects.

#### 3.1 DNA Aptamers as Anticancer Therapeutic Agents

Aptamers can be selected to bind specific protein targets of clinical interest. Among them, some aptamers have been found to have therapeutic effects in a manner similar to monoclonal antibodies, as the binding of aptamers may block the active site of a protein or inhibit ligand-receptor interactions, and thus may function as anticancer therapeutics [14, 31]. The first DNA aptamer-based anticancer drug entering clinical trials was AS1411, which is a 26-mer G-quadruplex oligodeoxynucleotide that binds to nucleolin [9]. Although AS1411 is currently in phase II clinical trials for the treatment of acute myeloid leukaemia, its mechanism of action is not completely clear. A recent study suggests that the anticancer activity of AS1411 might be caused by hyperstimulation of macropinocytosis after binding to nucleolin [32].

Besides AS1411, several new DNA aptamers have been discovered with anticancer properties. For example, a serum-stabilized DNA aptamer for immunotherapy of CD30-expressing lymphoma was generated [33]. In addition, two DNA aptamers which bind to carcinoembryonic antigen (CEA) were selected and used for the pre-treatment of a CEA-expressing tumor model *in vivo* [34]. Moreover, a DNA aptamer recognizing human epidermal growth factor receptor 2 (ErbB-2/HER2) was recently shown to have antitumor efficacy two-fold higher than a corresponding monoclonal anti-ErbB-2/HER2 antibody, in both human gastric cancer cells and in mice bearing tumor xenographs [35].

#### 3.2 Aptamer-containing DNA Nanostructures for Cancer Therapy

While aptamers can be used directly as therapeutic agents, they require a relatively large dosage in order to be effective. Since delivery of the highly negatively charged DNA is a major hurdle, an alternate approach using DNA as a targeting agent in combination with other drugs may be more promising, as this approach takes advantage of the high selectivity of aptamer with the strong therapeutic potential of known drugs; even if relatively few DNA strands are delivered to the target, the high loading of the drug may still allow the combined system to be effective. Along these lines, it has been shown that DNA nanostructures can be used as a delivery system with antitumor drugs intercalated within strands of double-stranded DNA (dsDNA) [36]. Together with cancer cell-specific aptamers, different DNA

nanostructures have been demonstrated as targeting vectors for the delivery of anticancer agents. As an example, an aptamer-tethered, long linear dsDNA structure, dubbed a “DNA nanotrains” (aptNTr) has been developed to deliver anticancer drugs and bioimaging agents to tumor cells (Figure 3a) [37, 38]. The long dsDNA chain serves as a carrier in which doxorubicin may be loaded with very high density. The enhanced antitumor efficacy and reduced side effects of drugs delivered by aptNTrs were demonstrated in a xenograft tumor model *in vivo*. Similarly, a poly-aptamer-drug system was constructed by rolling circle amplification using a leukemia cell-binding aptamer and doxorubicin-loaded strands. Enhanced targeting effects and improved cellular uptake were observed as compared to the monovalent counterpart, due to aptamer multivalency [39].

### 3.3 Aptamers as Targeting Agents in Drug Delivery

While aptamers themselves have the potential to be therapeutic agents, their successful clinical application requires a large amount of aptamers in order to bind and suppress their targets. Given the difficulty in delivering sufficient quantities of DNA aptamers into cells or the human body, it may be difficult to achieve the desired therapeutic effect in patients. On the other hand, by using aptamers as targeting agents in conjunction with known drugs or drug delivery vehicles with high potency but less selectivity, one can achieve better efficacy without requiring the delivery of as much aptamer to the patient. One example is the conjugation of aptamers to drug-containing liposomes, which are one of the most successful drug delivery platforms in clinical use today. To confer selectivity upon this system, the AS1411 aptamer was conjugated to a 200 nm liposome loaded with doxorubicin (Figure 3b) [40]. Cellular and animal studies demonstrated that these highly stable liposomes were able to target MCF-7 cells specifically and improve the inhibition of tumor growth, attributable to enhanced tumor tissue penetration. More importantly, because the DNA aptamer sequence is known and the aptamer can bind its complementary DNA sequence strongly through hybridization, the effectiveness of this system can be tuned with different concentrations of the complementary DNA strand of the aptamer as an antidote [41].

