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## A Prospective Study of Chronic Obstructive Pulmonary Disease and Risk of Mild Cognitive Impairment

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### Abstract

**BACKGROUND**—Previous studies suggest cross-sectional associations between a diagnosis of chronic obstructive pulmonary disease (COPD) and mild cognitive impairment (MCI). However, few studies have assessed whether COPD, a potentially modifiable factor, is associated with an increased risk of MCI and if the relation is specific to type of MCI.

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**Data Access, Responsibility, and Analysis:** Drs. Singh and Mielke had full access to all the data in the study and take responsibility for the integrity of the data and the accuracy of the data analysis.

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**OBJECTIVE**—To investigate whether a diagnosis of COPD, and COPD duration, is associated with an increased risk of incident MCI, and MCI subtypes (amnesic MCI (a-MCI) and non-amnesic MCI (na-MCI)).

**DESIGN**—Mayo Clinic Study on Aging, a prospective population-based cohort study.

**SETTING**—Olmsted County, Minnesota.

**PARTICIPANTS**—The study included 1425 cognitively normal individuals aged 70–89 years, who were randomly selected from Olmsted County, MN, on October 1, 2004, using the medical records linkage system.

**METHODS**—At baseline and every 15 months thereafter, participants were assessed with a nurse interview, neurological examination, and neuropsychological testing. A diagnosis of COPD was confirmed via medical record chart review. A baseline diagnosis of COPD and disease duration were examined as risk factors for MCI and MCI-subtypes using Cox proportional hazards models and adjusting for demographic variables and medical comorbidities, using age as the time scale.

**MAIN OUTCOME MEASURES**—Incident MCI, amnesic MCI, non-amnesic MCI

**RESULTS**—Of 1425 cognitively normal subjects at baseline, 370 developed incident MCI. The median duration of follow-up was 5.1 years (Interquartile Range [IQR], 3.8–5.4 years). COPD significantly increased the risk of na-MCI by 83% (HR 1.83; 95% CI, 1.04–3.23), but not any MCI or a-MCI in multivariate analyses. There was a dose-response relationship such that individuals with COPD duration of 5 years or longer at baseline had the greatest risk of both MCI (HR 1.58, 95% CI:1.04, 2.40) and na-MCI (HR 2.58, 95% CI:1.32–5.06).

**CONCLUSIONS AND RELEVANCE**—COPD was associated with an increased risk of MCI, particularly na-MCI. There was a dose-response relationship between COPD duration and risk of MCI. These findings highlight the importance of COPD as a risk factor for MCI and may provide a substrate for early intervention to prevent or delay the onset and progression of MCI, particularly na-MCI.

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Chronic Obstructive Pulmonary Disease (COPD) is a progressive, but potentially treatable and preventable, disease characterized by the chronic airflow limitation and associated with an abnormal inflammatory response of the lungs to noxious particles or gases.<sup>1</sup> Current surveillance data show that more than 13.5 million adults aged 25 years and older suffer from COPD in the United States.<sup>2</sup> Chronic airflow limitation can result in hypoxemia and hypercapnia, which may predispose these patients to an increased risk of cognitive dysfunction.<sup>3,4</sup>

Previous studies suggest COPD is associated with hypoxemia and cognitive impairment.<sup>4–6</sup> Cross-sectional studies have also reported a higher frequency of mild cognitive impairment (MCI) in individuals with, versus without, a diagnosis of COPD<sup>7,8</sup>. In the absence of a curative therapy for dementia, the early identification of modifiable risk factors for MCI, the earliest symptomatic phase of dementia, is important for preventing or delaying the onset of cognitive impairment. One recent longitudinal study reported that persons with COPD and asthma were at increased risk of MCI/dementia.<sup>9</sup> However, this study utilized self-reported diagnoses and did not examine MCI subtypes (amnesic MCI (a-MCI) and non-amnesic

MCI (na-MCI), which may provide insights into the underlying MCI etiology. In the present study, we examined the association between medical-record confirmed diagnoses of COPD, COPD duration, and risk of MCI and MCI subtypes in cognitively normal individuals enrolled and followed in the Mayo Clinic Study of Aging (MCSA).

