Core Promoter Functions in the Regulation of Gene Expression of *Drosophila* **Dorsal Target Genes^{*}[□]**

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Background: The Dorsal transcription factor regulates the dorsal-ventral developmental gene regulatory network by binding to enhancers.

Results:The core promoters of multiple Dorsal target genes are evolutionarily conserved and functionally dependent on specific elements.

Conclusion: The core promoter composition is an important determinant of the transcriptional outcome.

Significance: Transcriptional regulation results from an interplay between enhancers and core promoter composition.

Developmental processes are highly dependent on transcriptional regulation by RNA polymerase II. The RNA polymerase II core promoter is the ultimate target of a multitude of transcription factors that control transcription initiation. Core promoters consist of core promoter motifs, *e.g.***the initiator, TATA box, and the downstream core promoter element (DPE), which confer specific properties to the core promoter. Here, we explored the importance of core promoter functions in the dorsal-ventral developmental gene regulatory network. This network includes multiple genes that are activated by different nuclear concentra**tions of Dorsal, an NF_{*K*}B homolog transcription factor, along **the dorsal-ventral axis. We show that over two-thirds of Dorsal target genes contain DPE sequence motifs, which is significantly higher than the proportion of DPE-containing promoters in** *Drosophila* **genes. We demonstrate that multiple Dorsal target genes are evolutionarily conserved and functionally dependent on the DPE. Furthermore, we have analyzed the activation of key Dorsal target genes by Dorsal, as well as by another Rel family transcription factor, Relish, and the dependence of their activation on the DPE motif. Using hybrid enhancer-promoter constructs in** *Drosophila* **cells and embryo extracts, we have demonstrated that the core promoter composition is an important determinant of transcriptional activity of Dorsal target genes. Taken together, our results provide evidence for the importance of core promoter composition in the regulation of Dorsal target genes.**

Transcriptional regulation of gene expression is critical for embryonic development $(1-6)$. Multiple sequence-specific DNA binding transcription factors and co-regulators control gene expression (7–10), but the ultimate target of the transcription machinery is the initiation of transcription at the core promoter, which could hence be referred to as the gateway to transcription $(11–16)$.

Focused core promoters, *i.e.* promoters in which transcription initiates at a single nucleotide or within a narrow region of several nucleotides, exist in all eukaryotes and are predominant in simple organisms, such as *Drosophila* (13, 17, 18). Focused core promoters are typically \sim 80 nucleotides in length and encompass the RNA start site (13, 17, 18).

Core promoters may contain one or more functional DNA sequence elements, termed core promoter elements or motifs, such as the TATA box, TFIIB recognition elements (BRE^u and BRE^d), initiator (Inr),² TCT motif, motif 10 element (MTE), and downstream core promoter element (DPE), which confer specific properties to the core promoter (13, 19–21). The TATA box, the Inr, the MTE, and the DPE motifs are recognized and bound by subunits of TFIID, the first complex that recognizes and binds the core promoter in the process of RNA polymerase II recruitment to the core promoter.

The TATA box is the first core promoter motif identified and is conserved from archaebacteria to humans (22, 23). The upstream T is typically located at -30 or -31 relative to the transcription start site (24). The TATA box is bound by the TATA box-binding protein (TBP) subunit of TFIID. The Inr encompasses the transcription start site and is the most common core promoter element (11, 19, 25). The Inr is bound by the TAF1 and TAF2 subunits of TFIID (26). The DPE was originally discovered as a TFIID recognition site that is located downstream of the initiator element (precisely from $+28$ to +33 relative to the A+1 of the Inr) and is conserved from *Drosophila* to humans (27, 28). It is bound by the TAF6 and TAF9 subunits of TFIID (28). The MTE is located immediately upstream of the DPE at precisely $+18$ to $+27$ relative to the A+1 in the Inr and is also conserved from *Drosophila* to humans (29, 30). Both the DPE and the MTE motifs are dependent on the Inr and function cooperatively with it (28, 30–33). Moreover, we have previously demonstrated that gene expression levels can be modulated via the core promoter (31).

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 2 The abbreviations used are: Inr, initiator; DPE downstream core promoter element; MTE, motif 10 element; TBP, TATA box-binding protein; Pol, polymerase; GRN, gene regulatory network; mDPE, mutant DPE.

The existence of different types of core promoters implies that core promoter elements play regulatory roles beyond the specification of transcription initiation. Transcription of TATAdependent genes differs from transcription of DPE-dependent genes in many respects. First, the set of basal transcription factors that is necessary to transcribe TATA-dependent promoters*in vitro* is insufficient to transcribe DPE-dependent promoters (34, 35). Second, enhancers with preference for DPEcontaining promoters or TATA-containing promoters have been identified, supporting the existence of enhancer-promoter specificity (16, 36–38). Third, TBP is necessary for TATA-dependent transcription. However, TBP down-regulates DPE-dependent transcription (39). The two transcriptional regulators, NC2 and MOT1, which have been shown to be positive regulators of DPE-dependent transcription, do so by counteracting TBP, thus relieving its inhibition of DPE transcription $(39-41)$.

