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## A Randomized Controlled Pilot Trial of Classroom-Based Mindfulness Meditation Compared to an Active Control Condition in 6<sup>th</sup> Grade Children

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#### Abstract

Children in the United States are at risk for numerous psychological problems, such as anxiety, attention problems, and mood disorders, and are underserved by current mental health provisions. The current study is a pilot trial to examine the effects of a nonelective, classroom-based, teacherimplemented, mindfulness meditation intervention on standard clinical measures of mental health and affect in middle school children. A total of 101 healthy sixth-grade students (55 boys and 46 girls) were randomized to either an Asian history course with daily mindfulness meditation practice (intervention group) or an African history course with a matched experiential activity (active control group). Self-reported data was collected by administering the Youth Self Report (YSR), a modified Spielberger State-Trait Anxiety Inventory, and the Cognitive and Affective Mindfulness Measure -Revised before and after 6 weeks of meditation or active control condition. Both meditators and active controls decreased significantly on the YSR Internalizing Problems, Externalizing Problems, and Attention Problems subscales but did not differ in the extent of their improvements. Both groups also showed comparable improvements on measures in affect. Meditators were significantly less likely to develop suicidal ideation or thoughts of self-harm than controls. Improvements in affect were correlated with increases in mindfulness in meditators but not controls. These results suggest that mindfulness training may yield both unique and nonspecific benefits that are shared by other novel activities.

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#### Keywords

children; pre-adolescent; mindfulness meditation; contemplative education; resiliency; school; social and emotional learning

Children in the United States face myriad psychological challenges, including depression, anxiety, and attention deficits (Bloom, Dey & Freeman, 2006). It is estimated that the prevalence among American youth of a mental disorder resulting in severe impairment is as high as 22.2% (Merikangas et al., 2010). Not only do many disorders emerge during childhood and adolescence (Costello, Angold & Keeler, 1999; Paus, Keshavan & Giedd, 2008), but early symptoms of psychological distress can also be predictive of later episodes of minor and major illness (Keenan et al., 2008), and subclinical symptoms of depression during adolescence can predict later episodes in adulthood (Pine, Cohen, Cohen, & Brook, 1999). For some, adolescence can be a dangerous period, as unmonitored mood problems and stress can lead to self-harming behaviors and suicide (Beautrais, 2003; Laye-Gindhu & Schonert-Reichl, 2005). Excessive stress during developmental periods can also lead to serious cognitive consequences in adult life (Davidson & McEwen, 2012; Hedges & Woon, 2011). Accordingly, pre-adolescence represents a significant window of opportunity during which an intervention can help prevent the development of later life challenges, including a major psychiatric disorder.

It has been argued that schools have become the frontline of the mental health system for children and adolescents (Burns et al., 1995). Many American schools offer a variety of mental health services ranging from acute care to more generalized prevention programs, implemented through full-service school-based health centers, school psychologists, or guidance counselors. However, the availability of such services varies depending upon local resources (Weist, 1997), and there is a consensus that the mental health needs of many children in the United States remain largely unmet, especially among minority groups and the uninsured (Broman, 2012; Costello, Egger & Angold, 2005; DeRigne, 2010; Flisher et al., 1997; Kataoka, Zhang & Wells, 2002; Kessler et al., 2001; Williams & Chapman, 2011; Wu, Katic, Liu, Fan & Fuller, 2010). Undoubtedly, schools with limited resources would benefit from low cost interventions that are proven to improve student mental health.

Programs that are integrated directly into regular classroom curricula may offer costeffective alternatives to after-school initiatives, which require additional resources and may not be available to students with competing demands for time, such as jobs or afterschool activities. Programs that are integrated into curricula also present a potential advantage over those offered through health services, which are also not always available to students in impoverished communities. As a result, a variety of classroom-based, teacher-implemented programs have been developed to help prevent the onset of psychological, emotional, behavioral, and social disorders among children (Leaf et al., 1996; Rones & Hoagwood, 2000). Some school health programs promote health by focusing on general knowledge about care for oneself and others, whereas others teach specific skills to help students lead healthy lifestyles. At least one study (Schlitt et al., 2000) provides evidence that up to around 50% of school-based mental health centers in the United States offer classroom-

based behavior modification programs, which suggests popular support for curriculumintegrated intervention strategies. Furthermore, programs that are integrated into existing curricula offer an indirect advantage in the sense that they may reduce the stigma surrounding the procurement of mental health services. Stigma is a common reason that youth do not seek and receive mental health treatment—by incorporating mindfulness interventions directly into the classroom, students and teachers are given an opportunity to raise and discuss mental health concerns.

Recently, mindfulness meditation has experienced growing popularity as a form of schoolbased intervention and has been incorporated into educational curricula throughout the United States, both in K-12 schools (Greenberg & Harris, 2012; Kaiser-Greenland, 2010; Meiklejohn et al., 2012; Mind and Life Education Research Network (MLERN), 2012) and in higher education (Shapiro, Brown & Astin, 2011). Mindfulness meditation involves two core activities, the cultivation of attention regulation and emotional equanimity, and has been defined as the process of, "bringing one's complete attention to the present experience on a moment-to-moment basis" (Marlatt & Kristeller, 1999, p. 68). School-based meditation programs implement simple techniques designed to enhance self-awareness and selfregulation of attention, emotions, and behavior in children and adolescents (Greenberg & Harris, 2012; MLERN et al., 2012). Primary practices in school-based meditation programs (particularly mindfulness) include directing attention to a specific "attentional anchor"—a focus of attention, such as the sensations of breathing or environmental sounds, that one returns to whenever the mind wanders-in order to cultivate greater clarity and acceptance of moment-to-moment experience. Such programs often adapt practices from mindfulness programs developed for adults, such as Mindfulness-Based Stress Reduction (MBSR), an 8week stress reduction program that incorporates stress education, group therapy, and meditations drawn from Buddhist traditions (Carboni, Roach & Fredrick, 2013; Kabat-Zinn, 1990). Other school-based programs adopt practices such as yoga (Khalsa, Hickey-Schultz, Cohen, Steiner & Cope, 2012) and tai chi (Wall, 2005). Interventions can involve daily practice (Beauchemin, Hutchins & Patterson, 2008; Schonert-Reichl & Lawlor, 2010) or be composed of weekly sessions with additional "homework" meditation sessions. Informal meditation practices are also used, which involve incorporating mindful awareness into common activities such as walking, eating, and talking (Meiklejohn et al., 2012).

Empirical studies in adults suggest that meditation practices may have positive impacts on a wide range of conditions that start in adolescence, including anxiety, depression (Grossman, Niemann, Schmidt, & Walach, 2004; Hofmann, Sawyer,Witt, & Oh, 2010), and suicidal behavior (Williams, Duggan, Crane, & Fennell, 2006). Additionally, studies in adults have also found improvements in sustained attention (Jha, Krompinger, & Baime, 2007; Kaul, Passafiume, Sargent, & O'Hara, 2010; MacLean et al., 2010; Valentine & Sweet, 1999), executive function and self-regulation (Chambers, Lo, & Allen, 2008; Heeren, Van Broeck, & Philippot, 2009; Ortner, Kilner, & Zelazo, 2007; Tang,Yang, Leve, & Harold, 2012; Tang et al., 2007; Zeidan, Johnson, Diamond, David, & Goolkasian, 2010; Zylowska et al., 2008), which are important markers of risk in children and adolescents. In children and adolescents, poor executive functioning, including self-regulation problems and attention problems, are predictive of a wide range of behavioral and emotional problems, especially depression, anxiety, and suicidality (Moffit et al., 2011; Tang, Yang, Leve, & Harold, 2010).

