

# Delineating the Structural Blueprint of the Pre-mRNA 3'-End Processing Machinery

Kehui Xiang,\* Liang Tong, James L. Manley

Department of Biological Sciences, Columbia University, New York, New York, USA

**Processing of mRNA precursors (pre-mRNAs) by polyadenylation is an essential step in gene expression. Polyadenylation consists of two steps, cleavage and poly(A) synthesis, and requires multiple *cis* elements in the pre-mRNA and a megadalton protein complex bearing the two essential enzymatic activities. While genetic and biochemical studies remain the major approaches in characterizing these factors, structural biology has emerged during the past decade to help understand the molecular assembly and mechanistic details of the process. With structural information about more proteins and higher-order complexes becoming available, we are coming closer to obtaining a structural blueprint of the polyadenylation machinery that explains both how this complex functions and how it is regulated and connected to other cellular processes.**

Eukaryotic pre-mRNA 3'-end processing (3' processing) involves a two-step reaction in which an endonuclease cleaves the pre-mRNA and a poly(A) polymerase (now known as PAP $\alpha$ ; referred to here as PAP) synthesizes a polyadenosine tail on the cleaved upstream product. This seemingly simple process involves intricate *cis* elements on the transcript and a massive and complex machinery consisting of more than 20 polypeptides in yeast cells (1) and as many as 80 in human cells (2). 3' processing is critical for many cellular events, from upstream coupled transcription and splicing to downstream mRNA export, translation, and decay (3, 4). Defects in 3' processing can have catastrophic consequences for the cell (1) and have been associated with a variety of human diseases (5). Moreover, 3' processing can serve as a means of gene expression regulation through alternative polyadenylation (APA) (6, 7), which is widely utilized in modulating mRNA transcript levels in diverse cell types, developmental stages, and diseases (8–10).

Early characterizations of mRNA 3'-end formation focused on genetic and biochemical studies by using a combination of *in vivo* and *in vitro* approaches. Subcomplexes, protein binding partners, and individual factors were dissected layer by layer to unravel the complexity of the process (1, 11, 12). In the past decade, structural studies have thrived and played a major role in elucidating the molecular assembly and function of the complex (3, 13). In this minireview, we will summarize the protein factors involved in 3' processing with an emphasis on structural information and protein interaction networks.

## PRE-mRNA *cis* ELEMENTS

For 3' processing to occur correctly, pre-mRNAs need specific *cis* elements to guide the protein factors. In metazoans, the actual site of endonucleolytic cleavage has no apparent consensus, though it is often preceded immediately by a CA dinucleotide (14). Accurate positioning of the 3' processing complex requires a combination of upstream and downstream sequence elements. First, a highly conserved AAUAAA hexamer, referred to as the polyadenylation signal (PAS), is typically located 10 to 35 nucleotides (nt) upstream of the cleavage site (15, 16), which can display microheterogeneity (17). Second, two downstream elements (DSEs) with lower conservation exist within 30 nt following of the cleavage site (18), featuring GU-rich (19, 20) and U-rich (21, 22) sequences. Third, multiple UGUA motifs are positioned 40 to 100 nt up-

stream of many cleavage sites (16). A tripartite mechanism has been proposed by which these three core components act cooperatively in directing poly(A) site recognition (13, 23).

Yeast mRNAs have distinct and more diffuse sequences directing polyadenylation (1, 3). The cleavage site usually follows a pyrimidine and a stretch of adenosines (24), the position of which is defined by an A-rich positioning element (PE) located 10 to 30 nt upstream (25) and a UA-rich efficiency element (EE) further upstream (1). Moreover, conserved upstream and downstream U-rich elements have also been identified that appear to synergistically enhance polyadenylation (26, 27).

## PROTEIN FACTORS

Pre-mRNA 3' processing can be reconstituted *in vitro* with exogenous RNA substrates and cell nuclear extracts (28), and this provided a powerful means to identify active *trans*-acting components. Five major factors were identified in early biochemical fractionations: cleavage and polyadenylation specificity factor (CPSF), cleavage stimulation factor (CstF), cleavage factor I (CFI), cleavage factor II (CFII), and PAP (29–31). All of these factors, with the exception of PAP, are multisubunit protein complexes. While PAS-directed poly(A) synthesis in the absence of cleavage requires only CPSF and PAP, all of the components are indispensable for efficient cleavage. Some other components of the 3' processing machinery were discovered subsequently, including nuclear poly(A)-binding protein 1 (PABPN1) (32), RNA polymerase II (RNAP II), especially the C-terminal domain (CTD) of its largest subunit (33, 34), and symplekin (35). A more recent proteomic study revealed a large number of additional proteins associated with the 3' processing complex (2). Some of these are bona fide components, some serve regulatory roles, and others may assist in coupling 3' processing to other processes.

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Address correspondence to James L. Manley, jlm2@columbia.edu.

\* Present address: Kehui Xiang, Whitehead Institute for Biomedical Research, Cambridge, Massachusetts, USA.

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**TABLE 1** Mammalian 3' processing factors and their genomic symbols, yeast orthologs and published structures

Mammalian factor	Gene designation	Yeast ortholog	Related structure(s) in PDB <sup>a</sup>
CPSF-73	<i>CPSF3</i>	Ysh1 (Brr5)	2I7T, 2I7V
CPSF-100	<i>CPSF2</i>	Ydh1 (Cft2)	2I7X
CPSF-30	<i>CPSF4</i>	Yth1	2RHK
CPSF-160	<i>CPSF1</i>	Yhh1 (Cft1)	
Fip1	<i>FIP1L1</i>	Fip1	3C66
WDR33	<i>WDR33</i>	Pfs2	
CstF-77	<i>CSTF3</i>	Rna14	2OND, 2OOE, 2UY1, 4E6H, 4E85, 2L9B, 4EBA
CstF-64/τCstF-64	<i>CSTF2/CSTF2T</i>	Rna15	1P1T, 2J8P, 2L9B, 4EBA, 2X1B, 2X1A, 2X1F, 2KM8
CstF-50	<i>CSTF1</i>		2XZ2
CFI25	<i>NUDT21</i>		2CL3, 2J8Q, 3BAP, 3BHO, 3MDI, 3MDG, 3Q2S, 3Q2T, 3P5T, 3P6Y
CFI68	<i>CPSF6</i>		3Q2S, 3Q2T, 3P5T, 3P6Y
Pcf11	<i>PCF11</i>	Pcf11	2NPI, 1SZ9, 2BFO, 1SZA
Clp1	<i>CLP1</i>	Clp1	2NPI
Symplekin	<i>SYMPK</i>	Pta1	3GS3, 3O2T, 3ODR, 3ODS, 3O2S, 3O2Q, 4H3H, 4H3K, 4IMJ, 4IMI
RNAP II CTD	<i>RBP1</i>	RNAP II CTD	1SZA, 3O2S, 3O2Q, 4H3H, 4H3K, 4IMJ, 4IMI <sup>b</sup>
PAP	<i>PAPOLA</i>	Pap1	1FA0, 201P, 2HHP, 2Q66, 1F5A, 1Q78, 1Q79, 3C66
PABPN1	<i>PABPN1</i>	Pab1/Nab2	3B4D, 3B4M

<sup>a</sup> PDB, Protein Data Bank.

<sup>b</sup> Only structures of RNAP II CTD in complex with 3' processing factors are listed here.

Compared to the mammalian 3' processing complex, the yeast machinery is assembled in a different way. Proteins are found in three main factors: cleavage and polyadenylation factor (CPF), cleavage factor IA (CFIA), and cleavage factor IB (CFIB) (1, 3). Both mammalian and yeast systems contain unique components that do not exist in the other. Despite the evolutionary divergence, evident conservation and similarity prevail. For example, CPF contains all of the homologous proteins from CPSF, and CFIA consists of subunits that share high homology with those in CstF and CFII. In the following sections, we will describe the mammalian pre-mRNA 3' processing factors and also their respective yeast homologs in more detail. A summary of their genomic symbols and published structures is given in Table 1.