Other delivery systems, such as micelles and polymer nanoparticles, have also been used in combination with cancer-specific DNA aptamers. For example, PEG-PLGA nanoparticles have been functionalized with the AS1411 nucleolin aptamer for targeted delivery of paclitaxel (PTX) to mice bearing C6 gliomas [42]. The biodistribution test showed enhanced tumor accumulation for the aptamer-functionalized nanoparticles compared to either the nanoparticles or drug alone. Prolonged circulation time and the targeting effect of the system facilitated tumor inhibition. Moreover, a lipid tail was attached onto the end of the TDO5 aptamer, forming an aptamer-micelle with high binding affinity to Ramos cells and extremely low binding off rate ( $10^{-5}$ – $10^{-6}$  s<sup>-1</sup>) [43]. Finally, the aptamer-conjugated micelle could be used not only for the targeted imaging of cancer cells but also specific destruction of the same, *via* ultrasound-mediated acoustic droplet vaporization [44].

## 4. DNA Aptamers for Diagnostic and Therapeutic Applications of Other Diseases

While much effort has been devoted towards the detection and treatment of cancer, DNA aptamers have also been applied to other diseases. We highlight here a few such applications, again emphasizing those that have been applied *in vivo* or which are undergoing clinical trials.

### 4.1 Aptamer-Enabled Diagnosis of Other Diseases

One major area of application of aptamers is bacteria and virus detection. The generalizability of aptamer selection allows the aptamer to be tailored not only to a specific cell, bacteria, or viral target, but even to a particular protein or protein domain on the surface of these species. For example, five ssDNA aptamers capable of binding *Staphylococcus aureus* were identified, each aptamer binding different protein targets. They were used either individually or together for detection of *S. aureus* in clinical samples of pyogenic fluid taken from burn victims [45]. Further conjugation of these aptamers to the surface of single-walled carbon nanotubes (SWCNTs) allowed for detection of *S. aureus* via real-time potentiometry, down to a concentration of 800 colony forming units per mL; such sensitivity made it possible to detect bacteria from a sample of contaminated pig skin [46]. Similarly, gold nanoparticle-conjugated DNA aptamers were used for detection of *S. aureus* down to the single-cell level by means of light scattering measurement (Figure 3c) [47]. Another example involved the selection of DNA aptamers for the H5N1 influenza virus. These aptamers were used for detection of the virus in bird swab samples using surface plasmon resonance [48].

### 4.2 Aptamer-Based Treatment for Other Diseases

The potential application of aptamers as therapeutic agents for other diseases has also been investigated. For example, an aptamer for an envelope protein of the Hepatitis C virus, identified through whole-cell SELEX, was shown to inhibit the interaction between the virus and the CD81 receptor of human cells [49]. Similar results were obtained using an aptamer identified from SELEX using a purified viral protein [50]. Both sets of aptamers demonstrated inhibition of HCV infection in cell culture models.

Another disease that has been investigated for aptamer-based therapy includes thrombosis, or obstruction of blood flow due to clotting. DNA aptamers have been selected for von Willebrand Factor (vWF), a glycoprotein implicated in thrombosis formation due to cardiovascular injury [51]. ARC1779 is an aptamer targeting vWF that has been studied for use as an inhibitor to platelet function, as a potential replacement of current anti-platelet treatments, which carry the significant drawback of hemorrhage [52]. Phase 1 and 2 studies have been carried out on this aptamer, which have indicated efficacy in treating a number of cardiovascular diseases (thrombocytopenia, type 2B von Willebrand disease) [53].

