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## The Role of Adams in Notch Signaling

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### Abstract

Regulated intramembrane proteolysis (RIP) is a highly conserved signaling paradigm whereby membrane-bound signaling proteins are cleaved in their transmembrane region and then released into the cytoplasm to act as signaling molecules. In most if not all cases intramembrane cleavage is preceded and regulated by a membrane proximal cleavage step called “ectodomain shedding”. Here we will review the role of ectodomain shedding in RIP of the NOTCH signaling pathway, a highly conserved cell-cell communication pathway that mediates cell fate decisions during development and in adult tissues.

### INTRODUCTION

RIP is a widespread signaling paradigm where transmembrane proteins are cleaved within their transmembrane domain to release a cytosolic signaling fragment that can enter the nucleus to control gene transcription.<sup>1</sup> The RIP is conserved from bacteria to humans<sup>2</sup> and controls many diverse processes from lipid metabolism,<sup>3</sup> the unfolded protein response,<sup>4</sup> Epidermal Growth Factor (EGF) signaling,<sup>5</sup> antigen presentation,<sup>6</sup> production of the beta Amyloid Precursor Protein (APP $\beta$ )<sup>7</sup> and cell fate determination by the Notch signaling pathway.<sup>8</sup> There are 4 classes of Intramembrane Cleaving Proteases (I-CLIPs), Aspartyl Proteases, Presenilins (PS) and Signal Peptide Peptidases (SPP), Serine Proteases (Rhomboid) and Metalloproteases (Site-2 Protease (S2P)). No cysteine or threonine proteases have been identified that exhibit I-CLIP activity.<sup>9</sup> I-CLIPs are polytopic membrane proteins with catalytic residues within their transmembrane domains (TMD) that hydrolyze peptide bonds within the lipid bilayer.<sup>10</sup> PS and Rhomboids cleave Type I transmembrane proteins whereas SPP and S2P cleave Type II substrates. A common but not exclusive feature of RIP is that intramembrane proteolysis precedes ligand binding and ectodomain shedding.<sup>11</sup> Cleavage by Rhomboids is an exception and does not require juxtamembrane cleavage prior to intramembraneous cleavage. Here we will review our current understanding of how a membrane bound family of metalloproteinases regulate ectodomain shedding and RIP of the NOTCH signaling pathway under physiological and pathological conditions and how this knowledge may be applied for therapeutic intervention.

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<sup>1</sup>White lab: [http://people.virginia.edu/~jw7g/Table\\_of\\_the\\_ADAMs.html](http://people.virginia.edu/~jw7g/Table_of_the_ADAMs.html).

<sup>2</sup>MEROPS Protease database (REF): <http://merops.sanger.ac.uk>

## NOTCH SIGNALING

The Notch pathway is a highly conserved signaling cascade in multicellular eukaryotes and controls spatial patterning, morphogenesis and homeostasis in embryonic and adult tissues.<sup>12,13</sup> Notch proteins orchestrate tissue homeostasis through receptor ligand interactions on adjacent cells.<sup>14,15</sup> Disruption of this homeostatic control by a deregulated Notch cascade underlies cancer formation in several organs.<sup>15,17</sup> Notch receptors (N1 to N4) and ligands (i.e., Delta, Jagged) are Type I transmembrane glycoproteins that transduce signals by binding to membrane bound ligands on adjacent cells. Most if not all Notch functions reported require RIP and constitute the cleavage-dependent or canonical Notch signaling pathway. Upon ligand binding, Notch receptors undergo two successive proteolytic cleavages: an ectodomain cleavage followed by intramembrane proteolysis by  $\gamma$ -secretase<sup>18</sup>. This process releases the Notch intracellular domain (NICD), which translocates to the nucleus and binds CBF1/Suppressor of Hairless/Lag-1 or CSL (RBP-Jk in mice) to activate its target genes.<sup>19,23</sup> In the absence of ligand the Notch juxtamembrane localized heterodimerization domain (HD) inhibits extracellular proteolysis and activation.<sup>24,25</sup> The Notch cascade is deregulated in many human cancers and oncogenic mutations in Notchi are frequently found in human T-cell leukemia that map to the HD and PEST domain,<sup>26</sup> making Notch proteolysis an attractive therapeutic target (Fig. 1).

In *Drosophila melanogaster*, a single prototypical Notch protein is found and two ligands Delta and Serrate (Jagged in mammals). In the nematode *Caenorhabditis elegans*, several Delta-Jagged type ligands (LAG-2/Apx2) are found and two Notch-like receptors, Lin12 and Glp-127. In mammals four Notch genes (Notch1-4) and five ligands: Jagged1-2, Delta-like ((Dll) 1, 3 and 4) are known. These ligands are collectively called DSL ligands (Delta and Serrate/ in *Drosophila* and LAG-2 in *C. elegans*).<sup>8</sup> Notch Ligand receptor interactions are regulated by O-linked glycosylation/fucosylation of EGF-like repeats in Notch receptor and DSL ligands by Fringe proteins during ER-Golgi transport.<sup>28-30</sup> Glycosylated Notch receptors have higher affinity for Delta than for Jagged type ligands.<sup>31</sup> Physiological Notch activation is triggered by ligand binding and governed by RIP. Most if not all function of Notch require proteolysis.<sup>32,33</sup>

### NOTCH PROTEOLYSIS SITE-1 (S1, FURIN, SERINE PROTEASE)

During maturation in the *trans*-Golgi network, Notch precursors are first cleaved at Site-1 (S1) by Furin-like convertase producing a heterodimeric Type I receptor with the Notch extracellular domain (NECD) non-covalently bound to a transmembrane/intracellular fragment (TMIC).<sup>34-36</sup> Whereas in mammals most canonical (CSL dependent) Notch1 signaling requires furin there are exceptions.<sup>37</sup> S1 cleavage of dNotch is infrequent and not required in flies.<sup>38</sup> Whereas mammalian Notch1 receptors are dependent on furin for proper cell surface expression furin cleavage appears dispensable for Notch2 trafficking and signaling. No information is available on the requirement of furin for Notch3 and Notch4 signaling although they are likely processed in a similar manner.<sup>39</sup> In the absence of ligand mature Notch receptors are held into an inactive “proteolysis resistant” or closed state because the Negative Regulatory Region (NRR) composed of the HD domain and the globular Lin12/Notch repeats (LNR) inhibits Notch activation.<sup>34,40,41</sup> Ligand binding to

Notch receptors unfolds the NRR permitting cleavage by a metalloprotease at a site close to the membrane (S2) (Fig. 1).<sup>24,42</sup> Another model involves transendocytosis of NECD upon ligand binding and dissociation of NECD and TMIC heterodimer followed by S2.<sup>43</sup> The latter model however is not consistent with the lack of furin cleavage of dNotch in flies<sup>38</sup> and the activity of ligand-independent gain of function mutations in the NRR although some ligand-requirement still exists.<sup>24,44,45</sup> Not unexpectedly cancer-causing mutations localize to the HD in almost 50% of sporadic human T-ALL causing increased NOTCH1 cleavage and activity.<sup>26, 44</sup>

