

Comparative study of video-assisted thoracic surgery versus open thymectomy for thymoma in one single center

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Background: Due to the popularity of video-assisted thoracic surgery (VATS) techniques in clinical, thymoma patients via VATS thymectomy are increasing rapidly. However, compared with open thymectomy, the potential superiorities and defects of VATS thymectomy remain controversial.

Methods: A number of 129 patients who underwent thymectomy of early stage thymoma (Masaoka stage I and stage II) in one single center from January 2007 to September 2013 were selected in this retrospective study. Of those patients, 38 thymoma patients underwent VATS thymectomy (VATS group) and 91 underwent open thymectomy (open group) via either transsternal [44] or transthoracic approach [47] in the same period. The postoperative variables, which included postoperative hospital length of stay (LOS), the intensive care unit (ICU) LOS, the entire resection ratio, the number of thoracic drainage tubes, the quantity of output and duration of drainage, were analyzed. Meanwhile, the operation time and blood loss were considered as intraoperative variables.

Results: All thymoma patients in the analysis included 19 thymoma patients with myasthenia gravis, among which five patients via VATS thymectomy and 14 patients via open thymectomy respectively. There was no death or morbidity due to the surgical procedures perioperatively. The ICU LOS, operation time, entire resection ratio, and the number of chest tubes were not significantly different in two groups. The postoperative hospital LOS of VATS thymectomy was shorter than that of open thymectomy (5.26 versus 8.32 days, $P < 0.001$). The blood loss of VATS thymectomy was less than open thymectomy (114.74 versus 194.51 mL, $P = 0.002$). Postoperatively, the quantity of chest tubes output in VATS group was less than that in open thymectomy group (617.86 versus 850.08 mL, $P = 0.007$) and duration of drainage in VATS group was shorter than that in open thymectomy group (3.87 versus 5.22 days, $P < 0.001$).

Conclusions: VATS thymectomy is a safe and practicable treatment for early-stage thymoma patients. Thymoma according with Masaoka staging I-II without evident invading seems to be performed through VATS approach appropriately, which has shorter postoperative hospital LOS, less blood loss and less restrictions to activities, hence patients will recover sooner.

Keywords: Thymoma; thymectomy; video-assisted thoracic surgery (VATS); open surgery

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Introduction

Thymoma is one of the most common mediastinal tumors, which accounts for about 47% of anterior mediastinal tumors (1,2). It seems to be benign tumor but recurs and metastasizes

easily, which leads to treatment with challenges. As it is well known, thymectomy was considered as the only curative treatment for resectable thymoma patients (3). Excellent 5- and 10-year-survival rates are noted for completely

resected early stage thymomas (4). Moreover, several findings had indicated that the thymus was involved in myasthenia gravis pathogenesis (5) and the most common indication for video-assisted thoracic surgery (VATS) thymectomy was the treatment of myasthenia gravis (6).

In 1992, Landreneau *et al.* (7) introduced the first thymoma patient with VATS thymectomy and after that, the number of thymoma patients with VATS thymectomy has rapidly increased. However, arguments on this technique always exist. Compared with open thymectomy, the potential superiorities and disadvantages of VATS thymectomy remained controversial. Since 2007, VATS thymectomy has been introduced and conducted in our hospital. From our experience of VATS thymectomy from January 2007 to September 2013, we tried to compare the outcomes of VATS thymectomy to that of open thymectomy including sternotomy and thoracotomy in a single institute retrospectively so as to confirm if patients underwent VATS thymectomy can benefit from this minimal invasive surgery.

