

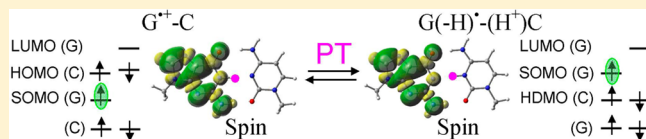
# Proton Transfer Induced SOMO-to-HOMO Level Switching in One-Electron Oxidized A-T and G-C Base Pairs: A Density Functional Theory Study

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## Supporting Information

**ABSTRACT:** In the present study, we show that for one-electron oxidized A-T or G-C base pairs the singly occupied molecular orbital (SOMO) is located on A or G and is lower in energy than the doubly occupied highest-occupied molecular orbital (HOMO) localized to the pyrimidines, T or C. This directs second ionizations to the pyrimidine bases resulting in triplet state diradical dication, ( $A^{\bullet+}-T^{\bullet+}$ ) and ( $G^{\bullet+}-C^{\bullet+}$ ). On interbase proton transfer, the SOMO and HOMO levels switch and the second oxidation is redirected to G and A. For G-C, the doubly oxidized singlet  $G(-H)^+-C(H^+)$  is more stable than its triplet ( $G^{\bullet+}-C^{\bullet+}$ ); however, for A-T, the triplet ( $A^{\bullet+}-T^{\bullet+}$ ) lies lowest in energy. The study demonstrates that double ionization of the A-T base pair results in a triplet dication diradical, which is more stable than the proton-transferred triplet or singlet species; whereas, double ionization of the G-C base pair, the proton transferred doubly oxidized singlet,  $G(-H)^+-C(H^+)$ , is more stable and has both oxidations on guanine. In DNA, with both A-T and G-C, multiple oxidations would transfer to the guanine base alone.



## INTRODUCTION

For free radicals, the singly occupied molecular orbital (SOMO) is usually expected to be the highest occupied (half-filled) MO in accordance with the Aufbau principle.<sup>1,2</sup> Violations of the Aufbau principle are rare but have been reported in a few studies in which the SOMO is found to be energetically lower in energy than the highest-occupied molecular orbital (HOMO).<sup>3–11</sup> Using theory and experiment [photoelectron spectroscopy in the gas-phase and condensed-phase EPR (electron paramagnetic resonance)], Westcott et al.<sup>3</sup> showed the non-Aufbau behavior in metalloporphyrins. SOMO–HOMO level inversion was also reported in 2,2,6,6-tetramethylpiperidine-1-oxyl radical (TEMPO)-dithiolate complexed with Pt(II).<sup>7</sup> Slipchenko et al.<sup>8</sup> studied the triradical with an “open-shell” doublet ground state of 5-dehydro-*m*-xylylene. Sugawara et al.<sup>9</sup> reported that the nitronyl nitroxide radical ( $NN^{\bullet}$ ) bonded to TTF (tetrathiafulvalene) had the SOMO on the  $NN$  portion that lay lower in energy than the HOMO, which was on the TTF portion. Thus, one electron oxidation of this radical  $NN^{\bullet}$ -TTF removed an electron from the HOMO on the TTF portion and produced a triplet cation biradical,  $NN^{\bullet}$ -TTF<sup>+</sup>.<sup>9,10</sup> This was confirmed from the measured oxidation potential of  $NN^{\bullet}$ -TTF, which was similar to that of TTF and not of  $NN^{\bullet}$ . Using ab initio and DFT calculations and experiment, Coote and co-workers<sup>10,11</sup> recently showed pH-induced SOMO–HOMO energy-level conversion in dicationic radical anions. SOMO–HOMO energy level conversion in deprotonated DNA/RNA base radicals were also proposed by these authors.<sup>10</sup>

One-electron oxidized DNA bases [adenine (A), guanine (G), thymine (T), and cytosine (C)] and base pairs (A-T and

