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# Psychosis in Alzheimer's Disease

Patrick S. Murray, Sanjeev Kumar, Mary Ann A. DeMichele-Sweet, and Robert A. Sweet Departments of Psychiatry (PSM, SK, MAAD-S, RAS) and Neurology (RAS), University of Pittsburgh; and Veterans Integrated Service Network 4 Mental Illness Research, Education and Clinical Center (PSM, RAS), US Department of Veterans Affairs Pittsburgh Healthcare System, Pitts-burgh, Pennsylvania

# Abstract

Psychotic symptoms, delusions and hallucinations, occur in approximately 50% of individuals with Alzheimer's disease (AD) (AD with psychosis [AD + P]). Pharmacotherapies for AD + Phave limited efficacy and can increase short-term mortality. These observations have motivated efforts to identify the underlying biology of AD + P. Psychosis in AD indicates a more severe phenotype, with more rapid cognitive decline beginning even before psychosis onset. Neuroimaging studies suggest that AD + P subjects demonstrate greater cortical synaptic impairments than AD subjects without psychosis, reflected in reduced gray matter volume, reduced regional blood flow, and reduced regional glucose metabolism. Neuroimaging and available postmortem evidence further indicate that the impairments in AD + P, relative to ADsubjects without psychosis, are localized to neocortex rather than medial temporal lobe. Neuropathologic studies provide consistent evidence of accelerated accumulation of hyperphosphorylated microtubule associated protein tau in AD + P. Finally, studies of familial aggregation of AD + P have established that the risk for psychosis in AD is, in part, genetically mediated. Although no genes are established as associated with AD + P, the first genome-wide association study of AD + P has generated some promising leads. The study of the neurobiology of AD + P is rapidly accelerating and may be poised for translational discovery. This process can be enhanced by identifying points of convergence and divergence with the neurobiology of AD proper and of schizophrenia, by innovative extension of current approaches, and by development of relevant animal models.

#### Keywords

Alzheimer's disease; genetics; heritability; neuroimaging; neuropathology; psychosis

Psychotic symptoms, delusions and hallucinations, are frequent in Alzheimer's disease (AD) (AD with psychosis [AD + P]). Common delusions in AD patients include delusions of

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Address correspondence to: Robert A. Sweet, M.D., University of Pittsburgh, Department of Psychiatry, Western Psychiatric Institute and Clinic, Biomedical Science Tower, Room W-1645, 3811 O'Hara Street, Pittsburgh, PA 15213-2593; sweetra@upmc.edu. Authors PSM and SK contributed equally to this work.

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persecution, infidelity, abandonment, or that deceased individuals (e.g., parents) are still living (1). Other misidentification delusions are also frequent in AD patients: beliefs that one's home is not one's home; that a family member is someone else, has been reduplicated, or is an imposter; the presence of phantom boarders; and that images on the television are actually people present in the house (2). Unlike schizophrenia, delusions in AD are typically not bizarre or complex, and Schneiderian first-rank symptoms are rare (3). Hallucinations in AD can occur in any sensory modality, but visual hallucinations are most common in AD (1), again a contrast with schizophrenia.

In a comprehensive review of clinical studies, the median prevalence of AD + P was 41% (range = 12.2–74.1%), with a 3-year cumulative incidence approximating 50% (4,5). Epidemiologic studies have found a lower point prevalence of AD + P, closer to 25% (6). These differences may reflect that the rate of AD + P is dependent on AD stage, with low rates of psychosis in prodromal and early AD and higher rates in middle and later stages (7,8). Regardless, with current estimates of over 5 million Americans affected by AD and estimates of greater than 13 million affected by 2050 (9), AD + P would currently be the second most prevalent psychotic disorder (after schizophrenia) in the United States, and may soon be the most prevalent.

In addition to the individual distress that delusions and hallucinations may confer, when present, AD + P is a marker for a number of additional adverse outcomes in AD patients. Alzheimer's disease with psychosis is associated with the co-occurrence of other behavioral disturbances, the most troublesome of which are agitation (10) and aggression (11,12). Depressive symptoms are also increased in AD + P (8,13). Alzheimer's disease with psychosis is associated with greater distress for family and caregivers (14), greater functional impairment (15), greater rates of institutionalization (16–19), worse general health for the patient (20), and increased mortality (21). In addition, the other behavioral disturbances that co-occur with AD + P may themselves influence outcomes adversely (22,23).

Current pharmacotherapies for psychosis in AD have limited efficacy and high toxicity in this age group. Haloperidol is the most studied of the conventional antipsychotics. It has mild to moderate efficacy relative to placebo in AD patients with psychosis and/or agitated behaviors (24). However, it causes serious side effects, namely parkinsonism, tardive dyskinesia, and akathisia. More recent studies have examined atypical antipsychotics, such as risperidone, olanzapine, and aripiprazole. These medications have efficacy similar to conventional antipsychotics for AD + P, with lower rates of motor side effects (25). However, they have been associated with increased cerebrovascular adverse events and increased mortality after short-term treatment (25), a risk that appears to be shared with conventional antipsychotics (26). In contrast to antipsychotic medications, the evidence base for the efficacy of other medications, such as selective serotonin reuptake inhibitors, is much smaller (27).

The shortcomings of antipsychotic medications, which were developed for similar symptoms occurring in patients without dementia, may be due to a lack of biologic specificity. Evidence from clinical, genetic, brain imaging, and neuropathology studies,

however, are now emerging to provide an initial understanding of the neurobiology of AD + P. In the following, we review that evidence as it relates to cognitive, genetic, neuroimaging, and postmortem correlates of psychosis in AD. Based on that review, we attempt to provide a critique and suggestions for how the field may move forward toward transformative discovery.

