

### ORIGINAL ARTICLE

# Bridging the gap between marker-assisted and genomic selection of heading time and plant height in hybrid wheat

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Based on data from field trials with a large collection of 135 elite winter wheat inbred lines and 1604 F<sub>1</sub> hybrids derived from them, we compared the accuracy of prediction of marker-assisted selection and current genomic selection approaches for the model traits heading time and plant height in a cross-validation approach. For heading time, the high accuracy seen with marker-assisted selection severely dropped with genomic selection approaches RR-BLUP (ridge regression best linear unbiased prediction) and BayesCπ, whereas for plant height, accuracy was low with marker-assisted selection as well as RR-BLUP and BayesCπ. Differences in the linkage disequilibrium structure of the functional and single-nucleotide polymorphism markers relevant for the two traits were identified in a simulation study as a likely explanation for the different trends in accuracies of prediction. A new genomic selection approach, weighted best linear unbiased prediction (W-BLUP), designed to treat the effects of known functional markers more appropriately, proved to increase the accuracy of prediction for both traits and thus closes the gap between marker-assisted and genomic selection.

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### INTRODUCTION

Functional markers linked to quantitative trait loci (QTLs) are routinely used to predict the performance of important traits in domestic animals (Goddard and Hayes, 2009) and crop plants (Bernardo, 2008). Nevertheless, marker-assisted selection has its limitations (Heffner et al., 2009), as it is efficient only if the trait under consideration is controlled by a limited number of QTLs with large contributions to phenotypic variation, but is inferior to traditional phenotypic selection in dealing with complex agronomic traits controlled by many QTLs with small effects (Bernardo, 2001). One major reason is that estimates of QTL effects for minor QTLs are often biased.

As a solution for the prediction of performance in complex traits, genomic selection has been suggested (Meuwissen et al., 2001). In genomic selection, large numbers of markers are included and their effects are estimated in populations that have been genotyped and phenotyped. The estimated marker effects are then applied to predict the breeding value of nonphenotyped individuals based on their molecular marker profiles. The great potential of genomic selection for complex traits has been demonstrated in several experimental studies in plant and animal breeding populations (Bernardo, 2008; Heffner et al., 2009; Heslot et al., 2012; Massman et al., 2013).

One crucial challenge in genomic selection is to choose the appropriate biometrical model (Heffner et al., 2009; Heslot et al., 2012). The relative performance of biometrical models is expected to depend on the genetic architecture of the traits under scrutiny. In a recent simulation study, Clark et al. (2011) observed substantial

higher accuracies of prediction for BayesB in comparison with RR-BLUP (ridge regression best linear unbiased prediction) in a scenario assuming 100 QTLs underlying the trait under consideration. Whereas RR-BLUP is based on the infinitesimal model, BayesB implies that only a defined fraction of single-nucleotide polymorphisms (SNPs) contributes to the genotypic variation of the trait under consideration. The reported superiority of BayesB is challenged by a study based on experimental data in maize that described only marginal differences in accuracies between biometrical models and did not observe an association with genetic architecture (Riedelsheimer et al., 2013). One explanation for the deviation observed between prediction accuracies based on simulation versus experimental data could be the presence of linkage disequilibrium (LD) in experimental setups that would also enable infinitesimal model-based approaches to appropriately portray a genetic architecture with large effect QTLs. Besides the superiority of specific genomic selection models, comparison of the accuracy of marker-assisted versus genomic selection is of interest for those traits with QTLs exhibiting large effects on the genotypic variation. To the best of our knowledge, however, such a comparison based on experimental data sets for traits with known large effects is lacking.

Wheat is an important crop in which extensive studies of genetic architecture have been performed (Le Couviour et al., 2011; Würschum et al., 2013). Heading time and plant height are important traits for wheat production (Borlaug, 1983; Worland et al., 1998; Distelfeld et al., 2009) and key genes such as Ppd-D1, Rht-B1 and Rht-D1 controlling these traits have been characterized at the

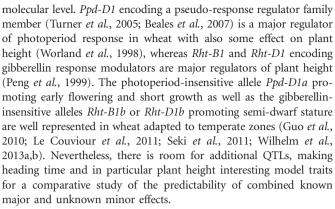
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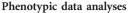
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Based on phenotypic data obtained in four environments (Longin et al., 2013) and genotypic data generated using a wheat 9k SNP array (Akhunov et al., 2009; Cavanagh et al., 2013) and functional markers at gene loci Ppd-D1, Rht-B1 and Rht-D1 (Ellis et al., 2002; Beales et al., 2007) for a large collection of 135 elite winter wheat inbred lines and 1604 F<sub>1</sub> hybrids derived from them, we compared the accuracy of prediction of marker-assisted selection and current genomic selection approaches for the model traits heading time and plant height in a cross-validation approach. For heading time, accuracy was high for marker-assisted selection and low for genomic selection using RR-BLUP and Bayes $C\pi$ , whereas for plant height, accuracy was low for marker-assisted selection as well as for RR-BLUP and Bayes $C\pi$ . As a likely explanation for the different accuracies of prediction achieved for the two traits, differences in the LD structure of related functional and SNP markers were identified in a simulation study. We developed a weighted best linear unbiased prediction (W-BLUP) approach as a new genomic selection model with improved accuracy because of a more appropriate treatment of known functional markers. The W-BLUP approach efficiently bridges the gap between marker-assisted and genomic selection.