## 5. Summary and Perspectives

Much progress has been made in developing DNA aptamer-based techniques for diagnosis and therapy of cancers such as leukemias, lymphomas, gliomas, gastric and breast cancers, as well as other diseases including *S. aureus* infection, influenza, Hepatitis C, and thrombosis. This review focuses more on work that has demonstrated *in vivo* efficacy. Despite this progress, aptamer research in the laboratory has been slow to reach clinical applications in the hospital. So far, only one aptamer-based drug has been approved for marketing by the US Food and Drug Administration (FDA), with several others currently under clinical evaluation [54]. While many DNA aptamers have been well characterized and show promise, until now most work has only demonstrated proof-of-concept models, with a few showing application in animal models. With a highly negatively charged phosphate backbone, delivery of these DNA aptamers into cells and the human body is still a challenge. Therefore, their effectiveness *in vivo* still requires further investigation in order to be used in future personalized medicine.

In addition, even though a number of aptamers for targets of interest in medicine have been identified, aptamers for many other targets of clinical significance have yet to be selected, such as small molecules that serve as disease markers in the blood or urine [55]. Another potential class of target may be metal ions, which are critical in many aspects of health and disease, including cancer [56]. The ability to obtain DNAzymes selective for a specific metal ion has already been demonstrated and will likely serve as the basis for such future work [57].

