# Molecular Mimicry: Its Evolution from Concept to Mechanism as a Cause of Autoimmune Diseases

Michael B.A. Oldstone

On a clonal level, certain antibodies and T cells can interact with dissimilar antigens found in microbes and in host cells. More than 5% of over 800 monoclonal antibodies derived from multiple RNA and DNA viruses, as well as from a large number of T cell clones, engage in such interactions. Several of these cross-reactions, which we termed *molecular mimicry*, are against unique host proteins involved in autoimmune responses and diseases. Thus, molecular mimicry initiated as a host response to a virus or a microbial infection, but alternatively cross-reacting with an appropriate host-antigen, can be a mechanism for instigating an autoimmune disease. Molecular mimicry provides an explanation for the genetic observation that identical twins rarely manifest the same autoimmune disease and the documented epidemiologic evidence that microbial and/or viral infections often precede autoimmune disorders.

# Prologue

N THE EARLY 1980s, one of my postdoctoral fellows, Robert Fujinami, was involved in generating monoclonal antibodies to the measles virus. The ability to generate such antibodies had recently been published by Kolmer and Milstein for which they later received the Nobel Prize.<sup>(1)</sup> It was common knowledge that, in addition to the Kolmer/Milstein team at Cambridge University, Harris at Sir William Dunn School of Pathology at the University of Oxford and Koprowski at The Wistar Institute were similarly engaged. Both were at the cusp of similar discoveries using myeloma cells and just steps behind Kolmer and Milstein. From Koprowski, I obtained his enormously competent technician, Hanna Lewicki, who first brought the hybridoma technique to Scripps and our San Diego area. Using monoclonal antibodies, Fujinami and I found that a monoclonal antibody against the phosphoprotein of measles virus cross-reacted with an intermediate filament protein of human cells, vimentin.<sup>(2)</sup> The molecular weight of the measles virus phosphoprotein is 70,000, whereas that of vimentin is 52,000. Cross-reactivity was documented by immunofluorescent staining of infected and uninfected cells and by immunoblotting. After we discussed our findings with Koprowski, he recommended that we publish them,<sup>(2)</sup> while adding his independent observations with Wroblewska and Frankel on the cross-reaction of a 146,000 molecular weight herpes simplex 1 protein with the 52,000 Kd vimentin. Importantly and of related interest, the monoclonal antibody against measles virus phosphoprotein did not react against the herpes simplex 1 virus protein and vice versa, indicating that these monoclonal antibodies recognized distinct antigenic determinants on the intermediate filament molecule. The implication of these experiments and of one conducted by Lane and Hoeffler<sup>(3)</sup> with SV40 was this: if an infectious agent induced a cross-reactive response with a host cell determinant involved in autoimmunity (e.g., the acetylcholine receptor and myasthenia gravis; thyroglobulin and autoimmune thyroid disease; insulin and diabetes; encephalitogenic protein(s) and multiple sclerosis), the outcome might be autoimmune disease (reviewed<sup>(4,5)</sup>).

We were well aware that, on the level of whole organisms, autoimmune responses or diseases associated with viral or other microbial infections could occur by mechanisms in addition to molecular mimicry. Examples are the action of viruses on polyreactive B cells expanding a pre-existing clone that reacts with self, the ability of viruses to release antigens not ordinarily recognized by the host immune system, viruses breaking immune tolerance, and altering cellular DNA sufficiently to allow formation of anti-DNA antibodies. Additionally, viruses can signal via Toll-like receptors to alter DNA. Nevertheless, my laboratory co-workers and I embarked on the journey outlined here to explore the feasibility of molecular mimicry as a cause of autoimmunity.

In the same year as our work with measles virus and Koprowski's with herpes simplex virus,<sup>(2)</sup> Samuel Dales, took a sabbatical in my laboratory. There, he found that mice immunized with lysates from vaccinia virus-infected cells or with pure vaccinia virus generated hybridomas that made the

The Scripps Research Institute, Department of Immunology and Microbial Science, La Jolla, California.