### **SITE-2 (S2, ADAM10, METALLOPROTEASE)**

The S2 cleavage occurs close to the proximal juxtamembrane stalk of the extracellular domain and leads to the shedding of most of the NECD. S2 cleavage is performed by a zinc-dependent metalloprotease and occurs in response to ligand binding that induces a conformational change.<sup>46-48</sup> The membrane-bound cleaved form is termed Notch Extracellular Truncation (NEXT) and is a rate-limiting substrate for the intramembrane cleaving aspartyl protease Presenilin. S2 cleaved Notch fragments are extremely short-lived and can only be observed when blocking  $\gamma$ -secretase processing.<sup>25,48</sup>

### **SITE-3 (S3, PRESENILIN, ASPARTYL PROTEASE)**

Following S2 cleavage, NEXT proteins are cleaved within their transmembrane domain by the GxGD aspartyl protease Presenilin (S3), part of a multi-protein complex termed  $\gamma$ -secretase, releasing the Notch Intracellular Domain (NICD) and the juxtamembranous N $\beta$  peptide.<sup>18</sup> NICD translocates to the nucleus where it binds to the DNA-bound protein CSL together with co-activator proteins Mastermind, leading to target gene activation.<sup>49</sup> The non-canonical signaling pathway that does not rely on CSL (in mice RBP-J $\kappa$ ), is less well understood and therefore not further discussed here.<sup>50</sup> There is very little evidence for a RIP independent Notch pathway activation. Excellent and more comprehensive reviews on the Notch activation cascade have appeared elsewhere.<sup>8</sup> Here we will summarize our current understanding on the role of ADAM metalloproteases in the activation of Notch signaling cascade and how this may be exploited for therapeutic intervention in diseases with aberrant Notch signaling.

## **THE ADAM FAMILY OF PROTEASES**

Disintegrin Metalloproteases (ADAMs) are a distinct class of metalloproteases that have both protease and adhesion domains and play key roles in cell-cell and cell-matrix interactions in diverse cell biological processes such as cell adhesion, fertilization and cytokine and growth factor receptor signaling. ADAMs have a broad tissue expression and are mainly regulated at the posttranslational level. They function as signaling scissors regulating the activity of transmembrane signaling molecules and release soluble ectodomains with an altered location and function.<sup>51,52</sup> In total 40 ADAM family members have been identified in the animal kingdom. Annotated ADAMS of different species can be found at the White Laboratory (web site 1). Currently in humans 22 ADAM genes are known while in mice 34 ADAM genes have been identified.<sup>53</sup> ADAMs are membrane bound zinc-dependent metalloprotease that belong to the class of astacin/adamalsin family

(MEROPS, web site 2).<sup>54</sup> The adamalysins subfamily is comprised of snake venom metalloproteases (SVMP), the membrane bound ADAM and secreted ADAM-TS family. The latter can be structurally distinguished from ADAMs by their various numbers of thrombospondin-like (TS) motifs.<sup>55</sup> ADAM proteins are multidomain proteins that consist of several conserved domains. The amino terminus is composed of a pro-domain followed by the catalytic domain, a disintegrin domain, a cysteine-rich domain containing epidermal-growth factor (EGF)-like repeats, transmembrane domain, and cytoplasmic tail (Fig. 2). The prodomain keeps the protease in a latent state and is clipped off by proprotein convertases (furin) during maturation in the secretory pathway. Some ADAMs lack the furin cleavage site and are activated by autocatalysis.<sup>56,57</sup> The metalloprotease domain encodes the catalytic core sequence **HEXXHXXGXX(H/D)**,<sup>58</sup> that is conserved among ADAM members and comprised of three histidine (**H**) residues that act as a ligand for the catalytic zinc atom ( $Zn^{2+}$ ) complexed with the  $O_2$  atom from a  $H_2O$  molecule. During catalysis, the  $Zn^{2+}$  promotes nucleophilic attack on the carbonyl carbon by the oxygen atom of a water molecule at the active site. The conserved glutamate (**E**) facilitates this reaction by extracting a proton from the attacking water molecule.  $Zn^{2+}$  chelating drugs such as hydroxamate-type inhibitors can inhibit most ADAMs although these are mostly broad-spectrum inhibitors.<sup>59</sup> An intramolecular complex can be formed through a cysteine residue in the prodomain and the  $Zn^{2+}$  in the catalytic domain keeping the ADAMs catalytic domain inactive, this is called the cysteine switch mechanism.<sup>60</sup> For some ADAMs the disintegrin domain (web link 3, PF00200) acts as a receptor antagonist and blocks integrin binding and platelet aggregation.<sup>51</sup> The cysteine-rich domain (web link 3, PF08516) is thought to complement the disintegrin domain in substrate binding. For example the disintegrin and cysteine-rich domains of ADAM13 bind to both integrin receptors and to fibronectin.<sup>62</sup> Besides binding of other proteins on the cell surface there is no evidence that supports another function of the cysteine-rich domain. ADAM proteins differ mostly in their cytoplasmic tails, which are involved in inside-out regulation of metalloprotease activity, outside-in regulation of cell signaling, maturation and subcellular localization and are highly variable in both length and sequence. There are several common motifs including a binding site for SH3-domain containing proteins and putative phosphorylation sites (Fig. 2).<sup>53</sup> In mammals, many ADAMs are expressed exclusively in the male gonads, including ADAM2, 7, 18, 20, 21, 29, and 30. The ADAM8, 9, 10, 11, 12, 15, 17, 19, 22, 23, 28, and 33 have known catalytic activity and a more widespread expression patterns. ADAM-deficient mice have been generated by many groups which are discussed elsewhere.<sup>63</sup> Loss of ADAM2 and 3 both lead to male sterility<sup>64,65</sup> while ADAM9 deficient mice do not show any obvious pathology despite its ubiquitous expression.<sup>66</sup> Moreover, mice that are deficient for ADAM 9, 12 and 15 (Meltrin KO) are viable and fertile and resembled wild type mice with no defects in shedding of EGFR ligands.<sup>67</sup> This suggests a high functional redundancy between members of the ADAMs family (web link 4).<sup>68</sup> The Tissue Inhibitors of Metalloproteases or TIMPs are a family of highly homologous proteins that are the major natural inhibitors of ADAMs.<sup>69</sup> There are 4 TIMPs and all have been found to have some activity against one or more ADAMs and act in a 1:1 stoichiometry. For example ADAM10 and ADAM17 are both

<sup>3</sup>Protein families and Domains: <http://pfam.sanger.ac.uk>

<sup>4</sup>GSI clinical trials: <http://clinicaltrials.gov/ct2/results?term=NOTCH+and+CancerGSIclinicaltrials>

inhibited by TIMP3<sup>70,71</sup> and mice lacking *Timp3* have an impaired inflammatory response due to deregulated TACE/ADAM17 activity.<sup>72</sup> In most cases the physiological relevance of TIMPs on ADAMs remains less clear and requires more study.<sup>52,68</sup> There is ample experimental evidence from flies, worms, and mammals that implicate the ADAM sheddases in the direct cleavage and activation of Notch signaling pathway.