Conversely, better executive functioning is associated with greater emotional, behavioral, and health outcomes (Blair & Peters, 2003; Blair & Razza, 2007; Carlson, Mandell, & Williams, 2004; Carlson & Moses, 2001; Lefevre et al., 2013; Moffitt et al., 2011).

Because of the widespread popularity, application, and scientific study of meditation in adults, there has been much interest and enthusiasm in applying these practices earlier in life, during childhood and adolescence. A few well-designed randomized control trials (RCTs) suggest that mindfulness-based programs may benefit clinical samples of children and adolescents with anxiety, depression (Beauchemin et al., 2008; Biegel, Brown, & Shapiro, 2009), and attention problems (Semple, Lee, Rosa, & Miller, 2010; Zylowska et al., 2008).

However, there are serious limitations to the body of mindfulness research on children and adolescent populations (Burke, 2010). In addition to the many pilot trials that suffer from small sample sizes (Bogels, Hoogstad, van Dun, De Shutter, & Restifo, 2008; Singh et al., 2007), previous studies have often involved clinical samples that preclude generalization to normal adolescent populations (Biegel et al., 2009; Singh et al., 2007; Singh et al., 2010; Zylowska et al., 2008). Such samples include only those children whose symptoms have been severe enough to warrant treatment. Broader interventions for larger, nonclinical groups may help to prevent these behaviors before they reach a clinical severity. Another challenge is that studies involving nonclinical adolescent populations conducted in clinics by licensed therapists and clinicians (Saltzman & Goldin, 2008) run the risk of creating a stigma associated with the treatment's location and may also introduce financial barriers that contribute to substantial selection biases.

In an attempt to resolve such problems, several studies to date have incorporated meditation interventions directly into schools. Paralleling the findings in clinical samples above, RCTs of school-based mindfulness programs also suggest that these programs can improve depression and anxiety (i.e. "internalizing symptoms"), behavior and conduct problems ("externalizing symptoms"), attention and executive function, and affective disturbance in nonclinical samples of children and adolescents (Flook et al., 2010; Napoli, Krech, & Holley, 2005; Mendelson et al., 2010; Raes, Griffith, Van der Gucht, & Williams, 2013).

However, research of school-based programs also suffers from methodological limitations. First, these programs are often presented as electives (Foret et al., 2012) or as pull-out sessions with a specialist (e.g., a counselor or psychologist). Like other forms of pull-out programs (e.g., social skills programs), the outcomes from such self-selected or elective programs may lack the degree of generalizability available to programs that are undertaken by an entire class or school. Mindfulness-based programs have most often been taught by independent instructors who are hired externally and not delivered by the children's regular teachers or the school counselor, so there is a lack of evidence suggesting the effectiveness of interventions delivered by class-room teachers during school hours (Fernando & Keller, 2012; Foret et al., 2012; Napoli et al., 2005). It is possible that interventions not delivered by the regular classroom teacher carry with them an additional potential barrier to delivery, as students may not be as receptive to an outsider as to a teacher they are familiar with and may be unmotivated to participate in extracurricular mindfulness training.

A number of reviews of the effects of mindfulness, meditation (Black, Milam & Sussman, 2009; Burke, 2010; Waters, Barsky, Ridd, & Allen, 2014), and yoga (Birdee et al., 2009) among children offer a high level of enthusiasm tempered by similar conclusions about the poor quality of research. The most recent reports on the state of contemplative education research (Greenberg & Harris, 2012; MLERN et al., 2012; Waters et al., 2014) emphasize a paucity of methodologically rigorous research. For example, out of 14 studies of school-based meditation interventions covered in a recent review (Meiklejohn et al., 2012), only 2 were RCTs based in nonclinical school classrooms (Flook et al., 2010; Napoli et al., 2005).

The current pilot study was designed so that the interventions could be delivered by classroom teachers and offered during regular school lessons. In addition, this study's design is one of the few to date where the course containing the intervention was required for all students (avoiding self-selection bias). Additionally, the intervention was delivered in a nonclinical setting and taught by the regular classroom teacher during normal lessons and was not introduced for therapeutic reasons (thus, fewer demand characteristics were evident). When such interventions are embedded into the regular curriculum they become a part of the school's ethos, and any effects gained from the intervention become more generalizable to the entire school.

Recent reports and reviews have also recommended the use of active comparison groups (Flook et al., 2010; Meiklejohn et al., 2012), which we included in the current study's design. In response to a recent meta-analysis of school-based self-regulation programs (including mindfulness-based programs; Diamond & Lee, 2011), one critic (Mercer, 2011) pointed out that when control groups do not experience any new and exciting activity, improvements on some scales that occur for the treatment group cannot be attributed to mechanisms beyond nonspecific effects of novelty. In the Flook et al. (2010) study, for example, controls did quiet reading in a regular classroom, whereas meditators moved tables and chairs to prepare for the activity and were allowed to sit on special cushions. Not controlling for these additional environmental variables makes it difficult to ascertain the source of an intervention's effectiveness. The present study attempts to control for such effects by giving the control group an enjoyable, nondidactic, experiential, and novel activity matched to course content (i.e., building a life-size Egyptian sarcophagus).

The current study examines the effects of 6 weeks of classroom-based, teacher-taught mindfulness meditation instruction on internalizing, externalizing, and attention problems, and affect in sixth-grade school children, as compared to a matched, active control group. Other programs often integrate mindfulness techniques as one component of a multicomponent curriculum that includes things such as social and emotional learning, nutritional information, and health and safety information. Thus, although many of these programs have shown positive effects, it is difficult to disentangle which components contributed to observed benefits and whether mindfulness training had any effects on its own. In order to determine the effects of mindfulness practices alone, students in this study received mindfulness meditation training as the sole component, with no other additions.

We predicted that those in the meditating condition would show improvements on the Internalizing Problems scale relative to controls, due to greater reductions in anxiety- and

depression-related symptoms, and would improve relative to controls on the Externalizing Problems scale, due to reduced problematic behavior and acting out. In addition, as mindfulness training has been shown to improve attention and executive function, we predicted greater decreases in the Attention Problems subscale of the YSR in meditators compared to control students. Regarding YSR items addressing suicidality, we hypothesized

predicted greater decreases in the Attention Problems subscale of the YSR in meditators compared to control students. Regarding YSR items addressing suicidality, we hypothesized that as mindfulness training has been shown to reduce feelings of depression and stress, endorsement of such items would be lower in the meditation condition compared to the control condition following the intervention. Because higher scores on the mindfulness scale (CAMS-R) should indicate improvements on the mental traits that mindfulness meditation is expected to train (i.e., attention, present-focus, awareness, and acceptance), we expected that those in the meditation condition would show greater improvements than controls. In addition, in the same way that others have hypothesized that higher scores on the mindfulness scale would be linked to more flexible coping styles (Feldman et al., 2007), we also expected improvements on the CAMS-R to be correlated with reductions in clinical symptoms and affect disturbance.