### **MATERIALS AND METHODS** Plant materials and field trials

Initially, we had sampled 68 potential male elite inbred lines with known good pollination characters and 275 potential female elite lines adapted to Central Europe and fingerprinted them with 24 simple sequence repeat (SSR) markers (Longin et al., 2013). Eliminating close relatives and maximizing the allelic diversity based on the simple sequence repeat marker profiles (while retaining >77% of simple sequence repeat alleles present in the starting set of 343 lines), 15 male and 120 female parental lines were selected for hybrid production in a 15 times 120 factorial mating design using chemical hybridization agents (Longin et al., 2013). Sufficient F1 hybrid seeds were obtained for 1604 out of the 1800 potential single-cross hybrid combinations (Zhao et al., 2013b). All lines and hybrids were evaluated in 4 environments in 2012 together with 10 commercial checks (Longin et al., 2013). The environments were Böhnshausen (latitude 51°51′N, longitude 10°57′E, 146 m above sea level (asl), sandy loam soil texture), Hadmersleben (latitude 51°59'N, longitude 11°18'E, 88 m asl, silt loam soil texture), Harzhof (latitude 54°24'N, longitude 9°51'E, 25 m asl, sandy loam soil texture) and Hohenheim (latitude 48°42'N, longitude 9°12'E, 390 m asl, sandy loam soil texture). The experimental designs were partially replicated α-designs (Williams et al., 2011), where all parents, checks as well as 29% of the hybrids were used in both replications (for details, see Longin et al., 2013). Sowing density ranged from 230 to 290 seeds per m<sup>2</sup> and plot size ranged from 7.5 to 9.7 m<sup>2</sup>. The trials were treated with fertilizers, fungicides and herbicides according to standard agronomic practices for intensive wheat production. Heading time was recorded as the number of days from 1 January to the day when half of the heads had emerged from flag leaves; plant height was measured in cm at one time point after heading in each environment.



Phenotypic data were analyzed in two steps. First, we estimated the adjusted entry means for each location (for details, see Zhao et al., 2013b). In a second step, adjusted entry means were used to estimate the genetic variance components of hybrids and parental lines as well as the variance of genotype  $\times$ location interactions. We followed the suggestion of Möhring and Piepho (2009) and weighted each observation with one divided by the squared standard error. Significance of variance component estimates were tested by model comparison with likelihood ratio tests where the halved P-values were used as an approximation (Stram and Lee, 1994). In addition, we assumed fixed genetic effects and estimated the best linear unbiased estimates of the 1739 genotypes. The phenotypic data analyses were performed using the software ASReml-R 3.0 (Butler et al., 2009).

#### Genotypic data analysis

Genotyping was done with a 9k SNP array based on the Illumina Infinium assay (Cavanagh et al., 2013) (Illumina, San Diego, CA, USA). After excluding SNP markers with (1) a rate of missing values above 5%, (2) a rate of heterozygosity above 5% or (3) a minor allele frequency of <0.05 (Miedaner et al., 2012), in total 1280 SNP markers were retained (Supplementary Table S1). In addition, in genes Ppd-D1 (Beales et al., 2007), Rht-B1 and Rht-D1 (Ellis et al., 2002), one SNP each was analyzed as a functional marker using LGC Genomics KASP assays (LGC Genomics, Berlin, Germany). Missing genotypes were imputed following the approach suggested by Crossa et al.

#### Marker-assisted selection

The functional marker for Ppd-D1 (Beales et al., 2007) is used as a standard tool in European wheat breeding, explaining up to 30% of the genotypic variation of heading time. In addition, the functional markers for Ppd-D1 (Beales et al., 2007) as well as Rht-B1 and Rht-D1 (Ellis et al., 2002) are used routinely in wheat breeding in Europe, explaining up to 40% of the genotypic variation for plant height. We defined the design matrices for the additive and dominance effects of the three functional markers according to the  $F_{\infty}$  metric of Falconer and Mackay (1996) and used a standard multiple regression approach to estimate their effects in the germplasm under consideration. A general term was fitted to groups of females, males and hybrids for plant height to take into account heterosis for this trait, whereas no such correction was needed for heading time in the absence of heterosis (Longin et al., 2013). Furthermore, we used a step-wise backward selection based on the Akaike information criterion to test for relevant two-way epistatic interactions using the step function (Venables and Ripley, 2002) implemented in the software package R (R Core Team, 2012).

### Genomic selection

Based on the adjusted entry means of the 1739 genotypes, we applied three approaches for genomic selection considering additive and dominance effects: RR-BLUP (Whittaker et al., 2000), BayesCπ (Dekkers et al., 2009; Habier et al., 2011) and a newly developed modification of RR-BLUP using specific weights for the known functional markers denoted as W-BLUP. All statistical procedures for the genomic selection approaches were executed using R (R Core Team, 2012). Details of the implementation of the RR-BLUP and BayesCπ models have been described in Zhao et al. (2013a). Briefly, the general form of the three models is defined as the following:

$$Y = 1_n \mu + Z_A a + Z_D d + e, \tag{Model 1}$$

where Y are the adjusted entry means of the 1739 genotypes across the four locations,  $I_n$  is a vector of ones and n is the number of genotypes;  $\mu$  refers to the overall mean across all four locations; a is the additive marker effect and d is the dominance marker effect.  $Z_A$  and  $Z_D$  are design matrices for the additive and dominance effects of the markers specified according to the F<sub>∞</sub> metric of Falconer and Mackay (1996) and e is the residual.



*RR-BLUP*. For the RR-BLUP model, we assume that additive and dominance marker effects have normal distributions  $N(0,\sigma_a^2)$  and  $N(0,\sigma_d^2)$  with constant variances of additive effects  $\sigma_a^2$  and dominance effects  $\sigma_d^2$ . The estimates of  $\mu$ , a and d, which denote as  $\hat{\mu}$ ,  $\hat{a}$  and  $\hat{d}$ , were obtained from the following mixed-model equation (Henderson, 1984):

$$\begin{bmatrix} \hat{\mu} \\ \hat{a} \\ \hat{d} \end{bmatrix} = \begin{bmatrix} \mathbf{1}_n^T \mathbf{1}_n & \mathbf{1}_n^T Z_A & \mathbf{1}_n^T Z_D \\ Z_A^T \mathbf{1}_n & Z_A^T Z_A + \lambda_A I_m & Z_A^T Z_D \\ Z_D^T \mathbf{1}_n & Z_D^T Z_A & Z_A^T Z_D + \lambda_D I_m \end{bmatrix}^{-1} \begin{bmatrix} \mathbf{1}_n^T \mathbf{Y} \\ Z_A^T \mathbf{Y} \\ Z_D^T \mathbf{Y} \end{bmatrix}$$

Here,  $I_m$  refers to an identity matrix with dimension of m, where m is the number of markers. The shrinkage parameters  $\lambda_A$  and  $\lambda_D$  are defined as the ratios between the variance of residuals and the variance of the marker effects (Meuwissen *et al.*, 2001). Required variance components were estimated based on adjusted entry means of individual environments decomposing the variance of hybrids into variance due to general and variance due to specific combining ability effects (Hallauer and Miranda, 1988).

