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# Meditation's impact on default mode network & hippocampus in mild cognitive impairment: a pilot study

Rebecca Erwin Wells, MD, MPH<sup>a,\*</sup>, Gloria Y. Yeh, MD, MPH<sup>a</sup>, Catherine Kerr, PhD<sup>b</sup>, Jennifer Wolkin, PhD<sup>a</sup>, Roger B. Davis, ScD<sup>a</sup>, Ying Tan, PhD<sup>c</sup>, Rosa Spaeth<sup>c</sup>, Robert Wall, M.Div, MSN<sup>d</sup>, Jacquelyn Walsh<sup>e</sup>, Ted Kaptchuk<sup>a</sup>, Daniel Press, MD<sup>a</sup>, Russell S. Phillips, MD<sup>a</sup>, and Jian Kong, MD, MPH<sup>c</sup>

<sup>a</sup> Beth Israel Deaconess Medical Center (BIDMC), Harvard Medical School 330 Brookline Ave Boston, MA 02215, USA

<sup>b</sup> Brown University School of Medicine Providence, Rhode Island 02912, USA

- <sup>c</sup> Massachusetts General Hospital Charlestown, MA 02129, USA
- <sup>d</sup> Commonwealth Care Alliance Boston, MA 02108 USA
- <sup>e</sup> Brigham and Women's Hospital Boston, MA 02215 USA

#### Abstract

Those with high baseline stress levels are more likely to develop mild cognitive impairment (MCI) and Alzheimer's Disease (AD). While meditation may reduce stress and alter the hippocampus and default mode network (DMN), little is known about its impact in these populations. Our objective was to conduct a "proof of concept" trial to determine whether Mindfulness Based Stress Reduction (MBSR) would improve DMN connectivity and reduce hippocampal atrophy among adults with MCI. 14 adults with MCI were randomized to MBSR vs. usual care and underwent resting state fMRI at baseline and follow-up. Seed based functional connectivity was applied using posterior cingulate cortex as seed. Brain morphometry analyses were performed using FreeSurfer. The results showed that after the intervention, MBSR participants had increased functional connectivity between the posterior cingulate cortex and bilateral medial prefrontal cortex and left hippocampus compared to controls. In addition, MBSR participants had trends of less bilateral hippocampal volume atrophy than control participants. These preliminary results indicate that in adults with MCI, MBSR may have a positive impact on the regions of the brain most related to MCI and AD. Further research with larger sample sizes and longer-follow-up are needed to further investigate the results from this pilot study.

Conflicts of Interest

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<sup>\*</sup>Corresponding Author: \*Rebecca Erwin Wells, MD, MPH Current address: Wake Forest School of Medicine Department of Neurology Medical Center Boulevard Winston-Salem, NC 27157 USA rewells@wakehealth.edu (336) 716-2357 (phone) (336) 716-9489 (fax).

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The authors declare they have no conflict of interest.

Mild Cognitive Impairment; Alzheimer's Disease; fMRI; Meditation; Default Mode Network; Hippocampus

#### 1. Introduction

Over 50% of those with Mild Cognitive Impairment (MCI), a transitional state between normal aging and dementia, will develop dementia within five years [9]. Despite the clinical and public health significance of MCI, there are no known therapies preventing progression to dementia. In older adults, high levels of perceived stress are associated with a higher risk of developing MCI and AD. [33, 34] Meditation has been shown to decrease perceived stress, cortisol levels and improves well-being. [11, 22] Mindfulness Based Stress Reduction (MBSR) is a standardized, widely tested mind/body intervention (>100 published trials) that teaches mindfulness meditation and yoga[18]. Cross-sectional studies show meditators have more hippocampal gray matter concentration [15] and volumes [24] than controls and the hippocampus is selectively activated during meditation [23]. A recent longitudinal study showed that after MBSR, participants had increased hippocampal gray matter density compared to before [14]. The hippocampus, a critical site of episodic memory, progressively atrophies from normal aging to MCI to AD [12]. The default mode network (DMN), a set of brain regions that are more active at rest in the "default" state, (e.g. medial prefrontal cortex [MPFC], posterior cingulate cortex [PCC], hippocampus, and lateral/inferior parietal cortex), may also be especially impacted by meditation.[3, 8, 16, 19, 27]. The DMN involves the same areas affected by cerebral atrophy, reduced metabolism, and amyloid in early AD/MCI [4]. DMN connectivity may be a noninvasive biomarker useful for assessing an intervention's impact in adults with MCI [10].

