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REVIEW

Social determinants of type 2 diabetes and health in the **United States**

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Abstract

Diabetes is the sixth leading cause of death in the United States. To date, most research and resulting clinical strategies have focused on the individual with shortterm health improvements that have not been maintained over time. Researchers more recently have recognized the need to consider the social determinants of diabetes and health along with individual factors. The purpose of this literature review is to examine current understanding of the social determinants affecting diabetes and health. A search of medical and nursing literature was conducted using PubMed, PsychInfo, CINAHL and MEDLINE databases, selecting articles published between 2000 and 2013. Search terms included: type 2 diabetes, social determinants, and health determinants. Inclusion criteria were: English language, human studies, social determinants of diabetes and health, and research in the United States. Additional search methods included reference chaining of the literature. Twenty research articles met the inclusion criteria for the review and analysis and included quantitative and qualitative methods. All studies selected for this review were descriptive in nature (n = 20). Fifteen studies were quantitative studies and five were qualitative studies. No intervention studies met inclusion criteria. Each study is summarized and critiqued. Study findings indicate that external or upstream factors consistently

affect individuals diagnosed with diabetes, influencing self-management. Significant methodological limitations result directly from small sample sizes, convenience or nonprobability sampling, and low statistical power.

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Key words: Type 2 diabetes; Social determinants; Health determinants; Research; United States

Core tip: Social determinants of health and diabetes need to be considered when focusing on improving diabetes outcomes. Future research studies should focus on testing health outcomes of people with diabetes within the social determinants of health framework. Such research is particularly significant due to high rates of diabetes and subsequent disease sequelae.

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INTRODUCTION

Diabetes Mellitus affects approximately 25.6 million individuals or 11.3% of those over age 20. It is the sixth leading cause of death in the United States^[1]. Diabetes places the individual at risk for serious long term complications including blindness, cardiovascular disease, end stage renal disease, hypertension, stroke, neuropathy, lower limb amputations, and premature death^[1]. Estimated annual healthcare cost in 2012 for diabetes and its resulting complications was \$245 billion^[2]. Given the considerable differences internationally in methods of allocating health care resources, systems of funding and/or paying for care, and cultural attitudes to health and health care, the purpose of this review of the literature is to examine



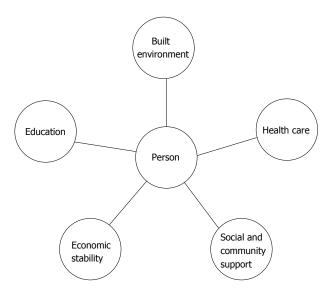


Figure 1 Social determinants influencing the individual's self-management of type 2 diabetes.

current understanding of the social determinants affecting diabetes and health in the United States, and to make recommendations for future research.

Historically, research and resulting clinical approaches focusing on the individual have led to improvement in self-management outcomes and reduction of cardiovascular risk factors; however, these short-term improvements have not been maintained over time. Researchers more recently have recognized the need to consider factors external to the individual, namely the social determinants of diabetes and health in order to achieve the goal of sustainable improvement in health outcomes^[3,4]. For example, the United States government document Healthy People 2020 emphasizes the social and environmental factors that affect the individual and his/her health. A Healthy People 2020 goal for the diabetes health indicator is to "reduce the disease and economic burden of diabetes mellitus, and improve the quality of life for all persons who have, or at risk for diabetes" [5].

Social determinants of health are social-ecological factors affecting health^[6]. The person, his/her social network, and cultural and environmental conditions form the overall framework. Constructs include external/environmental socio-ecological influences on the individual (Figure 1); for example, culture, environment, education, working conditions, access to medical care, and community infrastructure^[5]. Therefore, external or upstream determinants such as social support and elements of the community affect the health of the individual. Specific socio-ecological factors identified from this literature review are examined below.

