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## Hierarchy of RNA Functional Dynamics

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### Abstract

RNA dynamics play a fundamental role in many cellular functions. However, a general framework is lacking to describe these complex processes, which typically consist of many structural maneuvers taking place over timescales ranging from picoseconds to seconds. Here we classify RNA dynamics into distinct modes representing transitions between basins on a hierarchical free energy landscape. These include large-scale secondary structural transitions occurring at  $>0.1$  s timescales, base-pair/tertiary dynamics occurring at  $\mu$ s-ms timescales, stacking dynamics at ns- $\mu$ s and other ‘jittering’ motions occurring at ps-ns timescales. We review various modes within these three different tiers, the different mechanisms by which they are used to regulate function, and how they can be coupled together to achieve greater functional complexity.

### Keywords

RNA flexibility; riboswitches; regulatory RNA; molecular adaptation; RNA catalysis

## INTRODUCTION

Composed of only four chemically similar nucleotides, RNA was long thought to lack the chemical and structural complexity needed to drive biochemical processes that power living cells, limited instead to a role as a rudimentary messenger. However, discoveries in molecular biology over the past three decades have shown that nothing could be further from the truth. RNA is capable of catalytic activity and can fold into complex 3D structures rivaling those of proteins (1–5). Seventy-five percent of the human genome is now believed to code for RNAs, the functions of which we are only beginning to uncover, whereas less than 2% code for proteins (6, 7). Even classic RNAs such as ribosomal, transfer, and messenger RNAs play surprisingly complex roles in protein synthesis (5, 8).

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The functional complexity of RNA and its involvement in a wide range of sophisticated functions can be attributed not only to its ability to fold into complex 3D structures, but perhaps even more importantly, on its ability to undergo precise conformational changes in a biologically specific manner in response to a wide range of cellular cues consisting of proteins, ligands, metals, changes in temperature, and pH (9, 10). These dynamics can be highly complex, often involving many structural maneuvers that take place over timescales spanning from picoseconds to hundreds of seconds. What is lacking is a framework for simplifying this dazzling complexity so that one can begin to see and comprehend the ‘signal buried within the noise’.

In this review, we introduce a framework for deconstructing RNA dynamics into a set of distinct motional modes that have characteristic timescales representing transitions between basins within a hierarchical free energy landscape. This simplifies the description of complex RNA dynamics in terms a set of recurring motional modes, providing a common language that makes it possible to identify similar themes across different RNA functional contexts. This framework is very similar to that first introduced by Frauenfelder, Wolynes, and coworkers to describe protein dynamics in terms of transitions between basins on different tiers of a free energy landscape (11). We review three broad classes of RNA dynamics, their biological significance, and how interdependencies among these classes can be harnessed to achieve yet further functional complexity.

## DECOMPOSING RNA DYNAMICS INTO HIERARCHICAL MOTIONS

In solution, a given RNA does not fold into a single structure, but rather forms a statistical distribution, or ensemble, of many inter-converting conformations. As shown for proteins, this statistical ensemble can be described in terms of a continuous free energy landscape, which specifies the free energy of every atomic configuration (11). The population of each configuration depends on its free energy whereas the rates of inter-conversion between individual configurations depend on the height of the barriers separating them. Although the free energy landscape can in principle be arbitrarily complex, in many biomolecules it is hierarchically organized into local energetic minima containing conformational sub-states (CS) that are separated by large barriers, each of which is in turn sub-divided into a larger number of local energetic minima that are separated by lower barriers, and so forth (Figure 1). These hierarchically organized energetic layers form different “Tiers” (Tier 0, Tier 1, etc...), and RNA dynamics can in turn be hierarchically organized in terms of transitions between CSs within different tiers.

The above hierarchical description of free energy landscapes and dynamics was developed originally to explain protein dynamics, and specifically myoglobin dynamics. However, it is also well suited to describe RNA dynamics in general. There are two reasons for this. First, the RNA free energy landscape is strongly hierarchical, and naturally organized into ‘secondary’ and ‘tertiary’ structure levels (12, 13). Unlike proteins, interactions that stabilize secondary structure are much stronger than those that stabilize other aspects of 3D structure, and dynamics at the secondary structure level (Tier 0) occur quite independently of those on lower levels (Tier 1, 2, etc.). Second, the RNA free energy landscape is rugged, with significant barriers separating competing conformations at both the secondary and tertiary

structural level. Thus, RNA lends itself to a description in terms of individual CSs within each tier. For our discussion, Tier 0 will represent RNA conformations with distinct secondary structure, Tier 1 conformations that have small differences in base-pairing, and Tier 2 conformations that have similar secondary structures and base-pairing but that differ in other aspects of structure (Figure 1).

Other than being hierarchal, there are three other aspects of the RNA free energy landscape that are worth mentioning. First, there is mounting evidence that cellular cues act to change the energetic balance of pre-existing CSs to trigger specific biological outcomes (10). In other words, the favorable CSs that exist in quiescent RNAs represent the same conformations that nature uses to regulate biological outcomes. Second, as we will illustrate below, nature takes advantage of differences in rates of exchange between CSs on different Tiers to ensure that conformational changes only take place once a given cellular cue is presented, or take place sufficiently rapidly so as to not slow down biochemical processes. Finally, although limited in number, the CSs that populate the free energy landscape can have wildly different conformations, making it possible to effect very large yet highly specific changes in structure.

In what follows, we describe the different Tiers of RNA dynamics and highlight their biological significance.

## TIER 0: SECONDARY STRUCTURE DYNAMICS

### Overview

Due to the inherent degeneracies of the energetics of base-pairing and stacking, RNA molecules rarely fold into a single secondary structure. Rather, there are additional competing secondary structural forms that can become appreciably populated under the right physiological conditions (14–16). In sequences that have evolved to favor a single functional conformation, these alternative secondary structures present a challenge to RNA folding (17, 18). However, in other cases, this promiscuous pairing ability is deliberately harnessed to create functional transitions between alternative secondary structures (Figure 2).

Because of the overwhelming stability of RNA duplexes, transitions to conformations possessing just a few less pairs are strongly disfavored. Thus, in theory, secondary structure dynamics can be highly specific, directed to one of a small number of favorable conformations. However, as a transitioning duplex typically must break half of its base-pairs, this stability comes at the cost of slow dynamics timescales (19). For example, transitions of a ‘bistable’ RNA between two alternative 5-bp helices occur at rates of  $\sim 0.1 \text{ s}^{-1}$  at 298 K (19). For larger helices, the timescale of interconversion can approach the expected lifetime of the RNA and can be slowed down even further by formation of long-lived intermediates (18, 20–22).

### Biological significance

Nature often exploits dynamics between different secondary structures to sequester or expose structural elements that interact with cellular factors in a manner dependent on cellular cues. This gives rise to molecular switches, termed riboswitches, that can be

integrated into a wide variety of biological circuits (23). These structural elements can be either a contiguous stretch of nucleotides that are either single-stranded and exposed, or sequestered into hairpins via base-pairing, or be an entire hairpin that is either present or absent from the secondary structure. For example, single stranded mRNA ribosome binding sites (24), degradative endonuclease cleavage sites (25), and splicing sites (26), as well as transcription terminator hairpins (27), among others, have all been shown to be exposed or sequestered by secondary structure changes as part of regulatory processes (Figure 2).

