

# Selection and constraint underlie irreversibility of tooth loss in cypriniform fishes

Sharon R. Aigler<sup>a</sup>, David Jandzik<sup>a,b</sup>, Kohei Hatta<sup>c</sup>, Kentaro Uesugi<sup>d</sup>, and David W. Stock<sup>a,1</sup>

<sup>a</sup>Department of Ecology and Evolutionary Biology, University of Colorado, Boulder, CO 80309; <sup>b</sup>Department of Zoology, Faculty of Natural Sciences, Comenius University in Bratislava, 84215 Bratislava, Slovakia; <sup>c</sup>Graduate School of Life Science, University of Hyogo, Ako-gun, Hyogo 678-1297, Japan; and <sup>d</sup>Spring-8, Japan Synchrotron Radiation Research Institute, Sayo-gun, Hyogo 679-5198, Japan

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**The apparent irreversibility of the loss of complex traits in evolution (Dollo's Law) has been explained either by constraints on generating the lost traits or the complexity of selection required for their return. Distinguishing between these explanations is challenging, however, and little is known about the specific nature of potential constraints. We investigated the mechanisms underlying the irreversibility of trait loss using reduction of dentition in cypriniform fishes, a lineage that includes the zebrafish (*Danio rerio*) as a model. Teeth were lost from the mouth and upper pharynx in this group at least 50 million y ago and retained only in the lower pharynx. We identified regional loss of expression of the Ectodysplasin (Eda) signaling ligand as a likely cause of dentition reduction. In addition, we found that overexpression of this gene in the zebrafish is sufficient to restore teeth to the upper pharynx but not to the mouth. Because both regions are competent to respond to Eda signaling with transcriptional output, the likely constraint on the reappearance of oral teeth is the alteration of multiple genetic pathways required for tooth development. The upper pharyngeal teeth are fully formed, but do not exhibit the ancestral relationship to other pharyngeal structures, suggesting that they would not be favored by selection. Our results illustrate an underlying commonality between constraint and selection as explanations for the irreversibility of trait loss; multiple genetic changes would be required to restore teeth themselves to the oral region and optimally functioning ones to the upper pharynx.**

*Astyanax mexicanus* | transgenic

**T**hat complex traits do not reappear once lost is a macroevolutionary pattern of sufficient generality to have been proposed independently as “Dollo's Law” (1, 2) and the “Law of Loss” (3). This pattern is frequently interpreted as evidence of a constraint on adaptive evolution resulting from the loss of genetic information required for trait development (2, 4, 5). Such information loss in the form of pseudogene formation has been documented (5), but most examples involve traits with relatively direct connections of genotype to phenotype, such as floral pigment (6) and hemoglobin (7). In contrast, the complex morphological features for which laws of irreversible evolution were formulated develop under the control of genes whose pleiotropy (8) is expected to preserve their function (4). Such pleiotropy is likely to be especially prominent in the case of irreversible loss of individual members of systems of repeated parts (9).

An alternative to constraint as an explanation for the irreversibility of the loss of complex structures is natural selection. For example, selection may act against the deleterious pleiotropic consequences of mutations in developmental regulatory genes, as proposed by Galis et al. (9). In addition, mutations whose reversal leads to reduced fitness may accumulate following structural loss, as has been shown in association with a shift in glucocorticoid receptor function (10). Finally, it is possible that some apparent instances of irreversible loss are simply the result of absence of selection for return of the structure (2).