Built environment/community infrastructure

Components of the physical environment include factors such as transportation, neighborhood safety, and healthy food. When barriers to these factors are present to individuals with diabetes, inadequate access to resources among such disadvantaged populations means fewer

resources are available to overcome barriers, thus effects are magnified^[7-9]. For example, limited transportation in rural areas may require travel outside the local community to gain access to healthcare providers or access to healthy foods^[6]. Urban residents may face transportation barriers such as lack of sidewalks [9], discouraging individuals from walking as a form of physical activity. Lack of public transportation in rural or urban areas can hinder travel for access to healthcare. Lack of neighborhood safety contributes to health disparities. An example of compounding factors is as follows: urban centers may have high crime rates with consequently fewer businesses and employment; reduced access to services including food and medical care; and diminished opportunity for outdoor activity including exercise^[10]. Research has shown a relationship between improved health outcomes and access to healthy foods^[11,12]. Emerging research in the area of nutraceuticals indicates that certain foods may provide health benefits to reduce disease process progression in diabetes and hyperlipidemia^[12]. However, this relationship is a complicated one, as demonstrated by Jones-Smith et al^[13] who found that, even with access to healthy food, socioeconomic status remains a strong predictor for obesity among African Americans diagnosed with diabetes.

Economic stability

Research has demonstrated a direct relationship between socio-economic status and health outcomes; however, other factors may explain a degree of variance in this relationship^[14]. Zheng *et al*^[14] found that education level, employment, and family income affect socioeconomic status and therefore health.

Education

Greater educational attainment has been linked with improved health outcomes^[15] possibly because of a greater likelihood of socio-economic stability compared to those with lower levels of education. Other related factors may be the stability derived from marriage and/or a wider range of opportunities for better employment^[15]. Moreover, research has shown that individuals with higher levels of education are more likely to participate in preventive healthcare including eating healthier (foods), being more physically active, and avoiding obesity^[16].

Health care/access to medical care

Individuals may be subject to disparity in the availability of healthcare resources, including access to medical care, based on factors such as socioeconomic status, place of residence, race/ethnicity, and culture. Socioeconomic factors include educational level which in turn influences health insurance status^[16]. Low income inner cities and remote rural regions often lack both primary and specialty healthcare providers, decreasing access to healthcare for inhabitants with chronic illnesses such as diabetes, hypertension, and cardiovascular disease. Absent or inadequate care may result in worsening or compounding of long-term effects of chronic diseases^[17,18]. For example, recent research focusing on infants born preterm or with low



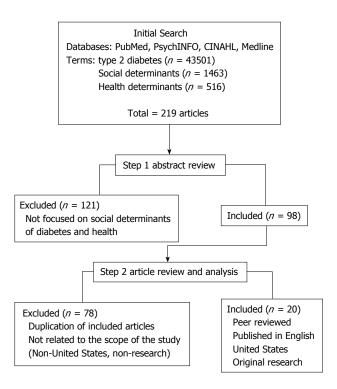


Figure 2 Manuscript selection for systematic review of the social determinants of diabetes and health.

birth weight demonstrates an increase in the development of insulin resistance and impaired glucose tolerance as adults^[19,20]. Lower socioeconomic status may be associated with an individual's perception that lack of a collaborative patient-provider relationship is associated with improved diabetes outcomes^[21].

Culture/social and community support

Social support includes individuals' "formal and informal relationships that give rise to a belief that one is cared for or supported emotionally in a defined situation such as working toward improving health outcomes', Degree of social support may vary between individuals and among ethnic groups; for example, research revealed that Hispanic individuals diagnosed with diabetes prefer group medical visits for self-management support whereas individuals from other ethnic groups have no preference [23]. Just as greater social support correlates with improved self-management outcomes, the perception of negative or low levels of social support has been shown to increase the risk of fewer self-management behaviors [24].

RESEARCH

A search of medical and nursing literature was conducted using PubMed, PsychInfo, CINAHL and MEDLINE databases. Additional search methods included reference chaining of the literature. Search terms included type 2 diabetes, social determinants and health determinants. Inclusion criteria were English language, human studies, social determinants of diabetes and health, and research

in the United States. Exclusion criteria were type 1 diabetes, reviews, and studies not focusing primarily on social determinants of diabetes and health; for example, biomarkers. The initial search of the literature retrieved 59036 articles on type 2 diabetes; 12871 articles on social determinants; 14866 articles on health determinants. Sixty one duplicate articles, one book review brief, one editorial commentary, and two conference proceeding abstracts were also excluded (Figure 2). Twenty articles met criteria for the review (Table 1).