Methods

There were 137 consecutive patients who underwent thymectomy diagnosed with early stage thymoma (Masaoka stage I and stage II) in the Cancer Institute & Hospital, Chinese Academy of Medical Sciences and Peking Union Medical College from January 2007 to September 2013. Eight of those thymoma patients who received radiotherapy preoperatively were excluded. Consequently, one hundred and twenty-nine thymoma patients were reviewed retrospectively. We included two groups in our study: one group included 38 patients via VATS thymectomy, and the other group included 91 patients via open thymectomy including either sternotomy or thoracotomy. Computed tomography (CT) was considered the standard diagnostic modality for thymomas (8). All eligible thymoma patients were diagnosed as mediastinal tumor or mass by CT preoperatively and were given clear diagnosis as thymoma by pathology postoperatively, who were restricted to Muller-Hermelink type A, type B (B1/B2/B3) and type AB as well as Masaoka stage I-II. All collected clinical data were analyzed by SPSS 17.0. *T*-tests were performed for all continuous variables, and *Pearson* chi-square test or *Fisher's* exact test were performed for all categorical variables. *P* values ≤ 0.05 were considered significant.

Preoperatively, pulmonary function tests and electrocardiogram were necessary for every patient. None of them received needle biopsies. The symptoms of thymoma patients mainly stated include chest pain, tachypnea and

cough. Nonetheless, most of thymoma patients who were in hospital were discovered by health physical examination and did not have any symptoms. Nineteen thymoma patients with myasthenia gravis mainly complained of ptosis or weakness but did not require special treatment.

All patients were managed in the thoracic surgery wards or in the intensive care unit (ICU), postoperatively. The symptoms of twelve thymoma patients with myasthenia gravis were improved or disappeared after thymectomy. We did not remove the chest tubes until the quantity of drainage was less than 200 mL routinely. Obviously, the completeness of resection had to be aimed because it was an important prognostic factor for local control. The whole connective membrane or fat tissue covering the lesion was used to confirm thymoma, which was resected completely by pathologists in our study.

Surgical techniques

VATS thymectomy

The procedures resembled what Jurado *et al.* (9) described previously. VATS thymectomy was performed from one side. The patients were placed in the supine position with arms extended, and both one shoulder and ipsilateral backside were raised by slipping pads below. Generally, we made two ports for working and one port for viewing in the intercostal spaces in detail according to the location and the size of the lesion by CT. Single-lung ventilation with a double lumen endotracheal tube was necessary for VATS thymectomy. Carbon dioxide insufflation was not required. The dissection was begun with inferior thymic poles mobilized carefully and thoroughly along the pericardium and anteriorly along the retrosternum. The perimediastinal fat tissue and thymus were swept by endoscopic ultrasonic scalpel as well as both pleural spaces had to be opened so that the mass was resected en bloc. All anterior mediastinal tissue was swept cephalad. The innominate vein was located at the junction with the superior vena cava, and dissection continued until the thymic vein was located; this was then doubly clipped. The dissection was completed by dissection along the left pleura which was performed bluntly to avoid injury to the left phrenic nerve. The resected specimen was then placed in an endoscopic pouch. If the mass was too large, we could enlarge one port appropriately or extract the huge mass piece by piece carefully in the endoscopic pouch to avoid potential intrathoracic spreading. One or two drainage tubes were placed unilaterally.

Transsternal thymectomy

As a common approach of open thoracic surgery, transsternal thymectomy was first described by Blalock *et al.* (10) in 1941, which was adopted by many thoracic surgeons for resectable thymoma. Sternum was incised by an electric motor saw. Bilateral pleural spaces were opened and vein extending from the innominate vein was divided. anteriormediastinal, tissue including the pericardial fat tissue and the removal intact of all poles of thymus was exenterated. Single-lung ventilation was not required. One or two drainage tubes were placed necessarily.

Transthoracic thymectomy

Whether transthoracic thymectomy performed on the left or the right side was based on the location of the thymoma. The patient was positioned on the left or the right lateral supine position that resembled VATS approach, and left or right anterolateral thoracotomy incision was carried out via the 4th intercostal space. Single-lung ventilation was essential as well. Both lobe of thymus were removed by opening bilateral mediastinal pleura. Meanwhile, pericardial fat tissue was swept as much as possible. One or two drainage tubes would be enough in the unilateral thorax.