## Methods

The MCSA is a prospective, population-based study designed to identify the prevalence, incidence, and risk factors of MCI. The details of the study design have been published.<sup>10</sup> In brief, from an enumeration of Olmsted County, MN residents, aged 70–89 years on October 1, 2004 (n = 9953), identified using the Rochester Epidemiology Project (REP) medical records linkage system,<sup>11</sup> a sample of 5233 was randomly selected by age- and sex-stratification. The study cohort was evaluated for eligibility: residency in Olmsted County, MN, absence of dementia (determined through medical record review by a behavioral neurologist), and not terminally ill or in hospice. Out of the 4398 eligible individuals, 2719 agreed to participate (2050 were evaluated in person and 669 were evaluated via telephone interview). After excluding prevalent cases of MCI, and individuals who died or dropped out prior to any follow-up, the present analysis included 1425 cognitively normal subjects at baseline (eFigure 1). The study was approved by the Institutional Review Boards of the Mayo Clinic and of Olmsted Medical Center (OMC). Written informed consent was obtained from all participants.

## Assessment of Cognitive Status

All participants were interviewed by a nurse or study coordinator, had a neurologic evaluation by a physician, and completed neuropsychological testing administered by a psychometrist.<sup>10</sup> The nurse interview included questions about memory to both the participant and an informant using the Clinical Dementia Rating scale.<sup>12</sup> The physician examination included a medical history review, a complete neurological examination, and administration of the Short Test of Mental Status<sup>13</sup> and the Unified Parkinson's Disease Rating Scale.<sup>14</sup> The neuropsychological battery consisted of 9 cognitive tests used to assess function in four domains (memory, language, executive function and visuospatial skills). For the purpose of determining impairment for a MCI diagnosis, the raw scores on each test were age- and education-adjusted and scaled using normative data from the Mayo's Older American Normative Studies.<sup>15</sup> Within each domain, the scaled test scores were summed and scaled to obtain the final global and domain-specific z-scores.<sup>10</sup> A domain-specific score less than 1.0 standard deviation (SD) below the age-specific mean among the general population was considered as possible cognitive impairment. A decision about impairment in a cognitive domain was made taking into consideration occupation. A diagnosis of normal cognition, MCI, or dementia was made according to published criteria and was based on a consensus agreement between the interviewing nurse, examining physician, and the neuropsychologist taking into account all the information collected.<sup>10,16</sup> At follow-up visits, cognitive diagnoses from previous evaluations were blinded. MCI cases were further classified into amnesic (a-MCI) or non-amnesic (na-MCI) MCI depending on whether the memory domain was impaired.

## Ascertainment of COPD Diagnosis

We identified potential cases of COPD using two sources of information: a) automated digital algorithms,<sup>17</sup> and b) ascertainment of diagnoses through the REP medical records linkage system.<sup>11</sup>

**a. Automated digital algorithm**—The automated digital algorithm is a highly sensitive automatic method of extracting comorbidities, including COPD, from the electronic medical records (EMR) using Boolean combinations of clinical variables and natural language processing data feeds.<sup>17</sup> The implementation of an automatic note search strategy to extract COPD from the EMR is advantageous in that it facilitates fast recognition of COPD cases with both high sensitivity (>98%) and specificity (>99%).<sup>17,18</sup> However, some providers in Olmsted County do not have medical records that can be accessed by the digital algorithms. As a result, we also used the International Classification of Diseases [ICD-9] and Hospital International Classification of Diseases Adapted [HICDA] codes from the REP and manual medical record data abstraction to identify individuals with COPD.

**b. Medical records ascertainment**—The REP compiles the medical records and residency status of each person who visited any health care provider in Olmsted County since January 1, 1966; this primarily includes the Mayo Clinic, Olmsted Medical Center, and their affiliated clinics.<sup>11</sup> Using the REP records linkage system, we identified all MCSA subjects with any of the following primary ICD or HICDA codes indicative of possible COPD: 491.xx - chronic bronchitis, 492.xx - emphysema, or 496.xx - chronic airway obstruction, not elsewhere classified.<sup>19</sup>

After the identification of potential participants with COPD, the clinical records of the subjects were reviewed to make a confirmatory diagnosis. Patients were determined to have COPD if there was a physician diagnosis of COPD in the medical record (eg, documented diagnosis of chronic bronchitis, emphysema, COPD in the admission note, progress note, or discharge summary from the index hospitalization) and/or use of COPD medication therapy (eg, beta2-agonists, anticholinergics, or methylxanthines). Of the 1425 cognitively normal individuals at baseline, 171 individuals were confirmed to have a diagnosis of COPD. The Kappa for both the automated digital algorithms and identification of COPD cases using the REP codes was excellent (Kappa = 0.8, with 94% agreement).

