

# Multivariate analysis reveals genetic associations of the resting default mode network in psychotic bipolar disorder and schizophrenia

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The brain's default mode network (DMN) is highly heritable and is compromised in a variety of psychiatric disorders. However, genetic control over the DMN in schizophrenia (SZ) and psychotic bipolar disorder (PBP) is largely unknown. Study subjects ( $n = 1,305$ ) underwent a resting-state functional MRI scan and were analyzed by a two-stage approach. The initial analysis used independent component analysis (ICA) in 324 healthy controls, 296 SZ probands, 300 PBP probands, 179 unaffected first-degree relatives of SZ probands (SZREL), and 206 unaffected first-degree relatives of PBP probands to identify DMNs and to test their biomarker and/or endophenotype status. A subset of controls and probands ( $n = 549$ ) then was subjected to a parallel ICA (para-ICA) to identify imaging-genetic relationships. ICA identified three DMNs. Hypo-connectivity was observed in both patient groups in all DMNs. Similar patterns observed in SZREL were restricted to only one network. DMN connectivity also correlated with several symptom measures. Para-ICA identified five sub-DMNs that were significantly associated with five different genetic networks. Several top-ranking SNPs across these networks belonged to previously identified, well-known psychosis/mood disorder genes. Global enrichment analyses revealed processes including NMDA-related long-term potentiation, PKA, immune response signaling, axon guidance, and synaptogenesis that significantly influenced DMN modulation in psychoses. In summary, we observed both unique and shared impairments in functional connectivity across the SZ and PBP cohorts; these impairments were selectively familial only for SZREL. Genes regulating specific neurodevelopment/transmission processes primarily mediated DMN disconnectivity. The study thus identifies biological pathways related to a widely researched quantitative trait that might suggest novel, targeted drug treatments for these diseases.

genetics | BSNIP | architecture | molecular

Schizophrenia (SZ) and psychotic bipolar disorder (PBP) are common, serious, heritable, genetically complex illnesses, sharing multiple characteristics, including risk genes and abnormalities in cognition, neural function, and brain structure (1–4). However, despite recent advances, their underlying biological mechanisms are largely undetermined and may be shared across the two diagnostic groups. Recent large-scale analyses have used various statistical informatics strategies to dissect these biological underpinnings better (5, 6). A recent study using a pathway-enrichment strategy showed that genes involved in neuronal cell adhesion, synaptic formation, and cell signaling are overrepresented in SZ and bipolar disorder (BP) (6). Another study using an informatics-based approach identified several cohesive genetic networks related to axon guidance, neuronal cell mobility, and synaptic functioning as key players in schizophrenia (5).

Although risk for psychotic illnesses is driven in small part by highly penetrant, often private mutations such as copy number variants, substantial risk also is likely conferred by multiple genes of small effect sizes interacting together (7). According to the “common disease common variant” (CDCV) model, one would expect both common and unique quantitative/heritable traits associated with the above syndromes, regulated by these underlying genes, to provide a good starting point for understanding the etiology of SZ and BP. Because definitions of psychiatric diseases are based on clinical phenomenology and lack biological validity (2, 8) a recent strategy has been to use intermediate phenotypes (9, 10) to elucidate quantitative/mechanistic aspects of the underlying disease processes, thereby reducing phenotypic heterogeneity and increasing association power (9, 11, 12). Various properties of intrinsic networks derived from resting-state functional MRI (RS-fMRI) connectivity are promising putative endophenotypes (4, 13). A core component within the resting state is the default mode network (DMN), comprising posterior cingulate cortex (PCC), retrosplenial cortex/precuneus, medial

## Significance

Connectivity within the brain's resting-state default mode network (DMN) has been shown to be compromised in multiple genetically complex/heritable neuropsychiatric disorders. Uncovering the source of such alterations will help in developing targeted treatments for these disorders. To our knowledge, this study is the first attempt to do so by using a multivariate data-driven fusion approach. We report five major DMN subnodes, all of which were found to be hypo-connected in probands with psychotic illnesses. Further, we found an overrepresentation of genes in major relevant pathways such as NMDA potentiation, PKA/immune response signalling, synaptogenesis, and axon guidance that influenced altered DMN connectivity in psychoses. The study thus identifies several putative genes and pathways related to an important biological marker known to be compromised in psychosis.

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prefrontal cortex (MPFC), medial and lateral parietal cortex, inferior/middle temporal gyri, and parts of cerebellum and basal ganglia that is thought to characterize basal neural activity (14). Connectivity within the DMN is compromised in multiple mental disorders including SZ and PBP (4, 15–19), although reports are inconsistent as to whether hypo- vs. hyper-connectivity (13, 16, 17, 19–21) within this circuit is related to risk of psychosis. Ongür et al. (17) reported reduced MPFC resting-state DMN connectivity in both SZ and BP. Abnormal recruitment involved parietal cortex in BP and frontopolar cortex/basal ganglia in SZ, indicating a dysfunctional core resting-state network with some shared and some unique features in these disorders. Importantly, several studies report DMN dysfunction in siblings who are at increased genetic risk for these disorders (13, 22). DMN connectivity is strongly heritable, making it a promising endophenotype candidate (23).

A challenge in imaging–genetic analyses is correcting for multiple univariate statistical tests, making it difficult to observe weak effects across multiple variables, as presumed in the CDCV model (10). To overcome this problem and to identify aggregate effects, there has been a recent shift toward using multivariate techniques (12, 24–26) such as parallel-independent component analysis (para-ICA), an approach previously validated in psychiatric disorders including SZ and Alzheimer's disease, yielding robust results with practical sample sizes (12, 24, 25, 27). Given the substantial overlap in the clinical, neurophysiologic, genetic, and molecular characteristics of SZ and BP, we first sought to clarify similarities and differences between SZ and PBP using DMN connectivity as a quantitative disease marker. We next wanted to test whether trait measures found to be abnormal in probands were transmitted to their unaffected relatives and to quantify heritability. Our third goal was to identify the genes and the underlying molecular/biological mechanisms associated with such SZ and PBP intermediate phenotypes to illuminate the etiology of these disease processes.

In line with prior evidence, we hypothesized that (i) we would observe strongly altered (both increased and decreased) connectivity within the DMN in SZ and PBP probands, specifically in regions including precuneus, PCC, and medial prefrontal cortex; (ii) we would observe similar traits in their unaffected first-degree relatives, exhibiting significant heritability; and (iii) variation in DMN connectivity would be characterized by sets of genes that primarily govern axon guidance, neuronal ion channels, neuronal/neurotransmitter signaling, synaptic transmission, and/or cellular signaling and that may have been identified previously in association with risk for neurodevelopmental disorders, including SZ and/or BP.