An example of irreversible loss of morphological structures that allows inference of selection, as well as characterization of potential constraints, is reduction of dentition in the teleost fish

order Cypriniformes, which includes minnows, suckers, loaches, and algae eaters. Teeth in this group were lost from the entire mouth cavity and upper pharynx at least 50 million y ago and remain only on the fifth ceratobranchial bones of the lower posterior pharynx (Fig. 1A), where they serve the function of mechanical processing of food, especially by chewing (11, 12). Such reduction of dentition is thought to have evolved as an adaptation to suction feeding in bottom deposits (11, 13, 14). At least two lines of evidence suggest that some cypriniforms have experienced selection for regaining of lost dentition. Several lineages have adopted piscivory, which in noncypriniform fishes is usually associated with oral teeth for gripping and upper pharyngeal teeth for transporting prey (11, 14, 15). These piscivorous cypriniforms have been suggested to be less-efficient predators than other taxa with more extensive dentition (11, 16, 17). In addition, the cypriniform species *Danionella dracula* has evolved dramatic fangs, not by regaining oral teeth but by sculpting the shape of bones of the jaw margin (18).

Identifying the genetic pathways altered in association with cypriniform dentition reduction is expected to provide insight into potential constraints leading to irreversibility, and is facilitated by the membership of the zebrafish (*Danio rerio*) model species in the group (12). One candidate gene for tooth loss encodes the TNF family ligand Ectodysplasin (Eda) (19), as zebrafish homozygotes for the *nkt* loss-of-function mutation in this gene completely lack adult dentition (20).

To investigate a potential role for altered Eda signaling in cypriniform dentition reduction, we first compared *eda* expression between the zebrafish and a relative with more extensive dentition, the characiform Mexican tetra, *Astyanax mexicanus*. We found that localized *eda* expression prefigured tooth-forming regions and that evolutionary loss of this expression is associated with cypriniform dentition reduction. Support for a causal relationship between *eda* expression loss and tooth loss was provided

## Significance

**The mechanisms underlying Dollo's Law, the assertion that the evolutionary loss of complex structures is irreversible, remain poorly characterized. In principle, such mechanisms could involve the improbability either of generating the mutations required for trait reappearance or of selecting for their fixation. Whereas most attention has focused on the former mechanism, we used experimental reversal of dentition reduction in cypriniform fishes to provide evidence for the operation of both within a single system.**

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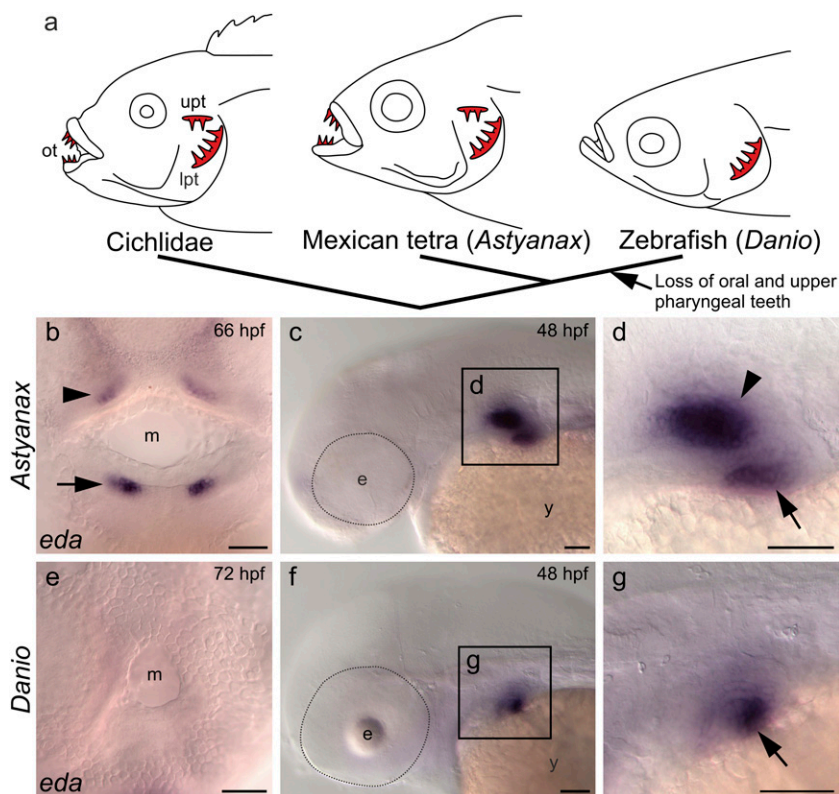
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<sup>1</sup>To whom correspondence should be addressed. E-mail: david.stock@colorado.edu.