To assess the reliability of abstracting information for a COPD diagnoses, two reviewers independently abstracted the EMR of 50 randomly selected subjects (10 COPD and 40 non-COPD cases). The inter-reviewer agreement was also excellent, Kappa = 0.9 (94% agreement).

## Assessment of Covariates

Demographics (age, sex, education) were assessed by interview at the baseline visit. Participants were asked to bring all medications to the study visit and the names of medications were recorded. Information related to smoking history (former, current or never smokers), cardiovascular comorbidities, hypertension, coronary artery disease (angina, myocardial infarction, coronary revascularization, or coronary artery bypass grafting), and

diabetes were abstracted from a review of the EMR. Depressive symptoms were assessed using the Beck Depression Inventory II Scale.<sup>20</sup> Evidence of a stroke was assessed at baseline by the study physician and further validated using the medical records. The study coordinator measured height and weight for computing body mass index (BMI). APOE genotyping was performed using standard methods.

### Statistical Analysis

Continuous variables were reported as medians with the interquartile range (IQR), and categorical variables as counts with percentages. Differences in the baseline demographic and health-related characteristics between subjects with and without MCI, and with and without COPD, were examined using chi-square tests for categorical variables and Wilcoxon Rank Sum tests for continuous variables. The date of onset of MCI was defined as the midpoint between the last evaluation when the subject was cognitively normal and the first evaluation when the subject received a diagnosis of MCI. For the 16 subjects who progressed directly from normal cognition at one visit to dementia at the next visit, the date of onset of MCI was defined as the midpoint between the last evaluation when the subject was cognitively normal and first evaluation when the subject was diagnosed as having dementia. Subjects who refused further participation, were lost to follow up, or died were censored at their last evaluation.

Multivariate Cox proportional hazard models were used to investigate the association between COPD and incident MCI and MCI subtypes, with age as a time scale, directly standardized by age and sex to the Olmsted County population on October 1, 2004, and adjusted for nonparticipation at baseline using reciprocal probability weighting in Poisson regression models. Results are reported as hazard ratios (HR) with 95% confidence intervals (CI). Three models were evaluated, each building upon the previous model. Model 1 adjusted for age, education, and sex. Model 2 controlled for the variables in Model 1 plus BDI-II depression scores (as a categorical variable, <13 and ≥13) and history of stroke. Model 3 controlled for the variables in Model 2 and also included APOE genotype (any ε4 vs no ε4), smoking (ever vs never smoker), diabetes, hypertension, coronary artery disease, BMI and baseline global z-score. The proportional hazard assumption for COPD at enrollment, COPD duration at baseline, and COPD duration levels defined at baseline (no COPD, duration ≤5 years, >5 years), were valid. COPD duration was dichotomized at the median of 5 years and the same models were run. There was no significant interaction between age and COPD or sex and COPD. All the statistical tests were performed at the conventional 2-tailed alpha level of 0.05 using JMP 9.0.1 and SAS (SAS Institute, Cary, NC) software.

## RESULTS

Of the 1425 cognitively normal subjects with at least one follow-up visit, 370 developed incident MCI: 230 (62.2%) had a-MCI, 97 (26.2%) had na-MCI, 27 (7.3%) had MCI of unknown type, and 16 (4.3%) went from being cognitively normal at one visit to dementia at the next (Figure 1). The median duration of follow-up was 5.1 years (IQR, 3.8–5.4 years). Compared to participants included in the subsequent analyses, those who were lost to

follow-up had lower education (median of 12 vs 13 years,  $P = .016$ ) but were similar in sex, frequency of stroke, cardiovascular comorbidities, diabetes and COPD. At baseline, participants who developed incident MCI were significantly ( $p < 0.05$ ; Table 1) older, less educated, and had a higher frequency of stroke, diabetes, depression, and APOE  $\epsilon 4$  genotype compared to those who remained cognitively normal.