#### Method

#### Participants

All sixth-grade students from two consecutive years (n = 114) in an independent Quaker school in Providence, RI were eligible to participate in this study. A normative sample of 101 sixth-grade students (55 boys, 46 girls; M age = 11.79 years, SD = 0.41 years) agreed to participate in a randomized, controlled study between October 2007 and April 2009. For more information about study participants, see the Preliminary Analyses subsection of the Results section<sup>1</sup>. All participating students and their parents completed informed assent and consent, respectively. Consent materials informed both parents and children that a child's endorsement of items related to self- or other-directed harm would result in referral to the school psychologist.

#### Instruments

**Student acceptability**—Student acceptability of the meditation practices was assessed through student journals that were collected during the meditation program. These journals were completed only by students in the meditation condition. Following each meditation session, students were given 5 minutes to "write about your experience with the meditation today" on an index card. Cards were handed in to the teacher for review of the students' experiences, degree of understanding, obstacles, or questions.

Because the journal data are textual narratives, qualitative methodology is the most appropriate approach. Thus, journal entries were transcribed and analyzed in line with qualitative methodology standards (Fonteyn et al., 2008; Miles, Huberman, & Saldana, 2014). Content analysis is a systematic and objective means of describing and quantifying phenomena, and may be either inductive or deductive (Elo & Kyngas, 2008). Inductive content analysis, where categories are derived from the data without theory, is used if there

<sup>&</sup>lt;sup>1</sup>Data on race/ethnicity and SES/free and reduced price lunches was not collected.

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is little former knowledge about a phenomenon, whereas deductive theory-driven analysis is used when clear hypotheses or categories are known from previous studies.

In a previous study, Finucane and Mercer (2006) defined acceptability of a mindfulness course along two dimensions: engagement and perceived benefits. Engagement refers to the students' level of willingness and ability to follow the teachers' instructions to do the practices and included statements related to accepting or actively engaging with the practice versus rejecting the practice (i.e. deliberately doing something else during practice time). Because emotional and attention problems are the most common complaints amongst youth (Doll, 1996) and therefore the selected outcomes of the study, we selected these two categories of experience to compose the dimension of perceived benefits.

Analysis of student journals was performed with the Qualitative Solutions and Research International NVivo software program (QSR International, 2002). The software conducts an automated text search query that is "seeded" with selected base words (e.g., "attention" and "anxiety") that generalize via an option called "coding spread" to include related words or phrases (including shared stems and synonyms). Words related to emotions (e.g., "calm," "anxiety," and "distress") and attention (e.g., "concentration" and "focus") were used as seeds, and those and related words were identified and categorized into an attention benefits or emotion benefits category for each entry. Results are presented as number of individuals reporting the target variable on at least one occasion.

**Clinical syndromes**—The Youth Self Report (YSR) was used assess the presence of clinical and subclinical symptoms and measure general wellbeing. The YSR contains 112 questions on a variety of behavior and emotional problems (Achenbach & Rescorla, 2001). Each question has three response options: 0, 1, and 2, which correspond to not at all or never true, somewhat or sometimes true, and very or often true. The sum of raw scores, rather than T-scores, were used, as recommended by the YSR manual (Achenbach & Rescorla, 2001). The YSR is divided into two primary subscales, Internalizing Problems and Externalizing Problems. The Internalizing Problems scale includes 31 items related to internal affective difficulties, such as anxiety and depression as well as somatic complaints like headaches and fatigue. The YSR Internalizing Problems subscale has strong convergent validity with other measures, including the Diagnostic and Statistical Manual of Mental Disorders, Fourth Edition (DSM-IV; American Psychiatric Association, 1994) Depressive Disorder criteria of the clinician-administered DSM IV Checklist (Hudziak, 1998; r = .59, p < .001) and both teacher and parent ratings of Internalizing Problems subscale of the Behavioral Assessment System for Children (BASC; Reynolds & Kamphaus, 1992; r = .75-. 83, p < .001; Achenbach & Rescorla, 2001). Internal consistency reliability (Cronbach's a) for the YSR Internalizing Problems subscale in the current sample was high both before (a = .84 and .91) and after the intervention (a = .84 and .93) for meditators and controls, respectively. The Externalizing Problems subscale includes 29 items related to problem behaviors or "acting out," such as lying, disobedience, substance use, and aggressive behavior. The YSR Externalizing Problems subscale has strong convergent validity with other measures, including DSM-IV Conduct Disorder criteria of the clinician-administered DSM-IV Checklist (Hudziak 1998; r = .62, p < .001) and both teacher and parent ratings of Externalizing Problems on the BASC (Reynolds & Kamphaus 1992; r = .74-.88, p < .001;

Achenbach & Rescorla, 2001). Internal consistency reliability (Cronbach's a) for the YSR Externalizing Problems subscale in the current sample was high both before (a = .86 and . 82) and after the intervention (a = .84 and .85) for meditators and controls, respectively.

The YSR Attention Problems subscale includes 7 items related to concentration (daydreaming), self-control (impulsivity) and school performance and learning. The YSR Attention Problems subscale has strong convergent validity with other measures, including both teacher and parent ratings of the Conners Ratings Scales ADHD index (Conners 1997a, b; r = .77-.88, p < .001) and the BASC Attention Problem scales (Reynolds & Kamphaus, 1992; r = .58-.82, p < .001; Achenbach & Rescorla, 2001). Cronbach's a for the Attention Problems subscale in the current sample was .72 and .70 before and .72 and .80 after the intervention for meditators and controls, respectively.

In addition to clinical syndrome scales, adequate psychological assessment must also screen for endorsement of certain, "critical items" that warrant further clinical attention (Weiner, Graham, & Naglieri, 2003). On the YSR, questions related to self-injurious behavior and suicidal ideation "have been rated by clinicians as being critical items requiring special attention" (Achenbach & Rescorla, 2001, p 34). Large clinical trials in adults often use 1 to 2 suicide-related items that are embedded in a larger scale to assess suicidal ideations associated with drug treatment (Perroud, 2011; Perroud et al., 2009). For children and adolescents, studies typically use 1 to 2 suicide-related items from the YSR to create a suicidal ideation subscale (Dhossche et al., 2002; Espelage & Holt, 2013; Ivarsson, Gillberg, Arvidsson, & Broberg, 2001; Lessard & Moretti, 1998; Vander Stoep et al., 2011). Desseilles et al. (2012) found that using single suicide items from commonly employed depression scales was a valid method of assessing suicidality that correlated with both clinician ratings and actual suicide attempts. Similarly, Vander Stoep et al. (2011) used a single item from the YSR (#91) in a large sample of sixth graders and found that it was correlated with depression symptomatology as well as future suicide attempts. In a recent study of 661 fifth to eighth graders (Espelage & Holt, 2013) and in the current study, items 18 ("I deliberately try to hurt or kill myself") and 91 ("I think about killing myself") were combined to make a suicidal ideation/behavior critical item subscale. In a sample of 661 students, the correlation between these 2 variables was .61 (Espelage & Holt, 2013). Because of the infrequency of endorsement in the current (smaller) sample, correlation calculations were not possible. Endorsement of either of these critical items at any timepoint resulted in immediate referral to the school psychologist.