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anticipated monoclonal antibodies against several vaccinia proteins, but also produced antibodies against other proteins like intermediate filaments or vimentin.<sup>(6)</sup> Of particular interest was the fact that monoclonal antibodies to intermediate filaments cross-reacted with vaccinia virus hemagglutinin as determined by immunoblot, immunofluorescence, and electron microscopy. With these results on hand, our laboratory initiated studies with our in-house collection of monoclonal antibodies against viral proteins and worked collaboratively with The Wistar Institute laboratories of Koprowski and Notkins at the NIH.<sup>(7)</sup> Together, as shown in Figure 1A, we evaluated over 800 monoclonal antibodies to a wide spectrum of DNA and RNA viruses. Included were the herpes virus group, vaccinia virus, myxoviruses, paramyxoviruses, arenaviruses, flaviviruses, alphaviruses, rhabdoviruses, coronaviruses, and human retroviruses. Considering that five to six amino acids are needed to induce a monoclonal antibody response,<sup>(8)</sup> the probability that 20 amino acids will occur in six identical residues between two proteins is  $20^6$  or 1:128.000.000. Our calculation of the frequency of crossreactivity for the 800+ antiviral monoclonals revealed that 5% of these 800+ monoclonal antibodies from 16 different viruses also reacted with host-cell antigenic determinants expressed on or in uninfected tissues.<sup>(7)</sup> Thus, for molecular mimicry to occur, the disparate proteins or peptides must be close enough in homology, between self and microbe, to share amino acid (aa) determinants or conformational shapes but distant enough to be recognized as foreign by the immune system (Fig. 1B). If the homology is identical, then immune tolerance will occur. Further, to elicit an autoimmune response or disease, the homology must be with a host selfdeterminant (epitope) having an important biologic activity. For example, an immune attack on the encephalitogenic peptide of myelin can injure oligodendrocytes leading to demyelination, or an attack against the acetylcholine receptor can incite myasthenia gravis (Fig. 1C).

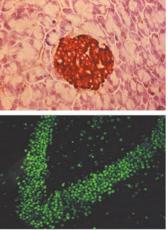
## **T Cell Clones and Molecular Mimicry**

In addition to molecular mimics performed by antibodies, a variety of T cell clones sensitized to myelin basic protein (MBP), proteolipid of myelin, and glutamic decarboxylase have also been reported to cross-react with proteins or peptides from selected viruses.<sup>(9)</sup> The constraints on peptide selection for generating cross-reactive antibodies or cross-reactive cytotoxic T cells have been analyzed elsewhere<sup>(8-12)</sup> and are illustrated in part in Figure 2. The crystallographic structural requirements for binding of an immunodominant MBP peptide to MHC DR2 isotypes for recognition by human T cell clones have been solved.<sup>(13,14)</sup> Further, the permissivity of the T cell receptor to a wide variety of peptides<sup>(15-17)</sup> indicates that the issue was not the probability of multiple recognitions but how the host limited such cross-reactivity. The technological explosion in molecular biology allowed the banking of multiple sequence homologies that, by computer search and alignment, suggested the possible cross-reaction of viruses with host sequences and thus foreshadowed the potential for autoimmune disease. These extensive data allowed one to experimentally verify or dismiss molecular mimicry as a cause of autoimmunity (Figs. 1 and 2). A partial list of such possibilities appears in our earlier publication<sup>(18)</sup> and in Figure 1B. For example, sufficient amino acid sequences shared between specific coagulation proteins and dengue virus might play a role in dengue hemorrhagic shock syndrome: those shared between measles virus and MBP as well as corticotropin could participate in measles virus-induced demyelination and immunosuppression. Further, sequences in common between the campylobacteria jejuni and myelin gangliosides could take part in Guillain-Barré syndrome. Herpes simplex virus and corneal antigens may be associated with herpes stromal keratitis, and insulin-dependent diabetes with antigens from Coxsackie B, rotaviruses, hepatitis C virus, rhinoviruses, and others that cross-react with islet antigen glutamate decarboxylase 65, proinsulin carboxypeptidase H, and so on.<sup>(19-28)</sup>

Our laboratory then tested the molecular mimicry hypothesis in several experimental models *in vivo*,<sup>(4,5,8,29–34)</sup> two of which are illustrated and discussed here. First, we knew that T cell immune responses to MBP led to the autoimmune disease allergic encephalomyelitis (EAE) in several animal species. That discovery came after Fujinami and I, over two decades ago, designed experiments providing the initial evidence that molecular mimicry could cause an autoimmune disease *in vivo*.<sup>(8)</sup> Our computer search revealed that MBP of rabbits aa 69 Thr-Gly-Ser-Pro-Gln was identical to the hepatitis B virus polymerase aa 592–597. When we inoculated New Zealand rabbits with 10 aa virus peptide 589-Ile-Gly-Cys-Tyr-Gly-Ser-Leu-Pro-Gln-Glu, the rabbits generated specific T and B lymphocyte responses against the