Secondary structure dynamics present both a challenge — fast response times are often needed to efficiently respond to biological stimuli — as well as an opportunity — the transitions are unlikely to occur spontaneously in an undirected manner. Nature has evolved several strategies to overcome the first problem, allowing it to take advantage of the second benefit to construct robust regulatory switches. Some secondary structure transitions can be used ‘as is’ without the need for intervening with the rates of inter-conversion. Here, a pre-existing secondary structure equilibrium is precisely tuned by primary sequence to rapidly and ‘reversibly’ respond to changes in small molecule concentration (23, 28), or to temperature in ‘thermosensors’ (29). Many riboswitches that regulate gene expression at the translational level are controlled by such thermodynamic mechanisms. In an interesting example, dynamics between three alternative secondary structures that respond to temperature and small molecule concentration were shown to collaborate in the same adenine riboswitch to maintain robust switching activity across a broad range of temperatures (30). A temperature sensitive ‘pre’ equilibrium that exchanges with rates of  $\sim 0.5 \text{ s}^{-1}$  between two translational ‘off’ states sequesters the ligand binding pocket to inhibit switching, serving to compensate for the temperature sensitivity of the ligand modulated equilibrium between translational ‘on’ and ‘off’ states (Figure 2A).

In other cases, the barrier heights between two secondary structures are large enough such that exchange cannot happen within reasonable timescales without some form of intervention. For example, rapid secondary structure transitions are required in riboswitches that regulate gene expression at the transcriptional level, where the structural change has to take place during a short time window dictated by the rates of co-transcriptional folding. An ingenious form of intervention involves altering the co-transcriptional folding pathways, thus acting before a stable secondary structure element has had a chance to fully form. In these cases, a wide range of effectors such as temperature (31), small molecules (23, 28), metals (32), pH (33), proteins (34), or trans-acting RNAs (35), stabilize a metastable secondary structure during co-transcriptional folding that sequesters sequence elements that would otherwise pair with downstream nucleotides emerging from the polymerase (Figure 2B). Not only do such systems allow rapid exchange between conformations that would otherwise be separated by insurmountable energetic barriers, they also ensure that the conformational switch rarely takes place in the absence of effectors.

Nature has also evolved a variety of protein chaperones and helicases that are able to accelerate transitions between more stable secondary structures, as well as clock the transitions so that they take place at specific time points. These proteins act by either destabilizing duplexes or stabilizing unpaired states to lower the effective transition barrier (see melting dynamics below) (21). Such proteins make it possible to efficiently anneal

small RNAs (sRNAs) to potentially structured regions of their mRNA targets (36). During assembly of the eukaryotic spliceosome, helicases are used to catalyze successive global secondary structure transitions that serve as a multistep proofreading mechanism that ensure that only optimal substrates are spliced (37). These proteins can also serve as regulatory triggers, with an increase in protein concentration promoting transitions of RNAs to alternative conformations, either by destabilizing a pre-existing state or stabilizing a new conformation. This mechanism is prominently used by retroviruses to regulate genome translation, dimerization and packaging (38–40) (Figure 2C).

## TIER 1: BASE-PAIR AND TERTIARY DYNAMICS

Once formed, a given secondary structure may experience smaller more localized changes in base-pairing, or form long-range tertiary interactions between remotely positioned residues involving base-pairing and other interactions. These dynamics do not lead to large-scale changes in secondary structure and can therefore be considered as basins within a given secondary structure CS. We distinguish four different types of dynamics (i) base-pair melting (ii) base-pair reshuffling (iii) base-pair isomerization, and (iv) long-range tertiary interactions (Figure 3).

### Base-pair Melting

**Overview**—All base-pairs, including Watson-Crick (WC) base-pairs, transiently break apart (melt) and adopt an ‘open’ conformation that briefly exposes residues to solvent or nearby binding partners. For WC base-pairs, melting occurs on 0.1–50 ms timescales, depending on the identity of the pair as well as on the strength of the stacking interactions with neighboring base-pairs (41, 42). Unlike other forms of base-pair dynamics reviewed below, the open state is strongly energetically disfavored compared to the closed state, by roughly 7–9 kcal/mol for WC base-pairs in duplex RNA. As a result, at room temperature, the open state of WC base-pairs has a minute population of  $10^{-5}$ – $10^{-6}$  and lifetime of only 1–100 ns (41, 42). However, the population and lifetime of the open state can increase considerably in helix-terminating pairs that only have one set of nearest-neighbor stacking interactions, such as for base-pairs near bulges, apical loops, or internal loops, and in non-canonical base pairs (42, 43). To a lesser degree, instability in a single pair can also increase the melting dynamics of non-nearest-neighbor pairs, though the mechanisms underlying this phenomenon are not fully understood (43).

### Biological significance

Sites of increased base opening or transient melting are common trigger points for effecting larger-scale secondary structure transformations. RNA chaperones and helicases operate by lowering the barriers to melting dynamics and then binding with high affinity to the exposed residues (Figure 4) (44, 45). This binding in turn enhances the melting dynamics and thus refolding ability of pairs that neighbor the chaperone/RNA interface.

Melting of weak base-pairs can also expose residues that participate in RNA-RNA tertiary interactions and RNA-protein binding motifs (46–49). In an interesting example, in the ribosomal peptidyl transferase center tertiary interactions with the A-site tRNA induces

melting of a helix-terminating GU pair in the 23S rRNA that otherwise helps to protect the aminoacyl-linkage of the P-site tRNA from spontaneous hydrolysis (50). Melting dynamics also serve as the basis for several regulatory strategies. For example, the interplay between the helicase activity of the ribosome (51) and the melting dynamics of mRNA secondary structures has been proposed to serve as a second genetic code that regulates the rate of translocation and therefore co-translational protein folding (52), and has also been implicated in the mechanism underlying ribosomal frameshifting (53).

### Base-pair Reshuffling

**Overview**—These dynamics typically involve local rearrangements in base-pairing partners in and around non-canonical structures such as apical and internal loops (54). The transitions typically require the disruption of one or two non-canonical or unstable base-pairs, and therefore typically occur at  $\mu$ s-ms timescales, similar to or slightly faster than base-pair opening (54). In general, the alternative base-pairing is energetically destabilized relative to the more favorable state by  $<3$  kcal/mol and therefore have populations of  $\sim 0.5\%$  and lifetimes on the order of  $>50 \mu$ s (54). Compared to global secondary structure transitions, these more localized changes in base-pairing occur at nearly three orders of magnitude faster rates, without the need for assistance from cellular factors or co-transcriptional folding.

An example of such dynamics in an apical loop is provided by HIV-1 TAR, where two such CSs have been identified using relaxation dispersion NMR spectroscopy (Figure 5A) (54). In the energetically favorable CS, the hexanucleotide apical loop adopts a conformation in which G34 forms a cross-loop Watson-Crick pair with C30, leaving other nucleotides unpaired. By contrast, the energetically less favorable CS, which has a population of 13%, adopts a tetraloop conformation closed by trans-wobble U31-G34 and non-canonical A35<sup>+</sup>-C30 wobble base pairs (Figure 5A). Prior observations of higher energy CS states involving C-A<sup>+</sup> base-pairs in RNA (55–57) and G-C<sup>+</sup> Hogsteen base-pairs in DNA (58, 59) suggest that formation of charged base-pairs may be a general feature of Tier 1 dynamics. The ribosomal A-site provides an example of base-pair reshuffling in an internal loop (Figure 5B) (54). Here, adenine and uridine residues alternate between being exposed as a loop or bulge or being sequestered through formation of non-canonical base-pairs.