**Affect**—As our sample was a nonclinical student group, we opted to include a highly sensitive, nonclinical measure of affect in order to identify changes in emotional health. A modified version of the Spielberger State-Trait Anxiety Inventory-Child version (STAI-C) was used to assess positive and negative affect (O'Neil, Spielberger, & Hansen, 1969). The STAI-C has been used previously in clinical and nonclinical adolescent samples and is one of the most frequently used instruments in pediatric research (Foster & Park, 2012). Although the STAI was originally intended to assess anxiety, it is now considered to assess a broad range of emotional states (Bieling, Antony & Swinson, 1998; Caci, Bayle, Dossios, Robert & Boyer, 2003; Gros, Antony, Simms & McCabe, 2007). Similar to the Positive and Negative Affect Scale (Watson, Clark, & Tellegen, 1988), the STAI-C is a 20-item self-

report scale that assesses a respondent's emotional state by rating the presence of negative states ("worried" and "frightened") and positive states ("happy" and "satisfied"; O'Neil, Spielberger, & Hansen, 1969). The original child version of the STAI replaced the adult 4point Likert scale with 3-choice options, very scared, scared, or not scared, but this format has been found to lack sensitivity to detect changes over time (Bringuier et al., 2009; Foster & Park, 2012). Because visual analogue scales of anxiety have been found to be more sensitive than forced choice scales (Foster & Park, 2012) and to be associated with more reliability and validity evidence in children (Bringuier et al., 2009), the current study replaced the 3-choice format with a visual analogue scale response format. Subjects mark a position on a horizontal line (i.e., from not scared to very scared or from not happy to very happy) on all 20 items; scores are measured in millimeters from the left side of the axis and range from 0 to 75. The standard STAI total scale is computed by summing all negative affect items and all reversed-scored positive affect items, and the total scale ranges from 0 to 1500. Internal consistency reliability (Cronbach's  $\alpha$ ) for the total score was high both before (a = .92 and .93) and after the intervention (a = .93 and .96) for meditators and controls respectively. A higher value on the total scale indicates more global affect disturbance, including high negative and low positive affect.

In addition to the STAI total scale targeting affective disturbance, we also employed a more narrowly focused variable to represent positive affect. The World Health Organization's (1948) definition of health is "not merely the absence of disease or infirmity" but "a state of complete physical, mental and social well-being" (p. 100). Negative affect or psychopathology and positive emotions or wellbeing are functionally distinct (Ryff et al., 2006). Studies of mindfulness training in adults have found that it increases positive emotions (Anderson, Lau, Segal, & Bishop, 2007; Nyklicek & Kuijpers, 2008; Ortner et al., 2007), and changes in positive affect may be more sensitive to meditation effects in nonclinical samples (Roberts-Wolfe, Sacchet, Hastings, Roth, & Britton, 2012). However, few studies have examined positive emotions in pre-adolescents, when affective disturbances begin to develop. Changes in positive emotions are particularly important because they are the earliest indicators of future depression, especially in nonclinical samples like the current sample (Wood & Joseph, 2010). Thus, although the STAI total score reflects "affective disturbance," the total subscale does not provide information about the possible impact of mindfulness training on positive affect. Because of these factors, a 10-item exploratory Positive Affect subscale was created and computed by summing all positive affect items. Cronbach's a for the STAI Positive Affect subscale was .88 and .83 before and .89 and .92 after the intervention for meditators and controls, respectively.

**Mindfulness**—The Cognitive and Affective Mindfulness Scale (CAMS-R) is a 12-item measure that assesses subjective ability to regulate attention, maintain an awareness of present-moment experience, and maintain an accepting or nonjudgmental attitude toward present-moment experience (Feldman, Hayes, Kumar, Greeson, & Laurenceau, 2007). For example, items include "I try to notice my feelings without judging them as bad or wrong." Participants rate how applicable each statement is to them using a 4-point Likert scale (1 = *rarely/not at all* to 4 = *almost always*). Total scores on the scale range from 12 to 48. The scale has demonstrated strong correlations to other measures of mindfulness, such as the

Mindful Attention Awareness Scale (Brown and Ryan, 2003), and has demonstrated construct validity evidence through positive associations with wellbeing and negative associations with rumination and worry (Feldman et al., 2007). The CAMS-R has been shown to have good reliability and validity in large heterogeneous student samples (Feldman et al., 2007) as well as in adolescents (Huppert & Johnson, 2010). In adolescents who participated in a mindfulness intervention, CAMS-R scores were correlated with amount of mindfulness practice as well as resilience, wellbeing, and emotional stability (Huppert & Johnson, 2010). In the current study, the CAMS-R had adequate internal consistency reliability before (a = .77 and .75) and after (a = .80 and .73) the intervention for both meditators and controls, respectively.

#### Procedure

Each year, in accordance with existing school policy, sixth-grade students were divided into four classrooms by a committee of educators who were familiar with the characteristics of each student. The committee was charged with creating four homologous classrooms in which there were symmetrical distributions of students by aptitude, learning style, maturity, social characteristics, and gender. Each fall, two classrooms were randomly assigned to the meditation intervention, while the remaining two classrooms were assigned to an active control condition. Randomization was performed by a simple coin flip. In each spring semester, condition assignment was reversed such that during the course of the sixth-grade year, all students received both the meditation curriculum and the active control curriculum. The current study only analyzed data from the fall semester across two years.

All study participants completed questionnaires before and after the 6-week intervention period. After consent, enrollment, and condition assignment, baseline data were collected from all students by research staff. Data were collected through a packet of questionnaires that were administered at school during regular hours. Researchers were blind to assignment at all time-points of data collection.

**Mindfulness training module**—The meditation condition in this study consisted of a meditation module lasting 6 weeks. The meditation condition was formulated according to Roth's (Roth, 2006, 2008, 2011, in press) Integrative Contemplative Pedagogy (ICP), which integrates traditional "third person" didactic, knowledge-based learning, with critical 1<sup>st</sup> person experiential learning. Third person learning refers to learning or gaining knowledge about a topic by reading books or receiving lectures from teachers or other experts. First person learning refers to learning that comes from the lived experience of the student. For example, in the ancient civilizations of Asia course, the didactic (3<sup>rd</sup> person) class content consisted of books and lectures about Asian civilizations, whereas the experiential (1<sup>st</sup> person) component consisted of actually experiencing three different meditation techniques: (1) breath awareness and breath counting; (2) awareness of thoughts, feelings, and sensations; and (3) body sweeps.

On each day during the 6-week trial, the history teacher led students in a short period of silent meditation at the beginning of the history class period. Initial meditation periods lasted only 3 minutes, whereas the final meditation periods lasted as long as 12 minutes. Before

each meditation, students were asked to sit with a straight back in their desk chairs with their hands folded in their laps. Students were given a choice to sit with their eyes either open or closed during the meditation period. Breath awareness and breath counting were taught for the first 2 weeks, followed by 1 week each devoted to labeling of body sensations; labeling of thoughts, and emotions; and body sweeps. During the final 2 weeks, students were free to select from among the various techniques.

The instructions for the three techniques were as follows:

- Breath awareness: "Practice paying attention to the tips of our noses or our abdomen. If your attention wanders, gently bring it back to either the tip of your nose or the abdomen. Feel the breath pass through your nose or feel the breath settle in your abdomen. Pay attention only to your breathing." Students were also instructed in a breath counting exercise to assist in the breath awareness practice: "Count your breaths in sets of five. Count each inhale/exhale combination as one breath. If you lose count, start again at one. It is not a competition or game to see how many you can count; use the counting as a way to focus on your breathing."
- 2. Awareness of thoughts, feelings, and sensations: "Label thoughts, feelings, and sensations. Thoughts are things in your mind, like, "I wonder if I did my history homework," or "do you think we'll have a pop quiz?" Feelings are things you feel in your body like hunger or boredom. Sensations are things you sense with your body, like an itch or a pain in your back. Once you've labeled your experience, stop paying attention to it and bring your focus back to your breathing."
- **3.** Body sweeps: "Practice paying attention to different parts of your bodies by sweeping your attention through your bodies. I will give instructions throughout our sit to guide you through this practice." Students were then instructed to attend to sensations in their feet, legs, abdomen, chest, arms, and head, in successive order.

