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## Rare coding variants in *Phospholipase D3 (PLD3)* confer risk for Alzheimer's disease

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### Abstract

Genome-wide association studies (GWAS) have identified several risk variants for late-onset Alzheimer's disease (LOAD)<sup>1,2</sup>. These common variants have replicable but small effects on LOAD risk and generally do not have obvious functional effects. Low-frequency coding variants, not detected by GWAS, are predicted to include functional variants with larger effects on risk. To identify low frequency coding variants with large effects on LOAD risk, we performed whole exome-sequencing (WES) in 14 large LOAD families and follow-up analyses of the candidate variants in several large case-control datasets. A rare variant in *PLD3* (phospholipase-D family, member 3, rs145999145; V232M) segregated with disease status in two independent families and doubled risk for AD in seven independent case-control series (V232M meta-analysis; OR= 2.10, CI=1.47-2.99;  $p=2.93 \times 10^{-5}$ , 11,354 cases and controls of European-descent). Gene-based burden analyses in 4,387 cases and controls of European-descent and 302 African American cases and controls, with complete sequence data for *PLD3*, indicate that several variants in this gene increase risk for AD in both populations (EA: OR= 2.75, CI=2.05-3.68;  $p=1.44 \times 10^{-11}$ , AA: OR= 5.48, CI=1.77-16.92;  $p=1.40 \times 10^{-3}$ ). *PLD3* is highly expressed in brain regions vulnerable to AD pathology, including hippocampus and cortex, and is expressed at lower levels in neurons from AD brains compared to control brains ( $p=8.10 \times 10^{-10}$ ). Over-expression of *PLD3* leads to a significant decrease in intracellular APP and extracellular A $\beta$ 42 and A $\beta$ 40, while knock-down of *PLD3* leads to a significant increase in extracellular A $\beta$ 42 and A $\beta$ 40. Together, our genetic and functional data indicate that carriers of *PLD3* coding variants have a two-fold increased risk for

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#### AUTHOR CONTRIBUTION:

All the authors read and approved the manuscript. C.C. conceived and designed the experiments, supervised research. Wrote the manuscript. Performed the family and sample selection for exome-sequencing, analysis of the segregation data, statistical analysis, and the alternative splicing experiments and analysis. C.M.K., S.H, J.C and A.T.J. performed all the cell-based analysis, and the *PLD3* total gene expression experiments. S.C.J. performed *PLD3* pool-sequencing experiments. B.A.B performed the genotyping of V232M and A442A in the Knight-ADRC and NIA-LOAD datasets. Analyzed public gene-expression databases and bioinformatic analysis of the effect of some variants on splicing. OH, S.B and Y.C. performed statistical and bioinformatic analyses. J.N. and D.L. recruited and assessed the NIA-LOAD families with the *PLD3* variants. J.B. T.S, D.C and B.C Performed Sequenom genotyping. R.G., C.S., J.B., M.K.L., J.P., J.R.G., A.S., J.H. P.F., P.G.R., C.D.C., J.T.T., M.C.N, R.G.M., C.S., M.L., J.S.K.K., F.Y.D., M.N.B., X.W., O.L., M.G., M.I.K., C.M., J.T., J.L., A.B., I.B., K.B., K.M, O.L., P.P., Z.B., E.S., E.T., E.R., and P.S.G., provided genotype data for the NIA-UK and NIMH datasets, Cache-County dataset, U. Pittsburgh dataset, U. Nottingham dataset, NIA-LOAD, the Welllderly dataset and in the Toronto dataset. M.R. and D.G.H. performed the co-regulation pathway analysis. N.G. performed the neuropathological examination of the *PLD3* V232M carriers. J.C.M. supervised recruitment and clinical assessment of the Knight-ADRC subjects, and A.M.G. supervised the functional and genetic experiments and critically reviewed all data and data analysis.

#### Author Information

Exome-sequencing data is available on NIAGADs (<https://www.niagads.org>, accession number: NG00033). The authors declare competing financial interests: details are available in the online version of the paper.

LOAD and that *PLD3* influences APP processing. This study provides an example of how densely affected families may be used to identify rare variants with large effects on risk for disease or other complex traits.

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The identification of pathogenic mutations in amyloid-beta precursor protein (APP), presenilin (PSEN1) and presenilin 2 (PSEN2) and the association of *apolipoprotein E* (*APOE*) genotype with disease risk led to a better understanding of the pathobiology of Alzheimer's disease (AD), and the development of novel animal models and therapies for AD<sup>3</sup>. Recent studies using next-generation sequencing have also identified a protective variant in *APP*<sup>4</sup>, and a low frequency variant in *TREM2* associated with AD risk<sup>5-8</sup> with Odds Ratio (OR) close to that of one *APOE4* allele. In contrast to the loci identified through GWAS<sup>1,2</sup>, these studies have led to the identification of functional variants with large effects on AD pathogenesis. Low-frequency coding variants not detected by GWAS may be a source of functional variants with a large effect on LOAD risk<sup>5-8</sup>; however, the identification of such variants remains challenging because most study-designs require WES in very large datasets. One potential solution is to perform WES or whole-genome-sequencing in a highly selected population at increased risk for disease followed by a combination of genotyping and deep resequencing of the variant/gene of interest in large numbers of cases and controls.

We previously reported that families with a clinical history of LOAD in four or more individuals are enriched for genetic risk variants in known AD and frontotemporal dementia (FTD) genes, but some of these families do not carry pathogenic mutations in the known AD or FTD genes<sup>9,10</sup>, suggesting that additional genes may contribute to LOAD risk. We ranked 868 LOAD families from the NIA-LOAD study based on number of affected individuals, number of generations affected, the number of affected and unaffected individuals with DNA available, the number of individuals with a definite or probable diagnosis of AD, early age at onset (AAO) and *APOE* genotype (discarding families in which *APOE4* segregates with disease status). In the 14 selected families, there were at least four affected individuals per family and DNA was available for at least three of these individuals. We sequenced at least two affected individuals per family, prioritizing distantly related affected individuals with the earliest AAO. We also sequenced one unaffected individual in nine families and two unaffected individuals in one family. In total, we performed WES, on 29 affected individuals and eleven unaffected individuals from 14 families of European American ancestry (Table S1-S2).

All variants shared by affected individuals but absent in unaffected individuals within a family, with a minor allele frequency (MAF) lower than 0.5% in the Exome Variant Server (EVS: <http://evs.gs.washington.edu/EVS/>) were selected and genotyped in the remaining family members to determine segregation with disease (Supplementary results). Next, we examined whether individual variants or variants in the same gene segregated with disease in more than one family. A single variant, rs145999145 (V232M, *PLD3*, chr. 19q13.2), segregated with disease in two independent families (Figure 1 and S1). Next, we determine whether this variant was associated with increased risk for sporadic AD in seven independent datasets (4,998 AD cases and 6,356 controls of European-descent from the

Knight-ADRC, NIA-LOAD, NIA-UK dataset, Cache-County study, the Universities of Toronto, Nottingham, and Pittsburgh, the NIMH AD series, and the Welllderly study<sup>7,11-14</sup>; Extended Data Table 1). *PLD3*-V232M was associated with both risk ( $p=2.93\times 10^{-05}$ ; OR=2.10, 95%CI=1.47-2.99, Table 1) and AAO ( $p=3\times 10^{-3}$ , Extended Data Figure 1). The frequency of *PLD3*-V232M was higher in AD cases compared to controls in each age-gender-ethnicity matched dataset, with a similar estimated OR for each dataset (Extended Data Table 1 and Extended Data Figure 2) suggesting that the association is unlikely to be a false positive due to population stratification. This was confirmed when population principal components derived from GWAS data were included (Supplementary results, and Figures S2-S3). The association of the V232M variant with AD risk was also independent of *APOE* genotype (Supplementary results, Table S3 and Figure S4).

LOAD risk variants, such as *APOE4*, are most common in AD cases with a family history of disease and least common in elderly controls without disease<sup>8,9</sup>. We examined the frequency of V232M in three non-demented groups stratified by age (>65yrs, >70yrs and >80yrs, table 1) and compare them with in sporadic vs. familial AD cases. As predicted for an AD risk allele, V232M showed age-dependent differences in frequency among controls with the lowest frequency in the Welllderly dataset, a series composed of healthy non-demented individuals older than 80 years (carrier frequency 0.27%). Similarly, no V232M carriers were found among the 303 non-demented individuals with normal cerebrospinal fluid A $\beta$ 42 and tau profiles; suggesting that the calculated OR for the V232M variant when compared to all controls may be an underestimation (supplementary results, Table S4). As hypothesized the frequency of V232M was higher in familial cases than in sporadic cases (2.62% in familial vs. 1.36% in sporadic cases).