## NOTCH RECEPTOR CLEAVAGE BY ADAMS

*D. melanogaster* express a single Notch protein, and a total of five ADAM metalloproteases.<sup>73</sup> There are two homolog's for ADAM10, Kuz and Kuzbanian-like (Kul) and for ADAM12, DMeltrin and mind-meld (Mmd) and one for tumor necrosis a (TNFa) converting enzyme (TACE)/ADAM17, dTACE.<sup>73-75</sup> The nematode *C. elegans* has two Notch homologs LIN-12 and Glp-1.<sup>27</sup> In worms two ADAMs have been reported to regulate LIN-12/Glp-1/Notch signaling, SUP-17/ADAM10 and ADM-4/ADAM17, respectively.<sup>76</sup>

Genetic studies in *D. melanogaster* and *C. elegans* have demonstrated that ADAM10 (Kuz)/Sup-17 plays a critical role in Notch/LIN-12 signaling *upstream* of S3  $\gamma$ -secretase cleavage.<sup>77-79</sup> Early fly studies had suggested involvement of Kuz in Notch signaling because of the similarity of the Kuz-mutant phenotype with Notch pathway loss of function phenotypes, caused by defects in lateral inhibition leading to central nervous system hyperplasia and a multiple bristle phenotype.<sup>80</sup> Maternal and zygotic Kuz mutant flies have a more severe phenotype than Notch mutant flies suggesting that Kuz has other substrates as well. For instance, Kuzbanian plays an important role in neuronal guidance by cleavage of Eph/Ephrin receptors.<sup>81-83</sup> Sotillos and Pan provided the first comprehensive analysis on the role of Kuz in the regulation of Notch receptor signaling in flies. Both studies revealed defects in *Kuz*-mutant flies in tissues whose fate is determined by canonical Notch activity.<sup>77, 78</sup> Sotillos cloned the *Kuz* gene from a P-element insertion in mutant flies and demonstrated, by epistatic analysis were, it functions in a dose-dependent manner upstream of S3/NICD cleaved Notch. Notch ligand dependent hyperactive alleles (*Abruptex*) are less active in the absence of *Kuz* whilst NICD rescues partly *Kuz* phenotypes. Altogether these studies demonstrated that Kuz acts cell autonomously in the signal-receiving (Notch expressing) cell, downstream of ligand binding but upstream of S3/NICD cleavage. Since several of the *Kuz* phenotypes seemed less severe than the Notch phenotypes alternative ways for Notch activation must exist.<sup>77</sup> Pan and Rubin demonstrated that *Kuz* phenotypes in flies and frogs could be rescued by wild type mammalian ADAM10 expression but not by catalytic-dead mutants demonstrating a requirement for proteolytic activity. Similar findings were reported by Wen and colleagues who showed that SUP-17/*Kuz* phenotypes mimicked Notch/LIN-12 phenotypes in worm.<sup>79</sup> Several groups proposed that Kuz was the protease responsible for furin cleavage (S1) of Notch.<sup>35,78,79</sup> It was only after studies in mammalian cells that demonstrated that the ectodomain cleavage of Notch1 receptor was regulated by ligand binding and is distinct from furin/S 1 cleavage during receptor maturation.<sup>48</sup> This cleavage was coined S2 and sensitive to inhibitors of metalloproteases and not to furin inhibitors and occurred between the non-conserved residues Ala1710 and Val1711 (Fig. 3).<sup>47, 48</sup> This finding was later confirmed on endogenous Notch1 signaling as well.<sup>25</sup> By biochemical purification ADAM17/TACE was shown to be required for Notch1 cleavage at Val1711 *in vitro* excluding Kuz/ADAM10.<sup>47</sup> Also in ligand independent Notch signaling

(using constitutively active receptors lacking the EGF-repeat and NRR domains) these proteins still underwent furin/S1 cleavage,<sup>34</sup> which occurred in the absence of Kuz/ADAM10.<sup>48</sup> Lieber et al., presented the first evidence for a direct role of Kuz in the S2 extracellular cleavage of Notch.<sup>74</sup> Kuz was found to bind Notch directly and induce extracellular cleavage at S2 functioning downstream of ligand binding and upstream of Presenilin S3/NICD cleavage. In the absence of Kuz both S2 and S3 cleavage were impaired consistent with the sequential cleavage model.<sup>48</sup> Whereas in mammalian cells ligand independent Notch molecules function independently of Kuz<sup>48</sup> in flies Kuz was absolutely required for S2. Interestingly, in flies exogenous ADAM17/TACE can partly rescue the *Kuz* cleavage defect in vitro.<sup>74</sup>

The discrepancies between the Kuz requirement in flies and Tace requirement in mammalian cells can now be reconciled by recent work. Both the Weinmaster and Vooijs labs conclusively demonstrated that ADAM10 has an essential role in ligand dependent Notch1 signaling but that ADAM17/TACE or other ADAM proteases may function redundantly with Kuz/ADAM10 particularly in ligand-independent Notch signaling proteins such as those found mutated in cancer.<sup>25, 45</sup> In vitro and in flies both Kuz/ADAM10 and TACE are sufficient to cleave Notch in the absence of ligand, a process that may be important in tumors overexpressing ADAM proteins<sup>74,84,85</sup> and van Tetering et al., in preparation). Also in worms inactivation of either SUP-17/ADAM10 or ADM-4/ADAM17 has no dramatic effect on LIN-12/Glp-1/Notch signaling however their combined inactivation produces a Notch loss of function phenotype suggesting that these proteases act redundant. Many tissues in SUP-17/ADM-4 mutants however appeared normal suggesting that Notch activation in other tissues requires the action of other unknown (ADAM-like) proteases in *C.elegans* to regulate LIN-12/Glp-1 activity of which there are several.<sup>76</sup>