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**Fig. 1.** Regional loss of *eda* expression is associated with reduction of dentition in the zebrafish lineage. (A) Teeth were lost from the mouth and upper pharynx in the zebrafish (*D. rerio*) lineage after its divergence from that of the Mexican tetra (*A. mexicanus*). (B) *eda* expression in upper (arrowhead) and lower (arrow) jaws of *Astyanax*. (C and D) *eda* expression in upper (arrowhead) and lower (arrow) pharynx of *Astyanax*. (E) Absence of *eda* expression in the mouth of *Danio*. (F and G) *eda* expression in the lower (arrow) but not upper pharynx of *Danio*. All *eda* expression shown is in mesenchyme, as confirmed by sectioning (Fig. S1). Abbreviations: e, eye; hpf, hours postfertilization; lpt, lower pharyngeal teeth; m, mouth; ot, oral teeth; upt, upper pharyngeal teeth; y, yolk. (Scale bars, 50  $\mu$ m.)

by our characterization of the dental phenotype of *nkt* mutants, which exhibited arrest of pharyngeal tooth developmental at a stage resembling that of the wild-type oral region. We next investigated the reversibility of cypriniform dentition reduction by producing a transgenic zebrafish line with continuous and ubiquitous expression of *eda*. Ectopic teeth were found in the upper pharynx of this line, but not in the oral cavity, suggesting different mechanisms underlying the irreversibility of tooth loss in these two regions. We propose that these mechanisms involve both natural selection and constraint caused by alteration of multiple developmental genetic pathways.

## Results and Discussion

**Regional Loss of *Eda* Expression Is Associated with Cypriniform Dentition Reduction.** The requirement for *Eda* function in the zebrafish pharyngeal dentition (20) suggests that if alteration of *Eda* signaling were involved in cypriniform dentition reduction, it likely involved changes in the location of signal transduction rather than loss of pathway component function. We therefore used *in situ* hybridization to compare *eda* expression in the zebrafish with that in a related species possessing oral as well as upper and lower pharyngeal teeth, the Mexican tetra, *A. mexicanus* (21) (Fig. 1A). Expression of *eda* in the zebrafish was detected in mesenchyme of the lower pharynx in the region from which teeth develop (Fig. 1F and G and Fig. S1) but not in the mouth or upper pharynx (Fig. 1E–G and Fig. S1). In contrast, *eda* expression is evident in tooth-forming mesenchyme of all three regions in *A. mexicanus* (Fig. 1B–D and Fig. S1). The presence of *eda* expression in mesenchyme of the oral and pharyngeal dentition of outgroups in the family Cichlidae (22) indicates that its loss occurred in association with cypriniform tooth loss.

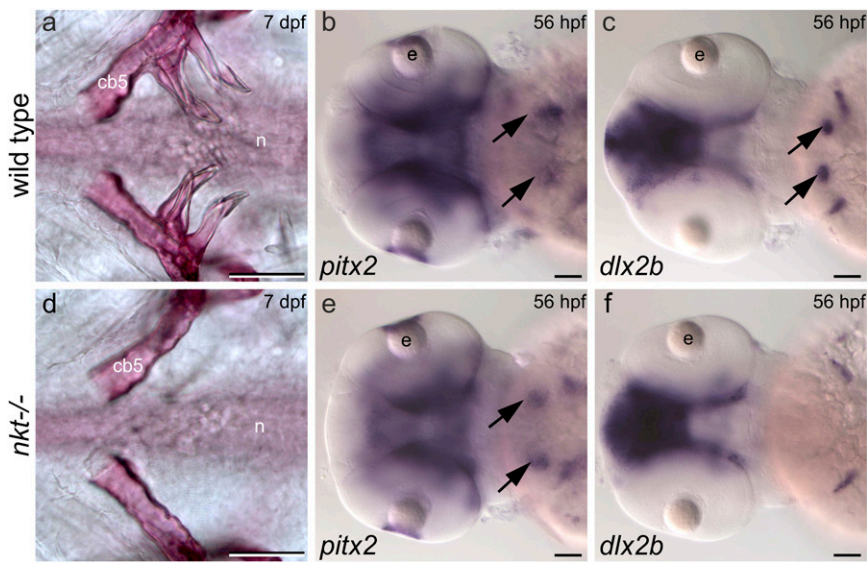
**Loss of *Eda* Function in the Zebrafish Pharyngeal Dentition Phenocopies the Wild-Type Oral Region.** To investigate loss of *eda* expression as a potential cause of dentition reduction, we compared tooth initiation in the larval pharynx of wild-type and *nkt* mutant zebrafish.

