



Published in final edited form as:

*Semin Oncol.* 2014 April ; 41(2): 259–266. doi:10.1053/j.seminoncol.2014.02.001.

## Melanoma Tumor Cell Heterogeneity: A Molecular Approach to Study Subpopulations Expressing the Embryonic Morphogen Nodal

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### Abstract

As the frequency of melanoma increases, current treatment strategies are struggling to significantly impact patient survival. One of the critical issues in designing efficient therapies is understanding the composition of heterogeneous melanoma tumors in order to target cancer stem cells (CSCs) and drug resistant subpopulations. In this review, we summarize recent findings pertinent to the reemergence of the embryonic Nodal signaling pathway in melanoma and its significance as a prognostic biomarker and therapeutic target. In addition, we offer a novel molecular approach to studying the functional relevance of Nodal-expressing subpopulations and their CSC phenotype.

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The incidence and mortality rates of melanoma have been increasing over the last few decades with the most recent statistics reporting the highest lifetime risk of developing melanoma as 1 in 50 for Caucasians (American Cancer Society; <http://www.cancer.org/cancer/skincancer-melanoma/detailedguide/melanoma-skin-cancer-key-statistics>). Especially noteworthy is that metastatic melanoma, a disease of poor prognosis, is highly resistant to conventional chemotherapies, with a poor clinical outcome.<sup>1</sup> Despite significant efforts devoted to advancing new therapies to improve patient survival, including the recent

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Financial disclosures and conflict of interest: The authors (E Seftor, R Seftor, Kirsammer, Gilgur and Hendrix) have no financial disclosures or conflicts to report related to the technology presented. D Weldon is the R&D Manager at EMD Millipore responsible for the production of SmartFlares. Patents related to therapeutically targeting Nodal in tumor cells have been awarded to E Seftor, R Seftor and Hendrix.

development of inhibitors to RAS, RAF and MAP-ERK kinase (MEK), not all melanoma cells respond in a predictable manner -- primarily due to the conundrum of tumor cell heterogeneity.<sup>2</sup> Thus, a primary focus of cancer researchers is to better understand cellular heterogeneity within tumors, such as melanoma, with a special emphasis on identifying and ultimately targeting tumor cells exhibiting stem cell properties -- known as the cancer stem cell phenotype, generally associated with drug resistance.<sup>3-5</sup>

Recognizing that cancer cells can exploit normally dormant embryonic pathways to promote tumorigenicity and metastasis presents a unique therapeutic opportunity.<sup>6-7</sup> Studying embryonic signaling pathways in melanoma has led to the discovery that the embryonic morphogen Nodal is reexpressed in the aggressive phenotype.<sup>6,8-10</sup> Interestingly, Nodal is a member of the TGF- $\beta$  superfamily and is a critical factor in normal embryonic development, including maintenance of pluripotency in human embryonic stem cells (hESCs), initiation of mesoderm formation and regulation of left-right asymmetry.<sup>11</sup> In humans, Nodal expression is largely restricted to embryonic tissues, including the trophoblast and the developing mammary gland, but is generally lost in most normal adult tissues. Therefore, studies addressing the role of Nodal in cancer progression have focused on the mechanisms underlying its reexpression in tumor cells and the translational relevance of targeting Nodal-positive tumor cells as a novel therapy.<sup>12,13</sup>

Recent findings have revealed that Nodal is a critical regulator of melanoma growth, plasticity and tumorigenicity, and holds promise as a new biomarker for metastatic potential.<sup>9-10,14</sup> Similar observations have been reported in gliomas and carcinomas of the breast, prostate, endometrium, liver and pancreas.<sup>15-20</sup> However, with any new discovery, there are associated challenges. In the case of Nodal, research in this field for human cells and tissues has been hampered by inconsistencies and sometimes incorrect information available in public databases, and by lack of reagents commercially available and the associated disparate results.<sup>21</sup> Furthermore, because Nodal is a secreted protein that can influence cellular behavior in an autocrine and a paracrine manner *via* a diffusion gradient,<sup>22</sup> it has been particularly challenging to assess the extent and influence of Nodal-expressing tumor cells *vs.* tumor cells where Nodal is simply adherent to the cell surface, thus compromising our ability to directly measure the functional relevance of Nodal-expressing subpopulations of melanoma cells. Fortunately for this avenue of discovery, molecular detection probes have been developed recently which allow live cell sorting, imaging and assessment of Nodal (both independently and together with other critical biomarkers) in tumor cells,<sup>23,24</sup> thereby advancing our ability to understand the significance of Nodal-expressing subpopulations in heterogeneous melanomas.

