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# NusG/Spt5: Are there Common Functions of this Ubiquitous Transcription Elongation Factor?

#### Alexander V. Yakhnin and Paul Babitzke

Department of Biochemistry and Molecular Biology, Center for RNA Molecular Biology, Pennsylvania State University, University Park, PA 16802

## Summary

NusG/Spt5 is a transcription elongation factor that assists in DNA-templated RNA synthesis by cellular RNA polymerases (RNAP). The modular domain composition of NusG/Spt5 and the way it binds to RNAP are conserved in all three domains of life. NusG/Spt5 closes RNAP around the DNA binding channel, thereby increasing transcription processivity. Recruitment of additional factors to elongating RNAP may be another conserved function of this ubiquitous protein. Eukaryotic Spt5 couples RNA processing and chromatin modification to transcription elongation, whereas bacterial NusG participates in a wide variety of processes, including RNAP pausing and Rho-dependent termination. Elongating RNAP forms a transcriptional bubble in which ~12 bp of the two DNA strands are locally separated. Within this transcription bubble the growing 3'-end of nascent RNA forms an 8-9 bp long hybrid with the template DNA strand. Because of their location in the transcriptional bubble, NusG and its paralog RfaH recognize specific sequences in the nontemplate DNA strand and regulate transcription elongation in response to these signals.

Five core subunits of RNA polymerases (RNAP) and the only ubiquitous transcription elongation factor, NusG/Spt5, are conserved in all three domains of life. Bacterial NusG and archaeal Spt5 proteins consist of an N-terminal domain (NGN) and a C-terminal Kyprides– Onzonis–Woese domain (KOW) [1,2]. These two domains are separated by a flexible linker. Eukaryotic Spt5 contains several copies of the KOW domain and additional N- and Cterminal sequences that are absent in prokaryotic homologues [3]. Archaeal and eukaryotic Spt5 forms a heterodimeric complex with a small zinc-binding protein, Spt4 (also known as RpoE" in archaea), through its NGN domain [4]. Bacteria lack a Spt4-like protein. NusG/ Spt5 binds to RNAP through its NGN domain, whereas the  $\beta$  barrel KOW domain(s) recruit additional regulatory factors to RNAP (Fig. 1) [5]. Multiple copies of the KOW domain in eukaryotic Spt5 may allow the recruitment of a larger number of transcription factors.

NusG and the sigma subunit, a specificity factor that participates in transcription initiation at promoters, compete for RNAP binding [6]. NusG is recruited to elongating *E. coli* RNAP in

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Corresponding author: Paul Babitzke (pxb28@psu.edu).

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a stochastic fashion following sigma factor release and the ratio of NusG/RNAP is greater in the distal portions of some long transcription units [7]. NusG participates in transcription termination through interaction of its C-terminal KOW domain with the termination factor Rho [8,9]. Together with Rho, E. coli NusG inhibits expression of horizontally acquired ATrich operons [10] and antisense transcripts [11<sup>•</sup>]. Some specific sequences in nascent transcripts of ribosomal RNA operons and bacteriophage lambda lytic operons direct formation of multiprotein complexes that contain NusG. Such protein-RNA complexes modify RNAP to a fast-transcribing termination-resistant state [12,13]. Paradoxically, bacteriophage HK022 orchestrates formation of a NusG-containing protein complex of similar composition that causes transcription termination at specific sequences [14]. Interestingly, another component of these termination and antitermination complexes is NusE, which is identical to ribosomal protein S10. NusG retains the ability to bind S10 as an integral part of the small ribosomal subunit. This interaction may recruit a ribosome to the nascent mRNA, thereby coupling transcription and translation, a hallmark of bacterial gene expression [8]. Although Rho binds NusG with much higher affinity, competition between S10 and Rho for NusG is apparently sufficient to protect translated transcripts from Rhomediated termination. Transcribing RNAP is prone to random or programmed pausing that may be followed by backward sliding along DNA and RNA (backtracking) [15,16,17]. Translation positively affects transcription by reducing pausing and backtracking of RNAP [18]. The eukaryotic Spt4-Spt5 complex appears to couple RNA processing and chromatin modification to transcription elongation [19].

