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## Clinical Outcomes in Metastatic Renal Cell Carcinoma Patients Treated with Alternative Sunitinib Schedules

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### Abstract

**Purpose**—To identify sunitinib alternative schedules (AS) that maintained dose intensity while decreasing adverse events (AEs) in patients with metastatic renal cell cancer (mRCC), and to determine impact of AS on clinical outcomes.

**Patients and Methods**—A retrospective review of patients > 18 yr of age with clear-cell mRCC who received first-line sunitinib between 1/26/06 and 3/1/11 at a major Comprehensive Cancer Center. Subset of patients switched at first intolerable AE from traditional schedule (28 d on, 14 d off; TS) to 14 d on/7 d off schedule or other AS. Control group underwent standard dose reduction. Progression-free survival (PFS) and overall survival (OS) were estimated by the Kaplan-Meier method. Predictors of PFS and OS were analyzed using Cox regression.

**Results**—One hundred eighty five patients were included for analysis; 87% were on TS at baseline. During treatment, 53% of patients continued TS and 47% initiated or transitioned to AS. Baseline characteristics were similar. AEs prompting schedule modification included fatigue (64%), hand-foot syndrome (38%) and diarrhea (32%). Median time to AS was 5.6 mo. Median OS was 17.7 mo (95% confidence interval [CI], 10.8-22.2) on TS compared to 33.0 mo (95% CI, 29.3- not estimable) on AS ( $P < 0.0001$ ). By multivariable analysis; poor ECOG PS, increased LDH, decreased albumin, unfavorable Heng criteria, and TS are associated with decreased OS ( $P < 0.05$ ).

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**Conclusion**—Sunitinib administered on AS may mitigate AEs and has comparable outcomes as TS for mRCC patients. Prospective investigations of alternate dosing schemas are warranted.

### Keywords

renal cell carcinoma; schedule; sunitinib; toxicity

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## Introduction

Sunitinib is a therapy option for metastatic renal cell carcinoma (mRCC) and was US FDA approved as a fixed, 50 mg per d oral dose given 28 d on, 14 d off (traditional schedule; TS) per a 6 week cycle [1]. In a pivotal phase III clinical trial, sunitinib demonstrated superior efficacy compared to interferon alpha in untreated patients with mRCC. In addition, patients treated with sunitinib also reported superior quality of life despite greater dose interruptions, dose reductions, and adverse events (AEs) [1, 2].

Sunitinib must be maintained for continued disease control and higher exposure has been associated with improved response, time to progression (TTP), and overall survival (OS) [3]. TS was selected for investigation based on bone marrow and adrenal toxicities observed in animal models [4]. However, the optimal algorithm for schedule and dose modifications to manage or prevent AEs is unknown.

Two phase II clinical trials demonstrated antitumor activity with sunitinib 37.5 mg continuous daily dosing with manageable AEs [5, 6]. Motzer and colleagues [7] reported the results of the Renal EFFECT Trial, a phase II trial where patients with mRCC were randomized to sunitinib 50 mg per d on TS or 37.5 mg continuous daily dosing for up to 2 yr. No significant difference was demonstrated between arms for TTP, OS, AEs, or disease related symptoms. However, TS was statistically superior in time to deterioration, a composite end point. The authors concluded that adherence to TS is optimal.

There are limited published series of patients with mRCC who receive sunitinib on an alternative schedule (AS). Herein, we sought to retrospectively evaluate the clinical outcomes and AE rates associated with AS compared to TS.

## Patients and Methods

After Institutional Review Board approval, we conducted a retrospective evaluation of mRCC patients at the University of Texas M.D. Anderson Cancer Center (MDACC) between 1/26/2006 to 3/1/2011. Patients 18 yr of age or older with clear cell mRCC, who received first-line anti-angiogenic therapy with sunitinib and available for adequate follow-up (at least one clinic visit at MDACC within 3 mo of sunitinib initiation) were included. Patients were stratified according to schedule at the time of sunitinib discontinuation to TS or AS group (14 days on then 7 days off, 7 days on then 3 days off alternating with 7 days on then 4 days off, or other AS). In addition, patients received best supportive care for the management of AEs. Given the retrospective study design, assignment to schemas and response assessment was at physician discretion. Additional subset analyses included; 1)

patients on TS compared to AS at sunitinib initiation, and 2) patients maintained on TS compared to AS beyond the median time to schedule change from TS to AS.

Institutional electronic medical records were used to extract patient clinical information. AEs were graded by the National Cancer Institute Common Terminology Criteria for Adverse Events (CTCAE) version 4.0 [8]. An independent, blinded radiology review was conducted to assess the radiographic response to sunitinib using Response Evaluation Criteria in Solid Tumors (RECIST) 1.1 [9].

### Statistical analysis

Patient characteristics were summarized using median and range for continuous variables and frequency and percentage for categorical variables. All statistical tests were two-sided and p-values less than 0.05 were statistically significant. To assess the difference between dosing schedules, Wilcoxon rank-sum tests were used for continuous variables and Fisher's exact tests were used for categorical patient variables.