Twenty articles met the inclusion criteria for the review and analysis. All studies selected for this review were descriptive in nature (n = 20). Fifteen studies were quantitative studies and five were qualitative studies [25-29,32-44]. Although sample size ranged from 15 to 81917 participants, many samples were fewer than one hundred subjects. All studies focused on individuals diagnosed with diabetes. There were no interventional or randomized control trial studies. The majority were cross-sectional, collecting data only once. For quantitative studies, two were mixed methods, including a survey and interview; five were secondary data analysis, and eight were surveys. Qualitative studies used either focus groups or individual semistructured interviews (n = 5). Fourteen studies focused on social determinants from the patient or client perspective; three studies focused both on staff/healthcare provider and patient/client, while three studies viewed social determinants of health from the perspective of the healthcare provider alone. All studies focused on one or more of the constructs of social determinants of health: built environment, economic stability, health care, or culture/social support.

Built environment/community infrastructure

Authors of four articles discussed the built environment and community infrastructure. Research studies used purposive sampling, limiting the generalizability of findings to other populations. Three studies focused on populations known to have a disproportionate burden of type 2 diabetes, including African Americans and Hispanic/Latino. The built environment was a stronger predictor of health outcomes than race. Three studies [25-27] reported on upstream social determinants and the influence on food environments for at risk immigrant Hispanic population. Findings included high rates of poverty with 60% of living below United States definition of poverty and 40% living at 170% below federal poverty level. Educational attainment was less than the United States average with 80% of individuals not entering college. Thirty-three percent had not completed elementary school. In comparison the national United States rate of high school completion is 89.9% in 2010^[25]. One study focused on Asian Americans. No studies included American Indians or Pacific Islanders. Two studies were community-based, focusing on food environment and access to healthy food. Transportation was discussed in three articles as a barrier to access both healthcare and healthy food. Research participants reported lack of access to quality, quantity, and

Table 1 Summary of reviewed studies	reviewed studies				
Ref.	Purpose	Design/method	Sample	Findings	Strengths/limitations/implications
Chaufan <i>et al^[25]</i> (2011)	To examine "upstream" social determinants of health in a Latino immigrant population focusing on T2DM risk and food environment	Mixed methods focus groups, Food store survey instrument California	Staff members $(n = 6)$; clients $(n = 15)$	Poverty prevented Latino Community immigrants from access to adequate population housing, high quality education, and Limitations culturally appropriate food choices Implication acceptable 1	Poverty prevented Latino Community-based interviews elicited lived experience of Latino immigrant immigrants from access to adequate population housing, high quality education, and Limitations: small sample size, nonrandomized, descriptive study culturally appropriate food choices Implications: Policy change to enable access to affordable culturally acceptable healthy foods
Carbone <i>et al</i> ^[26] (2007)	To describe factors influencing Latino (Puerto Rican) diabetes self- management		Healthcare providers ($n = 15$) Patients ($n = 37$)	Cultural influence of family through traditional gender roles; financial restraints Social network (family and friends) source of strength; spirituality and faith important; discrepancy between healthcare provider and patient focus in self-management	Cultural influence of family through Community-based limitations: Small sample size, qualitative study, not traditional gender roles; financial generalizable to all Latino populations restraints Social network (family and friends) self-management education, need for increased cultural awareness by source of strength; spirituality Healthcare provider of influencing factors on patient self-management between healthcare provider and between healthcare provider and self-management patient focus in self-management
Chaufan et al ^[27] (2011)	To gain understanding how food environments influence a low income immigrant population	Qualitative-focus groups	Staff $(n = 6)$ Clients $(n = 15)$	Barriers to food access: transportation, language barriers, poverty, employment	Strengths: community based Limitations: small sample size, not generalizable Implications: Need for policy to increase food access for immigrant populations in lower socio-economic levels
Tjia et a $l^{ \mathcal{B} }$ (2008)	To gain understanding of barriers to medication adherence among older adults with T2DM	Qualitative- semi structured interviews	Adults over age 65 ($n = 22$) Female $n = 16$	Older adults with T2DM had concerns about medication cost or medication burden but did not discuss with physician	For Franchistory of older adults Limitations: small sample size, not generalizable Implications: need for increased patient-physician communication; policy level for messages to encourage open patient-physician dialogue for medical
Denham <i>et al</i> ^[29] (2010)	To explore perceived patient barriers to diabetes education among healthcare providers	Cross-sectional survey	Healthcare providers from three practice settings: federally qualified healthcare centers; health departments; clinics ($n = 182$)	Perceived barriers: transportation; fewer diabetes educators and physicians; lack of insurance; education materials not screened for literacy levels nor cultural appropriateness	Strengths: look at perceived barriers to diabetes education among healthcare providers: providers small sample size, multiple sites, sample bias Implications: small sample to increase diabetes education reimbursement to providers for all individuals nationally; need to screen materials for literacy levels
Heuer <i>et al</i> ^[30] (2006)	To describe Hispanic migrant farmworkers perceptions of diabetes	Qualitative phenomenological study	Migrant farmworkers ($n = 12$) Female $n = 6$	Migrant farmworkers (n Cultural/folk beliefs that diabetes = 12) was caused by stress or emotions Female $n=6$	Strengths: focused on hispanic explanatory model of diabetes Limitations: small sample size, not generalizable Implications: need to tailor diabetes education to address culture and health beliefs
Shigaki <i>et al</i> ^[31] (2010)	To examine how patients diagnosed with type 2 diabetes view role of nurses in disease management	Qualitative Semi-structured individual interviews	n = 13 Female $n = 7$ White $n = 9$ African American $n = 3$ Other $n = 1$	Nurse viewed as a positive partner in disease management, patients prefer team-based medical care, open communication between healthcare providers and patient	Strengths: Patient perspective on nurse as partner in healthcare Limitation: small sample size; sample bias Implications: Nurse partner may provide link between patient and improving health outcomes in individuals with multiple co-morbidities including diabetes
Fitzgerald et a $t^{[32]}$ (2008)	To determine diabetes care Quantitative cro perceptions in patient and provider sectional survey	Quantitative cross r sectional survey	Providers $n = 71$ Patients: $n = 273$ female 61% White 63% African American 33%	Patient and provider differences included patients with more positive attitudes family support, paying for diabetes care	Patient and provider differences Strengths: quantitative study using reliable and valid instrument included patients with more positive Limitations: may not be representative for patients with no co-morbidities attitudes family support, paying for Implications: Importance of communication between patient and provider diabetes care and not making assumptions about patients