Several risk variants have been observed in *APP*, *PSEN1-2* and *APOE* supporting the role of these genes in AD risk<sup>3,4</sup>. In order to identify additional risk variants in *PLD3*, we sequenced the *PLD3* coding region in 2,363 cases and 2,024 controls of European-descent (Extended Data Table 2-3). Fourteen variants were observed more frequently in cases than in controls, including nine variants that were unique to cases (Figure 2A, supplementary results). The gene-based burden analysis resulted in a genome-wide significant association of carriers of *PLD3* coding variants among AD cases (7.99%) compared to controls (3.06%;  $p=1.44\times 10^{-11}$ ; OR=2.75, 95%CI=2.05-3.68). When the V232M variant was excluded, to avoid the “winner course”, the association remained highly significant, still passing genome-wide multiple test correction ( $p=1.58\times 10^{-8}$ ; OR=2.58, 95%CI=1.87-3.57, Extended Data Table 3), indicating that there are additional variants in *PLD3* that increase risk for AD independent of V232M. There were two additional highly conserved variants (Figure S5), that were nominally associated with LOAD risk: M6R ( $p=0.02$ ; OR=7.73, 95%CI=1.09-61), and A442A ( $p=3.78\times 10^{-7}$ ; OR=2.12, 95%CI=1.58-2.83). The A442A variant showed an association with LOAD risk in four independent series (Extended Data Table 4). The A442A variant was included in the gene-based analysis because our bioinformatic and functional analyses indicate that this variant affects splicing and gene expression (see below).

If the association of *PLD3* with AD risk is real, we hypothesized that rare coding variants in *PLD3* in other populations will also increase risk for AD. We therefore, sequenced *PLD3* in

302 African-American AD-cases and controls. Both, the V232M and the A442A variants were found in AD cases but not controls, and the A442A variant showed a significant association with AD risk ( $p=0.03$ ). There was also a significant association with LOAD risk at the gene level ( $p=1.4\times 10^{-3}$ ;  $OR=5.48$ ,  $95\%CI=1.77-16.92$ ; Figure 1, Extended Data Table 5, supplementary results). This consistent evidence of association with AD risk, at the SNP and gene-level in two different populations strongly supports *PLD3* as an AD risk gene.

To begin to understand the link between *PLD3* and AD, we analyzed *PLD3* expression in AD case and-control brains. In human brain tissue from cognitively normal individuals, *PLD3* showed high levels of expression in the frontal, temporal, and occipital cortices and hippocampus<sup>15</sup> (Figure S6). Using data from gene-expression in laser-captured neurons from AD cases and controls, *PLD3* gene expression was significantly lower in AD cases compared to controls ( $p=8.10\times 10^{-10}$ ; Figure 2B). This result was replicated in three additional independent datasets (Supplementary results, Extended Data Figure 3). Bioinformatic analyses predicted that the A442A variant affects alternative splicing (Figure S7, supplementary results). We found that A442A is associated with lower levels of total *PLD3* mRNA (Figure 2D) and lower levels of transcripts containing exon-11 (Figure 2C, and Figure S8), supporting the functional effect of this variant.

*PLD3* is a non-classical, poorly characterized member of the PLD superfamily of phospholipases. *PLD1* and *PLD2* have been previously implicated in APP trafficking and AD<sup>16-18</sup>. To determine whether *PLD3* also affects APP processing, wild-type (WT) human *PLD3* was overexpressed in mouse neuroblastoma (N2A) cells that stably express wild-type human APP695 (termed N2A-695). In this system extracellular  $A\beta_{42}$  and  $A\beta_{40}$  were decreased by 48% and 58%, respectively, compared to the empty vector ( $P<0.0001$ ; Figure 3A). Conversely, knockdown of endogenous *PLD3* expression by shRNA in N2A-695 cells resulted in higher levels of extracellular  $A\beta_{42}$  and  $A\beta_{40}$  than in cells transfected with scrambled shRNA. (Figure 3B). To determine if the observed effects on APP processing were unique to *PLD3* or common among the phospholipase D protein family, we co-expressed APP695-WT with *PLD1*, *PLD2*, and *PLD3* in human embryonic kidney (HEK293T) cells. Overexpression of *PLD3*, but not empty vector, *PLD1* or *PLD2*, resulted in a substantial decrease in full-length APP levels (Figure 3C). Extracellular  $A\beta_{42}$  and  $A\beta_{40}$  levels were significantly reduced in cells overexpressing *PLD1*, *PLD2*, and *PLD3* compared to control (Figure 3C). Interestingly, overexpression of catalytically-inactive *PLD1* and *PLD2* variants (*PLD1*-K898R and *PLD2*-K758R) restored extracellular  $A\beta_{42}$  and  $A\beta_{40}$  levels to control values, demonstrating that this is in part a phospholipase activity-dependent effect (Figure 3C). Overexpression of a *PLD3* dominant-negative variant (*PLD3*-K418R) that inhibits myotube formation<sup>19</sup> failed to restore full-length APP and  $A\beta_{42}$  and  $A\beta_{40}$  levels to normal levels (Figure 3C). Furthermore, *PLD3* can be co-immunoprecipitated with APP in cultured cells (Extended Data Figure 4). Together, these studies demonstrate that *PLD3* plays a role in APP processing that is functionally distinct from *PLD1* and *PLD2*. These findings are consistent with the human genetic and brain expression data presented above, whereby lower *PLD3* expression/function is correlated with higher APP and  $A\beta$  levels and with more extensive AD-specific pathology (Table S4).

Here, we provide compelling genetic evidence that *PLD3* is an AD risk gene: genome-wide significant evidence that rare variants in *PLD3* increase risk for AD in multiple datasets and two populations. In addition, our functional studies confirm that *PLD3* affects APP processing, in a manner consistent with increased risk for AD<sup>3,20</sup>. This work also provides a second example of a novel gene containing rare variants that influence risk for AD<sup>5,7,8</sup>. While these variants have low population attributable fraction and diagnostic utility due to their rarity they provide important and novel insights into AD pathogenesis. Our success in identifying multiple families carrying this rare variant and the enrichment of this variant in LOAD families compared to sporadic AD cases demonstrates the power of using a highly-selected sample of multiplex LOAD families for variant discovery. The studies on *TREM2*<sup>5-8</sup> and this report, suggest that next-generation sequencing project will identify additional low frequency and rare variants associated with Alzheimer's Disease.

## METHODS

### Participants and Study Design

The Institutional Review Board (IRB) at Washington University School of Medicine in Saint Louis approved the study. Written informed consent was obtained from participants and their family members by the Clinical Core of the Knight ADRC. The approval number for the Knight ADRC Genetics Core is 93-0006.

### Knight-ADRC samples

The Knight ADRC sample includes 1,114 late-onset AD (LOAD) cases and 913 cognitively normal controls (377 older than 70yrs), matched for age, gender and ethnicity, European descent and 302 African-American AD cases and controls. These individuals were evaluated by Clinical Core personnel of the Knight ADRC at Washington University. Cases received a clinical diagnosis of AD dementia in accordance with standard criteria, dementia severity was determined using the Clinical Dementia Rating (CDR)<sup>28</sup>. 2,027 individuals were of European descent, and 302 were African-American.

*Cerebrospinal fluid levels (CSF) dataset:* A subset (n=528) of the Knight-ADRC samples had CSF tau and A $\beta$ 42 levels. Of these 528, 303 were non-demented (CDR=0) elderly (Age>65) individuals with high CSF A $\beta$ 42 levels (>500 pg/ml). A description of the CSF dataset used in this study can be found in Cruchaga et al<sup>11</sup>. CSF collection and A $\beta$ 42, tau and ptau181 measurements were performed as described previously<sup>29</sup>.

### NIA-LOAD

Participants from the National Institute of Aging Late Onset Alzheimer Disease Family Study (NIA-LOAD Family Study) included a single demented individual from each of 868 families with at least three AD-affected individuals and 881 unrelated nondemented elderly controls (545 older than 70). All AD cases were diagnosed with dementia of the Alzheimer's type (DAT) using criteria equivalent to the National Institute of Neurological and Communication Disorders and Stroke-Alzheimer's Disease and Related Disorders Association (NINCDS-ADRDA) for probable AD<sup>30</sup>. NIALOAD families were ascertained based on the following criteria: Probands were required to have a diagnosis of definite or

probable late-onset AD (onset >60yrs) and a sibling with definite, probable or possible late-onset AD with a similar age at onset. A third biologically-related family member (first, second or third degree) was also required, regardless of affection status. This individual had to be 60 years of age if unaffected, or 50 years of age if diagnosed with LOAD or mild cognitive impairment<sup>12</sup>. Within each pedigree, we selected a single individual for the case control series by identifying the youngest affected family member with the most definitive diagnosis (i.e. individuals with autopsy confirmation were chosen over those with clinical diagnosis only). Unrelated nondemented controls used for the NIALOAD case control series had no family history of AD and were matched to the cases as previously described<sup>12</sup>. Only individuals of European descent based on the PCs were included. Written informed consent was obtained from all participants, and the study was approved by local IRB committees.