G-C) produced by ionizing radiation have been extensively investigated by electron spin resonance (ESR) and pulse radiolysis experiments and theory.<sup>12–24</sup> Both theory and experiment predicted that G and A have lower ionization potentials (IP) than thymine and cytosine and are therefore the most easily oxidized sites in DNA.<sup>12–21</sup> On one-electron oxidation, all molecules, including DNA bases, become far more acidic in nature than their neutral state.<sup>14</sup> The guanine radical cation ( $G^{\bullet+}$ ) deprotonates to solvent from its  $N_1$ -H site in nucleosides ( $pK_a = 3.9$ )<sup>14</sup> as well as in single-stranded DNA. But in the  $G^{\bullet+}$ -C base pair,  $G^{\bullet+}$  partially transfers its  $N_1$ -H proton to the  $N_3$  site of cytosine, forming proton transferred  $G(-H)^{\bullet+}$ -C( $H^+$ ) (see Scheme 1).<sup>12–14,18,20,21</sup> Similarly,  $A^{\bullet+}$  deprotonates from its  $N_6$ -H site to solvent, and in an  $A^{\bullet+}$ -T base pair, it can transfer its  $N_6$  proton to  $O_4$  of thymine forming  $A(-H)^{\bullet+}$ -T( $H^+$ ) (see Scheme 1).<sup>12–14,22,23</sup> These proton transfer (PT) reactions in one-electron oxidized DNA bases and base pairs control their electronic configuration and thus play an important role in determining their radical stability and reactivity. In this work, we provide the first report of the proton-induced switching of the SOMO and HOMO energy levels in one-electron oxidized A-T and G-C base pairs ( $A^{\bullet+}$ -T and  $G^{\bullet+}$ -C), using three different density functional methods.

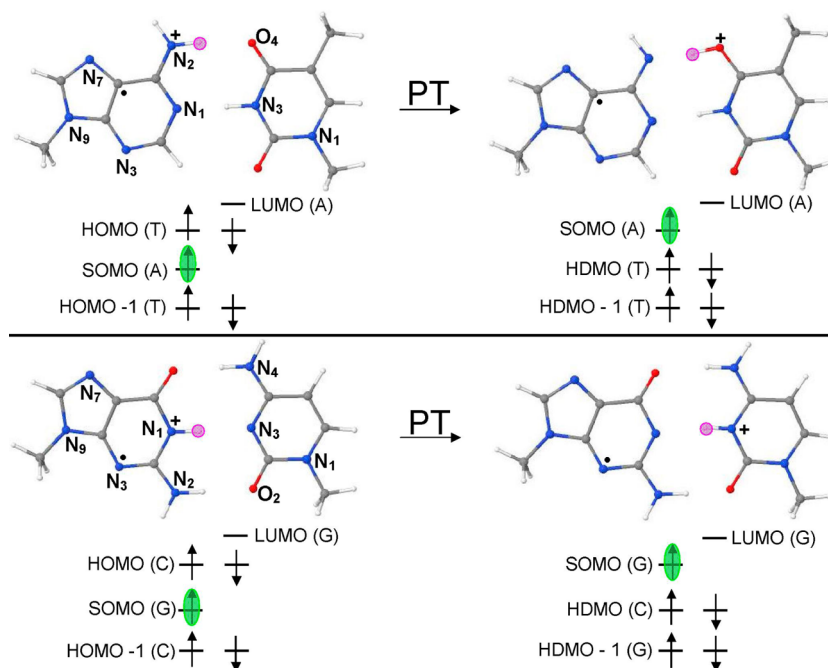
## METHODS

The ground state structures of A-T and G-C base pairs in their neutral, one-electron oxidized, and proton-transferred state are

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**Scheme 1. DFT-Based Orbital Energy (Electronic Configuration) Diagram Showing the Proton Transfer Induced Switching of SOMO-to-HOMO in (a)  $A^{\bullet+}$ -T and (b)  $G^{\bullet+}$ -C base pairs<sup>a</sup>**



<sup>a</sup>Pink circle shows the location of the proton ( $H^+$ ) in the base pair. The SOMO is highlighted in green. For details of the electronic configuration, see the Supporting Information. PT = proton transfer.