**FIG. 1.** (A) List of monoclonal antibodies from a variety of RNA and DNA viruses that were studied for cross-reactivity with host-antigens in/on uninfected tissues (see Shrinivasappa and colleagues<sup>(7)</sup> for details). At the extreme right are single immunofluorescent panels demonstrating the reaction of two of these viral monoclonal antibodies. The upper panel depicts a monoclonal antibody to herpes simplex virus that reacts with insulin in the islets of Langerhans. A monoclonal antibody to Coxsackie B provided a similar picture. At lower right is the portrait of a monoclonal antibody against Japanese encephalitis virus that reacts with host-antigen(s) in hippocampal neurons of the central nervous system. (Data originated in the Koprowski, Notkins, and Oldstone laboratories and continued in Oldstone's facility). (B) Partial list of the more interesting amino acid homologies between a microbial protein and host-antigen with implications for an immunopathologic disease. Our immunochemical study of such amino acid homologies<sup>(10-12)</sup> provided criteria for the success or failure of such homologies to generate cross-reactive immune responses and are in part illustrated in Figs. 1C and 2. (C) The immunochemistry needed to determine whether an amino acid is biologically meaningful. In this example, antibody to herpes simplex virus (HSV) GpD aa 286–293 generated high-affinity cross-reactive antibodies to the human acetylcholine receptor (HuAChR), but antibodies to polyoma middle T peptide aa 317–324 or HSV GpD aa 381–388 did not. Sera from patients with myasthenia gravis or affinity purified antibody to either HuAChR aa 160–167 or to HSV GpD aa 286–293 both bound at high affinity to AChR, indicating biologic activity (see Schwimmbeck and colleagues<sup>(45)</sup> for details). The aa stick structure of the HuAChR aa 160–167 is given at left and is compared to aa structure of HSV GpD aa 286–293 at right.

Monoclonal ar	ntibody to:	No. Tested	reactive with antigens in uninfected tissues	East
Coxsackie B		70	4	2000
<b>Japanese Enceph</b>	alitis	34	6	AND Y
Lymphocytic Cho	riomeningitis	174	3	0.5
Theiler's Virus		64	9	Sec. al
Measles		41	6	
Rabies		80	2	& p~ 图1
<b>Vesicular Stomatin</b>	tis	39	3	100
Herpes Simplex (I	)	20	1	
Vaccinia		16	1	
Dengue		134	2	
Cytomegalovirus	Human	25	2	
	Mouse	14	0	
HIV		110	9	
Total		819	47	

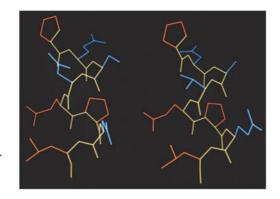


B computer alignment of amino acids between microbes and host antigens

HLA B27 KLEB PN	70: 186:	LYS SER	ALA ARG	GLN GLN	THR THR	ASP ASP	ARG ARG	GLU GLU	ASP ASP	LEU GLU		
HLA DR	50:	VAL	THR	GLU	LEU	GLY	ARG	PRO	ASP	ALA	GLU	
HCMV IE2	79:	PRO	ASP	PRO	LEU	GLY	ARG	PRO	ASP	GLU	ASP	
Acetyl CH r	176:	TRP	VAL	ILE	LYS	GLU	SER	ARG	GLY	TRP	LYS	
Polio VP2	70:	SER	TRP	THR	LYS	GLU	SER	ARG	GLY	TRP	TRP	
Insulin r	66:	VAL	TYR	GLY	LEU	GLU	SER	LEU	LYS	ASP	LEU	
Papilloma E	2 76:	VAL	LEU	HIS	LEU	GLU	SER	LEU	LYS	ASP	SER	
Corticotrop Measles P3	18: 13:	LEU LEU	GLU GLU	CYS CYS	ILE ILE	ARG ARG	ALA ALA	CYS LEU	LYS LYS			
Hu MBP Measles P3	61: 31:	GLU GLU	ILE	SER SER	PHE ASP	LYS ASN	LEU LEU	GLY GLY	GLN GLN	GLU GLU		
Coagfact XI Dengue	269: 68:	ILE ILE	LYS LYS	LYS LYS	SER SER	LYS LYS	ALA ALA	LEU ILE				
Myosin	138:	TYR	GLU	ALA	PHE	VAL	LYS	HIS	ILE	MET	SER	VAL
COX B3	2152:	TYR	GLU	ALA	PHE		ARG	LYS	ILE	ARG	SER	VAL