BayesCπ. Whereas in RR-BLUP it is assumed that all markers contribute to genetic variance, in BayesCπ only a fraction  $1-\pi_g$  (g denotes either a or d) of the used markers is considered to contribute to the genetic variance. Based on this assumption, the model for BayesCπ is:

$$Y = 1_n \mu + Z_A \delta_a a + Z_D \delta_d d + e$$

The additional parameter  $\delta_{\sigma}$  has a prior distribution:

$$\delta_{g} \sim \begin{cases} 0, \text{ with probability } \pi_{g} \\ 1, \text{ with probability } 1 - \pi_{g} \end{cases}$$

In BayesC $\pi$ , a uniform (0, 1) prior was assumed for  $\pi_g$ , resulting in a  $\beta$ -distribution for the full-conditional posterior (Habier *et al.*, 2011). For BayesC $\pi$ , all above outlined parameters have to be sampled from their full-conditional posterior using a special Markov chain Monte Carlo method called Gibbs sampling.

The overall mean  $\mu$  is sampled from a normal distribution:  $\mu \sim N\left(\frac{1_n^T(y-Z_n\delta_n a-Z_D\delta_n d)}{n}, \frac{\sigma_n^2}{n}\right)$  The variance of residual and additive effects are sampled from the inverted  $\chi^2$  distribution:

 $\sigma_e^2 \sim \left( \mathrm{e^Te} + v_e S_e^2 \right) \chi_{v_e + n}^{-2}$ , and  $\sigma_a^2 \sim \left( \mathrm{a^Ta} + v_a S_a^2 \right) \chi_{v_a + \mathrm{m(t)}}^{-2}$ . Here, m(t) refers to the number of non-zero additive effects in t-th Markov chain Monte Carlo iteration. The variance of dominance effects is sampled from the inverted  $\chi^2$  square distribution:  $\left[ \left( \mathrm{d} - \mu_d \right)^\mathrm{T} \left( \mathrm{d} - \mu_d \right) + v_d S_d^2 \right] \chi_{v_d + \mathrm{m(t)}}^{-2}$  where  $\mu_d$  is the expected mean for  $d_b$  and m(t) refers to the number of non-zero dominance effects in t-th Markov chain Monte Carlo iteration. In the above, the fixed parameters  $v_e = v_a = v_d = 4$ , whereas  $S_e^2$ ,  $S_a^2$  and  $S_d^2$  were calculated by the genetic variance approach we used in the above RR-BLUP model.

For i-th marker effects  $a_i$  and  $d_i$ , we sampled new  $a_i$  and  $d_i$  from a

full-conditional posterior 
$$(a_i)_{new} \sim N\left(\frac{Z_{A_i}^T(Z_{A_i}a_i+e)}{Z_{A_i}^TZ_{A_i}+\frac{\sigma_e^2}{\sigma_a^2}}, \frac{\sigma_e^2}{Z_{A_i}^TZ_{A_i}+\frac{\sigma_e^2}{\sigma_a^2}}\right)$$
, and  $(d_i)_{new} \sim N\left(\frac{Z_{D_i}^T(Z_{D_i}d_i+e)+\mu_d\frac{\sigma_e^2}{\sigma_a^2}}{Z_{D_i}^TZ_{D_i}+\frac{\sigma_e^2}{\sigma_a^2}}, \frac{\sigma_e^2}{Z_{D_i}^TZ_{D_i}+\frac{\sigma_e^2}{\sigma_a^2}}\right)$ , where  $Z_{A_i}, Z_{D_i}$  refers to the  $i$ -th column of  $Z_{A_i}$  and  $Z_{D_i}$  Note that a new  $g_i$  and  $g_i$  was only accepted with

column of  $Z_A$  and  $Z_D$ . Note that a new  $a_i$  and  $d_i$  was only accepted with probability  $\frac{1-\pi_a}{1-\pi_a+p_{a_i}\pi_a}$  and  $\frac{1-\pi_d}{1-\pi_d+p_{d_i}\pi_d}$ , where  $p_{a_i}$  was the ratio of likelihood with  $\delta_{a_i}=0$  and  $\delta_{a_i}=1$ , and  $p_{d_i}$  was the ratio of likelihood with  $\delta_{d_i}=0$  and  $\delta_{d_i}=1$ .

After renewing all the parameters above, the  $\pi_g(g=a \text{ or } d)$  used for the next iteration was updated with a  $\beta$ -distribution  $\pi_g \sim \text{Beta}(1, m - \delta_g^T \delta_g + 1, \delta_g^T \delta_g + 1)$ .

The above Gibbs sampling was run for  $10\,000$  times, and the first 1000 cycles were discarded as burn in.