#### Cognition and Psychosis in Alzheimer's Disease

The risk of psychosis in AD is inextricably linked to cognitive decline. Ropacki and Jeste (28) reviewed 55 studies of AD + P, comprised of 9749 subjects. In cross-sectional assessments, greater cognitive impairment in AD + P than in AD without psychosis (AD – P) was found in 20 of the 30 studies that assessed this association (28). Although most studies utilized measures of global cognition, several studies that have evaluated cognitive domains suggest a frontal localization of the greater cognitive deficits in AD + P, with working memory particularly affected (4,29–31). The association between AD + P and cognitive burden is not readily attributable to other factors, as age, age of onset of AD, duration of AD, sex, education, race, and family history of psychiatric illness show only modest or equivocal associations with AD + P as compared with AD – P (28).

Alzheimer's disease with psychosis was even more strongly associated with more rapid cognitive decline, which was the most consistent correlate of AD + P compared with AD - P (28). Nine of nine studies found a significant association between a greater rate of cognitive decline and the presence of AD + P (28). Recent studies have continued to support the relationship between both greater cognitive impairment and more rapid cognitive decline and AD + P (8,32–35). A number of studies now indicate that more rapid cognitive deterioration begins before onset of psychosis, during prodromal and early stages of AD, subsequently manifesting as frank psychotic symptoms. For example, greater cognitive dysfunction was already present in the earliest stages of AD, preceding the onset of psychosis by at least 1 to 2 years (32). Paulsen *et al.* (4) found that more rapid cognitive decline was present a year before psychosis in early AD. A more recent report evaluated individuals without dementia at their time of entry into the population-based Cardiovascular Health Study and who, by the end of the 10-year study period, developed dementia (33). Individuals who ultimately developed AD + P declined significantly more rapidly than individuals who developed AD - P, despite equivalent baseline scores (33) (Figure 1).

## Genetics of Psychosis in Alzheimer's Disease

#### Heritability and Familial Aggregation

Perhaps the most compelling evidence that AD + P has a biology that is distinct from that of AD - P is the finding that the risk for psychosis in AD is transmitted in families. An initial report found that AD + P is familial, with an odds ratio for psychosis of 3.2 in siblings of AD + P subjects who themselves were affected with AD (36). The finding of familial aggregation of AD + P has since been replicated in two additional cohorts (8,37). The estimated heritability of psychosis in AD is 61% when psychosis is defined by the presence of multiple or recurrent psychotic symptoms and is 30% for any single occurrence of a symptom (38). These findings provide strong support for efforts to identify genetic variants

causally related to AD + P, through one of several models (Figure 2). We summarize below studies to date.

#### **Linkage Studies**

Linkage studies aimed at identifying chromosomal loci involved with AD + P found significant linkage to loci on chromosomes 2, 7, 8, and 15 (37,39–41). Suggestive linkage has also been found on chromosomes 6 and 21 (40), but significance was lost with follow-up analysis (37). One study found that chromosome 14, at a locus near to but independent of *Presenilin 1*, is linked to the absence of hallucinations in AD + P (39).

#### **Candidate Gene Studies**

**Apolipoprotein E**—More than 20 studies have evaluated whether carrying one or more epsilon 4 alleles of the apolipo-protein E (*APOE*) gene, the best established genetic risk factor for late-onset AD (42), may increase risk for AD + P. Initial findings were mixed (Table S1 in Supplement 1), likely due to differences in sample sizes (with small sample sizes having both false positive and negative findings), variability across patient populations, and varying diagnostic criteria of psychosis across studies. To avoid some of these problems, a recent report analyzed a large cohort with uniform and standardized criteria for diagnosing both AD and psychosis available through the National Alzheimer's Disease Coordinating Center uniform data set, finding no association of *APOE* epsilon 4 alleles with AD + P (43). More recently, it has been suggested that a poly-T repeat sequence polymorphism in translocase of outer mitochondrial membrane 40 homolog, *TOMM40*, which is in linkage disequilibrium with *APOE*, may explain some of the association of *APOE* with AD risk. However, no association of poly-T repeat length with AD + P was found (44).

#### **Other Candidate Genes**

Association studies of candidate genes have predominantly, but not exclusively, focused on monoamine neurotransmitter systems. As a group, these studies experienced many of the same limitations as described for the early *APOE* studies. In addition, unlike *APOE*, most have studied only one or a few genetic variants without established biologic effect and/or known genetic mechanisms of the studied variant. Not surprisingly, then, these studies have likewise yielded conflicting results [reviewed in (45); Table S2 in Supplement 1]. Overall, the substantial limitations associated with the candidate gene approach mandates interpreting findings as conservatively as possible.

#### **Genome-Wide Association**

The first genome-wide association study of AD + P was recently reported (46). This study meta-analytically combined three AD genome-wide association datasets (47–49). In total, there were 1299 cases with AD + P, 735 cases with AD - P, and 5659 control subjects unaffected by AD. The AD + P versus AD - P analysis included 1,882,172 single nuclear polymorphisms (SNPs); the AD + P versus control analyses included 1,847,262 SNPs.

The results for the AD + P versus AD – P and AD + P versus control analyses are shown in Table 1. Among the most significant SNPs in the AD + P versus AD – P analysis was

rs3764640 in serine/threonine kinase 11 (*STK11*). Although *STK11* deletions are present in Peutz-Jeghers syndrome, one case with an unusually large *STK11* deletion has been described in which Peutz-Jeghers syndrome, mental retardation, and schizophrenia co-occurred (50). Similarly, a genome-wide screen in siblings co-affected by schizophrenia found reduced copy numbers of *STK11* in 3 of 18 individuals, significantly more often than in control subjects (51). Of interest, *STK11*, also known as liver kinase B1, triggers phosphorylation of tau (52), and amyloid precursor protein overexpression promotes tau phosphorylation in an liver kinase B1-dependent manner (53).