С							ence ology	Antibody to ACh recep			
	HU ACh recep	160:	PRO	GLU	SER	ASP	GLN	PRO	ASP	LEU	++++
	Polyoma mid T	317:	PRO	GLU	SER	ASP	GLN	ASP	GLN	LEU	NIL
	HSV Gp D	286:	PRO	ASN	ALA	THR	GLN	PRO	GLU	LEU	+++
	HSV Gp D	381:	ARG	GLU	ASP	ASP	GLN	PRO	SER	SER	NIL

Myasthenia Patient Sera ¥ Affinity Purify Antibody Using AChR  $\alpha$  Peptide ..aa160: PESDQPDL.. Block Binding AChR ( $^{125}I_{\alpha}$  Bungarotoxin Binding to AChR (Rat Muscle) to Rat Muscle) x10<sup>-9</sup> M x10<sup>-13</sup> M MG Serum 61 2.0 Aff. purif. 1.6 14 **CONT Serum** NIL NIL



Α

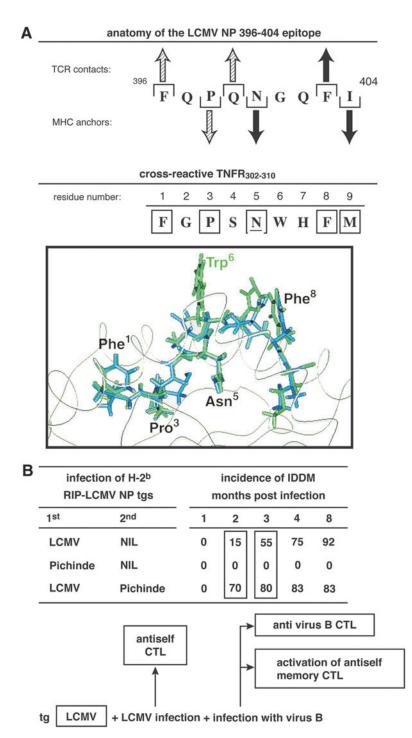
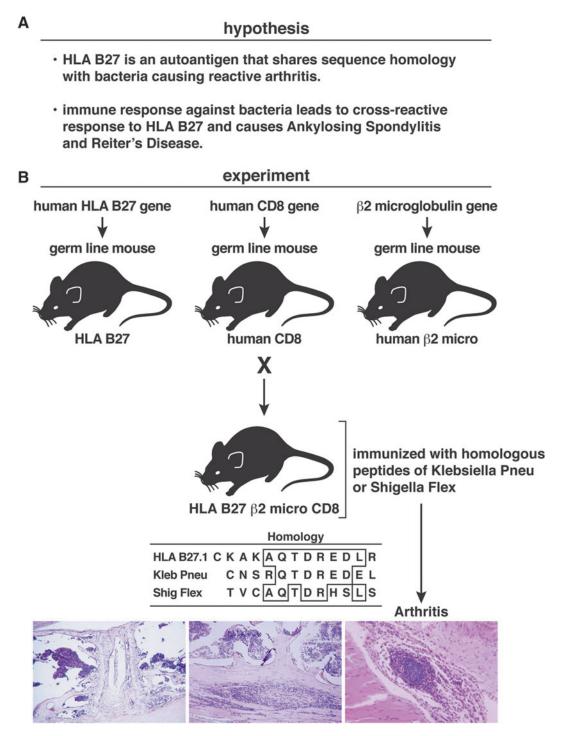