In this study, we explored the contribution of the DPE motif to the regulation of gene expression in the dorsal-ventral developmental gene network that is governed by the Rel family transcription factor Dorsal. We show that the promoters of many Dorsal target genes contain DPE sequence motifs. We demonstrate that core promoters of multiple Dorsal target genes are evolutionarily conserved and are functionally dependent on the DPE motif. Moreover, we have analyzed the activation of key Dorsal target genes by Dorsal and another Rel family transcription factor, Relish, and the dependence on the DPE motif. Using *Drosophila* S2R+ cells, we further demonstrate that the TATA box cannot compensate for the loss of DPE in the Dorsal target *brinker* and can only partially compensate for the loss of DPE in the Dorsal target *twist*. The addition of a TATA box to the *brinker* and *twist* promoter can, however, compensate for the loss of DPE using nuclear extracts derived from *Drosophila* embryos. We show that Dorsal is able to discriminate between a TATA box-containing promoter and a DPE-containing promoter. Transcription of enhancer-promoter constructs in both *Drosophila* S2R+ cells and embryo extracts manifests the importance of the core promoter in transcriptional regulation of Dorsal target genes. Collectively, we demonstrate that the DPE motif plays an important role in the expression of Dorsal target genes regulating dorsal-ventral patterning and provides evidence for the key contribution of the core promoter composition to gene regulatory networks.

EXPERIMENTAL PROCEDURES

Sequence Conservation Analysis—Sequence conservation analysis was performed using the UCSC genome browser.

Calculation of Core Promoter Element Frequency—*Drosophila* transcripts that initiate at different chromosomal positions (based on the RefSeq database) were used to calculate the frequency of the core promoter elements (see Tables 1 and 2). Inr elements were identified in the region -10 to $+10$ relative to the transcription start site if there was an A at $+1$ and at least three of five additional matches to the consensus (TCAKTY, where the A is the $+1$ of the transcript). DPE motifs that were precisely located at $+28$ relative to the A $+1$ of the Inr, were identified based on at least five of six matches to the DPE functional range set (DSWYVY). Putative TATA box elements were

identified based on a match to a TATA sequence located from -45 to -19 relative to the RefSeq transcription start site. The significance of differences in core promoter composition between *Drosophila* transcripts and Dorsal target genes was calculated using the chi-square test.

In Vitro Transcription Assays—Double-stranded oligonucleotides comprising core promoter sequences from -10 to 40 (see Fig. 1 and supplemental Fig. S1) of the tested Dorsal target genes core promoters were inserted into the PstI and XbaI sites of pUC119. Mutation of the DPE in the core promoters was identical to that used previously (30), where the mutant DPE contains CATA at $+30$ to $+33$ relative to A $+1$. Natural *tinman* (*tin*), *brinker* (*brk*), *twist* (*twi*), and *leak* (*lea*) enhancerpromoter constructs driving the luciferase reporter gene were used in Figs. 3–5, and *tin*-*twi* hybrid enhancer-promoter constructs driving the luciferase reporter gene were used in Fig. 6. *In vitro* transcription reactions were carried out as described previously (42) using 250 ng of supercoiled DNA templates with *Drosophila* high salt nuclear extracts (43). The resulting transcripts were subjected to primer extension analysis with an M13 reverse sequencing primer (AGCGGATAACAATTTCA-CACAGGA; see Fig. 2) or with a reverse luciferase primer (TCTTCCAGCGGATAGAATGGCGCC; see Figs. 5 and 6). Quantitation of reverse transcription products was carried out using ImageQuant, ImageJ, and GelQuantNET. All experiments were carried out a minimum of three independent times to ensure reproducibility of the data.

Expression Plasmids and Luciferase Reporter Genes—pAc-Dorsal expression plasmid was kindly provided by Dr. Albert Courey (UCLA) For the construction of a Relish expression vector, the active N terminus of Relish (amino acids 1–532; not including the ankyrin repeat-containing inhibitory domain) (44) was cloned into a pAc expression vector. An N-terminally FLAG-tagged full-length Caudal was cloned into a pAc expression vector. A C-terminally V5-His-tagged full-length Bicoid was cloned into a pAc expression vector. The natural enhancerpromoter *tin* reporter construct encompasses a genomic fragment from -2089 to $+80$ relative to the A $+1$ of the Inr (containing either a wt, or a mDPE), upstream of a firefly luciferase reporter gene. The natural enhancer-promoter *brk* reporter construct encompasses a genomic fragment from -3531 to $+60$ relative to the A+1 of the Inr (containing either a wt, a mDPE, or a mDPE with a TATA box), upstream of a firefly luciferase reporter gene. The natural enhancer-promoter *twi* reporter constructs encompasses a genomic fragment from -2041 to $+50$ relative to the A $+1$ of the Inr (containing either a wt, a mDPE or a mDPE with a TATA box), upstream of a firefly luciferase reporter gene. The natural enhancer-promoter *lea* reporter construct encompasses a genomic fragment from -3931 to $+69$ relative to the A $+1$ of the Inr (containing either a wt, a mDPE, or a mDPE with a TATA box), upstream of a firefly luciferase reporter gene. The *tin* enhancer-*twi* core promoter hybrid promoter was constructed using the *tin* enhancer from -2089 to -138 followed by the *twi* promoter from -134 to $+50$ (with a 3-nucleotide spacer in between), upstream of a firefly luciferase reporter gene.

Luciferase reporter constructs driven by either the *tin*, *brk*, *lea*, or *twi* genomic region with a mutated DPE (in which

nucleotides at positions $+28$ to $+34$ relative to A $+1$ in the Inr were mutated to CTCATGT) or an additional TATA box $(TATAAAA,$ where the upstream T is at position -31 relative to the $A + 1$ of the Inr) were generated using a QuikChange II site-directed mutagenesis kit (Stratagene). DNA sequence-verified fragments that encompass the mutated nucleotides were subcloned into their corresponding locations in the wild-type vectors. Pol III-*Renilla* luciferase reporter was kindly provided by Dr. Norbert Perrimon (Harvard Medical School).