To realize the full potential of personalized medicine, diagnostic tests must become more portable, using simple devices for real-time and on-site detection and monitoring, instead of the laborious and time-consuming diagnostic tests currently available only in clinical labs. The incorporation of DNA aptamers into the personal glucose meter and dipsticks provides a general method for sensing a broad range of targets using simple devices [58, 59]. For therapy, there is still huge untapped potential in the combination of the target recognition ability of aptamers with exquisitely designed nanomaterials that can be used as effective drug delivery platforms. Many nanomaterials, such as liposomes, polymer vesicles and silica nanoparticles, combined with DNA aptamers, have shown feasibility for use in *in vivo* targeted drug delivery. In all therapeutic approaches, a general drug delivery platform with physiochemical stability, stimuli-responsiveness, controlled release profile and desired *in vivo* biodistribution will be needed to incorporate with specific aptamers selected from individuals. Finally, the combination of diagnosis and therapy in one system (theranostics) holds the key to the future success of personal medicine. To achieve such a goal, a development loop is required, in which the development of medicines will start from identification of disease markers from an individual patient, proceed through the expedited *in vitro* selection of DNA aptamers for these markers, and finally facilitate the production of theranostic tools specifically designed for that patient (Figure 4). Successful implementation of this development loop will make DNA nanostructures and aptamers key drivers for the future development of personalized medicine.

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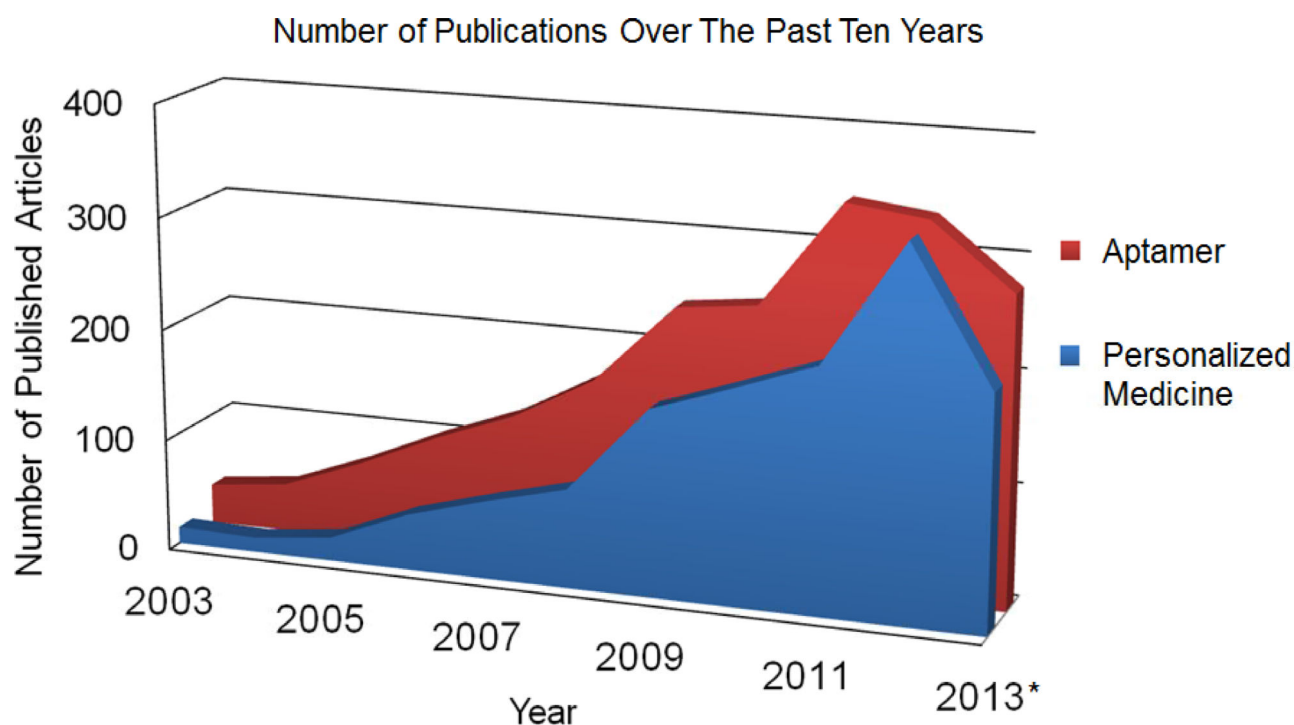
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### Highlights