 $W ext{-}BLUP$ . The model used in W-BLUP is similar to the BLUP model, but we added an additional effect for the functional markers:

 $Y = 1_n \mu + Z_A a + F_A a_f + Z_D d + F_D d_f + e$ , where  $a_f$ ,  $d_f$  denote the additive and dominance effects of the functional markers, and  $F_A$  and  $F_D$  are the design

matrices for them. Thus, the mixed model equation for this model will be:

$$\begin{bmatrix} \hat{\mu} \\ \hat{a} \\ \hat{q} \\ \hat{d} \\ \hat{d} \end{bmatrix} = \begin{bmatrix} I_n^T I_n & I_n^T Z_A & I_n^T F_A & I_n^T Z_D & I_n^T F_D \\ Z_A^T I_n & Z_A^T Z_A + \lambda_A I_m & Z_A^T F_A & Z_A^T Z_D & Z_A^T F_D \\ F_A^T I_n & F_A^T Z_A & F_A^T F_A + \lambda_A I_{m_f} & F_A^T Z_D & F_A^T F_D \\ Z_D^T I_n & Z_D^T Z_D & Z_D^T F_A & Z_D^T Z_D + \lambda_D I_m & Z_D^T F_D \\ F_D^T I_n & F_D^T Z_A & F_D^T F_A & F_D^T Z_D & F_D^T F_D + \lambda_D_f I_{m_f} \end{bmatrix}^{-1} \begin{bmatrix} I_n^T Y_D \\ I_n^T Y_D \\ I_n^T Y_D \\ I_n^T Y_D \\ I_n^T Y_D \end{bmatrix}^{-1} \begin{bmatrix} I_n^T Y_D \\ I_n^T Y_D \\ I_n^T Y_D \\ I_n^T Y_D \\ I_n^T Y_D \end{bmatrix}^{-1} \begin{bmatrix} I_n^T Y_D \\ I_n^T Y_D \\ I_n^T Y_D \\ I_n^T Y_D \\ I_n^T Y_D \end{bmatrix}^{-1} \begin{bmatrix} I_n^T Y_D \\ I_n^T Y_D \\ I_n^T Y_D \\ I_n^T Y_D \\ I_n^T Y_D \end{bmatrix}^{-1} \begin{bmatrix} I_n^T Y_D \\ I_n^T Y_D \\ I_n^T Y_D \\ I_n^T Y_D \\ I_n^T Y_D \end{bmatrix}^{-1} \begin{bmatrix} I_n^T Y_D \\ I_n^T Y_D \end{bmatrix}^{-1} \begin{bmatrix} I_n^T Y_D \\ I_n^T Y_D \end{bmatrix}^{-1} \begin{bmatrix} I_n^T Y_D \\ I_n^T Y_D \end{bmatrix}^{-1} \begin{bmatrix} I_n^T Y_D \\ I_n^T Y_D \end{bmatrix}^{-1} \begin{bmatrix} I_n^T Y_D \\ I_n^T Y_D$$

Here,  $I_{m_f}$  refers to an identity matrix, whereas  $m_f$  is the number of functional markers. The shrinkage parameters  $\lambda_{A_f}$  and  $\lambda_{D_f}$  are now defined as the ratio between the variance of residuals and the variance of the functional marker effects estimated by using marker-assisted selection in each training set. In this way, we give in particular a larger weight to the functional markers than to the general markers.

Hybrid performance was predicted based on the estimated additive and dominance effects (Zhao *et al.*, 2013a). To study the influence of dominance effects on the prediction accuracy, we estimated the hybrid performance based solely on the additive or dominance effects.

### **Cross-validations**

We evaluated the accuracy of prediction of heading time and plant height by genomic selection with the two established approaches RR-BLUP and BayesC $\pi$  as well as the newly developed W-BLUP using cross-validation. As population structure in factorial crosses strongly influences prediction accuracy, we used a cross-validation strategy in which training and validation were performed in sets that were not related via shared parental lines. We sampled 100 times 10 male and 80 female parental lines plus 610 hybrids derived from them as training set and estimated the additive and dominance effects. Only hybrids originating from the remaining 5 male and 40 female parental lines formed the validation set in which predictions derived from the training set were tested for their cross-validation accuracy. Prediction accuracy was estimated as Pearson's correlation coefficient between the observed and the predicted hybrid performance.

### Evaluation of accuracy with computer simulations

We investigated the accuracy to predict the phenotypic performance by conducting computer simulations based on the marker data of our study. We followed the suggestion of Perez *et al.* (2010) and performed calculations based on the assumption that most markers have very small effects except for one marker that exhibits large additive and one marker that exhibits large dominance effects. We further assumed an average ratio of genetic variance explained by additive and dominance effects of 0.42 and a heritability of 0.77. Moreover, the influence of the LD pattern on the prediction accuracy was approached by comparing simulations. Data set *LE-QTL*, in which the selected marker of interest had no LD ( $r^2$  values <0.1) with all other markers involved, and data set *LD-QTL*, in which the selected marker was set to show strong linkage disequilibrium with  $r^2$  values >0.7 with at least 10 further markers, were designed based on representative SNPs from our study and employed in marker-assisted and genomic selection.

### **RESULTS**

# Phenotyping revealed broad genotypic variation for heading time and plant height

A set of 135 elite winter wheat inbred lines and  $1604 ext{ F}_1$  hybrids derived from them, adding up to in total 1739 entries, was evaluated for heading time and plant height in the field under natural settings at four locations. This revealed substantial genetic variation within the experimental population, and comparably high estimates of heritability of above 0.82 for heading time and plant height (Table 1).