Results

A number of 129 selected thymectomies were performed in the Cancer Institute & Hospital of Chinese Academy of Medical Sciences from January 2007 to September 2013. Of those, 38 thymoma patients were performed via VATS thymectomy, none of whom transformed to thoracotomy. A number of 91 thymoma patients via open thymectomy including 44 patients via transsternal thymectomy and 47 ones via transthoracic thymectomy. Moreover, 19 thymoma patients with myasthenia gravis, included 5 patients of the ones via VATS thymectomy and 14 patients of the others via open thymectomy respectively. Tumor size was not significantly different between VATS group and open surgery group. Subsequently, it was significantly different between VATS group and sternotomy group (5.4 versus 6.5 cm, $P=0.013$) as well as between VATS group and thoracotomy group (5.4 versus 7.2 cm, $P<0.001$). The rest of the data analysed showed that gender, age, BMI, pathological classification, staging, percentage of patients with myasthenia gravis, percentage of patients with complications including hypertension, diabetes mellitus and

coronary heart disease as well as percent of main symptoms including tachypnea and cough between VATS thymectomy and open thymectomy were not significantly different. Percentage of chest pain was significantly different between VATS thymectomy and open thymectomy (9 versus 23, $P=0.036$), taking a selection bias into consideration. Relevant subject characteristics are summarized in *Table 1*.

There was no death or morbidity due to the surgical procedures perioperatively. The ICU length of stay (LOS), operation time, entire resection ratio, and the number of chest tubes were not significantly different in two groups. The postoperative hospital LOS of VATS thymectomy was shorter than that of open thymectomy (5.26 versus 8.32 days, $P<0.001$). The blood loss of VATS thymectomy was less than open thymectomy (114.74 versus 194.51 mL, $P=0.002$). Postoperatively, the quantity of chest tubes output in VATS group was less than that in open thymectomy group (617.86 versus 850.08 mL, $P=0.007$) and duration of drainage in VATS group was shorter than that in open thymectomy group (3.87 versus 5.22 days, $P<0.001$). Furthermore, compared with patients through transsternal approach, the ones who underwent VATS thymectomy had shorter postoperative hospital LOS (5.26 versus 9.16 days, $P<0.001$), less operation time (127.63 versus 155.46 min, $P=0.024$), less blood loss (114.74 versus 214.77 mL, $P<0.001$), smaller number of chest tubes (1.11 versus 1.41, $P=0.001$), less quantity of chest tubes output (617.68 versus 806.48 mL, $P=0.026$) and shorter duration of drainage (3.87 versus 5.68 days, $P<0.001$). In addition, patients in VATS group had longer operation time (127.84 versus 95.64 min, $P=0.002$) than that of patients via transthoracic thymectomy while shorter postoperative hospital LOS (5.26 versus 7.53 days, $P<0.001$), less quantity of chest tubes output (619.68 versus 890.89 mL, $P=0.022$), shorter duration of drainage (3.78 versus 4.79 days, $P=0.009$) remained in VATS group. Relevant results are indicated in *Tables 2-4*.

Discussion

Over the past few decades, advances in techniques have extended the application of VATS approach in thoracic surgery, especially thymectomy. In the past, many reports of VATS thymectomy were small-size retrospective researches, with limitation inevitably. In spite of controversies still existing in long-term curative effect compared with open thymectomy, VATS thymectomy is safe and feasible, and yet had short-term benefits in certain aspects. According