## Results

Group differences were noted for age, sex, and site in the overall sample (Table 1). There were no significant between-group differences on average translational and rotational motion parameters estimated during the realignment process. General quality-control parameters used for both the imaging and genetic data are shown in Fig. 1.

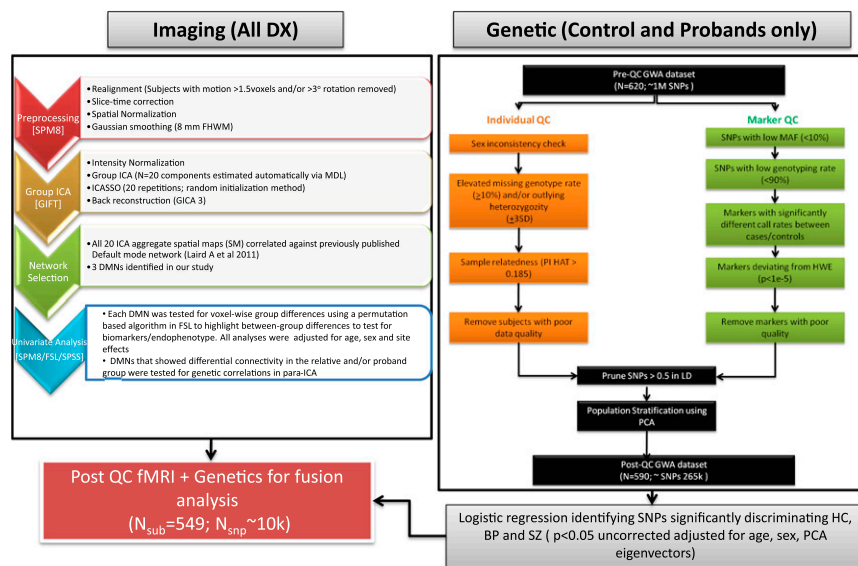
Using a spatial correlation approach (*SI Materials and Methods*), we identified three data-driven global (parent) DMNs based on their relatively high correlation values (Pearson  $r > 0.4$ ) compared with other intrinsic networks from the current study (Fig. S1). All three networks were highly stable, as assessed using ICASSO (<http://research.ics.aalto.fi/ica/icasso>), with a stability quotient  $> 0.95$ . The identified global (parent) DMNs (Fig. 2, *Left*) were (i) anterior DMN (a-DMN; Red Network): MPFC–anterior cingulate (ACC)–caudate; (ii) inferior–posterior DMN (ip-DMN; Blue Network): PCC–inferior parietal lobule (IPL)–middle temporal gyrus–cuneus/pre-cuneus; and (iii) superior posterior DMN (sp-DMN; Green Network): cuneus/pre-cuneus–IPL–cingulate.

**Conventional Analysis of DMNs.** No voxels showed a significant diagnosis  $\times$  site interaction when thresholded at familywise error (FWE)  $< 0.05$ ;  $k = 10$ , whole-brain corrected. Initial omnibus F-tests ( $P < 0.05$  FWE corrected) (Fig. 2, *Center*) generated using the Randomize threshold-free cluster enhancement (TFCE) option in FSL (<http://fsl.fmrib.ox.ac.uk/fsl/fslwiki/Randomise>) revealed all three DMNs to have significantly less connectivity in both proband groups. No region with greater connectivity was identified. Clusters in MPFC ( $x, y, z = 3, 31, 34$ ;  $F = 4.5$ ), cingulate ( $x, y, z = -3, 25, 9$ ;  $F = 7.1$ ), and ACC ( $x, y, z = -9, 35, 9$ ;  $F = 8.4$ ) were most affected in probands in the a-DMN. Similarly, PCC ( $x, y, z = 12, -52, 14$ ;  $F = 8.9$ ) and cuneus ( $x, y, z = -12, -61, 9$ ;  $F = 6.9$ ) showed lower connectivity in probands within the ip-DMN. Cingulate ( $x, y, z = 0, -28, 26$ ;  $F = 5.7$ ) and precuneus ( $x, y, z = -9, -59, 34$ ;  $F = 12.6$ ) were most disrupted within the sp-DMN. The sp-DMN also significantly differentiated SZ from PBP, with SZ showing a more pronounced hypo-connectivity within this network. Post hoc  $t$  tests in SPSS evaluating average regional differences in relatives in the above abnormal clusters showed significant hypo-connectivity within the a-DMN only in relatives of the SZ probands (SZREL) ( $t = 2.8$ ;  $P < 0.005$ ) but not in the relatives of the PBP probands (PBPREL) within this component. See Fig. 2, *Right* for all three DMNs networks and standardized regional connectivity coefficients across all groups in significant regions.

**Heritability.** Heritability scores ( $h^2$ ) of the above DMNs calculated in Sequential Oligogenic Linkage Analysis Routines (SOLAR) (28) showed that ip-DMN connectivity was significantly but

**Table 1. Demographic characteristics of the overall sample ( $n = 1,305$ ) used for primary ICA analysis**

Demographic characteristic	Controls ( $n = 324$ )		SZ proband ( $n = 296$ )		PBP proband ( $n = 300$ )		SZREL ( $n = 179$ )		BPREL ( $n = 206$ )		Statistic	
	N	%	N	%	N	%	N	%	N	%	$\chi^2$	$P$ value
<b>Sex</b>												
Male	180	55.5	199	6.6	112	3.7	53	29.6	74	35.9	101.1	$<<0.001$
Female	144	44.4	97	32.7	188	62.3	126	70.4	132	64.1		
<b>Ethnicity</b>												
Non-Hispanic	298	91.9	271	91.2	270	90.0	159	88.8	187	90.7	1.8	NS
Hispanic	26	8.1	25	8.4	30	10.0	20	11.2	19	9.3		
<b>Site</b>												
Hartford	126	38.8	106	35.8	89	29.6	76	42.4	88	42.7	67.0	$<<0.001$
Baltimore	58	17.9	92	31.1	47	15.6	45	25.2	28	13.6		
Chicago	55	16.9	41	13.8	81	27.0	22	12.4	47	22.8		
Detroit	26	8.0	19	6.4	21	7.0	9	5.0	7	3.4		
Dallas	59	18.2	38	12.8	62	20.7	27	15.1	36	17.5		



**Fig. 1.** Overall workflow of the study describing the steps in processing both the imaging and genetic data leading to para-ICA analysis.

modestly heritable ( $h^2 = 0.18$ ;  $P = 0.03$ ) and a-DMN connectivity was trend-heritable ( $h^2 = 0.14$ ;  $P = 0.07$ ).