### CPSF

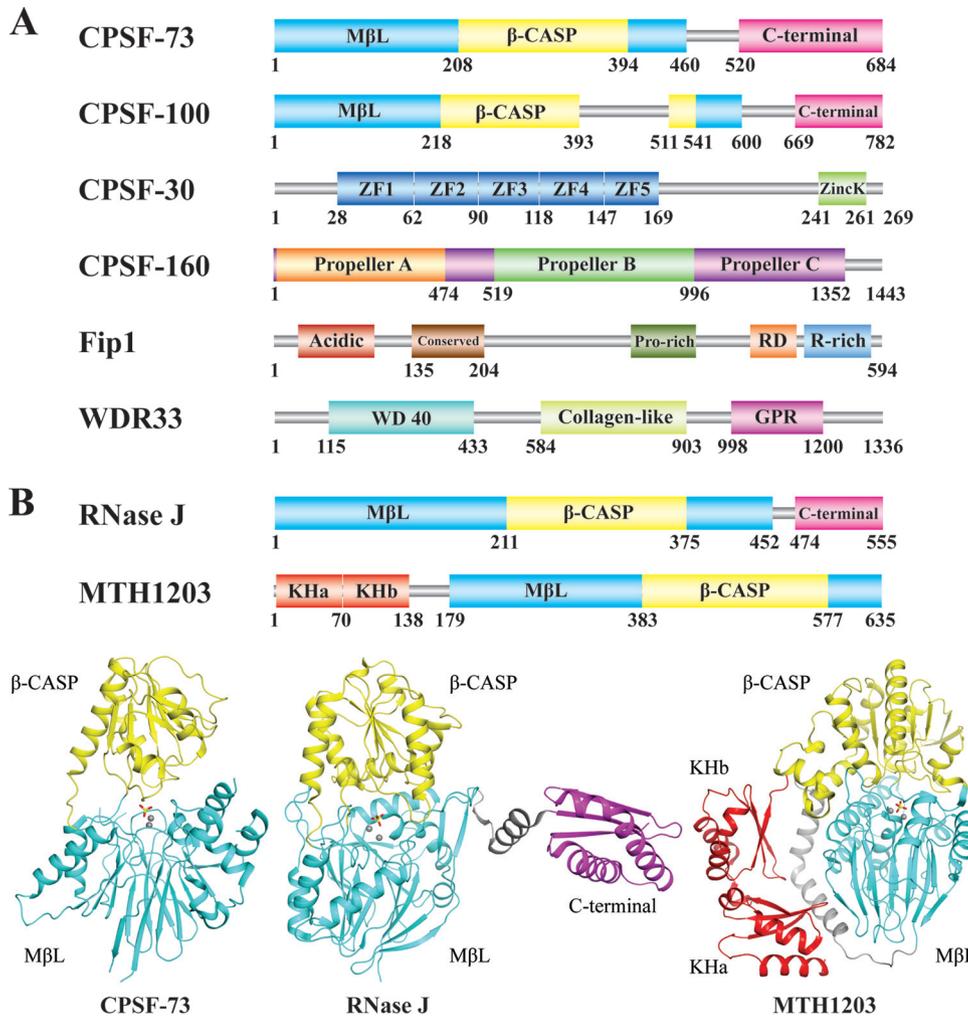
CPSF defines the specificity of pre-mRNA 3' processing by recognizing the PAS (30, 31, 36). CPSF also plays important roles in transcription coupling, as it is recruited to the transcription initiation complex and accompanies RNAP II throughout the transcription process (37). Early purifications revealed four major polypeptides in CPSF, i.e., CPSF-160, CPSF-100, CPSF-73, and CPSF-30 (36, 38), but additional subunits were identified later, including Fip1 (39) and WDR33 (2).

A CPSF subunit that has gained considerable attention is CPSF-73, largely because it turns out to be the endonuclease that has been sought for 3 decades (40). The earliest clue to its function came from a sequence analysis showing that CPSF-73 belongs to the metallo-β-lactamase (MβL) superfamily, whose members are mostly hydrolases dependent on metal ions (41). Yeast cells with mutations of the putative residues for zinc binding in Ysh1 (CPSF-73 homolog) are lethal, while zinc chelators added to HeLa cell nuclear extract inhibited or abolished cleavage (42). Despite

all of the evidence pointing at CPSF-73, the definitive piece did not arrive until the crystal structure was determined (43).

The N-terminal region of CPSF-73 (residues 1 to 460) contains a canonical MβL domain with a β-CASP domain inserted like a cassette (Fig. 1). Two zinc atoms are octahedrally coordinated with essential motifs in the active site within the MβL domain. However, the active site is buried at the interface between the β-CASP and MβL domains, severely restricting access to the RNA substrate. This likely explains why the bacterially expressed N-terminal domain (NTD) of CPSF-73 displayed minimal nuclease activity *in vitro*. Unexpectedly, calcium was able to activate nonspecific nuclease activity, through a mechanism that is not understood but was speculated to involve conformational changes triggered by the cation (43).

In recent years, structures of many bacterial and archaeal CPSF-73 homologs belonging to the β-CASP family have been determined, shedding light on the catalytic mechanism of CPSF-73 (44–47) (Fig. 1B). Bacterial RNase J has an overall domain architecture similar to that of CPSF-73 (Fig. 1B). The additional C-terminal region that was missing from the CPSF-73 structure mediates dimerization and is crucial for its nuclease activity (44). Archaeal β-CASP proteins have extra KH motifs at the N terminus that are responsible for RNA recognition (Fig. 1B). They form dimers through their extreme C-terminal region within the MβL domain, distinct from RNase J (45–47). These observations raise the question of whether dimerization through the CTD is also required for CPSF-73 activity. Structural information addressing this is not yet available, nor do we know if CPSF-73 can self-associate, but the fact that full-length CPSF-73 purified from HEK293 cells was not active (48) suggests that CPSF-73 more likely employs a different mechanism (heterodimerization with CPSF-100; see below).



**FIG 1** CPSF. (A) Domain organization of human CPSF subunits. (B) Domain architecture of two CPSF-73 structural homologs, RNase J (Protein Data Bank [PDB] identification [ID] no. 3BK1 [44]) and MTH1203 (PDB ID no. 2YCB [47]), and structural comparison between them and CPSF-73 (PDB ID no. 2I7T [43]).

Like CPSF-73, CPSF-100 also belongs to the β-CASP family and shares high sequence homology (41, 49) (Fig. 1A). While the domain organization of CPSF-100 resembles CPSF-73 and other β-CASP proteins, equivalent motifs critical for zinc binding are missing, making it incapable of catalysis (41, 43). The exact function of CPSF-100 is not clear, but several studies have shown its importance in pre-mRNA 3' processing. The yeast CPSF-100 homolog Ydh1 is essential for cell viability, as well as cleavage and poly(A) synthesis (50, 51). Ydh1 can also be UV cross-linked to the pre-mRNA in a sequence-dependent manner, indicating possible direct contact with select RNA substrates (26, 52). Glutathione S-transferase pull-down assays suggest that Ydh1 interacts with the RNAP II CTD and Pcf11, raising the possibility of a role in transcription-coupled pre-mRNA processing (50). In mammals, CPSF-100 and CPSF-73 are tightly associated, likely through their CTDs (53). This heterodimeric structure is reminiscent of the aforementioned other β-CASP protein homodimers, providing a possible mechanism by which CPSF-73 dimerization with CPSF-100 is required for catalysis (54).

CPSF-30 is the smallest CPSF subunit and is essential for both cleavage and poly(A) synthesis (55, 56). CPSF-30 consists of five

CCCH zinc finger motifs and a CCHC zinc knuckle motif at the C terminus that is not present in its yeast homolog, Yth1 (55) (Fig. 1A). The structures of these motifs in other proteins have been determined, and they often function in RNA recognition (57, 58), strongly suggesting that CPSF-30 binds the pre-mRNA. Indeed, CPSF-30 can be UV cross-linked to RNA oligomers, with a preference for poly(U) sequences (55, 59). A conserved U-rich element is often located next to the PAS (16), presenting a strong candidate for CPSF-30 recognition. Yth1 indeed binds the pre-mRNA near the cleavage site (56), and RNA recognition is impaired by removal of its zinc finger motifs (60).