The most significant intragenic SNP in the AD + P versus control analysis was rs4038131, an intronic SNP in visinin-like 1 (*VSNL1*). This SNP also showed evidence of association with AD + P versus AD – P (odds ratio: .72,  $p = 1.84 \times 10^{-2}$ ). *VSNL1* encodes visinin-like protein-1 (VILIP-1), a neuronal calcium sensor (54). It has recently been reported that cerebrospinal fluid and plasma concentrations of VILIP-1 are elevated in AD subjects relative to normal control subjects (55,56) and to non-AD dementia subjects (56). Elevated cerebrospinal fluid VILIP-1 levels predict more rapid cognitive decline in early AD (57). Of interest, expression of *VSNL1* messenger RNA and VILIP-1 protein are also reported to be altered in schizophrenia (58,59).

Finally, loci recently identified as associated with risk of AD, including clusterin, phosphatidylinositol binding clathrin assembly protein, complement receptor 1, bridging integrator 1, adenosine triphosphate binding cassette transporter 7, membrane-spanning 4-domains subfamily A, CD2-associated protein, CD33, and ephrin type-A receptor 1 did not show evidence of association with AD + P when compared with AD – P cases and there was no association of AD + P with these loci as a group. Similarly, *APOE/TOMM40* SNPs were not associated with AD + P when compared with AD – P.

### Neuroimaging Studies of Psychosis in Alzheimer's Disease

It should be noted that many imaging studies of psychosis in AD test associations with delusions separately from associations with hallucinations in AD subjects. This contrasts with the majority of clinical and genetic studies summarized above, which analyze associations with psychosis defined by delusions and/or hallucinations. The difference results from the hypothesis in imaging studies that delusions and hallucinations arise from pathology in distinct neural circuits. However, clinical studies indicate that delusions and hallucinations in AD are highly comorbid, and the latter rarely occur in the absence of delusions (60). We have therefore referred to all groups below as AD + P, unless subjects were restricted to those with delusions only or hallucinations only.

#### Computed Tomography and Magnetic Resonance Imaging Studies

A few studies using computed tomography scan have found associations of AD + P with right frontal lobe atrophy and with changes in brain asymmetry (Table S3 in Supplement 1). A number of magnetic resonance imaging studies of gray matter volume have been reported in AD + P, using both region of interest and voxel-based comparisons (61–64). Studies were consistent in demonstrating an association of AD + P with decreased gray matter volumes (Figure 3). Reductions in frontal cortex gray matter were most consistently reported across

studies, although parietal cortex was also affected. One study also reported sex differences in AD + P with only female subjects showing reduced cortical thickness in left orbitofrontal,

superior temporal, and insular regions (64). Magnetic resonance imaging has also been used to evaluate whether white matter hyperintensities associate with AD + P, with inconsistent results (Table S3 in Supplement 1).

#### Single-Photon Emission Computed Tomography Studies

Single-photon emission computed tomography studies have findings most consistent with reduced cerebral perfusion across cortical regions in AD + P subjects in comparison with AD - P (Figure 3). While there is a slight predominance of findings for frontal cortical regions, parietal and temporal cortex are also frequently affected (65–75). Some of the findings need to be interpreted with caution, as the analyses were not corrected for multiple comparisons and also the groups were different in terms of severity and other characteristics across studies. In some studies, differences were noted to depend on sex. For example, one study found male subjects with AD + P to have hyperperfusion in right striatum (69). Female AD + P subjects were found to have right insular hypoperfusion (67).

# [<sup>18</sup>F]-Fluorodeoxyglucose Positron Emission Tomography Studies

Positron emission tomography studies of AD + P have consistently revealed greater hypometabolism in neocortex, particularly in bilateral frontal and prefrontal cortex, than in AD - P subjects (Figure 3) (76–79). Although uncommon, two studies reported at least one region of increased metabolism in AD + P, including in bilateral sensory association areas, raising the possibility of sensory disinhibition in some cases (80,81). The presence of hallucinations in AD has been investigated separately in only one small study and was correlated with lower regional cerebral blood flow in the right parietal cortex (77). Only one positron emission tomography study has used receptor probes to evaluate AD + P. In this study measuring dopamine D2/D3 receptor availability using [<sup>11</sup>C]raclopride, significantly higher striatal D2/D3 receptor availability was found in AD + P compared with AD - Psubjects (82).

#### Neuropathologic Studies of Alzheimer's Disease with Psychosis

#### **Amyloid-Beta Pathology**

Several studies have investigated whether AD + P associates with more severe fibrillar amyloid-beta (A $\beta$ ) pathology, in the form of neuritic plaques, compared with AD - Ppatients (Table 2). Results in earlier studies varied (83–85), possibly due to factors in the design, such as not accounting for the presence of comorbid Lewy body pathology or not correcting for multiple comparisons. In contrast, Sweet *et al.* (86) examined ratings of area densities of neuritic plaques across several brain regions in a well-matched cohort of AD + Pand AD - P subjects, with matching criteria that included Lewy body pathology. No significant associations of AD + P with neuritic plaque severity were found.

Increasingly, studies indicate that soluble forms of A $\beta$  are the primary contributors to synapse impairment in AD (87). Soluble A $\beta$  was also recently assessed in AD + P. Concentrations of soluble A $\beta_{1-40}$  and A $\beta_{1-42}$  were evaluated in cortical gray matter from

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multiple brain regions, in a well-matched cohort of AD + P and AD – P subjects. Soluble  $A\beta_{1-40}$  levels were significantly lower in the AD + P group, without any associated change in concentrations of  $A\beta_{1-42}$ . This selective reduction in  $A\beta_{1-40}$  supported a significant increase in the  $A\beta_{1-42}$ :  $A\beta_{1-40}$  ratio in dorsolateral prefrontal cortex (88). The increase in  $A\beta_{1-42}$ :  $A\beta_{1-40}$  ratio highlights its importance as an indicator of  $A\beta$  toxicity, especially in AD + P where fibrillar A $\beta$  neuropathology findings have been inconsistent.