Transient Transfection and Reporter Gene Assays—*Drosophila melanogaster* Schneider S2R+ adherent cells were cultured in Schneider's *Drosophila* medium (Biological Industries) that was supplemented with 10% heat-inactivated FBS. Cells were transfected in 24-well plates by using the Escort IV reagent (Sigma). For Dorsal dose-response dual luciferase assays, cells were transfected with the firefly luciferase reporter constructs (80 ng) and the indicated amounts of a Dorsal expression vector that was supplemented, where necessary, with pAc control expression vector to give a total of 1.5μ g of DNA of expression vector. For assaying the *tin*, *brk*, *twi*, or *lea* reporter constructs with mDPE and an added TATA box, cells were co-transfected with either 0.5μ g of Dorsal, Relish, Bicoid, or Caudal expression vector that was supplemented, where necessary, with pAc control expression vector to give a total of 1.0 μ g of DNA of expression vector, in addition to either *tin* (80 ng), *brk* (80 ng), *lea* (80 ng), or *twi* reporter (20 ng).

To normalize for transfection efficiency, cells were co-transfected with a Pol III-*Renilla* luciferase control plasmid (10 ng). Cells were harvested 36– 48 h post-transfection and assayed for dual luciferase activities, as specified by the manufacturer (Promega). The graphs represent averages of three to four independent experiments.

RESULTS

Identification of DPE Motifs in Core Promoters of Dorsal Target Genes—To discover distinct gene regulatory networks (GRNs) and pathways that are regulated via the core promoter, we have examined the core promoter composition of genes that are involved in early embryonic fly development. This analysis led us to focus on the dorsal-ventral GRN and in particular on Dorsal target genes. The dorsal-ventral GRN is critical for early embryonic development. The network includes multiple genes that are activated by different nuclear concentrations of the Dorsal transcription factor along the dorsal-ventral axis (45– 54). Activation of Dorsal target genes is achieved by the recruitment of Dorsal to the enhancers of these genes, which contain Dorsal-binding sites hundreds or even thousands of base pairs upstream of the transcription start site.

To examine whether core promoter elements play a role in the regulation of Dorsal-target gene expression, we first analyzed the core promoter sequences of Dorsal-target genes. Using the RefSeq database, we have carried out a comprehensive analysis of the core promoter composition of previously identified Dorsal target genes (Refs. 47 and 51 and references therein) (Table 1). Strikingly, the majority of known Dorsal target genes appear to contain Inr and DPE motifs. Specifically, in the mesoderm, where Dorsal nuclear concentration is highest, of a total 30 mesodermal genes analyzed, 17 genes contain

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potential Inr and DPE motifs with high probability (Table 1, Inr and DPE $+++$ and Inr and DPE $++$), including Dorsal target genes critical for mesodermal development such as *tin*, *twi*, *Hbr*, and *Mef2*, and 3 genes contain TATA box, Inr, and DPE motifs. In the neuroectoderm, where Dorsal nuclear concentration is intermediate, 17 genes contain Inr and DPE motifs with high probability, including well characterized Dorsal target genes such as *brk*, *ths*, and *pyr*, and 7 genes contain TATA box, Inr, and DPE motifs of a total 35 neuroectodermal genes analyzed. In the dorsal ectoderm, where Dorsal nuclear concentration is lowest, 10 genes contain Inr and DPE motifs with high probability, including well characterized dorsoectodermal targets such as *dpp* and *tup*, and 9 genes contain TATA box, Inr, and DPE motifs of a total 26 dorsal ectoderm genes analyzed. Overall, 63 of 91 genes analyzed (over 69%) are likely to contain functional DPE motifs.

Computational analyses of the frequencies of *Drosophila* core promoter elements had previously indicated that the DPE is present in \sim 2.1–22% of *Drosophila* core promoters (19, 25, 29). We have calculated the frequencies of core promoter elements among *Drosophila* transcripts that initiate at different chromosomal positions using strict Inr-DPE spacing criteria. We have discovered that 23% of *Drosophila* promoters contain Inr and DPE motifs, whereas 4% contain Inr, DPE, and TATA box motifs (Table 2). The frequency of DPE-containing promoters among Dorsal target genes (69%) is significantly higher than the calculated (23%) and the previously reported frequencies (2.1% to 22%) of DPE in *Drosophila* core promoters (Table 2).

The overall frequency of a TATA box among *Drosophila* transcripts is 18% (Table 2). Interestingly, only a small subset of the Dorsal target genes appear to contain a TATA box and no DPE. In the mesoderm there are no genes that contain only a TATA box, 2 genes that contain a TATA box and an Inr motif, and 3 more genes that contain TATA box, Inr, and DPE motifs. In the neuroectoderm, 1 gene contains a TATA box, there are no genes that contain a TATA box and Inr, and 7 genes contain TATA box, Inr, and DPE motifs. In the dorsal ectoderm, 1 gene contains a TATA box, 3 genes contain a TATA box and Inr, and 9 genes contain TATA box, Inr, and DPE. The overall frequency of TATA box without a DPE motif among Dorsal target genes is less than 8% (7 of 91), and only a few (3 of 65) of the Dorsal targets that are regulated by high or intermediate nuclear concentrations of Dorsal contain a TATA box without a DPE motif, which is significantly lower than the overall frequency of *Drosophila* core promoters containing a TATA box without a DPE motif (13%; Table 2). Taken together, the Inr and DPE motifs appear to be overrepresented in the core promoters of multiple Dorsal target genes.