**Biological significance**—As in global secondary structure transitions, these ‘reshuffled’ CS can differ in whether certain residues are exposed and available for interaction with cellular cues or sequestered through base-pairing interactions. As a result, they can be employed as expose/sequester switches that are much faster than secondary structure transitions. While the function of transient pairing dynamics are still under investigation, several possible biological roles have been proposed.

For example, the higher energy CS in the TAR apical loop discussed above appears to form an auto-inhibited state, as it sequesters residues that are recognized by transcription factors such as Tat (54). Indeed, mutations that stabilize this CS lead to weaker protein binding affinities and inhibit transcriptional activation (Figure 5A). As formation of the A<sup>+</sup>•C pair in this CS requires protonation, dynamics between the two different CSs are pH-dependent and

thus may serve as a regulatory switch. Similar pH-dependent reshuffling of base-pairs have also been observed near the catalytic active sites of the lead-dependent ribozyme (55, 60, 61) and spliceosome (56, 57), and may play important catalytic roles.

For the ribosomal A-site, the higher energy CS sequesters adenine residues that otherwise need to be free to carry out decoding functions (5, 62), and may play a role in processes that bypass decoding such as frameshifting or stop-codon read-through (Figure 5B) (54). In another potential tuning role, a conserved non-canonical motif in one of the helices of the purine riboswitch aptamer was shown to tune ligand affinity and binding kinetics by altering the local pairing dynamics of the ligand-free state (63). More broadly, many internal and apical loops undergo rearrangements in their non-canonical pairs when participating in RNA-RNA tertiary interactions, suggesting that transient pairing dynamics may facilitate these molecular recognition events (64–71).

### Base-pair Isomerization

**Overview**—Two bases can often pair in more than one configuration, representing different sub-states within a secondary structure. For example, there can be a wide variety of G-U, G-G, A-A, and AC base-pairs involving different glycosidic bond angles (*syn* versus *anti*), as well as differences in the protonation state such as for A-C base-pairs (Figure 3) (72, 73). Similar to other base-pair dynamics, these different forms can dynamically exchange on  $\mu$ s-ms timescales or can readily adopt different forms dependent on environmental conditions (55, 74–78). These pairs can also involve rare tautomers (79) and in the case of DNA, even Watson-Crick base-pairs have been shown to transiently adopt Hoogsteen base-pairs (58, 59). However, such WC Hoogsteen base-pairs have yet to be reported in A-form RNA.

**Biological significance**—Isomerizations can significantly alter the chemical presentation of a base-pair by exposing alternative functional groups to the major and minor grooves, and can also affect the overall 3D structure by altering the backbone conformation. These structural changes can play important roles in mediating molecular recognition, such as is observed in binding of the Rev peptide to the HIV Rev Responsive Element (80), RNA tertiary interactions such as in K-turn motifs (81), and specific ion binding in a Group I Intron (82). By changing the local steric profile of the base-pair bordering a junction, these changes may also modulate the inter-helical dynamics across junctions (49). Interestingly, tautomer-driven base-pair isomerizations have been shown to be important in ribosomal decoding (79, 83–85). A recent study reported that uridine tautomerization can allow a non-cognate G-U pair in the mRNA-tRNA minihelix to adopt a WC-like rather than a wobble conformation, changing the steric profile of the pair and circumventing the mechanism used by the ribosome to reject non-cognate codons (79). It should be noted, however, that the high free energy cost of such tautomerizations ensures that decoding accuracy is not significantly comprised (62). Alternatively, post-transcriptional chemical modifications of some tRNA anticodons appear to play an important role in decreasing the energetic cost of tautomerization, allowing the tRNA to form WC-like pairs with multiple different mRNA codons and thus expanding its decoding capacity (83–85).

## Tertiary Structure Dynamics

**Overview**—In many RNAs, distal loops form long-range tertiary contacts that are stabilized by canonical and non-canonical base-pairing, stacking, tightly bound cations, and weaker interactions involving base triples and A-minor motifs (86). Such tertiary interactions play critical roles in stabilizing the overall 3D structure of an RNA and in properly positioning key structural elements that form ligand binding and catalytic sites. The structural elements participating in tertiary interactions can undergo any one of the base-pair dynamic modes, including melting, reshuffling, and isomerization, which result in the dynamic jittering of adjoined stems. In certain cases, these interactions can cooperatively melt, often precipitating large amplitude inter-helical dynamics that lead to global remodeling of 3D structure. Depending on the strength of these interactions, and the extent to which they are disrupted, such motions can occur at timescales ranging between  $\mu$ s-s.

In a growing number of cases it has been shown that tertiary structure dynamics are coupled to other motional modes in Tier 1. As mentioned above, many internal loops undergo reshuffling and melting dynamics upon formation of tertiary contacts. More dramatic changes have also been observed, with the prototypical example being the P5abc domain of the Tetrahymena group I ribozyme. Here,  $Mg^{2+}$  induced folding of tertiary structure is coupled to reshuffling entailing a one base-pair register-shift of the P5c helix. This shift results in a loss of several G-U pairs, but is more than offset by new local non-canonical and long-range tertiary pairs, as well as  $Mg^{2+}$  interactions (Figure 6A) (87). Recent MD and experimental studies have shown that tertiary structure formation and secondary structure reorganization occur concomitantly, with a rate-limiting step that is independent of secondary structure switching (88).

**Biological significance**—By both modulating access and remodeling the structure of binding and catalytic sites, tertiary structure dynamics can serve a multitude of functions. For example, they play a prominent role in catalytic cycles of ribozymes where they are used to achieve processivity and rapid turnover. In a common strategy, an ‘undocked’ inactive conformation enables rapid substrate binding, which then ‘docks’ into the catalytic active site where it is stabilized and aligned for catalysis by tertiary interactions (Figure 6B) (89–91). Following catalysis, melting of these tertiary interactions precipitates transitions back to the undocked state, where the product is efficiently released. In other catalytic RNAs more local rearrangements involving melting and reshuffling of active site tertiary interactions have been implicated as potential drivers of substrate exchange and catalysis (92–95). In riboswitches, local tertiary melting dynamics such as those observed in the ligand-bound preQ<sub>1</sub> riboswitch pseudoknot may help facilitate fast ligand binding and/or unbinding, perhaps tuning switching activity (96).

In addition to facilitating switching between distinct functional states, tertiary dynamics can also serve to toggle a molecule between active and inactive conformations, thus tuning activity. In a unique example, a pH-dependent tertiary folding equilibrium involving formation of base triples between the pseudoknot loop and the pseudoknot helices of the Murine Leukemia Virus read-through element has been shown to dictate the ratio of stop-codon read-through during translation of the MLV mRNA (97). Thus, this equilibrium



controls the cellular ratio of the proteins encoded upstream and downstream of the pseudoknot (97). In the Tetrahymena ribozyme, extremely long lived local tertiary structure heterogeneities in the substrate binding site cause docking kinetics to vary as much three orders of magnitude between individual molecules (98). These slow tertiary structure dynamics, which may arise from differentially bound  $Mg^{2+}$  ions (99) and/or alternative sugar pucker conformations (100), do not alter the rate of single-turnover catalysis. However, they may play roles in other aspects of ribozyme function by serving to destabilize the catalytically competent conformation.

