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# Increased Fear-Potentiated Startle in Major Depressive Disorder Patients with Lifetime History of Suicide Attempt

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## Abstract

**Background**—Suicide is a common reason for psychiatric emergency and morbidity, with few effective treatments. Anxiety symptoms have emerged as potential modifiable risk factors in the time before a suicide attempt, but few studies have been conducted using laboratory measures of fear and anxiety. We operationally defined fear and anxiety as the increased in startle reactivity during anticipation of predictable (fear-potentiated startle) and unpredictable (anxiety-potentiated startle) shock. We hypothesized that a lifetime history of suicide attempt (as compared to history of no suicide attempt) would be associated with increased fear-potentiated startle.

**Methods**—A post-hoc analysis of fear- and anxiety-potentiated startle was conducted in 28 medication-free patients with Major Depressive Disorder (MDD) divided according to suicide attempt history.

**Results**—The magnitude of fear-potentiated startle was increased in depressed patients with lifetime suicide attempts compared to those without a lifetime history of suicide attempt (F(1,26) = 5.629, p = .025). There was no difference in anxiety-potentiated startle by suicide attempt history.

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Contributors:

EB conceptualized the study design, ran statistical analyses, interpreted the results, drafted and edited the manuscript.

DI conceptualized the study design, interpreted the results and edited the manuscript.

JV conceptualized the study design, interpreted the results and edited the manuscript.

ES assisted in the interpretation of the results and editing the manuscript.

JF-C consented and screened participants and assisted in editing the manuscript.

CZ conceptualized the study design and edited the manuscript.

CG developed the study paradigm, conceptualized the study design, interpreted the results and edited the manuscript.

All authors contributed to and have approved the final manuscript.

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**Limitations**—This is a post-hoc analysis of previously analyzed patient data from a study of depressed inpatients. Further replication of the finding with a larger patient sample is indicated.

**Conclusions**—Increased fear-potentiated startle in suicide attempters suggests the role of amygdala in depressed patients with a suicide attempt history. Findings highlight the importance of anxiety symptoms in the treatment of patients at increased suicide risk.

#### Keywords

Suicide; major depressive disorder; fear-potentiated startle

#### Introduction

Suicidal behavior is a leading cause of death and morbidity (Centers for Disease Control and Prevention, 2013) and there are few, if any, effective treatments for patients at risk. Anxiety has emerged as a potential modifiable risk factor for later suicidal behavior (Fawcett et al., 1990, Hawton et al., 2013, Sareen et al., 2005). In a nationally representative sample, anxiety disorders, such as post-traumatic stress disorder (PTSD), were significantly associated with the transition from suicidal thoughts to suicide attempt, an association which was not found for depression(Nock et al., 2010). Anxiety sensitivity, meaning the fear of the physical, social or cognitive consequences of anxiety, is a demonstrated risk factor for suicide attempts in the context of depression (Capron et al., 2013); cognitive behavioral treatment for anxiety sensitivity has been associated with reduced suicidal thoughts and behavior (Capron et al., 2014).

While anxiety is an important symptom and treatment target for suicidal behavior, most of the published research has assessed anxiety through the use of self-report measures or clinical diagnosis of an anxiety disorder. The use of paradigms that assess changes in fear and anxiety for suicide research is relatively rare and primarily measure aversive reactivity to minor threats. For example, one study of affectively modulated startle reflex (to suicide-related, positive and negative visual stimuli) found no differences between depressed controls, ideators, and attempters (Smith et al., 2010). Another found no difference on acoustic startle reflex between depressed suicide attempters and healthy controls (Quednow et al., 2006). To our knowledge, there has been no investigation of an anxiety- or fear-related paradigm with the potential of *actual* threat in the context of suicidal thoughts and behavior.