FIG. 2. Display of several rules for mimicry. (A) Molecular mimicry at the CD8 T cell level between an immunodominant LCMV NP peptide epitope aa 394–404 and tumor necrosis factor (TNF) receptor (r) aa 302–310. CD8 T cells recognize linear peptides bound within the MHC groove. However, there are restrictions. Specific as must form an anchor for MHC while other aa(s) are required to interact with the T cell receptor (see Lewicki and colleagues<sup>(46)</sup> for details on mapping H-2D<sup>b</sup>-restricted LCMV NP immunodominant epitope as 396–404). Structures of both peptides appear below. (B) Demonstration of how experimental molecular mimicry can enhance an autoimmune disease, in this instance, insulindependent type 1 diabetes. In this model a transgenic (tg) mouse is constructed in which the LCMV NP is expressed in beta cells of the pancreatic islets of Langerhans by using the rat insulin promoter. Although the challenge of such tg mice with LCMV leads to type 1 diabetes in over 90% of acutely infected animals, infection with Pichinde virus (another member of the arenaviridae family, like LCMV), fails to cause diabetes. However, type 1 diabetes is significantly accelerated following an initial LCMV challenge to such tg mice given Pichinde virus. The cause is cross-reactivity on the CD8 T cell epitope level between Pichinde and LCMV; this process expands the number of aggressive LCMV CD8 T cells that cause the diabetes. Pichinde virus alone generates lower affinity and fewer CD8 T cells and cannot, by itself, cause the diabetes. A major consequence of this observation is that the viral infection (in this case Pichinde) does not cause diabetes itself but can be involved (and isolated) as the potentiator of the disease long after the initiating virus that caused the disease cleared and is no longer isolatable from the host. That is a two-hit phenomenon in which the infectious agent causing the diabetes would most likely be missed, having already been cleared from the host.<sup>4</sup>

shared 6 aa acid sequence of MBP; importantly, this response was accompanied by histopathologic evidence of EAE. Over 10 other non-identical sequences, despite their origin from hepatitis B virus, failed to elicit EAE when inoculated into multiple rabbits. Although this was the first experimental evidence for autoimmune disease caused by molecular mimicry in living animals, it was an artificial system in that the virus used was not a normal pathogen of rabbits. However, the observation clearly indicated that our hypothesis of molecular mimicry was worth pursuing.

## **Molecular Mimicry and Autoimmune Disease**

We next explored the association between HLA-B27 and the arthritic disease Reiter's syndrome, as well as ankylosing spondylitis (AS) and several selected bacterial infections



**FIG. 3.** (A) Presentation of the hypothesis that molecular mimicry could cause an immune-mediated autoimmune disease based on cross-reactive aa between HLA-B27 and certain bacteria based on epidemiologic and clinical observations. (B) Experimental approach to test the hypothesis, offering proof of concept for the hypothesis.<sup>(5)</sup>

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(Fig. 3). The results provided a firm foundation for the hypothesis that molecular mimicry is a potential cause of autoimmune disease. Compelling epidemiologic studies of several bacterial infections in humans expressing HLA-B27 have yielded statistically significant connections between Reiter's syndrome and the development of AS. Moreover, Reiter's and AS are associated with the HLA-B27 haplotype and with infections due to Shigella flexneri, Yersinia enterocolitica, and Klebsiella pneumonia.<sup>(29,30,35-38)</sup> Over 90% of individuals who develop AS have the HLA-B27 haplotype, with HLA-B27 present in only approximately 10% of the normal population. Genetic studies indicate that monozygotic twins show a discordance for AS,<sup>(39)</sup> indicating a compelling role for environmental factor(s). With these observations on hand, my postdoctoral fellow Peter Schwimmbeck and I profiled by computer HLA-B27 hypervariable regions and bacteria associated with Reiter's syndrome.<sup>(4,5,29,30)</sup> We found the homologies shown in Figure 3B. In addition to the homology of six consecutive aa between HLA-B27.1 residues 72-77 and K. pneumoniae nitrogenase residues 188-193, these shared sequences are hydrophilic, suggesting locations of molecules exposed to the cell surface. Immunochemical analysis<sup>(29)</sup> indicated that 18 of 24 (75%) serum samples from patients with Reiter's syndrome and 7 of 24 samples (29%) from individuals with AS contained antibodies that bound to a synthesized peptide sequence as 69-84 of the hypervariable region of HLA-B27.1. In contrast, only 1 of 22 sera (<5%) from individuals bearing HLA-B27 but free from Reiter's syndrome or AS bound to the bacterial residue as 188-193 (p < 0.01). Sera from three HLA-B27<sup>nil</sup> individuals with Reiter's syndrome did not have antibodies to the HLA-B27 peptide. Further, >40% of HLA-B27<sup>+</sup> individuals with either Reiter's syndrome or AS had antibodies to K. pneumoniae nitrogenase aa 184–193 (p < 0.01), whereas none of the arthritis-free, normal HLA-B27 subjects did. These results strongly suggested that the autoimmune response to HLA-B27 elicited by a bacterium via molecular mimicry is associated with and might be a causal pathogenic mechanism for a subset of individuals developing Reiter's syndrome and/or AS.