### **Welllderly Study**

The Scripps Translational Science Institute's Welllderly study has recruited more than 1000 healthy-aged participants. Inclusion criteria specify informed consent, age > 80 years, blood or saliva donation, compliance with protocol-specified procedures, and no/mild aging-related medical conditions. Exclusion criteria includes self-reported cancer (excluding basal and squamous cell skin cancer), coronary artery disease/myocardial infarction, stroke/TIA, DVT/PE, CRF/hemodialysis, Alzheimer's/Parkinson's disease, diabetes, aortic/cerebral aneurysm, or the use of oral chemotherapeutic agents, anti-platelet agents (excluding aspirin), cholinesterase inhibitors for Alzheimer's disease, or insulin. All genotyped individuals were of European descent.

### **Cache-County study**

The Cache County Study was initiated in 1994 to investigate the association of *APOE* genotype and environmental exposures on cognitive function and dementia. A cohort comprised of 5,092 Cache County, Utah, residents (90% of those aged 65 or older) has been followed continually for over fifteen years, completing four triennial waves of data collection including clinical assessments (Breitner et al 1999). Genotypes were obtained for 255 demented individuals and 2471 elderly cognitively normal individuals<sup>13</sup>. All individuals genotyped were of European descent.

### **UK-NIA dataset**

A description of the UK-NIA dataset can be found in Guerreiro et al., 2013<sup>7</sup>. Briefly, this dataset includes WES from 143 AD cases and 183 elderly nondemented controls. All subjects were of European descent.

### **University of Pittsburgh dataset**

The PLD3 V232M variant was genotyped in 2,211 subjects including 1,253 AD cases (62.6% females) and 958 elderly nondemented controls (64.3% females). A complete description of the dataset can be found in Kamboh et al.<sup>14</sup> All samples were of European descent.



## Toronto Dataset

The Toronto dataset was composed of 269 unrelated AD cases (53% females) and 250 unrelated non-demented controls (56% females) of European descent. The mean (SD) age at onset of AD was 73 (+/-8) years, and the mean (SD) age at last examination of the controls was 73 (+/-10) years. The study was approved by the Institutional Review Boards of the University of Toronto.

## Exome sequencing

Enrichment of coding exons and flanking intronic regions was performed using a solution hybrid selection method with the SureSelect® human all exon 50Mb kit (Agilent Technologies, Santa Clara, California) following the manufacturer's standard protocol. This step was performed by the Genome Technology Access Center at Washington University in St Louis. The captured DNA was sequenced by paired-end reads on the HiSeq 2000 sequencer (Illumina, San Diego, California). Raw sequence reads were aligned to the reference genome hg19 using Novoalign (Novocraft Technologies, Selangor, Malaysia). Base/SNP calling was performed by SNP Samtools. SNP annotation was carried out using version 5.07 of SeattleSeq Annotation server (see URL)<sup>21</sup>.

On average, 95% of the exome had >eight-fold coverage. SNP calls were made using SAM tools<sup>31</sup>. SNPs identified with a quality score lower than 20 and a depth of coverage lower than 5 were removed. More than 2,500 novel variants in the coding region were found per individual. We identified all variants shared by the affected individuals in a family. Variants not present in 1,000 genome project or the Exome Variant Server (EVS: <http://evs.gs.washington.edu/EVS/>) or with a frequency lower than 0.5% in the EVS were selected. On average, 80 coding variants were selected for each family. The selected variants were then genotyped in the remaining sampled family members. We validated >98% of the selected variants, confirming the high specificity of our exome-sequencing method and analysis. On average, we genotyped a total of 13 family members (7 cases and 6 controls) per family.

## SNP Genotyping

SNPs were genotyped using the Illumina Golden Gate, Sequenom, Kaspar and/or Taqman genotyping technologies. Only SNPs with a genotyping call rate higher than 98% and in Hardy-Weinberg equilibrium were used in the analyses. The principle of the MassARRAY system is PCR-based where different size products are analyzed by SEQUENOM MALDI-TOF mass spectrometry<sup>22,32</sup>. The KBioscience Competitive Allele-Specific PCR genotyping system (KASP) is FRET-based endpoint-genotyping technology, v4.0 SNP (KBioscience)<sup>22,32</sup>. Genotype call rates were >98%.

## PLD3 sequencing

PLD3 was sequenced in 2,363 cases and 2,027 controls of European origin, and 130 cases and 172 controls of African-American descent using a pooled-DNA sequencing design as described previously<sup>9,24,33</sup>. Briefly, equimolar amounts of individual DNA samples were pooled together following quantification using the Quant-iT™ PicoGreen reagent. Pools contained 100 ng of DNA/individual from 94 individuals. The coding exons and flanking

regions (a minimum of 50 bp each side) were individually PCR amplified using specific primers and Pfu Ultra high-fidelity polymerase (Stratagene). An average of 20 diploid genomes (approximately 0.14 ng DNA) per individual were used as input. PCR products were cleaned using QIAquick PCR purification kits, quantified using Quant-iT PicoGreen reagent and ligated in equimolar amounts using T4 Ligase and T4 Polynucleotide Kinase. After ligation, concatenated PCR products were randomly sheared by sonication and prepared for sequencing on an Illumina HighSeq2000 according to the manufacturer's specifications. pCMV6-XL5 amplicon (1908 base pairs) was included in the reaction as a negative control. As positive controls, ten different constructs (p53 gene) with synthetically engineered mutations at a relative frequency of one mutated copy per 188 normal copies was amplified and pooled with the PCR products.

Paired-end reads (101 bp) were aligned to the human genome reference assembly build 36.1 (hg19) using SPLINTER<sup>33</sup>. SPLINTER uses the positive control to estimate sensitivity and specificity for variant calling. The wild type: mutant ratio in the positive control is similar to the relative frequency expected for a single mutation in one pool (1 chromosome mutated in 94 samples = 1/188). SPLINTER uses the negative control (first 900bp) to model the errors across the 101-bp Illumina reads and to create an error model from each sequencing run. Based on the error model SPLINTER calculates a p-value for the probability that a predicted variant is a true positive. A p-value at which all mutants in the positive controls were identified was defined as the cut-off value for the best sensitivity and specificity. All mutants included as part of the amplified positive control vector were found upon achieving >30-fold coverage at mutated sites (sensitivity = 100%) and only ~80 sites in the 1908 bp negative control vector were predicted to be polymorphic (specificity = ~95%). The variants with a p-value below this cut-off value were considered for follow-up genotyping confirmation. All rare missense or splice site variants were then validated by Sequenom and KASPar genotyping in each individual included in the pools. In order to avoid any batch/plate effects, cases and controls were included in each genotyping plate and all genotyping was performed in a single experiment. Finally, in order to confirm all of the heterozygous calls, we created a custom DNA plate including all of the heterozygotes (cases and controls) for all of the variants, and then genotyped them again by Sequenom, creating a new Sequenom set.

### Gene-expression and Alternative splicing analyses

Total RNA was extracted using the RNeasy mini kit (Qiagen) following the manufacturer's protocol from 82 AD cases and 39 non-demented individuals. Extracted RNA was treated with DNase1 to remove any potential DNA contamination. cDNAs were prepared from the total RNA, using the High-Capacity cDNA Archive kit (ABI). Gene expression levels were analyzed by real-time PCR, using an ABI-7900 real-time PCR system. The PLD3-A442A variant was genotyped in DNA extracted from parietal lobe of 82 AD cases and 39 non-demented individuals by Kaspar as explained below. A total of eight carriers for the A442A variant were identified.

**Total PLD3 expression**—Gene expression was analyzed by real-time PCR, using an ABI-7500 real-time PCR system. TaqMan assays were used to quantify *PLD3* mRNA



levels. Primers and TaqMan probe for the reference gene, *GAPDH*, were designed over exon-exon boundaries, using Primer Express software, Version 3 (ABI) (sequences available on request). Cyclophilin A (ABI: 4326316E) was also used as a reference gene. Each real-time PCR run included within-plate triplicates and each experiment was performed, at least twice for each sample.