Variables	VATS (n=38)	Open (n=91)	P [#]
Gender (female)	19 (50.00%)	46 (50.55%)	1.000
Age [years]	50.50 [25-72]	49.63 [15-76]	0.921
BMI* [range]	24.97 [18-34]	25.13 [18-34]	0.444
Symptoms (%)			
Chest pain	9 (23.68)	23 (25.27)	0.036
Tachypnea	4 (10.53)	12 (13.19)	0.777
Cough	7 (18.42)	8 (8.79)	0.123
Myasthenia gravis (%)	5 (13.16)	14 (15.38)	0.745
Hypertension (%)	5 (13.16)	11 (12.09)	0.867
Diabetes mellitus (%)	2 (5.26)	0 (0)	0.085
Coronary heart disease (%)	1 (2.63)	1 (1.10)	0.504
Tumor size (cm)	5.4 (2.5-13.0)	6.8 (2.5-13.0)	0.671
Muller-Hermelink classification (%)			0.673
A	2 (5.26)	7 (7.69)	
B1	7 (18.42)	19 (20.88)	
B2	11 (28.95)	16 (17.58)	
B3	2 (5.26)	9 (9.90)	
AB	16 (42.11)	40 (43.96)	
Massaoka staging (%)			0.345
I	17 (44.74)	49 (53.85)	
II	21 (55.26)	42 (46.15)	

*, BMI, body mass index; #, gender, symptoms, myasthenia gravis, hypertension and Massaoka staging were analyzed by *Pearson* chi-square test. Diabetes mellitus, coronary heart disease and Muller-Hermelink classification were analyzed by *Fisher's* exact test. The rest of variables were done by *t*-test. P≤0.05 was regarded as statistic significance.

Variables	VATS (n=38)	Open (n=91)	P [#]
Postoperative hospital LOS* [days]	5.26 [2-13]	8.32 [4-25]	<0.001
ICU* LOS [hours]	4.26 [0-90]	9.49 [0-168]	0.635
Operation time [minutes]	127.63 [30-300]	124.56 [55-270]	0.773
Blood loss [mL]	114.73 [20-600]	194.51 [50-1,500]	0.002
Completeness of covering membrane [%]	36 [95]	80 [88]	0.343
Number of chest tubes	1.11 [1-2]	1.21 [1-2]	0.121
Quantity of chest tube output [mL]	617.68 [100-1,580]	850.08 [100-2,720]	0.007
Duration of drainage [days]	3.87 [2-10]	5.22 [2-10]	<0.001

*, LOS, length of stay; ICU, intensive care unit; #, all perioperative variables were analyzed by *t*-test except the completeness of covering membrane that was done by *Fisher's* exact test. P≤0.05 was regarded as statistic significance.

Table 3 Comparisons of outcomes between VATS thymectomy and transsternal thymectomy in short term (n=82)

Variables	VATS (n=38)	Sternotomy (n=44)	P [#]
Gender (female)	19 (50.00%)	20 (45.45%)	0.681
Age [years]	50.05 [25-72]	47.02 [15-64]	0.149
BMI* [range]	24.97 [18-34]	25.66 [18-34]	0.393
Myasthenia gravis [%]	5 (13.16)	10 (22.73)	0.264
Tumor size (cm)	5.4 (2.5-13.0)	6.5 (2.5-13.0)	0.013
Muller-Hermelink classification (%)			0.267
A	2 (5.26)	0 (0)	
B1	7 (18.42)	9 (20.83)	
B2	11 (28.95)	11 (25.00)	
B3	2 (5.26)	8 (20.83)	
AB	16 (42.11)	16 (33.33)	
Massaoka staging (%)			0.948
I	17 (44.74)	20 (45.45)	
II	21 (55.26)	24 (54.55)	
Postoperative hospital LOS* [days]	5.26 [2-13]	9.16 [5-25]	<0.001
ICU* LOS [hours]	4.26 [0-90]	9.27 [0-168]	0.343
Operation time [minutes]	127.63 [30-300]	155.46 [70-270]	0.024
Blood loss [mL]	114.74 [20-600]	214.77 [100-600]	<0.001
Completeness of covering membrane (%)	36 (94.74)	37 (84.09)	0.117
Number of chest tubes	1.11 [1-2]	1.41 [1-2]	0.001
Quantity of chest tube output [mL]	617.68 [100-1,580]	806.48 [290-1,950]	0.026
Duration of drainage [days]	3.87 [2-10]	5.68 [3-10]	<0.001