The DPE Motif in Multiple Drosophila Dorsal Target Genes Is Conserved—To examine whether core promoter elements play a role in the regulation of Dorsal-target gene expression, we have analyzed the sequence conservation of Dorsal target genes that are activated by different nuclear concentration of Dorsal: mesodermal genes that are activated by the highest concentrations of Dorsal, namely *tinman* (*tin*), *twist* (*twi*), *phantom* (*phm*), *rho-like* (*RhoL; Mes1*), and *Multi drug resistance 49* (*Mdr49; Mes5*) (45, 47, 55–58), neurogenic ectoderm genes that

TABLE 1

Core promoter composition of Dorsal target genes

The abbreviations used are: *Acp1, adult cuticle protein; Act57B, Actin57B; Ance, Angiotensin converting enzyme; Argk, Arginine kinase; Asph, Aspartyl beta-hydroxylase; brk, brinker; BRWD3, bromodomain and WD repeat domain containing 3; bun, bunched; cv-2, crossveinless 2; Cyp310a1, Probable cytochrome P450 310a1; DNaseII, Deoxyribonuclease II; doc3, Dorsocross3; dpp, decapentaplegic; Dr, Drop; Dscam, Down syndrome cell adhesion molecule; Ect1, Dorsal target gene in the Dorsal ectoderm 1, eiger; Ect2, Dorsal target gene in the Dorsal ectoderm 2, Dorsocross 1; Ect3, Dorsal target gene in the Dorsal ectoderm 3; Ect4, Dorsal target gene in the Dorsal ectoderm 4; Ect5, Dorsal target gene in the Dorsal ectoderm 5, C15; ed, echinoid; E(spl), Enhancer of split; fog, folded gastrulation; gcm, Glial cells missing; hbr, Heartbroken, Stumps, Dof; hoip, hoi-polloi; htl, heartless; ind, intermediate neuroblasts defective; lea, leak; Lcp65Ag2, larval cuticle protein 65Ag2; loco, locomotion defects; Mbs, Myosin binding subunit; Mdr49, Multi drug resistance 49, Mes5; Mef2, Myocyte enhancer factor 2; Mes 2, Dorsal target gene in the mesoderm 2; Mes 3, Insulin-like peptide 4; Mes 4, Dorsal target gene in the mesoderm 4; Neu2, Dorsal target gene in the neuroectoderm 2; Neu3, Dorsal target gene in the neuroectoderm 3; neur, neuralized; PGRP-SC2, Peptidoglycan-recognition protein-SC2; Phk-3, Pherokine 3; phm, phantom; Pif1A, PFTAIRE-interacting factor 1A; pnr, pannier; ptc, patched; pyr, pyramus; rho, rhomboid; RhoL, rho-like, Mes1; ry, rosy; sca, scabrous; sim, single-minded; sna, snail; Socs36E, Suppressor of cytokine signaling at 36E; sog, short gastrulation; SoxN, SoxNeuro; Sulf1, Sulfated; T3, lethal of scute, l(1) sc; ths, thisbe, Neu4; tin, tinman; tld, tolloid; trbl, tribbles; trh, trachealess; Trim9, tripartite motif-containing 9; tup, tailup; TwdlM, Tweedle M; TwdlN, TweedleN; twi, twist; ush, u-shaped; vn, vein; vnd, ventral nervous system defective; wntD, wnt inhibitor of Dorsal; wts, warts; zen, zerknult; zfh 1, Zn finger homeodomain 1.* Promoters containing Inr and DPE with $++$ or $+++$ score are framed in red.

TABLE 2

Frequency of core promoter elements among Drosophila transcripts that initiate at different chromosomal positions and Dorsal target genes

	Drosophila transcripts		Dorsal target genes		
Combination of core promoter elements	No. of Genes	Frequency	No. of Genes	Frequency	p value
		%		%	
Inr	16,352	82	83	91	< 0.02
TATA	3.494	18	26	29	< 0.01
TATA and no DPE	2.568	13			< 0.15
TATA and no Inr	461				
Inr and DPE	4.628	23	63	69	< 0.0001
Inr. DPE and TATA	836		19	21	< 0.0001
Total	19,865	(100)	91	(100)	

are activated by intermediate levels of Dorsal, namely *brinker* (*brk*), *leak* (*lea*), *SoxNeuro* (*SoxN*), and *vein* (*vn*) (59– 63), and genes that are activated by low levels of Dorsal: *thisbe* (*ths*), *pyramus* (*pyr*) in the neuroectoderm, and *tailup* (*tup*) in the dorsalectoderm (64, 65). In particular, we have searched these genes for both an Inr motif and a DPE motif that matches the functional DPE range set (DSWYVY) (33). Additionally, we

have verified that the DPE motif is precisely located at $+28$ relative to the $A + 1$ of the Inr. Such spacing was previously shown to be critical for the function of DPE-dependent promoters (28, 33). Importantly, none of these genes contain a TATA box.