Mineralized teeth are visible in the wild-type pharynx at 3 d post-fertilization (dpf) (12) but were completely lacking from the pharynx of 15 of 19 *nkt* homozygous larvae analyzed at 6–10 dpf (Fig. 2D). The remaining four *nkt* mutants examined possessed a single tooth on a single side of the pharynx. In contrast, all 16 homozygous wild-type siblings possessed at least three mineralized teeth on each side (Fig. 2A). These data are consistent with the necessity of *eda* expression for zebrafish tooth development.

The oral region of zebrafish larvae expresses the transcription factor *pitx2*, a marker of tooth-competent epithelium, but lacks the expression of markers of the dental placode, the earliest morphologically visible sign of tooth development (12, 21). We found that arrest of pharyngeal tooth development in *nkt* mutant homozygotes resembles that found in the wild-type oral region. Specifically, expression of *pitx2* is present (Fig. 2B and E), whereas that of the transcription factor *dlx2b*, a dental placode marker, is absent (Fig. 2C and F). Taken together, our data are consistent with regional loss of *eda* expression as a cause of loss of teeth from the upper pharynx and mouth of a cypriniform ancestor.

***Eda* Overexpression Restores Teeth to the Upper Pharynx of the Zebrafish.** The hypothesis of constraint as the cause of the irreversibility of dentition reduction in cypriniforms predicts the difficulty of restoring lost teeth to the zebrafish through simple genetic changes. We therefore asked whether reversal of *eda* expression loss is sufficient to restore teeth by producing transgenic lines that express zebrafish *eda* under the control of the *Xenopus laevis* elongation factor *ef1a* promoter, which drives ubiquitous and continuous expression throughout development (23). Fish from these *ef1a:eda* lines exhibit supernumerary and bicuspid teeth associated with the fifth ceratobranchial bones (Fig. S2), phenotypes resembling those produced by activation of fibroblast growth factor (Fgf) or inhibition of bone morphogenetic protein (Bmp) signaling (24). *Eda* acts upstream of Fgf expression (25)