- The development of DNA aptamers parallels that of personalized nanomedicine
- Both aptamer-functionalized nanomaterials and DNA nanostructures have been developed
- They have been used for detection, diagnosis, and treatment of cancer and other diseases
- The review focuses more on studies that demonstrate *in vivo* efficacies



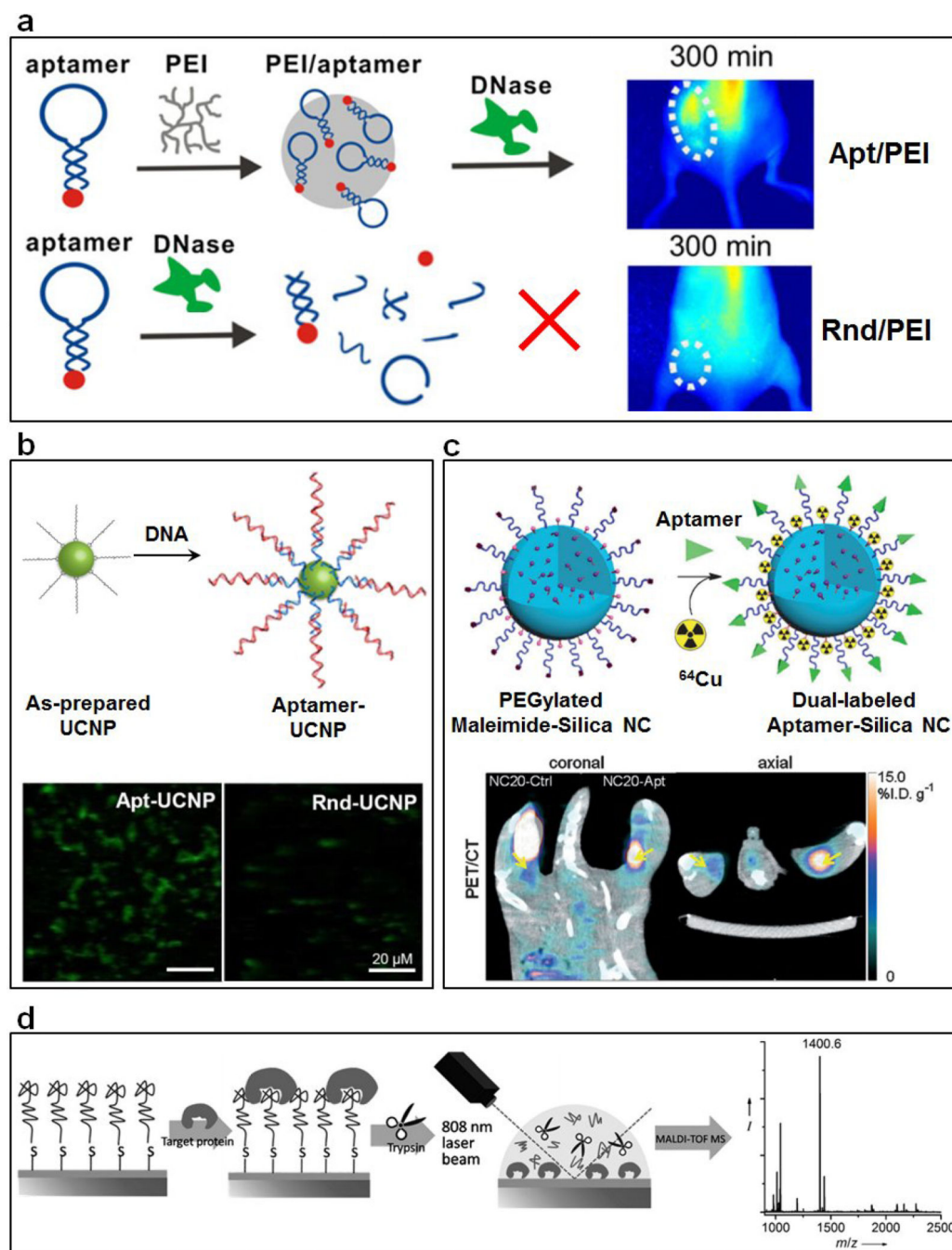
#### DNA Aptamers to Protein Targets of Therapeutic Interests