Following each daily meditation, students were instructed to record their reactions to the meditation in a practice journal. Journals were collected at the end of meditation sessions to serve as markers of responsiveness to the mindfulness training, for student acceptability analysis, as well as indicators of instructor fidelity to the schedule of practices.

Meditation instructions were delivered by the two regular history teachers according to Roth's ICP model. One teacher completed Roth's ICP (Roth, 2013) training and had 5 years of prior daily meditation experience; the second teacher had no prior meditation experience but completed an 8-week Mindfulness-Based Stress Reduction (Kabat-Zinn, 1990) course provided by the research team prior to teaching. Each instructor followed identical practice instruction transcripts on the same schedule that was verified by weekly emails as well as corroborated with student journal entries.

**Active control condition**—The 3<sup>rd</sup> person (didactic) portion of the active control condition was a 6-week curriculum on ancient African History (including Egypt), taught by the same teacher that taught the meditation condition. To match the meditation condition's 1<sup>st</sup> person component, the control condition included a nondidactic, experiential, and novel

activity that was matched to the didactic content of the class: construction of a 3dimensional life-sized model of a Pharaoh's tomb.

#### Data Analysis

**Preliminary analysis**—Prior to analysis, all variables were examined for normality and no outliers were found (Cohen, 2001). Preliminary analyses were used to describe baseline characteristics and participant flow and adherence and to investigate any baseline group differences that might affect the main analyses.

**Main analysis**—The main analyses investigated the effect of the intervention on clinical syndromes, affect, and mindfulness. We conducted separate 2-way repeated measures ANOVAs for each variable to examine changes from baseline to post treatment. Variables consisted of clinical syndromes (YSR Internalizing Problems, Externalizing Problems, and Attention Problems), affect (Total Affect Disturbance and Positive Affect) and mindfulness (CAMS-R total score). The between-subjects variable was a 2-level group variable, meditation (MED) or active control course (CON). The frequency of suicidal ideation or self-harm before and after meditation and control conditions was assessed with a likelihood ratio test (Zar, 1999). Nonsignificant group-by-time by teacher interactions for all outcome variables indicated that group-by-time interactions did not vary by teacher (p > .05); therefore, we did not adjust for differential teacher effects in the current analyses. A more indepth investigation of teacher effects is described elsewhere (Britton, in preparation).

**Correlation analysis**—Pearson product-moment correlation coefficients were used to assess whether changes in mindfulness were associated with improvements in affect and clinical syndromes. Meditators and controls were analyzed separately, to determine whether correlations between variables were related to the intervention or to general trait associations.

Data were analyzed using SPSS 19.0 software. Statistical significance was set at alpha levels < .05 using a two-tailed test. Effect sizes are reported as Cohen's *d* and interpreted in the following manner: *small* = 0.20, *medium* = 0.50, and *large* = 0.80 (Cohen, 2001). Because current statistical reporting guidelines (Cumming, 2014; Kline, 2013; Moher et al., 2010; Wilkinson & APA Task Force, 1999) recommend interpreting results according to effect size and not statistical significance testing or dichotomous *p*-value cut-offs, nonsignificant (*p* .05) differences with an effect size of *d* > .20 are interpreted and discussed as meaningful.

#### Results

#### **Preliminary Analyses**

Of the 114 eligible parent-student pairs, 101 (88.5%) agreed to participate in the study. One subject (meditator) failed to attend baseline testing and was excluded from analysis. All other enrolled participants completed the intervention and exit testing, leaving an analyzed sample of 100 students (52 meditators and 48 controls), or 87.7% of eligible students in the grade over 2 years. Data were missing for one subject for the STAI and YSR at endpoint. In

order to follow CONSORT recommendations for intent-to-treat rather than per protocol analyses (Schulz, Altman, & Moher, 2010), missing data for this questionnaire were imputed using Last Observation Carried Forward analysis, which has been held to be valid when analyzing two treatment groups of equal size, as in the current study (Shao & Zhong, 2003). There were no statistically significant differences (p > .05) between meditating and control groups at baseline for age or gender or for any outcome measures (for baseline outcome measures, refer to Table 1).

#### Acceptability and Feasibility

**Student acceptability**—Students in the meditation condition produced a total of 633 journal entries about their experience with meditation, with an average of 12.2 entries per student and 25.8 words per entry. Based on the coding of qualitative feedback from students, the results regarding engagement and perceived benefits were as follows:

**Engagement:** The majority of students (94%) reported engaging with the practice on nearly every occasion, whereas a small minority of students (6%) rejected the practice at least once. One student reported rejecting the practice to intentionally daydream, and two other students indicated non-engagement by reporting that they disrupted the class during the meditation session on at least one occasion. Seven students (13%) reported "feeling bored" or that "the meditation was boring" on at least one occasion but continued to engage despite this evaluation.

**Perceived benefits:** Forty-eight students (92%) reported a perceived benefit of the meditation practices in terms of either an increase in relaxation and decrease in anxiety or an increase in the ability to focus or concentrate. Forty-three students (82%) reported feeling more focused, concentrated, or having less distraction after practicing meditation on at least one occasion. Forty-six students (88%) reported feeling more relaxed and calm or a decrease in stress, anxiety, worry, or tension after practicing meditation on at least one occasion.

#### Main Analysis

**Clinical syndromes**—The effects of meditation training or active control condition on clinical syndromes were as follows:

**Time effects:** A statistically significant time effect was found for the Internalizing Problems scale of the YSR, indicating that all subjects collapsed across both conditions decreased in these symptoms, F(1,98) = 24.1, p < .001, with a large effect size, d = 1.00. Similar statistically significant time effects were found for Externalizing Problems, F(1.98) = 15.4, p < .001, d = 0.81, and Attention Problems, F(1,98) = 19.0, p < .001, d = 0.87. Further analyses revealed that all measured scales showed significant decreases for both meditators (all p < .01) and controls (all p < .05) from baseline to postintervention, indicating large improvements in clinical symptoms in both groups over the 6-week period.

**Group-by-time interactions:** Contrary to predictions of greater improvements in the mindfulness condition, two-way (Group-by-Time) interactions were not statistically significant for Internalizing Problems, F(1,98) = 0.7, p = .39, d = 0.20, Externalizing

Problems [F(1,98) = .48, p = 0.49, d = 0.20, or Attention Problems, F(1,98) = 0.7, p = 0.40, d = 0.20, indicating that neither group improved more than the other.

**Suicidal ideation or self-harm**—In line with predictions, mindfulness produced greater reductions in the development of suicidality and self-harm than the control condition. At baseline, one student in each group (meditators and controls) reported suicidal ideation or self-harm. However, we found that control students were more likely than students in the meditation group to develop suicidal ideation or self-harm over the 6-week trial period. At postintervention, 5 controls (10.4% of controls) reported either suicidal ideation or self-harm, whereas no meditators reported such behavior or ideation. This difference was statistically significant (likelihood ratio = 7.73, p = .005). All students who endorsed high-risk items were assessed immediately by the school psychologist to determine the need for additional evaluation, treatment, or continued monitoring.

