

NIH Public Access

Author Manuscript

Ann Epidemiol. Author manuscript; available in PMC 2014 May 15.

Published in final edited form as:

Ann Epidemiol. 2013 December ; 23(12): 750–756. doi:10.1016/j.annepidem.2013.07.022.

Incidence of sport-related traumatic brain injury and risk factors of severity: a population-based epidemiologic study

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Abstract

Purpose—Few studies of sport-related traumatic brain injury (TBI) are population-based or rely on directly observed data on cause, demographic characteristics, and severity. This study addresses the epidemiology of sport-related TBI in a large population.

Methods—Data on all South Carolina hospital and emergency department encounters for TBI, 1998–2011, were analyzed. Annual incidence rate of sport-related TBI was calculated, and rates were compared across demographic groups. Sport-related TBI severity was modeled as a function of demographic and TBI characteristics using logistic regression.

Results—A total of 16,642 individuals with sport-related TBI yielded an average annual incidence rate of 31.5/100,000 population with a steady increase from 19.7 in 1998 to 45.6 in 2011. The most common mechanisms of sport-related TBI were kicked in football (38.1%), followed by fall injuries in sports (20.3%). Incidence rate was greatest in adolescents ages 12–18 (120.6/100,000/persons). Severe sport-related TBI was strongly associated with off-road vehicular sport (odds ratio [OR], 4.73; 95% confidence interval [95% CI], 2.92–7.67); repeated head trauma (OR, 4.36; 95% CI, 3.69–5.15); equestrian sport (OR, 2.73; 95% CI, 1.64–4.51); and falls during sport activities (OR, 2.72; 95% CI, 1.67–4.46).

Conclusions—The high incidence of sport-related TBI in youth, potential for repetitive mild TBI, and its long-term consequences on learning warrants coordinated surveillance activities and population-based outcome studies.

Keywords

Sport-related TBI; Severity; Concussion; Mechanism of injury; Repetitive TBI

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Introduction

Each year, 1.7 million Americans experience traumatic brain injury (TBI), with 275,000 hospitalizations and 52,000 deaths occurring as a result [1]. TBI, including concussion, can lead to chronic and long-term disability [2]. Population-based studies indicate ~40% of TBI survivors developed persistent functional deficits within a year [3,4]. Population-based studies of sports and recreation-related TBI (sport-TBI) are sparse and yield equivocal results. For example, incidence rates of sport-TBI derived from data sources that are not representative of the base population yield widely discrepant annual estimates ranging from 2.6/100,000 to 124/100,000 population [5,6]. Differing target populations and case ascertainment criteria resulted in wide variation in estimates, and risk factors for severity of sport-TBI are inadequately evaluated [7]. Most published studies are based on self-report from household surveys, various data sources lacking uniform variable definition, or targeted surveys from athletics programs in high schools and colleges [8–11].

Many studies of sport-TBI focused on professional athletes, high school, and college players; specific types of sport; or specific TBI type (mainly concussions) and relied on reports of coaches, athletic trainers, and trained observers with emphasis on evaluating kinematics and time elapsing before returning to play [10–17]. These studies report incidence rates based on exposure time and play seasons as the denominator, which hamper comparability with population-based rate estimates [18]. Nonetheless, the greater incidence of concussion in contact sports such as football and rugby, gender differences as a function of the type of sport, and greater risk of TBI with repetitiveness of head trauma have been reported from these studies [10,15,16,18–21].

Although the estimates of sport-TBI in the literature vary widely, researchers agree on the increasing trend during the past 20 years [19,20,22]. This trend may be attributed to (1) increased case detection because of heightened awareness of the deleterious effects of concussions and mild TBI on memory and behavior; (2) stricter regulation in reporting sport injuries; and (3) increased awareness of the beneficial effects of physical activity in healthseeking behavior, in turn promoting greater participation in sport activities and free plays in communities [20,22–24].

Our study addresses gaps in the literature of sport-TBI by using data from an ongoing surveillance program of central nervous system trauma that receives detailed information on all clinical encounters in a statewide population with uniform coding criteria and data definitions. The study used external cause of injury codes (E-codes) specific to sport injuries, in combination with E-codes that indicate places of occurrence to arrive at a conservative but reasonably accurate population-based incidence rates in a statewide population. Specifically, we examined the mechanisms by which injuries occurred, TBI type, frequency of TBI (repetitiveness), and severity of TBI to identify high-risk population groups. The study hypothesizes that persons with previous TBI and older individuals are more likely to have severe sport-TBI than those without any evidence of previous TBI and youngest age group.

