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The Genetics of Polycystic Ovary Syndrome

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Abstract

The etiology of polycystic ovary syndrome (PCOS) has been difficult to determine because its features are heterogeneous, and its origin may also be heterogeneous. Twin studies suggest that its etiology is strongly heritable and genetic approaches are rapidly uncovering new regions of the genome that appear to confer risk for PCOS. Recent genome-wide association studies (GWAS) in Han Chinese women with PCOS demonstrate 11 genetic loci that are associated with PCOS. The variants identified are in regions that contain genes important for gonadotropin action, genes that are associated with risk for type 2 diabetes and other genes in which the relationship to PCOS is not yet clear. Replication studies have demonstrated that variants at several of these loci also confer risk for PCOS in women of European ethnicity. The strongest loci in Europeans contain genes for *DENND1A* and *THADA*, with additional associations in loci containing the *LHCGR* and *FSHR, YAP1* and *RAB5/SUOX*. The next steps in uncovering the pathophysiology borne out by these loci and variants will include mapping to determine the causal variant and gene, phenotype studies to determine whether these regions are associated with particular features of PCOS and functional studies of the causal variant to determine the direct cause of PCOS based on the underlying genetics. The next years will be very exciting times as groups from around the world come together to further elucidate the genetic origins of PCOS.

Keywords

genome-wide association; gonadotropins; hyperandrogenism

Polycystic ovary syndrome affects $7-10\%$ of reproductive aged women.¹⁻³ The syndrome is composed of a number of features that have been well characterized in physiologic studies. These studies documented the elevated LH:FSH ratio, $4, 5$ an increased GnRH pulse frequency and GnRH quantity, 6 elevated androgen levels, 4 elevated insulin levels and evidence of insulin resistance,^{7, 8} polycystic ovary morphology,^{9, 10} and high AMH levels.¹¹ In addition, women with polycystic ovary morphology of their ovaries demonstrate higher androgen and insulin levels, even when their menstrual cycles are regular.¹² Importantly, the

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polycystic ovary morphology is found consistently in women with PCOS characterized by oligomenorrhea and hyperandrogenism.4, 13, 14

Although these physiology studies have been critical in defining the spectrum of PCOS, the cardinal features of the syndrome remain controversial based on the heterogeneity of the patient populations. A recent PCOS evidence-based workshop recommended using the Rotterdam criteria to define PCOS, while documenting the features that characterize PCOS in each population or patient.15 This inclusive approach is reasonable because all diagnostic criteria account for specific patient groups that may be seen by endocrinologists, pediatricians, gynecologists or dermatologists, 16 and the inciting features of PCOS are unclear. The physiology studies fail to tell us whether it is the elevated androgen levels that led to insulin resistance; insulin resistance that led to hyperandrogenism, polycystic ovary morphology that is an initial feature or whether the increased hypothalamic GnRH secretion drives the disorder. A new approach is necessary to sort out the pathophysiology.

A genetic approach has been taken by a number of groups to identify the etiology of PCOS. Twin studies of heredity provide the most rigorous demonstration that a disorder has a genetic component. In twin studies, the concordance of a disorder is compared between monozygotic twins, who share their entire genome, and dizygotic twins who only share 50% of their genome. In the absence of environmental differences between the twins, which are relatively controlled if they grow up in the same household, the proportion of a disorder that is heritable can be estimated. Using these principles, twin studies suggest that genetic influences explain over 70% of PCOS pathogenesis.¹⁷

The initial genetic approaches taken by groups with large patient populations of women with PCOS included linkage and candidate gene approaches. Linkage requires a large family group with multiple affected members sharing a disorder inherited in a Mendelian manner, or may involve parent/affected child trios.18 Linkage identifies areas of the genome shared by affected family members, then requires interrogation of the genes in the shared region to identify mutations that segregate with the disease in that family. The approach has been difficult based on the need to recruit multiple affected family members from large families to improve the power of the study.