Perhaps the most precise use of tertiary structure dynamics are those exhibited in decoding by the ribosome (5, 8). During tRNA initial selection, tertiary structure dynamics involving formation of A-minor interactions between the ribosomal A-site and anticodon-codon minihelix serve to stabilize cognate mRNA-tRNA pairs, preventing tRNA dissociation and driving 'domain-closure' conformational changes in the ribosome that activate GTP hydrolysis in EF-Tu (Figure 5B) (62, 101–106). Remarkably, a single incorrect base-pair between the mRNA and tRNA is sufficient to disfavor these conformational changes, forming the basis for the  $10^2$ – $10^3$  selectivity of initial selection (107). During the second kinetic proofreading step, a competition between the rates of tertiary structure melting of the tRNA-mRNA minihelix and the rate of accommodation of the tRNA into the ribosome provide a further 10 to 100-fold specificity for cognate tRNAs, as the weaker tertiary interactions of non-cognate tRNAs lead to faster disassociation (105–108).

## TIER 2: JITTERING DYNAMICS

Once an RNA structure has formed with well defined secondary structure, local non-canonical pairing, and tertiary interactions, the residues still undergo a wide range of motions including flipping in and out of bulges and internal loops, sugar repuckering, phosphate backbone reorientations, and collective motions of helical domains. These motions cover a relatively broad range of timescales from ps to  $\mu$ s. Base-stacking dynamics take place at slower ns- $\mu$ s timescales and involve transition states that require disruption of inter-helical stacking across an inter-helical junction, stacking between an unpaired loop residue and a neighboring base-pair, or stacking between two unpaired bases. The extent of these dynamics is highly context dependent, with purine-purine stacks much stronger and thus less dynamic than pyrimidine-pyrimidine stacks (109). Superimposed on top of these dynamics are faster ps-ns jittering dynamics, which can range from small amplitude variations in helical, base pair, and torsion angles in Watson-Crick pairs, to much larger amplitude motions in unstacked and flipped out nucleobases. They can also involve variable amplitude inter-helical motions. Together, these Tier 2 motions span a wider range of timescales as compared to Tiers 0 and 1, but are difficult to decompose into distinct Tiers because the same type of motional mode (e.g. inter-helical dynamics) can take place over the entire range of timescales, and because these distinct motional modes often co-exist and couple to one another.

### Inter-helical Dynamics

**Overview**—Together, local non-canonical pairs and global secondary structure define A-form helical domains that are linked together by various flexible single-stranded junctions.

The relative orientation and translation of these helical domains with respect to one another plays an important role in defining the overall RNA architecture and the relative positioning of groups that are involved in long-range tertiary interactions, catalytic activity, and protein binding (9, 110). In many RNAs, however, helices are not pinned down, but rather undergo large collective motions that take place primarily at ns- $\mu$ s timescales (Figure 7A) (111–122). It is worth noting that slower ms-s timescales have been observed in FRET experiments of isolated four-helix junctions, which likely arise from strong cooperative stacking interactions unique to these junctions (117).

Inter-helical dynamics have been studied at most depth in the 3-nt bulge of HIV-1 TAR, where a variety of NMR and combined NMR-MD studies have revealed that these dynamics represent a superposition of slower stacking and unstacking transitions on  $\mu$ s timescales, and faster ns motions within a given ‘stacked’ basin (111, 112, 123). Specifically, TAR interconverts between a predominately bent conformation that is stabilized by a stacking interaction between one of the bulge residues and the top of the lower helix, and a lower populated coaxially stacked conformation. On ns timescales, the bent conformation fluctuates between multiple inter-helical bends ranging from 20°–90°, whereas the stacked conformation samples only 0–20° bend angles (123). Increasing the salt concentration, or mutations that increase the strength of inter-helical stacking interactions, increase the population of the stacked conformation (124, 125). However, as stacking is usually expected to provide no more than –3 kcal/mol in stabilizing energy (126, 127), even strongly stacked junctions are likely to exist in unstacked conformations with ~1% populations.

An important and universal feature of inter-helical dynamics is that the accessible helical orientations are strongly limited by steric and connectivity constraints, which together are referred to as topological constraints (Figure 7A) (49, 110, 128, 129). These constraints are encoded at the secondary structure and base pair level (Tiers 0 and 1) by the number of unpaired residues within the internal loops that connect a junction’s helices. This makes it possible to construct RNA systems in which helical domains bend in a very directional manner, which can serve a diversity of functions.

**Biological significance**—Inter-helical motions often allow for optimization of inter-molecular interactions with protein and ligand binding partners. For example, high-resolution structures of tRNA, tRNA-protein, and tRNA-ribosome complexes reveal that binding is often accompanied by significant changes in the relative orientation of the four helical domains (130). Similarly, the two helices of HIV-1 TAR adopt highly varied inter-helical orientations when bound to different small molecules, corresponding to the inter-helical conformations that are sampled in the absence of ligand (112, 123, 128, 131). In more complex RNAs, inter-helical motions have been implicated in the ligand recognition processes of many riboswitch aptamer domains (132–138). Interestingly, cofactor-induced inter-helical changes can also serve as transducers, triggering additional functional events. Specifically, successive changes in inter-helical orientations induced by protein binding are thought to help order the assembly of complex RNP machines, including the 30S ribosome (139, 140), the signal recognition particle (141), and telomerase (142).

The low energy barriers and directionality of inter-helical motions also make them an ideal medium for executing the mechanical motions that underlie the processivity and turnover of ribozymes and RNPs such as the ribosome and telomerase. Examples of some of these motions, such as docking and undocking of ribozyme substrates, were mentioned above. However, the most impressive are those exhibited by the ribosome during tRNA translocation (Figure 7B) (143, 144). Collectively referred to as 'ratcheting', these motions involve large allosterically coupled changes in inter-helical conformation of the 30S head and body domains and the 50S L1 stalk, as well as substantial distortions of the tRNA (145–150). These motions remove steric roadblocks to translocation and help transition the ribosome and tRNAs between different intermediates that are stabilized by alternative sets of tertiary interactions. For example, L1 stalk dynamics allow it to form tertiary interactions with P-site tRNAs and then shuttle them to the E-site (151–153). Notably, early theoretical studies demonstrated that ratcheting is inherent to the gross architecture of the ribosome, consistent with a model where these rearrangements are driven by the inherent flexibility of RNA junctions (150). The finding that the inhibitory effects of many antibiotics are in part derived from their ability to alter or arrest ribosomal ratcheting further highlights centrality of these collective motions to ribosome function (154–156).

## Loop Dynamics

**Overview**—RNA secondary structure consists of A-form helical domains that are linked and capped by loops. These single-stranded regions of RNA structure often form important flexible sites for recognition of proteins, RNAs, ligands, and small molecules and for formation of tertiary interactions. Adaptive changes in loop conformation helps optimize these intermolecular interactions, and in the absence of tertiary or ternary stabilizing interactions these regions are among the most dynamic in RNA. Similar to inter-helical dynamics, loop dynamics occur at ps- $\mu$ s timescales, corresponding to large amplitude jittering dynamics of unstacked residues, smaller jittering of stacked residues, and slower transitions involving exchange between alternatively stacked conformations. Such dynamics can lead to isolated local changes in 3D structure, or, for loops located in inter-helical junctions, can drive global inter-helical dynamics (111, 123).