Methods

Data sources used for this study are from an ongoing surveillance activity of TBI in South Carolina put in place since 1996. All 65 nonfederal hospitals and 67 emergency departments (ED) that provide health services to the civilian population of the state are included. Selfstanding private physician offices and urgent care facilities are not included. Detailed information about the surveillance system and the data inventory are found at [http://](http://academicdepartments.musc.edu/sctbifr/survey.htm) academicdepartments.musc.edu/sctbifr/survey.htm. E-code completion rates of the TBI surveillance system are 95.2% and 92% for primary and secondary E-codes, respectively. Data, including person identifiers, were obtained on all persons evaluated in the ED or hospitalized for TBI from January 1, 1998, through December 31, 2011. TBI cases were ascertained through use of the *International Classification of Diseases*, 9th revision, *Clinical Modification* (ICD-9-CM) [25] diagnosis codes 800–801, 803–804, 850–854, and 959.01 [26]. We excluded patients coded for late effects of TBI (905.0, 907.0), repeat admissions for the same TBI, and observations with missing primary E-codes (Fig. 1). The TBI surveillance system incorporates a data evaluation protocol in which 12% and 30% of ED and hospital records on TBI are abstracted to ascertain the diagnosis and acquire additional information. The Medical University of South Carolina Institutional Review Board approved this study. Sport-relatedness of TBI was ascertained based on E-codes with mention of sport activities (Table 1). For ambiguous causes, such as "falls" or "struck by/struck against," TBI was considered sports-related if the injury occurred in places of sports (stadium, gymnasium, tennis court, etc.).

Definitions

The dependent variable, TBI severity, was determined by translating diagnosis codes into the Abbreviated Injury Scale (AIS) of the head region and dichotomized into mild ($AIS = 2$) or severe $(AIS = 3-6)$ [27] via the use of ICDMAP software [28]. AIS derived from translation of diagnosis codes exhibited very good interrater reliability and validity [29,30]. Mechanism of injury was categorized on the basis of E-codes as shown in Table 1, section A. Although TBI in bicyclists is common, ICD-9-CM coding does not allow distinguishing between transport-related and sport-related TBI; therefore, bicycling is not included in these analyses. TBI type was classified on the basis of the ICD-9-CM diagnosis codes (Table 1, section B). Demographic variables were categorized as shown in Table 2.

Socioeconomic status (SES) was approximated by combining the median household income of the individual's census tract and health insurance coverage. Those covered by Medicaid or the Medically Indigent Assistance Program were considered "low SES" regardless of the median household income of the census tract. We used the Elixhauser comorbidity scale [31] to identify comorbid conditions, such as seizures and hypoglycemic episodes, which might increase the risk of severe TBI. All ICD-9-CM diagnosis fields were searched for these comorbid conditions; individuals were dichotomized into "yes" or "no." An individual with injuries to body regions other than the head was classified as having concomitant injury. Hospital trauma facility level is assigned by the SC Department of Health and Environmental Control based on national guidelines. Repetitive TBI was classified based on the occurrence of multiple sport-TBIs at least 3 days apart.

Statistical analysis

Data analyses relied on SAS software package, version 9.3.1 [32]. Confidence intervals (CI) for proportions were constructed assuming independence of the proportions under normal approximation. Rates were calculated based on the bridge population estimates obtained from the National Center for Health Statistics [33]. The denominator was adjusted to reflect the civilian population of SC receiving health care from nonfederal hospitals. Associations of the independent variables with TBI severity were assessed with the use of univariate and multivariable logistic regression using the generalized logit model. Variables with bivariate association, *P* .10, were included in the multivariable model. Multicollinearity among covariates was evaluated by assessing the deviations of the regression coefficients and their standard errors in the fitted univariate and multivariate models, and none were found [34]. Model fit and discriminatory capacity was assessed using the Hosmer–Lemeshow Goodness-of-fit test and receiving operating characteristic, respectively [35]. The unadjusted and adjusted odds ratios (OR) and 95% CI are reported.

Results

From 1998 through 2011, there were 16,642 individuals identified with sport-TBI yielding an average annual incidence rate of 31.5/100,000 population. The most common mechanism of sport-TBI was being kicked in football/rugby (38.1%), followed by fall injuries in sports and/or play activities (20.3%), and off-road motorized vehicle (OMV) injuries (16.1%). OMV-related sport-TBI occurred in young adults (mean age 22.7 years; 95% CI, 22.2–23.8). TBI resulting from equestrian sport represented 9.2%. Large proportions of equestrian sport-TBI occurred among white (93%) and female (70%) patients (data not shown).The least common mechanism was water sports (1.8%) such as diving and waterskiing. Concussion was the most common type of TBI in sport (49.2%) (Table 1).