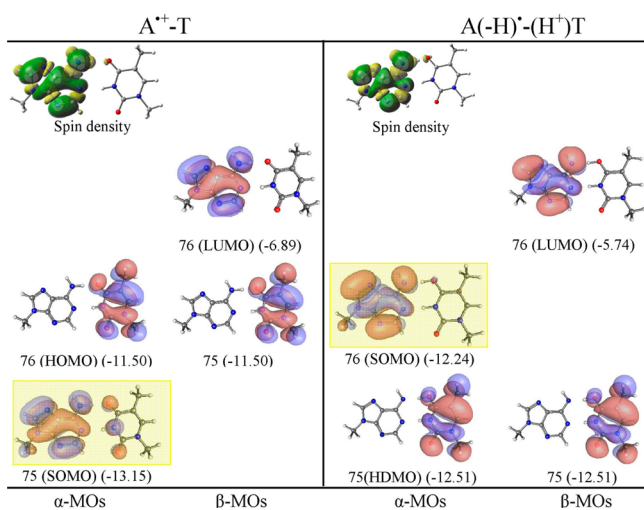
fully optimized using the  $\omega$ b97x,<sup>25</sup> B3LYP,<sup>26</sup> and M06-2x<sup>27</sup> density functionals and the 6-31++G(D) basis set as implemented in Gaussian 09.<sup>28</sup> To mimic the effect of deoxyribose (sugar), a methyl ( $CH_3$ ) group was attached at  $N_9$  of G and A and at  $N_1$  of C and T. In addition, a full sugar deoxyribose diphosphate was also considered. The present study clearly demonstrates that the electronic configuration of the one-electron oxidized A-T and G-C base pairs do not follow the Aufbau principle (see Figures 1–3 and Figures S1–S29 in

the Supporting Information). The SOMO of an  $A^{\bullet+}$ -T base pair localizes on A lies below the doubly occupied HOMO which localizes on T (see Scheme 1a). In the case of  $G^{\bullet+}$ -C, SOMO is localized on G and lies below the doubly occupied HOMO which localizes on C (see Scheme 1b). These DFT-based observations were further supported by the ab initio MP2/6-31++G(d) calculations of  $A^{\bullet+}$ -T and  $G^{\bullet+}$ -C base pairs and CASSCF(11,11) calculations for  $A^{\bullet+}$ -T (see the Supporting Information).

Proton transfer from  $A^{\bullet+}$  to T and  $G^{\bullet+}$  to C restores the expected orbital ordering in which the SOMO lies highest in energy. This proton transfer induced reordering of the SOMO is important to redox processes in DNA as it directs the second electron loss from a base pair away from T and C and to the deprotonated G or A radicals. The effect of full solvation on the electronic configuration of  $A^{\bullet+}$ -T and  $G^{\bullet+}$ -C was also considered using PCM- $\omega$ b97x/6-31++G(D) method and show the same HOMO–SOMO switching as found in the gas phase results.

## RESULTS AND DISCUSSION

The theoretical IPs of bases and base pairs are well-studied and have been reviewed in several recent reviews.<sup>12,13,15,16</sup> In this work, we assess the reliability of three density functionals used in the present study of base pairs by calculating the vertical and adiabatic ionization potentials ( $IP^{vert}$  and  $IP^{adia}$ ) of A, T, G, and C for which the gas-phase experimental IPs are available in the literature.<sup>29,30</sup> The calculated IPs along with the experimental values are presented in Table 1. From Table 1, it is evident that  $\omega$ b97x and M06-2x calculated IP values of bases are very close to the experimental values having a maximum difference of ca. 0.1 eV, while B3LYP-calculated IP values have a maximum difference of ca. 0.27 eV. We note that  $CH_3$  substitution at  $N_9$  of A and G and at  $N_1$  of C and T lowers the IPs of the DNA



**Figure 1.**  $\omega$ b97x/6-31++G(D) calculated spin density distribution and electronic configuration ( $\alpha$  and  $\beta$  MOs distribution) of  $A^{\bullet+}$ -T and proton transferred  $A(-H)^{\bullet+}$ - $T(H^+)$ T. Orbital energies are given in electronvolts in parentheses. SOMO is highlighted by yellow rectangle. See the Supporting Information for details and B3LYP, M06-2x, and MP2 results.

**Table 1.** Calculated  $IP^{vert}$  and  $IP^{adia}$  of DNA Bases, Base Pairs and DNA Model Systems with phosphates:  $(5'-G-3')\cdot(3'-C-5')$  and  $(5'-A-3')\cdot(3'-T-5')$  in electronvolts