#### Microtubule Associated Protein Tau Pathology

In contrast to studies of fibrillar A $\beta$ , all but one of the studies to evaluate microtubule associated protein tau (MAPT) pathology have found some evidence of increased indices of pathologic MAPT aggregation in AD + P (Table 2) (84–86,89). In the largest and most comprehensive assessment of neurofibrillary tangles, Farber *et al.* (89) found a significant association between AD + P and increased neurofibrillary tangle area density across neocortical regions but not in medial temporal lobe structures. This association persisted even after accounting for comorbid Lewy body pathology. Similar findings resulted when measuring MAPT concentration in formic acid extracts of cortical gray matter, detected with an antibody directed against paired helical filament epitopes (83). Thus, available evidence supports increased aggregation of MAPT in AD + P. The contribution of MAPT to AD + P was further highlighted in a recent study that found no increased spread of phosphorylated microtubule-associated protein tau but increased concentrations of phosphoMAPT aggregates in dorsolateral prefrontal cortex of AD + P subjects (Figure 4) (90).

#### Synaptic Pathology

As synapse loss is the strongest correlate of cognitive decline in AD and the trajectory of decline is more severe in AD + P, synapse loss may be greater in AD + P. Findings from a magnetic resonance spectroscopy study of postmortem AD + P tissue support greater synaptic loss in AD + P (Table 2). In cortex, N-acetyl-L-aspartate (a marker of neuronal integrity) concentrations were significantly lower in AD + P, and concentrations of the phosphodiester membrane breakdown product, glycerophosphoethanolamine, were significantly elevated in AD + P. Superior temporal gyrus, dorsolateral prefrontal cortex, and inferior parietal cortex were most affected. However, there were no differences in these markers between AD + P and AD – P in the medial temporal lobe (amygdala) and cerebellum (91). This excess synaptic disruption could result either from the increased accumulation of pathology in AD + P (e.g., as described for soluble A $\beta$  and MAPT) or from an enhanced synaptic vulnerability to these pathologic factors due to other molecular changes in AD + P. Indeed, kalirin, a dendritic spine-enriched protein essential for maintenance of dendritic spines in the cortex (92–94), is reduced in AD + P cortex (88).

#### **Neurotransmitter Systems**

A number of neurotransmitter system alterations have been reported in AD + P compared with AD - P. Nucleus accumbens dopamine D3 receptor density is significantly higher in AD + P with no difference in receptor affinity, findings that were independent of neuroleptic use or Lewy body pathology (95). Reduced serotonin (5-HT) in the ventral temporal cortex and prosubiculum (85,96,97), as well as lower adenylate cyclase activity after stimulation of

5-HT<sub>6</sub> receptors (97), have been reported in AD + P. The lower 5-HT levels could be related to lower cell counts in dorsal raphe nucleus in AD + P (98). Alzheimer's disease with psychosis has been associated with an increased ratio of acetylcholinesterase/5-HT. Other cholinergic alterations include higher muscarinic M2 receptor density in orbitofrontal gyrus of AD patients with delusions and in middle temporal gyrus of AD patients with hallucinations (99), while non-M2 binding is reduced in orbitofrontal gyrus (100). Finally, one report identified increased norepinephrine in the substantia nigra in AD + P (85).

#### Comorbid Pathology—Lewy Bodies and Vascular Lesions

The presence of well-formed visual hallucinations is among the criteria for the clinical diagnosis of dementia with Lewy bodies (DLB) and thus may contribute to differentiating between DLB and AD. Recent neuropathologic data using antibodies against alpha-synuclein to detect Lewy bodies (including screening for pathology in amygdala and entorhinal cortex) have found alpha-synuclein aggregation to be present in up to ~50% of cases with neuropathologically confirmed AD (101). In the majority of such cases, i.e., those with limited Lewy body pathology in the presence of both amyloid plaques and moderate to severe neurofibrillary tangles (e.g., Braak stage IV–VI), the clinical syndrome and neuropathologic diagnosis would be conceptualized as primarily due to AD with comorbid Lewy body pathology and not as primarily due to DLB (102).

Current evidence indicates that the presence of comorbid Lewy body pathology in AD may contribute to psychosis, although by no means can the occurrence of psychosis in AD be attributed principally to Lewy body pathology. Visual hallucinations are more frequent in individuals with primary AD plus comorbid Lewy body pathology, although other hallucinations and delusions may not be more frequent (103,104). In fact, psychosis (including delusions and/or auditory and visual hallucinations) is present in 40% to 60% of AD subjects without any Lewy body pathology detectable by stringent screening (104). Nevertheless, Lewy body pathology may contribute in some cases, especially in individuals with neocortical stage Lewy body pathology (103).

As vascular lesions have been implicated in occurrence of late-onset psychosis in the absence of any other known neurodegenerative disease (105), vascular disease could influence the clinical manifestation of illness by supporting a lower threshold for the development of psychotic symptoms. However, a recent examination of clinical and neuroimaging correlates of AD + P did not find increased rates of vascular risk factors or vascular lesions (43). Other pathways such as inflammation or altered cholesterol transport may also contribute to the neurobiology of AD (106) but have not been examined for an association with AD + P.

#### Discussion

This review reveals a number of areas of convergent findings.

#### The Presence of Psychosis in AD Clearly Demarcates a More Severe Phenotype of AD

Subjects who eventually manifest with psychotic symptoms undergo a sustained, more rapid cognitive decline that precedes their psychosis onset. Alzheimer's disease with psychosis

subjects demonstrate greater evidence of cortical synapse loss and impairment than subjects with AD – P, reflected in measures of gray matter volume, regional blood flow, regional glucose metabolism, and postmortem membrane breakdown products.