Table 2 shows characteristics of persons with sport-TBI. Most sport-TBI (93.2%) was mild. Sport-TBI was more frequent in those ages 12–18 years (37.2%) and in males (69.3%). White patients accounted for 75% and persons in the middle SES group accounted for 38.5% of the persons with sport-TBI versus 65% and 30% of the state population, respectively. Conversely, the high SES group represents 19.0% of sport-TBI but 28.6% of the state population. Nearly 95% of the sport-TBIs were evaluated in the ED.

Incidence rates per 100,000 persons increased from 19.7 in 1998 to 45.6 in 2011 (Fig. 2). Although change in incidence rates from baseline was greater in female than in male patients (131% vs. 123%), gender difference in rates widened with advancing calendar years (17.4 in 1998 and 35.9 in 2011). All trends were statistically significant. Incidence rate was greatest in the 12- to 18-year age group (120.6/100,000 population) followed by the 6- to 11 year age group (61.0/100,000 population); after age 18 years, the incidence rate decreased precipitously (Fig. 3).

In our analysis, there were 1010 individuals (6.1%) with repetitive sport-TBI—5.6% had two and 0.5% sustained 3 sport-TBIs. Persons aged 24 years accounted for 85% of the repetitive sport-TBI (data not shown). Examination of risk factors after adjusting for covariates in the model shows persons with severe sport-TBI were four times more likely to

have had previous sport-TBI than persons without previous sport-TBI (OR, 4.36; 95% CI, 3.69–5.15; Table 3). Persons with severe sport-TBI were 4.70 times more likely (95% CI, $3.35-6.60$) to have been age 65 years than persons ages 0–11 years although they accounted for only 1.4% of sport-TBI. Risk of severe sport-TBI increased steadily with advancing age and was greater in male (OR, 1.85; 95% CI, 1.57–2.17) than female patients.

The risk of severe sport-TBI varied by the mechanism of injury. Compared with sport-TBI caused by tackle-in-sport, significantly increased associations were noted in OMV (OR, 4.73; 95% CI, 2.92–7.67); fall-in-sport (OR, 2.72; 95% CI, 1.67–4.46); equestrian sport (OR, 2.73; 95% CI, 1.64–4.51), kicked in football (OR, 2.46; 95% CI, 1.53–3.96) and injury in water-sport (OR, 1.96; 95% CI, 1.02–3.78). Persons with at least one comorbid condition (OR, 2.07; 95% CI, 1.75–2.45) and concomitant injuries (OR, 1.47; 95% CI, 1.30–1.66) had greater risk of severe sport-TBI than persons without comorbid condition and without concomitant injury, respectively.

Discussion

We analyzed data from a population-based TBI surveillance system spanning 14 years to provide a comprehensive yet conservative incidence rate of sport-TBI in a statewide population and quantification of risk characteristics of sport-TBI severity. Because of the lack of specificity of E-codes, population-based epidemiological studies are limited and risk factors of severity have not been adequately evaluated. E-codes that mention sport are so limited that only a third of sport-related injuries are identifiable by these codes [36]. To offset this limitation, one study used Bayesian conditional probabilities to approximate sport-related injuries. However, these estimates are controversial because prior and posterior distributions represent subjective states of knowledge [37,38]. We chose the frequentist approach of counting actual events using both mechanism and place of injury E-codes (Table 1) to enhance case detection.

Our findings showed an incidence rate of 31.5/100,000 population. Although our rate is lower than estimates provided from studies based on self-report and the National Electronic Injury Surveillance System [8,9], it was much greater than earlier estimates from state-based surveillance systems [5] and comparable to estimate from the National Hospital Ambulatory Medical Care Survey [6]. The annual incidence rate of sport-TBI increased steadily throughout the observation period as noted in other studies [19,22]. In affirmation of our hypotheses, the odds of severe sport-TBI increased with advancing age and increased fourfold in persons with repetitive sport-TBI.

Kicked in football was the most frequent mechanism accounting for 38.1% of all sport-TBI and 29% of all severe sport-TBI. Although the specific E-code (E917.0) mentions both football and rugby, the latter is a rare sport activity in South Carolina [39]. As seen in other studies, TBI from being kicked in football occurred primarily in the 12- to 24-year age range (72.4%), a segment of the population likely to participate in middle, high school, and college football [14,40].