**Alternative splicing**—We selected eight A442A carriers as well as eight CDR, age, *APOE* and PMI matched individuals to analyze the expression level of exon 11 containing transcripts, the exon in which the A442A variant is located. Real-time PCR assays were used to quantify *PLD3* exon 7 (forward primer: GCAGCTCCATCCCATCAACT; reverse: CTTGGTTGTAGCGGGTGTCA), exon 8 (forward primer: CTCAACGTGGTGGACAATGC; reverse: AGTGGCAGGTAGTTCATGACA), 9 (forward primer: ACGAGCGTGGCGTCAAG; reverse: CATGGATGGCTCCGAGTGT), 10 (forward primer: GGTCCCCGCGGATGA; reverse: GGTTGACACGGGCATATGG) and 11 (first pair of primers: forward primer: CCAGCTGGAGGCCATTTTC; reverse: TGTCAAGGTCATGGCTGTAAGG; second pair forward primer: GCTGCTGGTGACGCAGAAT; reverse: AGTCCCAGTCCCTCAGGAAAA). Two pairs of primers were designed for exon 11 as an internal control. Sybr-green primers were designed using Primer Express software, Version 3 (ABI). Each real-time PCR run included within-plate duplicates and each experiment was performed, at least twice for each sample. Real-time data were analyzed using the comparative Ct method. Only samples with a standard error of <0.15% were analyzed. The Ct values for exon 11 were normalized with the Ct value for the exons 7-10. The relative exon 11 levels for the A442A carriers vs. the non-carriers were compared using a t-test.

### PLD3 gene expression in public databases

We also used the GEO datasets GSE15222<sup>34</sup> and GSE5281<sup>27</sup> to analyze the association of *PLD3* gene expression and case-control status. In the GSE15222 dataset, there are genotype and expression data from 486 late onset Alzheimer's Disease cases and 279 neuropathologically clean non-demented individuals. In the GSE5281 dataset, samples were laser-captured from cortical regions of 16 normal elderly humans (10 males and 4 females) and from 33 AD cases (15 males and 18 females). Mean age of cases and controls was 80 years. All samples were run on the Affymetrix U133 Plus 2.0 array. RNA data were re-normalized to an average expression of 8 units on a log<sub>2</sub> scale. As potential covariates we analyzed brain region, gender, and age for each sample. Stepwise discriminant analysis was used to identify the potential covariates to be included in the ANCOVA. For this dataset we also extracted the gene expression levels for *APP* (probe 211277\_x\_at), *PSENI* (1559206\_at), and *PSEN2* (203460\_s\_at) to examine the correlation between *PLD3* and *APP*, *PSENI*, and *PSEN2* using the Pearson correlation method.

### Human brain samples and analysis of Affymetrix Human Exon 1.0 ST array

Quantification and analysis of *PLD3* gene expression in brains was performed as previously described<sup>35</sup>. Briefly, the human data used here were provided by the UK Human Brain Expression Consortium<sup>35</sup> and consisted of 101 control post-mortem brains. All samples originated from individuals with no significant neurological history or neuropathological

abnormality and were collected by the MRC Edinburgh Brain Bank<sup>36</sup> ensuring a consistent dissection protocol and sample handling procedure. A summary of the available demographic details of these samples including a thorough analysis of their effects on array quality is provided by Trabzuni et al<sup>37</sup>. All samples had fully informed consent for retrieval and were authorized for ethically approved scientific investigation (Research Ethics Committee number 10/H0716/3). Total RNA was isolated from human post-mortem brain tissues using the miRNeasy 96 well kit (Qiagen, UK). The quality of total RNA was evaluated by the 2100 Bioanalyzer (Agilent, UK) and RNA 6000 Nano Kit (Agilent, UK) before processing with the Ambion® WT Expression Kit and Affymetrix GeneChip Whole Transcript Sense Target Labeling Assay and hybridization to the Affymetrix Exon 1.0 ST. All arrays were pre-processed using Robust Multi-array Average using Partek Genomics Suite v6.6 (Partek Incorporated, USA). The resulting expression data was corrected for individual effects (within which are nested postmortem interval, brain pH, sex, age at death and cause of death) and experimental batch effects (date of hybridization). Transcript-level expression was calculated for 26,993 genes using Winsorized means (Winsorizing the data below 10% and above 90%).

### RNA-Pathway analysis

To evaluate the biological and functional relevance of co-expressed genes within the *PLD3*-containing modules, we used Weighted Gene Co-expression Network Analysis (WGCNA)<sup>15</sup> and DAVID v6.7 (<http://david.abcc.ncifcrf.gov/>), the database for annotation, visualization and integrated discovery<sup>38</sup>. We restricted WGCNA to 15,409 transcripts that passed the Detection Above Background (DABG) criteria (p-value < 0.001 in at least 50% of samples in at least one brain region), had a coefficient of variation > 5% and expression values exceeding 5 in all samples in at least one brain region. We followed a step-by-step network construction and module detection. In short, for each brain region, the Pearson correlations between all genes across all relevant samples were derived. We then calculated a signed-weighted co-expression adjacency matrix, allowing us to keep track of the direction of the correlation. A power 12, the default soft threshold parameter for constructing a signed-weighted Network<sup>39</sup> was used in all brain regions, after checking that it recapitulated scale-free topology<sup>40</sup>. Topological overlap (TO), a more biologically meaningful measure of node interconnectedness (similarity)<sup>9,24</sup>, was subsequently calculated and genes were hierarchically clustered using  $1 - TO$  as the distance measure. Finally modules were determined by using a dynamic tree-cutting algorithm. WGCNA led to the identification of several co-expression modules, ranging in number and size between the ten brain regions. We examined the over-representation (i.e. enrichment) of the three Gene Ontology (GO) categories (biological processes, cellular components, and molecular function) and KEGG pathways for each list of co-expressed genes with *PLD3* for each tissue by comparing numbers of significant genes annotated with this biological category with chance.

### Statistical Analyses

All of the single SNP analyses were performed using a Fisher's exact test, with no covariates included. Allelic association with risk for AD was tested using “proc logistic” in SAS including *APOE genotype*, age, PCs and study as covariates when available. Odds ratios with 95% confidence intervals and relative risks (RR) were calculated for the alternative

allele compared to the most common allele using SAS. Association with age at onset (AAO) was carried out using the Kaplan-Meier method and tested for significant differences, using a proportional hazards model (proc PHREG, SAS) including gender and study as covariates. Non-demented controls were included in the analyses as censored data. The inclusion of these samples did not change the association. Gene-based analyses were performed using the optimal SNP-set (Sequence) Kernel Association Test (SKAT-O)<sup>26</sup>.

### Population Attributable risk (PAR)

We calculated the PAR using the RR obtained in the study and the MAF from the EVS database (<http://evs.gs.washington.edu/EVS/>) and in the Cache-County dataset, which is a population-based dataset, using the equation:

$$PAR = \frac{P_e (RR_e - 1)}{[1 + P_e (RR_e - 1)]}$$

Where  $P_e$  is the carrier frequency in the population and  $RR_e$  is the relative risk for the different variants.

### Neuropathological studies

All study procedures were approved by Washington University's Human Research Protection Office. At autopsy, brain tissue was obtained from participants according to the protocol of the Knight-ADRC. AD neuropathologic change was assessed according to the criteria of the National Institute on Aging-Alzheimer's Association (NIA-AA)<sup>41</sup>. Dementia with Lewy bodies was assessed using the criteria of McKeith et al. (2005)<sup>42</sup>.

### Cell-based studies

**Plasmids and site-directed mutagenesis**—The following plasmids were used in this study: pCMV6-XL5 human PLD3 wild-type (Origene), pCS2-myc human APP695 wild-type<sup>43</sup>, pCGN-PLD1b WT<sup>44</sup> and K758R<sup>45</sup>, pCGN-PLD2 WT<sup>46</sup> and K898R<sup>45</sup>, pGFL GFP<sup>47</sup>, pGFP-V-RS-PLD3-shRNA-GI548821 (Origene), and pGFP-V-RSScr-shRNA-TR30013 (Origene). A dominant negative mutation (K418R)<sup>19</sup> was introduced into the pCMV6-XL5 human PLD3 wild-type vector by site-directed mutagenesis using the QuikChangeII Site-Directed Mutagenesis kit (Agilent). All constructs were verified by Sanger sequencing.