Affect—The effects of mindfulness and active control conditions affect were as follows:

**<u>Time effects</u>**: A significant time effect for both total affect disturbance, F(1,98) = 10.04, p = .002, d = 0.63, and positive affect, F(1,98) = 15.3, p < .001, d = 0.81, indicated a reduction in overall affect disturbance and an increase in positive affect and for all participants across the 6-week trial period.

**Group-by-time interactions:** Compared to controls, meditators showed greater reductions in total affect disturbance, with a small to medium effect size, although the difference was not statistically significant, F(1,98) = 3.95, p = .050, d = 0.41. Meditators showed greater increases in positive affect over the trial period as compared to controls, and although the difference was not statistically significant, F(1,98) = 3.82, p = .053, d = 0.41, the effect size indicates a valid relationship between mindfulness training and increased positive affect that is worthy of further investigation.

**Mindfulness**—No significant changes in self-reported mindfulness were found among any groups over time, F(1,98) = 0.1, p = .78, d = 0.00. The Group-by-Time interaction for mindfulness was not significant, F(1,98) = .110, p = .74, d = 0.00, indicating that, contrary to hypotheses, mindfulness training did not increase scores on this mindfulness scale.

#### **Correlations Analysis**

As predicted, improvements in CAMS-R total score among meditators were significantly correlated with reductions in overall affect disturbance (r = -.44, p = .001) and with increases in positive affect (r = .43, p = .002) as measured by the STAI. See Table 2. These correlations were not statistically significant within the control group (r = .09, p > .05 for positive affect and r = .01, p > .05 for total affect disturbance). In both meditators and controls, changes in mindfulness scores were not correlated significantly with any of the YSR measures.

#### Discussion

In an attempt to improve upon the methodological limitations of wait-list controlled, elective, school-based mindfulness intervention studies, the present study examined a curriculum-integrated, teacher-taught meditation intervention in comparison to an equally novel, engaging and experientially-based control in a randomized trial. As the field of school-based mindfulness interventions approaches the point of public policy and the implementation of meditation within schools becomes ever more common, this study offers a model of how such interventions might function, and how they might differ from other school-based interventions (e.g. afterschool electives). The study had several objectives: to assess feasibility and acceptability, to investigate whether mindfulness-meditation alone had any effects, and to compare the meditation program to an active control condition.

In terms of feasibility, the results of the present study suggest that incorporating a meditation intervention into a standard school curriculum is feasible to teachers and administrators and acceptable to students. According to student journals, the majority of students both engaged with the practice and reported perceived benefits. Educators informally reported that the meditation was easy to implement within the Asian history curriculum and that students were eager to learn new techniques and looked forward to the daily meditation period. Other teachers noted that students asked for brief meditation periods at the start of their classes, indicating the popularity of some of the meditative techniques. Administrators responded positively to the intervention, recommending its permanent inclusion in the school's history curriculum.

Comparing the mindfulness intervention to an equally engaging active control condition yielded mixed results. Both the meditation group and the active control group produced equally large decreases in clinical symptoms, such as internalizing problems, externalizing problems, and attention problems, with large effect sizes (all ds > 0.80), but no difference in magnitude between groups. On measures of both total affect disturbance and positive affect, meditators showed greater improvements over controls with small to medium effect sizes (ds = .41) that were not statistically significant (p = .05 and p = .053, respectively). Interpretation of results based on dichotomous significance testing *p*-value cutoffs suggests that mindfulness training yields no benefit on affect beyond those produced by building an Egyptian sarcophagus. In contrast, interpretation based on effect size estimates indicates mindfulness training yields a small to medium effect size benefit in affect over the active control condition.

Whereas decreases in clinical symptoms did not differ by group, mindfulness training did show larger improvements than controls on measures of suicidal ideation. Children who were randomized to the meditation group had a reduced risk of developing suicidal ideation and thoughts of self-harm compared to the controls. With such a small sample, and limited follow-up, it is difficult to conclude that these effects were due to meditation. However, these preliminary results are encouraging, especially given the prevalence of both suicidal ideation and self-harm in adolescents. Suicidal ideation is relatively common in adolescents, even in nonclinical samples (Kloos, Collins,Weller, & Weller, 2007). About 20% of middle school children (Go & Cupertino, 2001) and high school students (Field, Diego, & Sanders,

2001) in the United States admit suicidal ideation, with similar estimates (16% to 32%) in other countries (Kirkcaldy, Eysenck & Siefen, 2004; Peltzer & Pengpid, 2012) and varied endorsement depending on the wording of the question. Endorsement of "thoughts of killing oneself" in community samples has been estimated at 5% to 6% for sixth-grade students (Stoep, McCauley, Flynn, & Stone, 2009) with an increase to 11.3 % for eighth-grade students and 14.5% for twelfth-grade students (Pfeffer, 1997; Stoep et al., 2009).

Deliberate self-injury in adolescents is also not uncommon, with incidence estimates between 5% to 47% of the general adolescent population and 20% to 60% of clinical adolescent populations (Favazza, 1998; Laye-Gindhu & Schonert-Reichl, 2005; Lloyd-Richardson, Perrine, Dierker, & Kelley, 2007; Nock & Prinstein, 2005; Ross & Heath, 2002). For middle schoolers, approximately 7.5% admit self-injury (Hilt, Nock, Lloyd-Richardson, & Prinstein, 2008), with typical age of onset starting around 12 years (Rodham & Hawton, 2009), the age of the current sample. Thus, the incidence of suicidal ideation and self-harm in our sixth grade sample is comparable to other nonclinical samples, which highlights the need for both increased monitoring and intervention in this age group (Pfeffer, 2001).

Students in both groups displayed large improvements on each of the three clinical symptom subscales measured, as well as affective measures, suggesting that whereas the meditation instruction produced observable cognitive and affective benefits, these benefits were mirrored by similar improvements among those in the active control history class. Several explanations for this concurrent improvement are possible. First, as both courses involved novel, nondidactic classroom activities, it is possible that considerable benefits in attention and affect can be produced by a wide range of engaging, experiential activities that are not limited to meditation. For example, Pinniger et al. (2012) found that tango dancing was equally beneficial as mindfulness training for depression, and more beneficial for stress. Second, because the teachers who taught the meditations also instructed the control groups, and the effect of teachers' own contemplative practices can influence their moods and prosocial behaviors (Kemeny et al., 2012), it is possible that the benefits shown by all participants may be due to shared exposure to a contemplative teacher. Indeed, evidence from the field of contemplative education suggests that intervening with teachers, instead of directly with students, may be an equally or more effective method for yielding desired behavioral outcomes in students, in addition to making teaching more engaging and effective for teachers (Jennings & Greenberg, 2009; Roeser, Skinner, Beers, & Jennings, 2012). Third, with only three response options (0, 1, and 2), the YSR may be less sensitive to change than the STAI-C, which detected nonsignificant (p = .05) group-by-time effects with a small to medium effect size (d = .41). Finally, it is also possible that the nonclinical severity of symptoms at baseline could have contributed to fewer group differences on clinical symptoms scales.