Zulkowski <i>et al</i> ¹³³ (2005)	To examine differences between patient self-report and provider documentation in rural health center	Quantitative cross sectional survey; medical record review	n = 149 Female $n = 86$	Statistical difference between patient and provider on medical management or diabetes knowledge; most patients had not been seen by diabetes educator or distrian	Strengths; good response rate (61%); limitations: race not documented Implications: Need for increased access to diabetes education in rural areas; need for open communication between patient and provider
Ford <i>et al</i> ^[34] (2002)	To determine relationship between Quantitative-socio-economic status and race on random samp diabetes management	A Quantitative- random sampling by socio-economic	n = 50 African American $n = 21$ Caucasian $n = 29$ Example $n = 29$	Differences in perception of sense of loss by race but not socio-economic status	Differences in perception of sense of Strengths: Limitations: small sample size: not generalizable; loss by race but not socio-economic underpowered ($\alpha = 0.34$) status Implications: Need for education to stress management to prevent disease
Mani et a $l^{[35]}$ (2011)	To determine the influence of social networks on diabetes selfmanagement	status and race Cross-sectional survey	remark $n = 22$ n = 154 Female $n = 88$ White $n = 78$ African American $n = 61$	Diabetes concern increased when larger social network diagnosed with diabetes	Strengths: patient perspective of importance of social networks Strengths: patient perspective of importance of social networks Limitations: not generalizable-sample from two inner city clinics, only English speaking, data skewed Implications: Important to consider the influence of social networks on
Song <i>et al</i> ^[56] (2012)	To examine social networks among Cross-sectional Korean Americans diagnosed with survey from a type 2 diabetes larger study		n = 83 Female $n = 35$ Married $n = 73$	Gender differences in source of support, men sought spouse, women had higher unmet needs for social support than men, self-efficacy negatively associated with unmet social support, education level strong predictor of self-care activities, unmet needs for social support unmet needs for social support self-care	underes sentinguagement Strengths: patient perception of social support Limitations: small homogenous sample, not generalizable Implications: need to recognize the role of gender in determining social support among Korean Americans
Kollannoor-Samuel <i>et al^[57]</i> (2011)	Kollannoor-Samuel et al ^[57] To identify influence of social (2011) determinants of health on FPG and HbA1c among low income Latinos	Cross-sectional	n = 211 Female $n = 155$ Puerto Rican $n = 171$ Unemployed $n = 178$ Spanish speaking only $n = 138$	ocio-economic status had PG and HbA1c levels; better n glycemic control when increased physical activity d with lower FPG and	Strengths: sample size; part of larger RCT Limitations: large number of female participants-not generalizable or transferable to other Latino populations; unknown length of time since diagnosis Importance of physical activity for improved glycemic control; need for health insurance, increase in education to improve glycemic
Chiu <i>et al</i> ^[78] (2011)	To determine how gender influences functional limitations with type 2 diabetes	Secondary data analysis	n = 1619 Female $n = 861$	Psychosocial factors mediator between biological and exercise factors	Strengths: consistent with previous research Limitations: participant self report; no causal pathway Implications: Need to incorporate gender and psychosocial factors in diabetes treatment alan
lida <i>et al</i> ^{199]} (2010)	Examine role of spousal support Mixed metho for individual diagnosed with type longitudinal 2 diabetes Computer di survey; indivinidial interviews	Mixed methods- longitudinal Computer diary survey; individual interviews	n = 129 married couples Caucasian 75%; African American 23.6%	Physical symptoms increased spousal support; women gave higher level of support when spouse worried about diabetes; less spousal support when negative emotional effect from previous day	Granders regarders from the state of the sta