*, LOS, length of stay; ICU, intensive care unit; BMI, body mass index; #, gender, symptoms, myasthenia gravis, hypertension and Massaoka staging were analyzed by *Pearson* chi-square test. Diabetes mellitus, coronary heart disease and Muller-Hermelink classification were analyzed by *Fisher's* exact test. The rest of variables of patients characteristics were analyzed by *t*-test. All perioperative variables were analyzed by *t*-test except the completeness of covering membrane that was done by *Fisher's* exact test. P≤0.05 was regarded as statistic significance.

to the results above, the gender distribution of thymoma is approximately equal, although it was reported that thymoma was slightly more common in women in older age (11). VATS thymectomy had reached shorter postoperative hospital LOS, less blood loss, less chest output and shorter duration of drainage. These results supported Liu *et al.* (12) and Pennathur *et al.* (13) report previously. We also made further contrast between VATS thymectomy and transsternal thymectomy or transthoracic thymectomy respectively. The outcomes indicated that patients who underwent VATS thymectomy had shorter postoperative hospital LOS, less operation time, less blood loss, less quantity of drainage, smaller number of chest tubes and shorter duration of drainage than that of the ones via transsternal approach. Certain results were similar to Meyer's (14). Patients in VATS group had longer

operation time, but shorter postoperative hospital LOS, less quantity of drainage, and shorter duration of drainage than that of patients via thoracotomy. As the heavy wound led to postoperative pain more seriously in the open group, especially by transsternal approach, more postoperative care were required by patients and it delayed their return to normal daily life and work, compared to VATS group. Less incisions, clearer visual field and more accurate dissection, which lead to less blood loss during VATS thymectomy could contribute to recovery and postoperative hospital LOS decreased to less than one week. Without the activity restrictions associated with open thymectomy, patients via VATS approach would return the productive life sooner.

We compared VATS thymectomy with both sternotomy and transthoracic thymectomy comprehensively, especially

Table 4 Comparisons of outcomes between VATS thymectomy and transthoracic thymectomy in short term (n=85)

Variables	VATS (n=38)	Thoracotomy (n=47)	P [#]
Gender (female)	19 (50.00%)	26 (55.32%)	0.625
Age [years]	50.50 [25-72]	50.06 [21-76]	0.502
BMI* [range]	24.97 [18-34]	24.64 [18-32]	0.667
Myasthenia gravis (%)	5 (13.16)	4 (8.51)	0.505
Tumor size (cm)	5.4 (2.5-13)	7.2 (3.0-13.0)	<0.001
Muller-Hermelink classification (%)			0.148
A	2 (5.26)	7 (14.89)	
B1	6 (15.79)	10 (21.28)	
B2	11 (28.95)	5 (10.64)	
B3	2 (5.26)	1 (2.13)	
AB	16 (42.11)	24 (51.06)	
Massaoka staging (%)			0.119
I	17 (44.74)	29 (61.70)	
II	21 (55.26)	18 (38.30)	
Postoperative hospital LOS* [days]	5.26 [2-13]	7.53 [4-13]	<0.001
ICU* LOS [hours]	4.26 [0-90]	9.70 [0-96]	0.239
Operation time [minutes]	127.84 [30-300]	95.64 [55-160]	0.002
Blood loss [mL]	114.74 [20-600]	175.53 [50-1500]	0.123
Completeness of covering membrane (%)	36 (94.74)	43 (91.49)	0.687
Number of chest tubes	1.11 [1-2]	1.02 [1-2]	0.131
Quantity of chest tube output [mL]	619.68 [100-1,580]	890.89 [100-2,720]	0.022
Duration of drainage [days]	3.78 [2-10]	4.79 [2-9]	0.009