## MELANOMA TUMOR CELL PLASTICITY

The aggressive melanoma phenotype has been described as plastic and multipotent, similar in many respects to embryonic stem cells.<sup>10</sup> However, dissimilar to normal embryonic progenitors, these tumor cells lack critical regulators, resulting in the aberrant activation of embryonic signaling pathways, which maintains their plastic phenotype and promotes unregulated growth.<sup>6,25</sup> Of special note are the phenotypes expressed by aggressive melanoma cells that are associated with hESCs and endothelial cells/progenitors, in which

their respective molecular signatures correlate with functional plasticity.<sup>26</sup> An example of an endothelial phenotype found in advanced stage melanoma cells is demonstrated by the expression of Vascular Endothelial Cadherin (CD144) and *de novo* formation of vascular perfusion networks, *in vitro* and *in vivo*, revealing a transendothelial functionality resulting in tumor perfusion.<sup>27</sup> Interestingly, only subpopulations of melanoma cells express CD144, specifically the tumor cells forming vasculogenic networks.<sup>28,29</sup>

The definition for cancer stem cells (CSCs) has expanded to include the capacity for differentiation and self-renewal for subpopulations of tumor cells originally described as having stem cell-like properties.<sup>30</sup> However, unlike many solid tumors that consist of defined, isolatable subpopulations of CSCs, melanoma cells can generate reversible stem-like cells through “phenotype switching”.<sup>5</sup> In this manner, melanoma cells can switch between a migratory, stem-like state that may be resistant to therapy, and a highly proliferative state that leads to tumor growth. Further support for the phenotype switching paradigm includes a recent study showing at least 25% of tumor cells isolated from melanoma patients were able to establish new tumors.<sup>31</sup> Moreover, similar to normal developmental events where cell fate determination is profoundly influenced by the microenvironment, phenotypic changes in tumor cells can be similarly influenced. Indeed, an excellent example of this phenomenon has been shown in studies where metastatic melanoma cell subpopulations have been reprogrammed by the embryonic microenvironments of hESCs and chick neural crest -- to assume a more differentiated melanocyte phenotype.<sup>32</sup>

## TARGETING EMBRYONIC SIGNALING IN AGGRESSIVE MELANOMA

The significance of Nodal expression in melanoma, as well as other tumor models, is of special interest to researchers, pathologists and oncologists, based on the emerging body of evidence supporting its potential as a biomarker for tumor progression and a viable target for therapy.<sup>9-10,14-20</sup> Immunohistochemical studies have shown that Nodal protein is absent in normal skin, is seen in only a few cells in radial growth phase (RGP) melanomas, and is robustly detected in vertical growth phase (VGP) melanomas and metastases,<sup>6,9-10,14</sup> as demonstrated in representative tissues shown in Figure 1. Additional findings have indicated the localization of Nodal in vascular networks in advanced stages of melanoma progression, and have associated the expression with therapeutic resistance.<sup>1</sup> Thus, these expression studies suggest that Nodal may be a promising biomarker for not only melanoma progression but also responsiveness to therapy.