Association studies have been easier to complete because they are performed by recruiting cases and unaffected controls. However, they have a number of pitfalls. The candidate gene association approach relies on a hypothesized relationship between a candidate gene and a disorder, which is limited by current knowledge. Candidate gene association studies are also subject to positive study publication bias and population stratification, which occurs when the ethnic background of cases and controls is not well matched, resulting in false positive associations related to underlying differences in the genetic structure of the two populations rather than the disease itself.¹⁹ To perform the association testing properly, differences in all of the base pairs in the gene should be examined between cases and controls, correcting for population stratification between cases and controls, and the appropriate statistical analysis must include a correction factor for multiple hypothesis testing based on the number of gene variants and the number of genes examined by the same group.19 In addition, the number of subjects studied must be large and the results must be replicated by independent groups.

Welt and Duran Page 3

Previous studies have demonstrated an association between variants in over 70 candidate genes and risk for PCOS. However, the majority of these studies have not applied the three principles described above, including testing all variants in a gene, examining large subject numbers and replicating results in an independent group. Therefore, most of these studies likely represent false associations.^{20, 21} Nevertheless, there are some strong, replicated candidate gene associations that have been the subject of excellent previous reviews.²² Therefore, the current review will focus on more recent genome-wide association studies (GWASs) of PCOS.

Genetic Risk Variants for PCOS Identified by GWASs

GWASs are made possible by the mapping of the human genome and the HapMap project,^{23, 24} which catalogued common variation in the genome that is shared by large numbers in the population. The HapMap project also demonstrated that many common variants or single nucleotide polymorphisms (SNPs) travel together in blocks of linkage disequilibrium.24 For example, 5 variants may commonly be found together, as indicated by 1-5 in figure 1.^{25, 26} Therefore, one variant (#3 in the figure) that correlates highly with other variants in the linkage disequilibrium block, can serve as a marker or tag of the large area (tag variant) and can predict the other variants that are found in the region without actually genotyping every variant in the linkage disequilibrium block. It is a tag variant that is found in the genotyping arrays used for GWASs. These arrays incorporate a subset, the tag variants, of the 11 million common variants found in the human genome (frequency of greater than 1%). These arrays then allow an investigator to examine the entire complement of common variation in the population in cases compared to controls without a preconceived hypothesis. Based on the large number of variants on these arrays, as many as 1 million on some, the results need to be corrected for the number of independent tests, i.e. every independent variant on the arrays in association with the disorder of interest. The typical p value required to suggest an association must be lower than 1×10^{-8} . The variants on an array can also be associated with quantitative traits, which are a measure of a phenotype parameter that is a continuous variable.

The largest proportion of common DNA variants fall in intronic regions or non-coding regions of the genome, i.e. not in exons which code for the amino acids of the translated proteins. Therefore, their function is very hard to interpret. Further, a GWAS does not identify a gene associated with a disorder. Rather, it identifies a genetic locus of interest because tagged variants are examined. While variants are often reported in a gene or in the region of a gene, the variant may be affecting the nearest gene or a gene that is much farther away. Therefore, the relationship of the associated variant and the disorder remains to be determined after the GWAS is published.

Two genome-wide association studies have now been performed that demonstrate variants associated with PCOS risk in Han Chinese women.^{27, 28} These studies have enrolled $10,480$ cases and 10,489 controls and identified 11 variants associated with PCOS. Some of the variants are in regions with genes that may influence the development of PCOS (Table 1). In the first GWAS, three susceptibility loci for PCOS were identified at 2p16.3, 2p21 and 9q33.3.²⁷ In a second GWAS, 8 additional variants were uncovered (Table 1).²⁸ Based on

Welt and Duran Page 4

the results of both studies, the variants were located within introns of genes or near genes implicated in gonadotropin action (*LHCGR and FSHR*), insulin signaling (*INSR*) and type 2 diabetes (*THADA* and *HMGA2*), organ size control or cell proliferation (*YAP1* and *SUMO1P1*), architectural factors important for chromatin remodeling (*TOX3*) and in regions associated with type 1 diabetes (region containing *RAB5B, SUOX* and *ERBB3*). These studies provide new hypotheses to test regarding the origin and pathophysiology of PCOS.