The zinc fingers in CPSF-30 are also responsible for making contacts with other proteins. In the case of Yth1, the integrity of zinc finger 4 is crucial for binding to Fip1, as well as Ysh1 (55, 56, 60). Besides factors in the 3' processing complex, CPSF-30 was found to interact with the NS1A protein from influenza A virus, a mechanism employed by the virus to inhibit host pre-mRNA 3' processing (61). The crystal structure of NS1A in complex with CPSF-30 zinc fingers 2 and 3 has been solved. The two zinc fingers show high structural similarity to other known RNA-binding zinc finger proteins, in agreement with the proposed RNA recognition

function (62). CPSF-30 also binds to the body of RNAP II and is likely responsible, at least in part, for the association of CPSF and RNAP II during transcription (63).

The largest subunit, CPSF-160, is composed of tandem WD40 repeats clustered into three major  $\beta$ -propellers (64) (Fig. 1A). CPSF-160 shares low sequence homology with DDB1, a scaffold protein for cullin binding in E3 ubiquitination whose structure has been well characterized, but has a similar domain architecture (65, 66). In fact, the WD40 domain is one of the most abundant domains in eukaryotic proteomes and also the top protein-interacting domain in human and yeast interactome databases (67). They generally serve as protein scaffolds (67), but some can also recognize nucleic acids (66). This is consistent with the fact that CPSF-160 is involved in both protein-RNA and protein-protein interactions. CPSF-160 can be UV cross-linked to pre-mRNA in a PAS-dependent manner (30, 68). Purified recombinant CPSF-160 protein was shown to bind RNA selectively, but its affinity for AAUAAA was lower than that of intact CPSF (69). CPSF-160 also makes direct contacts with CstF-77 and weakly associates with PAP (69). The yeast CPSF-160 homolog Yhh1 interacts with the RNAP II CTD and binds to RNA through the middle  $\beta$ -propeller (70).

Fip1 was identified more than a decade later than the above-mentioned CPSF subunits, though its yeast homolog had been known for a long time. Fip1 stably associates with all other CPSF components and is required for both cleavage and poly(A) synthesis (39). Human Fip1 is almost twice as large as its yeast counterpart and has a C-terminal extension containing two extra domains (39) (Fig. 1A). The N-terminal regions of the two proteins are similar in domain organization but have relatively low sequence conservation (39).

Yeast Fip1 was originally discovered in a two-hybrid screen for binding partners of yeast PAP, Pap1 (71). In the Fip1-Pap1 complex structure, a fragment of Fip1 (residues 80 to 105) binds to the Pap1 CTD (72), which stabilizes Pap1 but induces minimal structural changes and has little effect on catalytic activity (72). Mutations that disrupt this interaction cause cell death (72). Interestingly, residues at the interface in both Fip1 and Pap1 are not conserved but the interaction between these two proteins has been observed across different species (71–73), suggesting that the tethering but not the atomic details of the interaction is essential for polyadenylation (74).

Besides PAP, human Fip1 also binds to CPSF-30, CPSF-160, and CstF-77; this binding is mediated mainly by the N-terminal region (39). The C-terminal arginine-rich domain can also bind to RNA, particularly U-rich sequences (39). In solution, Fip1 seems to be largely disordered (72), and its extended nature can provide scaffolding interactions with multiple proteins (75).

WDR33 is another CPSF component. It was identified in the proteomic study mentioned above and shown to coelute with CPSF during gel filtration and to be essential for cleavage *in vitro* (2). WDR33 is a 146-kDa protein that consists of an N-terminal WD40 domain, a middle collagen-like domain, and a C-terminal GPR (glycine-proline-arginine) domain (76) (Fig. 1A). However, the exact function of this protein is not known. The yeast homolog of WDR33, Pfs2, is required for cell survival and polyadenylation (77). Pfs2 is smaller than WDR33 and contains only the WD40 domain (77). Pfs2 binds many protein factors in the 3' processing complex, including Rna14, Ysh1, Fip1 (77), and Clp1 (78). Additionally, a *Schizosaccharomyces pombe* Pfs2 mutant was shown to

have defects in transcription termination, suggesting a potential role for Pfs2 in transcription-coupled polyadenylation (79).

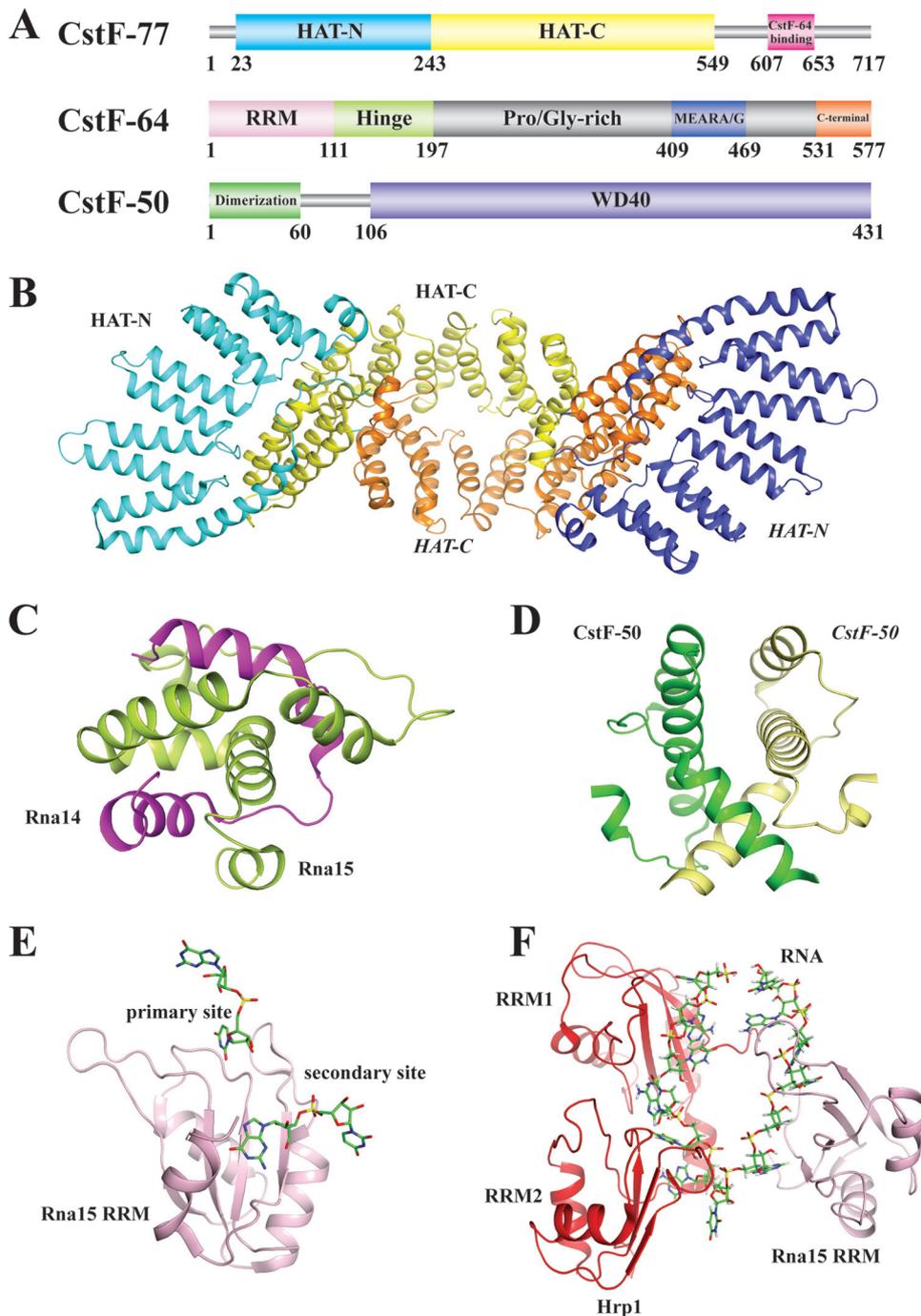
## CstF

CstF was initially purified as a factor that is not required for polyadenylation but significantly stimulates the cleavage reaction (31). Subsequently, this was found to likely reflect contamination of other factors, and CstF is now considered an essential polyadenylation factor. Its activity reflects, in part, cooperative binding of CPSF and CstF to the pre-mRNA (80, 81), in which CstF recognizes the DSEs (18). Like CPSF, CstF also associates with RNAP II during transcription elongation and facilitates transcription-coupled 3' processing (33). Three proteins constitute the CstF complex: CstF-77, CstF-64, and CstF-50 (80, 82).