*In vitro* studies have provided some clarity to the Nodal signaling cascade employed by aggressive melanoma cells, which differs from that described for hESCs.<sup>6,25</sup> Nodal propagates its signal by binding to and activating the heteromeric complex composed of the EGF-like glycoprotein co-receptor Cripto-1 and Types I and II activin-like kinase receptors, leading to activation and nuclear translocation of the Smad2/3/4 complex, where it regulates the expression of downstream genes involved in stem cell maintenance and plasticity, including Nodal.<sup>11</sup> Down-regulation of Nodal signaling results in the commensurate diminution of pSmad-2.<sup>6</sup> In addition, Nodal signals using a feed forward process, thus underscoring its importance as an autocrine and paracrine regulator of cellular activity.<sup>25</sup>

Surprisingly, cell surface expression of Nodal's coreceptor, Cripto-1, has been detected in only a small subpopulation of melanoma cells, indicating a primary role for Cripto-1-independent signaling of Nodal in melanoma, in addition to intriguing role(s) for Cripto-1-positive melanoma cells with CSC properties.<sup>9</sup> Furthermore, it is important to address the intracellular role for Cripto-1, which is quite robust in melanoma and may suggest that this protein is involved in facilitating this complex signaling cascade in unique ways.

It is critical to note that while Nodal is reactivated in aggressive melanoma cells, Lefty, the natural inhibitor of Nodal, is methylated resulting in its silencing.<sup>25,33</sup> Therefore, Nodal signaling in melanoma, and other cancers, is highly unregulated. Several studies in melanoma have focused on down-regulating Nodal expression using a variety of experimental approaches, both *in vitro* and *in vivo*, and then measuring the outcome on tumor cell phenotype, biological function, tumorigenesis and metastatic potential. Collectively, the findings generated indicate that down-regulating Nodal results in: 1) suppression of the plastic phenotype, including down-regulation of CD144, and upregulation of Tyrosinase and Melan-A (melanocyte pathway genes); 2) decreased invasive potential and proliferative capacity *in vitro*; 3) diminished clonogenic potential in soft agar; and 4) significant reduction in tumorigenicity *in vivo*, accompanied by apoptosis.<sup>6,8,12</sup> Using anti-Nodal antibody treatment in a preclinical mouse model bearing pulmonary melanoma metastases, targeted apoptosis was induced in the lung metastases.<sup>9</sup> In an orthotopic model, melanoma palpable tumors were injected intratumorally with Lefty isolated from stem cells, resulting in apoptosis of Nodal-positive tumor cells.<sup>9</sup> Collectively, these findings implicate Nodal as a potential new therapeutic target supported by compelling proof-of-principle evidence.

## STUDYING THE FUNCTIONAL RELEVANCE OF NODAL SUBPOPULATIONS

To better understand the significance of Nodal expression in subpopulations of heterogeneous melanoma, and recognizing that Nodal as a secreted protein does not easily allow experimental sorting for subsequent biological assays, we investigated various molecular detection methods that might address our challenge. After discrete testing of viable Nodal sequences that could be effectively conjugated with spherical nucleic acid gold nanoparticles consisting of highly oriented oligonucleotide sequences, many of which are hybridized to a reporter containing a distinct fluorophore label complementary to the corresponding Nodal mRNA target, called SmartFlare RNA detection probes,<sup>23,24</sup> we pursued several preliminary experiments examining the specificity and suitability of this approach for our studies. Treatment of three human metastatic melanoma and one non-aggressive melanoma cell line(s) with Cy3-labeled SmartFlares prepared to detect Nodal mRNA showed remarkable specificity of Nodal expression (by confocal microscopy) predominantly in the C8161, MV3 and SK-MEL28 metastatic cells, and not in the UACC1273 non-aggressive melanoma (Figure 2A–D). Equally noteworthy is that the Cy3-labeled scrambled sequence SmartFlare (representing a negative control) shows no fluorescent signal in the tumor cells (Figure 2E), while a positive control demonstrates SmartFlare uptake by tumor cells (Figure 2F).