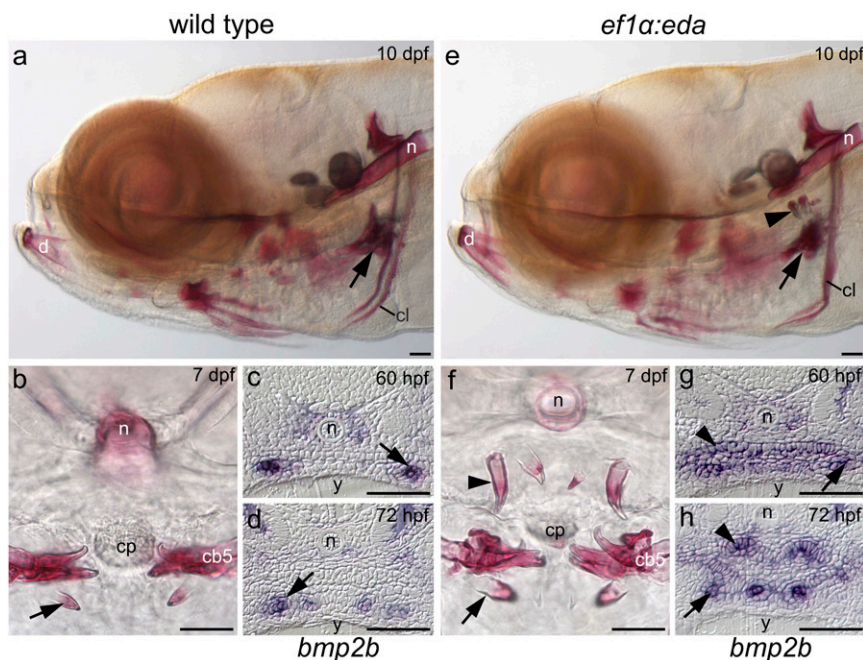
**Fig. 2.** The *nkt* mutation in *eda* results in early arrest of pharyngeal tooth development. (A and D) Ventral views of alizarin stained 7-dpf larvae showing complete absence of teeth in an *nkt* mutant homozygote. A single tooth is sometimes formed on one side of these mutants ( $n = 4$  of 19). (B and E) *pitx2* is expressed in presumptive pharyngeal tooth epithelium (arrows) in the *nkt* mutant homozygote ( $n = 5$  of 5), heterozygote ( $n = 11$  of 11), and wild-type homozygote ( $n = 5$  of 5). (C and F) *dlx2b* is expressed in pharyngeal tooth germs (arrows) of wild-type homozygotes ( $n = 3$  of 3) and *nkt* heterozygotes ( $n = 12$  of 12), but its expression is lacking in the pharynx of the *nkt* mutant homozygote ( $n = 5$  of 5). Abbreviations: cb5, fifth ceratobranchial bone; e, eye; hpf, hours postfertilization; n, notochord. (Scale bars, 50  $\mu$ m.)

and inhibits Bmp activity (26) during tooth development in the mouse; similar regulatory interactions may operate in the development of zebrafish teeth.

The most striking dental phenotype in the *ef1a:eda* transgenic line is the presence of ectopic teeth in the upper pharynx opposing those of the lower pharynx (Figs. 3 A, B, E, and F, 4 A, C, D, and F, and Movie S1). Both regions possessed teeth in cypriniform ancestors (12), suggesting that the ectopic teeth represent atavisms, reappearances of ancestral characteristics. It has been proposed that atavistic structures can only arise when a rudiment has been retained (27). In addition, the supernumerary teeth in *Eda*-overexpressing mice are thought to arise by rescue of a rudimentary tooth germ (28). We therefore examined whether the ability of *Eda* to restore lost upper pharyngeal teeth is dependent on the presence of rudiments in the upper pharynx. Expression of the tooth germ markers *bone morphogenetic protein 2b* (*bmp2b*) and *ectodysplasin A receptor* (*edar*) was lacking from the

upper pharynx in wild-type zebrafish but present in the *eda*-overexpressing transgenics (Fig. 3 C, D, G, and H, and Fig. S3). In contrast, *pitx2* was present in upper pharyngeal epithelium of wild type and *eda*-overexpressing zebrafish (Fig. S3). We conclude that although competence for tooth initiation exists in the upper pharynx of wild-type zebrafish, rudiments of teeth are absent. *eda* overexpression therefore appears to be inducing teeth de novo in the upper pharynx.

The relative simplicity of the genetic change sufficient to restore upper pharyngeal teeth implicates selection rather than constraint as an explanation for the irreversibility of their loss in cypriniforms. One possibility is selection against deleterious pleiotropic effects of the mutations required to regain these teeth, as suggested by Seritrakul et al. (29). These authors found that retinoic acid treatment was sufficient to expand the zebrafish dentition but also resulted in early larval lethality. In contrast, *ef1a:eda* zebrafish survive to adulthood and are fertile. We suggest