A computational analysis identified the N-terminal region of CstF-77 as a HAT (half a TPR) domain (83) (Fig. 2A). The crystal structure of the HAT domain revealed an intrinsic dimeric association (Fig. 2B) (84, 85), which is consistent with earlier biochemical characterizations (35), as well as genetic studies with flies (86), suggesting that CstF assembles with two copies of each subunit (84). Similar characteristics have also been observed in the fungal homolog of CstF-77, Rna14. The HAT domain of Rna14 from *Kluyveromyces lactis* dimerizes in mostly the same way as that of CstF-77, despite significant structural variations (87). Disruption of this dimerization in yeast can severely impair polyadenylation (88).

CstF-77 interacts with both CstF-50 and CstF-64 in a way that bridges them since the other two factors make no direct contacts (35, 89). The C-terminal region of CstF-77 contains a proline-rich region that is required for binding to CstF-64 (35, 84, 90). In yeast, Rna14 and Rna15 (CstF-64 homolog, see below) assemble through the same regions (85, 87, 91). With the dimerization of Rna14, they constitute a  $\alpha_2\beta_2$  tetramer in the shape of a kinked rod (92). The complex structure of the Rna14 hinge domain and the Rna15 CTD has been obtained alone (91) and together with the Rna14 HAT domain (87) (Fig. 2C). The two domains tether as an interlocked structure through which they stabilize each other (87, 91). This formation requires cooperative folding between the two proteins and probably reflects their tight association *in vivo* (91). Additionally, there is a long linker connecting the HAT and the CTD of Rna14, making the two domains flexible and possibly functionally independent (87).

CstF-64 was the first protein in the 3' processing machinery shown, by UV-cross-linking, to bind RNA substrates, even before its identity was known (93). Binding is mediated by an RNA recognition motif (RRM) at the protein's N terminus (94) (Fig. 2A). Further investigation revealed that the RRM can specifically recognize the U-rich DSE (18) and it selects GU-rich sequences *in vitro* (95). In the nuclear magnetic resonance (NMR) structure of the RRM, a binding pocket was identified at the surface of the central  $\beta$ -sheet to accommodate UU dinucleotides, the presence of which enhances the RNA-RRM interaction. By fine-tuning contacts outside this pocket, the RRM is able to modulate its preference for a wide selection of Gs and Us while still discriminating against other RNA sequences (96). This specific binding variability enables CstF-64 to recognize both U-rich and GU-rich DSEs. In fact, the two DSEs are in close proximity (within 15 nt) (16) and might be bound by two copies of CstF-64 simultaneously, bridged by the CstF-77 HAT domains. This is consistent with the dimeric



**FIG 2** CstF. (A) Domain organization of human CstF subunits. (B) Crystal structure of the murine CstF-77 HAT domain (PDB ID no. 2OOE [84]). (C) NMR structure of the Rna14 C-terminal Rna15-binding domain in complex with the hinge region of Rna15 (PDB ID no. 2L9B [91]). (D) Crystal structure of the dimerization domain of CstF-50 (PDB ID no. 2XZ2 [110]). (E) Crystal structure of the RRM domain of Rna15 with RNA bound at primary and secondary sites (PDB ID no. 2X1A, 2X1F [97]). (F) NMR structure of the RRM domain of Rna15, two RRM domains of Hrp1 and RNA ternary complex (PDB ID no. 2KM8 [99]).

association of CstF, constructed around the CstF-77 HAT domain dimer.

The yeast homolog of CstF-64, Rna15, not only bears high sequence identity but also shares structural similarity in the RRM region. The Rna15 RRM preferentially binds to a U-rich or GU-rich sequence *in vitro* (95, 97). The affinity for the RNA is generally

low (92) but can be significantly enhanced by Rna14 or Hrp1 (a yeast CFI component that binds the EE, no mammalian homolog) (92, 98). Two RNA-binding sites were identified in the RRM structure (97) (Fig. 2E). The primary site, located at a surface loop, mediates specific interactions with the RNA, largely accounting for the selectivity for GU-rich sequences (97). The second site is

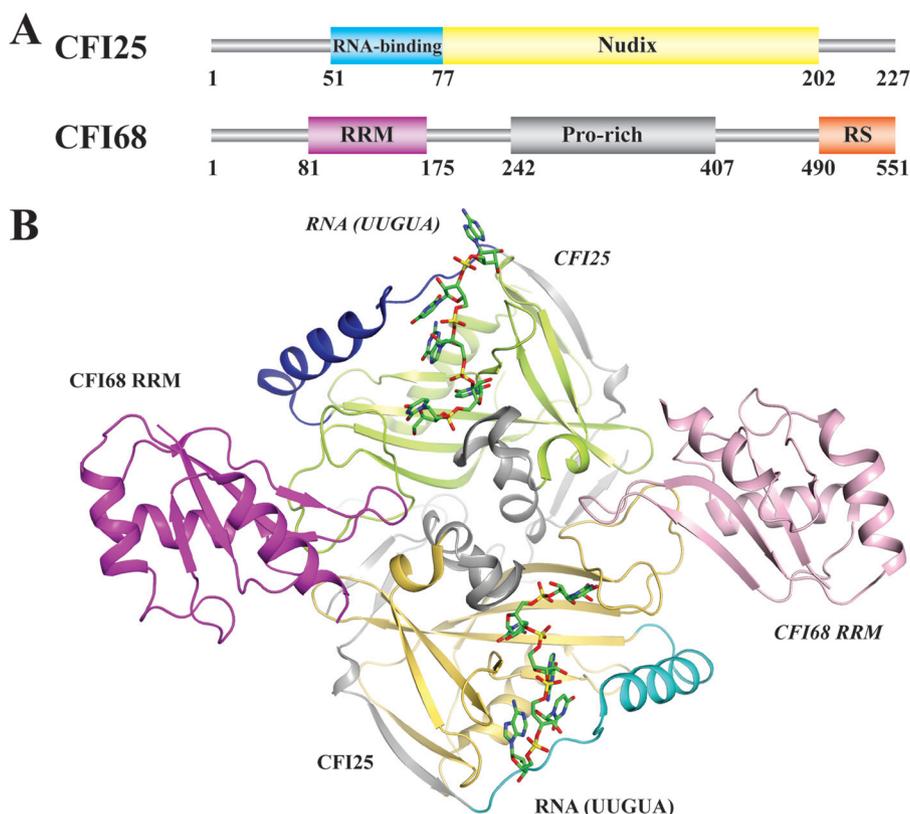


FIG 3 CFI. (A) Domain organization of human CFI subunits. (B) Crystal structure of the CFI25-CFI68-RNA complex (PDB ID no. 3Q2T [126]).

less specific and is positioned in a canonical RRM-RNA contact region, as in CstF-64 (96, 97). It has been suggested that Rna15, when complexed with Hrp1, utilizes the secondary site to recognize the A-rich PE (99) (Fig. 2F) and by itself recognizes a U-rich consensus upstream of the cleavage site (26, 27) in a scenario in which CFI contains two copies of Rna15 but only one copy of Hrp1 (88, 100).