Loop dynamics are well illustrated by the extensively studied GNRA tetraloop (Figure 7C) (55, 157–162). While the bookending G and A residues form a non-canonical Hoogsteen pair, which transiently melts on  $\mu$ s timescales, the middle N (any base) and R (purine) residues adopt a heterogeneous set of conformations that feature different stacking arrangements on top of the GA pair and that interconvert on  $\mu$ s timescales. In turn, the most solvent exposed residue of each sub-conformation exhibits faster ps-ns unstacking and jittering dynamics, which interestingly appear to be in part dependent on the protonation states of the loop residues (163). A similar separation of timescales between the dynamics of paired and loop residues is observed for the dominant pairing state of the internal loop of the ribosomal A-site (Figure 5B) (54, 62). In the absence of tRNA, the unpaired and weakly stacked A93 undergoes fast ns motions as it rapidly moves in and out of the helical junction. This contrasts with A92, which forms a non-canonical pair with A08 and exhibits slower base-pair melting dynamics.

**Biological significance**—As mentioned above, the ability of unpaired residues to adopt alternative conformations with low energetic penalties is heavily utilized in RNA recognition processes, allowing the RNA loop to adapt to its molecular partner (164–166). In a recent interesting example, structural changes in an mRNA apical loop induced by binding of either one of two proteins were shown to mediate the cooperative binding of the second protein to the same motif (167). In all of these cases, it is worth emphasizing that the observed adaptation corresponds to stabilization of preexisting low free energy conformations. Notably, strongly stacked residues are unlikely to adopt unstacked conformations, which is illustrated by the overwhelming propensity of GNRA tetraloops to adopt fully stacked conformations when participating in tertiary interactions (160). Likewise, studies of the apical loop and 3-nt bulge motif of HIV-1 TAR indicate that the various ligand-bound conformations of these regions strongly correlate with those that are sampled by TAR in the absence of ligand (Figure 7D) (123, 131, 168). Thus, whether weakly stacked and highly dynamic, or more strongly stacked and exhibiting only small local jittering, even at this highest level the RNA free energy landscape is tightly linked to function.

## INTERDEPENDENCE OF SUBSTATES ACROSS TIERS

One of the more interesting features of the RNA free energy landscape and dynamics that is just beginning to be explored is the interdependence of CSs across different tiers. For example, a given secondary structure at Tier 0 may only be able to form a single set of tertiary interactions in Tier 1, thus in a sense encoding the properties of Tier 1. Similarly, the number of different loop conformations along Tier 2 can influence the entropic cost associated with forming tertiary interactions along Tier 1. An exciting aspect of these interdependencies is that interactions that stabilize specific CSs in higher order tiers can propagate into stabilization of specific CSs in lower tiers. This can potentially increase the points of entry for effecting RNA conformational change. Below, we discuss some of the better-understood dependencies and their potential connections to biological function. Although not the topic of this review, it is worth noting that the coupling between tiers can be much more complex in the folding of complex RNAs from unstructured states (169).

### Secondary Structure and Tertiary Dynamics

One of most important inter-dependencies among tiers is that between tertiary and secondary structure, where free energy supplied by tertiary interactions helps stabilize a secondary structure that would otherwise be unstable. This is exemplified by riboswitches, where ligand binding and subsequent formation of other tertiary interactions provides the necessary interaction energy to stabilize the secondary structure switch either at equilibrium, or transiently during co-transcriptional folding (Figure 8A) (23, 28, 170). In other cases, proteins that stabilize RNA tertiary interactions result in stabilization of specific RNA secondary structures. For example, coupled binding of the maturase and Mrs1 protein cofactors to the RNA of the bI3 group I intron stabilizes native tertiary contacts, promoting a reorganization of a non-native intermediate secondary structure (171). Similar protein induced secondary structure rearrangements play important roles in ribosome assembly (172, 173).

## Tertiary and Loop Dynamics

Tertiary structure dynamics involving the formation and melting of loop contacts are tightly linked to loop dynamics of the melted state. The extent of these loop dynamics, and their relative order or disorder, encode an entropic penalty for folding. For example, it has been suggested that the extensive loop dynamics of the single-stranded tRNA 3' CCA play a critical role in resisting tRNA accommodation on the ribosome, a transition which involves formation of several tertiary pairs between the 3' CCA and the ribosome peptidyl transferase center (174). The entropic barrier presented by these dynamics helps order the accommodation process, preventing premature 3' CCA entry and peptide transfer, and may also help tune accommodation kinetics, which is important for kinetic proofreading. Another recent example can be found in the preQ1 riboswitch aptamer. In this system, strong stacking interactions in the ligand-free state order the loop that folds to encapsulate the ligand upon binding (Figure 8B) (175, 176). Mutations that decrease stacking, and thus increase loop dynamics, significantly reduce ligand affinity.

## Tertiary and Inter-helical Dynamics

As was discussed previously in the inter-helical dynamics section, the basin of inter-helical conformations defined by secondary structure can be quite limited. Emerging research has indicated that these limitations can directly affect tertiary structure dynamics by both modulating the accessibility of the inter-helical conformations needed to form a given tertiary structure, as well as by modulating the entropy of the unfolded state (110). For example, theoretical work of a model two-helix junction has demonstrated that inter-helical dynamics strongly discriminate against the formation of tertiary contacts between some helical faces but allow others (Figure 8C) (129). Subsequent studies have since suggested that this property of inter-helical dynamics is broadly used by RNAs to encode their native folds (49, 128, 177–179). Importantly, such a strategy may be how RNAs are able to overcome the limited information content of tertiary interactions, some of which like A-minor motifs appear to have little sequence specificity (180, 181). Limited inter-helical dynamics may also explain the ability of distal tertiary interactions to cooperatively stabilize each other, a property shown to be crucial to tertiary structure stability (182, 183).

## Base Reshuffling and Inter-helical Dynamics

As mentioned above, alternative stacking conformations of junction residues can result in distinct inter-helical orientations. Base-reshuffling dynamics can have even greater effects by redefining junction topology and thereby driving even larger changes in inter-helical orientation. Consider for example the ribosomal A-site RNA system. We previously noted that the A-site internal loop exhibits base-reshuffling dynamics between two alternative local base-pairing CS (Figure 5B) (54). Both states feature effectively a single bulge residue; however, in the dominant state, A93 is the bulge, whereas in the second less energetically favorable state, the bulge is migrated two base-pairs down to U95. This migration of the bulge redirects the topologically allowed inter-helical orientations, allowing certain inter-helical orientations to be sampled that would otherwise be inaccessible in the more energetically favorable junction topology (Figure 8D). Similar topology altering base-pair dynamics induced by tertiary interactions or protein binding may also modify inter-helical

dynamics and affect downstream behavior (49, 99). Alternatively, the number of inter-helical conformations available to different CS may influence base-pair reshuffling equilibrium through entropic effects. Although such couplings are only beginning to be uncovered, we predict that they are likely used in RNA to transmit local changes in structure into larger scale changes.

## CONCLUSIONS

The past decade alone has seen an astounding explosion in the number of biological roles associated with RNA. While the mechanisms of action and indeed functions of most of these RNAs remain to be elucidated, given our current understanding of RNA biology it is virtually assured that dynamics will serve as a defining feature. As the complexity of the RNA functional universe grows, it will only become more important to establish a common framework for understanding recurrent dynamics strategies.