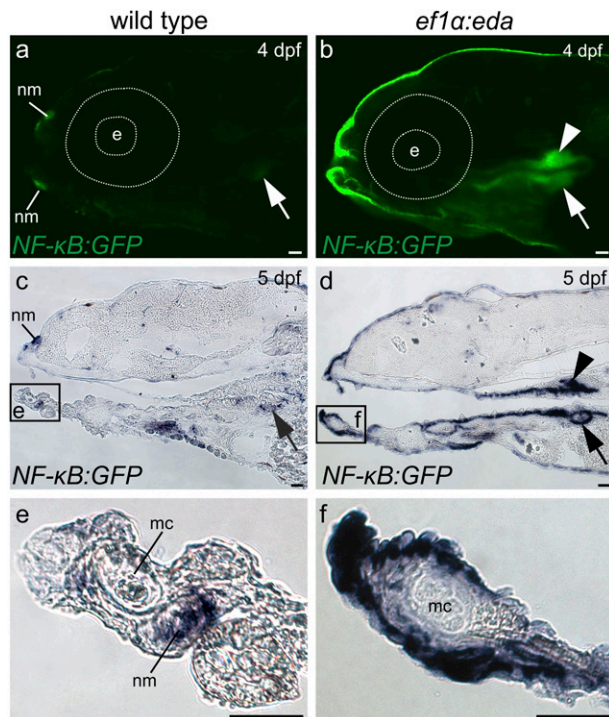
**Fig. 3.** Ectopic *Eda* expression restores upper pharyngeal teeth to the zebrafish. Lower pharyngeal teeth (arrows) in lateral (A) and transverse (B) views of wild-type alizarin red-stained larvae. (C and D) *bmp2b* expression is limited to tooth germs (arrows) of the lower pharynx in wild-type. Upper pharyngeal teeth (arrowheads) in lateral (E) and transverse (F) views of *ef1a:eda* transgenic zebrafish. (G) *bmp2b* expression is induced in the upper pharyngeal epithelium (arrowhead) by ectopic *eda* expression and becomes limited to tooth germs (arrowhead) at later stages (H). Abbreviations: cb5, fifth ceratobranchial; cl, cleithrum; cp, chewing pad; d, dentary bone; n, notochord; y, yolk. (Scale bars, 50  $\mu$ m.)



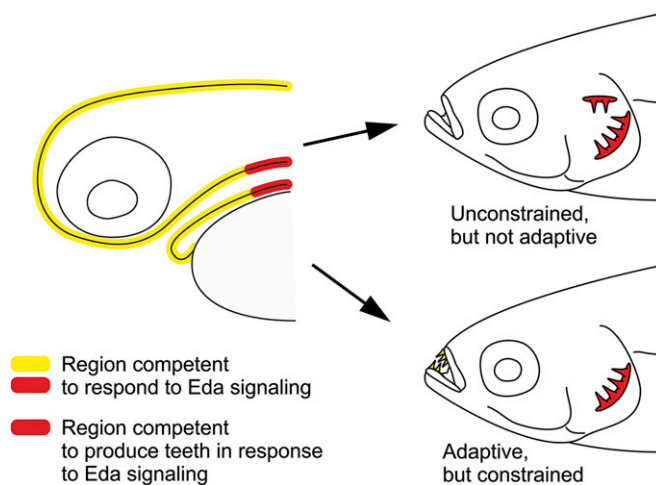


reduction in cypriniform fishes are summarized in Fig. 6. Although competence to respond to *Eda* signaling with transcription is present throughout the mouth and pharynx, only in the posterior pharynx does such a response lead to the induction of teeth. The ability to restore upper pharyngeal teeth with a single genetic change suggests that their evolutionary reappearance is relatively unconstrained in cypriniforms. The absence in nature of members of this group with upper pharyngeal teeth is therefore the result of such teeth not being adaptive in the current configuration of the pharynx. In contrast, reappearance of oral teeth would likely be adaptive in some cypriniform lineages, but is constrained by the absence in the oral region of gene products in addition to the *Eda* ligand that are necessary for tooth development.