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Falls were the second most common mechanism (20.3%). Falls from rollerblading, skateboarding, and nonmotorized scooters accounted for 43.6% of the fall injuries. The popularity of skateboarding and roller-skating among adolescents and the potential for head trauma deserve more attention. A recent analysis of the National Trauma Data Bank indicated 41.5% of skateboard-related injuries were TBIs and helmet use was a mere 7.2% [41]. Falls from playground equipment accounted for 37%; 88.6% were among children ages 6–11 years. Accidental falls without specific mention of sport activity but occurring in places of sport represented 21.0%.

Sport-TBI attributable to OMV accounted for 16.1% of the injuries and showed the strongest association with severe sport-TBI. The use of OMV for hunting and racing in rugged fields has continued to increase, posing public health concerns as these vehicles have considerable rollover tendencies and causing head trauma among riders who don't use helmets [42–44]. The mean age of persons with OMV-related sport-TBI in our dataset is 22.7 years (95% CI, 22.2–23.8), compared with 24.1 years from 11,589 persons with OMVrelated sport-TBI from U.S. trauma center data [44].

Similar to other studies, most equestrian sport-TBI occurred among white (93%), female patients (70%) with a median age of 31 years [45]. The risk of injury from competitive equestrian sport was estimated to be threefold greater than motorcycle racing, with greater risk in inexperienced riders [46]. Although water sports are among the top five popular sports in South Carolina [39], it was the least common cause of sport-TBI (2.1%). The discordance between the popularity of water sports and the small numbers of sport-TBI from water sport may be attributable to a greater likelihood of mortality from drowning. From 1998 to 2010, mortality from drowning in South Carolina was 3.4 times more frequent than morbidity with 913 deaths versus 293 survivors [47].

A major concern in sport-TBI is the potential for repetitiveness of TBI because having sustained one TBI increased the risk of subsequent TBI [48–50]. Concussion is a major concern either because acute signs and symptoms are subtle and easily ignored or misconceptions of the clinical symptoms of concussion lead to insufficient recovery time [10,51,52]. Repetitive concussions can lead to second impact syndrome, a fatal condition associated with diffuse brain edema and herniation or chronic traumatic encephalopathy, a condition with symptoms ranging from depressive and psychotic symptoms to cognitive dysfunction and dementia [21,51,53]. In our data 1010 persons (6.1%) had repetitive sport-TBI with disproportionate occurrence among those aged 25 years and younger (80.0%; 95% CI, 77.5–82.5), raising concern about long-term deficit in cognitive skills and behavior [10].

Concussions and unspecified head injury were the most frequent TBI type, accounting for 90.0% of sport-TBI. Concussion may be the signature lesion of sport-TBI. On the basis of our historical surveillance data and a study from the Centers for Disease Control and Prevention [54], nearly all cases coded with 959.01 would have been coded as concussion unspecified (850.9) or intracranial injury of unspecified nature (854.0) before the implementation of 959.01 in 1998. It is therefore likely that a large proportion of 959.01 codes pertain to poorly defined concussions [55]. Of all concussion diagnoses in our database, 87.2% occurred in those aged 25 years or younger. Although the overwhelming

majority of concussions are mild, the potential effects of even mild TBI on neurocognitive functioning and memory processing is a cause of concern [56,57].

Male patients had thrice as many sport-TBI than female patients and were 1.85 times more likely to have severe sport-TBI. Although our finding is consistent with most studies, other studies found greater concussion scores and ominous brain injury outcomes in females [20,58]. Nonetheless, dissimilarities in case ascertainment criteria and age range of the target population hamper comparability with published studies. One possible explanation for greater risk of severe sport-TBI in males is residual confounding, such as the force exerted during collision/kick in sports. Males tend to have more body mass and faster speed than females. In one study, having a lower body mass index was found to be protective of concussion regardless of gender [18,59]. Another explanation is that males are more likely than females to try risky maneuvers such as tackling during play [40].

The most common comorbid conditions were diabetes, cardiovascular disease, and neurologic disorders. The odds of sustaining a severe sport-TBI in persons with at least one comorbid condition are twice that of persons without comorbid conditions. Chronic illnesses are important intrinsic host factors, contributing to physical frailty and intensify the effect of injury [60,61]. The prevalence of comorbid conditions was age dependent—4.7% in persons aged younger than 25 years and 18.7% in persons aged 25 years and older. Similarly, persons with severe sport-TBI were 1.5 times more likely to have concomitant injuries. This association may be due to the intensity of the external force causing the trauma. Studies of motor vehicle crashes have shown trauma induced by high-energy forces tends to affect multiple body regions and result in more severe TBI [62]. The two most common mechanisms of injury associated with concomitant injury were OMV (30.6%; 95% CI, 29.3– 31.9) and kicked during football (26.3%; 95% CI, 25.0–27.5). Finally, persons with severe sport-TBI were more likely to be treated in level I and II trauma centers, confirming the intent of trauma centers in treating trauma appropriately [63].