DNA Aptamers	Protein Targets	Target Function	Positive Cell Lines
AS1411	Nucleolin	Important for cell proliferation	MCF-7, 4T1, MDA-MB-231
Sgc8c	Protein tyrosine kinase 7 (PTK7)	Transmembrane receptor, colon carcinoma kinase-4 (CCK-4)	CCRF-CEM
TD05	Immunoglobulin heavy mu chain (IGHM)	Related to Burkitt's lymphoma development	Ramos
GBI-10	Tenascin-C	Involved in embryogenesis and oncogenesis pathways	U251
AX102	Platelet-derived growth factor B (PDGF-B)	Related to mesangial cell proliferation and matrix accumulation	SKOV3ip1, KAT-4, 3T3

**Figure 1.**

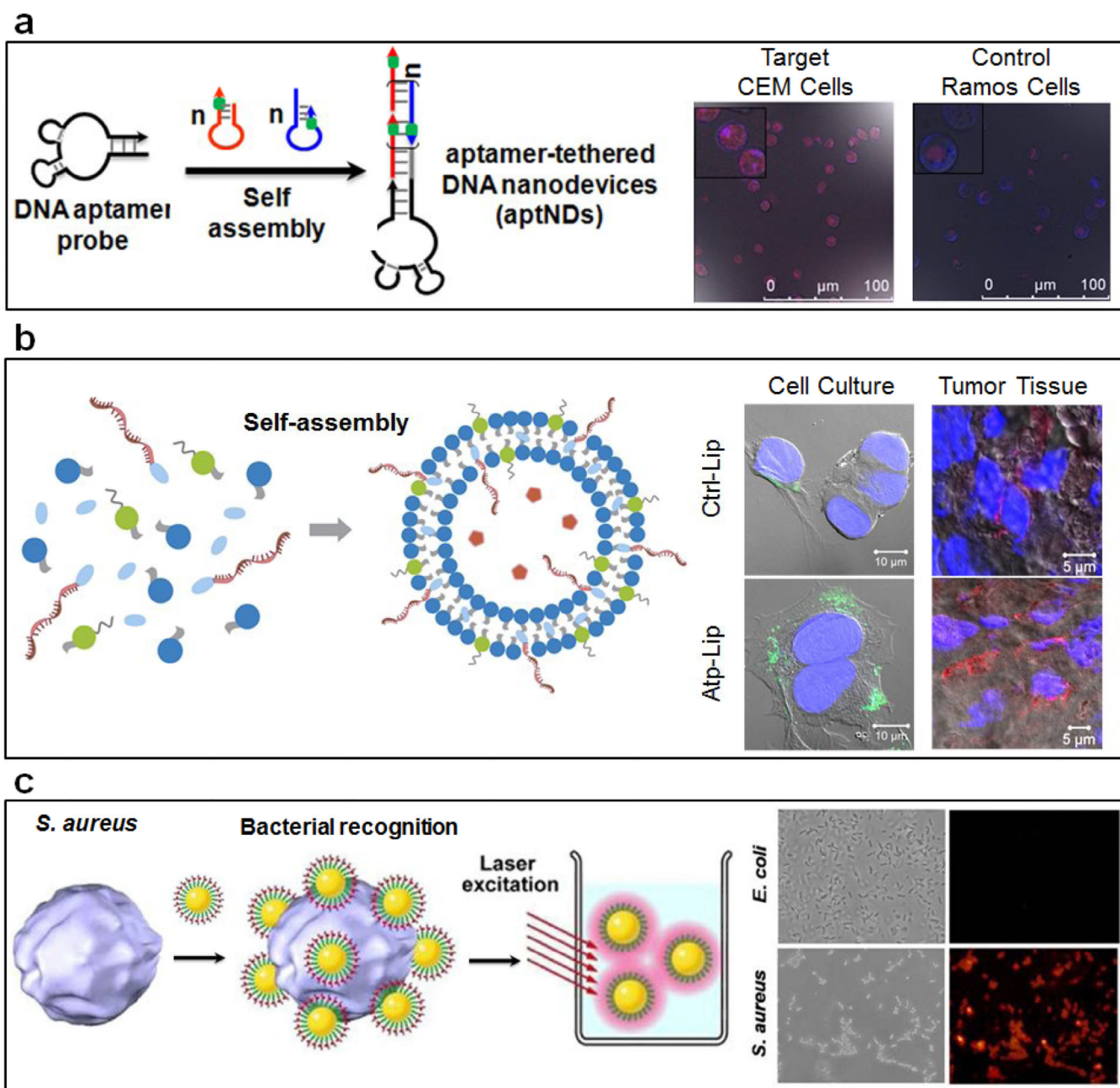
Top: Graph showing the number of publications over the past ten years with title containing “aptamer” (red) or “personalized medicine” (blue) respectively, according to Web of Science. \* The number of publications in 2013 is counted until November.

Bottom: Representative DNA aptamers to protein targets of therapeutic interest.