Previous studies have identified likely constraints rendering structural loss irreversible, as in the mutational degradation of dental proteins in association with tooth loss in birds (34). Selection as an explanation for Dollo's Law has proven more elusive, but has been suggested to be responsible for the difficulty of regaining lost digits in lizards (9). Our results suggest that both selection and constraint have acted to render loss in a single organ system in a single lineage irreversible. Interestingly, these causes may share an underlying similarity. Whereas constraints have been considered alternatives to selection as explanations for macroevolutionary patterns (35), others have argued that many forms of constraint are simply the result of selection itself (36). Our results provide evidence for such a relationship between selection and constraint. We suggest that the irreversibility of tooth loss in both the oral and upper pharyngeal regions of cypriniforms is the result of a requirement for multiple genetic changes



**Fig. 5.** Competence to respond to *Eda* signaling is distributed throughout the oropharyngeal cavity. (A and B) Confocal imaging of GFP fluorescence and (C–F) in situ hybridization analysis of *gfp* RNA expression in NF- $\kappa$ B reporter zebrafish. Oral expression is limited to neuromasts and pharyngeal expression to lower tooth germs (arrow) in fish lacking the *ef1 $\alpha$ :eda* transgene (A, C, and E). Presence of this transgene (B, D, and F) induces reporter expression throughout the oropharyngeal cavity, including in lower (arrow) and upper (arrowhead) pharyngeal tooth germs. Abbreviations: dpf, days postfertilization; e, eye; mc, Meckel's cartilage; nm, neuromast. (Scale bars, 50  $\mu$ m.)



**Fig. 6.** The irreversibility of cypriniform dentition reduction results from the action of both selection and constraint. *Left* side indicates locations of competence to respond to *Eda* signaling and to do so with tooth production in an extant larval cypriniform. *Right* side indicates "forbidden" morphologies that represent reversal of cypriniform dentition reduction. The *Upper* morphology does not exist in nature because of the complexity of selection required to restore ancestral pharyngeal function. The *Lower* morphology does not exist because of constraint on the ability to produce oral teeth.

to reacquire them. In the former case, teeth themselves cannot be reacquired by single mutations; in the latter, teeth may arise in variant individuals, but multiple mutations—many of which may not be advantageous individually—are required to restore their ancestral function. The requirement for multiple mutations has also been proposed to act as a constraint on increasing dental complexity in mammals (37) and resembles the original explanation for Dollo's Law (1): the statistical improbability of retracing a long sequence of evolutionary steps (38).

## Materials and Methods

**Fish Strains.** Wild-type zebrafish of the inbred Tü line were obtained from the Zebrafish International Resource Center. Green fluorescent protein reporter lines *Tg(dlx2b:gfp)<sup>cs1</sup>* and *Tg(NF $\kappa$ B:EGFP)<sup>nc1</sup>* were used to visualize tooth germs (39) and sites of NF- $\kappa$ B activation (33), respectively, in the zebrafish. Loss of *Eda* function in the zebrafish was achieved with the *nkt* allele (20). *A. mexicanus* individuals used for cloning genes originated from the population in La Cueva de El Pachón, whereas those used for in situ hybridization were from a commercial population believed to originate from La Cueva Chica (21). Pigmentation in zebrafish larvae was inhibited with 0.003% 1-phenyl-2-thiourea.

**Construction of Transgenic Zebrafish Lines Overexpressing *Eda*.** A zebrafish *eda* cDNA produced by reverse-transcriptase-mediated (RT)-PCR was ligated into plasmid *pTAL200R150G* (40) to replace the EGFP coding region. The resulting plasmid, *pEF1 $\alpha$ :EDA* was coinjected with mRNA encoding *tol2* transposase into the blastomeres of one-celled zebrafish embryos. Initial analyses were performed directly on injected fish, with injection of the unaltered *pTAL200R150G* serving as a negative control. Several transgenic lines were produced by injecting this construct into the *Tg(dlx2b:gfp)<sup>cs1</sup>* reporter line; the analyses reported here were conducted on a single line, *Tg(ef1 $\alpha$ :eda)<sup>cs3</sup>*, containing a single insertion of the transgene.