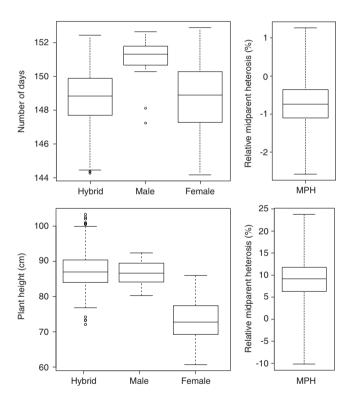
As a prerequisite for efficient hybrid seed production, the 135 inbred lines had to be split into a group of 15 later-heading, taller, open-pollinating types to be used as male and a group of 120 earlier, shorter types to be used as female parents. This grouping is well apparent in the distribution of genotypic values among the male and female inbred lines (Figure 1). Mean average heading time and plant height, respectively, were 151 days and 86.6 cm for male and 149 days

Table 1 Estimates of variance components  $(\sigma^2)$  and heritability  $(H^2)$  on entry mean basis for heading time (in days after 1 January) and plant height (in cm) in 135 parental winter wheat inbred lines and their 1604 factorial crosses

Source	Heading time	Plant height
Parents		
$\sigma^2$ Genotype	3.58a	22.54 <sup>b</sup>
$\sigma^2$ Genotype × environment	1.26 <sup>a</sup>	6.58 <sup>b</sup>
H	0.87	0.85
$F_1$ hybrids		
σ <sup>2</sup> Genotype	2.04 <sup>a</sup>	17.79 <sup>b</sup>
$\sigma^2$ Genotype × environment	0.58 <sup>a</sup>	5.39 <sup>b</sup>
$\sigma^2$ Error c	1.13	12.71
$H^2$	0.85	0.82

aSignificantly different from zero at the 0.001 level of probability.

cAssumed same error variance for parents and F1 hybrids.

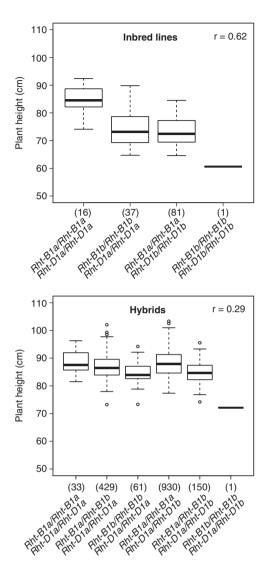


**Figure 1** Box-and-whisker plots of the distribution of genotypic values for heading time and plant height for the 15 male and 120 female lines, and the resulting 1604 single-cross hybrids evaluated across four environments.

and 73.6 cm for female parental lines, in comparison with 149 days and 75.0 cm for all inbred lines together.

### Alleles of major gene loci controlling heading time and plant height were distributed unevenly among male and female parental lines

The allele status of major gene loci controlling heading time and plant height was determined in parental inbred lines and hybrids. Locus *Ppd-D1* is a major determinant of heading time in wheat, with the photoperiod-insensitive allele *Ppd-D1a* promoting early heading in temperate zones (Beales *et al.*, 2007). All 15 late-heading inbred lines



**Figure 2** Box-and-whisker plots of the distribution of the genotypic values for plant height for different *Rht-B1* and *Rht-D1* allele setups among the 135 parent lines and 1604 single-cross hybrids. Whereas alleles *Rht-B1a* and *Rht-D1a* promote tall plant stature, alleles *Rht-B1b* and *RhtD1b* promote dwarfing. Numbers in parentheses indicate numbers of inbred lines or hybrids with respective genotypes.

used as male parents were homozygous for the photoperiod-sensitive allele *Ppd-D1b*, whereas among the earlier female parents, lines homozygous for either *Ppd-D1a* or *Ppd-D1b* were present.

Plant height is mainly influenced by loci *Rht-B1* and *Rht-D1*, with alleles *Rht-B1a* and *Rht-D1a* promoting tall plant stature and alleles *Rht-B1b* and *Rht-D1b* promoting dwarfing (Ellis *et al.*, 2002). This is also reflected in our data (Figure 2). Inbred lines with allelic setup *Rht-B1a/Rht-B1a;Rht-D1a/Rht-D1a* were tallest with a median of average plant height of 84.6 cm, followed by *Rht-B1b/Rht-B1b; Rht-D1a/Rht-D1a* and *Rht-B1a/Rht-B1a;Rht-D1b/Rht-D1b* with 73.2 and 72.5 cm, respectively, and the only *Rht-B1b/Rht-B1b; Rht-D1b/Rht-D1b* line included, with an average plant height of 60.7 cm (Figure 2). Among the tall lines used as male parents in hybrid production, 13 were of type *Rht-B1a/Rht-B1a;Rht-D1a/Rht-D1a* and two were of type *Rht-B1b/Rht-B1b;Rht-D1a/Rht-D1a*, whereas among inbred lines used as female parents, all four possible homozygous *Rht-B1;Rht-D1* allele combinations were present.

bSignificantly different from zero at the 0.01 level of probability.



# Hybrids displayed heterosis for plant height but not for heading time

We observed contrasting responses of the two traits of interest to hybridization (Figure 1). The median of average heading time of hybrids was more similar to that of the 120 earlier-heading inbred lines used as female parents, and average relative midparent heterosis of heading time was only 0.7%. This low average midparent heterosis observed in our study can be explained by small dominance effects and/or by a lack of genetic differentiation among the male and female lines. In contrast, average relative midparent heterosis was substantial for plant height, amounting to 9.2%. Thus, the median of average plant height of hybrids was more similar to that of the tall 15 inbred lines selected as male parents.

To further scrutinize the heterosis for plant height, hybrids were also grouped according to their allelic setup at gene loci *Rht-B1* and *Rht-D1* (Figure 2). As only two of the possible four homozygous *Rht-B1;Rht-D1* allele combinations had been employed as male parents, only six of the possible nine *Rht-B1;Rht-D1* allele combinations were present among the hybrids, including only two allowing direct comparison with parental inbred lines. For these two combinations, *Rht-B1a/Rht-B1a;Rht-D1a/Rht-D1a* and *Rht-B1b/Rht-B1b;Rht-D1a/Rht-D1a*, hybrids were taller than the respective inbred lines, with medians of average plant height of 87.6 cm (+4% compared with inbred lines) and 84.0 cm (+15%), respectively (Figure 2). Thus, heterosis of plant height manifested between inbred lines and hybrids carrying the same, homozygous *Rht-B1;Rht-D1* allele setup.