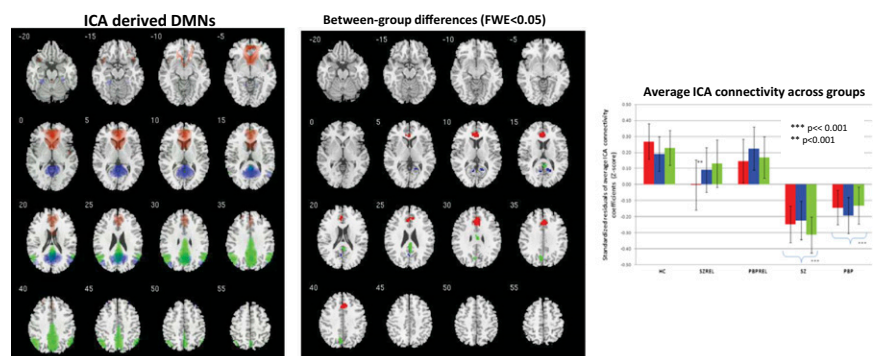
**Correlation Analyses.** Connectivity across all three global (parent) DMNs showed a significant positive correlation with the Social Functioning Scale (SFS) measure ( $P < 0.05$ , Bonferroni corrected), suggesting poorer social functioning was associated with diminished connectivity within DMNs. Sp-DMN connectivity correlated negatively with negative symptom scores on the Positive and Negative Symptom Scale (PANSS), and significant negative correlations were observed between positive symptom scores on the PANSS and DMN connectivity across both a-DMN and ip-DMN (although these correlations did not survive correction for multiple comparisons). These results suggest that higher clinical symptomology is associated with lower connectivity within the above networks. Because of the exploratory nature of these correlations, Table S1 shows both Bonferroni-corrected and uncorrected  $P$  values.

**Para-ICA.** Before conducting para-ICA, we confirmed that the genetic sample had a low genomic inflation factor ( $\sim 1$ ) using a logistic regression (adjusted for age, sex, site, and PCA factors) in PLINK (<http://pngu.mgh.harvard.edu/~purcell/plink/>) tested separately for both proband groups to verify the absence of stratification bias.

**a-DMN (Red Network).** Para-ICA identified two significant positively correlated phenotype-genotype relationships for the a-DMN. Loading coefficients summarizing the strength of network modulation across subjects were derived for both imaging and ge-

netic features. The phenotypic subnetworks encompassed the ACC/MPFC (aDMN-S1) and caudate (aDMN-S2). The aDMN-S1 network was positively linked to a genetic cluster ( $r = 0.15$ ;  $P = 4.8E-4$ ) containing 454 SNPs in 386 genes (each having varying weights toward the cluster's overall signal). Significant functional ontologies representing this gene cluster included (but are not limited to) neurophysiologic processes (NMDA-dependent post-synaptic long-term potentiation, netrin-1 regulation of axon guidance), development [endothelin receptor type A/B (EDNRA/B), Wnt signaling], cell adhesion (cadherins, synaptic contact), and regulation of cytoskeleton rearrangement. Similarly, the aDMN-S2 network was linked positively to a genetic network ( $r = 0.18$ ;  $P = 3.6E-5$ ) whose top biological enrichments pertained (but were not limited) to cell adhesion (synaptic contact, attractive/repulsive receptors), neurogenesis, neuron differentiation, and smooth muscle contraction. Supplementary analyses on loading coefficients revealed that connectivity within the aDMN-S1 network was decreased significantly only in SZ. Functional connectivity within the aDMN-S2 network showed a strong trend of reduced connectivity among the PBP probands and was not affected in SZ probands.

**ip-DMN (Blue Network).** After adjusting for covariates and multiple comparisons, we found that one significant relationship remained for this network. The phenotype feature (ip-DMN-S1) primarily comprised PCC and was associated ( $r = -0.17$ ;  $P < 8.7E-5$ ) with a genetic cluster enriched for G protein signaling, immune response, signal transduction, apoptosis, cell adhesion, inflammation, and neuron differentiation. Post hoc tests showed diminished fMRI connectivity in both SZ and PBP probands.



**Fig. 2.** (Left) Spatial topology of ICA-derived DMNs identified in the study thresholded at  $Z > 2$ . (Center) Between-group voxelwise differences within DMNs derived from Randomize-TFCE ( $FWE < 0.05$ ). (Right) Bar graphs show average connectivity values across groups in clusters identified in the center panel as being significantly different among groups.



**sp-DMN (Green Network).** Para-ICA revealed two significant fMRI–gene relationships. The first subnetwork, sp-DMN-S1, contained PCC/precuneus and was associated ( $r = -0.21$ ;  $P = 5.34E-7$ ) with a genetic network in which ontologies including neurophysiologic processes (NMDA long-term potentiation, regulation of axonal guidance), cAMP/Ca<sup>2+</sup>-dependent insulin secretion, synaptogenesis, nervous system regulation/development, and regulation of excitatory postsynaptic potential were over-represented. The second subnetwork, sp-DMN-S2 ( $r = 0.21$ ;  $P = 5.2E-7$ ), mainly consisted of precuneus, whose connectivity was associated with proteins responsible for PKA signaling, K<sup>+</sup> transport, axonal guidance, neuronal generation/development, and cell differentiation. Supplementary analysis of fMRI loading coefficients revealed reduced connectivity in both SZ and PBP within sp-DMN-S1 and selectively for SZ in sp-DMN-S2.

Brief descriptions of the top 10 ranked genes (based on Z-score weighting) from each component along with their functional significance and properties related to BP and SZ are listed in Table 2. Overall para-ICA results are shown in Fig. 3; Table S2 lists detailed functional enrichment properties for each significant gene network/cluster. Fig. S2 summarizes the identified functional enrichments across all DMN subnetworks.

**Pooled Enrichment Analysis.** The top five significantly [false discovery rate (FDR) <0.05] overrepresented pathways, processes, and metabolic networks from the pooled enrichment analysis are shown in Fig. 4.

## Discussion

DMN is the most widely examined intrinsic network, because of its unique characteristics and its role in indexing more fundamental aspects of brain function than those evoked by cognitive demands. DMN abnormalities have been associated with multiple mental disorders (50). The current study was one of the largest to date to quantify DMN connectivity across SZ and PBP probands and their relatives. In addition, this was the first study, to our knowledge, to assess genetic/biological associations of this vital network(s) in the context of psychoses.