Another concern in studying anxiety is the heterogeneity of aversive responses to threat. As an example, *fear* can be considered a brief response in anticipation to a proximal threat. In contrast, *anxiety* is considered to be a more sustained response to unpredictable stress. Fear and anxiety have been shown to have different neural correlates, with fear mediated by the amygdala and anxiety mediated by the bed nucleus of the stria terminalis (BNST) (Davis et al., 2010). Fear and anxiety have been investigated empirically by measuring startle reactivity during the threat of predictable and unpredictable shock, respectively (Schmitz and Grillon, 2012). In this paradigm, fear and anxiety are operationally defined as the increase in startle magnitude from a safe condition to periods of predictable (i.e., fear-potentiated startle) and unpredictable (i.e., anxiety-potentiated startle) shock anticipation,

respectively. This paradigm has been used as a marker of post-traumatic stress disorder (PTSD) and panic disorder(Grillon et al., 2009, Grillon et al., 2008) and has demonstrated anxious anticipation in patients with MDD, (Grillon et al., 2013), but has never been used in the study of suicide risk.

We reanalyzed data from a previous investigation in patients with Major Depressive Disorder (MDD) (Grillon et al., 2013) to examine the extent to which suicide influenced fear- and anxiety-potentiated startle. Lifetime history of suicide attempt was used as a within-subject factor, as previous attempt is a significant suicide risk factor (Suominen et al., 2004) and anxiety symptoms may be particularly associated with suicidal behavior in patients with depression. We hypothesized that there would be increased fear-potentiated startle in MDD patients with a history of suicide attempts, due to the clinical findings of amygdala pathology in suicidal individuals (Anisman et al., 2008, Hrdina et al., 1993, Maheu et al., 2013) as well as the incidence of negative stressful events in the time immediately before many suicide attempts (Bagge et al., 2013, Cooper et al., 2002). Preliminary findings will have implications for neurological and clinical treatment targets in patients at risk for suicide.

#### Methods

#### **Participants**

Following written informed consent, 28 adult participants between the ages of 18–55 with MDD were enrolled into the protocol, as approved by the Combined Neuroscience Institutional Review Board (CNS-IRB) of the National Institutes of Health (NIH) in accordance with the Declaration of Helsinki. All participants were screened through the Experimental Therapeutics and Pathophysiology Branch (ETPB) of the National Institute of Mental Health (NIMH) Bethesda, Maryland, USA for participation in treatment protocols. Diagnoses were assessed by psychiatrists through clinical interview and confirmed with the Structured Clinical Interview for DSM-IV Diagnoses (SCID) (First, 1997), and all participants had a current, primary diagnosis of MDD without psychotic features, lasting at least 4 weeks in duration. Suicide attempt histories were gathered via clinical interview with participants.

All participants were deemed to be in good physical health following an extensive medical history, physical examination, hematologic laboratory evaluation, electrocardiogram, urinalysis, and toxicology screening. Exclusion criteria included patients with a comorbid substance abuse or dependence disorder (excluding caffeine or nicotine) in the 3 months prior to screening, positive urine toxicology screen, history of antidepressant- or substance-induced hypomania or mania, serious unstable medical disorders or conditions, or concomitant treatment with psychotropic medications or electroconvulsive therapy in the 2 weeks prior to the experiment. Females could not be pregnant or nursing.

#### Study Design, Stimuli, and Physiologic Responses

A previously published methodological report details the threat of shock paradigm (Schmitz and Grillon, 2012). This paradigm has successfully been used in several articles examining

startle potentiation in anxious (Grillon et al., 2006, Grillon et al., 2009) and depressed (Grillon et al., 2013) participants while anticipating shocks. Briefly, participants were exposed to three conditions: no shock (N), predictable shock (P), and unpredictable shock (U), or, the NPU-threat test. Startle reactivity was measured with an electromyograph (EMG) throughout the experiment via eyeblink electrodes that were superficially placed on the skin below the left eye; EMG data was then digitized (1000 Hz) and amplified (bandwidth 30–500 Hz). Initially, participants were habituated to the startle response by receiving a total of nine acoustic startle stimuli every 18–23 seconds via headphones. All acoustic startle stimuli were white noise sounds (40-ms duration, 103-dB). Superficial shock electrodes were then unilaterally attached medially to the supine wrist. A shock work up was initiated to set the intensity of shock to a mildly painful level. Stimulation and recording were controlled by a commercial system (Contact Precision Instruments, London, England).