Mice lacking *Notch1* are embryonic lethal at E9.5 with severe defects in haematopoiesis and neurogenesis.<sup>3386,87</sup> Whereas ADAM17/TACE co-purifies with Notch1 processing activity in HeLa cells and can cleave Notch1 in vitro, *Adam17/Tace*-deficient mice or flies do not phenocopy the Notch-deficient phenotype suggesting that it is either redundant with another protease or has a more spatial or temporal restricted role in Notch signaling.<sup>74,88</sup> TACE may have more tissue-restricted roles in regulating Notch1 cleavage however, for example during differentiation of monocyte progenitors into macrophages.<sup>47</sup> In line with the *kuz* phenotypes in flies, mice lacking *Adam10* die at E9.5 with reduced neuronal *Hes5* expression, a Notch target gene, resembling *Nofch1*-null embryos.<sup>89</sup> Moreover, T-cell-specific deletion/disruption of *Adam 10* in vivo phenocopied the Notch1 null phenotype during thymocyte development.<sup>90-92</sup> Recently by using ADAM10 silencing by siRNA or *Adam 10* KO fibroblasts it was finally demonstrated that ADAM10 is required for ligand-dependent endogenous Notch1 signaling and that it executes S2 cleavage at Val171.<sup>25,45</sup> In contrast to flies overexpression of ADAM17 could not compensate for ADAM10 loss.<sup>45</sup> This finding was recently confirmed by the analysis of brain-specific *Adam 10* deletion showing a severe defect in Notch cleavage and signaling in mice.<sup>93</sup> Some residual S2/S3 cleavage could be detected in the absence of *Adam10* indicating a different protease is capable of cleaving Notch1 as well.<sup>93</sup>



ADAM10 however may also be involved in ligand independent signaling and appears to act redundant with TACE/ADAM17 in the activation of T-ALL derived Notch proteins.<sup>25, 45</sup> The Meltrins ADAM9, ADAM12, and ADAM15, all have been implicated in Notch signaling directly or indirectly by activating ADAM10<sup>94,95</sup> however appear not to be required for Notch1 proteolysis.<sup>25</sup> As mentioned these findings were somewhat unanticipated because earlier no defects were observed in Notch cleavage.<sup>48,89</sup> This can now be reconciled by the demonstration that pathological (ligand independent) and physiological Notch signaling may engage distinct sheddases.<sup>45</sup>

A tantalizing new finding is the involvement of the non-membrane bound Matrix Metalloproteinase-7 (MMP-7) in Notch1 activation. Sawey and colleagues convincingly demonstrated that in pancreatic explants derived from *Mmp7*<sup>-/-</sup> mice acinar transdifferentiation -a Notch1 dependent process-is defective due to impaired Notch cleavage.<sup>96</sup> Direct proof for the involvement of *Mmp7* in ligand-independent Notch1 cleavage at S2 awaits further analysis but would be remarkable given the “protected” nature of the S2 scissile bond deep within the juxtamembrane LNR module.<sup>24</sup> This study further illustrates the diversity of proteolytic cascade involved in Notch activation of particular relevance to pancreatic cancer but may apply to other cancer types as well. Until now there is little insight into the proteases and mechanism involved in shedding of Notch2, Notch3 and Notch4. ADAM10 has been implicated in marginal zone B-cell development; a Notch2 dependent process however no direct effect on Notch2 cleavage was shown.<sup>97</sup> It is likely their activation follows a similar paradigm as for Notch1.

## NOTCH LIGAND CLEAVAGE BY ADAMS

A series of papers in 2003 reported that mammalian Notch ligands are also subject to RIP. Delta and Jagged are both cleaved by multiple ADAMs including ADAM10 ADAM17/ TACE, ADAM12 and ADAM9 followed by  $\gamma$ -secretase cleavage.<sup>94,98-101</sup> These findings support earlier work on the involvement of Delta cleavage in vivo in flies.<sup>102, 103</sup> Kuz is both necessary and sufficient to induce D1 cleavage and sensitive to metalloprotease inhibitors.<sup>102</sup> Although the exact nature and role of ligand cleavage in Notch receptor signaling remains unresolved, a role for Kuz in Notch ligand cleavage would be consistent with a role for soluble ligands in Notch/LIN-12 activation in worms.<sup>104</sup> However in flies and mammals soluble Notch ligands are inactive or act antagonistically/competitively with membrane bound forms.<sup>105,106</sup> Furthermore, Delta intracellular domain is required for some ligand mediated Notch activation in flies and mammals and promotes ligand multimerization thought to be necessary for efficient Notch activation.<sup>107,108</sup>

Kuz cleavage of Delta may also explain the non-cell autonomous phenotypes observed for Kuz in flies<sup>80</sup> although only *cis*-cleavage by Kuz has been shown.<sup>109</sup> Delta cleavage would be consistent with models of ligand transendocytosis in receiving cells.<sup>101,109</sup> Similar to Notch1 the P-P1' cleavage sites are conserved between mammals but mutation of S2 cleavage sites does not prevent cleavage whereas pharmacological and genetic protease inhibition does.<sup>25,101</sup> Whereas Notch1 S2 cleavage occurs at the cell surface (Fig. 1)<sup>25</sup> the subcellular location of ligand cleavage has not been determined but may—depend on and—

occur during endocytosis.<sup>110,111</sup> A comprehensive review on ligand cleavage has been published elsewhere.<sup>112</sup>

Kuz has also been postulated to act in *cis*-inhibition whereby ligands in Notch receptor expressing cells prevent Notch receptors binding to ligands and signaling in *trans* to adjacent cells.<sup>113,114</sup> Thus a general picture emerges whereby RIP regulates the activities of Notch receptors and ligands to achieve a delicate balance and directionality of signaling needed for context dependent fine-tuning including in the maintenance of stem cells in the *Drosophila* mid gut<sup>115</sup> as well as in the mammalian epidermis.<sup>116</sup> An interesting twist to the story is emerging by the identification of Kul (Kuz-like) the Kuzbanian homolog in flies. Interestingly no Kul homolog has been identified in mammals. Kul protease appears to be critical to maintain signaling directionality during lateral specification in flies.<sup>73</sup> An important role for Kul has been demonstrated in wing margin specification where Kuz had already been demonstrated to be dispensable for D1 signaling/processing.<sup>75</sup> Whether Kul's role extends to other invertebrate tissues as well is not known and why flies have evolved to have an additional protease for Delta processing is intriguing.

## NOTCH S2-PROTECTION FROM RIP

Under physiological conditions the Notch receptor requires binding of DSL ligands from adjacent cells in *trans* to EGF repeats 10-12 on Notch expressing cells.<sup>117</sup> In the absence of ligand the Notch juxtamembrane region or negative control region (NRR) comprising the LNR and HD domain (Fig. 1) acts to inhibit Notch proteolysis.<sup>34,40,41,118</sup> The role of ligand binding and endocytosis in triggering Notch receptor activation by RIP has emerged as a key step in the Notch activation cascade.<sup>46,48</sup> Both genetic and biochemical studies supported a model in which receptor oligomerization would regulate receptor proteolysis.<sup>40,48</sup> Notch receptors at the cell surface are mostly in their monomeric form with or without ligand. Although Notch proteins can hetero- and homodimerize mediated by the EGF repeats this appears not to regulate shedding of the extracellular domain, since ligand independent active and inactive proteins do not differ in oligomerization.<sup>119</sup> Dimerization of the Notch extracellular domain was recently confirmed by electron microscopy.<sup>120</sup> It will be interesting to see whether Notch receptors carrying T-ALL mutations have altered dimerization properties. Although from mammalian studies it appears unlikely that dimerization regulates Notch receptor function<sup>119</sup> in *Drosophila*, where furin cleavage is infrequent, dimerization of Notch receptors may play a more prominent role in regulating activity.