molecule	bases and base pairs								DNA models	
	$\omega b97x/6-31++G(D)$		experiment <sup>b</sup>		B3LYP/6-31++G(D)		M06-2x/6-31++G(D)		$\omega b97x/6-31G(D)^g$	
	$IP^{vert}$	$IP^{adia}$	$IP^{vert}$	$IP^{adia}$	$IP^{vert}$	$IP^{adia}$	$IP^{vert}$	$IP^{adia}$	$IP^{vert}$	$IP^{adia}$
guanine (G)	8.14 <sup>a</sup> (7.86)	7.71 <sup>a</sup> (7.53)	8.24	7.77	7.99 <sup>a</sup> (7.70)	7.64 <sup>a</sup> (7.46)	8.10 (7.95)	7.79 (7.64)		
adenine (A) <sup>f</sup>	8.42 <sup>a</sup> (8.27)	8.16 <sup>a</sup> (7.99)	8.44	8.26	8.24 <sup>a</sup> (8.08)	8.05 <sup>a</sup> (7.87)	8.50 (8.34)	8.25 (8.07)		
thymine (T)	9.13 <sup>a</sup> (8.81)	8.81 <sup>a</sup> (8.52)	9.14	8.87	8.98 <sup>a</sup> (8.65)	8.74 <sup>a</sup> (8.44)	9.23 (8.88)	8.94 (8.61)		
cytosine (C)	8.86 <sup>a</sup> (8.59)	8.74 <sup>a</sup> (8.44)	8.94	8.68	8.67 <sup>a</sup> (8.40)	8.56 <sup>a</sup> (8.30)	8.95 (8.67)	8.82 (8.53)		
G-C	7.24	6.81	–	–	7.06	6.72	7.33	6.91	6.90	6.30
G-C (PT)	–	6.86 <sup>c</sup>	–	–	–	6.76 <sup>c</sup>	–	6.97 <sup>c</sup>	–	6.35
A-T	7.99 (8.01) <sup>f</sup>	7.62	–	–	7.58	7.43	8.08	7.72	7.64	7.12
A-T (PT)	–	7.80 <sup>c</sup>	–	–	–	7.63 <sup>c</sup>	–	7.80 <sup>c</sup>	–	7.26
G <sup>•+</sup> -C (PT) <sup>d</sup>	11.26	10.76							10.54	9.71
G <sup>•+</sup> -C <sup>e</sup>	11.67	11.26			11.48	11.13	11.77	11.34	10.97	9.92
A <sup>•+</sup> -T (PT) <sup>d</sup>	12.67	11.50	–	–	–	–	12.23	11.41	11.22	10.46
A <sup>•+</sup> -T <sup>e</sup>	11.12	10.72	–	–	10.91	10.69	11.23	10.81	10.41	9.34

<sup>a</sup>Ref 32 and methylated base IPs in parentheses. <sup>b</sup>Refs 29 and 30. <sup>c</sup> $IP^{adia}$  calculated with respect to neutral G-C and A-T base pairs. <sup>d</sup>Singlet state. <sup>e</sup>Triplet state. <sup>f</sup>Ref 34. EOM-IP-CCSD/6-311+G(d,p) calculation.  $IP^{vert}$  (A) = 8.35 eV. <sup>g</sup> $(5'-G-3')\cdot(3'-C-5')$  and  $(5'-A-3')\cdot(3'-T-5')$ . See Figures S23–S28 in the Supporting Information.

bases (see Table 1). Also, in comparison to the B3LYP which severely suffers from self-interaction errors (SIE),  $\omega b97x$  and M06-2x mitigate SIE and provide improved orbital descriptions of ionized states.<sup>31–34</sup> We present  $\omega b97x/6-31++G(D)$  results here and B3LYP and M06-2x results in the Supporting Information.