*, LOS, length of stay; ICU, intensive care unit; BMI, body mass index; #, gender, symptoms, myasthenia gravis, hypertension and Massaoka staging were analyzed by *Pearson* chi-square test. Diabetes mellitus, coronary heart disease and Muller-Hermelink classification were analyzed by *Fisher's* exact test. The rest of variables of patients characteristics were analyzed by *t*-test. All perioperative variables were analyzed by *t*-test except the completeness of covering membrane that was done by *Fisher's* exact test. $P \leq 0.05$ was regarded as statistic significance.

interiorly. In our 129 thymectomies, there was no death or morbidity due to the surgical procedures perioperatively. Previous reports showed that most of serious complications including vascular injury, chylothorax, nervus phrenicus damage, long-term air leakage and diaphragm injury mainly happened during VATS thymectomy process. The overall morbidity was about 5.1-10% (3,15-20). Actually, these problems happened during open thymectomy process as well.

Although Blalok *et al.* (10) had reported in 1941 that thymectomy should be widely managed in treatment of myasthenia gravis, debates remained on the role of surgery in the treatment of myasthenia gravis. The conditions of thymoma patients with myasthenia gravis in our study were not severe preoperatively, and symptoms of 19 thymoma

patients with myasthenia gravis had been improved or disappeared after thymectomy. The remission rate of myasthenia gravis was 100% in both VATS group and open surgery group. Gronseth *et al.* (21) summarized relevant papers and made a conclusion that the curative effect of thymectomy was better than chemotherapy for myasthenia gravis, and the overall remission rate was 70%. Zahid *et al.* (22) supported that surgical management of MG was becoming increasingly recognised as an effective treatment option. Combined with our results, we believed that thymectomy was an effective therapy for myasthenia gravis patients, especially through VATS approach.

For VATS thymectomy, one major concern is that whether the completeness of resection are comparable similar to open

thymectomy. From our experience, we mobilized the poles of thymus and eliminate peri-mediastinal fat as much as possible while achieving a complete removal of the thymic mass. Unilateral VATS approach may certainly limit our ability to resect contralateral tissue accurately. In fact, 36 thymic masses resected (accounting for 95%) in VATS thymectomy group confirmed by pathology had complete covering membrane that was applied to prove that thymus was entirely removed. Based on our results, it had been comparable to 80 open thymectomies (accounting for 88%). The size of the thymic mass is another concern. In our study, tumor size was not significantly different between VATS group and open surgery group. However, we found that, compared to sternotomy group and thoracotomy group respectively, tumor size was smaller in the VATS thymectomy group. Youssef *et al.* (23) had recommended that less than 3 cm thymoma was appropriate for VATS thymectomy approach. Nonetheless, two thymectomies for thymoma with maximal length-diameter about 13 cm were completed through VATS approach while the similar maximal size of tumor existing in open thymectomy group in our hospital. Therefore, we considered the size of thymoma was not an absolute restriction for VATS thymectomy.

The limitations of the present study should not be neglected. First of all, the number of open group was much larger than that of VATS group, so the selection bias was inevitable. Clinical variables were analyzed in the perioperative period in only one institute, which may lead to inaccurate results. So far, multicentric comparative studies with large sample size for thymoma patients between VATS and open thymectomy groups in a long-term have not been reported. In addition, the follow-up recurrence rate after thymectomy, which was an important assessment for VATS approach, was not found in our study. Cheng *et al.* (15) showed that 44 thymoma patients who received VATS thymectomy and followed up for 39.6 months, had no recurrence. Augustin *et al.* (16) also reported nine thymoma patients via VATS thymectomy did not have recurrence, followed up for 25 months. Hopefully, the shortage of our study may inspire us to do further research.

Conclusions

VATS thymectomy is a safe and practicable treatment for early-stage thymoma patients (Masaoka staging I-II) without evident invasion, which has shorter length of hospital stay, reduced blood loss and less restrictions to activities, hence patients will recover sooner. Eagerly, long-

term randomized controlled trials in the multicenter are expected to carry out.

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