The finding that in about 25% of human T-ALL cases mutations are found in the NRR that cause destabilization, ligand-independent Notch cleavage and activation underscores the central importance NRR unfolding in the activation cascade.<sup>26,44,121</sup> X-ray structural analysis and epitope-specific antibodies have helped us understand how this activation occurs. Ligand binding to Notch dissolves the "closed" NRR structure leading to access to the Val1711 scissile bond.<sup>24,25</sup> Importantly both physiological and ligand independent Notch molecules are cleaved at the same position. These results suggest that conformational changes induced in the extracellular domain by DSL binding or by cancerous mutation are similar but engage different sheddases.<sup>25</sup> Further support for this comes from the analysis of



Notch proteins with heterologous (CD4 Ig) extracellular domains.<sup>48</sup> These constitutively active proteins are also cleaved at Val1711<sup>119</sup> (and Vooijs et al., unpublished).

Currently two models prevail that explain access to S2. Both rely on the force generated between membrane bound ligands and Notch receptors on adjacent cells to liberate the NECD that has been correlated with the extent of adhesion between receptor and ligands.<sup>122</sup> One based on X-ray structural analysis predicts that destabilization of the NRR “lifts” the LNR modules to allow S2 protease access.<sup>24</sup> The other proposes that ligand binding leads to physical dissociation of the non-covalently held NRR and after which S2 proteolysis occurs.<sup>43</sup> It is clear that for cancer prone ligand-independent Notch receptors, NRR relaxation is sufficient to induce S2 as opposed to ligand dependent signaling whereby ligand endocytosis may be needed to pull apart the non-covalent heterodimer. Irrespective of both models the S2 cleavage remains a limiting step for S3 proteolysis. More detailed analysis is needed and X-ray structures from mutated Notch receptors are eagerly awaited. How then is the specificity of S2 proteolysis regulated?

## SUBSTRATE REQUIREMENTS FOR S2

Regulation of S2 proteolysis by ADAMs may occur at transcriptional levels by gene expression and alternative splicing, subcellular distribution and posttranslational modification. One of these is prodomain removal by furin in the secretory pathway, which ensures cell surface expression and activity. For example furin and PC7 are critical for ADAM10 maturation and cell surface activity.<sup>123</sup> Thus defects in furin may not only affect Notch1 processing at S1 but also S2 processing by ADAM10.<sup>25</sup>

To date little is known on what determines substrate specificity. Overall cleavage sites are highly variable and a clear consensus sequence is lacking, the secondary structure and the distance of the juxtamembrane stalk (for Notch1, APP, TNF $\alpha$  and EGF ligands are all located within 20 amino-acids proximal to the TMD) seem to be of critical importance for efficient substrate recognition.<sup>53,124</sup> Elegant experiment from Arribas demonstrated that swapping the juxtamembrane domains from ADAM permissive substrates TGF $\alpha$  and APP with a substrate normally not shed, could convert this chimeric protein into an efficient ADAM substrate.<sup>125</sup> Early work from the Struhl and Kopan labs<sup>48, 126</sup> had already demonstrated that the extracellular domain of Notch inhibited S2 cleavage in vitro and in vivo. Using heterologous extracellular domains trimming of the extracellular domain of Notch increased signaling activity in vivo. Engineered dimerization/oligomerization of the extracellular domain also inhibited S2 and S3 cleavage of Notch.<sup>48</sup> These experiments established for the first time that S2 was an intermediate step between S1 and S3 and tightly (negatively) regulated by NECD. Although  $\gamma$ -secretase binds to membrane bound Notch during maturation in the secretory pathway the generation of a free N-terminus close to the transmembrane domain is required to become a RIP substrate. Shah provided evidence that Nicastrin an essential component of the  $\gamma$ -secretase activity functions as a docking receptor to haul S2 cleaved Notch into the RIP-S3 processing machinery.<sup>127</sup>

Interestingly like for S3 Presenilin cleavage of Notch1 the substrate requirements for S2 seem to be independent of the intracellular domain. Furthermore, NEXT-like Notch1

proteins (N1 E) that are highly active are still S2 cleaved. Cleavage at Val1711 can be blocked by pharmacological or genetic ADAM10 inhibition but has no consequence for  $\gamma$ -secretase cleavage or transcriptional activity. This shows that, at least with truncated artificial substrates like N1 E, ADAM proteins will produce Val1711 even with only several residues at the C terminal- cleavage site and further question the substrate requirements for S2 cleavage.<sup>25</sup> Moreover, these data suggest that cleavage by ADAM10, under some conditions, may not require binding to Notch. This opens up the hypothesis that the S2/S3 RIP complex is already pre-associated at the cell surface and that the substrate and protease transmembrane domains may interact in the lipid bilayer.

By using randomized peptides libraries the *in vitro* cleavages specificities of TACE/ADAM17 versus ADAM10 has revealed distinct amino-acid preferences.<sup>128</sup> The most important difference maps to the P1' position of the substrate where TACE is selective for smaller aliphatic residues and ADAM10 can accommodate aromatic amino acids. Whereas the PI-PI' (Ala-Val) amino acids in the mNotch1 S2 cleavage site are conserved Notch1 cleavage at Val1711 still has to be demonstrated in other species. Remarkably, whereas the PI-PI' A-V motif in Notch1 is common in multiple TACE substrates it is unique among known ADAM10 substrates.<sup>128</sup> In view of this and the finding that recombinant murine Notch1 proteins/peptides are preferred by ADAM17/TACE<sup>47</sup> whereas *in vivo* mNotch1 proteins require ADAM10 these findings suggest that protease activity *in vitro* may not always be extrapolated.<sup>25</sup> In mammals the S2 cleavage site of Notch1 **YxIEA-VxSE** is highly conserved between species between Notch proteins of different species only AV is conserved (Fig. 3). Based on this analysis NOTCH receptors 2, 3 and 4 are also predicted to be substrates for TACE, but no results have been reported on this yet.