Rho is essential for *E. coli* survival [20], while participation in Rho-dependent silencing of the toxic *kil* gene from an integrated *rac* prophage is the only essential function of NusG in this organism. Although deletion of the *kil* gene from the chromosome makes NusG dispensable, the *nusG* knockout strain exhibits a growth defect [10]. Both NusG and Rho are dispensable in *B. subtilis* [21]. Evidently, coupling of transcription and translation and facilitation of Rho-dependent termination are not critical functions of NusG.

*E. coli* and other proteobacteria contain a NusG paralog called RfaH. In contrast to NusG, RfaH is an operon-specific antitermination factor. RfaH binds to RNAP transcribing horizontally transferred genes that contain the 12 nt-long sequence called *ops* (operon polarity suppressor) [22]. Although amino acid sequences of the C-terminal domains of RfaH and NusG are similar, the C-terminal domain of RfaH forms a different  $\alpha$  helix structure that lacks flexibility and blocks the NGN domain from interaction with RNAP. However, interaction of the RfaH NGN domain with the *ops* sequence releases the C-terminal domain, which then completely refolds into a  $\beta$  barrel identical to that of the NusG KOW domain [23<sup>••</sup>]. The refolded KOW domain of RfaH can interact with ribosomal protein S10 but not with Rho. Thus, RfaH-mediated coupling of transcription and translation and reduced Rho-dependent termination leads to higher expression of otherwise poorly expressed *ops* operons [23<sup>••</sup>]. No other specialized NusG/Spt5 paralog has been identified in other organisms in which the single protein functions as a general transcription elongation factor and may fulfill some sequence-specific responses.

It is apparent that NusG/Spt5 can function as a positive or negative transcription elongation factor. In *Drosophila*, the Spt4-Spt5 complex, also known as DSIF, participates in

widespread promoter-proximal pausing of RNA polymerase II as a component of a multiprotein complex that includes the negative elongation factor NELF. Although such interacting partners may obscure the direct effect of NusG/Spt5, the large *Drosophila* Spt5 protein was shown to directly contact the nascent transcript [24]. The smaller bacterial NusG and archaeal Spt4-Spt5 proteins may be capable of contacting nascent transcripts that have reached 25-30 nucleotides in length such that they have emerged well beyond the RNA exit channel [25].

NusG accelerates transcription by suppressing RNAP pausing, and this activity is viewed as a general mechanism of increasing processivity of the enzyme [26]. Archaeal Spt4-Spt5 also stimulates transcription processivity by binding to the clamp domain of RNAP [27]. The archaeal Spt4-Spt5 complex with RNAP revealed that binding of Spt4-Spt5 on the RNAP clamp domain would completely encircle the DNA binding channel of RNAP, thereby providing an explanation for how NusG/Spt5 is able to enhance transcription processivity [28]. Similar conclusions were reached in another structural study with archaeal Spt4-Spt5 bound to the isolated clamp domain [25]. In stark contrast to its general antipausing activity, NusG dramatically stimulates pausing at two pause sites in the untranslated leader of the B. subtilis trp operon [29<sup>••</sup>]. These two regulatory pause sites participate in transcription attenuation and translational control mechanisms, respectively [30,31]. Both pause sites have similar upstream sequences that dictate the precise position of pausing [32]. Transcription elongation complexes reconstituted in vitro with nucleic acid scaffolds revealed that NusG makes sequence-specific contacts with a T-rich sequence in the nontemplate DNA strand within the paused transcription bubble (A.V.Y. and P.B., unpublished results) (Fig. 1). This finding is consistent with a cryoelectron microscopy structure of archaeal Spt4-Spt5 bound to RNAP, which placed the Spt5 NGN domain in close proximity to the nontemplate DNA in the transcription bubble [28]. This pattern of sequence recognition is reminiscent of RfaH-stimulated pausing at ops sites in E. coli, which results in suppression of downstream pause signals [33]. However, it is not known whether B. subtilis RNAP becomes qualitatively modified once it escapes from the long-lived trp leader pause sites.