To assess the potential biological significance of the DPE motifs in the core promoters of these Dorsal target genes, we

A brinker (brk) promoter sequence conservation

B

twist (twi) promoter sequence conservation

FIGURE 2. **The DPE is functional in multiple Dorsal target genes.** The wt and mDPE versions of the indicated core promoters (from $-$ 10 to +40 relative to the A + 1 start site) were subjected to *in vitro* transcription analysis with a *Drosophila* embryo nuclear extract. The resulting transcripts were detected by primer extension-reverse transcription analysis. The previously characterized *Drosophila Antp* P2 (*Antennapedia* downstream promoter) served as a control.

have examined the sequence conservation of these core promoters in the 12 sequenced *Drosophila* species. The Inr and DPE consensus sequences, as well as the Inr-to-DPE spacing in the core promoters of *tin*, *brk*, *twi*, *pyr*, *ths*, *Mdr49* (*Mes5*), *phm*, *RhoL* (*Mes1*), *tup*, *lea*, and *SoxN* are conserved across 12 *Drosophila* species (Fig. 1 and supplemental Fig. S1). *vn* is highly conserved throughout the core promoter sequence but in only five *Drosophila* species (supplemental Fig. S1). Hence, the core promoters of multiple Dorsal target genes appear to contain conserved Inr and DPE motifs that match the DPE functional range set (33).

The DPE Motifs in Multiple Dorsal Target Genes Are Functionally Important for Transcription—To examine whether the conserved DPE motifs are functionally important for transcription of Dorsal target genes, we have cloned both wild-type (wt)

and mutant DPE (mDPE) core promoters (-10 to $+40$ relative to the $A + 1$ of the Inr) of 12 putative DPE-containing Dorsal target genes (namely, *twi*, *brk*, *tin*, *pyr*, *ths*, *Mdr49*, *phm*, *RhoL*, *tup*, *lea*, *soxN*, and *vn*) into the pUC119 vector. The activity of wt and mDPE core promoters was tested by *in vitro* transcription with *Drosophila* embryo nuclear extracts followed by primer extension analysis. Mutations in the DPE motif of each of the core promoters of the aforementioned Dorsal target genes resulted in reduced transcription levels (Fig. 2). Hence, transcription of the core promoters of these 12 Dorsal target genes is highly dependent on the DPE.

Activation of Dorsal Target Genes via the DPE—To examine the effect of core promoter composition on activation of Dorsal target genes in the context of their natural enhancers, we mapped the putative Dorsal DNA binding sites within the

FIGURE 3. **The activation of transcription of the natural** *tinman***,** *brinker***, and** *twist* **promoters in** *Drosophila* **S2R**- **cells by Dorsal and the dependence** of their activation on the DPE motif. *Drosophila* S2R + cells were co-transfected with firefly luciferase reporter constructs containing wt or mDPE promoter, as well as varying amounts of a Dorsal expression plasmid, as indicated. To normalize for transfection efficiency, cells were co-transfected with a Pol III-*Renilla* luciferase control plasmid and assayed for dual luciferase activity. The activities are reported relative to the wild-type promoter in the absence of co-transfected Dorsal expression plasmid, which was defined to be 1. A schematic diagram of the genomic fragments is shown on *top* of *panels A–C*. *A*, transcriptional activation of the natural *tinman* promoter by Dorsal (*n* 3). *B*, transcription activation of the natural *brinker* promoter by Dorsal (*n* 3). *C*, transcription activation of the natural *twist* promoter by Dorsal (*n* 4). *D*, basal transcription levels of *twi*. To enable the visualization of the basal transcription levels of wt and mDPE *twist* reporters, these data are presented in a separate panel. In all panels, *error bars* represent S.E.

enhancers of *tin*, *brk*, and *twi*. Consensus Dorsal DNA-binding sites $(GGG(W)_nCCM$, where $(W)_n$ is four or five repeats of T/A (48)) were identified using TFsearch and JASPAR.

To test the activation of the *tin*, *brk*, and *twi* genes by Dorsal and their dependence on the DPE motif, genomic fragments encompassing either 2.2 kb of the natural *tin* enhancer and promoter, 3.6 kb of the natural *brk* enhancer and promoter (each containing 6 putative Dorsal binding sites), or 2.1 kb of the natural *twi* enhancer and promoter (which contains 15 putative Dorsal binding sites) were subcloned upstream of the firefly luciferase reporter gene (illustrated in Fig. 3). *D. melano*gaster Schneider S2R+ cells were co-transfected, and the transcriptional activity of wt and mDPE reporter genes in the presence of varying amounts of transfected Dorsal expression plasmid was assayed. The transcriptional activity of both *tin* and *brk* is higher in the presence of increasing amounts of transfected Dorsal expression plasmid, as expected (Fig. 3, *A* and *B*). The levels of transcription of the mDPE *tin* and *brk* do not increase in response to increasing amounts of transfected Dorsal, suggesting that the transcription of *tin* and *brk* is highly dependent on the presence of the DPE motif.

The transcriptional activity of *twi* is augmented with increasing amounts of transfected Dorsal expression plasmid, as expected (Fig. 3*C*). The levels of transcription are dependent on the presence of the DPE motif, because the transcriptional activity of mDPE *twi* is significantly lower. There is, however, an