As yet, there have been no published genome-wide associated variants for PCOS in European women. Indeed, these studies will be important because the susceptibility variants may differ in individual ethnic groups as do the phenotypic features of PCOS. Nevertheless, a number of groups using European cohorts have replicated a subset of the findings in Han Chinese women with PCOS. Three of four groups have replicated two of the loci reported in the first GWAS publication, 2p21 and 9q33.3.^{29,30} These groups replicated two associated variants in introns of *DENND1A* that are in linkage disequilibrium and one in *THADA*.^{29, 31, 32 The *DENND1A* protein regulates Rab GTPases,³³ which are important for} calcium regulated exocytosis in pituitary cells and for basal and GnRH-induced gonadotropin release.34 Therefore, it is possible that the variants in *DENND1A* affect exocytosis of gonadotropins. A variant in *THADA* has been replicated in European women by one group and trended toward replication in another. As above, variants in *THADA* have been associated with type 2 diabetes, although these type 2 diabetes risk variants are found within a separate area of linkage disequilibrium in *THADA*. ³⁵ However, a potential relationship between *THADA* and factors in women with PCOS predisposing to type 2 diabetes is suggested.

The association in region 2p16.3 is complex. The initial variant identified in Han Chinese women fell within introns of both the *LHCGR,* the LH/hCG receptor, and *GTF21L,* the general transcription factor IIA, 1 -like.²⁷ An independent signal was also identified in two variants that sit within introns of *FSHR*, the FSH receptor.²⁸ The variant in the LHCGR is rare in European populations and has not been replicated, $29, 31, 36$ nor was there evidence for an association in other, more common variants in linkage disequilibrium in the Icelandic population.³¹ An extensive examination of the region demonstrated that a variant in linkage disequilibrium in the Han Chinese population, but not in Europeans, was associated with PCOS,³⁶ although the association was not replicated by others.²⁰ The most highly associated variant near the *FSHR* in Europeans was slightly upstream.³⁶ The failure to replicate some findings may speak to differences in risk variants in distinct ethnic groups and the power of the replication studies.

Two additional risk variants identified in the second GWAS of Han Chinese women have been replicated in European women.^{28, 32} The risk variant on chromosome 11 is located in an intron of *YAP1,* a downstream nuclear effector of the Hippo signaling pathway which is involved in development, growth, repair, and homeostasis. Interestingly, disruption of YAP phosphorylation increased nuclear YAP levels in mice, resulting in increased downstream growth factors that increased follicle growth and decreased apoptotic factor levels.³⁷These studies suggest a role for YAP in the maintenance of the follicle complement and follicle growth. The variant at locus 12q13.2 is located between two genes. RAB5B is a member of the RAS oncogene family and SUOX, sulfite oxidase, is a homodimeric protein enzxyme

localized to the intermembrane space of mitochondria, which catalyzes oxidation of sulfite to sulfate, the final reaction in the oxidative degradation of the sulfur amino acids cysteine and methionine. It is not clear which gene, if either, might be affected by the associated variant. Of note, the same locus has been associated with risk for type 1 diabetes, mapping in the *ERBB3* gene, which is also in the region.³⁸

The ultimate goal of GWASs is not to identify a variant associated with a disorder, but to find a causal variant that demonstrates a functional effect and a pathophysiology that explains how a variant predisposes a person to develop the disorder.³⁹ Recall that the variants chosen for the chip arrays are representative, tag variants that mark a region of the genome in linkage disequilibrium. Therefore, the region in linkage disequilibrium with the tag variant must be carefully mapped to determine the causal variant for functional studies. The causal variant is defined as the common variant most significantly associated with PCOS risk and/or with a potential biological effect, therefore explaining the risk at that locus.³⁹ Before beginning functional studies, it is critical to focus on the causal variant to target the precise variant altering function and therefore the right candidate gene and/or pathway.40 For example, #3 is the tag variant identified in the GWAS, but the association was driven by the physical and genetic proximity to #5, which is the causal variant sitting in Gene $#2$ and altering its function (Figure 1).⁴¹