As *Ppd-D1* is also known to influence plant height (Worland *et al.*, 1998; Beales *et al.*, 2007), its allele status was checked in the compared plant groups. All *Rht-B1a/Rht-B1a;Rht-D1a/Rht-D1a* parental lines and hybrids that were included in our study were at the same time homozygous for the photoperiod-sensitive allele *Ppd-D1b* promoting a tall plant stature that excluded differences at this locus as an explanation for differences in plant height. Consistently, plant height heterosis between *Rht-B1b/Rht-B1b;Rht-D1a/Rht-D1a* inbred lines and hybrids persisted when subgroups containing only material homozygous for *Ppd-D1b* were compared.

### Marker-assisted selection for heading time and plant height

The genotypic variation of heading time could be predicted by marker-assisted selection based on the functional marker for *Ppd-D1* (Beales *et al.*, 2007) with an accuracy of 0.45 for the parental inbred

Table 2 Average prediction accuracy of MAS and three different approaches of genomic selection for heading time and plant height

Method	Heading time		Plant h	Plant height	
	Accuracy	s.d.	Accuracy	s.d.	
MAS-NCV <sup>a</sup>	0.526	_	0.444	_	
MAS <sup>b</sup>	0.535	0.091	0.378	0.101	
RR-BLUP <sup>c</sup>	0.404	0.112	0.395	0.135	
BayesCπ <sup>c</sup>	0.442	0.114	0.422	0.125	
W-BLUP <sup>c</sup>	0.576	0.110	0.502	0.115	

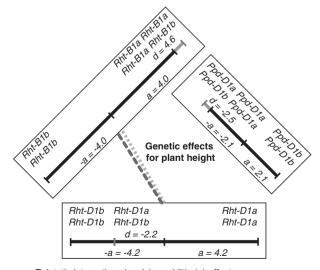
Abbreviations: MAS, marker-assisted selection; RR-BLUP, ridge regression best linear unbiased prediction; NCV, non-cross-validation; W-BLUP weighted best linear unbiased prediction; s.d., standard deviation.

lines (data not shown) and with an accuracy of 0.53 for the hybrids (Table 2). In the pooled populations of parental inbred lines and hybrids, prediction accuracy of marker-assisted selection for heading time amounted to a rather high 0.54 based only on the functional marker for Ppd-D1. The degree of dominance was -0.72 in the direction of the early-flowering homozygous Ppd-D1a class.

Prediction accuracy of marker-assisted selection for plant height based on the functional markers for Rht-B1 and Rht-D1 (Ellis et al., 2002) was much higher with 0.62 for the inbred lines as compared with 0.29 for the hybrids (Figure 2). Adding the functional marker information for Ppd-D1 (Beales et al., 2007) to the one for Rht-B1 and Rht-D1 led to an increased prediction accuracy of 0.44 in the population of the hybrids. In the pooled populations of inbred lines and hybrids, the prediction accuracy amounted to 0.69 based on all three functional markers for gene loci Ppd-D1, Rht-B1 and Rht-D1. The degrees of dominance of the three functional marker loci for plant height determined on the basis of combined inbred line and hybrid data with fitting general terms to groups of female parents, male parents and hybrids were 1.2 toward the tall allele Rht-B1a, and 0.5 and 1.2 toward the short alleles Rht-D1b and Ppd-D1a, respectively (Figure 3). The model selection revealed significant digenic epistatic interactions involving additive and dominance effects among gene loci Rht-B1 and Rht-D1.

### Cross-validated accuracy of marker-assisted and genomic selection

The cross-validation of marker-assisted selection revealed no severe drop in prediction accuracies (Table 2) compared with the non-cross-validated accuracies (Figure 2) for both heading time and plant height. This is not surprising as we considered the accuracy of marker-assisted selection based on well-established functional markers for plant height and heading time. The marginally higher accuracy for cross-validated versus non-cross-validated values observed for heading time resulted from the fact that the accuracies of prediction were evaluated exclusively in the population of hybrids. For the non-cross-validated values, this applies to the estimation and the test set, as both are identical. In contrast, in the cross-validation study, we used



=== Epistatic interactions involving additiv (a) effects
Epistatic interactions involving additiv (a) and dominance (d) effects

Figure 3 Genetic effects for plant height for *Ppd-D1*, *Rht-B1* and *Rht-D1* genotypes as determined in a population of 135 parent wheat lines and their 1604 single-cross hybrids.

<sup>&</sup>lt;sup>a</sup>MAS-NCV indicates MAS prediction accuracy without cross-validation based on functional marker *Ppd-D1* for heading time and functional markers *Ppd-D1*, *Rht-B1* and *Rht-D1* for plant height. As only one value is available, s.d. could not be determined.

bMAS prediction accuracy according to cross-validation.

 $<sup>^</sup>c$ Genomic selection accuracies according to cross-validation for RR-BLUP, BayesC $\pi$  and W-BLUP based on 1280 markers plus the functional markers.

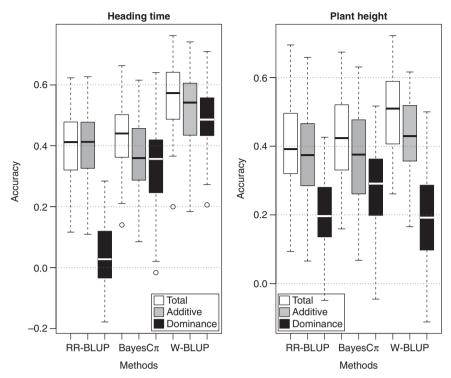


Figure 4 Box-and-whisker plots of accuracy to predict heading time and plant height for the three genomic selection methods RR-BLUP, Bayes $C\pi$  and W-BLUP based on 1280 SNPs and trait-specific functional markers.