**Cell Culture Assays**—Human embryonic kidney (HEK293-T) cells were cultured in Dulbecco's modified eagle medium (DMEM) supplemented with 10% fetal bovine serum (FBS), 1% L-glutamine, and penicillin/streptomycin. HEK293T cells were grown in 6-well lysine coated plates. Mouse neuroblastoma cells (N2A) stably expressing human APP695 wild-type were cultured in DMEM and Opti-MEM (50:50) supplemented with 5% FBS, 1% L-glutamine, penicillin/streptomycin, and 500 ug/mL G418. Upon reaching confluency, cells were transiently transfected with Lipofectamine 2000 (Invitrogen). Culture media were replaced after 24 hours, and cells were incubated for another 24 hours. Conditioned media were collected, treated with protease inhibitor cocktail and centrifuged at 3000xg at 4°C for 10 minutes to remove cell debris. Cell pellets were extracted on ice in lysis buffer (50mM

Tris, pH 7.6, 2mM EDTA, 150mM NaCl, 1% NP40, 0.5% Triton 100x, protease inhibitor cocktail) and centrifuged at 14,000xg. Protein concentration was measured by the BCA method as described by the manufacturer (Pierce-Thermo).

**RT-PCR and qPCR**—To confirm effective knockdown of endogenous mouse PLD3 in mouse N2A-695 cells, RNA was extracted from cell lysates with an RNeasy kit (Qiagen) according to the manufacturer's protocol. Extracted RNA (10ug) was converted to cDNA by PCR using a High-Capacity cDNA Reverse Transcriptase kit (ABI). Gene expression was analyzed by qPCR using an ABI-7900 Real-Time PCR system. Taqman Real-Time PCR assays were utilized to quantify expression for mouse *PLD3* (ABI:Mm01171272\_m1) and *GAPDH* (ABI: Hs02758991\_g1). Samples were run in triplicate. To avoid amplification interference, expression assays were run in separate wells from the housekeeping gene *GAPDH*. Real-time data were analyzed by the comparative  $C_T$  method. Average  $C_T$  values for each sample were normalized to the average  $C_T$  values for the housekeeping gene *GAPDH*. The resulting value was corrected for assay efficiency. Samples with a standard error of 20% or less were analyzed.

**Immunoblotting**—Standard SDS-PAGE was performed in 4-20% Criterion Tris-HCl gels (Bio-Rad). Samples were boiled for 5 min in Laemmli sample buffer prior to electrophoresis<sup>48</sup>. Immunoblots were probed with antibodies: PLD3 (Sigma), 9E10 (Sigma), and  $\beta$ -tubulin (Sigma).

**Enzyme-linked immunosorbent assay**—The levels of A $\beta$ 40 and A $\beta$ 42 were measured in cell culture media by sandwich ELISA as described by the manufacturer (Invitrogen). ELISA values were obtained (pg/mL) and corrected for total intracellular protein (ug/mL) based on BCA measurements.

**Immunoprecipitation**—Cell lysates were pre-cleared with Protein G beads (Thermo Scientific). Pre-cleared supernatants were incubated overnight at 4°C with the antibodies indicated. Supernatant-antibody complexes were then incubated with Protein G beads at room temperature for 2 h. After washing, proteins were dissociated from the Protein G beads by incubating the beads in Laemmli sample buffer<sup>48</sup> supplemented with 5%  $\beta$ -mercaptoethanol at 95°C for 10 min.

## Bioinformatics analysis

SIFT ([http://sift.jcvi.org/www/SIFT\\_BLink\\_submit.html](http://sift.jcvi.org/www/SIFT_BLink_submit.html)) and Polyphen (<http://genetics.bwh.harvard.edu/pph2/>) algorithms were used to predict the functional effect of the identified variants. To determine the effect of the A442A variant on splicing we used the ESEfinder (<http://rulai.cshl.edu/tools/ESE>). Multiple Sequence Alignment was performed by ClustalW2, and the PLD3 orthologues were downloaded from Ensembl (<http://www.ensembl.org/>).

## Supplementary Material

Refer to Web version on PubMed Central for supplementary material.

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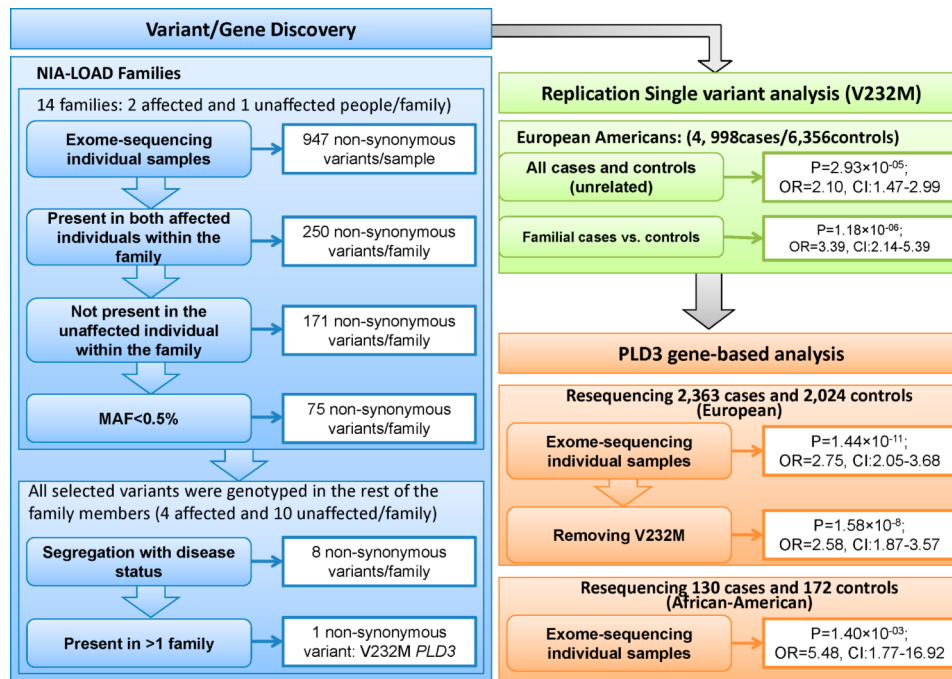
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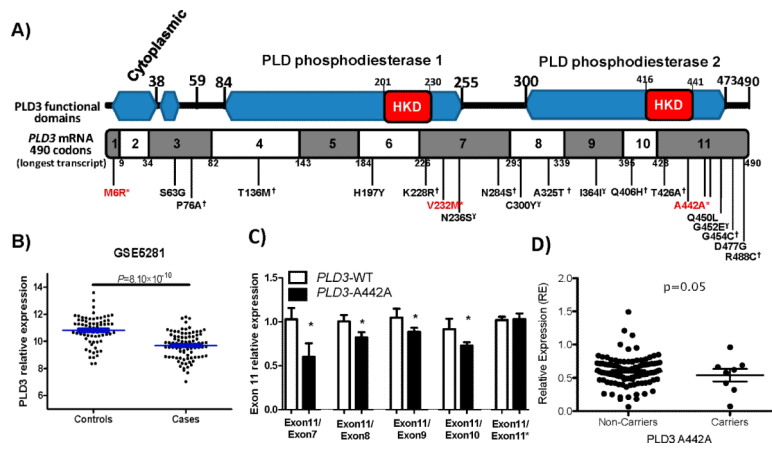
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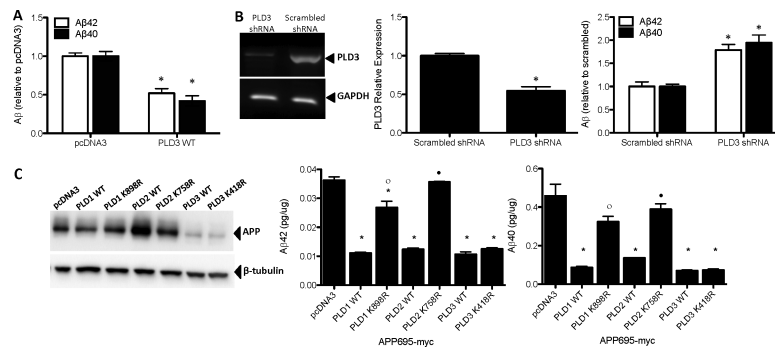
**Figure 1. Summary of the main genetic findings**

The diagram represents the different steps to filter the variants identified by exome-sequencing, which lead to the identification of the *PLD3*-V232M variant. The diagram also shows the subsequent genetic analyses in large case-control datasets that validated the association of the V232M variant and *PLD3* with risk for AD.