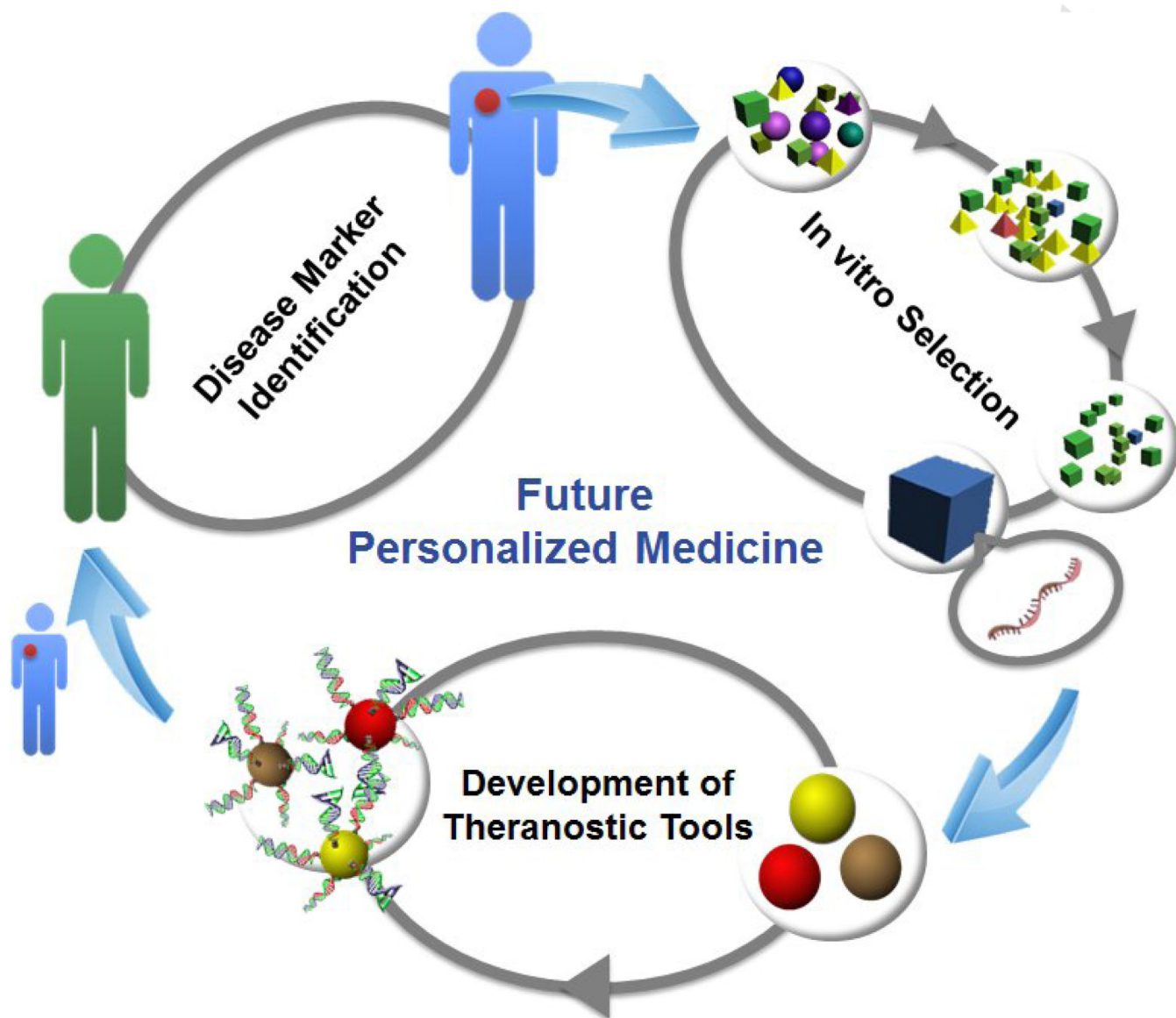
**Figure 2.**

(a) Schematic illustration of the protection of PEI on DNA and targeted imaging with PEI/aptamer complexes. Adapted from [19]. (b) Schematic view of the synthesis of DNA aptamer-functionalized UCNPs from as-prepared hydrophobic UCNPs and targeted imaging using aptamer-modified UCNPs. Confocal microscopy images of MCF-7 cells treated with aptamer-UCNPs (left) and control-UCNPs (right). Adapted from [21]. (c) Schematic view of the preparation of aptamer-functionalized dual-labeled silica NCs for PET and NIR fluorescence imaging. *In vivo* whole-body PET/CT imaging of BALB/c mice after hock injection of dual-labeled control-NCs and aptamer-NCs. Adapted from [22]. (d) Design of the aptamer-assisted selective high-throughput detection of biomarkers using MALDI-TOF MS. Adapted from [30].



**Figure 3.**

(a) Schematic of the self-assembly of aptamer-tethered DNA nanotrains (aptNTRs) and the illustration of the drug transportation process *via* aptNTRs to target cells. Adapted from [37]. (b) Schematic illustration of the assembly of aptamer-conjugated liposomes with encapsulated cargos and confocal microscope images of MCF-7 cells treated with non-aptamer-conjugated liposomes and aptamer-conjugated liposomes in cell culture (left) and in tumor tissue sections (right). Adapted from [40]. (c) Schematic view of the light-scattering detection of *S. aureus* cells using aptamer-conjugated gold nanoparticles. Adapted from [47].



**Figure 4.**

A scheme showing how DNA aptamer technology powers future personalized medicine.