Could meditation improve the functional connectivity of the DMN and reduce the rate of hippocampal atrophy in adults with MCI? In this context, we conducted a pilot randomized controlled trial in adults with MCI to test our hypotheses that MBSR would 1) increase regional functional connectivity in the areas of the DMN, specifically the MPFC, hippocampus, and PCC; 2) slow the rate of hippocampal atrophy.

#### 2. Methods

#### 2.1 Study population

We recruited 14 participants from 2010-2011 from Beth Israel Deaconess Medical Center's (BIDMC) Cognitive Neurology Unit. The study was approved by BIDMC's human subjects review board and registered with the NIH clinical trials database (Clinicaltrials.gov), NCT01605448. Inclusion criteria (based on the Alzheimer's Disease Neuroimaging Initiative criteria[1] and the research operational definition of MCI[12]): adults 55-90 years with MCI determined by a neurologist through history, physical, and neuropsychological testing (including Wechsler Memory scale IV, Mini Mental Status Exam, Clinical Dementia Rating). Exclusion criteria: actively practicing meditation/yoga; any history of brain lesions or major head trauma.

#### 2.2 Study design

This study was a prospective, randomized controlled pilot clinical trial with participants randomized 2:1 to either MBSR or usual care (and then offered MBSR at study conclusion). We randomized our participants 2:1 into the intervention and control, respectively, as we wanted to increase our experience with participants undergoing the active intervention. We used permuted block randomization with randomly varying block size to generate treatment assignment.

#### 2.3 MBSR intervention

The class met weekly  $\times$  8 for 2 hours, plus one "mindfulness retreat day." Mindfulness, defined as non-judgmental moment to moment awareness, was cultivated through sitting and walking meditation, body scan, and mindful movement (yoga). Home practice (30 min/day) was encouraged with standard guided audio recordings.

#### 2.4 fMRI acquisition and analyses

Participants underwent an fMRI at baseline and 8 weeks using a 3T Siemens whole body scanner with echo-planar imaging capability using a 32-channel radio-frequency head coil at the Martinos Center for Biomedical Imaging at Massachusetts General Hospital. During the resting state fMRI scan, subjects were asked to keep their eyes open and look at a darkened screen for 6 minutes. The scan acquisition included 47 slices with thickness of 3 mm, TR 3000ms, TE 30ms, a  $3\times3mm$  in–plane spatial resolution, and FoV 216 mm. T1 weighted MPRAGE type structural images were acquired using the following parameters: voxel size  $1.2\times1.2\times1.2mm$ , TR 2.2s, TE 1.54ms, flip angle 7 degrees, slices 144, field of view: 230.

#### 2.5 Seed-based functional connectivity analyses

Seed based functional connectivity analysis was performed using methods employed in previous functional connectivity studies [17, 21, 28] using the fcfast script developed by Randy Buckner's group at Athinoula A. Martinos Center for Biomedical Imaging.

#### (http://cnlwiki.pbworks.com/w/page/13165363/One%20Step%20Funcitonal

%20Connectivity%20Analysis%20Script). The PCC (Peak at 8 -56 30 with 3mm radius) was used as seed because of its importance in the DMN. Seed coordinates were used in a previous study on exercise training in older adults[29]. In brief, functional data were preprocessed to decrease image artifacts, between-slice timing differences, and to eliminate differences in odd/even slice intensity. Data were then spatially smoothed using a Gaussian kernel of 6mm full-width at half-maximum and temporally filtered (0.009Hz<f<0.08Hz). Several spurious or nonspecific sources of variance were removed by regression of the following variables: (1) six movement parameters computed by rigid body translation and rotation during preprocessing, (2) mean whole brain signal, (3) mean brain signal within the lateral ventricles, and (4) the mean signal within a deep white matter region of interest (ROI). Temporally shifted versions of these waveforms were also removed by inclusion of the first temporal derivatives in the linear model.