Time on treatment (TOT) was calculated from date of sunitinib initiation to discontinuation or death from any cause. Progression-free survival (PFS) was calculated from date of sunitinib initiation to tumor progression by RECIST or death from any cause. OS was calculated from date of sunitinib initiation to death from any cause. Patients alive and without progression of disease on the last day of sunitinib were censored on that day. The Kaplan-Meier method was used to estimate **TOT**, PFS, and OS. The Log-rank test was used to assess the difference between the two schedule cohorts. Cox regression models assessed the association between patient characteristics, dosing schedules, and OS or PFS; with goodness-of-fit assessed by the Grambsch-Therneau test and martingale residual plots. Based on the fitted univariate Cox models, variables with *P* value < 0.10 were included in the full multivariable Cox model. The final multivariable Cox model was obtained by a backward elimination procedure, where variables with *P* values < 0.05 were statistically significant. All computations were by SAS (version 9.3) and Splus (version 8.2).

## Results

### Patients

We identified 185 patients who met the study's inclusion criteria (Figure 1). Ninety-eight patients (53%) were maintained on TS throughout sunitinib therapy, whereas 87 patients (47%) were initiated or transitioned to AS before sunitinib discontinuation. Demographic and baseline characteristics were similar between treatment arms (Table 1). A subset of 24 patients who started on AS demonstrated equivalent baseline characteristics to the TS group (supplementary Table S1).

### Treatment

At baseline, 161 patients (87%) were on TS and 24 patients (13%) were on AS. Majority of patients (87%) were initiated on sunitinib 50 mg dose. At a median of 5.6 mo (range 1 - 50.5), 63 patients transitioned from TS to AS. Common sunitinib AS included 14 d on then 7 d off (82%), 7 d on then 3 d off alternating with 7 d on then 4 d off (8%), or other AS

(9%). Dose intensity was maintained in the majority of patients upon transition to AS; 79% stayed on 50 mg and 21% were on less than 50 mg. At discontinuation, dose intensity was similar among the treatment cohorts; 67% of patients on TS and 54% on AS were on 50 mg, 22% and 30% were on 37.5 mg, and 10% and 16% were on 25 mg, respectively ( $P = 0.21$ ). In subset analysis of patients who did not switch schedule schemas from baseline, TS ( $n = 98$ ) vs AS ( $n = 24$ ), dose intensity was comparable; 67% of each cohort on 50 mg, 22% of TS and 21% of AS on 37.5 mg, and 10% and 13% on 25 mg ( $P = 0.94$ ), respectively.

### Adverse Events

Of 161 patients initiated on TS, 63 patients had AEs with management that included transition to AS. Common AEs (all grades) associated with schedule modifications are presented in Table 2. Grade 3 or 4 toxicities were rare and included fatigue (10%), hand foot syndrome (8%), and diarrhea (5%). The incidence of the AEs decreased with the transition to AS. The incidence of AEs at next clinic follow-up was less than 30% for all AEs after transition from TS to AS with a median time to follow-up after transition from TS to AS of 1.8 mo.

### Efficacy

**TOT**—The median TOT for patients maintained on TS was 4.1 mo (95% CI, 2.9-4.7) compared to 13.6 mo (95% CI, 9.4-16.1) for patients who were initiated or transitioned to AS ( $P < 0.0001$ ).

**PFS**—Among the 185 patients assessed, 158 patients (85%) had progressed or died at last follow-up with a median PFS of 9 mo (95% CI, 6.4-12; Figure 2a). Median PFS for patients treated with TS was 4.3 mo (95% CI, 3.4-6.4) and was 14.5 mo for patients treated with AS (95% CI, 11.3-19.4;  $P < 0.0001$ ; Figure 2b). Predictive variables of PFS by Univariate Cox proportional hazards models included time to systemic therapy less than one yr, no prior nephrectomy, increased lactate dehydrogenase (LDH), decreased albumin, increased corrected calcium, decreased hemoglobin, poor ECOG PS (Eastern Cooperative Oncology Group performance status), Heng poor prognostic category, increased number of metastases, and TS compared to AS. Based on the final fitted multivariable Cox model for PFS; higher LDH, lower albumin, Heng poor prognostic category, and sunitinib with TS are associated with an increased risk of disease progression (Table 3). The median PFS was 11.6 mo (95% CI, 5.8-18.3;  $P = 0.11$ ; supplementary Figure S1a) for the 24 patients who were initiated on AS at baseline, and AS was a favorable predictor of PFS (supplementary Table S2). One hundred twenty-two patients received therapy beyond the median time to change in schedule (5.6 mo); 41 patients on TS and 81 patients on an AS. The median PFS of patients treated with TS beyond the median time to change in schedule was 9.5 mo compared to 14.7 mo for patients on an AS (95% CI, 1.03-2.43;  $P = 0.03$ ; supplementary Figure S2a); again, AS was a favorable predictor of PFS in this subset analysis (supplementary Table S3).