After determining the specificity of Nodal SmartFlare detection in human melanoma cells, a series of sorting experiments was conducted. Following treatment of metastatic melanoma cells with Nodal mRNA SmartFlares, a FACSAria II Cell Sorter was used to separate Nodal-high-expressing tumor cells vs. Nodal-low-expressing tumor cells. These two subpopulations were further analyzed for Nodal expression by real-time RT-PCR and Western blot, which revealed an excellent correlation of Nodal expressed at the gene and protein level(s) between the sorted tumor cell subpopulations (Figure 3A, B). These two subpopulations sorted from three metastatic melanoma cell lines were also seeded into soft agar to assess clonogenic/tumorigenic potential. After three weeks in the soft agar assay, the Nodal-high-expressing subpopulations formed significantly more tumor colonies compared with the Nodal-low-expressing subpopulations indicating the importance of Nodal in the formation of tumors (Figure 4). Lastly, we measured whether CD133, an important biomarker for CSCs and drug resistance,<sup>34,35</sup> could be detected in the Nodal-high-expressing melanoma cells. Confocal immunofluorescence microscopy shows CD133 protein localized in many of the Nodal-expressing tumor cells (Figure 5A). Western blot analysis confirms a 43% increase in the glycosylated form of CD133 in Nodal-high-expressing melanoma cells vs. the unsorted heterogeneous control group (Figure 5B).

These data indicate for the first time, that using the SmartFlare detection technology, it is possible to perform live cell imaging and sorting of melanoma subpopulations producing Nodal and then analyzing their biological capability in the soft agar assay. Most promising is the ability to also examine other biomarkers concurrently, such as CD133. Although CD133 was originally classified as a marker of hematopoietic progenitors and neural stem cells, recent compelling reports have shown CD133 to be a critical marker for CSCs in a number of cancer types, including a prominent role in melanoma associated with drug resistance and vasculogenic networks.<sup>34,35</sup> Indeed, some investigators have suggested CD133 as a viable molecular therapeutic target for metastatic melanoma,<sup>34</sup> and we now have the tools in hand to rigorously test this hypothesis.

## CONCLUSION

We appreciate that the challenges facing the successful treatment of melanoma have given rise to the era of personalized medicine and targeted therapies. For researchers and oncologists, the collective goal is to recognize which tumor cells have the potential to escape immune surveillance, metastasize, and become resistant to chemotherapy. As modest advances have been made in the treatment of melanoma, the most critical questions to address focus on CSCs, tumor initiating cells, phenotype switching, and acquired drug resistance -- all part of the conundrum associated with tumor cell heterogeneity. The real challenge now is determining how to study melanoma heterogeneity. Can every tumor cell propagate a melanoma or is this tumorigenic property exclusive to specific subpopulations? What are the unique biomarkers that will definitively answer this question? Do we have the proper research tools to perform the necessary experiments?

The plastic phenotype of aggressive melanoma has presented a significant challenge in the detection and targeting of tumor cells exhibiting stem cell-like characteristics -- indicative of CSCs. As the molecular signaling pathways underlying tumor cell plasticity become more

transparent, our ability to strategically target this elusive phenotype will be enhanced. In this regard, a promising, emerging area of focus is the targeting of embryonic signaling pathways that reemerge in aggressive tumors cells, such as melanoma -- in the absence of regulatory checkpoints.

Worthy of particular attention is the recent discovery of the embryonic morphogen Nodal in melanoma, which has been shown to underlie unregulated cell growth, tumorigenicity, metastasis and the CSC phenotype. Experimental down-regulation of Nodal in melanoma results in the suppression of this phenotype and its associated aggressive behavior. However, it is important to note that the majority of these studies utilized conventional technologies of immunohistochemistry, Western blot analyses, and RT-PCR to measure Nodal expression, but have suffered from the inability to specifically sort Nodal-expressing subpopulations within heterogeneous cell lines for further functional investigation. Now, with the development of novel, molecular SmartFlares specific for Nodal mRNA, melanoma subpopulations are easily sortable and viable for functional analyses -- in real time. This approach allowed the revelation that CD133, an important biomarker for CSCs and drug resistance, is enhanced in Nodal-expressing subpopulations of melanoma, which may shed new light on Nodal's role in melanoma progression and possible options for combinatorial multi-target therapies -- to take advantage of molecularly based approaches to target all aspects of melanoma heterogeneity.