As laid out above, we suggest that RNA dynamics can be naturally classified in terms of transitions between basins on different tiers of a hierarchical free energy landscape. This description in turn reveals that the same type of motion is often used to effect a particular kind of mechanism which can in turn be wired appropriately into biological circuits to achieve diverse functional outcomes. Thus, secondary structure transitions and base-pair dynamics can serve to expose or sequester key functional elements, while jittering motions play a universal role in conformational adaptation and driving the motions that power RNA and RNP machines. Additional dynamic complexity can be achieved by coupling distinct motions, thus presenting several points of entry for triggering a given type of overall RNA dynamics. Despite the limitations of the above classification – it is not always possible to deconvolute dynamics within a single tier into individual motional modes, and the large range of timescales covered by tertiary and secondary structure dynamics can blur the distinction between the two – it is our hope that such an approach will serve as a first step in facilitating a more universal understanding of the link between RNA function and dynamics.

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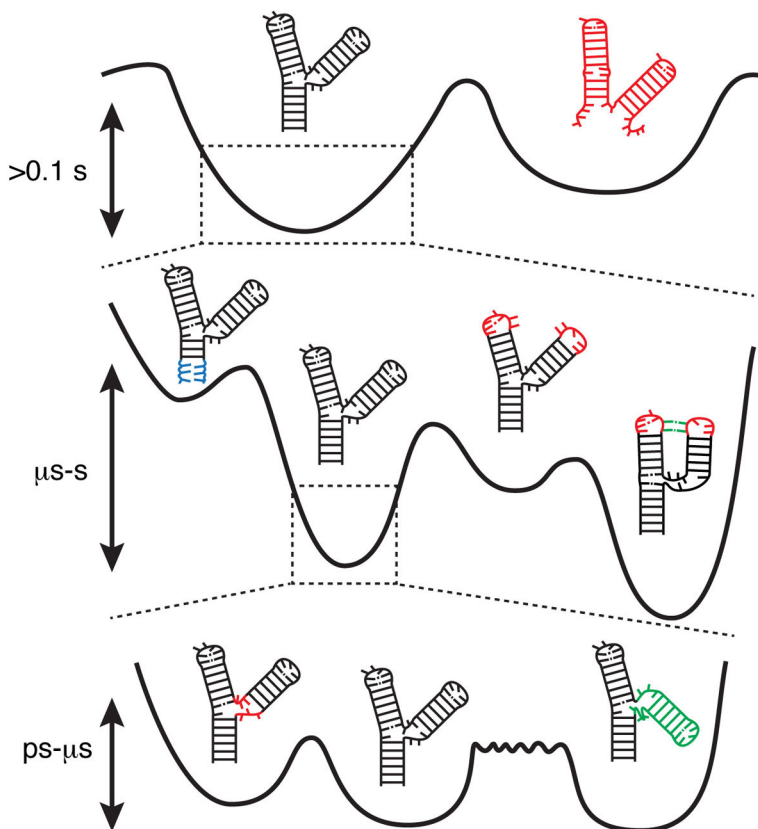
**SUMMARY POINTS**

1. RNA dynamics can be classified in different motional modes that take place on different tiers of a hierarchical free energy landscape.
2. RNAs often harness multiple modes to achieve complex functionality.
3. Functional transitions primarily occur between pre-existing favorable conformational substates of quiescent RNAs.
4. RNA dynamics can involve very large changes in structure, but these changes are directed to only a limited number of favorable substates.



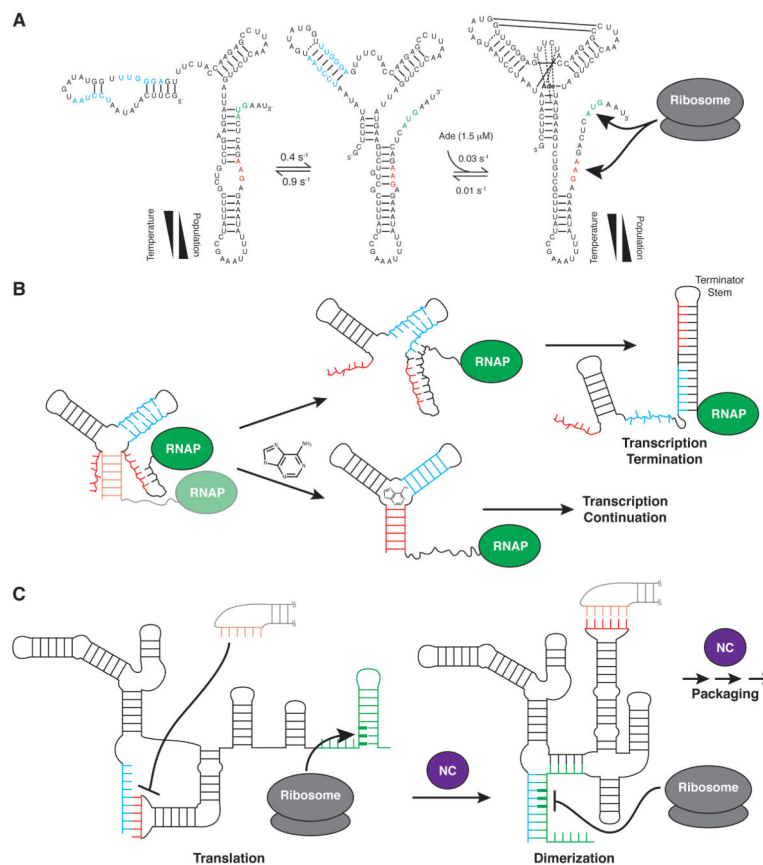
### FUTURE ISSUES

1. What is physical basis and functional importance of the long-lived  $Mg^{2+}$ -dependent tertiary structure heterogeneities that have now been observed in several different nucleic acids?
2. How do environmental factors such metal ions and molecular crowders influence the RNA free energy landscape and the dynamic modes?
3. How important are the inter-dependencies between different dynamics tiers to RNA function?
4. Are there other motional modes that are yet to be discovered?



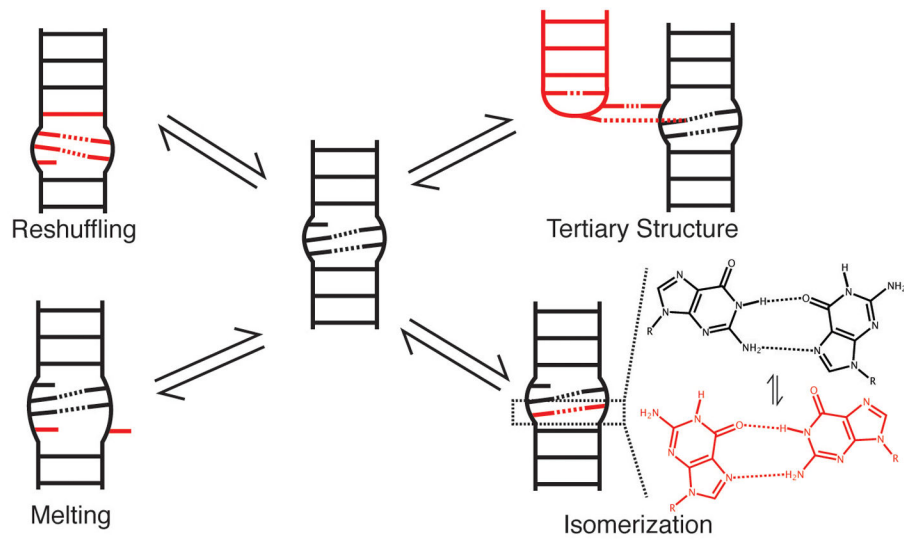
**Figure 1.**

The different tiers of RNA dynamics. At the lowest level of the hierarchy are secondary structure dynamics, which define broad free energy basins with high separating barriers. Within each secondary structure are smaller alternative base pairing arrangements that define Tier 1 dynamics. These include base pair melting (blue, left), reshuffling (middle right, red), and tertiary pairing (green). Each local pairing basin in turn defines a limited set of 3D conformations, transitions between which comprise Tier 2 dynamics. These dynamics include loop dynamics (left, red) and inter-helical dynamics (right, green). Although inter-helical and loop-dynamics have similar barrier heights, due to the larger number of involved coordinates inter-helical dynamics typically proceed more slowly (long rough separating barrier).