**Figure 2.**

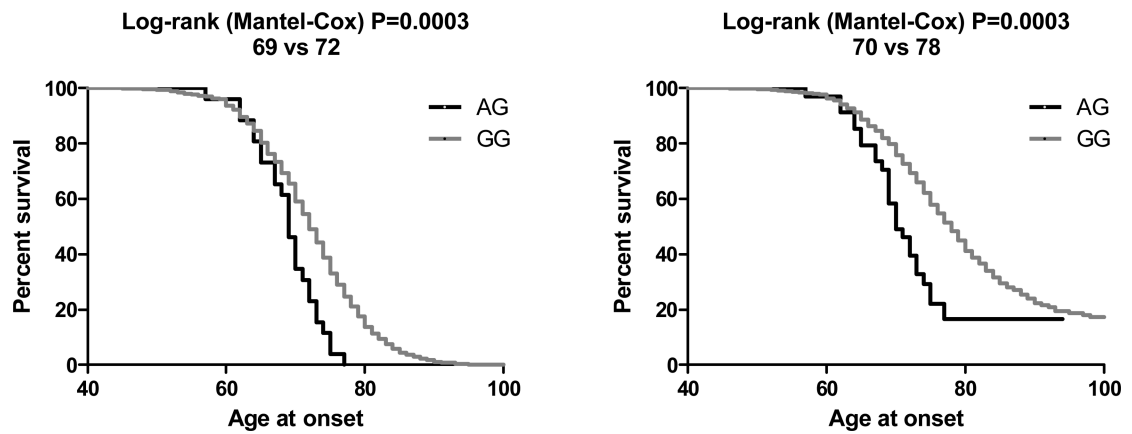
A) Schematic representation of PLD3 and the relative position of the *PLD3* variants. PLD3 has two PLD phosphodiesterase domains, which contain an HKD signature motif (H-x-K-x(4)-D-x(6)-G-T-x-N, where x represents any amino acid residue). The scheme also shows the exon composition of the longest *PLD3* mRNA and the position of the variants found in this study. Variants highlighted in red and noted with an “\*” are significantly associated with AD risk. Variants noted with a “†” were found only in AD cases. Variants noted with a “γ” are more frequent in AD cases compared to controls. B) *PLD3* neuronal gene expression is significantly lower in AD cases compared to controls. We used the GEO dataset GSE5281<sup>27</sup>, in which neurons were laser-captured to analyze whether *PLD3* mRNA expression levels are different between AD cases and cognitively normal elderly individuals. C-D) The *PLD3* A442A variant is associated with lower total *PLD3* mRNA expression and lower levels of exon11 containing transcripts. C) Primers specific to exons 7, to 11 (two pairs of primers) were designed with PrimerExpress. cDNA from eight *PLD3* A442A carriers and ten age, gender, *APOE*, CDR and PMI-matched individuals were obtained from parietal lobe. Relative expression of exon 11 compared to the other exons was calculated by the Ct method. Exon 11 containing transcripts in relation to exon 7-10 containing transcripts were 20% lower in A442A carriers ( $P < 0.05$ ). Graphs represent the mean  $\pm$  SEM. D). **Real-time PCR** was used to quantify total *PLD3* mRNA and standardized using *GADPH* mRNA as a reference. P-value is for the gene-expression levels of major allele carriers vs. minor allele carriers after correcting for dementia severity.



**Figure 3. PLD3 affects APP processing**

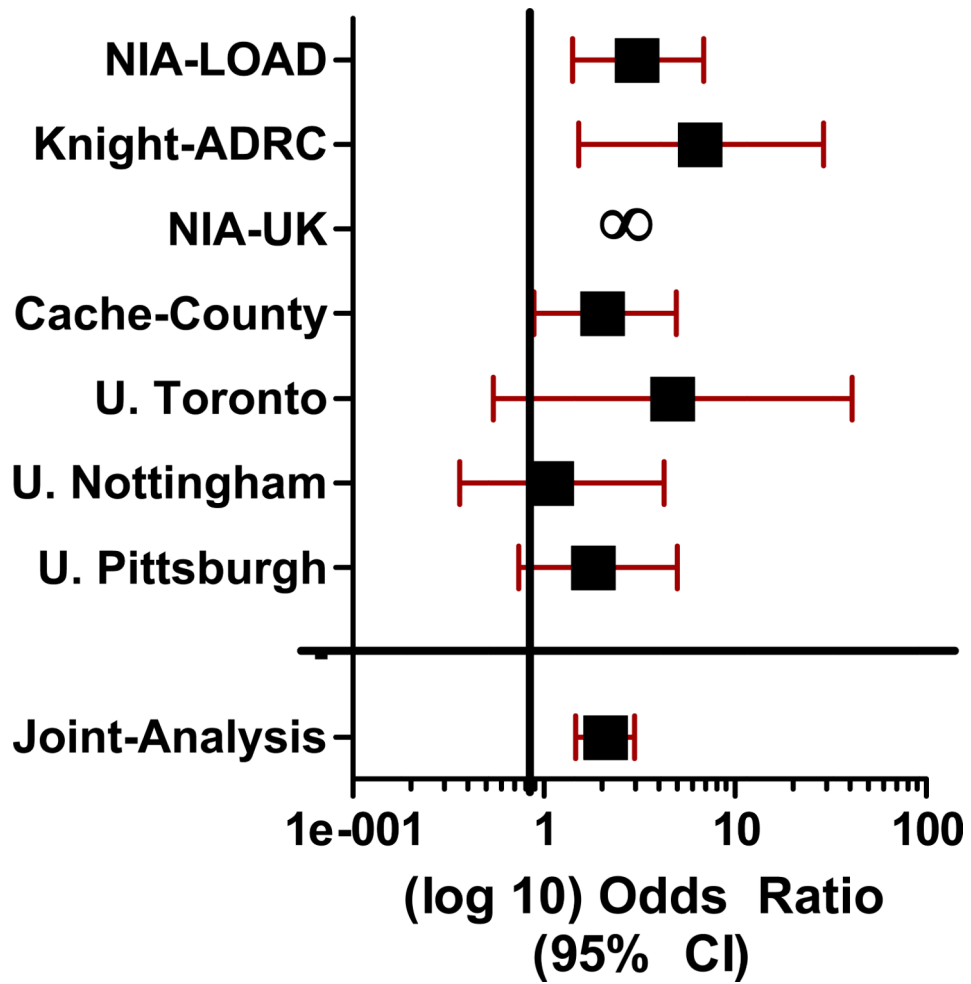
A-B. Overexpression and knockdown of PLD3 produce opposing effects on extracellular A $\beta$  levels. N2A cells stably expressing hAPP695-WT were transiently transfected with vectors containing no insert (pcDNA3), human PLD3-WT, scrambled shRNA (Origene), or mouse PLD3 shRNA (Origene) for 48 hours. Cell media were analyzed with A $\beta$ 40 and A $\beta$ 42 ELISAs and corrected for total intracellular protein. A $\beta$  levels were then expressed relative to pcDNA3. Graphs represent the mean $\pm$ SEM. **A)** Overexpression of human PLD3 produces significantly less extracellular A $\beta$ 42 and A $\beta$ 40. “\*”,  $p < 0.0001$ . **B).** Knockdown of endogenous PLD3 cells produces significantly more extracellular A $\beta$ 42 and A $\beta$ 40. “\*”,  $p < 0.002$ . **C)** Members of the PLD protein family have different effects on APP processing. HEK293T cells were transiently transfected with vectors containing hAPP-WT and an empty vector (pcDNA3), PLD1, PLD2, or PLD3-WT or PLD1, PLD2, PLD3 carrying a dominant negative mutation. Left panel, PLD3 affects full-length APP levels. Cell lysates were extracted in non-ionic detergent, analyzed by SDS-PAGE and immunoblotting with antibodies to the myc-tag on APP (9E10) or  $\beta$ -tubulin. Middle (A $\beta$ 42) and right (A $\beta$ 40) panel, cell media were analyzed with A $\beta$ 40 and A $\beta$ 42 ELISAs and corrected for total intracellular protein. Graphs represent the mean $\pm$ SEM. “\*”,  $p < 0.01$ , different from pcDNA3; “<sup>o</sup>”,  $p = 0.002$ , different from PLD1-WT; “•”,  $p < 0.0001$ , different from PLD2-WT. Images are representative of at least three replicate experiments.