## Acknowledgments

Research was supported in part by NIH/NCI CA121205

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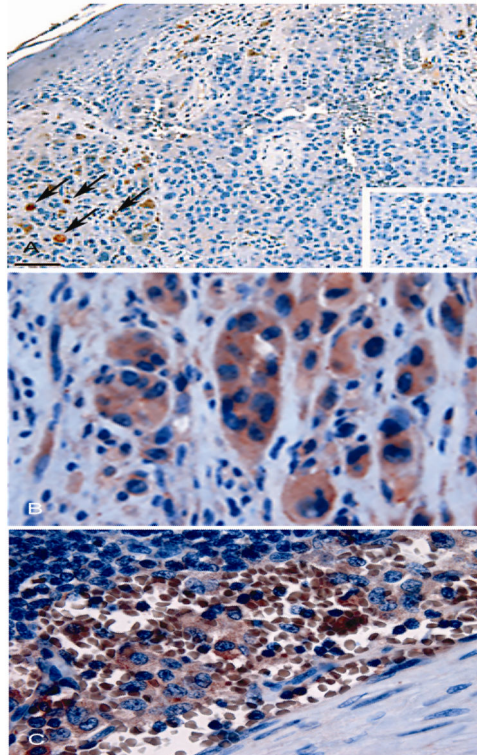
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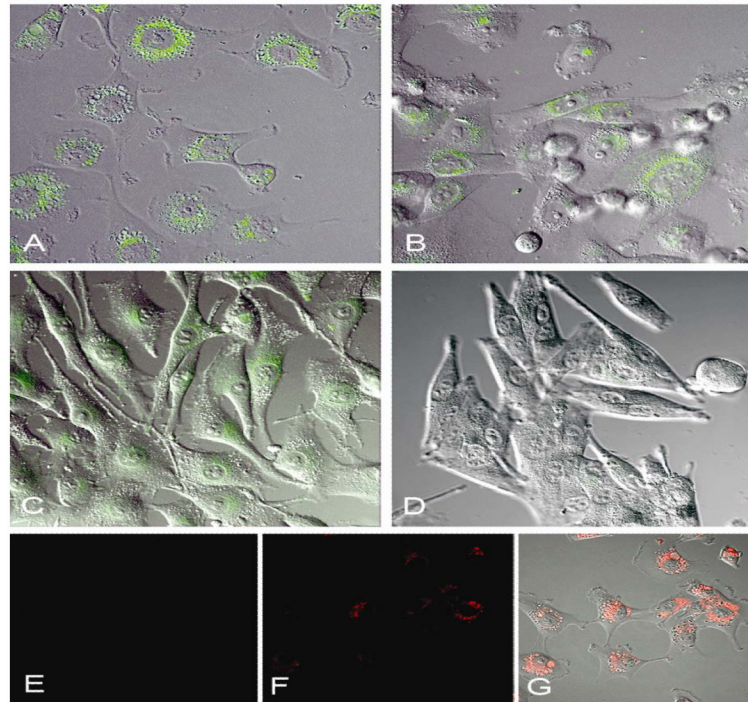
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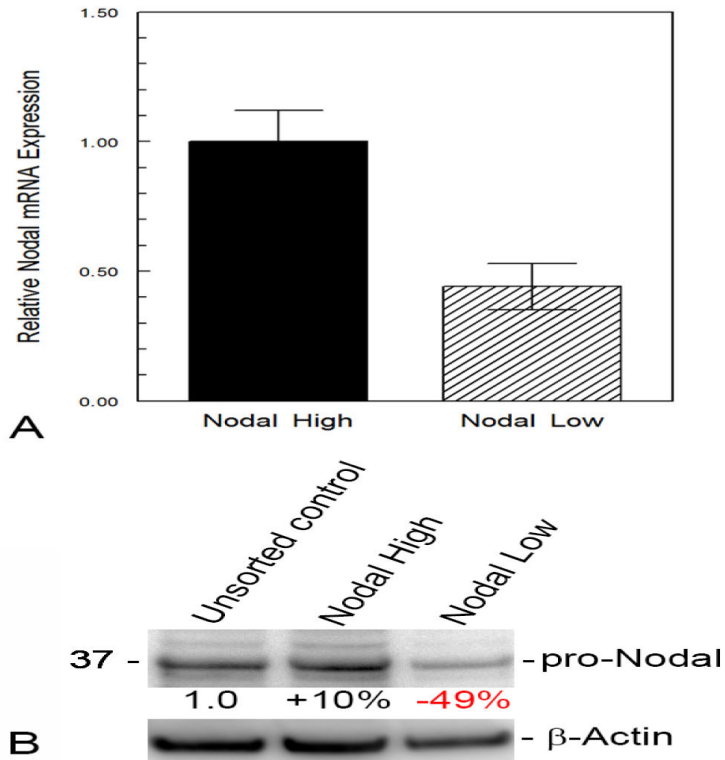