Table 3 Average prediction accuracies of MAS and three different approaches of genomic selection for simulated data sets

Method		LE-QTL			LD-QTL		
	Total	Additive	Dominance	Total	Additive	Dominance	
MAS <sup>a</sup> RR-BLUP <sup>b</sup> BayesCπ <sup>b</sup> W-BLUP <sup>b</sup>	0.55 0.46 0.58 0.74	0.46 0.39 0.47 0.63	0.31 0.34 0.36 0.45	0.66 0.75 0.76 0.77	0.61 0.67 0.66 0.69	0.35 0.46 0.43	

Abbreviations: LD, linkage disequilibrium; LE, linkage equilibrium; MAS, marker-assisted selection; QTL, quantitative trait locus; RR-BLUP, ridge regression best linear unbiased prediction; W-BLUP weighted best linear unbiased prediction.

Based on representative single-nucleotide polymorphisms (SNPs) from our study, we assumed a scenario *LE-QTL*, in which a selected marker was in no LD with all other markers involved, and a scenario *LD-QTL*, in which a selected marker was in strong LD with at least 10 further markers.

parental inbred lines besides the hybrids to estimate the marker effects. Obviously, this contributed to the precision to estimate marker effects.

The genotypic variation of heading time and plant height was further predicted based on 1280 SNP markers in combination with functional markers by three different genomic selection approaches, including the well-established RR-BLUP and Bayes  $C\pi$  methods as well as the newly developed W-BLUP. Cross-validation studies of predicted values revealed that for most scenarios accuracies based on additive and dominance effects were higher than that based on additive or dominance effects alone for both traits (Figure 4). An interesting exception is made by the very low accuracies based on dominance effects observed for heading time and RR-BLUP that are in sharp

contrast to the results from BayesC $\pi$  and W-BLUP. The differences in accuracies can be explained by the large contribution to the phenotypic variation of the dominance effect of Ppd-D1 that can only be properly handled by low shrinkage parameters for this marker. In the following, we will present only the prediction accuracies for combined additive and dominance effects.

Accuracies of prediction from marker-assisted selection as well as accuracies of prediction from all three genomic selection approaches differed substantially between the two traits of interest (Table 2). For heading time, prediction accuracy decreased from 0.54 with marker-assisted selection to 0.40 with RR-BLUP (-25%), and 0.44 with BayesC $\pi$  (-17%), and only improved to 0.58 with W-BLUP (+7%). In contrast, for plant height, the low accuracy of 0.38 seen for marker-assisted selection gradually improved over 0.39 with RR-BLUP (+4%) and 0.42 with BayesC $\pi$  (+10%) to 0.50 with W-BLUP (+24%). However, even with W-BLUP, accuracy of prediction stayed lower for plant height compared with heading time.

## Comparison of marker-assisted and genomic selection based on simulations

Although Ppd-D1 controlling heading date was found in LD ( $r^2$  = 0.15) with only one SNP marker, Rht-B1, Rht-D1 and Ppd-D1 relevant for plant height were found in total to be in LD with 16 SNP markers. In order to test this as an explanation for the different predictive powers of genomic selection based on combined genomewide and functional marker information for different traits, we performed a simulation study contrasting a scenario in which functional SNPs of interest were in linkage equilibrium with the panel of markers (LE-QTL) with one in which functional SNPs were in LD with several markers (LD-QTL). This simulation scenario revealed that the pattern of LD between functional SNPs with a substantial contribution to the genotypic variance is crucial for the

<sup>&</sup>lt;sup>a</sup>MAS prediction accuracy according to cross-validation.

 $<sup>^</sup>b\text{Genomic}$  selection accuracies according to cross-validation for RR-BLUP, BayesC $\pi$  and W-BLUP.



accuracy of genomic selection approaches (Table 3). Looking at prediction accuracies for combined additive and dominance effects, we observed for the *LE-QTL* scenario a decrease in prediction accuracy from 0.55 for marker-assisted selection to 0.46 for RR-BLUP (-16%), but an increased accuracy with 0.58 for BayesC $\pi$  (+5%) and 0.78 for W-BLUP (+42%). Using the preknowledge on important functional markers in the W-BLUP approach led to considerably improved prediction accuracy as compared with marker-assisted selection in this scenario. For the *LD-QTL* scenario, we also observed, although less pronounced, an increase in prediction accuracy when shifting from marker-assisted selection with 0.66 to genomic selection with close to 0.76 for all three approaches (+15%) irrespective of the method applied.

#### DISCUSSION

Development of hybrid breeding opens a new route to increase yield potential in wheat, but it is also connected with new challenges, the appropriate balancing of heading time and plant height being two of them (Longin et al., 2012). Heading time and plant height are traits important for performance of winter wheat and thus have received substantial attention in line breeding. Key gene loci controlling heading time (Ppd-D1) and plant height (Rht-B1, Rht-D1 and Ppd-D1) are well known, and favorable alleles have been pivotal in shaping current elite wheat (Worland et al., 1998; Peng et al., 1999; Beales et al., 2007). However, more QTLs with small to medium effects are to be expected to fine-tune these traits in both inbred lines and hybrids. Thus, we obtained heading time and plant height phenotypic data in field trials at four locations and generated genotyping data using a 9k wheat SNP array and functional marker tests for *Ppd-D1*, Rht-B1 and Rht-D1 for a population of 135 wheat inbred lines and 1604 hybrids derived from them.

Unexpectedly, marker-assisted selection as well as genomic selection approaches RR-BLUP and BayesCπ showed quite different accuracies of prediction for the two traits of interest in cross-validation tests. For heading time, prediction by marker-assisted selection based on Ppd-D1 alone was already highly accurate for inbred lines, hybrids and the combination of both. In contrast, for plant height, prediction by marker-assisted selection based on Rht-B1, Rht-D1 and Ppd-D1 was accurate only for inbred lines alone, but not for hybrids alone or the combination of inbred lines and hybrids. Cross-validation fully confirmed the high accuracy of prediction by marker-assisted selection for heading time, but indicated that the already lower accuracy of prediction for plant height was slightly overestimated. Genomic selection also showed different accuracies of prediction for the two traits in cross-validation. In comparison with marker-assisted selection, accuracy of prediction of heading time dwindled in genomic selection with RR-BLUP and was still low with BayesCπ for combined inbred lines and hybrids, whereas for plant height, it improved with RR-BLUP and even further with Bayes $C\pi$ . Consequently, the advantage of marker-assisted versus genomic selection strongly depends on the trait of interest and the genetic architecture underlying it.