# Psychosis in AD Is Most Closely Associated with Exaggerated Reductions of Gray Matter Volume, Blood Flow, and Glucose Metabolism in Neocortex Rather than in Medial Temporal Lobe Structures

This is not to say that individuals with AD + P do not have short-term memory impairment and pathologic changes in the entorhinal cortex and hippocampus. Indeed, they share these changes with AD - P subjects. What differentiates AD + P from AD - P, however, is greater impairment across neocortical regions. While no single brain region is solely affected, heteromodal association regions predominate, with few studies implicating sensory cortex. In particular, frontal cortical regions, including dorsolateral prefrontal cortex, appear commonly affected in AD + P.

#### Accumulation of Pathologic MAPT in Neocortical Regions Is Increased in AD + P

Findings across multiple neocortical regions of increased phosphoMAPT, or increased fibrillar MAPT in tangles, has been identified using a variety of technical approaches, indicating a robustness of the association. Whether the increase is causally related to onset of psychosis or is a correlated outcome of an accelerated pathologic process, of course, cannot be distinguished in these studies.

#### The Risk for AD + P Is, in Part, Genetically Mediated

Three independent cohorts have demonstrated evidence for familial aggregation of psychosis in AD, strongly suggesting that at least a portion of the risk is genetic in origin. Although no single genetic variant has yet to be unequivocally demonstrated as contributing to this risk, there is an emerging picture of the genetic architecture. Psychosis in AD does not appear to arise in conjunction with genetic variants that increase the risk for AD itself. Instead, the most likely genetic (and neurobiological) model is one in which genetic variants for AD + P independently modify the progression of the cascade of pathology induced by AD genes (Figure 2A1).

#### **Moving Forward**

These points of consistency in this emerging field of study suggest that the neurobiology of psychosis in AD is poised for translational discovery. Importantly, there is the opportunity to inform and accelerate this process by leveraging the findings emerging from the study of the neurobiology of AD itself and of idiopathic psychosis (schizophrenia). Achieving this goal will require extension and innovation within the current approaches of genetic, imaging, and postmortem studies. Additional development of model systems and biomarkers will also be needed.

There is a need to further characterize intermediate phenotypes, which will provide a key link for bridging from animal models to human brain pathology and from pathology to the pathophysiologic changes manifesting as symptoms. Additional structural and functional

brain imaging are clearly among these options but could be extended by the conduct of multimodal imaging within subjects and by the incorporation of additional measures such as amyloid (or when available MAPT) imaging. Such studies should also give consideration to inclusion of cerebrospinal fluid biomarkers, in particular measures of phosphoMAPT and of VILIP-1. Importantly, there is a current lack of longitudinal imaging studies that could shed more light on the temporal relationship between functional and structural changes and psychosis onset.

More consideration of longitudinal course also is needed in future postmortem studies of AD + P. The vast majority of individuals studied to date have had end stage AD neuropathology, with extensive neurofibrillary tangles throughout the neo-cortex (i.e., largely Braak stage VI). However, clinical studies clearly indicate that the most rapid increase in rates of psychosis occurs during the transition from mild cognitive symptoms to early and middle stages of cognitive impairment (28,60), corresponding roughly to Braak stages III to V (107,108). Studies of tissue from individuals in earlier pathologic stages are clearly needed before findings can be interpreted as having a potential causal role in psychosis. In addition, greater emphasis must be given to identifying postmortem findings that are selective for psychosis in AD and not just exaggerated in AD + P relative to AD – P. That is, relevant discoveries for mechanisms of psychosis in AD should involve molecular or structural pathologies that are present in AD + P relative to AD – P and to normal control subjects and not present in AD – P when compared with control subjects.

Molecular discovery could be enhanced by expanding the cohorts of families and unrelated individuals available for further genetic discovery in AD + P by incorporating rigorous behavioral phenotyping into the many such collections of AD subjects. Evaluating AD + P using innovative genomic approaches including genome-wide association, assessment of copy number variations (which has been fruitful in schizophrenia), detection of rare alleles, and integrated genomic/transcriptomic strategies should be pursued. It will be essential to complement any molecular discovery from genomics with examination of the same molecules in postmortem samples of AD + P subjects and with examination of genetic animal models. For example, a potentially useful strategy would be to cross a mouse model of a knockout for a gene implicated in AD + P with one of several current animal models of AD and then assess modification of the AD pathology by the psychosis-associated gene. This could facilitate discovery of intermediate phenotypes that could then be forward translated for testing in humans.

### Supplementary Material

Refer to Web version on PubMed Central for supplementary material.

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#### Figure 1.

Cognitive trajectory in individuals with incident Alzheimer's disease with and without psychosis. Observed and quadratic fit lines of Modified Mini-Mental Status Exam test scores in elderly individuals characterized by the Cardiovascular Health Study as without cognitive disorder at study baseline. Groups did not differ at baseline, but the more rapid decline in the group who developed Alzheimer's disease with psychosis is readily apparent. [Reproduced with permission from Emanuel *et al.* (33)].



#### Figure 2.

Genetic model of Alzheimer's disease (AD) with psychosis (AD + P). In a heterogeneity model (**B**), genetic variants would increase the risk for a type of AD with more rapid cognitive decline and psychosis. This might occur, for example, by altering clearance of beta-amyloid directly affecting the early neurodegenerative process. Current genetic data do not support this model in AD + P (see text). In the alternative disease modifying model (**A1**), genetic variants that increase risk for AD + P do not themselves cause AD but lead to vulnerability, e.g., by accelerating the deleterious effects of beta-amyloid or microtubule associated protein tau on its downstream synaptic targets. Some of these variants may be shared with other psychoses, e.g., conferring synaptic vulnerability during other neurodegenerative diseases or during adolescent development in schizophrenia (**A2, A3**).