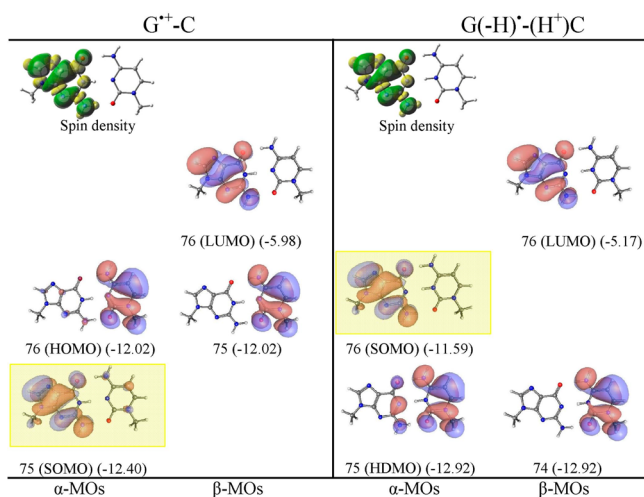
The electronic configuration (orbital distribution) of neutral A-T base pair calculated by the  $\omega b97x$ , B3LYP, and M06-2x methods are shown in Figures S1–S3, and a PCM (polarized continuum model) using  $\omega b97x$  is shown in Figure S22 in the Supporting Information. As expected, all the methods predict HOMO as  $\pi$  in nature and localizing on A in the A-T base pair. This preliminary inspection of the electronic configuration of the A-T base pair clearly shows that the initial oxidation will take place from the HOMO localizing on A. This is also supported by the experiment as A has a lower reduction potential than T.<sup>14</sup> The calculated  $IP^{vert}$  of the A-T base pair by  $\omega b97x$ , B3LYP, and M06-2x methods are 7.99, 7.58, and 8.08 eV, while the corresponding  $IP^{adia}$  are 7.62, 7.43, and 7.72 eV, respectively (see Table 1). The  $\omega b97x/6-31++G(D)$  calculated  $IP^{vert}$  (7.99 eV) is in excellent agreement (8.01 eV) with those calculated by Krylov and co-workers<sup>34</sup> using the EOM-IP-CCSD/6-311+G(d,p) method (see Table 1). The spin density distribution and electronic configuration of A<sup>•+</sup>-T and proton transferred A(-H<sup>•</sup>)-T(H<sup>+</sup>) is shown in Figure 1 and in Figures S1–S3 in the Supporting Information. The methods  $\omega b97x$ , B3LYP, and M06-2x predict that A<sup>•+</sup>-T is more stable than A(-H<sup>•</sup>)-T(H<sup>+</sup>) by 4.15, 4.44, and 1.98 kcal/mol, respectively (see Figure S7 in the Supporting Information). From the electronic configuration, we see that the SOMO which is localized on A in A<sup>•+</sup>-T lies below the HOMO localizing on T, in violation of the Aufbau principle, see Figure 1 and Figures S1–S3 and MP2 calculated electronic configuration in Figure S29 in the Supporting Information.

The electronic configuration of A<sup>•+</sup>-T clearly predicts that further ionization will take place from T not from A, and this is confirmed from the calculated IP of A<sup>•+</sup> (Figure S11 in the Supporting Information). The calculated  $IP^{vert}$  of A<sup>•+</sup> by  $\omega b97x$ , B3LYP, and M06-2x are 13.51, 13.39, and 13.50 eV, respectively, and the corresponding  $IP^{adia}$  of A<sup>•+</sup> are 13.08, 13.06, and 13.10 eV, respectively, which are much larger than

the IP of thymine, ca. 9 eV (see Table 1 and Figure S11 in the Supporting Information). As mentioned above, the ionized A-T (A<sup>•+</sup>-T) becomes far more acidic in nature and the  $pK_a$  of A<sup>•+</sup> was predicted to be ca. <1–4 by experiment and theory.<sup>12–15,35</sup> Thus, A<sup>•+</sup> in the base pair can transfer its N<sub>6</sub> proton to O<sub>4</sub> of thymine. Although it is energetically uphill in our work, a recent QM/MM simulation by Conwell and co-workers<sup>23</sup> suggests proton transfer is likely. The electronic configuration of A(-H<sup>•</sup>)-T(H<sup>+</sup>) (Figure 1) shows that upon proton transfer the SOMO which is localized on A(-H)<sup>•</sup> is highest in energy and lying above the highest doubly occupied molecular orbital (HDMO) localized on O<sub>4</sub>-protonated T [T(H<sup>+</sup>)]. The electronic configuration of A(-H<sup>•</sup>)-T(H<sup>+</sup>) thus shows that further ionization will occur from A(-H)<sup>•</sup>. The calculated  $IP^{vert}(IP^{adia})$  of A(-H)<sup>•</sup> by  $\omega b97x$ , B3LYP, and M06-2x are 8.81(8.39), 8.54(8.27), and 8.73(8.32) eV, respectively, and the calculated  $IP^{vert}$  and  $IP^{adia}$  of T(H<sup>+</sup>) by  $\omega b97x$ , B3LYP, and M06-2x lie in the range of 13.28–13.71 eV, respectively (see Figures S10 and S13 in the Supporting Information).