One further explanation for the differences in prediction accuracies of genomic selection for the two traits might be differences in the LD structure. Whereas Ppd-D1 controlling heading date is in LD with only one SNP marker, Rht-B1, Rht-D1 and Ppd-D1 relevant for plant height are all together in LD with a total of 16 SNP markers. Such an influence of LD was confirmed by our simulation studies. In a setup assuming a functional marker without SNP markers in LD, accuracies of prediction of marker-assisted selection, RR-BLUP and RR-BLUP similar to that seen for heading time, whereas in a setup assuming a functional marker in LD with several

SNP markers, increasing accuracies similar to that seen for plant height were found.

Yet another reason for the differences in the accuracies of prediction between the two traits might reside in hybrid-related effects. For both heading time and plant height, additive as well as dominance effects contributed to the accuracies of genomic selection, but to different extents. Additive effects were found most important for heading time, in particular in context with RR-BLUP, whereas for plant height, additive and dominance effects contributed in a more balanced way. This is consistent with the very low midparent heterosis for heading time and the contrasting substantial midparent heterosis for plant height observed in our study.

With regard to the control of heading time and plant height, partial dominance of the *Ppd-D1* allele promoting early heading (*Ppd-D1a*) and of the Rht-D1 allele promoting short plant stature (Rht-D1b) reported by Worland et al. (1998) and Beales et al. (2007) could be confirmed. In contrast, the apparent overdominance of alleles Ppd-D1a and Rht-B1a (the latter one even in the direction of tall plant stature) in context with plant height found in our study is inconsistent with these publications. However, there are previous reports of similar overdominance (Allan et al., 1968) and generally increased plant height (Allan et al., 1968; Fick and Qualset, 1973) in wheat F<sub>1</sub> hybrids. Furthermore, because of the need to use late-heading tall inbred lines as male and early-heading shorter ones as female parents in wheat hybrid production, Ppd-D1, Rht-B1 and Rht-D1 alleles promoting early heading (Ppd-D1a) and short stature (Ppd-D1a, Rht-B1b and Rht-D1b) were distributed nonrandomly among the parental lines, and thus also among the hybrids.

The nonsatisfactory performance of RR-BLUP and also Bayes $C\pi$  in the prediction of heading time and plant height is in part because of inappropriate weighting of functional marker versus SNP marker contributions. To resolve this limitation, we developed W-BLUP that balances the contributions from random and functional markers by including additional effect of the latter ones. Accordingly, W-BLUP performed better than both RR-BLUP and Bayes $C\pi$  in prediction based on experimental as well as simulated data. Thus, W-BLUP provides a new robust tool to bridge the gap between marker-assisted and genomic selection.

Heading time and plant height also differed in their levels of heterosis, with heading time showing almost no response to hybridization, whereas plant height was increased by 4 to 15%. The difference in the response of the two traits to hybridization and the substantial heterosis in plant height toward tall plant stature had already shown up in the initial analysis of the data set (Longin *et al.*, 2013). As a short, sturdy plant stature is favorable in wheat cultivation (Borlaug, 1983), a major challenge for future wheat hybrid breeding will be to counterbalance the heterosis in plant height and to mobilize the surplus in biomass into grain yield. In previous conventional wheat line breeding, plant height has been traded for yield gain by using dwarfing alleles *Rht-B1b* or *Rht-D1b*, usually either one or the other, but rarely both in combination, as homozygosity for both alleles leads to very small plants with diminished yield potential.

As the degree of heterosis in plant height seemed to be influenced by the *Rht-B1* and *Rht-D1* alleles present, with the already tall *Rht-B1a*; *Rht-D1a* homozygous setup allowing less heterosis than the shorter *Rht-B1b*; *Rht-D1a* setup, plant height heterosis in hybrids might actually be able to mend the drawbacks of even a *Rht-B1b*; *Rht-D1b* double-homozygous setup. A respective tendency toward increased plant height has been described for wheat hybrids obtained from crosses of semi-dwarf (likely *Rht-B1b*; *Rht-D1b*) lines previously (Allan *et al.*, 1968). However, the single *Rht-B1b*; *Rht-D1b* double-

homozygous inbred line included as a female parent in our study had the lowest grain yield of all inbred lines, ranking 135th among 135 lines, and it would be hard to produce Rht-B1b;Rht-D1b doublehomozygous wheat hybrids on a large scale in practical terms, as the male parents need to be tall to be efficient as pollen donors. A practicable solution might be the generation of hybrids homozygous for Rht-B1b and heterozygous for Rht-D1b by crossing an Rht-B1b;Rht-D1a father and an Rht-B1b;Rht-D1b mother. In the one case available to us, the success of this approach was limited. Although the semi-dwarf Rht-B1b;Rht-D1b homozygous inbred line used as female parent had the lowest grain yield of all 135 lines in the study and the tall Rht-B1b; Rht-D1a homozygous inbred line used as male parent ranked 11th of all 135 lines with regard to grain yield, the resulting hybrid homozygous for Rht-B1b and heterozygous for Rht-D1b had a plant height within the appropriate range and ranked 1478th of 1604 hybrids with regard to grain yield, indicating a grain yield midparent heterosis of 12.3% (data not shown). Thus, although this one observation seems rather promising, the balancing of plant height heterosis in hybrid wheat is still providing a substantial challenge.

### **DATA ARCHIVING**

Original data are included as Supplementary Table S1 in form of a Microsoft Excel file and are available from the Dryad Digital Repository: doi: 10.5061/dryad.461nc.

### **CONFLICT OF INTEREST**

The authors declare no conflict of interest.

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