**Conventional Voxelwise Analysis of DMN.** As is consistent with the global DMN comprising multiple subsystems, each exhibiting varying degrees of differential connectivity (51, 52), the current data-driven analysis identified three separate DMNs. As predicted, both proband groups exhibited reduced global ICA connectivity in regions such as MPFC, ACC, PCC, cuneus, and precuneus, as is consistent with disconnectivity hypotheses in these disorders and previously published results using similar connectivity methods (15, 17, 18). However, contrary to previous reports showing DMN hyper-connectivity among probands (13, 53), we saw no such effects. Our sample was larger than that in prior reports showing hyper-connectivity, had different demographic and possibly different medication characteristics, and used a relatively new statistical thresholding technique designed to minimize potential confounds accompanying conventional techniques and a data-driven ICA approach, all of which might have contributed to these different findings. We also found that one of the three DMNs showed a statistical between-group difference in DMN connectivity between the SZ and PBP probands, suggesting the differential sensitivity of DMN nodes in capturing both unique and common functional traits across these two major psychiatric disorders. A recent study by Khadka and colleagues (19) that used a small subset of the current study sample found comparable results, wherein the p-DMN showed reduced connectivity in both the SZ and PBP probands but no effect across unaffected relatives. In contrast to the current study, however, Khadka et al. noted no differences in the a-DMN and similarly no correlations with behavioral indices across the DMNs, most likely because of the smaller sample size. Exploring the potential utility of these DMNs as endophenotypes, we observed that altered connectivity was detected only in SZ/RELs and was specific to a-DMN connectivity. As further validation,

this network also showed a strong trend for heritability in the current sample, even though the study design was not optimally designed to capture dense family pedigrees. Abnormal connectivity patterns for other networks were not detected in relatives. However, despite the normal fMRI patterns in relatives, the ip-DMN was significantly, albeit modestly, heritable. Therefore our study may have been underpowered to detect abnormal patterns in relatives for this particular fMRI network.

**Para-ICA.** A primary goal was to identify the genetic architecture and the underlying biological/molecular mechanisms associated with DMN disruptions in psychoses, using multivariate para-ICA that captures maximal association between variations among high-dimensional feature sets across subjects (here, DMNs and dosage-coded SNPs) (see Fig. S3). The major advantage of this approach in the context of imaging–genetics is its power to leverage differential multivariate patterns with minimal loss of statistical power resulting from necessary multiple comparison corrections.

Unlike univariate studies [e.g., genome-wide association studies (GWAS)], para-ICA illuminates clusters of interacting SNPs, each with a weighted contribution toward a quantitative trait and readily interpreted in the context of illness-associated molecular/biological pathways. Thus, the current discussion focuses on two important aspects of the genetic information that enable better understanding of biological underpinnings of these complex data: (i) the functionality of genes with top rankings and/or multiple hits within each network and (ii) pathway/biological functional enrichments of the gene clusters.

**a-DMN (Red Network).** Two positive genotype–phenotype relationships were identified for the a-DMN, indicating that lower neural network connectivity was associated with a lower genetic load contributed by the underlying genes. The two fMRI subnetworks within the a-DMN showed preferential functional disconnectivity among disorders. The a-DMN-S1 subnetwork primarily containing dorsal-MPFC/ACC was impaired in only SZ probands, as is consistent with prior reports examining other measures of RS-DMN connectivity (16, 17, 54). Several genes had relatively high loading coefficients and/or multiple occurrences within the genetic network (e.g., *CDH13* from the cadherin family, which had nine SNPs). Cadherins mediate cell adhesion and intracellular signaling, playing a pivotal role in the development of neural circuitry and maturation of synaptic function. Cadherins, including *CDH13*, are implicated in multiple neuropsychiatric disorders (55). Other cadherins were *CDH12*, previously implicated in both SZ and BP, and *CDH4, 5*, associated with cognitive impairment (55). Multiple SNPs in the well-known complement-control *CSMD1* gene also were found in this network. This gene is involved in activity of immunity-related pathways and is associated with SZ risk (56) and memory deficits in SZ (57). *MSRA*, implicated in protection against oxidative stress and protein maintenance, was shown recently to be a candidate SZ risk gene (58). *RORA* was another gene that showed a notable presence within the component and that has been implicated in a variety of neuropsychiatric disorders, including major depression, Alzheimer's disease, and autism (32, 33, 59). Being a pivotal nuclear receptor for the survival and differentiation of Purkinje neuronal cells (60), it also regulates aromatase, which is reduced significantly in the frontal cortex of autistic subjects (59).

The second subnetwork, a-DMN-S2, containing mostly caudate, showed a strong trend of aberrance only in the PBP probands. Caudate connectivity is frequently implicated in major affective disorders (61, 62). Major gene candidates represented by multiple SNPs identified in this network included pallidin (*PALLD*), which encodes for a cytoskeletal protein that controls cell shape, adhesion, and contraction/migration. Multiple cytoskeletal regulatory proteins, including *DISC1*, *Dysbindin*, and *NRG1*, already have been identified as major risk genes for SZ/PBP (63). The association of *PALLD* with DMN connectivity in SZ/BP