**Figure 1.**

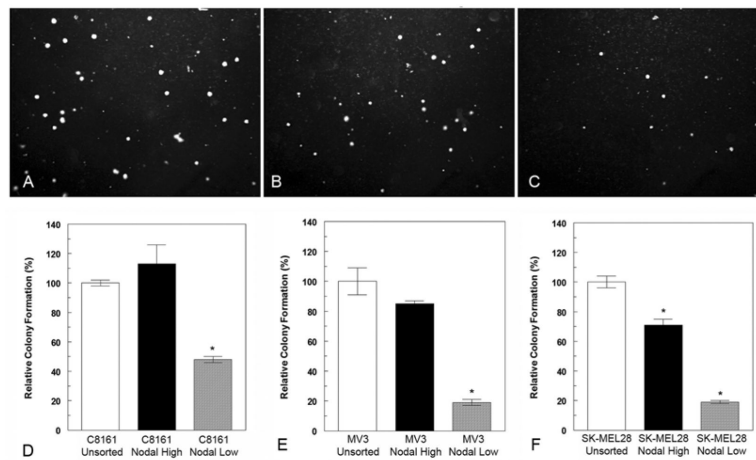
Immunohistochemical analysis of Nodal in human cutaneous melanoma tissue representative of the radial growth phase (RGP; A), vertical growth phase (VGP; B) and a lymph node metastasis (C). Nodal staining is shown as reddish brown (arrows) in A, and the Isotype control is pictured in the inset; (A; bar = 50  $\mu$ m); Nodal staining is reddish brown in VGP (B; original magnification=63 $\times$ ); and Nodal staining is reddish brown in a lymph node metastasis (C; original magnification=63 $\times$ ).



**Figure 2.** Aggressive metastatic melanoma cells C8161 (A), MV3 (B), SK-MEL28 (C) and non-aggressive melanoma cells UACC1273 (D) were treated with 100pM Cy3-labeled SmartFlares prepared to detect Nodal mRNA for 16 hours in culture, then the live cells were imaged using a Zeiss LSM 700 confocal microscope equipped with a LSM 700 XL S1 incubation system for live cell imaging. The detection of Nodal mRNA as a Cy3 fluorescent green signal is seen in the aggressive melanoma cells (A, B, C), while little-to-no signal is seen in the non-aggressive UACC1273 cells (D). A Cy3-labeled scrambled sequence SmartFlare representing a negative control shows no green signal in C8161 cells (E) while a positive uptake control demonstrating that SmartFlares are taken up by the C8161 cells (Cy5-labeled, red fluorescent) is shown by the red fluorescent signal in these same cells (F) and with red fluorescence and differential interference contrast (DIC) imaging (G). (All magnifications=40x.)