Ito assess determinants of the control of the contr
To determine estimates of obesity Cross sectional n=81917 individuals and diabetes weight information survey: 2000 with BMI data from healthcare providers in 100 Behavioral Risk Sample size varied due United States metropolitan service Factor Surveillance to missing data areas
To examine medication adherence Secondary data $n = 1806$ as a factor of glycemic control analysis newly Black $n = 467$ based on race diagnosed patients White $n = 1339$ prescribed oral medications
To examine the relationship of Cross-sectional n = 736 patient-provider communication Telephone survey White 51% on diabetes self-management and Hispanic 11.9% outcomes Female 31.6%
To determine if determinants of Cross-sectional Diagnosed with diabetes Enlisted military rank as type 2 diabetes were present in secondary data $n=419$ socioeconomic measure personnel entering the military analysis Not diagnosed with diagnosis higher in lower diabetes $n=627$ minorities higher level of educational not significant

FPG: Fasting plasma glucose; HbA1c: Glycosylated hemoglobin A1c; BMI: Body mass index; T2DM: Type 2 diabetes mellitus; RCT: Randomized control trial; ADA: American diabetes Association.

culturally-acceptable food choices [25-27]. Study limitations include small sample size and descriptive statistics.

Economic stability

Five articles focused on economic stability. Three studies were cross-sectional survey, one involved focus group interviews, and one was a secondary analysis. Sample size in One study focused on indirect economic factors, such as military rank, as a predictor of diabetes diagnosis [44]. Two studies compared patient/client perspectives to healthcare these studies ranged from 50 to 419. Discussion focused on health insurance, financial barriers, poverty, and affordability of medication from the patient or client perspective. provider perceptions of economic barriers to diabetes self-management [25,32]. Three of the five studies compared race as a factor in economic stability within Latino and African



American populations^[26,34,37]. Findings included individual acknowledgement that economic distress in diabetes self-management was important however, factors were also identified as sources of additional strength for individuals diagnosed with diabetes. Sources of support included culture and/or social support^[26,34]. The influences of economic factors by race/ethnicity on diabetes outcomes were non-conclusive. Therefore, economic stability may be a strong determinant of diabetes and health regardless of race/ethnicity. Studies focused on target populations, limiting to selected urban regions for study.