|                         |       |     |     |     |     |     | Br  | ain Re | gion |     |     |     |     |     |     |
|-------------------------|-------|-----|-----|-----|-----|-----|-----|--------|------|-----|-----|-----|-----|-----|-----|
| Modality                | FRC   | OFC | MFC | PFC | CG  | SPC | IPC | STG    | MTG  | ITG | MTL | occ | CLM | INS | HIP |
| MRI                     |       |     |     |     | · · |     |     |        |      |     |     |     |     |     |     |
| Whithead 2012 (56)      |       | Ļ   |     |     |     |     |     | Ļ      |      |     |     |     |     | Ļ   |     |
| Serra 2010<br>(55)      |       |     |     |     |     |     |     |        |      |     |     |     |     |     | Ļ   |
| Bruen 2008<br>(53)      | Ļ     |     | Ļ   |     |     |     | Ļ   |        |      |     |     |     | Ļ   |     |     |
| SPECT                   |       |     |     |     |     |     |     |        |      |     |     |     |     |     |     |
| Nomura<br>2012 (63)     | 1     |     | Î   |     | Î   |     |     |        |      | L   |     |     |     | 1   |     |
| Matsuoka<br>2012 (59)   |       |     |     |     |     |     |     |        |      |     |     |     |     | Ļ   |     |
| Moran 2008<br>(61)      |       |     |     | Ţ   |     |     |     |        |      | Ļ   |     |     |     |     |     |
| Nakano<br>2006 (62)     |       |     |     | 1 I | 1   | L L | Ļ   |        | 1    | Ļ   |     |     |     |     |     |
| Fukuhara<br>2005 (57)   |       |     |     |     |     | 1   |     |        |      |     |     |     |     |     |     |
| Mega 2000<br>(60)       |       |     |     | Ļ   | Ļ   | Ļ   |     |        |      |     |     |     |     |     |     |
| Staff<br>2000 (66)      | ↓<br> |     |     |     |     |     |     |        |      |     |     |     |     |     |     |
| Staff<br>1999 (65)      |       |     |     |     |     |     |     |        |      |     | 1   |     |     |     |     |
| Ponton 1995<br>(64)     |       |     |     |     |     |     |     | Ļ      |      | , t |     |     |     |     |     |
| Starkstein<br>1994 (67) |       |     |     |     |     |     |     |        |      | Ļ   |     |     |     |     |     |
| Kotrla 1995<br>(58)     | Ļ     |     |     |     |     |     | Ļ   |        |      |     |     |     |     |     |     |
| PET                     |       |     |     |     |     |     |     |        |      |     |     |     |     |     |     |
| (30) Koppel 2013        |       | Ļ   |     |     |     |     |     |        |      |     |     |     |     |     |     |
| Sultzer 2003<br>(71)    | Ļţ    | Ļ   |     | 1 I |     |     |     |        |      |     |     |     |     |     |     |
| Lopez 2001<br>(69)      |       |     |     | Ļ   |     | 1   | 1   |        |      |     | Ļ   |     |     |     |     |
| Hirono<br>1998 (72)     |       |     |     |     |     |     |     |        |      | ↑   |     | Ļ   |     |     |     |
| Mentis<br>1995 (73)     |       | Ļ   |     |     | Ļ   |     |     | 1      |      |     | Ļ   |     |     |     |     |
| Sultzer,<br>1995 (70)   | Ļ     |     |     |     |     |     |     |        |      |     |     |     |     |     |     |
| Grady<br>1990 (68)      |       |     |     |     |     | ţ   |     |        |      |     |     |     |     | Ļ   |     |

#### Figure 3.

Summary of findings from neuroimaging studies of Alzheimer's disease with psychosis. ↓, decreased volume or activity; ↑, increased volume or activity; green, left; red, right; yellow, bilateral; CG, cingulate gyrus; CLM, claustrum; FRC, frontal cortex; HIP, hippocampus; INS, insula; IPC, inferior parietal cortex; ITG, inferior temporal gyrus; MFC, medial frontal cortex; MTG, middle temporal gyrus; MTL, medial temporal cortex; MRI, magnetic resonance imaging; OCC, occipital cortex; OFC, orbitofrontal cortex; PET, positron emission tomography; PFC, prefrontal cortex; SPC, superior parietal cortex; SPECT, single-photon emission computed tomography; STG, superior temporal gyrus.



#### Figure 4.

Representative heat map images highlighting the difference in immunoreactivity of phosphorylated microtubule-associated protein tau (AT8 antibody) in the dorsolateral prefrontal cortex, between Alzheimer's disease with psychosis (AD + P) and Alzheimer's disease without psychosis (AD – P) subjects at lower (IV) and higher (VI) Braak stages. Blue and red colors represent lower and higher immunofluorescence intensities, respectively. Images captured at  $60 \times$  magnification.