The electronic configuration of the neutral G-C base pair is shown in the Supporting Information in Figures S4–S6 and in Figure S21 using PCM ( $\omega b97x$ ). In the G-C base pair, the HOMO is localized on guanine, while the lowest unoccupied molecular orbital (LUMO) localizes on cytosine; these are  $\pi$  in nature. Thus, the electronic configuration of the G-C base pair depicts that ionization will take place on guanine. The  $\omega b97x$ , B3LYP, and M06-2x calculated  $IP^{vert}$  of the G-C base pair are 7.24, 7.06, and 7.33 eV, respectively, and the corresponding  $IP^{adia}$  are 6.81, 6.72, and 6.91 eV, respectively. The IP of the G-C base pair is lower than the A-T base pair, and it is well-known that guanine is the prime site for hole localization in DNA.<sup>12–23</sup> From the electronic configuration of G<sup>•+</sup>-C, shown in Figure 2, we see that SOMO localized on guanine is no longer the highest energy orbital and lies below the doubly occupied HOMO localized on cytosine. This is also supported by MP2 calculations presented in Figure S29 in the Supporting Information. Thus, in G<sup>•+</sup>-C, cytosine is available for the oxidation. The calculated  $IP^{vert}$  and  $IP^{adia}$  of G<sup>•+</sup> (Figure S18 in the Supporting Information) lie in the range of 12.62–13.11 eV, which is larger than the IP (ca. 9 eV) of cytosine (see Table 1). Steenken<sup>14</sup> estimated the  $pK_a$  of one-electron oxidized





**Figure 2.**  $\omega$ b97x/6-31++G(D) calculated spin density distribution and electronic configuration ( $\alpha$  and  $\beta$  MOs distribution) of  $G^{\bullet+}$ -C and proton transferred  $G(-H)^{\bullet}$ -C( $H^+$ ). MO energies are given in eV in parentheses. SOMO is highlighted by a yellow rectangle. See the Supporting Information for details and B3LYP, M06-2x, and MP2 results.

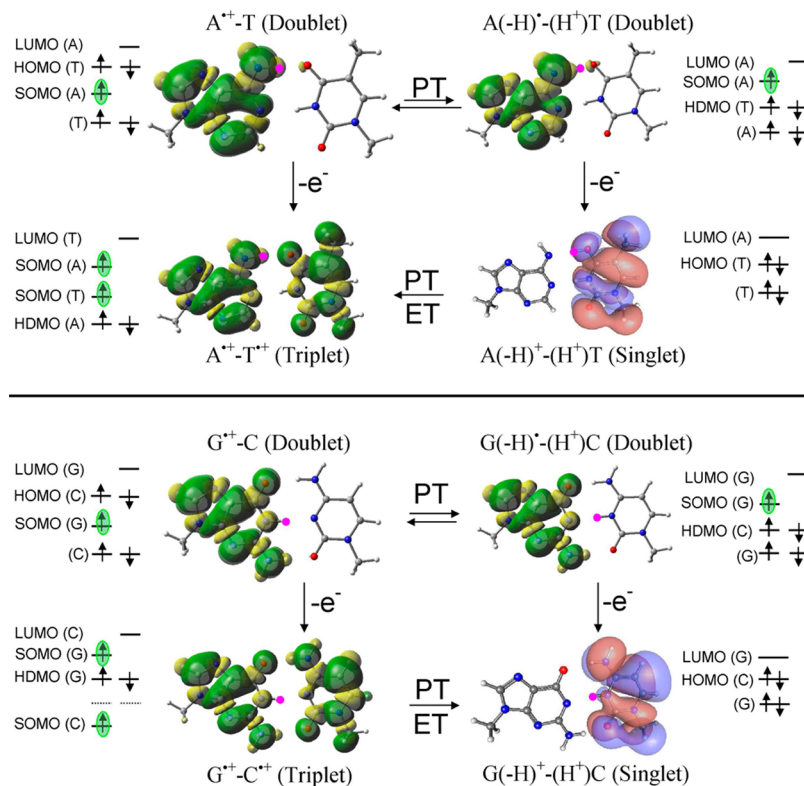
deoxyguanosine ( $dG^{\bullet+}$ ) as 3.9 and proposed that a facile PT can take place from  $N_1$  of G to  $N_3$  of C in a  $G^{\bullet+}$ -C base pair, which has been confirmed by ESR experiments and DFT calculations.<sup>18,20,21</sup> From the present calculation,  $G^{\bullet+}$ -C was found to be more stable than the proton transferred  $G(-H)^{\bullet}$ -C( $H^+$ ) by 1.06, 0.91, and 1.23 kcal/mol using the  $\omega$ b97x, B3LYP, and M06-2x calculations (see Figure S14 in the