The study has several limitations. First, case-ascertainment relied on administrative data susceptible to various coding irregularities. E-code accuracy relies on the coders' ability to identify the correct cause of injury. However, the TBI surveillance system has an ongoing data evaluation protocol that includes medical chart review of randomly selected observations to validate the accuracy of the ICD-9-CM codes and periodic data evaluation shows good reliability and completeness of E-codes. Second, the surveillance system does not capture TBI visits to federal facilities, limiting representativeness of the data to the general population of the state. However, to offset dilution of the rates and to preserve representativeness, we adjusted the denominator by excluding residents of the state that receive health care from military and veteran establishments based on the 2010 census information. Third, only those hospitalized or seen in the ED for TBI are included in these data, which may reflect the seriousness of injury, suggesting milder sport-TBI cases who did not seek medical attention or who were treated in private clinics were not counted, contributing to underestimation of sport-TBI and repetitive TBI rates. Nonetheless, our data reflect cases that warranted medical attention. Such cases may serve as a suitable proxy to understanding the risk factors for mild sport-TBI not captured by the TBI surveillance system. Fourth, duplicate observations may contribute to redundant information and

overestimation of rates. However, the data include a unique personal identifier allowing identification of repeat visits for the same sport-TBI. Fifth, the rate of bicycle-related sport-TBI could not be estimated as the existing E-code scheme does not distinguish transportation-related bicycle injuries from sport- and recreation-related injuries.

Our study has several strengths. The data derive from a legally mandated statewide TBI surveillance system, allowing us to capture all ED visits to provide population-based estimates of sport-TBI. The TBI surveillance system adheres to CDC surveillance guidelines and has been extensively validated increasing confidence in the reliability of the data used for analysis [64]. Our methodological approach of identifying sport-TBI based on both external cause of injury and place of injury E-codes maximized case ascertainment. To our knowledge, this is the first population-based analyses of sport-TBI that relied on unweighted hard data covering 14 years. Although our incidence estimate is conservative compared to estimates derived from weighted samples, it is perhaps the best estimate of incidence of sport-TBI in a statewide population because of the representativeness of the numerator to the base civilian population in the state. Further, we quantified the risk of severe sport-TBI compared with mild sport-TBI by controlling for potential confounders.

Conclusion

The incidence of sport-TBI is increasing, corresponding with societal awareness of the benefits of physical activity. The rate is greatest in those aged 12–18 years, and concussion is the predominant TBI type. Severity increased with repetitive TBI and older age. Intrinsic risk characteristics such as gender and pre-existing chronic illnesses contributed to the risk of severe sport-TBI. Further, the high incidence of concussion in youth with repetitiveness may have long-term intellectual and behavioral consequences that warrant coordinated surveillance activities and further population-based outcome studies.

Acknowledgments

This study was partially supported by a grant from the National Center for Injury Prevention and Control, Centers for Disease Control and Prevention, grant number U17/CCU421926 (PI: Anbesaw Selassie, DrPH). The study is also supported by the South Carolina Department Disabilities and Special Needs, Head and Spinal Cord Division. The contents do not necessarily represent the opinion of the funding agencies, and should not be assumed as endorsement by the Federal and State Government.

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Fig. 1.

Flowchart of identification of persons with sports-related TBI, South Carolina ED and hospital discharge, 1998–2011.

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Incidence rate of sports-related TBI by age group, South Carolina ED and hospital discharges, 1998–2011.

Table 1

ICD-9-CM codes used in sports-related TBI and types of brain injuries, South Carolina ED and hospital discharges

ATV = all-terrain vehicle; ED = emergency department; ICD-9-CM = *International Classification of Diseases*, 9th revision, *Clinical Modification*; RUV = recreational utility vehicle; TBI = traumatic brain injury.

***Mechanism codes in brackets do not mention sports. These codes are considered sports-related when the events occurred in place of sports E849.4. Italicized emphasis added.

Table 2

Characteristics of persons with sport-related TBI, South Carolina ED and hospital discharges

Concomitant injuries

ED = emergency department; ICI = intracranial injury; TBI = traumatic brain injury.

Table 3

Risk characteristics associated with severity of sport-TBI, South Carolina ED and hospital discharges

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 $CI =$ confidence interval; $ED =$ emergency department; $TBI =$ traumatic brain injury.

*** Adjusted for all independent variables listed in the table.