A functional connectivity analysis produced coefficients for each previously defined seedvoxel correlation using Pearson correlation analysis. Fisher's r-to-z transformation was used

to convert correlation maps into z maps. Random effect models were applied for second level analysis. A two sample t-test on the pre- vs. post differences between the MBSR and control groups (MBSR [pre-post] – control [pre-post]) was calculated to explore the difference between the two groups. Based on our hypothesis, we defined our *a priori* regions of interest (ROI) as the MPFC and hippocampus... An initial threshold of p < 0.005 was applied in data analysis. To correct for multiple comparisons, Monte Carlo Simulations with the program AlphaSim program in AFNI were applied for the priori ROI using a template based on Anatomical Automatic Labeling tool box. The results showed that a voxel-wise threshold p < 0.005 with 29 voxels has a corrected threshold of p < 0.05 at the cluster level for priori ROIs. A threshold of p < 0.005 uncorrected and p < 0.05 corrected (family-wise error, FWE) at the cluster level was used for non-ROI.

#### 2.6 MRI volumetric analyses

To explore the potential treatment effect on changes in brain structures, we compared the bilateral hippocampi volume change (in mm<sup>3</sup>) between the two groups after the intervention compared to baseline. Data analysis were applied using freesurfer (http:// surfer.nmr.mgh.harvard.edu/). We used the automated procedure for labeling different brain structures, and getting their volumetric measures, as previously described in detail [7]. This automated MRI process of measuring hippocampal volume has been validated in adults with MCI and AD [5]. The automatic segmentations were visually inspected for accuracy. The bilateral hippocampal volumes were assessed by analyzing the median change in the volume from baseline to 8 weeks using a Wilcoxon rank sum test. Whole brain volume analyses of the cortical and subcortical gray matter, white matter, and total intracranial volume were similarly assessed.

#### 2.7 Statistical analyses for clinical measures

Participants also completed the Alzheimer's Disease Assessment Scale, cognitive subscale (ADAS-cog) as a global cognitive measure, although the study was not powered to detect anticipated clinical differences. Additional neuropsychological tests and measures of "wellbeing" were considered exploratory and are reported elsewhere [32]. Weekly phone calls assessed for adverse events. All analyses were blinded and performed on an intention-to-treat basis. SAS software, version 9.2 (Research Triangle Park, NC) was used for descriptive statistics of adherence, baseline characteristics, and for analyzing the median change in the ADAS-cog scores from baseline to 8 weeks with the Wilcoxon rank sum test.

#### 3. Results

Of the 14 subjects randomized, 13 subjects completed the two fMRI scan sessions (one subject did not participate in the second scan due to a schedule conflict) (see supplemental figure, the Consort Flow Diagram, for recruitment breakdown). The baseline characteristics of participants in the MBSR vs. control groups did not differ by age or MMSE (see supplemental table). Briefly, the mean age of the participants in the MBSR group was 73 years ( $\pm 8$ ) and in the control 75 years ( $\pm 7$ ) and the MMSE was 27 ( $\pm 2$ ) in both groups. There were no adverse events reported related to the study protocol, mean class attendance was 7.9 out of 9, and mean ( $\pm$  SD) daily home practice was 26 minutes ( $\pm 20$ ).

#### 3.1 fMRI resting state

Seed-based resting state functional connectivity changes between the MBSR group and control group [intervention (post-pre) –control (post-pre)] are presented in Figure 1A and Table 1. Results showed that after treatment, those in the MBSR group had significantly increased functional connectivity between the PCC and bilateral MPFC, and between the PCC and left hippocampus as compared with the control group; no regions showed significant differences in the opposite contrast [control (post-pre)-intervention (post-pre)]).