FIGURE 4. **The TATA box motif cannot substitute for the loss of a DPE motif in** *brk* **transcription and can only partially substitute for the loss of a DPE motif in** *twi* **in** *Drosophila* **S2R**- **cells, whereas transcriptional activation of the natural** *leak* **promoter by Dorsal is dependent on the DPE motif and could fully be restored via a TATA box.** *Drosophila* S2R cells were transfected with firefly luciferase reporter constructs containing wt (*columns 1*), mDPE (*columns 2*), or mDPE + TATA box promoter (*columns 3*), as well as a Dorsal expression vector, a Relish expression vector, or an empty vector, as indicated. To normalize for transfection efficiency, cells were co-transfected with a Pol III-*Renilla* luciferase control plasmid and assayed for dual luciferase activity. The activities are reported relative to the wild-type promoter in the absence of co-transfected Dorsal or Relish expression plasmid, which was defined to be 1. *A*, transcriptional activation of the natural *brinker* promoter by Dorsal is dependent on the DPE motif and cannot be compensated via a TATA box (*n* 3). *B*, transcriptional activation of the natural *twist* promoter by Dorsal is dependent on the DPE and could partially be restored via a TATA box (*n* 3). *C*, basal transcription levels of *twi*. To enable the visualization of the basal transcription levels of wt (*columns 1*), mDPE (*columns 2*), and mDPE TATA box (*columns 3*) *twist* reporters (in the absence of transfected Dorsal), these data are presented in a separate panel. *D*, transcriptional activation of the natural *leak* promoter by Dorsal is dependent on the DPE and could fully be restored via a TATA box (*n* 3). In all panels, *error bars* represent S.E.

increase in the mDPE *twi* reporter activity with increasing amounts of transfected Dorsal expression vector. The basal transcription levels (in the absence of transfected Dorsal) of the *twi* reporter constructs are also dependent on the DPE motif (Fig. 3*D*). The basal activity of the wt *twi* promoter was 19-fold higher than that of the mDPE *twi*, whereas the basal activities of both wt *tin* and wt *brk* promoters were 6-fold higher than that of their corresponding mDPE reporters (data not shown).

*The TATA Box Motif Cannot Substitute for the Loss of a DPE Motif in brk Transcription and Can Only Partially Substitute for the Loss of a DPE Motif in twi in Drosophila S2R+ Cells-*Transcription of TATA-dependent genes differs from transcription of DPE-dependent genes in many respects. We demonstrated that mutation of the DPE reduces activation of *brk* and *twi* (Fig. 3). Thus, to examine whether the activation of these genes by Dorsal could occur via a TATA box motif, we have generated reporter constructs containing either *brk* or *twi* natural enhancer-promoter that, in addition to a mutation in the DPE motif, contain a TATA box. Addition of a TATA box to the *brk* natural enhancer-promoter with a mutation in the

DPE motif could not restore transcription levels in the absence or in the presence of transfected Dorsal (Fig. 4*A*).

Interestingly, transfection of Relish, another Rel family transcription factor, which binds the same or nearly identical DNA sequence motifs as Dorsal (66, 67), was able to activate the wt *brk*, albeit to lower levels as compared with Dorsal. Addition of a TATA box to the *brk* natural enhancer-promoter with a mutation in the DPE motif could not restore transcription levels in the presence of transfected Relish (Fig. 4*A*).

Addition of a TATA box to the mDPE *twi* reporter was only able to partially restore activation by transfected Dorsal in the context of the natural enhancer (less than 30%) (Fig. 4*B*). Similarly, addition of a TATA box to the mDPE *twi* reporter was only able to partially restore activation by transfected Relish in the context of the natural enhancer. Interestingly, addition of a TATA box to a *twi* reporter containing a mDPE motif was unable to restore the basal transcription activity in the absence of transfected Dorsal (Fig. 4*C*). The *twi* enhancer contains 15 putative Dorsal binding sites, whereas the *brk* enhancer contains 6 putative Dorsal binding sites. To examine whether*twi* is

less dependent on the DPE because it contains a higher number of putative Dorsal binding sites, we have cloned a genomic fragment encompassing 4.0 kb of the natural *leak* (*lea*) enhancer and promoter, which contains 13 putative Dorsal DNA binding sites. We have also generated *lea* reporter constructs containing either a mDPE motif or a mDPE with a TATA box and have tested their activation by Dorsal and Relish (Fig. 4*D*). A mutation of the DPE reduces activation of *lea* by both Dorsal and Relish. Interestingly, addition of a TATA box to the *lea* reporter containing a mDPE motif was able to restore the basal transcription activity, as well as the activation by transfected Dorsal or Relish (Fig. 4*D*).

Taken together, in *Drosophila* S2R+ cells, *brk*, *twi*, and *lea* promoters are dependent on the DPE motif. Dorsal activates transcription of its targets *brk* and *twi* via the DPE, whereas it activates*lea* via the DPE as well as the TATA box. Furthermore, Relish, which binds the same or nearly identical DNA sequence motifs as Dorsal, is able to activate transcription of Dorsal targets with similar core promoter preference as Dorsal.

The DPE Motif, Which Is Important for the Activity of twi, lea, and brk in Drosophila Nuclear Extracts, Can Be Replaced by a TATA Box—We next sought to examine the dependence of the *twi*, *lea*, and *brk* on the DPE motif in *Drosophila* embryo nuclear extracts, which provide a different experimental system. To this end we have performed *in vitro* transcription reactions of the enhancer-promoter reporters containing wt, mDPE, or mDPE TATA with *Drosophila* embryo nuclear extracts followed by primer extension analysis. Mutations in the DPE motifs of the core promoters of *twi*, *lea*, and *brk* result in reduced transcription levels of the enhancer-promoter constructs (Fig. 5*A*). Hence, transcription of these Dorsal target genes is dependent on the DPE.