**OS**—At last follow-up, 128 patients (69%) had died with median OS of 25.6 mo (95% CI, 21.4-31.6; Figure 2c). The median OS was 17.7 mo (95% CI, 10.8-22.2) in patients treated with TS; while in patients treated with AS the median OS was 33.0 mo (95% CI, 29.3-not estimable;  $P < 0.0001$ ; Figure 2d). Predictive variables for OS by univariate Cox

proportional hazards models are presented in supplementary Table S4. Based on the final fitted multivariable Cox model for OS; poor ECOG PS, higher LDH level, lower albumin level, Heng poor prognostic category, and TS are associated with an increased risk of death (Table 4). In patients started on AS, 14 out of 24 patients died and the median OS was 27.7 mo (95% CI, 21.2-not estimable;  $P = 0.08$ ; supplementary Figure S1b) and AS was associated with favorable OS (supplementary Table S5). In patients treated beyond the median time to schedule change, the median OS for patients on TS was 35.1 mo, compared to 33.5 mo for patients on an AS (95% CI, 0.69-1.81;  $P = 0.6$ ; supplementary Figure S2b). In this subset analysis, AS was not predictive of OS (supplementary Table S6).

## Discussion

Sunitinib is a commonly used agent for the treatment of mRCC with efficacy equivalent or superior to most other agents [2, 11-15]. A challenge with sunitinib is to minimize toxicity and maximize quality of life for patients without compromising efficacy. Data suggest that maximizing dose intensity and area under the curve may increase efficacy [3, 7, 12, 16, 17]. AEs are a substantial barrier to maintaining sunitinib dose intensity. Phase III data demonstrate nearly 50% of patients require dose reductions or interruptions due to AEs [2]. AEs increase during each individual cycle and are worst in the third and fourth week [21]. If the key determinant of benefit from sunitinib is the intensity of exposure, and steady state is achieved quickly, than taking earlier but shorter treatment breaks may permit the same efficacy without exceeding normal tissue tolerance.

Houk et al. [3] demonstrated a relationship between area under the curve of sunitinib and clinical outcome in 639 patients, of whom 443 had pharmacokinetic data. There was a significant association between exposure and the probability of a response in mRCC patients ( $P < 0.01$ ). A positive relationship was identified between exposure and incidence, but not severity of fatigue.

In addition, a positive relationship was identified between diastolic blood pressure changes and total drug (sunitinib and SU12662) exposure. These data suggest that higher exposure is linked to improved outcome, but does not inform us whether a particular schedule is superior. Rini et al. [18] reported the development of hypertension was linked to superior OS in 544 patients treated with sunitinib in several clinical trials. Furthermore, antecedent hypertension was an independent predictor of improved outcome; suggesting there are inherent, dose-independent, host-specific characteristics that predict for duration of response and OS in these patients. Poprach and colleagues [22] described that skin toxicity was associated with improved PFS and OS in patients treated with sunitinib or sorafenib. The fact that AEs were linked to efficacy leads to the supposition that higher grade of toxicity is necessary for increased efficacy. An alternate hypothesis is the development of AEs identifies patients with inherent pharmacokinetic and pharmacodynamics characteristics that predispose to clinical benefit, but that toxicities can be managed using schedule changes without diminishing clinical benefit. In our current study, we show that altering dosage schedule AS **may** mitigate toxicity, yet does not appear to decrease efficacy. This raises the possibility that toxicity is a marker of a response phenotype, but maintaining a higher level AEs is not necessary to achieve clinical benefit.

In an effort to identify AS of sunitinib that maintain efficacy and mitigate toxicity, several studies have been published. A phase II trial comparing 37.5 mg of sunitinib given continuous daily dosing to 50 mg of sunitinib on TS was recently published [7]. Median TTP was 7.1 mo for the continuous schedule and 9.9 mo for TS (hazard ratio 0.77; 95% CI = 0.57 to 1.04;  $P = 0.090$ ), and no difference was seen in OS. The incidence and severity of common AEs were not different. Based on the numerical superiority of PFS and a composite endpoint the authors concluded that TS was superior.

Neri et al. [23] described a single institution experience in which 31 patients with mRCC received sunitinib 50 mg, 14 d on then 7 d off schedule. They reported an ORR of 42% and median 16 mo TOT. Toxicity rates were lower than historical controls and a 9% dose reduction rate was reported in the patients receiving this AS.

Our report expands on these observations and provides insight on patients who either started on an AS or were eventually switched to an AS, in comparison to patients who received TS and underwent conventional dose reductions. The PFS and OS of patients on AS are superior to those on TS. By our analysis of dose and schedule, the superior outcome is not due to higher dose intensity. However, the incidence of AEs decreased as a function of schedule adjustment. We considered the potential for survival bias in the AS group and conducted additional subset analyses. Despite a small sample size, there was a clear numerical difference and a strong trend towards superiority for patients initiated on AS at baseline in comparison to the conventionally treated patients for TOT, PFS, and OS. We also considered the limitation that some patients initiated on sunitinib therapy with TS may not be maintained on treatment for a sufficient time to require a change to AS. We sought to account for this limitation by doing a subset analysis of patients who were maintained on sunitinib therapy beyond the median time to schedule change. In this subset analyses, AS was a predictor of PFS and median PFS was significantly longer in this group. Median OS was comparable and fairly lengthy, which likely can be attributed to effective sequential therapy.