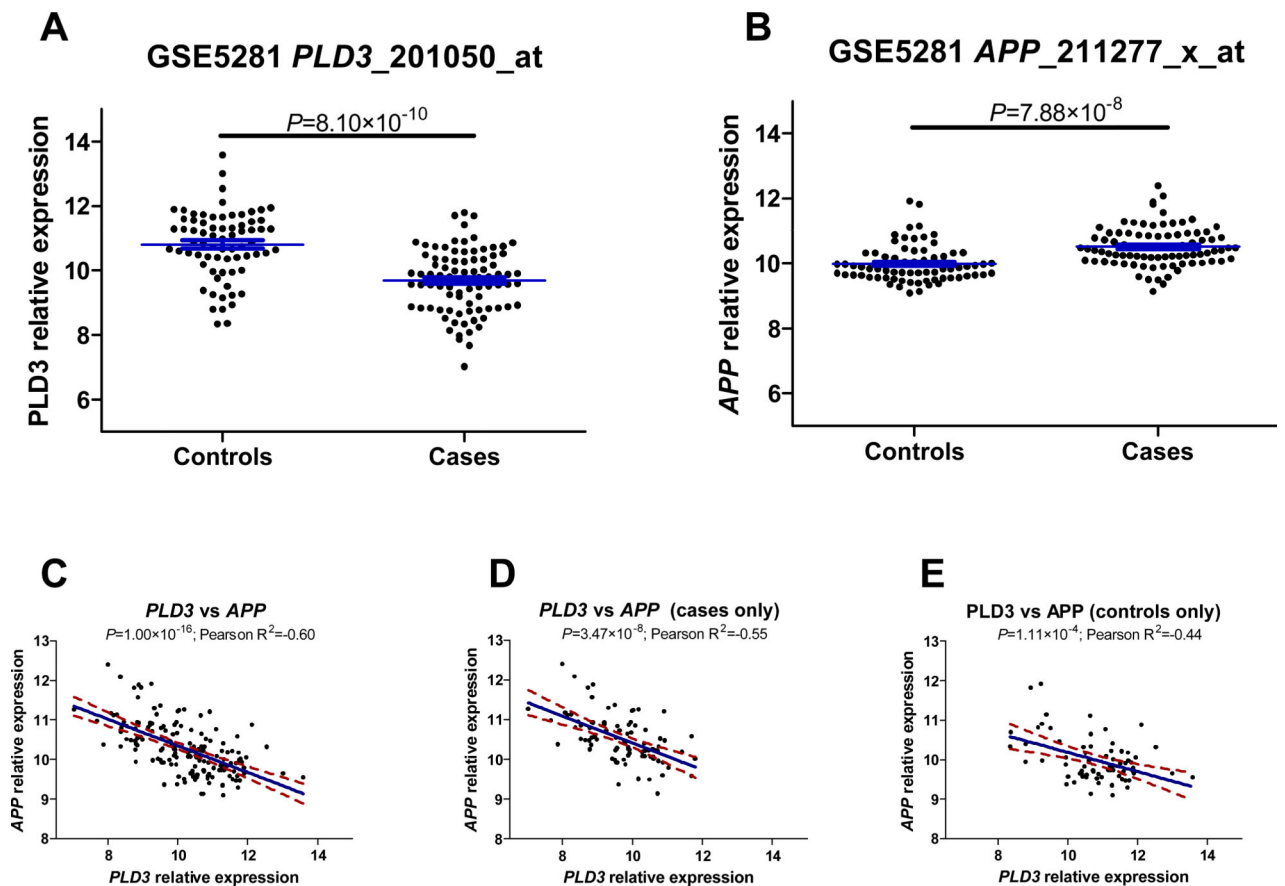


**Extended Data Figure 1. *PLD3* V232M is associated with age at onset for AD**

. Age at onset was analyzed for association with the *PLD3* V232M variant in 2,220 cases and 1,841 controls from the Knight-ADRC and NIA-LOAD, by the Kaplan-Meier method and tested for significant differences using the Log-rank test. **A)** Case only analysis. The carriers of the minor allele (AG) have an AAO 3 years lower than the non-carriers (69 vs 73;  $p=3\times 10^{-3}$ ). **B)** Controls were included as censored data. The carriers of the minor allele (AG) have an AAO 8 years lower than the non-carriers (70 vs 78;  $p=3\times 10^{-3}$ ).

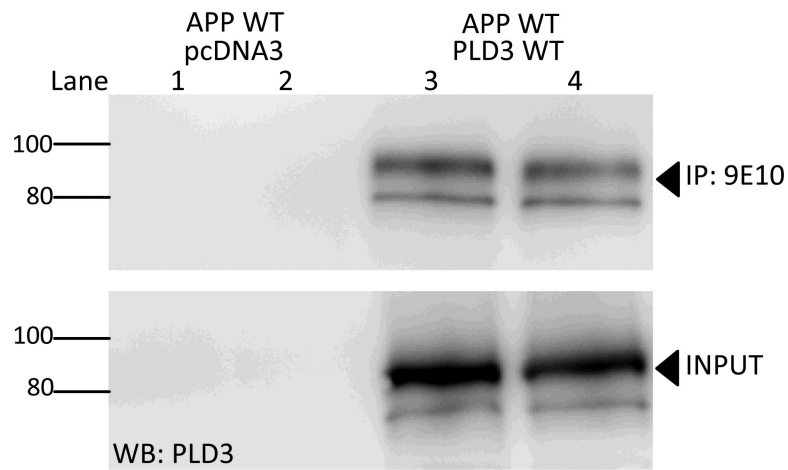


**Extended Data Figure 2.**  
Forest plot for each case-control series for the V232M variant.



### Extended Data Figure 3. *PLD3* and *APP* mRNA expression are inversely correlated

*PLD3* (probe 201050\_at) and *APP* (probe 211277\_x\_at) expression levels were extracted from the GSE5281 dataset. *PLD3* mRNA levels are significantly lower in AD cases compared to controls ( $p=8.10 \times 10^{-10}$ ), but *APP* is higher in AD cases ( $p=7.88 \times 10^{-8}$ ). *PLD3* mRNA levels are inversely correlated with *APP* mRNA expression levels ( $p=1.00 \times 10^{-16}$ ). The correlation is stronger in AD cases (Person correlation coefficient = -0.55), than in controls (Person correlation coefficient = -0.44), but in both scenarios the correlation is highly significant.

**Extended Data Figure 4. PLD3 interacts with APP**

HEK293T cells were transiently transfected with vectors containing APP WT and an empty vector (pcDNA3) or PLD3 WT for 48 hours. Cell lysates were extracted in non-ionic detergent, pre-cleared with Protein A beads, and immunoprecipitated with an antibody to the myc-tag on APP (9E10). Immunoblots were probed with an antibody specific to human PLD3. PLD1 and PLD2 reportedly do not immunoprecipitate with APP<sup>17,16</sup>.

**Extended Data Table 1**

Association of the PLD3-V232M variant in seven independent case-control datasets

<b>Dataset</b>	<b>Cases</b>	<b>Carrier Freq %</b>	<b>Control</b>	<b>Carrier Freq %</b>	<b>OR (95%CI)</b>	<b>p-value</b>
NIA-LOAD	29/1,077	2.62	8/920	0.86	3.09 (1.41-6.81)	4.00×10 <sup>-03</sup>
Knight-ADRC	16/1,098	1.44	2/911	0.22	6.63 (1.52-28.9)	3.40×10 <sup>-03</sup>
NIA-UK	1/142	0.70	0/183	0.00	∞ (NA)	0.438
Cache-County	6/249	2.35	29/2,442	1.17	2.03 (0.83-4.93)	0.131
U. Toronto	5/260	1.89	1/245	0.41	4.71 (0.54-40.7)	0.212
U. Nottingham	6/519	1.14	3/271	1.09	1.05 (0.26-4.25)	1.000
U. Pittsburgh	15/1,253	1.18	6/958	0.62	1.82 (0.74-5.00) <sup>*</sup>	0.191
NIMH	4/318	1.24	-	-	N/A	
Welllderly	-	-	1/376	0.27	N/A	
<b>Total</b>	<b>82/4,916</b>	<b>1.64</b>	<b>50/6,306</b>	<b>0.79</b>	<b>2.10 (1.47-2.99)</b>	<b>2.93×10<sup>-05</sup></b>

The table shows the counts for Carriers and non-carriers. P-values were calculated by Fisher's exact-test.

\* For the U. Pittsburgh, age, gender, APOE genotype and principal component factors for population stratification were available. Association of the V232M with AD risk was performed by logistic regression including age, sex, APOE genotype and the first four principal component factors as covariates.

Extended Data Table 2

Sequence variants found in *PLD3* in the NIA-LOAD, Knight-ADRC and NIA-UK datasets.