The remaining region of CstF-64 is less well studied. The hinge region immediately following the RRM interacts with both CstF-77 and symplekin in a mutually exclusive manner (35, 101). The domain at the very C terminus is highly conserved throughout eukaryotes. It comprises a three- $\alpha$ -helix bundle structure, which is required for polyadenylation and for interaction with Pcf11 (102, 103), as well as with the transcriptional coactivator PC4/Sub1 (103, 104). N terminal to this lies a long proline-glycine-rich region (40%) interrupted by a pentapeptide repeat motif (MEARA/G) that is all  $\alpha$ -helical (94, 105). This region does not exist in Rna15, and its function is unknown.

A second isoform of CstF-64, termed  $\tau$ CstF-64, was found expressed mainly in brain and testis (106), and it has been implicated in modulating poly(A) site selection during spermatogenesis (107). Other studies, however, have revealed that  $\tau$ CstF-64 is likely more broadly expressed, and may function redundantly with CstF-64 (2, 108).

CstF-50, which lacks a yeast counterpart, contains an N-terminal dimerization domain and seven WD40 repeats at its C terminus (35, 109) (Fig. 2A). The dimerization domain is crucial for self-association (35) and together with CstF-77 accounts for the hexameric architecture of the CstF complex. The crystal structure

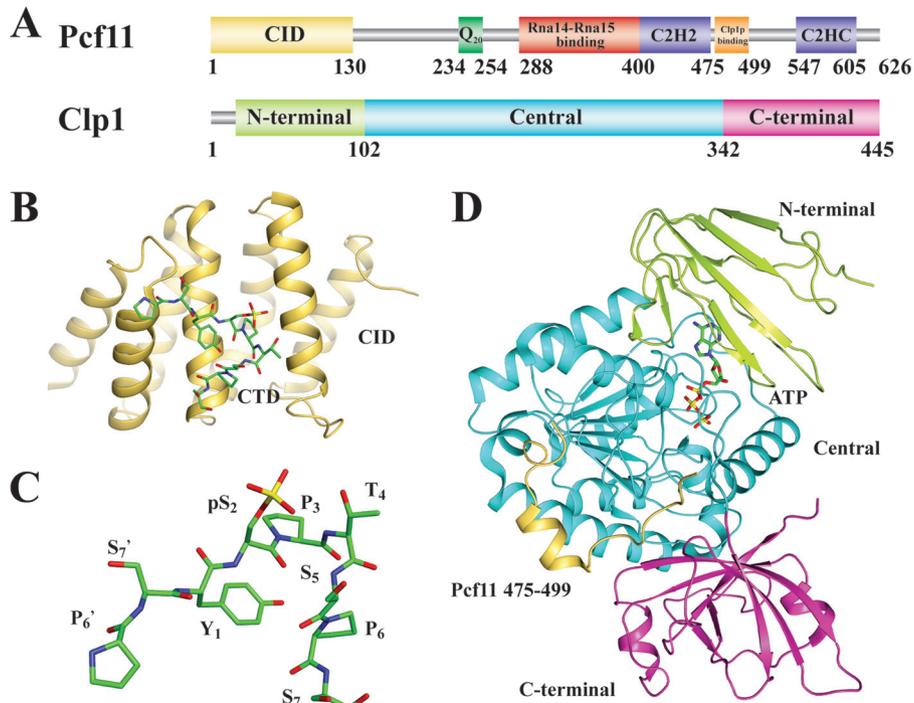
of this domain suggests a formation of three tandem  $\alpha$ -helices tethered to the other protomer, mediated primarily through a conserved hydrophobic core (110) (Fig. 2D). The CstF-50 WD40 domain functions as a binding platform, and truncation of a single repeat abrogates its interaction with CstF-77 (35). This domain may also serve as a regulatory adaptor that signals transient inhibition of 3' processing upon DNA damage (111, 112).

## CFI

The CFI complex associates early with the transcription elongation complex, along with CPSF and CstF, in facilitating transcription-coupled 3' processing (23). At the polyadenylation site, CFI associates with the pre-mRNA through UGUA motifs and stabilizes the binding of CPSF (113). Unlike other major 3' processing factors, CFI exists only in metazoans and does not have a yeast equivalent.

The CFI complex is assembled as a heterotetramer with a dimer of the small subunit, CFI25, and two copies of a combination of large subunits, CFI59, CFI68, or CFI72 (113, 114). CFI59 and CFI68 are encoded by two paralogous genes, while CFI72 is an isoform of CFI68 (115). The three large subunits may be functionally redundant because CFI68 alone is capable of reconstituting CFI activity with CFI25 *in vitro* (114). Meanwhile, all CFI subunits can be UV cross-linked to pre-mRNAs, suggesting roles in RNA recognition (113). SELEX experiments identified a binding consensus sequence for CFI: UGUAN (N: A > U > G or C) (116), which was later shown to be an important *cis* element for poly(A) site definition (16, 23).

CFI25 encompasses a central Nudix domain (117) (Fig. 3A).



**FIG 4** CFII. (A) Domain organization of the yeast homologs of CFII subunits. (B) Crystal structure of the CID of Pcf11 in complex with a RNAP II CTD peptide (PDB ID no. 1SZA [134]). (C) Conformation of the RNAP II CTD peptide bound to the CID of Pcf11. (D) Crystal structure of the Clp1-Pcf11-ATP complex (PDB ID no. 2NPI [141]).

The Nudix superfamily is widespread in all kingdoms, and its members function mostly as pyrophosphohydrolases (118). Intriguingly, two signature glutamates that are key for metal coordination and enzymatic catalysis are missing from the Nudix motif of CFI25, which distinguishes CFI25 from most other Nudix proteins. The crystal structure of CFI25 shows a core Nudix domain featuring a canonical  $\alpha/\beta/\alpha$  fold sandwich augmented with N- and C-terminal extensions (119, 120) (Fig. 3B). No metal ions were observed around the Nudix motif, and subsequent biochemical assays failed to detect any enzymatic activity, suggesting that CFI25 is unlikely a hydrolase (119, 120). Instead, CFI25 utilizes its Nudix domain as a platform for binding RNA and other proteins, including CFI68, PAP, and PABPN1 (117). The crystal structure of the CFI25-RNA complex provided insights into the mechanism by which CFI specifically recognizes the UGUA element (121). Unexpectedly, in addition to the Nudix domain, the N-terminal extension also makes direct contact with the RNA (Fig. 3B). Interactions are achieved mainly through hydrogen bonds formed between RNA bases and the protein. Watson-Crick/sugar-edge base interactions within the RNA also contribute to binding specificity (121). Moreover, the dimeric nature of CFI25 enables it to bind two UGUA elements simultaneously.

The CFI68 subunit is composed of an N-terminal RRM, a middle proline-rich region, and a C-terminal RS domain with alternating arginine and serine residues (114) (Fig. 3A). The domain organization resembles SR proteins involved in pre-mRNA splicing. In fact, CFI68 was shown to copurify with the spliceosome (122, 123) and interacts with splicing factors (117, 124), suggesting its potential role in coordinating pre-mRNA splicing and 3' processing. The RRM interacts weakly with RNA, but the affinity can be enhanced appreciably by cooperative binding of CFI25

(117). In this case, each CFI68 RRM maintains simultaneous interactions with the CFI25 dimer on the opposite side (125, 126) (Fig. 3B). The presence of the RRM causes little structural change to the CFI25 dimer, nor does it affect RNA binding specificity (125, 126). Two UGUA sequences are bound to CFI25 dimers in an antiparallel fashion. The connecting loop RNA, though not included in the structure, is likely stabilized by the CFI68 RRM (125, 126). On the basis of the structural data, an RNA looping mechanism directed by CFI has been proposed for poly(A) site selection in APA (126, 127), perhaps explaining a correlation between CFI levels and APA observed in several studies (128, 129).

#### CFII

CFII is perhaps the least well-characterized factor in the mammalian 3' processing complex, partly because its exact components remain poorly defined. More than 15 proteins were initially copurified in CFII fractions, but only 2, Pcf11 and Clp1, coeluted with CFII activity (130). However, there is no evidence indicating that these two proteins alone are capable of reconstituting CFII activity. Their yeast homologs are essential for 3'-end formation (131, 132), and much of our understanding of CFII comes from studies in yeast. Both Pcf11 and Clp1 are part of the CFIA complex, which also includes Rna14 and Rna15.