Mutation of the Notch1 S2 cleavage site does not abolish cleavage but generates a novel epitope, which has not been determined.<sup>25</sup> P1-mutants of other ADAM10 substrates such as lysine (P1-K|L-P1') in APP also does not abolish cleavage.<sup>129</sup> These findings further support the notion that structural alterations induced by mutation or ligand-binding expose scissile residues that enable access of the sheddase and cleavage. Direct confirmation for this has come from the analysis of T-ALL mutations that affect the S2 position as well as direct mutation of the S2 cleavage site, which produces a gain of function protein.<sup>25,130</sup>

ADAM10 and TACE/ADAM17 function in multiple RIP processes such as cleavage of the extracellular domain of Alzheimer's precursor protein (APP), TNF $\alpha$  and EGF ligands among many other Type I integral membrane proteins.<sup>131</sup> ADAM10 has up to 40 substrates including Type II membrane proteins that are SPP substrates.<sup>132</sup> Although ligand-independent activity induced by ADAM expression has been described this is probably not a physiological mechanism.<sup>84</sup> A common feature of ectodomain shedding is constitutive versus regulated shedding. For example ADAM10 is required for constitutive  $\alpha$ -secretase cleavage of APP<sup>133</sup> whereas both ADAM17/TACE and ADAM10 are involved in PMA-stimulated (regulated) shedding.<sup>134,135</sup> Thus distinct proteases are involved in constitutive versus regulated cleavage of RIP substrates Notch and APP. Whereas Notch gain of function mutations are constitutively cleaved, this cleavage can be further induced by PMA, the mercuric compound APMA and well as by EDTA, the latter by disrupting the non-covalently associated heterodimer.<sup>25</sup> If during constitutive and regulated cleavages of Notch

different proteases are recruited that cleave Notch at different positions has to be determined. The only evidence for this so far stem from the analysis of Adam17/Tace requirement to block PMA stimulated differentiation of monocyte precursors into macrophages a process that depends on Notch-Jagged signaling.<sup>47</sup> It should be noted that it is not clear whether PMA/APMA regulated shedding of Notch receptors has any physiological role.

## LOCATION OF S2 CLEAVAGE

The best characterized substrates for ADAM proteases are membrane bound signaling molecules such EGF ligands, TNF $\alpha$ , APP, Ephrins and Notch<sup>136</sup> Most ADAM proteases are produced as inactive zymogens and activated by prodomain removal in the secretory pathway. Prodomain removal is necessary for proper cell surface expression. The  $\alpha$ -secretase cleavage of APP by ADAM10 predominantly occurs at the plasma membrane,<sup>129</sup> but also in the *trans*-Golgi network upon stimulation with PMA, where it may compete with  $\beta$ -secretase cleavage.<sup>137</sup> Intracellular cleavage of APP induced by PMA is consistent with the perinuclear expression of TACE.<sup>137,138</sup> Using S2-epitope specific antibodies van Tetering showed by immunofluorescence and biochemically that Notch S2 cleavage by ADAM10 predominantly occurred at the cell surface. Interestingly this analysis also demonstrated intracellular vesicles that were decorated with the S2 antibody. As this analysis was performed in the presence of  $\gamma$ -secretase inhibitor this indicated that S2 cleaved Notch from the cell surface may be internalized for further processing. At present the identity and fate of these S2-positive vesicles is not known but of great interest. Outstanding questions are whether these vesicles contain  $\gamma$ -secretase competent Notch molecules and whether these are recycled to the plasma membrane or follow other intracellular routes for activation or degradation.<sup>25</sup> Endocytosis is a conserved biological mechanism regulating the location and activity of membrane bound signaling molecules.<sup>139</sup> Also in the activation of Notch signaling, ligand endocytosis plays a critical role presumably to pull apart the NRR to expose the S2 site.<sup>46</sup> Furthermore, asymmetric cell division and unequal distribution of Notch receptors and ligands among daughter cells controls important cell fate decisions in several tissues.<sup>140</sup> There is compelling evidence that demonstrates that receptor endocytosis controls Notch cleavage and activity in signal receiving cells independent of ligand endocytosis in signaling cells in flies and mammals.<sup>26,141-143</sup> Several years ago it was already established that  $\gamma$ -secretase cleavage sites on Notch1 and APP shifted in the more acidic environments of endocytic vesicles. NICD species with different NH<sub>2</sub>-residues (V, L, S) have different stability and therefore signaling strength, which highlighted an additional level of regulation.<sup>144-146</sup> At least under some conditions receptor endocytosis is required for  $\gamma$ -secretase cleavage.<sup>146-148</sup> From this it is clear that  $\gamma$ -secretase activity occurs both at the plasma membrane as well as in endocytic vesicles. For example the E3 ubiquitin ligase Deltex that directly binds to Notch promotes signaling independent of ligand via receptor internalization in endocytic compartments. Depending on the co-factors AP-3/HOPS and type of endosome (early vs late) the fate of Notch receptors is degradation or signaling.<sup>143, 149</sup> Under conditions of signaling the Notch ectodomain is removed however whether this is as a consequence of ectodomain shedding at S2 (or an alternative position) or non-specific degradation is unknown.<sup>149</sup> Whether similar roles for Deltex can be extended

to other tissues and species is not known but ligand-independent Notch activation via Deltex may occur through heterodimer destabilization due to changes in pH/ionic environments in endocytic organelles.<sup>150</sup> Exciting new data is emerging that show that ligand dependent Notch signaling may also occur in multivesicular endosomes so-called Sara endosomes.<sup>151</sup> Whether Sara endosomes present a conserved intracellular Notch signaling node is not known but exciting new insights are expected. The availability of antibodies for S2 cleaved forms of Notch1 was long awaited and may be used to address the origin and fate of S2 activation step in mammalian cells as well.<sup>25</sup>