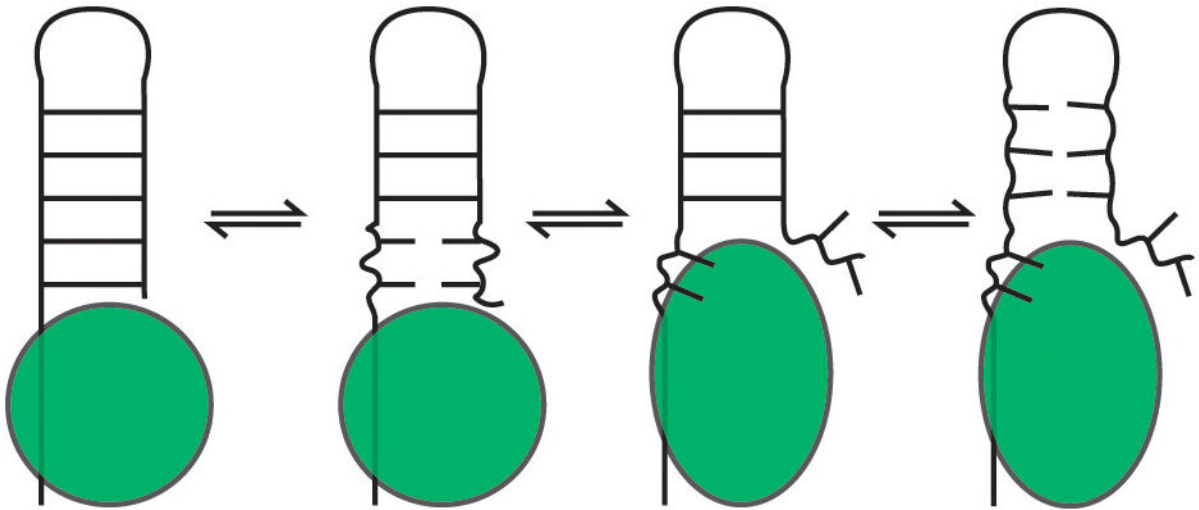


**Figure 2.**

(A) Three state secondary structure equilibrium of the *add* adenine riboswitch. In the adenine-bound conformation both the start codon (green) and ribosome binding site (red) are exposed, upregulating translation. The temperature dependence of the apo-state secondary structure equilibrium offsets the increased ligand affinity of the binding-competent conformation at low temperature (30). (B) Example of a transcriptional acting adenine riboswitch. Ligand binding stabilizes a transient secondary structure, sequestering residues that would otherwise pair with downstream transcribed sequences to form the thermodynamically favored terminator stem. (C) The HIV-1 5' leader couples exposure of the start codon of the downstream-encoded *gag* protein to sequestration of the dimerization initiation site (DIS; red), promoting translation while inhibiting dimerization (left). In a process promoted by the nucleocapsid chaperone protein (NC; purple), the leader undergoes a secondary structure switch that exposes the DIS and sequesters the start codon, attenuating translation and promoting dimerization, which initiates genome packaging (right) (40).