Our results are limited by the retrospective study design; including the inability to assess patient compliance with sunitinib and AEs not noted in the electronic medical records. Although not statistically significant, numerically there were more patients with poor prognostic features as noted by Heng criteria and MSKCC risk model in the TS cohort. Despite our best efforts to eliminate bias between treatment groups, such bias may remain.

## Conclusions

Our study suggests that a more dynamic approach towards toxicity management in patients receiving sunitinib is warranted and is associated with improved outcomes. Prospective validation in the context of a well-designed and controlled randomized trial is the best path forward.

## Supplementary Material

Refer to Web version on PubMed Central for supplementary material.

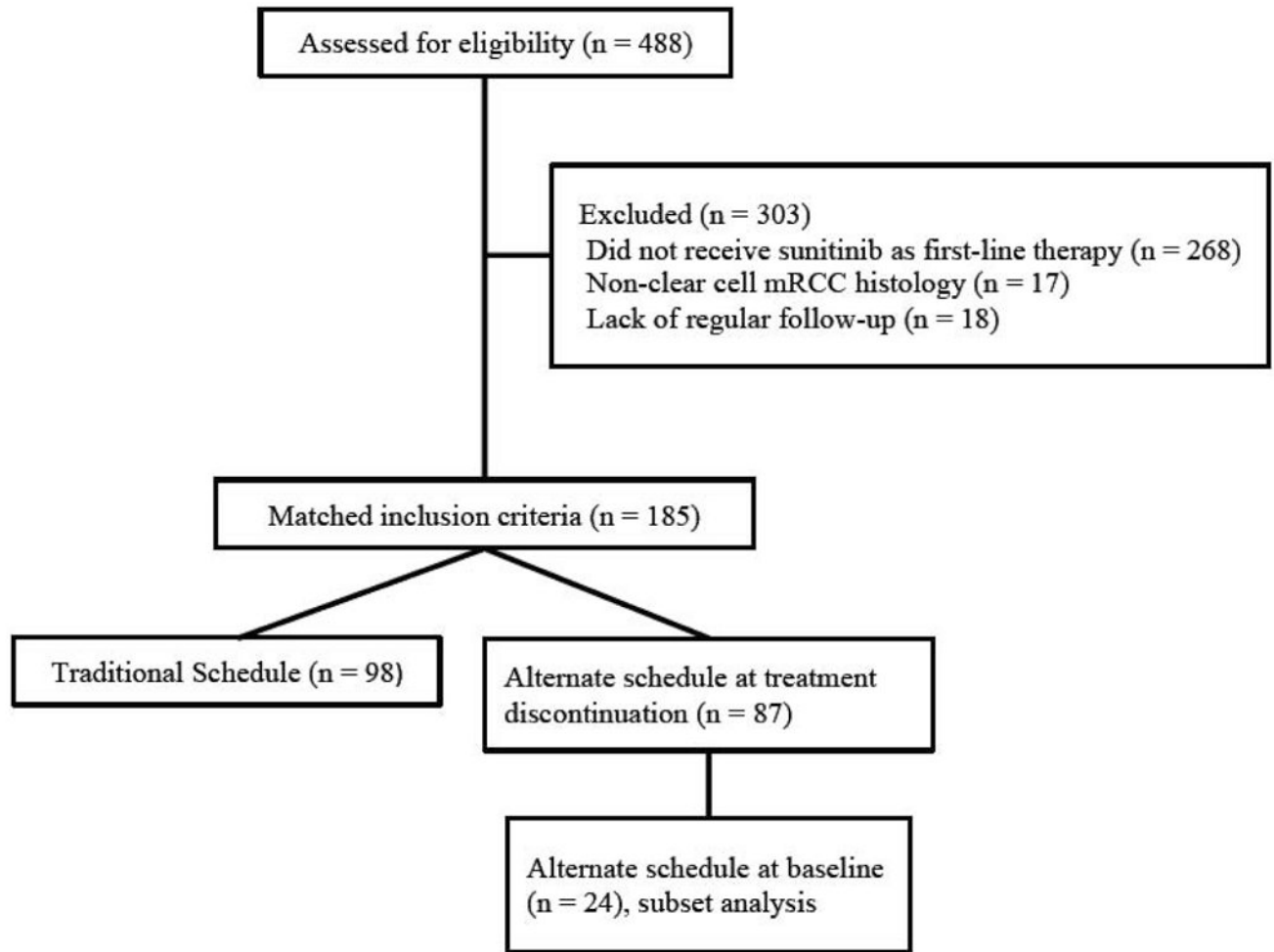


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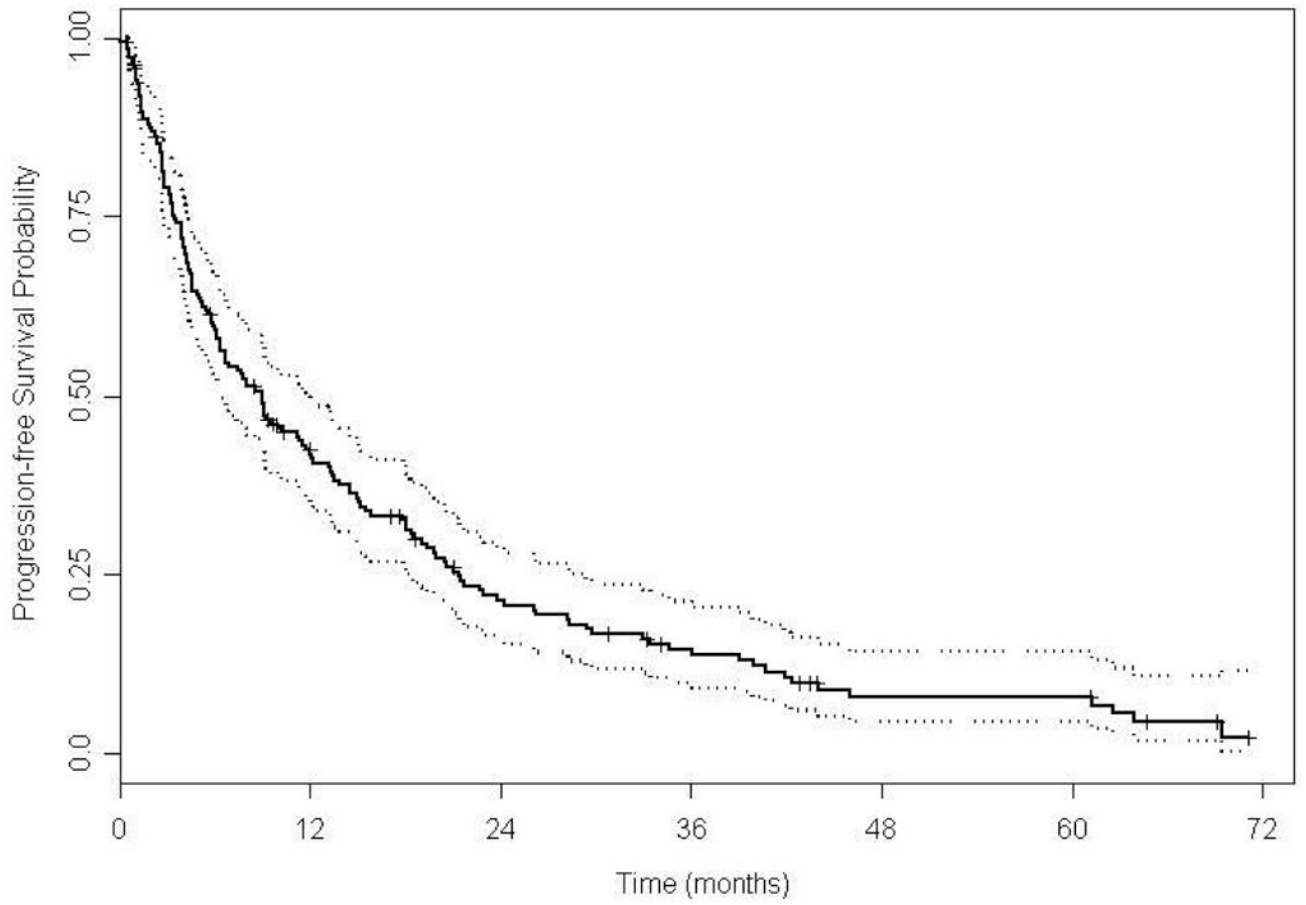
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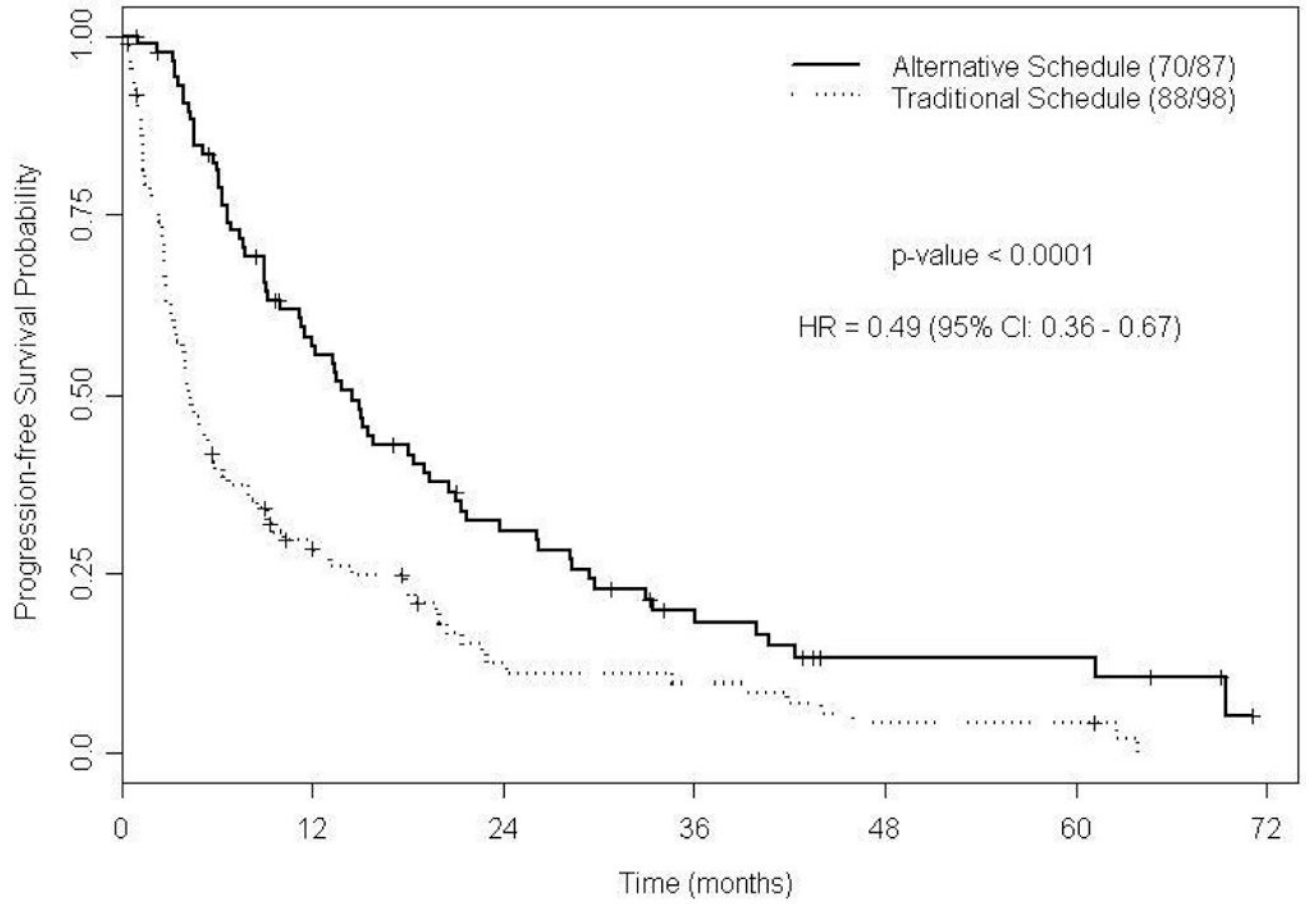
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**Figure 1. Consort diagram of patients screened for inclusion and cohort groups for comparison. (mRCC = metastatic renal cell carcinoma)**