Although there were no group differences in measures of mindfulness, improvements on the STAI were associated with increases in self-reported mindfulness scores in meditators but not controls, which offers partial support of our proof of concept: that "mindfulness" is what mediates improvements. Neither meditators nor controls showed significant changes on the subjective mindfulness measure over the intervention period. At present, however,

researchers have questioned the validity of self-reported mindfulness measures because the ability to report on one's level of awareness requires self-awareness itself, thus self-reporting on mindfulness becomes inherently problematic (Grossman & Van Dam, 2011; Van Dam, Earleywine, & Borders, 2010; Van Dam, Hobkirk, Danoff-Burg, & Earleywine, 2012). In addition, it may be that the small dosage of meditation was insufficient to generate group-level effects. For example, Huppert (2010) found only trend level changes on self-reported mindfulness (CAMS-R) after mindfulness training for the whole group but greater increases in CAMS-R scores in adolescents who practiced more. Although our sample scored in a similar range on the CAMS-R as Huppert's (2010) sample, it is possible that the lack of change on this measure was due to our sample's prior exposure to the contemplative environment of a Quaker school (e.g., silent Quaker meetings).

#### Strengths, Limitations, and Recommendations for Future Research

The study included strengths as well as limitations. In terms of strengths, this study is a randomized control trial with an active control group, and as such represents one of the few rigorous trials of a school-based mindfulness program in a field that is plagued by poor quality research (Greenberg & Harris, 2012). In addition, this study is one of the few to isolate the effects of mindfulness meditation practice, independent of other components that are often included in mindfulness-based interventions, such as social interaction/dyads, yoga, or other skills training. Similarly, this study is one of the few to compare mindfulness with an equally novel and engaging active control condition, rather than a passive notreatment condition or waitlist. Together, these design choices help address the question of how much of the benefits reported by previous school-based mindfulness interventions are due to actual mindfulness meditation and how much are due to non-specific effects. In addition, because the meditation intervention was integrated into a standard classroom curriculum that was required for all students and taught by the regular teacher, this study may reduce the self-selection bias and threats to external validity that are present in studies of after-school or pull out programs that are taught by specialists. The fact that the same teacher taught both meditation and control conditions controls for teacher personality effects across conditions, which also helps isolate the effects of the meditation practice. Although the study has many strengths, it also has many limitations that should be considered when interpreting the findings and when designing future studies.

**Measurement limitations**—The measures in the current study have several limitations. First and more generally, all measures were self-report which are subject to demand characteristic and expectancy effects. Future studies are encouraged to employ additional behavior observations by teachers and parents and objective laboratory measures. Second, it should also be noted that we modified the response format of the STAI from a Likert to a visual analogue scale, which may have increased its ability to detect small Group-by-Time differences, but also presents challenges to its validity and generalizability (McCormack, Horne & Sheather, 1988; Wewers & Lowe, 1990). Similarly, our exploratory Positive Affect subscale can only suggest that mindfulness-related changes in positive affect should be investigated with validated scales in future studies. We also recommend that future studies employ measures of wellbeing that purposefully assess the full range of functioning (i.e., global life satisfaction). Third, our measure of suicidal ideation and behavior only consisted

of 2 items that were extracted from a larger scale, which raises questions of reliability and validity. Although this method is a commonly used and valid method of assessing suicidality (Dhossche et al., 2002; Desseilles et al., 2012; Espelage & Holt, 2013; Ivarsson, Gillberg, Arvidsson, & Broberg, 2001; Lessard & Moretti, 1998; Vander Stoep et al., 2011), future studies should use scales that are specifically designed to assess suicidality. Fourth, our assessment of student acceptability used qualitative methods that, although valid (Elo & Kyngas, 2008), could be measured more systematically with quantitative measures. Similarly, school feasibility and the attitudes of educations, administrators and parents were measured informally and should also be systematically assessed with questionnaires. Future studies should be careful to employ sensitive measures that can detect small changes over a short period of time and assess the full range of functioning, including both suicidal ideation and self harm and wellbeing and flourishing. Self-report measures should be triangulated with objective neuropsychological measures (Baijal, Jha, Kiyonaga, Singh & Srinivasan, 2011; van de Weijer-Bergsma, Formsmade, Bruin & Bogels, 2012) and observational raters who are blind to treatment allocation (Carboni et al., 2013).

**Sample selection limitations**—The current study has many limitations related to the sample. The study was conducted in a Quaker school, and although we did not assess race, ethnicity, or socioeconomic status, there is likely an overrepresentation of high-income, Caucasian families in our sample than the general population. Little can be surmised about the generalizability of these results for students outside of Quaker contexts; it may be that such students benefit more from mindfulness interventions because they are more comfortable with contemplative practices, given the regularity of silent meetings at Quaker schools. Similarly, the teachers and administrators appeared in support of the meditation program, but this appearance may be an artifact of a Quaker school orientation. Alternatively, Quaker students may actually benefit less than non-Quaker students from a mindfulness intervention, given that such meetings may already serve the purpose of cultivating the skills associated with mindfulness. To determine these intervention effects, further intervention research is needed to compare the effectiveness of interventions between students from schools with differing school philosophies and cultural practices.

An important question that future studies should be investigating is whether mindfulness or meditation training is equally beneficial for everyone or whether some individuals may be better off with other approaches. Indeed, studies in adults have found that pre-existing characteristics predict treatment outcome (Cordon, Brown & Gibson, 2009) and that mindfulness or meditation-based interventions may be maximally effective (Arch & Ayers, 2013; Ma & Teasdale, 2004; Teasdale et al., 2000), ineffective (Jazaieri, Goldin,Werner, Ziv & Gross, 2012; Mularski et al., 2009), or even contraindicated for certain types of people (Arch & Ayers, 2013; Ma & Teasdale, 2004). Although some clinical studies in children share the same self-selection bias as adult studies, many school-based programs are implemented at the institutional level so that all students receive meditation as part of school and not by individual elective (Metz et al., 2013). In this context, the question of individual differences in response to meditation is even more important. So far, the effects of mindfulness-based training programs in children and adolescents have been found to be effected by pre-existing characteristics, including baseline levels of executive function

(Flook et al., 2010), developmental age (Schonert-Reichl & Lawlor, 2010), family environment (Barnes, Gregoski, Tingen & Treiber, 2010), and gene by environment interactions (Gregoski et al., 2012), such that some children benefit more than others and some do not benefit. Thus, it has been recommended that "researchers need to be cognizant of the possibility of iatrogenic effects that certain practices could have with children of different ages and characteristics" (Greenberg & Harris, 2012, p. 164). Future studies should be sure to assess race, ethnicity and socioeconomic status, and free/reduced price lunch status.

**Intervention-related limitations and suggestions**—The current study has several limitations related to the interventions, including treatment fidelity, parallel changes in both groups, dosage considerations, and teacher qualities.

**Treatment fidelity:** Although we tried to carefully match the mindfulness and active control conditions for comparability, we did not formally assess the fidelity of the teacher to adhere to either protocol and, therefore, cannot be sure that the students received the conditions we planned. Although the teachers' course curriculum plans and the students' meditation journals give some indication that the meditation protocol was followed, future studies should assess treatment fidelity of all conditions in a much more rigorous and formal manner. Similarly, only students in the meditation condition completed journals about their experience, which not only leaves the experience of the control students unexamined but also introduces the possibility that any changes or benefits produced in the meditation condition were due to journal writing rather than meditating. Future studies should exercise even more rigor in ensuring comparability between comparison conditions in order to make valid conclusions.