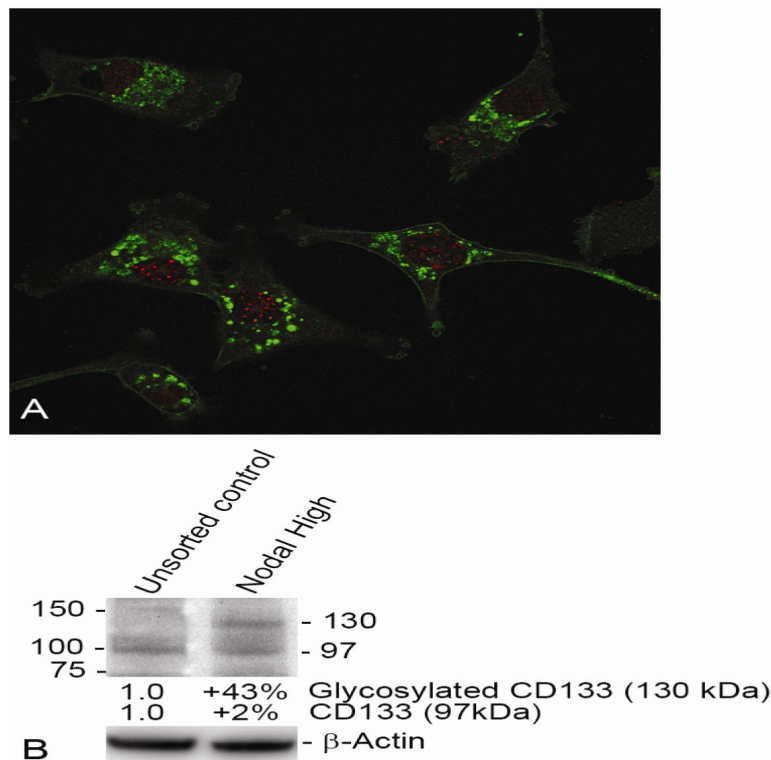


**Figure 3.** C8161 cells were treated with Nodal mRNA SmartFlares for 16 hours, then sorted into subpopulations based on a high or low fluorescent signal representing Nodal expressing (Nodal High) and Nodal deficient (Nodal Low) subpopulations using a BD Bioscience FACSaria II Cell Sorter. The relative expression of Nodal mRNA in the two subpopulations was determined by real-time polymerase chain reaction (RT-PCR) assay (A) and the relative expression of Nodal protein (detected at 39 kilodaltons as pro-Nodal) determined by Western blot analysis (B). The differences in pro-Nodal Protein in the High and Low Nodal sorted cells was measured densitometrically relative to the unsorted cells after correction for protein loaded in each lane using  $\beta$ -Actin protein as a control.



**Figure 4.**

The mRNA Nodal SmartFlare sorted and unsorted cells representing the Unsorted, Nodal High and Nodal Low subpopulations of C8161, MV3 and SK-MEL28 cells were also analyzed for clonogenicity (the ability to form colonies from individual cells), using a soft agar assay. Suspended cells were introduced into soft agar where individual cells became set in place in the solidifying agar. After three weeks, colonies grown from individual cells were imaged using dark-field microscopy from Unsorted (A), Nodal High (B) and Nodal Low (C) sorted populations and appear as discrete spots against a uniform background (C8161 cells shown in representative fields). The colonies were counted in each sample and the Relative Colony Formation of Nodal High and Nodal Low sorted subpopulations as a percentage vs. the Unsorted cells determined for C8161 (D), MV3 (E) and SK-MEL28 (F) cells. (n=3; \*p<0.05.)



**Figure 5.**

A Nodal High population of C8161 cells sorted using Nodal mRNA SmartFlares was plated on glass coverslips and fixed and permeabilized with methanol. The cells were then treated with a rabbit primary antibody to Nodal (Santa Cruz; H-110) and a mouse primary antibody to CD133 (Millipore; 17A6.1), followed by an Alexa dye 488-conjugated secondary antibody against the rabbit antibody (green; Nodal) and Alexa dye 594-conjugated secondary antibody against the mouse antibody (red; CD133). CD133 expression appears enriched in the Nodal High sorted subpopulation (A) and Western blot analysis of the Unsorted vs. the Nodal High subpopulations for CD133 protein expression shows an increase in the amount of CD133 glycosylated CD133 (130 kilodalton) protein in the Nodal High selected subpopulation vs. the Unsorted subpopulation (B; corrected for the amount of protein loaded per lane using  $\beta$ -Actin protein as a control, see Figure 3).