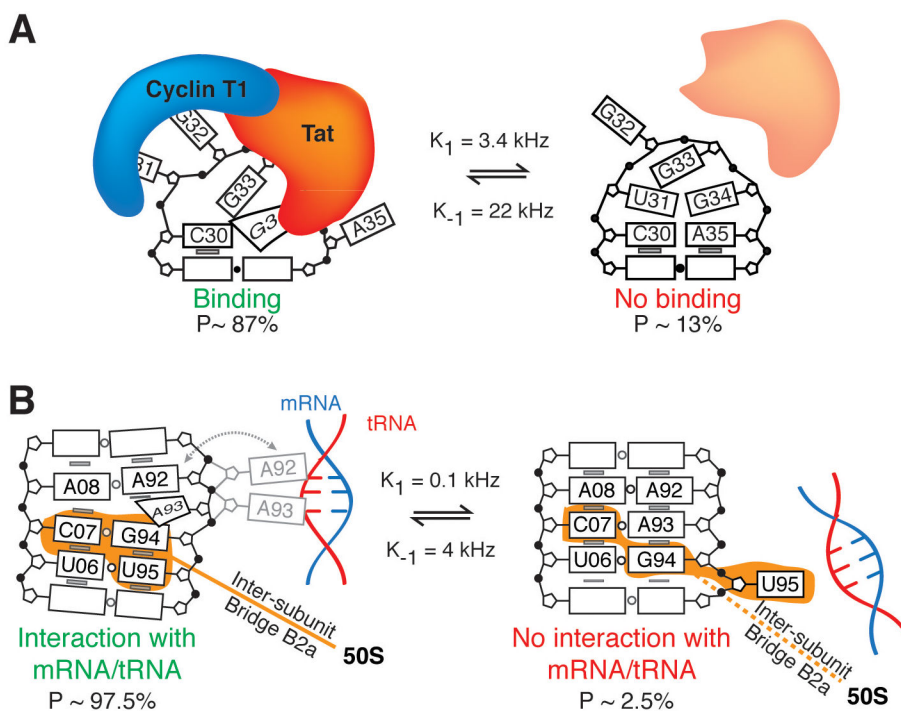


**Figure 3.**  
Modes of Tier 1 dynamics.

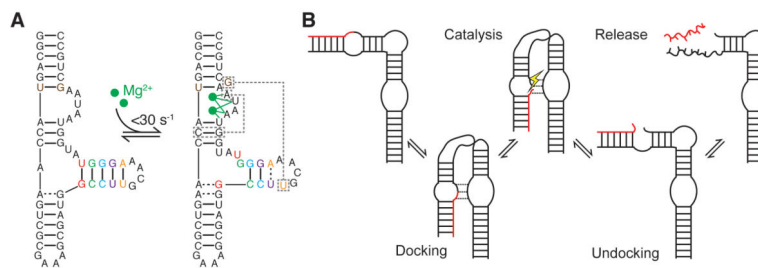


**Figure 4.**

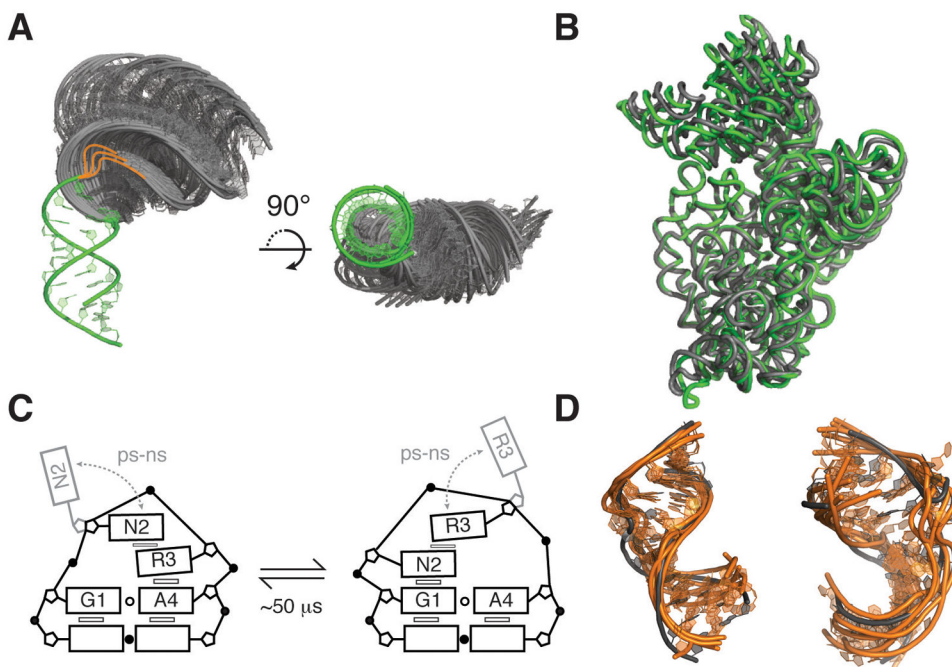
Example of an RNA chaperone. The bound chaperone destabilizes the neighboring RNA helix, promoting melting dynamics, and then binds the exposed nucleotides. The other strand is released and can interact with other RNAs, and the remainder of the helix is also destabilized.

**Figure 5.**

Functions of base-pair reshuffling dynamics. **(A)** In the HIV-1 TAR apical loop, the minor CS sequesters residues involved in HIV Tat and Cyclin T1 binding during transcriptional activation (54). **(B)** In the major CS of the ribosomal A-site, A92 and A93 are free to interact with and stabilize cognate mRNA/tRNA minihelices during decoding, indicated by the gray dashed arrow and alternative A92/A93 conformation. The minor CS sequesters these residues, inhibiting decoding and also disrupting the B2a inter-subunit bridge (54).

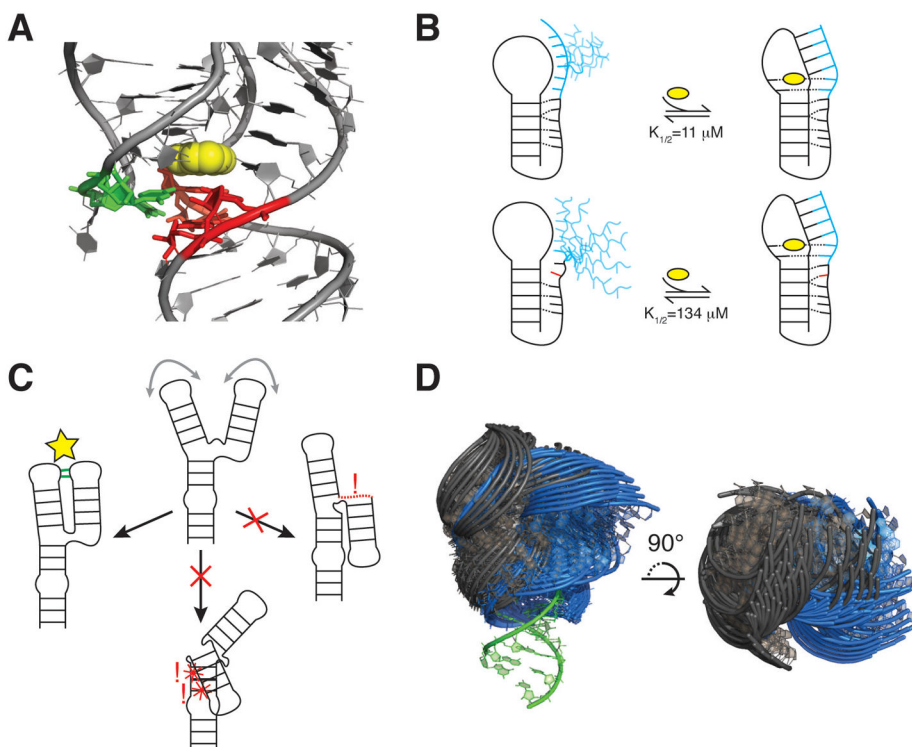


**Figure 6.** (A) Coupling of base-reshuffling and tertiary dynamics in the P5abc domain of the *Tetrahymena thermophila* group I ribozyme. Upon binding of two Mg<sup>2+</sup> ions (184), the P5c stem (colored) undergoes a 1-nt register shift, releasing U168 to participate in a long-range pair (right, boxed). Additional tertiary interactions, which are not shown, are also formed upon folding. NMR studies observed the two conformations to be in slow exchange (87), with agreement from recent stopped flow experiments (88). Dashed lines indicate non-canonical pairs. (B) Enzymatic cycle of the hairpin ribozyme (91).



**Figure 7.** Modes and functions of Tier 2 jittering dynamics. (A) View of 50 most probable inter-helical conformations for a 3-nt two-helix bulge junction with the lower stem superimposed (green). Most probable conformations were obtained from coarse-grained model simulations that include only steric and connectivity forces (178). Bulge residues were included in coarse-grained modeling but not shown in the figure, instead drawn as orange lines highlighting the possible paths of the bulge. (B) Superposition of classical (green; PDB ID 2WDG) and ratcheted EF-G bound 16S rRNA conformations (grey; PDB IDB 4JUW), highlighting the large inter-helical dynamics associated with ribosomal translocation. The rRNAs were superimposed using residues 1410–1430 and 1470–1490 of H44, with H44 facing the page. (C) Dynamics of the GNRA tetraloop observed by fluorescence spectroscopy (159). Exchange timescales correspond to rates measured by base relaxation (55) and sugar carbon NMR relaxation dispersion experiments (158). (D) Superposition of ligand bound HIV-1 TAR structures (grey) with five conformers from a high-resolution NMR-MD ensemble that have the lowest heavy-atom RMSD to the ligand-bound structures (orange) (123). Left, PDB ID 1LVJ. Right, PDB ID 1UTS.





**Figure 8.** Interdependencies of CS across Tiers. (A) Aptamer domain of the *add* adenine riboswitch in complex with adenine (yellow) (PDB ID 1Y26). P1 stem base pairs shown to be unstable in the absence of ligand are shown in red (30), with J2/3 residues that provide stabilizing A-minor interactions shown in green. (B) Stacking interactions limit loop dynamics and pre-organize the 3' tail for ligand binding and pseudoknot folding in the wild-type *Bsu* preQ<sub>1</sub> riboswitch aptamer (top). An A-to-C mutation distal from the ligand binding pocket disrupts stacking, increasing dynamics and reducing ligand/riboswitch affinity (bottom) (176). The preQ<sub>1</sub> ligand, 3' tail, and mutation are shown in yellow, blue, and red, respectively. (C) Topological constraints preclude a three-way junction from forming two of three possible tertiary interactions. Right, the interaction is precluded due to connectivity. Bottom, the interaction is precluded due to sterics. (D) View of 50 most probable inter-helical conformations for two 1-nt bulge junctions with lower stem superimposed (green). The bulge of the blue junction is located two base-pairs below that of the grey junction. Most probable conformations were obtained from coarse-grained model simulations that include only steric and connectivity forces (178).