#### 3.2 MRI volumetric analyses

Total brain, cortical and sub-cortical gray matter and white matter volumes showed no significant differences on pre- and post-treatment changes between the two groups. As expected in adults with MCI, the bilateral hippocampi of both groups atrophied from baseline to follow-up, however those in the MBSR group had a trend towards less atrophy compared to controls (median [Q1, Q3] bilateral hippocampal volume difference from baseline to follow-up in MBSR vs. control, -32 mm<sup>3</sup> [-179, 69] vs. -274 mm<sup>3</sup> [-368, -137], p=0.07) and as seen in Figure 1B, which shows the individual and average (bolded line) hippocampal volume data between the 2 groups.

#### 3.3 Measure of cognition

No significant changes were detected for median [Q1, Q3] change from baseline for MBSR vs. control for ADAS-cog (-0.5, [-4, 0.5] vs. 0 [-1, 2], p=0.46).

#### 4. Discussion

In the first study to our knowledge reporting the impact of MBSR on fMRI among patients with MCI, we found that adults with MCI in the MBSR group had increased functional connectivity between the PCC and MPFC and left hippocampus and trends of less hippocampal atrophy in follow-up compared to controls. Given that the areas of the brain important for the DMN are specifically affected in AD and the hippocampus preferentially atrophies in AD, MBSR may affect the regions of the brain most sensitive to MCI and AD.

The DMN results from our study are consistent with recent research showing that meditation may increase certain connections within the DMN [8, 16, 20, 27], although research in this area is conflicting [3] and the exact role of the DMN in meditation may be more complex[13]. While it may be surprising to see hippocampal volume changes after an 8 week meditation intervention, Holzel and colleagues also showed structural changes in the hippocampus after a similar 8 week MBSR intervention [14]. In addition, our findings correspond to a similar 8 week pilot study of a meditation intervention in cognitively impaired adults that showed meditation increased cerebral blood flow in the prefrontal cortex [25]. Other studies have also shown meaningful neural changes after brief meditation interventions: one demonstrated that a mind-body training program improved white matter efficiency with diffusion tensor imaging after only 4 weeks [26], and another that showed measurable neuroplastic changes associated with pain reduction after only 4 days of meditation training [35].

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Other research has also demonstrated that stress reducing non-pharmacological interventions, such as aerobic exercise, may be protective against the development of dementia, and the mechanisms may be through attenuation or reversal of hippocampal atrophy and improved DMN connectivity.[2, 6] Tai chi, another mind/body practice that similarly improves psychological well-being [30], may also create structural brain changes with specific increases in regional cortical thickness. [31] If some component of cognitive decline is a function of stress-induced hippocampal changes, then meditation may impact the hippocampus as a stress-reducing technique thereby improving cognitive reserve. As previously reported, this intervention showed non-significant trends of reduced stress and improved well-being in the adults with MCI who participated in MBSR, with improvements seen in the Resilience Scale, Perceived Stress Scale, Quality of Life-Alzheimer's Disease, Herth Hope Index, and Life-Orientation Test-Revised.[32] Through its impact on the DMN, meditation may improve self-related processing and emotional well-being in adults with MCI. Meditation may possibly change the resting state into a meditative experience [3], and thus the changes seen post-intervention reflect this new meditative resting state.