**Table 2. Summary information on top 10 genes from each para-ICA genetic component**

Default-mode subnetworks	Gene	SNP	Location	Para-ICA weighted score	Curated function/annotation	BP, SZ, or other CNS association at GWAS level*	Allen Human Brain Atlas expression†
a-DMNS1	<i>TUBD1</i>	rs1292045	17q23.1	1.00	Microtubule movement and protein polymerization		A, B, C
	<i>FGD4</i>	rs10844258	12p11.21	0.92	Actin cytoskeleton and cell shape	IV (30)	D, B, A
	<i>XKR6</i>	rs10101292	8p23.1	0.92	Unknown		C
	<i>BDKRB<sup>†</sup></i>	rs12050217	14q31.1	0.82	Inflammation/pain, Ca <sup>2+</sup> signaling and actin complement	I, III, VIII (31)	D/Para-D, C, A
	<i>RORA</i>	rs2433025	15q22.2	0.82	Regulates transcription from RNA polymerase II circadian rhythm	XIV,III, VI, V (32, 33)	B, C
	<i>DSPP</i>	rs13131929	4q21.3	0.82	Extracellular matrix development and Ca <sup>2+</sup> ion binding		D, C, K, B, C, R, F, A1, M, L, O
	<i>GCNT2</i>	rs17576994	6p24.2	0.82	Glycosphingolipid biosynthesis	VII (34)	K, C, M
	<i>RPS6KA2</i>	rs6456121	6q27	0.80	Cell growth/differentiation. Neurotrophic and MAPK/mTOR signaling.		K, R, B, A, M, S
	<i>CACNA2D3<sup>‡</sup></i>	rs9849795	3p21.1	0.79	Ca <sup>2+</sup> current density/channel kinetics, neurotransmission and synaptogenesis		U, R, D, B, N
	<i>SORCS3</i>	rs790661	10q23-q25	0.79	Neuropeptide receptor activity	VI (35)	K, U, M, D, THA, W <sup>§</sup> , L, SI
a-DMNS2	<i>PALLD</i>	rs10022002	4q 32.3	1.00	Actin cytoskeleton and cell shape		K, R <sup>§</sup> , D, B, A, S, L
	<i>SYCP2L</i>	rs1225741	6p24.2	0.84	Unknown		K, THA, E, OG, C, F
	<i>FRMD3</i>	rs4014024	9q21.32	0.71	Unknown		K, D, B, M, C
	<i>LIPC</i>	rs4774302	15q21-q23	0.67	HDL metabolic enzyme	VI, XIII (36)	K, D, C, FO, A, F, B, Motor
	<i>ZBTB16</i>	rs495248	11q23.1	0.65	Myeloid maturation, tissue development/maintenance		E/Pre-E, C, F, Y, G, A2, A1, A3, H, B2, I, J, C1
	<i>EIF2AK4</i>	rs16970137	15q15.1	0.64	Translational initiation		K, D, B, A, A1, G, L
	<i>ANKFN1</i>	rs7209891	17q22	0.62	Unknown		K, M, B
	<i>SEMA5A</i>	rs3846574	5p15.31	0.62	Axonal guidance during neural development	V, IX (37, 38)	K, D, A, B, M, C, O
	<i>PTN</i>	rs13245911	7q33	0.61	Neurite outgrowth, anti-apoptotic signaling and cell proliferation		K, SI, C, N, B, A1, G, M, S, C1
	<i>SCFD2</i>	rs301088	4q12	0.61	Protein transport		K, C, B, M, SN, T, D
ip-DMN	<i>PALLD</i>	rs6836618	4q 32.3	1.00	Actin cytoskeleton and cell shape		K, R, D, B, A, S, L
	<i>SYCP2L</i>	rs1225741	6p24.2	0.63	Unknown		K, THA, E <sup>§</sup> , OG, C <sup>§</sup> , F
	<i>LIPC</i>	rs4774302	15q21-q23	0.55	HDL metabolism	VI (39)	K, D, C <sup>§</sup> , FO, A, F, B, Motor
	<i>FRMD3</i>	rs4014024	9q21.32	0.54	Unknown		K, D, B, M, C
	<i>PTPRD</i>	rs1543083	9p23-p24.3	0.52	Cell/neurite growth, differentiation and neuronal axon guidance	XI, XII (40, 41)	N <sup>§</sup> , E <sup>§</sup> , A, B, D, C <sup>§</sup> , O
	<i>DCLK1</i>	rs9546331	13q13.3	0.51	Neuronal migration, retrograde transport, neuronal apoptosis and neurogenesis		G, A1, A2, A3, K, D, Pre-E, C2, C1, N <sup>§</sup> , P, Y, Z, F, B2, B1, Motor, Q
	<i>CACNB2</i>	rs1277738	10p12.33	0.51	Ca <sup>2+</sup> channel functioning	Cross-disorder PGC (29)	P, G, A1, A2, A3, Y, Q, N <sup>§</sup> , J, D, C <sup>§</sup>

Table 2. Cont.

Default-mode subnetworks	Gene	SNP	Location	Para-ICA weighted score	Curated function/annotation	BP, SZ, or other CNS association at GWAS level*	Allen Human Brain Atlas expression <sup>†</sup>
sp-DMNS1	<i>CACNA2D4</i> <sup>‡</sup>	rs4765847	12p13.33	0.50	Ca <sup>2+</sup> current density and Ca <sup>2+</sup> channel kinetics	I, VI, XII (21, 42)	K, B, R, A, S, C <sup>§</sup> , D, M, C <sup>§</sup> , B
	<i>GALNT7</i>	rs10213525	4p31.1	0.49	Protein O-linked glycosylation		K, D, N <sup>§</sup> , B, M, A, L, O, C <sup>§</sup>
	<i>TBX19</i>	rs4656579	1q24.2	0.48	Developmental processes		C <sup>§</sup> , B, A, M, N <sup>§</sup>
	<i>DAAM2</i>	rs2504789	6p21.2	0.46	Actin cytoskeleton/Cellular organization. WNT signaling.		C <sup>§</sup> , B, A, M, N <sup>§</sup>
	<i>CSMD1</i>	rs2930353	8p23.2	1.00	Complement control	II, XII (43, 44)	K, N <sup>§</sup> , G, Q, B2, I, M, Z, U, C1, D
	<i>C2orf43</i>	rs667529	2p24.1	0.98	Unknown		K, C, B, A1, A, M, R, V, D
	<i>APOB48R</i>	rs40831	16p11.2	0.96	Lipid transport, steroid/cholesterol metabolism		
	<i>NTNG1</i>	rs6677537	1p13.3	0.94	Neurite outgrowth of axons and dendrites	II, IX, V (45, 46)	K, C, B, A1, M, O, E <sup>§</sup> , I, D
	<i>GATA4</i>	rs6983129	8p23.1-p22	0.92	Transcription factors in neurogenesis		K, C, B, A1, M, SN, O, L, R, D
	<i>AKAP7</i>	rs6942184	6q23.2	0.90	Excitatory synaptic plasticity		K, C, N <sup>§</sup> , B, X, G, M, SN, O, I, E <sup>§</sup> , C1 <sup>§</sup> , L, R, D
sp-DMNS2	<i>MUC4</i>	rs2259292 (MISSENSE)	3q29	0.89	Cell proliferation and differentiation. Interacts with ERBB2 to regulate apoptosis.		K, C, B, A2, A, M, SN, O, D
	<i>SLC6A11</i>	rs971930	3p25.3	0.89	GABAergic signaling and transmission		C, B, CP, M, SN, O
	<i>TNN</i>	rs6701127	1q23-q24	0.88	Neurite outgrowth and cell migration		K, C, B, A1, M, Q, F, D
	<i>NAP5</i> <sup>‡</sup>	rs6430390	2q21.2	0.88	Unknown	II, I (47, 48)	
	<i>PALLD</i>	rs10022002	4q 32.3	1.00	Actin cytoskeleton and cell shape		K, R, D, B, A, S, L
	<i>COL13A1</i>	rs2637229	10q22	0.80	Cell-matrix, collagen production and cell-cell adhesion		C, B, A1, I, E <sup>§</sup> , F
	<i>LOC729112</i>	rs7690087		0.80	Unknown		Unknown
	<i>SGOL2</i>	rs842938	2q33.1	0.79	Gametogenesis		K, C, B, A, M, SN, O, T, N, D
	<i>TBR1</i>	rs10175058	2q24	0.76	Critical role in normal brain development		K, C, N, A1, Motor, M, Q, E <sup>§</sup> , I, H, B1, U <sup>§</sup> , F, Z, D
	<i>SREBF2</i>	rs9607850	22q13	0.75	Lipid homeostasis		K, C, N, B, G, M, Q, Pre-E <sup>§</sup> , A2, Z, D
<i>DGKH</i>	rs943390	13q14.11	0.74	Cell growth and signal transduction. Inhibits PKC signaling	I (49)	K, C, N, B,	
<i>KALRN</i>	rs1708320	3q21.2	0.74	Neuronal shape, growth and plasticity		K, D, N, E <sup>§</sup> , P, A, R, A2, I, Q, B2, S/Y <sup>§</sup>	
<i>CSMD1</i>	rs7006552	8p23.2	0.73	Unknown	II, XII (43, 44)	K, N, G, Q, B2, I, Z, U <sup>§</sup> , C1 <sup>§</sup> , D	
<i>LOC100133332</i>	rs2612781	UNK	0.73	Unknown		Unknown	