NusG is thought to suppress pausing by stabilizing the closed conformation of the RNAP clamp domain [25,28,34<sup>•</sup>]. *E. coli* NusG interacts with the clamp helices of the  $\beta$ ' subunit of RNAP. Since NusG from *B. subtilis* and *E. coli* compete for RNAP binding, it appears that both proteins interact with RNAP similarly [29<sup>••</sup>]. Therefore, stabilizing the closed conformation of the clamp domain of RNAP does not explain the pause-enhancing activity of *B. subtilis* NusG. We envision a mechanism in which *B. subtilis* NusG binds simultaneously to RNAP and the nontemplate DNA strand of the paused transcription bubble (Fig. 1). As these two components must move with respect to one another for elongation to resume, simultaneous interaction of NusG with both components would inhibit elongation, leading to a long-lived pause. This model is reminiscent of a translocation barrier model as a step towards entrance into a pause state [35<sup>•</sup>]. Interestingly, archaeal Spt5 and the Spt4-Spt5 complex also compete with NusG for *B. subtilis* RNAP binding (A.V.Y. and P. B., unpublished results), further highlighting the evolutionary conservation of NusG/ Spt5 binding to RNAP. Perhaps NusG/Spt5 proteins from all organisms stimulate pausing at

certain sites by interacting with specific sequences found within the nontemplate DNA strand of the transcription bubble (Fig. 1).

The NGN domains of *E. coli* NusG and RfaH are sufficient to fulfill the antipausing effect of the full-length proteins [5,36]. Similarly, the NGN domain of *B. subtilis* NusG is capable of stimulating pausing (Fig. 1). Our preliminary studies indicate that the amino acid sequences of two short regions within the NGN domain are primarily responsible for specific recognition of the *trp* pause signals by *B. subtilis* NusG (A.V.Y. and P.B., unpublished results). The finding that these amino acid sequences are not conserved in *E. coli* NusG may explain why the *E. coli* protein is not capable of stimulating pausing at the *B. subtilis trp* pause sites.

The structure of RNAP is under strong evolutionary pressure to maintain different conformations that participate in transcription initiation and elongation, as well as for proper transitions between these conformations. Transcription elongation factors like NusG recognize and bind only elongating RNAP. Evidently NusG, as a part of the elongation complex, is readily distinguishable from free NusG making it well suited to conduct signals and execute regulation specific for transcription elongation. Not surprisingly, many additional elongation and termination factors are recruited to RNAP through NusG/Spt5, which may obscure or override the direct effect of NusG/Spt5 on transcription.

Recently published results provided indirect evidence of NusG participation in genome stability. RNAP backtracking-mediated DNA double-strand breaks can be prevented by translation of nascent RNA, Rho-dependent termination of untranslated transcripts, and increased processivity of transcription [37]. NusG stimulates all three of these activities. Another conserved role of NusG/Spt5 in maintaining genome stability may be protection of the nontemplate DNA strand in the transcription bubble (Fig. 1). Crystallographic studies of transcription elongation complexes did not resolve the position of the nontemplate DNA strand in the transcription bubble, indicating that this DNA segment is highly flexible. However, biophysical and computational studies resulted in a structural model containing all nucleic acid regions within the elongation complex [38]. NusG binds near the upstream end of the transcription bubble ([29"] and A.V.Y. and P.B., unpublished results) and the upstream half of the nontemplate DNA is normally exposed and sensitive to digestion by a single strand-specific nuclease [39]. Perhaps NusG/Spt5 protects this DNA from undesirable activation of a variety of DNA repair systems that are stimulated by single-stranded DNA. Therefore, NusG/Spt5 may partially release the transcription-associated stress on genome stability. In this case, one would expect that NusG becomes especially important under genotoxic conditions.

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# Highlights

• NusG/Spt5 is the only universally conserved transcription elongation factor.

- NusG/Spt5 recruits other elongation and termination factors to RNAP.
- NusG increases the processivity of transcription by suppressing RNAP pausing.
- Bacterial NusG recognizes specific sequences in the nontemplate DNA.
- Bacterial NusG stabilizes certain paused transcription elongation complexes.





RNAP (gray) separates the template and nontemplate DNA strands in the transcription bubble. The template strand forms an RNA-DNA duplex with the nascent transcript (red) near the active site of RNAP. Binding of the NGN domain of NusG (purple) to RNAP increases transcription processivity. The NGN domain also recognizes sequence-specific signals in the displaced nontemplate DNA strand, leading to pause stabilization. The pause hairpin further increases the pause duration. The KOW domain recruits additional transcription factors to RNAP.