This study's main limitation is its small sample size and thus our results should be interpreted as preliminary. Our study was not powered to detect differences on measures of cognition, but we report elsewhere [32] that most data suggest trend towards improvement for measures of cognition and well-being. We do not have long-term follow-up to determine dementia progression. The intervention of MBSR involves factors beyond mindfulness meditation (e.g. social and intellectual engagement, a weekly time commitment and instructor attention) and since our usual care control group did not adequately control for these factors, the brain changes may be reflective of more than just mindfulness meditation. Nevertheless, the aim of this pilot study was to test the feasibility, effect size and variability of the treatment, and provide valuable preliminary data for the design of a larger sample size study. A separate study with a larger sample size will improve the reliability of the current study. Despite these limitations, the improved DMN functional connectivity and the trend of less atrophy in the MBSR group vs. control group are interesting given that these were our *a priori* hypotheses and we used non-parametric statistical analyses to account for our small sample size and adjust for potential outliers.

#### 5. Conclusions

This small randomized neuroimaging trial reports on an innovative and novel "proof of concept" study that demonstrates the neural effects of a non-pharmacological intervention on *a priori* targeted brain networks in adults with MCI. This pilot study demonstrated that in adults most susceptible to the development of dementia, MBSR may reduce hippocampal atrophy and improve functional connectivity in the same areas of the brain most affected by the disease process. For a condition without a standard treatment and with potential progression to AD, this study provides preliminary evidence that an intervention with limited side effects may be of potential benefit to patients with few other options for improvement. Given the absence of therapies for this population, further studies with longer follow-up and larger sample sizes powered to detect clinical improvements are urgently needed.

#### **Supplementary Material**

Refer to Web version on PubMed Central for supplementary material.

#### Acknowledgments

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#### Abbreviations used, in order of appearance beginning with abstract

MCI	Mild Cognitive Impairment
AD	Alzheimer's Disease
DMN	Default mode network
MBSR	Mindfulness Based Stress Reduction
MPFC	Medial prefrontal cortex
PCC	Posterior cingulate
BIDMC	Beth Israel Deaconess Medical Center
ADAS-cog	Alzheimer's Disease Assessment Scale, cognitive subscale
MMSE	Mini-Mental Status Exam
CDR	Clinical Dementia Rating
ROI	Region of interest

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#### Highlights

\*We conducted a randomized trial of meditation for Mild Cognitive Impairment (MCI)

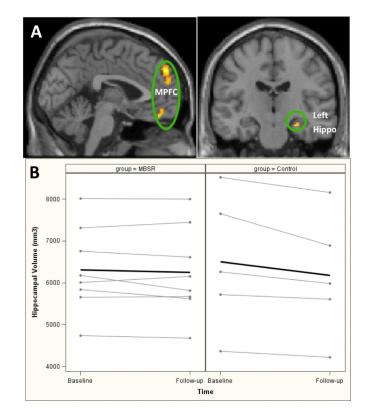
\*Meditation may increase functional connectivity in the default mode network in MCI

\*Mediation may reduce hippocampal volume atrophy in MCI

\*Meditation may have a positive impact on brain regions most related to dementia

\*Further research with larger sample sizes and longer-follow-up are needed

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### Figure 1. Resting state functional connectivity, and hippocampal volume results 1A. Resting state functional connectivity results

These images are representations of statistical results of a two sample t-test on the pre- vs. post differences between the MBSR and control groups (MBSR [pre-post] - control [pre-post]). The results showed increased functional connectivity between the Posterior Cingulate Cortex (PCC) and Medial Prefrontal Cortex (MPFC) and between the PCC and left hippocampus (hippo) after MBSR as compared with control condition.

**1B. Hippocampal volume results:** individual and average (bolded) hippocampal volumes from baseline to follow-up in the intervention (MBSR, Mindfulness Based Stress Reduction) and control groups

#### Table 1

fMRI results showing difference between groups [intervention (post-pre) - control (post - pre)]

Contrasts	Brain Region	Z <sub>max</sub>	Cluster size	Peak Coordinates X Y X (MNI)
Intervention > Control	Bilateral superior $MPFC^{a}$	3.93	191	0 50 40
	Bilateral orbital MPFC <sup>a</sup>	3.44	66	4 50 -10
	Left hippocampus	3.10		28 - 22 16
Control > Intervention	no region above the threshold			

<sup>a</sup>MPFC=Medial Prefrontal Cortex