Information provided was manually curated from PubMed, gene cards, and gene association databases. All SNPs in the table were intronic except otherwise noted. \*Key codes: I, bipolar; II, schizophrenia; III, major depression; IV, Charcot Marie Tooth; V, autism; VI, Alzheimer's disease; VII, cardiovascular CNS; VIII, substance abuse; IX, Parkinson's disease; X, dyslexia; XI, epilepsy; XII, stroke; XIII, cognitive performance; XIV, posttraumatic stress disorder.

<sup>†</sup>Allen Atlas Brain Expression: A, globus pallidus; B, thalamus; C, cerebellar cortex; D, hippocampus; E, cuneus; F, Heschl gyrus; G, orbitofrontal cortex; H, occipito-temporal gyrus; I, lingual gyrus; J, supramarginal gyrus; K, amygdala; L, nucleus accumbens; M, hypothalamus; N, cingulate; O, ventral tegmental area; P, fusiform gyrus; Q, insula; R, caudate; S, putamen; T, locus ceruleus; U, angular gyrus; V, temporal pole; W, anterior cingulate; X, superior rostral gyrus; Y/Z, middle/superior temporal gyrus; A1/A2/A3, inferior/middle/superior frontal gyrus; B1/B2, inferior/superior occipital gyrus; C1/C2, superior/inferior parietal lobule.

<sup>‡</sup>Gene appears in the top 100 genes associated with SZ and/or BP in the mega-analysis published by the Psychiatric Genomic Consortium (28).

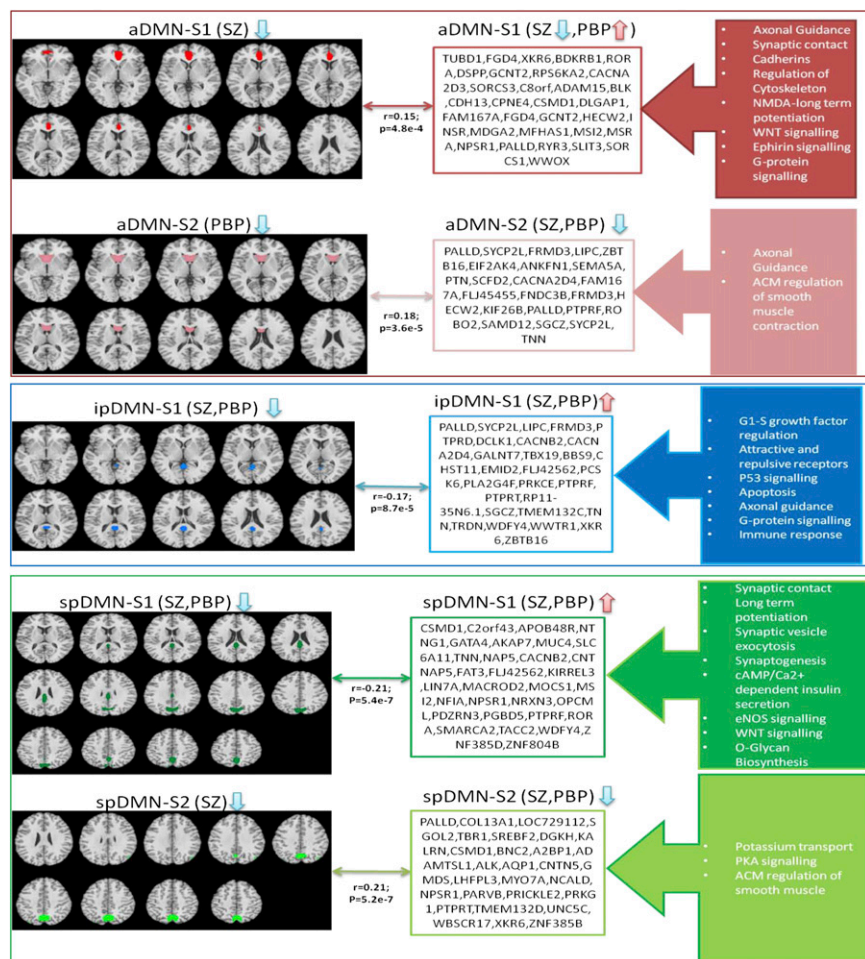
<sup>§</sup>Expression regions overlapping with functional subnetwork.

further supports the notion that mutations affecting cytoskeleton regulators play a major role in the pathology of cortical function and neuronal migration in neuropsychiatric disorders. *CACNA2D4* is part of the CACN gene family responsible for encoding voltage-dependent calcium channels; its deletion was implicated recently in the risk for late-onset BP (21); another study showed it to interact significantly with another calcium subunit gene, *RYR2*, in BP (42). These genes are closely related to *CACNA1A* (whose direct association was not found in the current study), one of the genes more strongly associated with the risk of BP (64).

**ip-DMN (Blue Network).** A single linked feature set was found for the ip-DMN. The phenotype network primarily involved PCC, showing reduced connectivity in both proband groups. PCC is a key DMN hub, shown repeatedly to be aberrant in both SZ (65, 66) and affective disorders, including BP (67). Similar to the discussion above, genes in this network, including *CACNB2* and *CACNA2D4* that regulate calcium voltage channels and *PALLD*, associated with the actin-cytoskeleton organization, featured multiple significant SNPs. Multiple SNPs also occurred in *ZBTB16*, a glucocorticoid-response gene whose deletion has been previously linked to mental retardation (68) and more recently implicated as a potential SZ candidate gene in a study using a pathway-based bioinformatics approach (69). Many of the top-ranked genes in this network, including *COL4A2*, *HOMER2*, *DAAM2*, *ROBO2*, and *RGNEF*, indirectly or directly regulate structural synaptic elements, thus providing further supporting evidence that intra- and extracellular structural elements might be dysregulated, leading to weaker synaptic stability, in the brains of SZ patients (70).