There were 171 individuals with a COPD diagnosis at baseline (Table 2). Compared to subjects without a COPD diagnosis, those with a diagnosis were more often ( $p < 0.05$ ) men, older, had a higher frequency of coronary artery disease (CAD), hypertension and stroke, and were more likely to report former or current smoking.

A diagnosis of COPD was associated with an increased risk of MCI (HR 1.43; 95% CI, 1.07–1.91) adjusting for covariates in Model 2, but the result was attenuated and borderline-non significant after additional adjustment for variables in Model 3 (HR 1.33; 95% CI: 0.96–1.84) (Table 3). When examining MCI subtypes, COPD was associated with almost a two-fold risk of na-MCI (HR 1.83; 95% CI, 1.04–3.23) in the fully adjusted Model 3. COPD was not associated with an increased risk of a-MCI.

### COPD Duration and Association with MCI

There was a dose-response relationship between duration of COPD and risk of any MCI and na-MCI (Table 4). The overall HR for any MCI increased from 1.11 (95% CI, 0.70–1.74) in subjects with a COPD duration of  $\leq 5$  years at baseline to 1.58 (95% CI, 1.04–2.40) in subjects with a COPD duration  $> 5$  years. Similarly, the overall HR for na-MCI increased from 1.14 (95% CI, 0.46–2.79) in subjects with a COPD duration at baseline of  $\leq 5$  years to 2.58 (95% CI, 1.32–5.06) in subjects with COPD  $> 5$  years. We did not observe a dose-response effect when examining duration of COPD and a-MCI.

### Discussion

In this population-based, prospective study of individuals aged 70 and older, COPD was associated with an increased risk of na-MCI and borderline non-significant for incident MCI. Further, there was a dose-response relationship such that the greatest risk of MCI and na-MCI was among individuals with a COPD duration of five years or more. These findings highlight the importance of COPD as a risk factor for MCI and may provide a substrate for early intervention, or to more aggressively treat COPD at earlier timepoints, to prevent or delay the onset and progression of MCI, particularly na-MCI.

Previous studies have shown that COPD is associated with poor performance in tests of attention, memory and executive function, especially in severe COPD patients.<sup>5,21,22</sup> However, only two cross-sectional studies have investigated the association between COPD and MCI using standard criteria. One study compared the frequency of MCI among 45 patients with moderate to severe COPD and 50 healthy controls referred from an outpatient pulmonary clinic.<sup>8</sup> The moderate to severe COPD group had a higher frequency of MCI, especially na-MCI, than the healthy controls. In the MCSA, we also recently reported a higher frequency of COPD in persons with MCI compared to cognitively normal individuals.<sup>7</sup> However, only one previous longitudinal study from Finland examine whether

COPD was associated with risk of MCI.<sup>9</sup> The authors reported that a diagnosis of COPD in mid-life (aged 39–64), but not in late-life (aged 65–80), was associated with an increased risk of MCI (HR 1.85; 95% CI, 1.05–3.28). In fact, there was a trend for individuals with COPD in late-life to have a reduced risk of MCI. In contrast to this study, we found that a diagnosis of COPD was associated with an increased risk of both MCI and na-MCI, and that the risk increased with COPD duration. There are several potential explanations for the incongruent results. First, the prior study incorporated self-reported COPD while we reviewed the medical records to confirm a diagnosis of COPD. Second, in the Finland study,<sup>9</sup> investigators used a multistage evaluation such that only subjects who screened positive were fully evaluated for a MCI diagnosis, which may have missed some individuals. In the MCSA, we comprehensively evaluated every subject and the consensus-based approach provided diagnoses of MCI and MCI subtypes.<sup>23</sup> Last, the cognitive status of subjects in Finland study<sup>9</sup> was evaluated several years after baseline (> 10 years), while the MCSA evaluated the study participants at 15-month intervals. COPD is associated with increased risk of mortality, especially in the elderly. Therefore, a long interval between the baseline and follow-up visit could have led to a survival bias and resulted in an inverse association among COPD and MCI.