Chr. position	AA	NIA LOAD	Knight ADRC	NIA-UK	total	MAF %	p-value	OR (95% CI)	EVS MAF%	SIFT	Polyphen	
40872407	M6R	CA	0	8	1	9	0.19	0.02	7.73 (1.09-61)	NP	tolerated	deleterious
		CO	0	1	0	1	0.02					
40872764	S63G	CA	3	1	0	4	0.08	0.74	0.68 (0.18-2.55)	0.16	tolerated	neutral
		CO	5	0	0	5	0.12					
40872803	P76A	CA	3	1	0	4	0.08	0.12	NA	0.03	tolerated	benign
		CO	0	0	0	0	0.00					
40873764	T136M	CA	0	1	0	1	0.02	0.54	NA	NP	tolerated	deleterious
		CO	0	0	0	0	0.00					
40876055	H197Y	CA	0	1	0	1	0.02	0.49	0.85 (0.05-13.7)	NP	damaging	benign
		CO	0	1	0	1	0.02					
40877584	K228R	CA	1	1	1	3	0.06	0.25	NA	NP	damaging	deleterious
		CO	0	0	0	0	0.00					
40877595	V232M	CA	29	16	1	46	0.99	<b>1.05×10<sup>-05</sup></b>	3.99 (2.01-7.94)	0.48	damaging	deleterious
		CO	8	2	0	10	0.25					
40877608	N236S	CA	0	2	0	2	0.04	0.40	1.71 (0.15-18.91)	0.01	damaging	deleterious
		CO	0	1	0	1	0.02					
40877752	N284S	CA	0	1	0	1	0.02	0.54	NA	NP	tolerated	deleterious
		CO	0	0	0	0	0.00					
40880407	C300Y	CA	2	3	0	5	0.10	0.46	2.14 (0.41-11.06)	0.09	tolerated	deleterious
		CO	1	0	1	2	0.04					
40880481	A325T	CA	0	1	0	1	0.02	0.54	NA	NP	damaging	deleterious
		CO	0	0	0	0	0.00					
40883725	Q406H	CA	1	0	0	1	0.02	0.54	NA	NP	tolerated	neutral
		CO	0	0	0	0	0.00					
40883783	T426A	CA	1	0	0	1	0.02	0.54	NA	NP	tolerated	neutral
		CO	0	0	0	0	0.00					
40883911	G435V	CA	0	0	0	0	0.00	0.46	NA	0.02	damaging	deleterious
		CO	1	0	0	1	0.02					



Chr. position	AA	NIA LOAD	Knight ADRC	NIA-UK	total	MAF %	p-value	OR (95% CI)	EVS MAF%	SIFT	Polyphen
40883933	A442A	CA	35	12	95	2.09	$1.08 \times 10^{-05}$	2.31 (1.56-3.41)	1.59	-	-
		CO	12	7	36	0.90					
40883956	Q450L	CA	0	0	0	0.00	0.46	NA	NP	tolerated	neutral
		CO	0	1	1	0.02					
40883962	G452E	CA	6	0	10	0.21	0.16	2.86 (0.78-10.4)	0.09	tolerated	deleterious
		CO	2	1	3	0.07					
40883967	G454C	CA	1	0	1	0.02	0.54	NA	NP	damaging	deleterious
		CO	0	0	0	0.00					
40884037	D477G	CA	1	0	1	0.02	0.49	0.42 (0.04-4.72)	0.02	damaging	deleterious
		CO	1	0	1	0.02					
40884069	R488C	CA	3	0	3	0.06	0.25	NA	0.02	damaging	deleterious
		CO	0	0	0	0.00					
<b>total</b>		CA	1114	143	2363						
<b>total</b>		CO	913	183	2024						

The coding region of *PLD3* was sequenced in 2,363 AD cases and 2,024 controls (see materials and methods) from the Knight-ADRC, NIA-LOAD and the NIA-UK datasets. The table shows the coding variants identified as well as the number of carriers in each dataset. The minor allele frequency (MAF) in cases and in controls, the p-value and the OR for the association with case-control status is shown. The MAF of the identified variants in the Exome Variant Server (EVS) is shown. We also used SIFT and Polyphen to predict the impact of the non-synonymous changes on protein function. NA: not available. NP: not present

**Extended Data Table 3**

Comparison of the gene-based analysis including all coding variants or only variants predicted to be deleterious

	Benign + deleterious		Only deleterious	
	p-value	OR (CI)	p-value	OR (CI)
<b>All variants</b>	$1.44 \times 10^{-11}$	2.75 (2.05-3.68)	$2.52 \times 10^{-12}$	2.86 (2.10-3.88)
<b>Excluding V232M</b>	$1.58 \times 10^{-8}$	2.58 (1.87-3.57)	$2.95 \times 10^{-8}$	2.54 (1.81-3.57)
<b>Excluding A442 and V232M</b>	$1.61 \times 10^{-3}$	2.86 (1.62-5.06)	$5.88 \times 10^{-5}$	3.20 (1.59-6.45)

Gene-based analyses were performed by SKAT-O. Variants that were predicted to be benign by both SIFT and Polyphen were removed for the second analysis

**Extended Data Table 4**Association analysis for *PLD3* A442A in four European-descent datasets

	CA	CO	p-value	OR (95% CI)
<b>NIA-LOAD</b>	48/1058	17/911	$1.40 \times 10^{-03}$	2.43 (1.38-4.25)
<b>Knight-ADRC</b>	35/1079	12/901	$7.10 \times 10^{-03}$	2.43 (1.25-4.71)
<b>NIA-UK</b>	12/131	7/176	$9.76 \times 10^{-02}$	2.30 (0.88- 6.0)
<b>Cache-County</b>	9/246	50/2421	$1.15 \times 10^{-01}$	1.77 (0.86-3.65)
<b>Total</b>	104/2514	86/4409	$3.78 \times 10^{-07}$	2.12 (1.58-2.83)

The table shows the counts for carriers and non-carriers. P-values were calculated using the Fisher's Exact test.

**Extended Data Table 5***PLD3* is associated with risk for AD in African-Americans

Variant	Cases (n=130)		Controls (n=172)		p-value	OR (95% CI)
	carriers	Carrier Freq %	carriers	Carrier Freq %		
<b>G63S</b>	1	0.77%	0	0.00%	0.43	NA
<b>K228R</b>	1	0.77%	0	0.00%	0.43	NA
<b>V232M</b>	3	2.31%	0	0.00%	<b>0.07</b>	NA
<b>I364I</b>	6	4.62%	4	2.33%	0.33	2.02 (0.56-7.29)
<b>A442A</b>	4	3.08%	0	0.00%	<b>0.03</b>	NA
<b>Total</b>	15	11.54%	4	2.33%	<b>1.4×10<sup>-03</sup></b>	5.48 (1.77-16.92)

*PLD3* was sequenced in a total of 302 African-Americans. Table shows the counts for single SNPs and the gene-based analysis for *PLD3* in 130 AA cases and 172 controls. P-values were calculated using the Fisher's exact test.

**Table 1**

Association between *PLD3*-V232M (rs145999145) and Alzheimer's Disease risk in individuals of European-descent.

	count	freq (%)	Odds Ratio (95% CI)	p value
<b>Control Group</b>				
All controls	50/6,306	0.79		
Non-demented >65yrs	9/1,690	0.52		
Non-demented >70yrs	5/1,248	0.39		
Non-demented >80yrs	1/375	0.26		
<b>Cases group</b>				
<b>All AD cases</b>	<b>82/4,916</b>	<b>1.64</b>	<b>A</b> <b>2.10 (1.47-2.99)</b>	<b>2.93×10<sup>-5</sup></b>
			<b>B</b> 3.13 (1.57-6.24)	3.54×10 <sup>-4</sup>
			<b>C</b> 4.16 (1.68-10.29)	2.34×10 <sup>-4</sup>
Index cases (Families)	29/1,077	2.62	<b>A</b> 3.39 (2.14-5.39)	1.18×10 <sup>-6</sup>
			<b>B</b> 5.05 (2.38-10.41)	5.14×10 <sup>-6</sup>
			<b>C</b> 6.72 (2.59-17.52)	5.23×10 <sup>-6</sup>
Sporadic AD cases	53/3,839	1.36	<b>A</b> 1.74 (1.18-2.57)	5.70×10 <sup>-3</sup>
			<b>B</b> 2.59 (1.27-5.26)	5.20×10 <sup>-3</sup>
			<b>C</b> 3.44 (1.37-8.63)	3.20×10 <sup>-3</sup>

The table shows the counts for minor allele carriers and non-carriers. P-values were calculated using Fisher's exact test. Only individuals of European-descent were included in this analysis.

The carrier frequency for the V232M variant in the Exome Variant Server (EVS) is 0.99%

<sup>A</sup> OR and p-value in comparison with all controls

<sup>B</sup> OR and p-value in comparison with non-demented individuals >65yrs.

<sup>C</sup> OR and p-value in comparison with non-demented individuals >70yrs.