| NP                      | Chr     | MB    | MAF | <b>Closest RefSeq Gene</b> | $GWAS_p$ | OR   |
|-------------------------|---------|-------|-----|----------------------------|----------|------|
| AD + P vs. AD -         | Ч       |       |     |                            |          |      |
| rs753129                | 4       | 56.4  | .24 | AC110611.1                 | 2.85E-07 | .66  |
| rs2969775               | 7       | 47.7  | .37 | AC079250.1                 | 2.11E-06 | .68  |
| rs257016                | S       | 123.2 | .36 | AC008541.1                 | 4.06E-06 | .70  |
| rs6509701               | 19      | 58.1  | .30 | ZNF320                     | 5.41E-06 | .71  |
| rs16922670 <sup>a</sup> | 6       | 105.1 | .14 | RP11-341A22.2 <sup>a</sup> | 7.22E-06 | 1.63 |
| rs17716202              | S       | 55.9  | .06 | AC022431.2                 | 7.70E-06 | .45  |
| rs3764640 <sup>a</sup>  | 19      | 1.2   | .21 | STK11 <sup>a</sup>         | 7.88E-06 | .68  |
| rs11252926 <sup>a</sup> | 10      | 0.6   | .36 | DIP2C <sup>a</sup>         | 8.08E-06 | .72  |
| AD + P vs. Contr        | ol Subj | ects  |     |                            |          |      |
| rs6834555               | 4       | 9.7   | .21 | SLC2A9                     | 3.06E-07 | 1.39 |
| rs4038131 <sup>a</sup>  | 7       | 17.6  | .07 | NSNL1 <sup>a</sup>         | 5.90E-07 | .64  |
| rs16970672              | 17      | 73.5  | .29 | AC015804.1                 | 1.67E-06 | 1.29 |
| rs9811423 <i>a</i>      | 3       | 114.3 | .47 | RP11-572M11.4 <sup>a</sup> | 4.18E-06 | 1.28 |
| rs733175 <sup>a</sup>   | 4       | 9.7   | .18 | SLC2A9 <sup>a</sup>        | 4.97E-06 | 1.36 |
| rs4360367               | 6       | 31.6  | 60. | RP11-402B2.1               | 5.90E-06 | .68  |
| rs4746003               | 10      | 71.2  | .25 | RP11-242G20.2              | 5.95E-06 | 1.29 |
| rs9789748 <sup>a</sup>  | 7       | 17.7  | .07 | NSNL1 <sup>a</sup>         | 7.39E-06 | 1.50 |
| rs1464108               | 12      | 129.6 | .32 | RIMBP2                     | 8.19E-06 | 1.27 |

Results for all loci at  $p < 1 \times 10^{-5}$  are shown. For AD + P versus AD - P, 1740 loci had  $p < 1 \times 10^{-4}$ . For AD + P versus control subjects, 1628 loci had  $p < 1 \times 10^{-4}$ .

AD + P, Alzheimer's disease with psychosis; AD - P: Alzheimer's disease without psychosis; Chr, chromosome; GWAS, genome-wide association study; MAF, minor allele frequency; MB, megabase; OR, odds ratio; RefSeq, reference sequence; SNP, single nucleotide polymorphism.

<sup>a</sup>Intragenic SNPs.

| Author, Date                                      | Focus                             | <i>n</i> , Mean Age at Death (Years)  | Psychosis Defined As  | Method   | Regions Examined  | Findings   |
|---|-----------------------------------|---|---|--|---|--|
| Zubenko <i>et al.</i><br>1991 (85)                | Senile plaques, NFTs,<br>NA, 5-HT | 13 AD + P, 68.8 ± 7.6<br>14 AD − P, 75.1 ± 7.2  | Presence of delusions or<br>hallucinations  | Bielschowsky, Congo red,<br>and Masson trichrome stain | Middle frontal, superior<br>temporal, and entorhinal<br>cortices, presubiculum  | Higher senile plaque density<br>in prosubiculum of AD + $P^{ad}$<br>Higher NFT density in middle<br>frontal cortex of AD + $P^{ad}.b$<br>Higher NE in substantia nigra<br>of AD + $P^{ad}$<br>Lower 5-HT in Prosubiculum<br>of AD + $P^{ad}$ |
| Förstl <i>et al.</i> 1993<br>(84)                 | Senile plaques                    | 3 AD + P, 69.3 ± 6.7<br>5 AD - P, 75.6 ± 4.8  | Presence of delusions or hallucinations   | Glees and Marsland silver<br>impregnation              | Frontal and temporal lobes,<br>hippocampus, basal nucleus<br>of Meynert, midbrain, pons   | None <sup>c</sup>  |
| Förstl <i>et al.</i> 1994<br>(98)                 | 5-HT                              | 22 AD + P<br>34 AD - P<br>Age not differentiated<br>by diagnosis, $75.4 \pm 7.4$<br>overall | Auditory hallucinations,<br>paranoid delusions,<br>misidentification<br>delusions | Luxol-fast blue/cresyl violet                          | Basal nucleus of Meynert,<br>parahippocampal gyrus,<br>hippocampus, substantia<br>nigra, locus coeruleus,<br>dorsal raphe nucleus   | Higher cell counts in the parahippocampal gyrus, lower cell counts in dorsal raphe in AD + P <sup>C</sup>  |
| Mukaetova-<br>Ladinska <i>et al.</i><br>1995 (83) | Neuritic plaques, PHF tau         | 18 AD (ages not<br>specified)   | Not specified   | ELISA  | Frontal, temporal, parietal,<br>occipital, hippocampal,<br>entorhinal cortices  | Higher area densities of<br>neuritic plaques associated<br>with AD + $P^{C}$<br>Higher mean PHF tau<br>concentration across regions<br>in AD + $P^{C}$   |
| Farber <i>et al.</i> 2000<br>(89)                 | NFT, Senile plaques               | 69 AD + P, 80.9 ± 8.2<br>40 AD − P, 84.6 ± 8.2  | Presence of delusions or<br>hallucinations  | Bielschowsky   | Middle frontal and superior<br>temporal gyri, inferior<br>parietal lobule,<br>hippocampus, entorhinal<br>cortex   | Higher NFT area density in<br>neocortex, but not medial<br>temporal lobe, in AD + P<br>No difference in plaque area<br>density   |
| Sweet <i>et al.</i> 2000<br>(86)                  | Neuritic plaques, NFTs            | 24 AD + P, 77.0 ± 9.2<br>25 AD - P, 80.5 ± 7.8  | Presence of delusions or<br>hallucinations  | Bielschowsky, anti-βA4,<br>Congo red                   | Cingulate gyrus, caudate<br>nucleus, basal ganglia,<br>thalamus, hippocampus,<br>cerebellum, dentate nucleus,<br>midrain, pons, medulla,<br>spinal cord, inferior parietal<br>lobule, superior and middle<br>temporal cortex, nucleus<br>visual cortex, nucleus<br>basalis, amygdala, and<br>transentorhinal cortex | None   |
| Lai <i>et al.</i> 2001<br>(99)                    | ACh                               | 7 AD + P<br>19 AD - P   | Anxiety, persecutory<br>ideation, visual/auditory<br>hallucinations               | Radioligand binding                                    | Orbitofrontal gyrus (BA 11)<br>and midtemporal gyrus (BA<br>21)   | Higher M2 receptor density in<br>BA 11 and BA 21   |