The increased risk of na-MCI in patients with COPD indicates a potential role of inflammation and vascular disease in the pathogenesis of na-MCI. COPD patients have increased systemic inflammatory markers including interleukin-6, C-reactive protein, leukotriene B4, cytokine TNF-Alpha, and interleukin-8.<sup>24–26</sup> These inflammatory markers have been associated with cognitive impairment and na-MCI.<sup>27–29</sup> COPD is also associated with an increased risk of cardiovascular diseases (CVD),<sup>30</sup> and CVD is a risk factor for MCI.<sup>31–33</sup> Importantly, even after adjustment for vascular diseases and factors, the association between COPD and MCI persisted suggesting that COPD is an independent predictor of MCI risk.

The association between COPD and MCI risk could also be mediated by the increased hypoxic insults to the brain, which may lead to the generation of free radicals, inflammation, neuronal damage, and glial activation.<sup>34</sup> Furthermore, hypoxia in COPD could lead to impairment in executive tasks requiring attention allocation,<sup>35</sup> possibly explaining the association between COPD and na-MCI in our study. Patients with COPD have altered<sup>6</sup> and depressed cerebral perfusion,<sup>36</sup> which along with decreased oxygen saturation, could have a significant effect on cognitive function. Indeed, the deterioration in cerebral perfusion and cognitive performance has been shown to be greater in the hypoxemic COPD patients than non-hypoxemic patients.<sup>6</sup> Plasma clusterin (ie, apolipoprotein-J), may be another mediator of the COPD-MCI relationship. Clusterin has been shown to be elevated in patients with COPD<sup>3</sup> and associated with cognitive decline.<sup>37</sup>

This study has several strengths. First, the MCSA is a population-based, prospective-cohort study, designed to investigate the association between COPD and MCI. The subjects were an elderly cohort of subjects aged 70–89 years, who were randomly selected using the REP medical records-linkage system. Second, the diagnosis of the MCI was made by a comprehensive clinical evaluation and by a consensus decision among the examining physician, nurse, and a neuropsychologist, thus enhancing the reliability of the MCI

diagnosis. Third, the investigators assessing the medical records for the identification of COPD cases were blinded to the diagnosis of MCI, thus avoiding diagnostic suspicion bias.

Limitations also warrant consideration. First, we defined COPD as “physician-diagnosed COPD” and did not base the definition on spirometry, which is the recommended diagnostic test for COPD but was not routinely available in our population. In the absence of spirometry, previous studies have shown that COPD, particularly mild COPD, is under-diagnosed in the population.<sup>2,38</sup> However, physician-diagnosed COPD may also over-diagnose COPD if not confirmed by spirometry.<sup>39,40</sup> Second, the Olmsted County population, aged 70 and older, is primarily white and of European ancestry, potentially reducing the generalizability of the study results to other populations. However, the findings from the previous studies conducted in Olmsted County have been shown to be generalizable to the Upper Midwest population,<sup>41</sup> and thereby provide important information regarding various diseases, and are consistent with national data.<sup>41</sup> Third, we did not have adequate data for smoking duration and could not adjust for years of smoking. Instead, we characterized smokers as ever vs. never smokers at baseline. Controlling for smoking status had little effect on the models suggesting that the association between COPD and MCI is independent of smoking status.

## Supplementary Material

Refer to Web version on PubMed Central for supplementary material.