## TARGETING S2 PROTEOLYSIS IN CANCER

In the last decade it has become apparent that deregulation of the Notch signaling pathway is a common event in human cancer. This research was fueled by the discovery that Notch mutations and alterations underlie the pathogenesis of T-cell acute lymphocytic leukemias (T-ALL).<sup>26, 152</sup> Missense mutations in the extracellular HD of Notch1 occur in about 25% of all human T-ALL cases<sup>26</sup> and lead to ligand independent activation.<sup>44</sup> Less frequent are insertions that duplicate the S2 site and lead to ligand independent Notch activity.<sup>130</sup> Finally alterations in the endocytic pathway as discussed above may provide another oncogenic pathway for Notch activation. In addition to T-ALL, Notch activation is common in solid cancers as well. For example in breast cancer one of the most frequent malignancies in the western world Notch1 activation is a common event and correlates with poor prognosis.<sup>153-156</sup> There is ample evidence for crosstalk with other frequently deregulated pathways in breast cancer such as RAS/EGFR/HER2<sup>157</sup> activation and Estrogen pathway<sup>158</sup> that are common drug targets for cancer intervention. New data is emerging that activation of Notch3 and Notch4 as well may also be important in breast cancer. In contrast Notch2 activity seems to correlate with better prognosis in breast cancer.<sup>159</sup> Finally, Notch receptor signaling is critical in normal mammary stem cell<sup>160, 161</sup> as well as in tumor initiating cells or breast cancer stem cells.<sup>162</sup> Finally an important new role of Notch signaling is emerging in maintaining normal and tumor angiogenesis (reviewed in ref. 163). The role of Notch signaling in cancers will be discussed in detail elsewhere. Given the widespread role and importance of NOTCH activation in human malignancies therapeutic targeting of Notch may allow for disease control. Most if not all Notch signaling requires S2 and S3 cleavage making Notch proteolysis an attractive drug target. At the same time this provides challenges for cancer drug development, as these drugs will also target physiological Notch activation. Currently 15 clinical trials are underway that evaluate the efficacy of  $\gamma$ -secretase inhibitors (GSIs) as anti-cancer drugs (web link 4). While targeting  $\gamma$ -secretase using has shown encouraging results it has many pitfalls as well. Among these is the lack of specificity and the mechanism based toxicity caused by attenuating physiological Notch function. One of the best-illustrated side-effects is gastrointestinal toxicity caused by precocious secretory differentiation of intestinal epithelial cells limiting its long term use because of intestinal stem cell depletion.<sup>17,164,165</sup> Both pharmacological and genetic approaches have provided insight into the normal role of Notch in suppressing secretory differentiations and have revealed KLF4 as a key regulator. Significant progress was made by Real and colleagues who demonstrated that combined glucocorticoid and GSI treatment increased anti-leukemic activity in T-ALL by reversing glucocorticoid resistance while

suppressing gut toxicity by inhibition of KLF4.<sup>166</sup> Since  $\gamma$ -secretase has many different substrates pleiotropic effects are unavoidable when targeting this enzyme, although modulators have been identified that may show selectivity for specific substrates.<sup>167</sup> The fact that GSI have been studied intensely the past decade a safe-drug has yet to enter clinical practice.<sup>168</sup> Recently, monoclonal antibody based approaches have been developed specifically targeting the Notch NRR that show promising results in preclinical studies.<sup>169-171</sup> Strategies to target the nuclear transcription complex are also promising.<sup>172</sup>

An alternative approach could encompass targeting S2 cleavage: the rate-limiting step in the Notch activation cascade in physiological and pathological conditions.<sup>25</sup> Two approaches not mutually exclusive could be envisaged. One based on targeting the metalloprotease, another based on blocking proteolysis of S2 cleaved Notch. Multiple ADAMs have causal roles in cancer formation and progression but whether these ADAM deregulate Notch activation in these tumors is unknown (reviewed in).<sup>173</sup> Despite enormous efforts and investment the implementation of metalloprotease inhibitors in anticancer medicine has largely failed.<sup>174</sup> For example, the hydroxamic acid  $Zn^{2+}$  chelators GM6001 (Gelardin), Batimastat (BB94) and Marimastat have all failed for clinical application because of undesirable side-effects. Recently progress has been made with the development of new inhibitors more specific for ADAM10 and ADAM17. One of these INCB3619 is designed to target EGFR/HER shedding a frequent and causal alteration in breast and lung cancer.<sup>175-177</sup> Since Notch activity is frequently reported in both cancers it will be interesting to see whether inhibition of Notch shedding occurs and whether this is of therapeutic value.

While investigating the requirement for ADAM proteases on Notch S2 cleavage we discovered that S2 cleavage and transcriptional activity of activated Notch1 could neither be blocked using broad-spectrum metalloprotease inhibitors (GM6001, BB94) nor with more specific ADAM17/TACE or ADAM10 specific inhibitors.<sup>178</sup> Interestingly whereas these inhibitors efficiently blocked Val1711 cleavage residual S2 cleavage occurred at another unknown position, S2<sup>b</sup>. Thus whereas ligand dependent Notch signaling requires ADAM10, ADAM/MP inhibition in ligand independent signaling does not abrogate activity. The S2<sup>b</sup> cleavage is insensitive to broad-spectrum aspartyl, cysteine and serine protease inhibitors as well as to BACE inhibitors. These results strongly point to the existence of additional S2 proteases insensitive to these mechanism-based metalloprotease inhibitors.<sup>25</sup> One likely outcome from these and other studies is that pathological (ligand-independent) and physiological Notch signaling may engage distinct sheddases.<sup>45</sup> While this hypothesis awaits further validation it suggests the possibility of targeting disease-specific Notch proteases while leaving normal Notch signaling intact.

Another potential strategy to block Notch activity in tumors is to prevent conversion from S2 cleaved Notch into a  $\gamma$ -secretase substrate using S2 specific antibodies.<sup>25</sup> Such capping antibodies would be highly specific and could interfere with efficient recruitment of S2 cleaved into the  $\gamma$ -secretase complex. Such antibodies would target receptors and are not hampered by membrane diffusion or cellular uptake. Experimental data suggests that such an approach may be feasible.<sup>127</sup> It is important to note that completely blocking Val1711 pharmacologically however does not abrogate signaling and physiological and pathological Notch signaling both proceed through Val1711 cleavage.

It is clear that many challenges still lie ahead before drugs will be developed that are effective in controlling Notch dependent disease while leaving normal Notch signaling intact.

## CONCLUSION

The highly conserved Notch signaling pathway controls developmental patterning and homeostasis in animal tissues. A conserved proteolytic cascade leading to liberation of the Notch intracellular domain and transcription governs the rate-limiting step in Notch activation: ectodomain shedding at S2. Loss of function studies point to a dominant role for ADAM10 in the cleavage and activation of Notch1 after ligand binding. Under malignant conditions shedding of Notch1 receptors is induced by different proteases that eagerly await identification. Exciting biology lies ahead of us in revealing the many facets of NOTCH proteolysis controlling Notch activation in normal and injured tissues providing new insights that may be applied for therapeutic intervention.