Health care/access to medical care

There were nine studies found in which researchers examined the role of health care and/or access to medical care within the social determinants of diabetes and health framework. One study compared patients' and healthcare providers' perspectives on diabetes management [32]. Another research report examined healthcare providers' perception of patient barriers to diabetes management^[29]. The remaining seven articles focused on the patient's perceptions of healthcare related to diabetes management and barriers to care. Sample size for the patientonly studies ranged from 13 to 81917. Eight studies were cross-sectional descriptive in design, and one was a secondary data analysis from the Behavioral Risk Factor Surveillance System. Most researchers reported that patients viewed their health in a more positive light than did providers based on medical record reviews. The concerns of healthcare provider included the costs associated with diabetes management^[29,32]. Patient-provider communication varied among patients. Three articles focused on positive health outcomes with open patient-provider communication^[31,40,43]. One article described physicians as often initiating communication about medication adherence, whereas patients were hesitant to initiate communication with physicians relating to medication burden and costs^[28]. This may, in part, explain perceived lack of patient medication adherence which increases the potential for poorer health outcomes. One qualitative study described patients' preference for diabetes care teams in which the team's link between patient and physician was a nurse^[31]. Two studies demonstrated increased quality of life and better glycemic control with positive patientprovider communication [40,43]. However, when looking at diabetes prevention and knowledge, two studies reported the need for provision of diabetes education focusing on basic management and the need for discussion of weight management or weight loss for diabetes prevention [33,41]

Culture/social and community support

Seven articles met the inclusion criteria focusing on the constructs of culture and community support. Four of the seven researchers reported on cross-sectional surveys, one study involved focus groups in a community setting, one study used a phenomenological method of analysis, and one used mixed methods incorporating a computer diary and individual interviews. Two of the seven articles

included healthcare provider perceptions. Of these two articles, one had a sample of both patient and healthcare provider. Sample size for the seven articles ranged from 12 to 273. Two articles focused on cultural determinants of diabetes and health in Latino/Hispanic populations. Cultural beliefs in Hispanic populations included the belief that diabetes was caused by increased stress^[30]. The authors noted that the discovery of this belief provides an opportunity for healthcare providers or trusted community sources to provide education to increase diabetes knowledge. Three articles focused on the traditional roles of gender and culture, whereby married women provided increased support to their spouse when he voiced concerns about diabetes and health [26,36,39]. One article focusing on Korean Americans found that women had an increase in unmet needs when providing support for their spouses, which negatively affected their diabetes self-care [36]. Two articles discussed social support or social networks as positive influences for diabetes self-management and health [26,32]. However, one article described African American patients' concern about their diabetes management and health when multiple members of their social network were diagnosed with diabetes or experienced complications of diabetes^[35]. One article discussed healthcare providers' perceived barriers in rural healthcare settings^[29], pointing out an apparent lack of culturally appropriate educational materials within healthcare clinic settings.

CONCLUSION

This critique of the literature about social determinants of diabetes and health focused on research of United States populations published between 2000 and 2013. A total of 20 research studies met established criteria. All 20 studies identified for this review were descriptive. The majority of studies were published in journals with a focus on public health or nursing. Results of this review are useful for health professionals who develop programs and/or interventions for people diagnosed with diabetes because evidence indicates that social determinants affect patient adherence, effectiveness of treatments, and overall health outcomes.

Study findings indicate that external or upstream factors prominently affect individuals diagnosed with diabetes, in part by influencing self-management and in turn exerting lasting effects on long-term diabetes and health outcomes. The most significant methodological limitations of the studies examined result directly from small sample size, convenience or nonprobability sampling, and low statistical power. Methodological limitations of studies included in this review also include a lack of intervention studies. Future research needs to include community-based intervention studies focusing on the reduction of diabetes disparities and improvement of health outcomes within the social determinants of health framework. Such research is particularly needed given the high rates of diabetes and subsequent disease sequelae. Cultural tailoring

of diabetes prevention educational materials and cultural tailoring of education in group settings may afford the means to increase patients' knowledge of the disease for earlier diagnosis and earlier intervention to prevent diabetes complications. Encouragement of spousal support within the construct of acknowledging cultural norms may provide a means for improving diabetes outcomes and health. The influence of social determinants of health on diabetes outcomes needs to be tested in intervention studies to provide a foundation for effective interventions to impact the current epidemic of diabetes in the United States and around the globe. Prospective interventional studies evaluating the influence of social determinants will be key to lay a foundation for effective interventions and improvement of diabetes and health outcomes.

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