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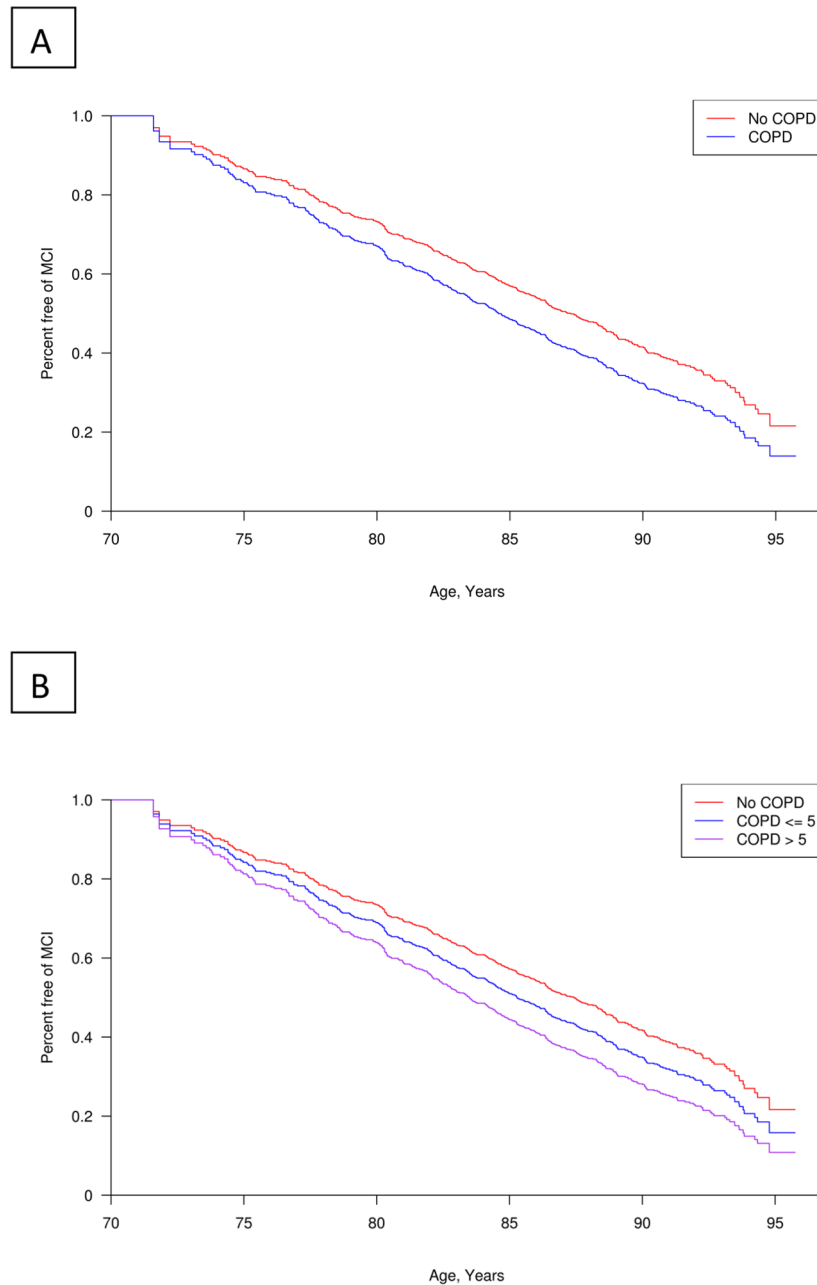
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**Figure 1. Adjusted Kaplan-Meier plots of COPD and risk of MCI**

Figure 1A shows the relationship between COPD (Yes/No) and percent-free survival of MCI. Figure 1B shows the relationship between COPD duration (>5 years vs. less) or no COPD and percent-free survival of MCI with age as the timescale.

**Table 1**

## Baseline Characteristics of the Study Participants by Cognitive Status

Baseline Variables	MCI (n=370)	Normal cognition (n=1055)	P Value
Male sex, N (%)	186 (50.27)	529 (50.14)	.9662
Age (years) -- median (IQR)	82.08 (77.42, 85.16)	78.33 (74.37, 82.73)	<.0001
Education (years) -- median (IQR)	12 (12, 15)	13 (12, 16)	<.0001
APOE E4 allele (E24/34/44 vs. 22/23/33), N (%) <sup>a</sup>	109 (29.54)	239 (22.78)	.0095
Hypertension, N (%)	290 (78.38)	794 (75.26)	.2265
Stroke, N (%)	48 (12.97)	87 (8.25)	.0076
BDI-II Depression ( 13), N (%) <sup>b</sup>	42(11.80)	65 (6.38)	.0010
BMI, median (IQR) <sup>c</sup>	27.27 (24.04, 30.23)	27.20 (24.49, 30.34)	.5075
Smokers			.8700
Never	193 (52.16)	539 (51.09)	
Former	162 (43.78)	477 (45.21)	
Current	15 (4.05)	39 (3.70)	
Coronary artery disease, N (%)	161 (43.51)	415 (39.34)	.1589
Diabetes Mellitus, N (%)	78 (21.08)	165 (15.64)	.0166
COPD, N (%)	52 (14.05)	119(11.28)	.1576
Memory z score – median (IQR)	-0.28 (-0.83, 0.26)	0.45 (-0.14, 1.03)	<.0001
Language z score – median (IQR)	-0.23 (-0.90, 0.35)	0.37 (-0.18, 0.93)	<.0001
Attention z score – median (IQR)	-0.22 (-0.83, 0.37)	0.46 (-0.10, 0.94)	<.0001
Visual Spatial z score – median (IQR)	-0.18 (-0.90, 0.38)	0.38 (-0.24, 0.92)	<.0001
Global z score – median (IQR)	-0.38 (-0.87, 0.16)	0.51 (-0.04, 1.01)	<.0001