Explicit instructions were then given to participants for the NPU-threat test conditions. A total of three conditions lasting 150-second in duration were administered (Figure 1 for schematic representation). During each condition, an 8-second cue was presented four times on a computer monitor facing the participants. A green circle cue represented the N condition, a red square for P, and a blue triangle for U. Depending on the condition being tested, the following written instructions were continuously displayed on the computer screen: "no shock (N)," "shock only during shape (P)," or "shock at any time (U)." Therefore, the cues signaled the possibility of receiving a shock only in the P condition but the cues had no signal value in the N and U conditions. Each participant was exposed to two blocks of three N, two P, and two U in either the order of P-N-U-N-U-N-P or U-N-P-N-U. Participants received a total of eight shocks during the session: two in each of the P and U conditions. When delivered in the P conditions, shocks were at the end of the cue; in the U conditions, they were in the absence of the cue.

At the beginning of each block, four acoustic habituation startle stimuli were delivered. During each of the seven individual conditions (N, P, or U), a total of six acoustic startle stimuli were delivered; three during cue-free periods (known as the inter-trial intervals, or ITI) and one during three of the four total cues, 5–7 seconds following cue onset. The mean inter-startle interval was 21 seconds (ranging from 18–25 seconds). All acoustic startle stimuli were given at least 8 seconds after an aversive shock stimulus in order to avoid potential short-term sensitization of startle. Following each block, participants subjectively rated their anxiety level in the presence and absence of the cue in each condition (N, P, U) on an analog scale ranging from 0 (no anxiety) to 10 (extreme anxiety).

On the morning of experimentation, participants completed a packet of questionnaires, including the Beck Depression Inventory (Beck and Beamesderfer, 1974). In addition, all participants completed the clinician-administered Montgomery-Asberg Depression Rating Scale (Montgomery and Asberg, 1979), with a required score of 20 to quantify current depression status.

#### **Data Analysis**

Overall differences in demographics and clinical characteristics between suicide attempters and non-attempters were evaluated using univariate analyses. Startle magnitude was

analyzed using within-subject T-Scores via a Group (non-attempters vs. attempters) X Condition (N, P, U) X Stimulus Type (Cue, ITI) ANOVA. In interactions involving more than two levels, Greenhouse-Geisser corrections were used. A similar 3-way ANOVA was also conducted for retrospective anxiety ratings collected during the study. As the aim of this analysis was to evaluate fear and anxiety-potentiated startle in individuals who made suicide attempts, any significant group interactions were investigated using group contrasts. *Fearpotentiated startle* was calculated as the difference in startle magnitude during the Cue minus ITI in the Predictable (P) condition. *Anxiety-potentiated startle* was calculated as the difference in ITI startle between the Unpredictable condition (U) and the No Shock (N) condition. As number of suicide attempts may represent another signifier of suicide risk, this variable was compared to measures of fear and anxiety via correlational analysis. Spearman correlations were used due to nonparametric distribution of suicide attempts in the sample. Significance was measured at the .05 alpha level and all analyses were conducted using SPSS 21.

#### Results

Sample characteristics are presented in Table 1. There were no significant demographic or clinical differences between suicide attempters and non-attempters, including a measure of *current* suicidal ideation from the BDI.

On three-way ANOVA analysis, there was a significant Group X Condition X Stimulus Type interaction, F(2,52) = 4.86, p = .016. Startle magnitude across these conditions is presented in Figure 2. Follow-up analyses separately examined fear-potentiated startle and anxiety-potentiated startle. Fear-potentiated startle was significantly larger in suicide attempters compared to non-attempters, F(1,26) = 5.629, p = .025. Anxiety-potentiated startle did not differ significantly between the two groups (p>.20).