Phenotype and Genetic Risk Variants

In addition to further mapping the associated locus to identify a causal variant, the phenotype associated with the variant can provide insight into the pathophysiology conferred by the locus. Of note, in Han Chinese women, there were no phenotypic traits associated with the identified PCOS variants when an additive model was used, i.e. a model in which the presence of each variant is associated with an additive risk for the trait.⁴² A relationship was only identified when the initial model on which the association was discovered was changed to a recessive model, in which two copies of the variant are necessarily associated with the trait. However, this analysis is not appropriate given the hypothesis that defined the variants and risk for PCOS. Changing to a recessive model, as was done in this study, also results in reduced subject number and increased the potential for false positive associations because the number of subjects who carry two risk variants is very small.

A similar attempt has been made to identify quantitative traits associated with the variants replicated in European populations. The *DENND1A* variant is associated with hyperandrogenism and irregular menses, 31 and as such is a risk variant for PCOS as documented by the NIH criteria. *DENND1A* is expressed in the theca cells and testes,⁴³ therefore it may be associated with hyperandrogenism by increasing androgen levels. However, there is no relationship between the *DENND1A* variants and testosterone or androstenedione when using an additive genetic model for phenotypic traits,

Since *DENND1A* regulates Rab GTPases,³³ which are important for calcium regulated exocytosis in pituitary cells and for basal and GnRH-induced gonadotropin release, 34 we hypothesized that the risk variants would be associated with gonadotropin levels and LH

pulse dynamics. Results revealed that there was no difference in mean LH or FSH levels in the carriers of the PCOS risk variants in *DENND1A* versus those who did not carry the variants.31 LH pulse secretion, a marker of GnRH pulse secretion, was also examined in a subset of the subject with PCOS (n=47) whom had undergone frequent blood sampling and genotyping. LH pulse secretion parameters were calculated using the modified Santen and Bardin method. Pulse parameters and hormone levels were log normalized and the relationship between these parameters and genotype was examined using linear regression with an additive genetic model. LH pulse amplitude (7.2±0.8 vs. 8.9±2.6 IU/L) and LH pulse frequency (15.5 \pm 0.9 vs. 19.4 \pm 2.6 pulses/24 hours) were not different in carriers of the common rs10986105-T or the risk G allele (all $p>0.05$) or in LH pulse amplitude (8.0 \pm 2.6 vs. 7.5 \pm 0.8 IU/L) or LH pulse frequency (19.7 \pm 2.6 vs. 15.7 \pm 0.9 pulses/24 hours) between carriers of the common rs10818854-G or the risk A allele (all p>0.05). Thus, *DENND1A* PCOS risk variants do not appear to be associated with gonadotropin levels or LH pulse parameters, although larger studies are needed.

Other phenotypic traits have been identified that are associated with PCOS risk loci. Variants found in linkage disequilibrium with the risk *FSHR* variants were associated with FSH levels and triglycerides.36 Paradoxically, the variant within the *THADA* gene that conferred risk for PCOS was associated with lower testosterone levels.³¹

Relationship of PCOS with Type 2 Diabetes Mellitus and Obesity

One of the most interesting aspects of the PCOS GWASs is the relationship between variants that confer risk for type 2 diabetes and those for PCOS.⁴⁴⁻⁴⁶ The most notable is the absence of risk for PCOS from variants in *TCF7L2,* which have the strongest association with type 2 diabetes.^{44, 45} In contrast, there is overlap with between PCOS and type 2 diabetes risk in regions that have smaller associated effect size for type 2 diabetes including those in regions encompassing the genes *THADA, INSR* and *HMGA2*. 35, 47 However, these relationships are complicated. For example, variants in *THADA* associated with risk for PCOS in a GWAS of Han Chinese women were not in linkage disequilibrium with the type 2 diabetes risk variants. In other words, the regions in the genes that confer risk for the two disorders do exhibit significant overlap. Further, the minor allele of a variant in *THADA* is associated with decreased risk for type 2 diabetes but nominally associated with increased risk for PCOS.44 The *INSR* relationship in both PCOS and type 2 diabetes suggests that insulin resistance may be the stronger phenotypic feature in the overlap between the two disorders, because the *TCF7L2* variant associated with diabetes has been demonstrated to decrease insulin secretion rather than increase insulin resistance.⁴⁸