Notes: Data are n (%) or median (IQR). Abbreviations: IQR, interquartile ratio; BMI, body mass index; BDI, Beck Depression Inventory; COPD, chronic obstructive pulmonary disease; MI, myocardial infarction.

<sup>a</sup>7 patients missing APOE status (6 Normal, 1 MCI), percentage was based on non-missing data.

<sup>b</sup>50 patients missing BMI (36 Normal, 14 MCI), percentage was based on non-missing data.

<sup>c</sup>26 patients missing BDI-II Depression (18 Normal, 8 MCI), percentage was based on non-missing data.

**Table 2**

## Baseline Characteristics of the Study Participants According to the COPD Status

Baseline Variables	COPD (n=171)	No-COPD (n=1254)	P Value
Male sex, N (%)	100 (58.48)	615 (49.04)	0.0206
Age (years) -- median (IQR)	80.79 (75.89 – 83.87)	79.10 (74.86 – 83.34)	0.0499
Education (years) -- median (IQR)	13 (12–16)	13 (12–16)	0.1094
APOE E4 allele (E24/34/44 vs 22/23/33), N (%) <sup>a</sup>	42 (24.85)	306 (24.50)	0.9204
Hypertension, N (%)	143 (83.63)	941 (75.04)	0.0136
Coronary artery disease, N (%)	108 (63.16)	468 (37.32)	<0.0001
Myocardial infarction, N (%)	35 (20.47)	178 (14.19)	0.0309
Diabetes Mellitus, N (%)	28 (16.37)	215 (17.15)	0.8015
Stroke, N (%)	24 (14.04)	111 (8.85)	0.0299
BDI-II Depression (13), N (%) <sup>b</sup>	14 (8.38)	93 (7.70)	0.7569
BMI, median (IQR) <sup>c</sup>	27.52 (24.33–30.85)	27.19 (24.40–30.18)	0.2007
<b>Smokers</b>			<0.0001
Never	34 (19.88)	704 (56.14)	
Current	32 (18.71)	22 (1.75)	
Former	105 (61.40)	528 (42.11)	
<b>Domain z score</b>			
Memory -- median (IQR) <sup>d</sup>	0.03 (–0.48, 0.58)	0.29 (–0.35, 0.92)	0.0029
Language -- median (IQR) <sup>e</sup>	0.12 (–0.57, 0.67)	0.25 (–0.31, 0.81)	0.0164
Attention -- median (IQR) <sup>f</sup>	0.002 (–0.55, 0.59)	0.34 (–0.27, 0.84)	0.0004
Visual Spatial -- median (IQR) <sup>g</sup>	0.05 (–0.61, 0.63)	0.27 (–0.37, 0.80)	0.0189
Global -- median (IQR) <sup>h</sup>	0.05 (–0.61, 0.66)	0.33 (–0.25, 0.90)	0.0007

Notes: Data are n (%) or median (IQR). Abbreviations: IQR, interquartile ratio; BMI, body mass index; BDI, Beck Depression Inventory; COPD, chronic obstructive pulmonary disease; MI, myocardial infarction.

<sup>a</sup>7 patients missing APOE status (2 COPD, 5 no-COPD), percentage was based on non-missing data.

<sup>b</sup>50 patients missing BDI-II Depression (4 COPD, 46 no-COPD).