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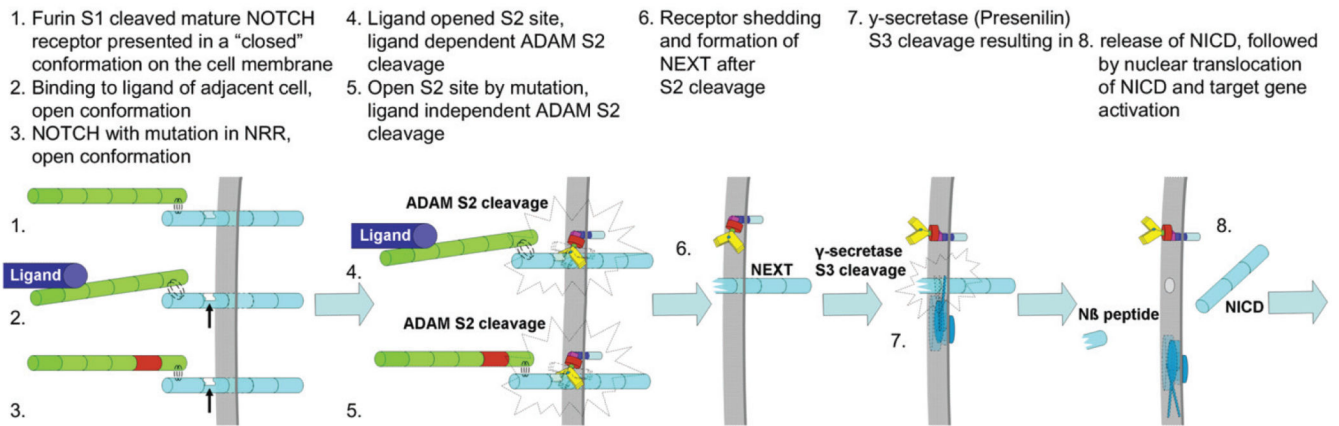


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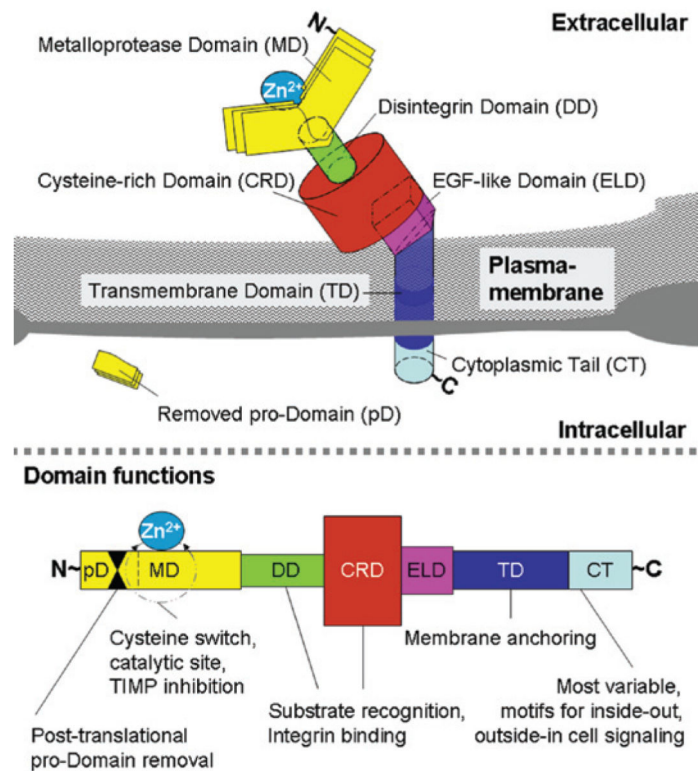
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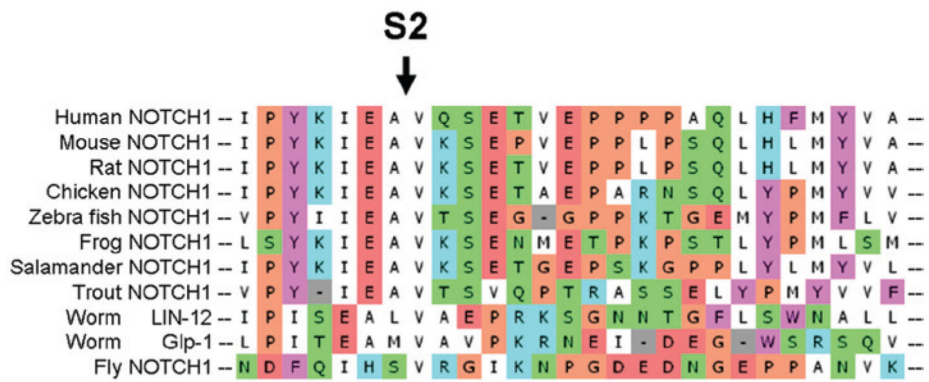
**Figure 1. Regulated Intramembrane Proteolysis Activates The Notch Pathway**

1) After S1 cleavage in the secretory pathway the mature receptor is presented as a heterodimer at the cell surface in a “closed” conformation. 2) and 3) The notch receptor is activated or “opened” (arrow head) by ligand binding on neighboring cells or by an activating mutation in the NRR. 4) and 5) ADAMs proteases (i.e. ADAM10/Kuzbanian) access and cleave NOTCH at the juxtamembrane S2 site. 6) S2 cleavage results in the membrane tethered NEXT fragment and the notch ectodomain which is transendocytosed in signaling cells. 7) Within the plane of the membrane the NEXT fragment is cleaved at S3 by the aspartyle protease Presenilin which is part of the  $\gamma$ -secretase complex. 8) This releases the extracellular N $\beta$  peptide and the intracellular NICD, which translocates to the nucleus to activate target genes..



**Figure 2. ADAMs structure and function**

Upper panel: schematic illustration of an ADAM with extra- and intracellular domains anchored in the plasma membrane. Typical domains from amino-(N~) to carboxy terminus (~C) are: the pro-Domain (pD) shown after removal during maturation of the ADAM, the Metalloprotease Domain (MD) with a zinc atom ( $Zn^{2+}$ ) marking the catalytic site, the Disintegrin Domain (DD), the Cysteine-rich Domain (CRD), the EGF-like Domain (ELD), the Transmembrane Domain (TD) and the Cytoplasmic Tail (CT). Lower panel: ADAM domains and their function. For a detailed description see text. From N~ to ~C: the pD interacts with  $Zn^{2+}$  through a cysteine residue at the catalytic site, called the “cysteine switch.” The catalytic site is activated by removal of the pD. ADAM activity can be inhibited by TIMPs. The MP holds the  $Zn^{2+}$  for proteolytic processing of substrates. The DD and ELD recognize substrates and can bind to integrins. The ELD is indispensable but has no known function. The TD anchors the ADAM in the plasma-membrane. The cytoplasmic tail is most variable among ADAMs and has cell signaling motifs such as phosphorylation sites.



**Figure 3. Conservation of the S2 ADAM cleavage site**

Partial alignment of NOTCH1 proteins from different species, with the arrow indicating the S2 cleavage site. Image of alignment was constructed using the program UTOPIA.

Aminoacides, in green: Asparagine (N), Glutamine (Q), Serine (S) and Threonine (T); in red: Aspartic acid (D) and Glutamic acid (E); in purple: Phenylalanine (F) and Tyrosine (Y); in blue: Histidine (H), Lysine (K) and Arginine (R); in orange: Glycine (G) and Proline (P); in white: Alanine (A), Isoleucine (I), Leucine (L), Methionine (M) and Valine (V).