Supporting Information). We note that surrounding solvation plays an important role in the stability of  $G^{\bullet+}$ -C and  $G(-H)^{\bullet}$ -C( $H^+$ ), and other work shows that the inclusion of the first hydration layer around these structures make  $G(-H)^{\bullet}$ -C( $H^+$ ) more stable (ca. 1 kcal/mol) than  $G^{\bullet+}$ -C.<sup>21</sup>

From the electronic configuration of proton transferred  $G(-H)^{\bullet}$ -C( $H^+$ ) (shown in Figure 2), it is evident that the SOMO localizing on  $G(-H)^{\bullet}$  is highest in energy and the HDMO localized on cytosine lies below the SOMO. Thus, in  $G(-H)^{\bullet}$ -C( $H^+$ ), the oxidation takes place from  $G(-H)^{\bullet}$ , which is confirmed from the calculated IP of  $G(-H)^{\bullet}$  (Figure S17 in the Supporting Information). The calculated IPs of  $G(-H)^{\bullet}$  are in the range of 8.11–8.56 eV, while the IPs of C( $H^+$ ) lie in the range of 13.36–13.74 eV (see Figures S17 and S20 in the Supporting Information).

The electronic configuration of species formed by one-electron oxidation of  $A^{\bullet+}$ -T,  $A(-H)^{\bullet}$ -T( $H^+$ ),  $G^{\bullet+}$ -C, and  $G(-H)^{\bullet}$ -C( $H^+$ ), based on  $\omega$ b97x/6-31++G(D) calculations, are shown in Figure 3. One-electron oxidation of  $A^{\bullet+}$ -T yields  $A^{\bullet+}$ -T<sup>+</sup>, which is found to be the most stable in the triplet state.<sup>36</sup> Thus, in the triplet state, both A and T are oxidized to the diradical dication form ( $A^{\bullet+}$ -T<sup>+</sup>) with one electron spin localized on each base (Figure 3). The calculated IP<sup>vert</sup> of  $A^{\bullet+}$ -T by  $\omega$ b97x, B3LYP, and M06-2x methods are 11.12, 10.91, and 11.23 eV, respectively, and the corresponding IP<sup>adia</sup> are 10.72, 10.69, and 10.81 eV, respectively (see Table 1).

Our calculation predicts that one-electron oxidation of proton-transferred  $A(-H)^{\bullet}$ -T( $H^+$ ) removes an electron from A and yields,  $A(-H)^+$ -T( $H^+$ ). The singlet state of this species [ $A(-H)^+$ -T( $H^+$ )] is found to be more stable than its triplet form. The HOMO in the singlet state lies on T( $H^+$ ) (see



**Figure 3.**  $\omega$ b97x/6-31++G(D) based electronic configuration of one-electron oxidized  $A^{\bullet+}$ -T,  $A(-H)^{\bullet}$ -T( $H^+$ ),  $G^{\bullet+}$ -C, and  $G(-H)^{\bullet}$ -C( $H^+$ ). Spin-density distributions are shown for radicals, and MO plots are shown for the singlet state. Location of SOMO is green highlighted. ET = electron transfer.

Figure 3). The calculated  $IP^{adia}$  of  $A(-H)^{\bullet}-T(H^+)$  by  $\omega b97x$  and M06-2x are 11.50 and 11.41 eV, respectively. Most importantly, we note that the  $A^{\bullet+}-T^{\bullet+}$  (triplet state) is more stable than the  $A(-H)^{\bullet+}-T(H^+)$  (singlet state) by ca. 18 kcal/mol (see Figure S8 in the Supporting Information). Also, the IP of  $A^{\bullet+}-T^{\bullet+}$  in the triplet state is lower than  $A(-H)^{\bullet+}-T(H^+)$  in the singlet state, thus, the present calculations show that on double oxidation both A and T will be oxidized in the A-T base pair. Recently, Peluso and co-workers<sup>37</sup> measured the oxidation potential of a single strand 5'-TTAATT-3' sequence and measured two peaks centered at 0.97 and 1.35 V. The first anodic peak (0.97 V) was assigned to the oxidation of two stacked adenines, and the second peak (1.35 V) was assigned to the two stacked thymines.