A similar Group X Condition X Stimulus Type ANOVA was calculated for subjective anxiety ratings. There was a significant Group X Condition interaction F(2,52) = 4.22, p = . 029, but the three-way interaction was not significant (p > .20). Subjective anxiety increased from the No Shock condition to the Unpredictable condition and this difference was larger in patients with a lifetime history of suicide attempt, t(26) = -2.66, p = .013. Additionally, subjective anxiety increased from the No Shock to the Predictable condition but this difference was not significantly larger in patients with a lifetime history of suicide attempt (p > .15).

In correlational analyses of fear- and anxiety-potentiated startle magnitude, there was a significant correlation between number of lifetime suicide attempts and fear-potentiated startle (r = .43, p = .021), but no significant correlation with anxiety-potentiated startle (r = .23, p = .241).

#### Discussion

In this preliminary post-hoc analysis, we found that a lifetime history of suicide attempt was associated with increased fear-potentiated startle to predictable shock. There was no significant difference between the two groups for anxiety-potentiated startle to unpredictable

shock. Analysis of retrospective subjective anxiety ratings revealed that patients with lifetime suicide attempts reported more anxiety during the unpredictable condition than patients without a suicide attempt and patients with suicide attempts also reported more fear, although this trend did not reach significance, likely due to the small sample size. The results highlight the presence of laboratory-induced fear in patients at risk for suicide, which may be more generalizable to real-life stressful events than less aversive stimuli such as images or loud sounds (Grillon et al., 2013).

This analysis is the first known study of a fear- or anxiety-related paradigm in the context of actual threat in evaluating MDD patients with a suicide attempt history. As fear-potentiated startle has been shown to be mediated by the amygdala, these findings implicate the amygdala in patients at risk for suicidal behavior. In support of a neurobiological underpinning to suicide, several previous studies have found physiological differences in the amygdala from those who have attempted or died by suicide compared to people who have not. For example, the expression of serotonin receptors in the amygdala has varied between those who have died by suicide and healthy controls (Anisman et al., 2008, Hrdina et al., 1993). The expression of proteins responsible for roles in neuroplasticity (doublecortin and brain-derived neurotropic factor) was shown to differ in the amygdala of depressed individuals who did and did not die by suicide (Maheu et al., 2013). Additionally, gene and protein expression of FKBP5 and glucocorticoid receptors, which have been implicated in depression and anxiety, were significantly reduced in the amygdala of suicide victims compared to controls (Perez-Ortiz et al., 2013). The results of our study, in combination with these previous studies, provide support for the potential role of altered amygdala activity being associated with suicide. With a better understanding of the association between amygdalar function and suicide, future treatments may be able to target the amygdala and its processes for suicide prevention.

Findings concerning anxiety and fear in individuals who have attempted suicide may be considered counter-intuitive in light of current psychological theory. In his Interpersonal Theory of Suicide, Joiner has posited that the capability to attempt suicide is acquired, suggesting individuals with multiple suicide attempts, painful experiences and trauma develop a type of "fearlessness" or habituation to the fear and pain involved in a suicide attempt (Joiner, 2005). This theory has been supported by reduced reported pain perception and fear in individuals with a suicide attempt history (Franklin et al., 2011, Smith et al., 2010). In contrast, these laboratory results using a mildly painful stimulus found that individuals with a suicide attempt reported more subjective anxiety in the unpredictable shock condition than depressed individuals without a suicide attempt. These findings may indicate, as others have suggested (Smith et al., 2010), that the Interpersonal Theory may be more relevant to self-report than physiological measures. Further work may be indicated to compare fear ratings and startle responses in individuals with a suicide attempt history across a range of circumstances and conditions to fully evaluate this theory.