The addition of a TATA box to mDPE-containing *twi* and *brk* promoters cannot fully restore the reporter activity of the mDPE constructs in transfected $S2R +$ cells (Fig. 4). The addition of a TATA box to mDPE-containing *twi*, *lea*, and *brk* promoters can, however, restore *in vitro* transcription using *Drosophila* embryo nuclear extracts (Fig. 5*A*). This demonstrates both the strength of the TATA box in general as well as the apparent absence of transcription factors from *Drosophila* S2R + cells. We have analyzed the enhancer of brk for putative binding sites of transcription factors that are expressed in 0-12-h embryos but are not expressed in S2R + cells. The *brk* enhancer contains 19 putative Bicoid binding sites and 60 putative Caudal bindings sites (JASPAR). To examine whether Caudal or Bicoid could activate transcription of a mDPE $+$ TATAcontaining brk reporter, we co-transfected S2R+ cells with natural *brk* reporter constructs containing wt, mDPE, or mDPE TATA box promoter, as well as expression vectors of either Dorsal, Bicoid, or Caudal (Fig. 5*B*). As expected, Caudal, which has previously been shown to be a preferential DPE-specific transcription factor (68), was able to activate the wt *brk* promoter but was unable to activate transcription of mDPE or $mDPE + TATA$ -containing promoters. Interestingly, Bicoid was able to activate transcription of the wt *brk* reporter. Unlike Dorsal and Caudal, Bicoid does not have such core promoter preference because it activates the mDPE reporter and to some extent, also the mDPE + TATA *brk* reporter. It is of note that

FIGURE 5. **Transcription of the natural** *twist***,** *leak***, and** *brinker* **promoters is dependent on the DPE motif but could be restored via a TATA box using** *Drosophila* **embryo nuclear extracts, which contain transcription factors that are absent from** *Drosophila* **S2R**- **cells.** *A*, the natural enhancer-promoter constructs of *twist*, *leak*, and *brinker* containing either wt, mDPE, or mDPE TATA motifs were subjected to *in vitro* transcription analysis with a *Drosophila* embryo nuclear extract. The resulting transcripts were detected by primer extension-reverse transcription analysis. *B*, unlike Dorsal and Caudal, Bicoid does activate the mDPE reporter and to some extent, also the mDPE+TATA *brk* reporter. *Drosophila* S2R + cells were transfected with natural *brinker* promoters firefly luciferase reporter constructs containing wt (*columns 1*), mDPE (*columns 2*), or mDPE TATA box promoter (*columns 3*), as well as expression vectors of either Dorsal, Bicoid, Caudal, or an empty vector, as indicated. To control for transfection efficiency variations, cells were cotransfected with a control *Renilla* luciferase reporter and assayed for dual luciferase. In both panels, $n = 3$ and *error bars* represent S.E.

Bicoid activates its natural target gene *giant*, which contains functional TATA box, Inr, and DPE motifs, regardless of its core promoter composition (data not shown). Hence, a factor other than Caudal or Bicoid accounts for the transcription of the *brk* reporter containing a mDPE $+$ TATA box using embryo nuclear extracts.

The Core Promoter Composition Is an Important Contributor to the Transcriptional Output—To determine the contribution of the core promoter to the transcriptional output, we have generated hybrid enhancer-promoter constructs with the *tin* enhancer and *twi* core promoter and have tested their activities. Co-transfection of a *tin* enhancer-*twi* core promoter hybrid reporter and increasing amounts of Dorsal expression vector to

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FIGURE 6. **The core promoter is a key contributor to the transcriptional output.** *Drosophila* S2R+ cells were transfected with firefly luciferase reporter constructs containing hybrid enhancer-promoters with either wt, m DPE, or MDPE $+$ TATA core promoter, as well as varying amounts of a Dorsal expression plasmid, as indicated. To normalize for transfection efficiency, cells were co-transfected with a Pol III-*Renilla* luciferase control plasmid and assayed for dual luciferase activity. The activities are reported relative to the wild-type promoter in the absence of co-transfected Dorsal expression plasmid, which was defined to be 1. A schematic diagram of the hybrid genomic fragments is shown on *top*. *A*, transcriptional activation of the hybrid *tinman* enhancer-*twist* core promoter constructs by Dorsal ($n = 3$). *B*, the hybrid *tinman* enhancer-*twist* core promoter constructs containing either wt, mDPE, or mDPE TATA motifs, were subjected to *in vitro* transcription analysis with a *Drosophila* embryo nuclear extract. The resulting transcripts were detected by primer extension-reverse transcription analysis.

 $S2R +$ cells resulted in an overall Dorsal activation that is typical for the *tin* enhancer (compare Figs. 3*A* and 6*A*). The *tin* enhancer-*twi* core promoter hybrid reporters containing mDPE or mDPE $+$ TATA can be somewhat activated by Dorsal, as we have observed for *twi* enhancer-promoter reporters (Figs. 3, 4, and 6*A*). Hence, the ability of Dorsal to activate the mDPE or mDPE $+$ TATA hybrid promoters in S2R $+$ cells relies on the core promoter in addition to the enhancer.

We next compared the activity of the hybrid reporters using *Drosophila* embryo nuclear extracts. As can be seen in Fig. 6*B*,

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the transcription activities of the hybrid *tin* enhancer-*twi* core promoter reporter constructs using embryo nuclear extracts are very similar to the activities of the natural *twi* enhancerpromoter constructs (Fig. 5*A*), suggesting that even though the enhancers are present in these constructs, the activity of the constructs in the embryo nuclear extracts is dictated by the core promoters. Collectively, the analysis of the hybrid enhancerpromoter constructs in both $S2R +$ cells and nuclear extracts derived from *Drosophila* embryos highlights the contribution of the core promoter to the transcriptional output.