**Cloning and Sequence Analysis.** RT-PCR was used to amplify fragments of *eda*, *edar*, and *edaradd* from the zebrafish and *A. mexicanus*, and *fibroblast growth factor 4* (*fgf4*) from *A. mexicanus*. PCR products were cloned into plasmid pCR4-TOPO (Life Technologies) and sequenced. The sequences were translated and their orthology determined by BLAST searches of GenBank.

**In Situ Hybridization.** Whole-mount in situ hybridization was carried out with digoxigenin-labeled riboprobes and the specimens were either cleared in glycerol for observation or sectioned at 4  $\mu$ m following embedding in glycol

methacrylate, as previously described (21). Additional specimens were sectioned at 10  $\mu\text{m}$  after embedding in paraffin and were subjected to in situ hybridization following a modified version of the protocol of O'Neill et al. (41).

Antisense riboprobes used included zebrafish *bmp2a* (32), *bmp2b* (32), *dlx2b* (42), *eda* (nucleotide positions 115–1194 in GenBank NM\_001115065), *edar* (positions 204–994 in NM\_001115064), *edaradd* (the region matching human *EDARADD* positions 141–521 in GenBank NM\_145861), *fgf4* (42), *pitx2* (42), and *sonic hedgehog* (*shh*) (21). Probes for *A. mexicanus* *bmp2a*, *bmp2b*, *dlx2b*, *pitx2*, and *shh* were as previously described (21, 32). *A. mexicanus* *edar* and *edaradd* probes correspond to the positions listed for their zebrafish orthologs, and the *eda* and *fgf4* probes for this species comprised the regions corresponding to zebrafish positions 769–1151 (NM\_001115064) and 538–887 (NM\_131635.2), respectively. The sequences of these *A. mexicanus* cDNAs have been deposited in GenBank ([www.ncbi.nlm.nih.gov/genbank](http://www.ncbi.nlm.nih.gov/genbank)) under accession nos. KJ767495–KJ767498.

**Histology.** Clearing and skeletal staining with alizarin red followed Hanken and Wassersug (43) for adults and Wise and Stock (44) for larvae. Adult specimens for sectioning were fixed in formaldehyde, decalcified in Poly-NoCal (Polysciences), dehydrated through an ethanol series, and embedded in glycol methacrylate. The 5- $\mu\text{m}$  sections were cut with glass knives and stained with toluidine blue.

**Genotyping.** Zebrafish possessing the *ef1a:eda* transgene were distinguished from wild-type siblings by the presence of upper pharyngeal tooth germs, which behaved as a simple Mendelian trait when visualized by reporter expression from *dlx2b:gfp* or *Nf $\kappa$ B:GFP* transgenes in living larvae. Clipped

sections of adult caudal fins and whole larvae subjected to in situ hybridization or alizarin red staining were used for detecting the *nkt* allele. DNA was extracted by digestion with proteinase K and subjected to PCR with primers GTCGCTACAGTCAACAGATG and ATAAGCAGTAGAGTCCAGGGAC. Restriction enzyme digestion was used to detect an XbaI recognition site absent in the wild type allele and present in the *nkt* allele.

**Imaging.** Conventional light microscopy used a Zeiss Axiovert 135 inverted compound microscope, a Zeiss SV11 stereomicroscope, or a Leica MZ FLIII fluorescent microscope, the former two of which were mounted with Zeiss AxioCam digital cameras. Confocal microscopy was carried out with a Nikon A1R Resonant Scanning Confocal and TIRF system.

X-ray synchrotron microtomography was performed at SPring-8 with the approval of the Japan Synchrotron Radiation Research Institute (JASRI) (proposal nos. 2008A1754 and 2009B1911). The micro computed tomography (microCT) was taken at beamline BL20B2 with 900 projections at an X-ray energy of 15 keV at 7.97  $\mu\text{m}/\text{pixel}$ . The exposure time for one projection was 15 ms. The data were processed with AVIZO software (Maxnet).

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