Pcf11 plays a pivotal role in the assembly of CFIA, as it is the only component of the complex that makes direct contact with all other members. The interacting domains have been mapped to the middle and C-terminal regions (102, 103, 131, 133) (Fig. 4A). Two highly conserved zinc fingers were identified flanking the C-terminal Clp1-interacting domain, but their function remains unknown. A stretch of 20 consecutive glutamines preceding the middle Rna14-Rna15 binding domain likely serves as a linker to

the N-terminal region (133). This region features an RNAP II CTD-interacting domain (CID), which comprises eight  $\alpha$ -helices arranged in a right-handed superhelical formation (134, 135) (Fig. 4B). The CID interacts with both unphosphorylated and phosphorylated RNAP II CTDs but has higher affinity for the latter (133, 136, 137). Surprisingly, the CID-CTD interaction is not necessary for 3' processing, but it is required for proper transcription termination (133, 136). The CID also weakly binds RNA (138) and bridges the RNAP II CTD to the pre-mRNA (139), supporting a role for Pcf11 in coupling transcription to 3' processing.

Human Pcf11 is twice as large as its yeast homolog and shares sequence homology only at its N-terminal CID (130). The remainder has not been characterized. Despite differences in its primary sequence, the function of Pcf11 is evolutionarily conserved. Knockdown of Pcf11 in HeLa cells resulted in deficiency in cleavage, as well as transcription termination, and Pcf11 may also be required for degradation of the 3' product following cleavage (140).

Clp1 consists of a central ATPase domain and two smaller domains at its N and C termini (141) (Fig. 4A). The primary sequence of yeast Clp1 reveals a conserved Walker A/P loop motif (130), which is typically involved in ATP/GTP binding or catalysis (142). Indeed, an ATP molecule was bound to Clp1 in the crystal structure (Fig. 4D), but ATPase activity could not be detected (141). Mutations in the ATP-binding pocket perturb the Clp1-Pcf11 interaction and, in turn, cause defects in 3' processing and transcription termination, although some of them did not affect ATP binding (78, 143, 144). Given that there is no requirement for ATP in 3' cleavage, the significance of Clp1 ATP binding is unclear.

In contrast to yeast Clp1, the human homolog is an active 5'-OH polynucleotide kinase (145). The enzymatic activity is required in tRNA splicing and cannot be complemented by yeast Clp1 (145, 146). Although human Clp1 bears high sequence homology with its yeast counterpart, it might have acquired a diverged role during evolution. In 3' processing, Clp1 interacts with both CPSF and CFI and likely tethers them to CFII (130).

## SYMPLEKIN

Symplekin was initially identified not as a polyadenylation factor but as a tight junction plaque protein (147). However, it was subsequently found to associate with the 3' processing complex, specifically with CstF64, and suggested to function as a scaffold protein (35). Sequence alignment indicated that symplekin bears low sequence similarity to an essential yeast polyadenylation factor, Pta1 (35, 51, 148, 149) (Fig. 5A). Pta1 interacts with various 3' processing proteins, including Ysh1 (150), Ydh1 (50), Fip1 (148), Pcf11 (50), Clp1 (148), Pap1 (148), and the RNAP II CTD phosphatase Ssu72 (151), consistent with a scaffolding function.

The symplekin NTD contains seven pairs of antiparallel  $\alpha$ -helices (152, 153). The overall fold is reminiscent of ARM or HEAT repeats, which are typically involved in protein-protein interactions (154), in agreement with its suggested scaffolding function. Symplekin NTD interacts with Ssu72 and stimulates its phosphatase activity (148, 153) (Fig. 5B). The symplekin-Ssu72 complex also plays important roles in transcription-coupled polyadenylation (153).

Symplekin interacts with the hinge region of CstF-64, competitively with CstF-77 (35, 101). A CstF-64 mutant whose interaction with symplekin was abolished maintained its association with

CstF-77, and while the mutation did not affect polyadenylation, it impaired histone pre-mRNA 3' processing (101). (Histone pre-mRNAs are typically cleaved but not polyadenylated. They utilize an overlapping but distinct processing machinery, with symplekin and CPSF-73, for example, functioning in both [155].) It thus appears that CstF-64 associates exclusively with either CstF-77 or symplekin in two separate pre-mRNA processing complexes and perform distinct functions.

Symplekin also interacts with CPSF-73. The binding region was deduced on the basis of the conserved interaction between the CTDs of Pta1 and Ysh1 (148, 150). Symplekin tightly associates with CPSF-73 and CPSF-100 (156, 157), forming a shared stable core complex for both general and histone pre-mRNA 3' processing (157). As a consequence, it has been speculated that symplekin may regulate the nuclease activity of CPSF-73 through direct interactions or by recruiting additional regulatory factors (40, 157), but further investigation is necessary to test this hypothesis.