DISCUSSION

The DPE Is a Transcriptional Element Shared by Many Dorsal Target Genes—In this study we demonstrate that the DPE is an important, conserved transcription element shared by multiple Dorsal target genes, which comprise the dorsal-ventral gene regulatory network. Specifically, we have shown that over two-thirds of the known Dorsal target genes contain DPE motifs, which is significantly higher than the percentage of DPE promoters in *Drosophila* genes (19, 25, 29). Remarkably, only less than 8% of the Dorsal target genes contain TATA box elements without DPE motifs. The DPE is most prevalent in mesodermal Dorsal targets. The number of DPE containing genes decreases in the neuroectoderm and further decreases in the dorsal ectoderm, where the Dorsal nuclear concentration is lowest. On the other hand, the number of genes containing a TATA box is higher in regions where Dorsal nuclear concentration is decreased. The occurrence of the DPE in many developmentally regulated genes (68), as well as our analysis of the frequencies of core promoter elements in *Drosophila* genes (Table 2) and the identification of DPE motifs in the majority of Dorsal targets, imply that the DPE is not randomly distributed in \sim 23% of the genes; rather it is enriched in specific GRNs and pathways.

Regulation of twi, lea, and brk via the Core Promoter—We have examined the transcription of the natural enhancers and promoters of *twi*, *lea*, *tin*, and *brk* in *Drosophila* Schneider $S2R +$ cells and have discovered that the basal transcription levels of *twi*, *lea*, *tin*, and *brk* in the absence of ectopically expressed Dorsal are highly dependent on the DPE motif (Figs. 3 and 4).

We show that the DPE core promoter motif is an important regulatory component in Dorsal target genes in $S2R +$ cells. The *brk* and *twi* core promoters are dependent on the DPE motif and could not be fully activated in $S2R +$ cells by an added TATA box, whereas the *lea* core promoter is functionally dependent on the DPE, but its activity could be restored via an added TATA box.

Unlike the results obtained using *Drosophila* S2R+ cells, *in vitro* transcription analysis using nuclear extracts derived from *Drosophila* embryos has demonstrated that the DPE motif, which is important for the transcriptional activity of *twi*, *lea*, and *brk*, can be replaced by a TATA box. This demonstrates the strength of the TATA box, which can restore transcription of some mDPE-containing promoters. Nevertheless, the TATA box is only naturally used in a minority of Dorsal target genes.

Importantly, the mDPE and mDPE TATA reporter constructs of*twi*, *brk*, and *lea* have comparable strength *in vitro*, yet

they do not have the same strength in $S2R +$ cells. This suggests that there are additional DPE specificity factors in the cells. The identification of such DPE specificity factor(s) that act in the activation of *twi* and *brk*, but not in *lea*, awaits future investigation. Taken together, our data indicate that the core promoter contributes to the overall transcription levels and adds an important regulatory dimension to the complex dorsal-ventral gene network.

Not All Sequence-specific Transcription Factors Activate Their Target Genes in a Core Promoter Preferential Manner— In this study we have demonstrated that multiple Dorsal target genes are dependent on the DPE and that Dorsal has the ability to preferentially activate some of its targets via the DPE. It is interesting to note that Relish, another Rel family transcription factor, which is important for the activation of the Imd (Immune deficiency) pathway of innate immunity and binds the same or nearly identical DNA sequence motifs as Dorsal, activates transcription in a similar manner to Dorsal, albeit to a lower extent, presumably because of the lack of additional factors that might be missing in the $S2R +$ cells in which the Imd pathway has not been activated (Fig. 4).

In a separate study, we have observed that the maternal sequence-specific transcription factor Bicoid activates its natural target gene *giant*, which contains functional TATA box, Inr, and DPE motifs, regardless of its core promoter composition (data not shown). In this study we show that Bicoid activates the mDPE *brk* reporter (Fig. 5*B*), highlighting the fact that the ability of Dorsal, Relish, and Caudal to activate transcription of some of their target genes with a preference for the core promoter composition is not a general property of sequencespecific transcription factors; rather, it is a unique feature of these specific transcription factors.

DPE Transcription May Provide a Specialized Transcription System Directed toward Development—The present study demonstrates that the DPE is broadly used in the regulation of genes that mediate the formation of the dorsal-ventral axis during early embryonic development. Furthermore, our findings highlight the importance of the core promoter, in addition to sequence-specific DNA binding motifs in enhancers, in the regulation of gene expression. We have previously demonstrated that the core promoters of the majority of the Hox genes, which are key regulators of the development of the embryonic body plan, contain functional DPE motifs (68). We have also shown that Caudal, a key regulator of the Hox gene network and a sequence-specific enhancer binding transcription factor, activates transcription with a distinct preference for the DPE over the TATA box (68). We now propose that the prevalence of the DPE in developmentally regulated genes provides these genes with certain advantages in the complex regulation of gene expression (*e.g.* kinetics of responsiveness to specific signals).

The concept of a specialized transcription system has been articulated with regards to the TCT core promoter element, which has been shown to play a key role in a system that is directed toward the synthesis of ribosomal proteins (20). Transcription of TATA-dependent genes is different from transcription of DPE-dependent genes in many respects, *e.g.* the necessary basal transcription factors, the existence of core promoter-specific enhancers, and the necessity for TBP for TATA

transcription as opposed to DPE transcription, where TBP exerts inhibitory effects. Taken together, DPE transcription may be regarded as a specialized transcription system that is directed toward development.

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