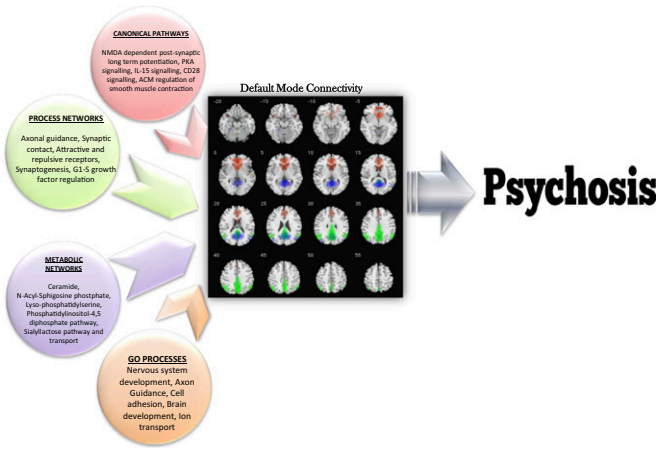
**sp-DMN (Green Network).** The first subcomponent from the sp-DMN, sp-DMNS1, contained mostly superior PCC–pre-cuneus and had diminished connectivity in both the SZ and PBP probands, albeit to a larger degree in the latter. The top 10 candidates from the correlated genetic network included *CSMD1*, *NTNG1* (Netrin), *NAP5*, and *SLC6A11* (GAT-3), all previously implicated by GWAS as genes conferring risk for SZ and/or BP (46, 47, 56). *CSMD1* also has been shown to be associated with cognitive deficits in SZ (57) and, along with *CACNA1C*, is an important miR-137 target, indicating its direct involvement in neurogenesis/maturation in SZ/BP (71). In addition, several genes from the glutamate-GABA system (*GRI1A1*, *GABRA3*, and *GABRB3*) were associated with dysfunctional brain connectivity, highlighting the importance of balance among these neurotransmitters in maintaining normal cortical circuit connectivity. This finding is consistent with recent evidence showing that regional glutamate/GABA concentrations play a strong role in governing DMN functional connectivity (72).

The second subnetwork, sp-DMNS2, containing precuneus was disconnected significantly only in the SZ probands, and the corresponding genetic network was enriched with ion transport, voltage-gated regulatory, and intracellular signaling genes, all processes associated with neural transmission, whose dysfunction might lead to abnormal brain function (73, 74). Some notable top-ranked genes associated with sp-DMNS2 connectivity, such as *DGKH*, whose differential effects were shown recently to manifest as a failure to disengage DMN during a verbal fluency task in subjects at high familial risk of BP, were candidates (75). Another top-ranked gene, *SREBF2*, a lipid homeostasis regulator, has been shown previously to be associated with SZ (76). Neuronal plasticity/synaptic regulators such as *KALRN* also were



**Fig. 3.** The five default mode subnetworks and their associated genetic components as identified by para-ICA. Each genetic component is represented by the top 10 genes plus genes that feature with more than three SNPs within each network. Also identified are select significantly enriched ontology term(s) ( $FDR < 0.05$ ) within each genetic cluster. Arrows pointing up and down indicate whether the loading coefficient for that particular feature (fMRI or gene) was significantly higher or lower for probands than for controls.





**Fig. 4.** Significant ontology terms derived from a pooled analysis representing genes identified in our study in a variety of processes/pathways/networks whose overrepresentation suggests that they mediate the risk of psychosis via default mode connectivity in probands.

among the heavily weighted genes. More importantly *KALRN* has been reported to interact with prime SZ candidate genes such as *NRG1* and *ERB4* to promote dendrite growth (77).

Juxtaposing enriched functional categories across all DMN subnetworks revealed neurodevelopment, cell adhesion, and smooth muscle contraction (primarily calcium/voltage regulatory genes) as processes associated with DMN connectivity in at least three of the five subnetworks identified (Fig. S1). Interestingly, even though analysis initially was blind to group membership, all genetic subclusters identified via para-ICA differentiated both proband groups from controls; however, they seemed to mediate disease risk in SZ and BP selectively via DMN connectivity (i.e., some DMN sub-nodes were aberrant in one disease group but not the other, despite the associated genetic cluster showing significant differences across both disorders).

**Global Pooled Functional Pathway/Process Enrichment.** Pooling all significant risk genes identified in the current study across all networks allowed us to dissect the overall biological/molecular underpinnings of DMN connectivity that is suggested to mediate disease risk in psychosis. We identified several relevant and plausible disease-related mechanisms, some of which have been implicated for decades in the pathology of both SZ and BPB, including candidate genes in the serotonin, glutamate, and dopamine systems (7, 24, 25, 78, 79). NMDA-related postsynaptic long-term potentiation was the top enriched pathway. We also found the

L-glutamate transport system to be a significantly enriched molecular network, although not among the top 10. The results of our study reported above suggest underlying glutamatergic involvement with abnormal DMN connectivity. This finding is supported by recent fMRI-spectroscopy fusion data showing that glutamate levels are related to RS activity (80). Other top pathways were PKA signaling, involving phospholipid-dependent enzymes whose activity is directly dependent on cellular cAMP levels. This system is particularly important, because all dopamine receptors are coupled to the cAMP system (81), providing a secondary link to the dopaminergic pathophysiology. Our results also highlight key developmental pathways, including immune system-mediated neuronal responses via IL-15 and CD28 pathways. *CD28* gene polymorphisms confer increased risk of SZ with affective symptoms (82). Interleukin family genes (*IL3RA*, *IL-18*, *IL-12*, *IL-10*, and *IL1A/B/RA*), representing a group of cytokines expressed in white blood cells, may play an important role in SZ (83, 84). Although “regulation of smooth muscle contraction” was a top enriched pathway, upon examining the encompassed genes, they were primarily  $Ca^{2+}$  channel/voltage regulation genes (including *CACNA1C*, *CACNB2*, and *CACNA2D4*), their strong interactors such as *RYR2/3*, and second-messenger molecules such as PKC and PLC- $\beta$ 1 that are crucial in smooth muscle contraction but also act as important cellular signaling molecules whose functions are linked strongly to psychiatric disorders (21, 29, 42).

Specific, highly enriched network processes included neurodevelopmental, transport, and cellular signaling networks comprising axonal guidance (developmental) and cell adhesion (synaptic contact, attractive repulsive receptors). These results are consistent with recent findings from a large-scale study using molecular pathway analysis identifying neuronal cell adhesion and membrane scaffolding proteins as primary contributors to SZ and BP susceptibility (6). Another recent computational neuroscience study reported cohesive gene networks related to axon guidance, neuronal cell mobility, synaptic function, and chromosomal remodeling as potential causative pathways in SZ (5). Our finding also bolsters the neurodevelopment hypothesis of SZ and affective disorders (85, 86).

