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## Hippocampal and ventricular changes in Parkinson's disease mild cognitive impairment

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### Abstract

We analyzed T1-weighted brain magnetic resonance imaging data of 100 cognitively normal elderly controls (NC), 127 cognitively normal PD (PDCN), 31 PD-associated mild cognitive impairment (PDMCI) subjects from the Norwegian ParkWest study. Using automated segmentation methods, followed by the radial distance technique and multiple linear regression we studied the effect of clinical diagnosis on hippocampal and ventricular radial distance while adjusting for age, education and scanning site. PDCN subjects had significantly smaller bilateral hippocampal radial distance relative to NC. Nonamnesic PDMCI subjects showed smaller right

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hippocampal radial distance relative to cognitively normal elderly. PDMCI subjects showed significant enlargement of all portions of the lateral ventricles relative to cognitively NC and significantly larger bilateral temporal and occipital and left frontal lateral ventricular expansion relative to PDCN subjects. Nonamnestic PDMCI subjects showed significant ventricular enlargement spanning all parts of the lateral ventricle while those with amnestic PDMCI showed changes localized to the left occipital horn. Hippocampal atrophy and lateral ventricular enlargement show promise as structural biomarkers for PD.

## Keywords

Parkinson disease; mild cognitive impairment; MCI; brain atrophy; hippocampal atrophy; ventricular enlargement; magnetic resonance imaging; MRI; cognitive correlations

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## 1. Introduction

Cognitive impairment, one of the most understudied non-motor syndromes in Parkinson's disease (PD), is commonly seen even early in the disease course [Janvin, et al., 2006; Muslimovic, et al., 2005; Williams-Gray, et al., 2009]. PD subjects are at 2 to 6-fold increased risk for developing dementia relative to elderly controls [Breteler, et al., 1995].

Structural MRI is an increasingly recognized platform for imaging biomarker development in neurodegeneration. Yet PD structural imaging biomarkers are significantly under developed. Hippocampal atrophy, the most established imaging biomarker for Alzheimer's disease (AD), is common to most forms of dementia [Apostolova and Thompson, 2007], yet its presence in PD and PD dementia (PDD) has not been definitively established. While some groups have reported hippocampal atrophy in cognitively normal PD (PDCN) subjects [Camicioli, et al., 2003; Junque, et al., 2005] [Bruck, et al., 2004; Tam, et al., 2005], others have not [Camicioli, et al., 2004] [Beyer, et al., 2007; Burton, et al., 2004; Kassubek, et al., 2002; Nagano-Saito, et al., 2005]. Several groups have also suggested that structural changes of the lateral ventricles occur in PD [Huber, et al., 1989; Meyer, et al., 2007]. The paper by Meyer et al [Meyer, et al., 2007] reported that subjects with MCI associated with presumed Lewy body pathology (i.e., PD or Dementia with Lewy bodies) showed greater enlargement of the third ventricle relative to MCI of the Alzheimer's disease type and vascular MCI, yet similar atrophy of the medial temporal lobe structures.

Recently, we published a study investigating the hippocampal and ventricular structural changes of PD-associated mild cognitive impairment (PDMCI, disease duration 10.5 years) and PDD subjects (disease duration 13.1 years) compared to PDCN (disease duration 14.3 years) and cognitively normal elderly (NC) [Apostolova, et al., 2010a]. While similar to others [Camicioli, et al.] we found significant ventricular enlargement of the lateral ventricles in PDD we were unable to show significant ventricular enlargement or hippocampal atrophy in PDMCI likely due to sample size restrictions. The goal of the present study was to investigate if ventricular enlargement is present in newly diagnosed drug-naïve PDMCI relative to newly diagnosed drug-naïve PDCN. With the larger ParkWest sample size our goal was also to more definitively address our hypothesis that PDMCI is associated with hippocampal atrophy. As PD is frequently unilateral at disease

onset we repeated the regression analyses with the left- and right-predominant PD subjects only. We also investigated the associations between hippocampal and ventricular radial distance and global cognitive (MMSE) and disease severity indices (Unified Parkinson's Disease Rating Scale (UPDRS) and the modified Hoehn and Yahr (H&Y) staging).