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Table 2

Neuropathologic Studies of Alzheimer's Disease with Psychosis

| Author, Date                                | Focus   | (Years)  | Psychosis Defined As  | Method  | Regions Examined   | Findings  |
|---|---|--|---|---|--|---|
|   |   | Age not differentiated by<br>Age not differentiated by<br>Age not differentiated by    | diagnosis, $81 \pm 1$ overall<br>diagnosis, $81 \pm 1$ overall<br>diagnosis, $81 \pm 1$ overall |   |  |   |
| Sweet <i>et al.</i> 2001 (95)               | DA  | 5 AD + P, 74.4 ± 11.9<br>8 AD - P, 85.6 ± 4.5  | Presence of delusions or hallucinations   | Radioligand binding   | Nucleus accumbens, head of caudate nucleus   | Higher striatal D3 receptor<br>density in AD + P  |
| Sweet <i>et al.</i> 2002<br>(91)            | Synapses, GABA  | 12 AD + P, 77.2 ± 8.0<br>16 AD - P, 80.5 ± 7.2   | Presence of delusions or<br>hallucinations  | MRS   | Amygdala, DLPFC,<br>superior temporal, inferior<br>parietal, occipital,<br>cerebellum                | Higher GPE in the DLPFC,<br>inferior parietal, occipital;<br>higher GPC in the DLPFC,<br>superior temporal, occipital;<br>lower NAA in the DLPFC,<br>superior temporal, inferior<br>parietal. Lower NAA,<br>increased GPE in cortex (not<br>medial temporal).<br>Lower mean GABA<br>concentration across all<br>regions examined in AD + P. |
| Garcia-Alloza et<br>al. 2005 (96)           | 5-НТ  | 22 AD, Age not differentiated by diagnosis, $81.06 \pm 1.6$ overall                    | Hallucinations,<br>persecutory ideas,<br>inappropriate anxiety                                  | HPLC  | Frontal lobe (BA 10) and<br>temporal lobe (BA 20)  | Lower 5-HT in BA 20 of AD<br>+ P <sup>d</sup>   |
| Marcos <i>et al.</i><br>2008 (97)           | 5-HT  | 22 AD, Age not differentiated by diagnosis, $81.06 \pm 1.6$ years overall              | Hallucinations,<br>persecutory ideas,<br>inappropriate anxiety                                  | HPLC  | Temporal lobe (BA 20)  | Lower 5-HT in BA 20,<br>reduced adenylate cyclase<br>activity after 5-HT6<br>stimulation <sup>d</sup>   |
| Tsang <i>et al.</i> 2008<br>(100)           | ACh   | 7 AD + P<br>14 AD - P<br>Age not differentiated<br>by diagnosis, $83 \pm 2$<br>overall | Anxiety, persecutory<br>ideation, visual/auditory<br>hallucinations                             | Radioligand binding   | Orbitofrontal cortex (BA<br>11)  | Reduced non-M2 binding in<br>BA 11  |
| Murray <i>et al.</i><br>2012 (88)           | Soluble Aβ  | 30 AD + P, 80.7 ± 7.9<br>22 AD - P, 80.6 ± 8.7   | Presence of delusions or<br>hallucinations  | Aβ <sub>1-42</sub> and Aβ <sub>1-40</sub> ELISA<br>Kalirin Western blot | Superior frontal gyrus,<br>inferior parietal cortex,<br>superior temporal gyrus,<br>occipital cortex | Lower soluble cortical<br>Aβ <sub>1-40</sub> , but not Aβ <sub>1-42</sub> , in AD<br>+ P<br>Kal-7, -9, and -12 are lower<br>in AD + P DLPFC, but not<br>Kal-5   |
| Murray <i>et al.</i><br>2013 (90)           | PhosphoMAPT   | 26 AD + P, 81.4 $\pm$ 8.1<br>19 AD - P, 80.4 $\pm$ 8.9                                 | Presence of delusions or hallucinations   | IHC   | Middle frontal gyrus   | Elevated cortical phosphoMAPT concentration   |
| Aβ, amyloid beta; A<br>without psychosis; a | β1_40, amyloid beta 1–40; ⁄<br>nti-βA4, anti-beta amyloid A | Aβ1_42, amyloid beta 1_42<br>(4 peptide antibody; BA, Br                               | ; Ach, acetylcholine; AD, Alz<br>odmann area; DA, dopamine;                                     | heimer's disease; AD + P, Alzhei<br>D3, dopamine subtype 3; DLFPC       | mer's disease with psychosis; Al<br>, dorsolateral prefrontal cortex; J                              | D – P, Alzheimer's disease<br>ELISA, enzyme-linked  |

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liquid chromatography; IHC, immunohistochemistry; Kal-5, -7, -9, -12, kalirin isoforms; M2, nuscarinic subtype 2; MRS, magnetic resonance spectroscopy; NA, noradrenalin; NAA, N-acetyl-L-aspartate; NE, norepinephrine; NFT, neurofibrillary tangle; PHF, paired helical filament; phosphoMAPT, phosphorylated microtubule-associated protein tau. immunosorbent assay; 5-HT, serotonin; 5-HT6, serotonin receptor subtype 6; GABA, gamma-aminobutyric acid; GPC, glycerophosphocholine; GPE, glycerophosphochthanolamine; HPLC, high pressure

 $^{a}$ Did not control for or specify exclusion of Lewy body pathology.

n, Mean Age at Death

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b Does not persist after correction for multiple comparison.  $^{c}\mathrm{Specified}$  Lewy body cases, but did not stratify in analyses.

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