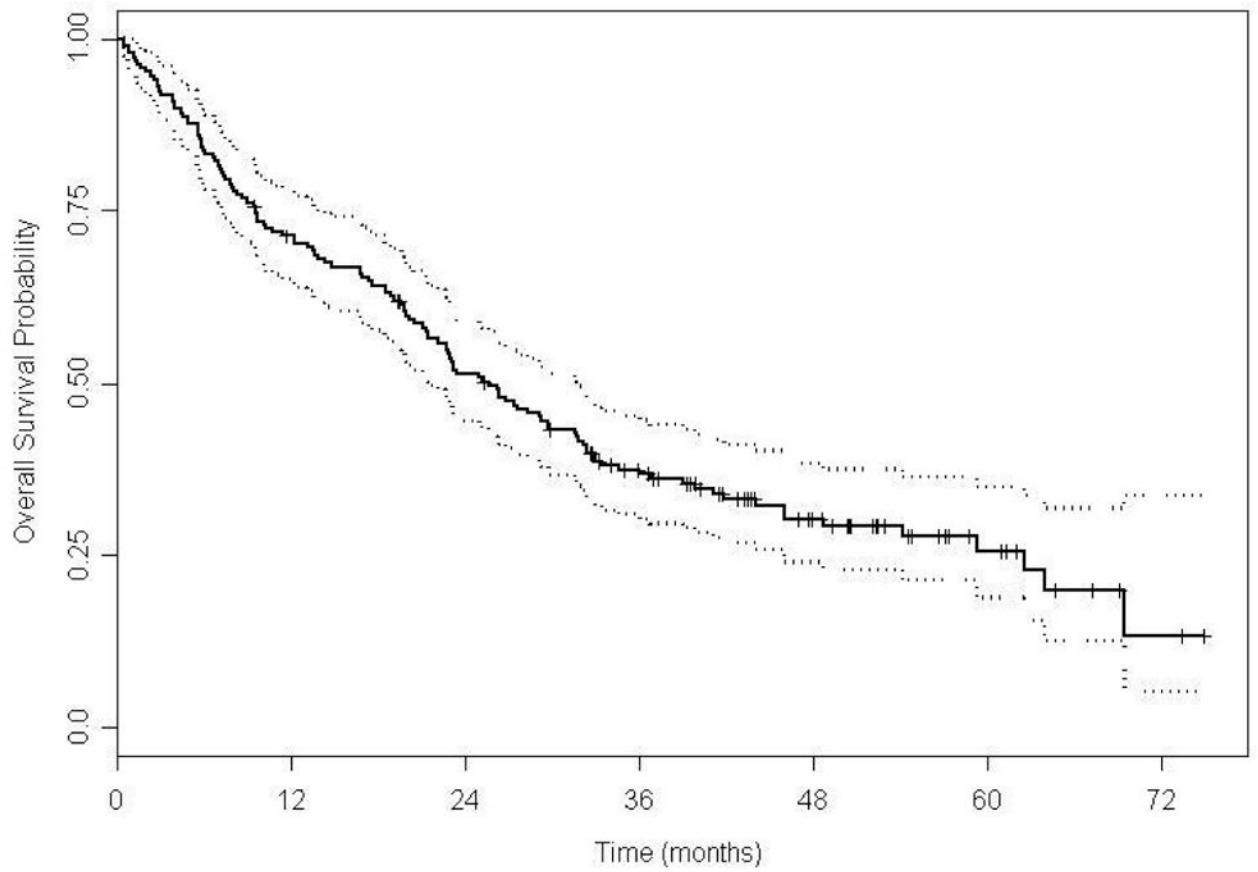


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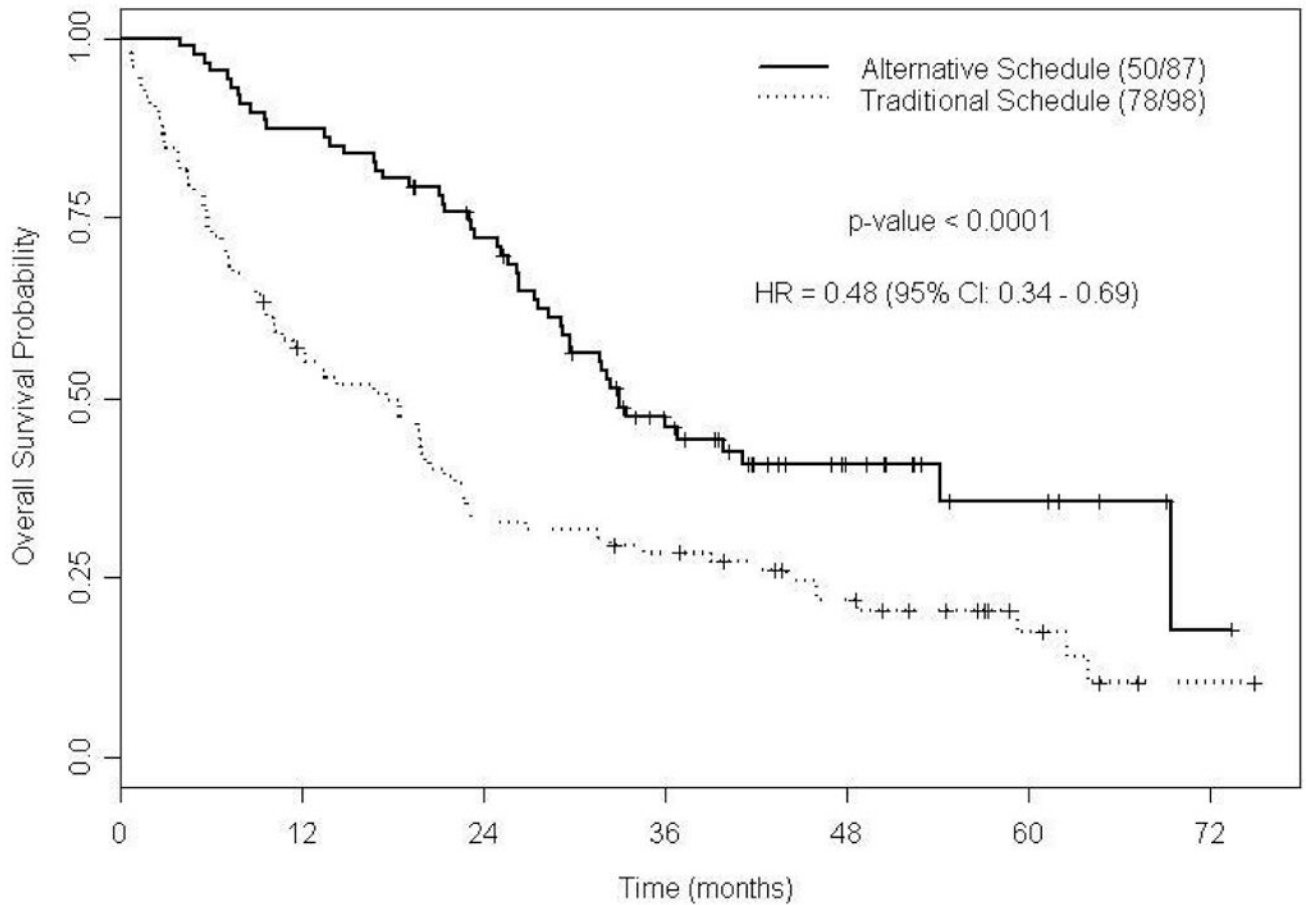
**Figure 2.**

Figure 2A. Kaplan-Meier estimates for progression-free survival ( $N=185$ ).

Figure 2B. Kaplan-Meier estimates for progression-free survival by sunitinib dosing schedule ( $N=185$ ).

Figure 2C. Kaplan-Meier estimates for overall survival ( $N=185$ ).