Metabolic pathway enrichments pointed to ceramides and the N-acyl-sphingosine pathways as the top related networks associated with DMN connectivity in psychoses. There is growing evidence that sphingolipid metabolism may be involved in the pathology of neuropsychiatric disorders (87–89). Ceramides (composed of sphingosines and fatty acids) regulate cellular differentiation, proliferation, and apoptosis. Recent research implicates abnormal sphingolipid metabolism through alterations in peripheral ceramide levels in first-episode SZ (90), and abnormal plasma ceramide levels are reported in affective disorders, mild cognitive impairment, and memory disturbances (87, 91).

**Table 3.** Clinical characteristics of the overall sample (n = 1,305) used for primary ICA analysis

Clinical characteristic	Controls (n = 324)		SZ probands (n = 296)		PBP probands (n = 300)		SZREL (n = 179)		BPREL (n = 206)		Statistic	
	Mean	SD	Mean	SD	Mean	SD	Mean	SD	Mean	SD	F	P value
Age, y	35.2	13.4	34.9	12.2	36.7	12.6	43.8	15.8	39.8	16.1	15.8	<<0.001
Clinical scores												
YMRS	0.3	1.1	5.1	5.7	6.4	6.3	0.3	1.4	0.3	1.4	212.2	<<0.001
MADRS	0.3	1.5	8.7	8.3	11.6	9.8	0.8	2.8	0.7	3.0	160.1	<<0.001
SFS	154.0	19.3	99.1	52.52	102.6	56.6	149.1	19.3	149.6	22.6	92.6	<<0.001
PANSS_POS	N/A	N/A	16.3	5.3	15.1	5.5	N/A	N/A	N/A	N/A	N/A	N/A
PANSS_NEG	N/A	N/A	15.8	5.5	13.1	4.6	N/A	N/A	N/A	N/A	N/A	N/A
SBS	N/A	N/A	7.6	1.3	2.5	1.9	N/A	N/A	N/A	N/A	N/A	N/A

For medication information on subjects please refer to Table S3. MADRS, Montgomery-Asberg Depression Rating Scale; PANSS: Positive and Negative Symptom Scale; SBS: Schizo-Bipolar Scale; SFS: Social Functioning Scale; YMRS, Young Mania Rating Scale.



Taken together, our results are highly consistent with processes identified in recent studies using bioinformatics-based network approaches studying gene–disease relationships directly (5, 6). However, the crucial distinctions between our study and the other approaches include our investigation across the psychosis diagnostic spectrum and the inclusion of a quantitative intermediate phenotype shown to mediate disease risk. Having measurable phenotypes and knowing their molecular underpinnings in a transdiagnostic, multivariate-context suggest novel strategies for drug targeting and customization in these serious disorders.

**Limitations.** First, the current study was limited to the DMN because of the scope and complexity of the data. We intend to follow up by performing similar analyses on other non-DMN intrinsic resting networks we identified. Second, because of design limitations (generally having only one first-degree relative per proband), we were unable to capture a dense kinship structure required for the optimal estimation of heritability, and therefore we likely underestimated true heritability. Third, future studies should build on our results by further exploring genetic associations among siblings in addition to probands. Fourth, even though we found several known risk genes as part of para-ICA, some of these are yet to be replicated. Finally, our study included patients taking psychoactive medications that may bias our results. We tried to address this issue by testing for functional differences in relatives who, by definition, carry higher genetic risk for the disease but are not on antipsychotic medications. Two recent studies (54, 90) noted decreased DMN connectivity within MPFC regions in drug-naïve SZ patients, and their findings are consistent with our data. One of these studies (90) showed that bilateral prefrontal cortex, parietal, caudate, and superior temporal regions had increased regional connectivity 6 wk after antipsychotic treatment. None of these regions (except the caudate) was affected differentially in probands vs. relatives in the current study, suggesting that regions reported in the current study might have minimal medication interactions. However, no similar studies have been conducted in treatment-naïve BP patients.

## Conclusion

Overall this study reconfirms the role of the DMN as a strong biomarker for SZ and PBP in a large sample. We also show that selective nodes within the DMN are differentially affected and are modestly heritable among probands and unaffected relatives. Using a multivariate technique, we were able to successfully identify several known large-scale GWAS risk genes and also

discover additional genes acting in synchrony that could be prioritized usefully in future studies. Most importantly we were able to dissect the underlying biological/molecular pathways and processes that might mediate genetic risk of psychosis via a valuable, noninvasive imaging marker.

## Materials and Methods

**Study Sample.** Subjects were recruited as part of the Bipolar-Schizophrenia Network on Intermediate Phenotypes (B-SNIP) Consortium study that used identical diagnostic and recruitment approaches at multiple sites (Baltimore, Chicago, Dallas, Detroit, and Hartford, CT). Detailed information on the whole study sample is provided elsewhere (91).

Initial analyses were restricted to quality-controlled RS-fMRI (Fig. 1) comprising 1,305 subjects; (324 healthy controls; 296 in the SZ proband group; 300 in the PBP proband group; 179 SZREL; and 206 PBPREL), a subset of which was used later for imaging–genetics fusion analyses. Detailed demographic descriptions are given in Table 1, clinical characteristics are given in Table 3, and medication information is provided in Table S3. Because the validity of diagnostic status of schizoaffective disorder (SZA) is controversial (92), we decided not to analyze SZA probands and relatives as separate groups. In the current study SZA probands were classified either as either PBP (schizoaffective manic probands) or SZ (schizoaffective depressed probands), as suggested elsewhere (8). As a secondary benefit, this classification allowed us to retain more subjects, providing greater statistical power. To maximize information and limit cost, only a random subset of the controls and probands was genotyped, comprising 549 subjects (190 SZ patients, 189 PBP patients, and 170 healthy controls) who survived extensive quality control of both fMRI and genetic data.

**Data Acquisition and Preprocessing.** Fig. 1, Table S4 and *SI Materials and Methods* provide additional information on data acquisition and preprocessing.

**Primary Data Analysis.** We used a two-step analytic approach in the current study. First we analyzed all the fMRI data from all 1,305 subjects in our study using a permutation-based technique to identify DMNs and to determine if any DMNs were valid biomarkers and/or endophenotypes. Then we used a subset ( $n = 549$ ) who were genotyped to conduct our imaging–genetics analysis using para-ICA to determine multilocus associations with different DMN connectivity traits as a quantitative marker.

Detailed information on these analyses is given in *SI Materials and Methods*.

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