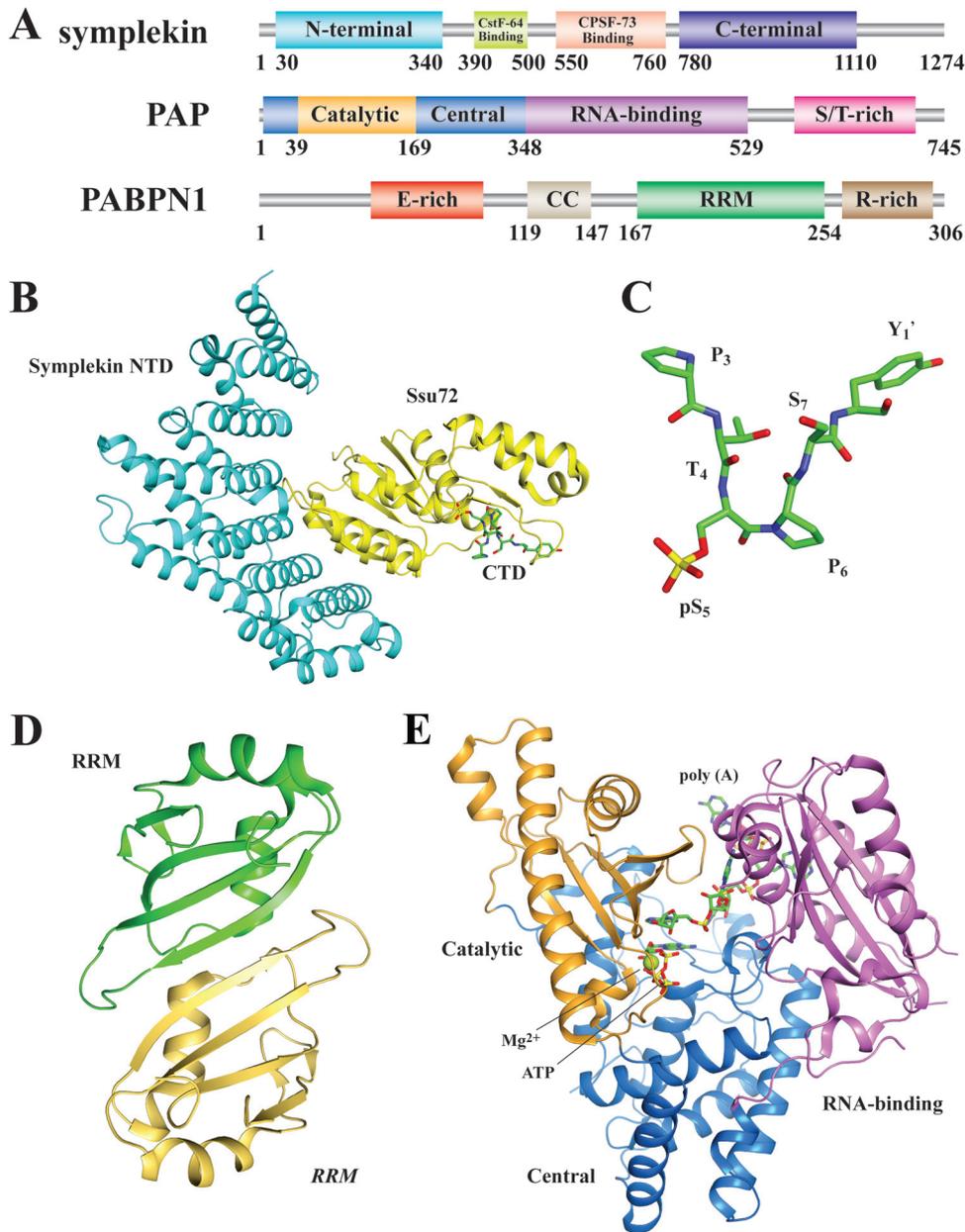
## RNAP II CTD

The largest subunit of RNAP II contains an extended CTD separated from the globular core structure (158). The CTD consists of consensus repeats Tyr1-Ser2-Pro3-Thr4-Ser5-Pro6-Ser7, with the number varying from 26 in yeast to 52 in vertebrates (159–163). The CTD is necessary for efficient polyadenylation both *in vivo* (33) and *in vitro* (34), but exactly how it promotes mRNA 3'-end formation is still not well understood. A platform role has been proposed since a number of 3' processing factors have been observed binding to the CTD, such as Ydh1 (50), Yhh1 (70), CstF-77/Rna14 (33, 133), CstF-50 (33), Pcf11 (133, 134, 136, 137), and perhaps Rna15 (133) and Pta1 (164).

The ability of the RNAP II CTD to interact with a variety of 3' processing factors and thus to link polyadenylation to transcription can be largely explained by its structural diversity, which results from its intrinsic nonuniform and overall disordered architecture (135, 165), various dynamic posttranslational modifications, especially phosphorylation, as well as *cis-trans* isomerization of prolyl peptide bonds (159–163). Although structural information about the full-length RNAP II CTD is not available, a number of segments ranging from less than one repeat to nearly three repeats have been captured associated with RNAP II CTD-binding proteins. These CTD structures present immensely diverse conformations and modifications (166). Here we will briefly discuss two that are closely related to 3' processing.

The first is the Pcf11-pSer2 CTD complex (Fig. 4B). In this structure, the bound CTD adopts a  $\beta$ -turn conformation (134) (Fig. 4C). This is likely formed via induced fit through the binding of Pcf11, as NMR experiments suggest that a similar CTD peptide exists as a dynamic unfolded ensemble in solution (135). The phosphate group of pSer2 forms hydrogen bonds within the CTD, in a way that indirectly stabilizes the  $\beta$ -turn structure (134). By iterating the observed CTD repeat, Meinhart and Cramer deduced a compact  $\beta$ -spiral complete CTD model. While Ser2 phosphorylation can be readily accommodated in the model, Ser5 phosphorylation would open up the spiral and induce a more extended structure. With dynamic phosphorylation and dephosphorylation, the CTD conformations would be altered and cycled, so that the spatial and temporal control of mRNA processing factor binding during transcription can be achieved.

The second structure is the Ssu72-pSer5 CTD complex (Fig. 5B). Surprisingly, the CTD captured in the active site of Ssu72 has



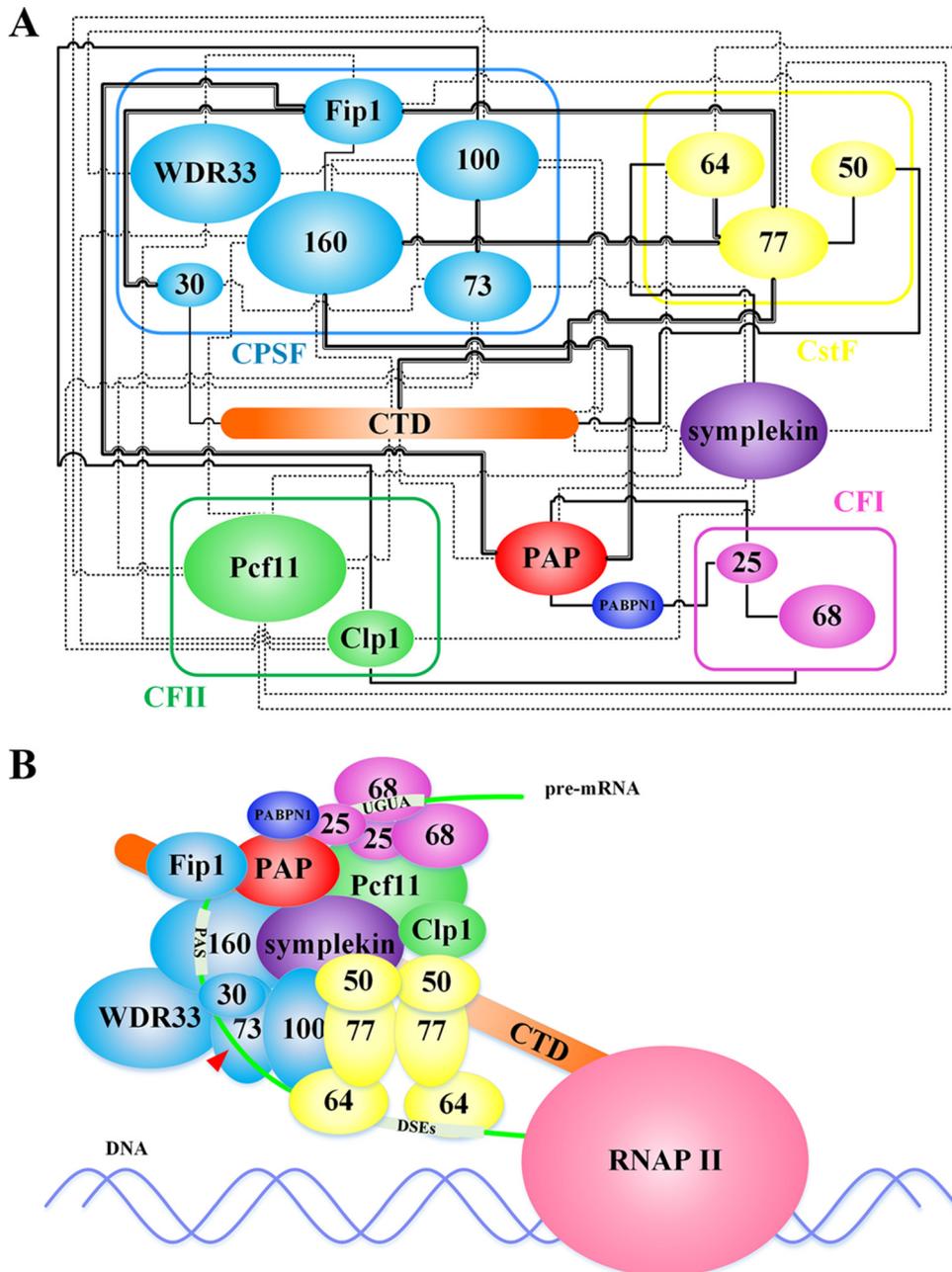
**FIG 5** Other mammalian pre-mRNA 3' processing factors. (A) Domain organization of human symplekin, PAP, and PABPN1. (B) Crystal structure of the symplekin-Ssu72-RNAP II CTD peptide complex (PDB ID no. 3O2Q [153]). (C) Conformation of the RNAP II CTD peptide in the active site of Ssu72. (D) Crystal structure of the RRM domain dimer of PABPN1 (PDB ID no. 3B4M [192]). (E) Crystal structure of yeast Pap1 in complex with ATP and an oligo(A) sequence (PDB ID no. 2Q66 [176]).

the peptide bond between pSer5 and Pro6 in the *cis* configuration (Fig. 5C), in contrast to all earlier known CTD structures, which were exclusively in *trans* (153, 167). The substrate-binding pocket of Ssu72 has a confined space so that only the CTD with pSer5-Pro6 in a *cis* configuration can be accommodated. The selectivity of Ssu72 nonetheless severely limits its substrate availability, because less than 20% of the total population of the pSer5-Pro6 peptide bond exists in the *cis* configuration and natural *cis-trans* conversion is rather slow (153, 167). Therefore, peptidyl-prolyl isomerases (human Pin1 and yeast Ess1) can promote dephosphorylation of the CTD by accelerating *cis-trans* conversion,

which presents higher-level regulation of the CTD function (153, 167).