Figure 2D. Kaplan-Meier estimates for overall survival by sunitinib dosing schedule ( $N=185$ ).

**Table 1**  
**Baseline patient characteristics by schedule**

Characteristic	Traditional schedule	Alternative schedule	Whole population	P value
No of patients	98	87	185	
Male	81 (83%)	57 (66%)	138 (75%)	0.01
Age	59 (34–82)	62 (23–80)	60 (23–82)	0.13
Race				
Caucasian	72 (74%)	70 (81%)	142 (77%)	0.54
African-American	6 (6%)	3 (3%)	9 (5%)	
Other	20 (20%)	14 (16%)	34 (18%)	
ECOG PS				
0	19 (19%)	23 (26%)	42 (23%)	0.43
1	59 (60%)	51 (59%)	110 (60%)	
2	20 (20%)	13 (15%)	33 (12%)	
Nephrectomy				
	56 (57%)	52 (60%)	108 (58%)	0.77
MSKCC				
Good	4 (4%)	6 (7%)	10 (5%)	0.37
Intermediate	46 (47%)	47 (54%)	93 (50%)	
Poor	48 (49%)	34 (39%)	82 (44%)	
Heng Criteria				
Favorable	10 (10%)	13 (15%)	23 (12%)	0.16
Intermediate	60 (61%)	59 (68%)	119 (64%)	
Poor	28 (29%)	15 (17%)	43 (23%)	
Disease Sites				
Lungs	73 (75%)	61 (70%)	134 (72%)	0.51
Bone	25 (26%)	37 (43%)	62 (34%)	0.01
Liver	19 (19%)	18 (21%)	37 (20%)	0.85
Number of metastases				
1	33 (34%)	25 (29%)	58 (31%)	0.685
2	37 (38%)	38 (44%)	75 (41%)	
3	28 (29%)	24 (28%)	52 (28%)	
Laboratory abnormalities				
Hgb < LLN	74 (76%)	65 (75%)	139 (75%)	1.00
ANC > 7.3 K/uL	31 (32%)	8 (9%)	39 (21%)	< 0.01
Cor. Ca > 10 mg/dL	20 (20%)	20 (23%)	40 (22%)	0.72
PLT > 440 K/uL	16 (16%)	10 (12%)	26 (14%)	0.40

ECOG PS = Eastern Cooperative Oncology Group performance status; MSKCC = Memorial Sloan-Kettering Cancer Center; Hgb = hemoglobin; LLN = lower limit of normal; ANC = absolute neutrophil count; Cor. Ca = corrected calcium; PLT = platelets

**Table 2**  
**Distribution of adverse events before and after schedule modification**

<b>Adverse Event</b>	<b>Before schedule modification N = (%)</b>	<b>At first follow up after schedule modification N = (%)</b>
Fatigue	40 (64)	18 (29)
Hand foot syndrome	24 (38)	6 (10)
Diarrhea	20 (32)	4 (6)
Mucositis	14 (22)	3 (5)
Nausea/vomiting	9 (14)	7 (11)
Dysgeusia	6 (10)	0
Rash	6 (10)	0
Hypertension	6 (10)	3 (5)
Anorexia	5 (8)	1 (1.5)
Mouth sores	3 (5)	0
<b>Laboratory Abnormalities</b>		
ANC < 1K/uL	3 (5)	1 (1.5)
Platelet count < 100 K/uL	2 (3)	1 (1.5)
TSH level > 4.20 uIU/mL	2 (3)	0

ANC = absolute neutrophil count; TSH = thyroid stimulating hormone

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**Table 3**  
**Multivariable Cox proportional hazards model for progression-free survival (Total patients=185, Progression=158)**

Variable	Hazard Ratio	95% CI	P value
LDH 927 (vs. <927)	2.42	1.20 – 4.50	0.005
Albumin 4 (vs. >4)	1.49	1.04 – 2.13	0.03
Heng Intermediate vs. Favorable	1.26	0.75 – 2.14	0.38
Heng Poor vs. Favorable	2.27	1.22 – 4.21	0.009
AS at sunitinib discontinuation (vs. TS)	0.54	0.39 – 0.74	0.0002

LDH = lactate dehydrogenase; AS = alternative schedule; TS = traditional schedule

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**Table 4**  
**Multivariable Cox proportional hazards model for overall survival (Total patients= 185, Deaths =128)**

Variable	Hazard Ratio	95% CI	P value
LDH 927 (vs. <927)	3.05	1.56 – 5.96	0.001
Albumin 4 (vs. >4)	1.83	1.23 – 2.72	0.003
ECOG = 1 (vs. 0)	2.08	1.26 – 3.46	0.005
ECOG 2 (vs. 0)	2.67	1.38 – 5.16	0.003
Heng Intermediate vs. Favorable	1.49	0.76 – 2.92	0.24
Heng Poor vs. Favorable	2.65	1.22 – 5.76	0.01
AS at sunitinib discontinuation (vs. TS)	0.55	0.38 – 0.79	0.001

LDH = lactate dehydrogenase; AS = alternative schedule; TS = traditional schedule

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