## 2. Methods

### 2.1. Subjects

We analyzed the baseline structural magnetic resonance imaging (MRI) data from the Norwegian ParkWest study [Alves, et al., 2009]. ParkWest is a population-based multi-center prospective longitudinal study of newly diagnosed drug-naïve PD subjects aiming to define the clinical progression of PD over 10 years and to identify promising biomarkers for PDMCI and PDD. The protocol was reviewed and approved by the Regional Committee for Medical Research Ethics, University of Bergen, Norway. ParkWest recruitment strategies and diagnostic procedures have been described recently [Alves, et al., 2009]. Five neurology groups from Southwestern Norway actively participated in recruitment and research evaluations of enrolled participants. Study recruitment materials were sent to all hospital departments and general practitioner offices in Southwestern Norway. Reminders were sent twice during the study recruitment period. This comprehensive surveillance/referral mechanism resulted in 604 referrals of patients with possible PD between November 1 2004 and August 31 2006. All subjects were evaluated by one of the five participating neurology groups. Only newly diagnosed drug-naïve PD patients according to the Gelb diagnostic criteria for PD [Gelb, et al., 1999] were eligible for participation. ParkWest employs a multi-step diagnostic procedure. Screening and baseline assessments resulted in a provisional diagnosis. Subjects then received neurological follow-up once every 6 months and at each visit the provisional diagnosis of PD was carefully reappraised. The final ParkWest study diagnosis was made on an individual basis approximately 28 months after initial enrollment according to the Gelb criteria for PD [Gelb, et al., 1999]. The information used for the final study diagnosis included complete medical information from the screening and baseline research visits, as well as from the biannual clinical assessments. This included documentation of response to dopaminergic therapy and results from MRI and [<sup>123</sup>I] FP-CIT imaging when available. PD subjects were excluded from participation at any time during follow-up if they met criteria for the following parkinsonian disorders: Dementia with Lewy Bodies (DLB) according to the revised McKeith's criteria [McKeith, et al., 2005], Multiple System Atrophy (MSA) according to the MSA consensus criteria [Gilman, et al., 1998], Progressive Supranuclear Palsy (PSP) according to the National Institute of Neurological Disorders and the Society for PSP clinical research criteria [Litvan, et al., 1996], monosymptomatic resting tremor based on the Consensus Statement of the Movement Disorder Society on Tremor [Deuschl, et al., 1998]. Subjects were also excluded if they had a history of strokes and/or stepwise progression of parkinsonism or had neuroradiologic findings of sufficient severity to be compatible with a diagnosis of “vascular parkinsonism”. Others were excluded if they had parkinsonian features that developed post exposure to neuroleptics or other drugs with antagonistic properties to dopamine receptors (i.e., a diagnosis of “drug-induced parkinsonism”), or received a diagnosis of essential tremor in patients presenting with predominantly postural upper limbs or head tremor of moderate

amplitude with no other signs of parkinsonism which was not caused by medication, alcohol or hyperthyroidism.

ParkWest subjects are subjected to standardized clinical, neuropsychiatric and neuropsychological examinations, and brain MRI. As the goal of our study was to establish structural biomarkers for PDMCI, subjects who met criteria for dementia of any cause were excluded from our analyses as previously described [Alves, et al., 2009]. Severity of parkinsonian symptoms was assessed by the study physicians with the Unified Parkinson's Disease Rating Scale (UPDRS) [Fahn and Elton, 1987] and the modified Hoehn and Yahr (H&Y) staging [Hoehn and Yahr, 1967]. The neuropsychological test battery [Aarsland, et al., 2009] consisted of the Mini-Mental State Examination scale (MMSE) [Folstein, et al., 1975], verbal memory assessment with the California Verbal Learning Test II (CVLT-2) [Delis, et al., 1987], assessment of visuospatial abilities with the Silhouettes and Cube subtests from the Visual Object and Space Perception Battery (VOSP) [Warrington and James, 1991], and assessment of attention and executive functions with the semantic verbal fluency/animal naming task [Benton and Hamsher, 1989], the Stroop Test [Stroop, 1935] and the serial 7 test from the MMSE.

Raw cognitive scores for all PD subjects were converted to z-scores using the mean and standard deviations of the ParkWest age-matched NC group consisting of 205 individuals without parkinsonian symptoms, previous or current treatment with antiparkinsonian medication and any disease or symptom that could preclude completion of the study - including severe physical disability. PD subjects were classified as having PDMCI if their cognitive performance on at least one cognitive domain (memory, visuospatial and attention-executive) was more than 1.5 standard deviations below adjusted for age and education NC scores yet they were independent in their activities of daily living (i.e., did not meet criteria for dementia) [Aarsland, et al., 2009]. Impairment in the memory domain led to diagnosis of amnesic PDMCI, while preservation of memory with compromised non-memory cognitive function led to diagnosis of nonamnesic PDMCI. We note that there are currently no validated measures for soliciting cognitive complaints from patients with PD. Accordingly, indication of cognitive decline based on self or informant report, assessed by means of the IQCode and the UPDRS item 1, was considered supportive of a diagnosis of MCI but was not required.