One-electron oxidation of  $G^{\bullet+}-C$  produces the triplet diradical ( $G^{\bullet+}-C^{\bullet+}$ ), and one-electron oxidation of proton transferred  $G(-H)^{\bullet}-C(H^+)$  produces singlet  $G(-H)^{\bullet+}-C(H^+)$ , the latter species is the most stable structure overall (see Figure 3 and Figure S15 in the Supporting Information). The SOMO in triplet  $G^{\bullet+}-C^{\bullet+}$  places an unpaired electron on both G and C (Figure 3). Calculations using  $\omega b97x/6-31++G(D)$  predict singlet  $G(-H)^{\bullet+}-C(H^+)$  to be more stable than the triplet diradical  $G^{\bullet+}-C^{\bullet+}$  by ca. 11 kcal/mol (Figure S15 in the Supporting Information). The  $\omega b97x/6-31++G(D)$  calculated IP of  $G^{\bullet+}-C$  in the triplet state is 11.67 eV ( $IP^{vert}$ ) and 11.26 eV ( $IP^{adia}$ ), and the IP of  $G(-H)^{\bullet+}-C(H^+)$  in the singlet state is 11.26 eV ( $IP^{vert}$ ) and 10.76 eV ( $IP^{adia}$ ). Thus, in a G-C base pair, the sequential two-electron oxidation will occur only on guanine.

The second ionization of A-T and G-C base pairs lies in the range of 10.72–12.67 eV (Table 1), which is close to the ionization potential of phosphate (ca., 11–12 eV),<sup>38</sup> thus in DNA, phosphate may be the prime site for a second oxidation. To test this possibility, we calculated the first and second ionization potentials (Table 1 last column) and electronic configurations of double stranded (5'-G-3')-(3'-C-5') and (5'-A-3')-(3'-T-5') using the  $\omega b97x/6-31G(D)$  method. The calculations clearly show that the second oxidation occurs only on the bases and not on the phosphate in DNA (see MOs in Figures S23–S28 in the Supporting Information). Also, from Table 1, it is evident that the IPs of bases in the DNA decrease substantially in comparison to the IPs of isolated bases and base pairs. We note that in the present calculations, the phosphates groups are protonated. In aqueous solution, phosphate groups are neutralized by solvated proximate cations. The energy differences between the protonated and anionic system with counterions in an aqueous media is relatively small.<sup>38</sup> Thus, only a modest change in base ionization potential is expected on phosphate protonation, but protonation will have a greater effect on the phosphate IPs.

## CONCLUSIONS

In conclusion, we find that PT in one-electron oxidized DNA base pairs plays an important role in altering the IPs of individual bases in the base pair, induces HOMO–SOMO level switching, and thus directs multiple ionizations to a single base G or A. For the A–T base pair, we find PT is not favored within  $A^{\bullet+}-T$  and the HOMO lies on T so that the second ionization oxidizes both A and T forming triplet  $A^{\bullet+}-T^{\bullet+}$ , which is more stable than the singlet  $A(-H)^{\bullet+}-T(H^+)$ . For the G-C base pair, PT is favored within  $G^{\bullet+}-C$  forming  $G(-H)^{\bullet+}-C(H^+)$ , thus  $G(-H)^{\bullet}$  becomes available for further oxidation resulting in doubly oxidized G. PT reactions in one-electron oxidized DNA

base pairs are an expected phenomenon. Since one electron-oxidation of a base makes it more acidic, oxidation induces a shift in the prototropic equilibrium between the two bases as proposed by Steenken.<sup>14</sup> This interbase PT is able to quench the SOMO–HOMO level inversion in the one-electron oxidized A-T and G-C base pair as observed for distonic anion radicals after protonation from the solvent.<sup>10,11</sup> High-energy radiation often produces two one electron ionizations within a short-range.<sup>39,40</sup> Two-electron oxidation at sites within DNA were proposed earlier by Bernhard to account for products formed in nonradical processes.<sup>41,42</sup> The present work shows that the A-T base pair and G-C base pair would respond differently to double oxidation. In a doubly oxidized G-C, the double oxidation would reside only on G (in the singlet state), whereas in a doubly oxidized A-T, the oxidations would be shared between A and T in the triplet state. Subsequent rapid hole transfers and deprotonation processes in DNA then lead to the most stable double-oxidized G. Finally, we note that since spectroscopic studies of gas phase base pairs are now well-established,<sup>43</sup> tests of predictions made in this work are quite feasible.

## ASSOCIATED CONTENT

### Supporting Information

Complete ref 26, optimized geometries, electronic configuration, and ionization potentials of A-T and G-C base pairs calculated using DFT methods. This material is available free of charge via the Internet at <http://pubs.acs.org>.

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### Notes

The authors declare no competing financial interest.

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