To prove this association, we created an animal model of human Reiter's and AS (Fig. 3B). First, using transgenic technology, we humanized mice to express human HLA.<sup>(40)</sup> To obtain HLA-B27-restricted T cell function, it was necessary to create a triple-transgenic mouse that expressed not only human HLA-B27 but also human beta 2 microglobulin and human CD8.<sup>(41)</sup> Next, we inoculated peptide sequences that contained the homologous residues of K. pneumoniae or S. flexneri (Fig. 3B),<sup>(5)</sup> which corresponded to the hypervariable region of HLA-B27 into transgenic mice that expressed human HLA-B27.<sup>(41)</sup> K. pneumoniae was selected on the basis of our prior immunochemical analysis<sup>(29,30)</sup>; S. flexneri has a strong correlation with Reiter's syndrome and AS. In the 1940s, Finland reported an epidemic of S. flexneri infections involving about 150,000 humans, 344 of whom developed Reiter's syndrome. Of those with Reiter's syndrome, 82 individuals went on to develop AS. In our transgenic model, injections with either K. pneumoniae or S. flexneri led to inflammatory responses in which 60% of such mice had T cells, macrophages and polymorphonuclear leukocytes localized primarily in joints and vertebral columns (Fig. 3B); however, none of the inoculated non-transgenic mice were so affected.<sup>(5)</sup> Inoculation of the *S. flexneri* and *K. pneumoniae* peptides into non-HLA-B27 transgenic mice failed to elicit joint or vertebral inflammation or antibodies to HLA-B27.

Independently, Hammer and colleagues<sup>(42)</sup> described a transgenic rat model that expressed HLA-B27. Their transgenic HLA-B27 rats spontaneously developed an AS-like disease when housed in a normal vivarium. However, the AS-like disease did not occur when the HLA-B27 transgenic rats were housed in a germ-free vivarium.<sup>(43)</sup> When "germ-free rats" were colonized with bacteria, they developed arthritis.<sup>(44)</sup> Further, antibiotics given to HLA-B27 rats housed in a normal vivarium blunted the development and appearance of the AS-like arthritis.

## Conclusion

In summary, proving cause and effect for human disease is difficult. Nevertheless, an accumulation over the last three decades of clear associations between sequence homology and T and B cell cross-reactivity between selected host antigens identified as essential for the development of autoimmune diseases and microbial agents strongly supports a causative role for molecular mimicry in that process. A current survey of PubMed reveals such associations for a plethora of diseases that afflict the central nervous system, endocrine, and gastric organs as well as eyes, heart, and other organs. Molecular mimicry is but one mechanism for the development of autoimmune disorders occurring in association with infectious agents. Further, the concept of and lessons learned by exploring the parameters of molecular mimicry provide a blueprint for framing questions and initiating relevant approaches. Uncovering the infectious agent as well as recognizing the host "self" determinants and the pathogenic mechanism(s) involved offer hints for the future design of strategies to treat and prevent autoimmune disorders. The current availability of amino acid sequence information, bioinformatics, and high efficiency computers accelerates that process. Increasing knowledge of the units and principles of the immune system, initiation of immune responses, and immunologic recognition, combined with increasingly sophisticated experimental animal models, enables us and our successors to solve the puzzles of etiology and to unravel the complexities of autoimmune diseases.

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#### Author Disclosure Statement

The author has no financial interests to disclose.

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Address correspondence to: Michael B.A. Oldstone The Scripps Research Institute Department of Immunology and Microbial Science 10550 North Torrey Pines Road La Jolla, CA 92037

E-mail: mbaobo@scripps.edu