One additional question that may be answered by genetics is whether obesity is a predisposing or causal factor for PCOS. If markers that confer risk for obesity also confer risk for PCOS even when corrected for BMI, it would suggest an underlying risk for development of PCOS by genes predisposing to obesity. To answer this question, the strongest risk variants for BMI, variants in the FTO gene (rs11642841 and rs9939609), ⁴⁹ have been examined in association studies of PCOS using a candidate gene approach.44, 50-52. The majority of studies demonstrate no relationship between the FTO variants and PCOS when controlled for BMI, $44, 50$ or when only lean women with PCOS

were examined.⁵¹ However, some studies still demonstrate an association with PCOS when controlled for BMI.^{51, 52} Importantly, these variants have not reached genome-wide significance in studies to date, suggesting they are not the strongest genetic factors influencing PCOS risk.27, 28

Next Steps in PCOS Genetics

What are the next steps for genetics in PCOS? GWASs identify common variants, typically present in greater than 1% of the population. These variants have a small effect size for disease, as demonstrated by the OR for risk of less than 2 for all variants discovered to date. Therefore, identifying additional common variants of small effect will require large numbers of cases and controls. Large cohorts will come together to meta-analyze data for the identification of additional variants that are associated with PCOS in European cohorts. The small number of variants found to date indicates that larger subject numbers will need to be pooled to determine additional risk variants with small effect.

In addition, the next steps in PCOS genetics studies will take advantage of the decreasing technology costs. The cost of whole exome and whole genome sequencing has decreased enough to make large scale studies in cases and controls plausible. The use of such in depth sequencing will facilitate the discovery of rare variants with large effect size. However, the low frequency of the variants will make it more difficult to achieve statistical significance for association studies. On the other hand, the variants with large effect size will more likely fall in regions that disrupt proteins and may therefore be easier to test for functional effects. We may also come full circle in the populations we study. Whereas linkage analyses started with families to identify rare variations (mutations) with a large effect, then moved to case:control studies with large numbers to find variants with small effects, we now have the ability to examine a large number of variants within families to identify relatively rare variants with large effects. The prospects for improving our understanding of PCOS pathophysiology are exciting.

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Figure 1.

Simplified representation of a region in the genome identified in an association study by a tag variant (#3). The black triangle surrounding the red pixels marks a region of linkage disequilibrium, in which there is little genetic recombination and the variants 1-5 tend to travel together. Although #3 is the variant that was associated with disease, further mapping demonstrated that variant #5 had a stronger association with disease and was found in a coding region for gene 2. Therefore, variant 5 is the causal variant in the study. Functional studies can now be performed to determine how variant 5 disrupts gene function and causes disease.

Table 1

Gene variants associated with risk for polycystic ovary syndrome (PCOS) in Han Chinese women and replication in European women. The gene closest Gene variants associated with risk for polycystic ovary syndrome (PCOS) in Han Chinese women and replication in European women. The gene closest to the variant is indicated as is the highest odds ratio (OR) and p value available in a replicate European cohort. to the variant is indicated as is the highest odds ratio (OR) and p value available in a replicate European cohort.

 ${}^{\,a}\mathrm{OR}$ odds ratio

 b oR and p value from the strongest available replication in a European cohort, as referenced. *b*OR and p value from the strongest available replication in a European cohort, as referenced.

 \emph{c} Combined p value in two published data sets *c*Combined p value in two published data sets

 $d_{\rm NA}$ -Replication data not available at the time of publication *d*NA-Replication data not available at the time of publication