**Parallel changes in both groups:** Although the results of this study suggest that mindfulness training may yield specific benefits beyond the control condition for suicidality and possibly affect, mindfulness training yielded largely equal benefits on clinical symptoms as building a tomb. There are many possible reasons why mindfulness training did not yield stronger benefits over the control. One possibility is low levels of clinical symptoms at baseline, leading to a floor effect, although this would not explain why both conditions produced large, statistically significant improvements. Detecting differences between two active treatments requires larger sample sizes than comparing to a passive or waitlist control because the anticipated differences are smaller. Indeed, the study was powered to detect medium to large between group differences but was underpowered to detect smaller differences, which may explain why the differences in affect had meaningful effect sizes but were not statistically significant.

Another potential cause of group parity is that the isolation of mindfulness meditation (excluding dyads, yoga, or other exercises) and the brevity of the intervention and the short duration of daily meditations may have reduced the effectiveness of the intervention. The high quality of the control group's alternate activity (e.g., versus the absence of activities in a waitlist control design) also contributed to the lack of difference between conditions. The lack of difference between conditions may be interpreted in several ways. As described above, both conditions produced large benefits on multiple measures, which may indicate

that many novel activities in school, including mindfulness meditation, are beneficial for children's mental health. Similarly, a recent meta-analysis of meditation programs versus active controls concluded that there was "no evidence that meditation programs were better than any active treatment" (Goyal et al., 2014, p. 357). However, even if the pretest-to-posttest comparisons show gains, sizeable effects, and significant differences from baseline, we cannot rule out maturation, simple passage of time, or repeated assessment as the potential "causes" of the differences. Following this reasoning, we cannot infer global potential beneficial effects of the meditation intervention (or the comparison intervention).

**Dosage:** The ideal meditation "dosage" for children and adolescents is still unknown. Students in the present study meditated for approximately 5 to 10 minutes a day for 4 to 5 days a week. This amount of meditation time is limited as compared to that of clinical mindfulness interventions for adults, which commonly involve as much as 45 minutes of practice a day (Segal, Teasdale & Williams, 2002; Teasdale et al., 2000) and other homework exercises. Some adolescent interventions have demonstrated effects with 20 or more minutes of daily practice (Biegel et al., 2009; Saltzman & Goldin, 2008), yet other studies have recorded significant effects of meditation in children and adolescents with as little as 5 to 10 minutes of daily meditation (Britton et al., 2010; Fernando & Keller, 2012; Saltzman & Goldin, 2008; Zylowska et al., 2008). Although the present study involved a single daily meditation period, at least one other study has incorporated a thrice-daily meditation intervention (Schonert-Reichl & Lawlor, 2010). The intervention in question is notable because of the ease with which it was integrated into a sixth-grade history course. Further research should examine the impact of increased meditation time, especially the efficacy of mandated "homework" mindfulness practice. In the present study, although students were not instructed to practice outside of class, anecdotal evidence suggests that some of them elected to meditate in their free time. Thus, dosage may have varied significantly between students, and total time meditating may be related to emotional changes.

Although we found a decreased risk for developing suicidal ideation within the mindfulness meditation group, the present intervention was only delivered over a 6-week period. A longer follow-up period and longitudinal data with a larger sample would be helpful in determining whether mindfulness training is capable of providing long-term protection against serious mental illness and suicidal ideation. In addition, because all children that endorsed high-risk items were referred to the school psychologist, the impact of external treatment on study outcomes is unknown.

**Teachers:** Two different teachers participated in the study and served as their own controls by teaching both the meditation and control conditions. Although this design controls for teacher or personality effects across conditions, this design does not control for difference in instructor enthusiasm. Ideally the control instructor would believe as strongly in the efficacy of the control condition (MacCoon et al., 2012). Although each one served as their own control, they had different levels of prior training in mindfulness and may have differed in the quality of their delivery or impact on children. On one hand, this range of training is representative of school-based mindfulness programs, but training warrants further

investigation, which is beyond the scope of this article and covered elsewhere (Britton, in preparation; Jennings & Greenberg, 2009; Singh, Lancioni, Winton, Karazsia, & Singh, 2013). The impact of different levels of instructor mindfulness practice or training may begin to answer the minimal training prerequisites to serve as successful interventionists (Britton, in preparation; Jennings & Greenberg, 2009; Jennings, Frank, Snowberg, Coccia, & Greenberg, 2013).

#### Conclusion

The current enthusiasm and implementation of school-based mindfulness or meditation programs has outpaced the empirical support for the efficacy of the programs. High-quality randomized control trials are few. In the present study, sixth-grade children were randomized into a mindfulness meditation training compared to an equally novel and engaging active control condition as part of standard, mandatory middle school course curriculum. Although both conditions showed large improvements in internalizing problems, externalizing problems, attention problems, and affect, students in the mindfulness meditation condition showed reduced risk of developing suicidal ideation and self-harming thoughts and behaviors. These results suggest that mindfulness training may yield both unique and nonspecific effects that are shared by other novel activities. Further research with a larger sample is recommended to ascertain whether the observed effects can be replicated and to determine the extent to which meditation can produce long-term prophylactic effects.

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#### Table 1

#### Time and Group Interaction Effects

		B	aseline	e <u>Posttreatment</u>		Time ANOVA		<u>Group × Time</u>	ANOVA
Measure	Treatment	М	SD	М	SD	F	d	F	d
STAI total affect disturbance	MED	453.9	222.6	355.7	231.4	10.0**	0.63	4.0 <sup>#</sup>	0.41
	CON	425.9	255.0	403.3	292.9				
STAI positive affect	MED	491.2	117.8	551.7	123.1	15.3***	0.81	3.8#	0.41
	CON	508.0	123.1	528.1	139.6				
YSR Internalizing Problems	MED	12.5	7.1	10.4	6.6	24.1***	1.00	0.7	0.20
	CON	13.7	10.1	10.6	10.1				
YSR Externalizing Problems	MED	8.4	5.8	6.9	5.2	15.4***	0.81	0.5	0.20
	CON	8.1	6.1	7.0	5.6				
YSR Attention Problems	MED	4.7	2.7	3.8	2.6	19.0***	0.87	0.7	0.20
	CON	4.1	2.5	3.5	2.8				
CAMS-R total	MED	33.0	5.6	33.0	6.1	0.10	0.00	0.1	0.00
	CON	32.9	5.7	32.6	5.5				

Note: ANOVA = analysis of variance; MED = Meditators; CON = Controls; SD = standard deviation; STAI = Spielberger State-Trait Anxiety Inventory, Total; YSR = Youth Self Report; CAMS-R = Cognitive and Affective Mindfulness Scale – Revised

\*\*\* p < .001,

\*\* *p* < .01,

 $p^* < .05$ , and

 $p^{\#}$  .05 and d > .20

#### Table 2

Correlations between Changes in Outcomes and Changes in Mindfulness for Meditators and Controls

Correlation (r)	MED	CON
CAMS-R total		
STAI total affect disturbance	46**	.01
STAI positive affect	.37*	.09
YSR Internalizing Problems	22	23
YSR Externalizing Problems	.17	03
YSR Attention Problems	17	23

Note. MED = Mediators; CON = Controls; CAMS-R = Cognitive and Affective Mindfulness Scale - Revised; STAI = Spielberger State-Trait Anxiety Inventory, YSR = Youth Self Report

\*\* *p* < .01,

p < .05

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