<sup>c</sup>26 patients missing BMI (5 COPD, 21 no-COPD).

<sup>d</sup>17 patients missing memory z score (12 Normal, 5 MCI).

<sup>e</sup>56 patients missing language z score (40 Normal, 16 MCI).

<sup>f</sup>72 patients missing attention z score (47 Normal, 25 MCI).

<sup>g</sup>71 patients missing visual spatial z score (49 Normal, 22 MCI).

<sup>h</sup>101 patients missing global z score (69 Normal, 32 MCI).

Table 3

Association of COPD with Incident MCI and MCI Subtypes

MCI Type	Model 1 <sup>a</sup>			Model 2 <sup>b</sup>			Model 3 <sup>c</sup>		
	Incident MCI/At risk, N	HR (95% CI)	Incident MCI/At risk, N	HR (95% CI)	Incident MCI/At risk, N	HR (95% CI)	Incident MCI/At risk, N	HR (95% CI)	
Any MCI	370/1,425	<b>1.37 (1.03, 1.83)</b>	356/1,375	<b>1.43 (1.07, 1.91)</b>	318/1,250	<b>1.33 (0.96, 1.84)</b>			
Amnesic MCI	230/1,425	0.87 (0.57, 1.35)	222/1,375	0.88 (0.57, 1.37)	203/1,250	0.97 (0.61, 1.54)			
Non-amnesic MCI	97/1,425	<b>2.01 (1.23, 3.28)</b>	92/1,375	2.22 (1.36, 3.64)	83/1,250	<b>1.83 (1.04, 3.23)</b>			

Abbreviations: COPD, chronic obstructive pulmonary disease; MCI, mild cognitive impairment.

No-COPD is the reference group [HR 1.00] for all the analyses. **Numbers in bold denotes significant association.**<sup>a</sup>Model 1 adjusted for education as a continuous variable, sex where applicable, and age at baseline as time variable.<sup>b</sup>Model 2 additionally adjusted for BDI-II Depression, and history of stroke.<sup>c</sup>Model 3 includes model 2 variables, with additional adjustment for APOEε4 genotype, smoking, diabetes, hypertension, coronary artery disease, z-scores and BMI.

**Table 4**  
Hazard Ratios for Association of Mild Cognitive Impairment by COPD Duration

MCI Type	Model 1 <sup>a</sup>			Model 2 <sup>b</sup>			Model 3 <sup>c</sup>		
	Incident MCI/At risk, N	HR (95% CI)	COPD duration > 5 years	Incident MCI/At risk, N	HR (95% CI)	COPD duration > 5 years	Incident MCI/At risk, N	HR (95% CI)	COPD duration > 5 years
Any MCI	370/1,425	1.22 (0.79, 1.88)	1.49 (1.04, 2.14)	356/1,375	1.26 (0.82, 1.95)	1.57 (1.09, 2.26)	318/1,250	1.11 (0.70, 1.74)	1.58 (1.04, 2.40)
Amnesic MCI	230/1,425	1.23 (0.72, 2.10)	0.58 (0.29, 1.15)	222/1,375	1.26 (0.74, 2.15)	0.56 (0.27, 1.15)	203/1,250	1.23 (0.71, 2.13)	0.67 (0.32, 1.42)
Non- Amnesic MCI	97/1,425	1.21 (0.51, 2.90)	<b>2.63 (1.51, 4.58)</b>	92/1,375	1.30 (0.54, 3.13)	<b>2.97 (1.70, 5.18)</b>	83/1,250	1.14 (0.46, 2.79)	<b>2.58 (1.32, 5.06)</b>

Abbreviations: COPD, chronic obstructive pulmonary disease; MCI, mild cognitive impairment.

No-COPD is the reference group [HR 1.00] for all the analyses. **Numbers in bold denotes significant association.**

<sup>a</sup>Model 1 adjusted for education as a continuous variable, sex where applicable, and age at baseline as time variable.

<sup>b</sup>Model 2 additionally adjusted for BDI-II Depression, and history of stroke.

<sup>c</sup>Model 3 includes model 2 variables, with additional adjustment for APOEε4 genotype, smoking, diabetes, hypertension, coronary artery disease, z-scores and BMI.