#### PAP

At least four different nuclear PAPs have been identified in metazoans, including canonical PAP, Neo-PAP, Star-PAP, and TPAP (168). The best studied is PAP, which is largely conserved between yeast and humans (169–171). PAP belongs to the DNA polymerase  $\beta$  family (172). The first 500 residues are conserved throughout eukaryotes (173, 174). The crystal structures of bovine PAP and yeast PAP (Pap1) have been determined, revealing a three-



**FIG 6** Mammalian pre-mRNA 3' processing machinery. (A) Wire map of the interaction network of core mammalian pre-mRNA 3' processing machinery. Thick double lines represent interactions observed in both mammalian and yeast systems. Solid lines represent interactions studied only in mammals, while dashed lines represent interactions studied only in yeast. (B) Model of core mammalian pre-mRNA 3' processing machinery. *cis* elements are highlighted in boxes on the pre-mRNA. The red arrowhead indicates the cleavage site.

globular-domain organization (174, 175) (Fig. 5E). A large open central cleft harboring the active site is encompassed by the three domains. Upon substrate binding, the cleft closes as the NTD and CTD interact (176), suggesting an induced-fit mechanism (177, 178). Vertebrate PAP has a C-terminal extension of ~20 kDa that does not exist in lower eukaryotes and is not essential for polyadenylation activity (173) (Fig. 5A). This extension, which can vary in sequence because of alternative splicing (179), is enriched with serines and threonines, which are targets for modulating PAP activity and are subject to various posttranslational modifications,

including phosphorylation (180), acetylation (181), sumoylation (182), and PARylation (183). PAP has been shown to interact with many protein factors in the 3' processing complex, such as CPSF-160 (82) and CFI25 (117). In yeast, while the NTD of Pap1 binds to both Pta1 and Yhh1 (184), the CTD interacts with Fip1 (71, 72, 185).

**PABPN1**

The identity of the mammalian PABPN1 involved in 3' processing was not unearthed until almost 2 decades after its cytoplasmic

counterpart (PABPC) was discovered (32, 186). PABPN1 serves as a stimulatory factor for PAP in PAS-dependent poly(A) synthesis (32). The presence of either CPSF or PABPN1 provides only moderate processivity, but together they promote rapid poly(A) elongation to a length of approximately 200 to 300 nt (187, 188), which matches the size of newly synthesized poly(A) tails *in vivo* (189). PABPN1 not only ensures the proper length of the poly(A) tail but may also be a significant regulator in APA (190).

PABPN1 has a domain architecture very different from that of PABPC (Fig. 5A). The NTD is acidic and rich in glutamates and may function to prevent undesirable contacts between PAP and PABPN1 (191). The middle region contains a coiled-coil domain that is required for stimulation of PAP (191). Immediately following this is a canonical RRM, which forms a dimer in solution and also in the crystal structure (192) (Fig. 5D). This is compatible with an earlier observation that the CTD (arginine rich) can also self-associate (193). In fact, in the absence of poly(A) and at elevated concentrations, PABPN1 is prone to aggregation into oligomers (194, 195). Each PABPN1 can recognize an ~10-nt poly(A) sequence (195). Both the RRM and the CTD are required for RNA binding (193). As polyadenylation proceeds, PABPN1 coats the poly(A) tail sequentially, forming a linear filament or a spherical particle up to 21 nm in diameter (194). This structure is thought to restrict CPSF to the PAS while facilitating the physical interaction between CPSF and PAP over the newly synthesized poly(A) sequence until a desired length is reached (196).

In yeast, the apparent PABPN1 sequence homolog is Rbp29, but this protein localizes in the cytoplasm and has a very different function (197). The true functional counterpart of PABPN1 in yeast is still under debate. Two candidates, Pab1 and Nab2, have been proposed, but both of them negatively modulate poly(A) length, which is the opposite to the stimulatory effect of PABPN1 (198).

## PERSPECTIVE

Since the start of the second millennium, when the first protein structure of a component of the 3' processing complex was reported (174, 175), structural studies have flourished and advanced our knowledge of this intricate process at an ever-increasing pace. While a number of structures of protein factors have been determined and analyzed compared to the complicated machinery, what we know is only the tip of the iceberg. Many key proteins have not yet been structurally characterized. More importantly, past studies have focused on individual proteins or even domains rather than looking at the bigger picture. To understand in detail how the polyadenylation complex is assembled and functions as a whole, we need a more complete structural blueprint (Fig. 6). In recent years, several complex structures have been determined, such as CFI (125, 126), symplekin-Ssu72-RNAP II CTD (153, 199), and Rna14-Rna15 (87, 91), which substantially facilitated the molecular mapping of protein interconnections. Nevertheless, structural information about protein complexes in the 3' processing machinery is still limited and confined within subcomplexes. How different subcomplexes associate and coordinate in the recruitment process and the enzymatic reactions is largely unclear. On the other hand, an electron microscopy study examining the whole 3' processing complex has provided us a first look at the overall architecture and may provide a powerful future approach (2). Additionally, the coupling of 3' processing to other nuclear events inevitably raises the complexity but also opens up an inter-

esting and emerging direction in which analyses of bridging factors can be performed from a structural perspective so as to visualize how coupling is achieved, as well as how polyadenylation affects other processes. Finally, the emergence of APA as an important and widespread mechanism of gene control highlights the importance of obtaining a detailed mechanistic understanding of the polyadenylation complex, and structural studies will continue to provide key insights into this large and complex machinery.

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**Kehui Xiang** received his B.S. from Tsinghua University in China, majoring in mathematics and physics. He was awarded a faculty fellowship to pursue his graduate study at Columbia University in 2007. Co-mentored by Liang Tong and James L. Manley, he studied protein factors involved in eukaryotic mRNA 3' processing by using crystallography and biochemistry. His research has been published in several peer-reviewed journals, such as *Nature*, *Nature Communications*, and *Genes & Development*. He received the Peter Sajovic Memorial Prize for outstanding work in biology and his Ph.D. in 2013 at Columbia University. Dr. Xiang is now a postdoctoral associate in David Bartel's lab at the Whitehead Institute, Massachusetts Institute of Technology.



**James L. Manley** received a B.S. from Columbia University and a Ph.D. from Stony Brook/Cold Spring Harbor Laboratory and did postdoctoral work at the Massachusetts Institute of Technology. He has been in the Department of Biological Sciences at Columbia University since 1980 and was chair from 1995 to 2001 and Julian Clarence Levi professor of life sciences since 1995. His research interests center on understanding the mechanisms and regulation of gene expression in mammalian cells. His work has been supported by many grants, including an NIH MERIT Award. He has authored or coauthored over 300 research articles and reviews on these topics and is an ISI Highly Cited Researcher. Dr. Manley is or has been an editor of three journals and has served on numerous editorial boards and review panels. He is a fellow of the American Academy of Microbiology, the American Academy of Arts and Sciences, and the American Association for the Advancement of Science and a member of the National Academy of Sciences.



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**Liang Tong** is currently professor and chair of the Department of Biological Sciences at Columbia University in New York City. He received his Ph.D. in 1989 from the University of California at Berkeley and did his postdoctoral research at Purdue University. In 1992, he became a senior scientist (and in 1996 the principal scientist) at Boehringer Ingelheim Pharmaceuticals, Inc., Ridgefield, CT. He is the recipient of the first Boehringer Ingelheim (Worldwide) Research and Development Award. He became an associate professor at Columbia University in 1997 and a professor in 2004. He has published more than 220 papers, and his research focuses on structural and functional studies of proteins involved in mRNA processing and enzymes involved in fatty acid metabolism. He was elected a fellow of the American Association for the Advancement of Science in 2009.