Limitations of this study include a post hoc analysis of a small patient sample. This study was not designed to evaluate differences in fear- or anxiety-potentiated startle by history of suicide attempt and was limited to patients with MDD. Prospective recruitment of patients with lifetime suicide attempts across several mood or anxiety disorder diagnoses may be

indicated to replicate this finding. Second, the assessment of lifetime suicide attempts was conducted via clinician interview as part of inpatient psychiatric assessment and SCID. Further studies would benefit from including systematic assessment of suicide attempts, such as the Columbia Suicide Severity Rating Scale (Posner et al., 2011). Third, results for startle and for the subjective ratings did not fully converge, a finding that is consistent with the literature (Grillon et al., 2006, Grillon et al., 2009). These two measures may capture different components of fear and anxiety (e.g., cortical vs. subcortical). In addition, startle is an online measure whereas the subjective ratings were taken retrospectively. Lastly, this analysis was of lifetime history of suicide attempt and findings cannot be inferred to predict future suicidal behavior. However, this study represents a key first step in replicating self-report findings with laboratory measures of fear and anxiety in the study of suicide.

Ultimately, the discovery of relevant biomarkers may facilitate the diagnosis and treatment of psychiatric disorders (Niciu et al., 2013). As part of the Research Domain Criteria (RDoC), acute threat ("fear") and potential threat ("anxiety") are constructs within the domain of negative valence systems (Morris and Cuthbert, 2012). Such research domains act as a guide for understanding the physiology of mental illness. By utilizing the threat-of-shock paradigm, we uncovered a difference in fear-potentiated (but not anxiety-potentiated) startle in depressed subjects with a lifetime history of suicide attempt from depressed subjects with no suicide history. This physiologic difference between groups improves the understanding of subgroups within depression, paving the way for future improvements in our comprehension of suicide risk.

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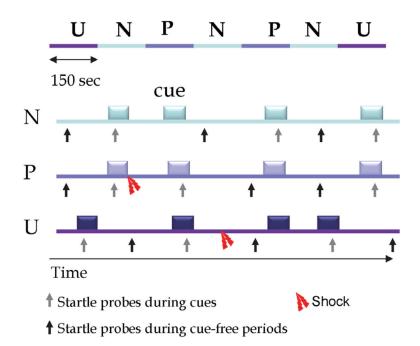
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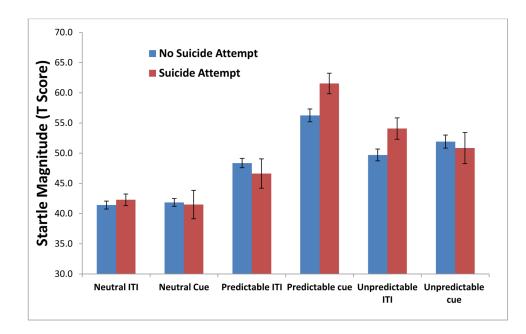
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#### Figure 1.

Schematic representation of sequences of stimulus presentation during each condition in one block of the NPU-threat test.

The top of the figure represents a complete block, including two P (predictable), two U (unpredictable) and three N (no shock) conditions (order U-N-P-N-P-N-U as shown; or, alternatively administered as P-N-U-N-U-N-P). The remaining figure shows each condition, including cues (8-s duration), startle probes presented during cues (grey arrow pointing up) or during cue-free periods (dark arrow pointing up), and shocks. Image originally adapted from reference (Grillon et al., 2009) and taken from Grillon *et al.* 2013.



**Figure 2.** Startle Response during NPU (T-Scores) by Lifetime History of Suicide Attempt

Demographics and Clinical Characteristics of Study Sample

	Total Sample	Total Sample Non-attempters $(n = 22)$ Attempters $(n = 6)$	Attempters $(n = 6)$	$X^2$	d
Male gender	11(39)	9(41)	2(33)	.11	.11 .74
African American ethnicity	3(11)	2(9)	1(17)	.94	.62
	Mean (SD)	Mean (SD)	Mean (SD)	t	d
Age	35.50(10.47)	37.05(10.80)	29.83(7.25) 1.53 .14	1.53	.14
Illness duration	16.60(11.33)	17.00(12.62)	15.33(5.82)	.31	.76
BDI without suicide item	28.71(.10.44)	27.70(10.69)	33.75(8.46)	-1.06	.30
BDI suicide item	.54(.51)	0.50(.51)	0.75(.50)	89	.38