Of the 604 PD screens conducted between November 1 2004 and August 31 2006, 265 subjects met provisional diagnosis of incident PD. 207 drug-naïve incident PD subjects agreed to longitudinal participation. 182 PD and 108 normal control (NC) subjects agreed to and received MRI. Of these, 258 ParkWest study participants (100 NC, 127 PDCN, 11 amnesic and 20 nonamnesic PDMCI) had useable imaging data (i.e., no motion or significant intensity artifacts, strokes or other structural lesions). **Table 1** provides the demographic comparisons between PD subjects included in our analyses (N=158) and ParkWest PD participants who either did not agree or had contraindications to MRI, or whose scans were of insufficient quality for image analyses (N=49). PD subjects from the MRI cohort were significantly younger and more educated relative to the PD subjects who lacked useable scans. The MRI cohort also had significantly lower UPDRS motor subscale scores and higher MMSE (less cognitively and motorically impaired).

## 2.2. MRI acquisition and preprocessing

MRI was performed at four of the five study sites. The following protocols were used:

- Stavanger: 1.5 T Phillips Intera (Best, The Netherlands) TR/TE 10.0/4.6 msec, flip angle 30 degrees, 1 mm slices with no gap, NEX 2, Matrix 256×256
- Haugesund: 1.5 T Phillips Intera (Best, The Netherlands) TR/TE 20.0/4.6, flip angle 30 degrees, 1 mm slice thickness with no gap, NEX 1, Matrix 256×256
- Bergen: **1.5 T** Siemens Symphony (Erlangen, Germany) TR/TE 2130.0/3.9, flip angle 15 degrees, 1 mm slice thickness with no gap, NEX 1, Matrix 256×256
- Arendal: 1.0 T Philips Intera system (Best, The Netherlands) TR/TE 25/6.9, flip angle 30 degrees, 2 mm slice thickness with no gap, NEX 1, Matrix 256×256.

T2-weighted and fluid attenuated inversed recovery (FLAIR) sequences were collected to evaluate subjects for strokes, and/or structural lesions. Subjects with these findings or with structural changes that could produce parkinsonian symptoms were excluded from the longitudinal imaging data collection and imaging analyses. Also excluded from the imaging analyses were subjects with baseline scan artifacts or scans of insufficient quality.

The 3D T1-weighted MR images were subjected to intensity normalization [Shattuck, et al., 2001] and spatial normalization to the International Consortium for Brain Mapping (ICBM53) brain atlas using the Minctracc algorithm and 9-parameter (9P) transformation (3 translations, 3 rotations, 3 scales) [Collins, et al., 1994] as previously described [Apostolova, et al., 2007]. The aligned images were resampled in an isotropic space of 220 voxels along each axis ( $x$ ,  $y$ , and  $z$ ) resulting in a final voxel size of 1 mm<sup>3</sup>.

## 2.3. Hippocampal segmentation

The hippocampal formations (including hippocampus proper, dentate gyrus and subiculum) of a randomly selected ParkWest training data set were manually segmented on gapless coronal slices by one experienced rater (MKB) blinded to subjects' age, sex and diagnosis following a detailed well-established protocol. The traces were closely inspected for accuracy by a second experienced hippocampal rater (LGA). The training dataset consisted of 29 subjects with 12 subjects (4 NC, 4PDCN and 4 PDMCI) from each of the two large imaging centers (Stavanger and Bergen), 3 subjects (one from each diagnostic group) from Arendal and 2 subjects from Haugesund (1 PDCN and 1 PDMCI). The training sample composition was proportionate to the ratio of subject enrollments among the four imaging centers, to prevent as far as possible any potential center bias in the statistical sampling.

Next the hippocampi of the full dataset were segmented with AdaBoost - our automated machine-learning hippocampal segmentation algorithm, based on the adaptive boosting approach. The algorithm uses thousands of voxel-specific features, such as image gradients, local curvatures at image interfaces, gray or white matter classification, statistical information on the likely stereotaxic position of the hippocampus, etc, to develop statistical rules for labeling each voxel in each new image as belonging to the hippocampus or not,

based on the feature information contained in the positive and negative voxels of a training dataset. The AdaBoost algorithm has been extensively validated [Morra, et al., 2008a; Morra, et al., 2009] and utilized [Apostolova, et al., 2010b; Morra, et al., 2008a; Morra, et al., 2008b] .

#### 2.4. Ventricular segmentation

We employed a previously validated semi-automated ventricular segmentation approach [Chou, et al., 2008] . Briefly, a human rater (MKB) first traced the lateral ventricles of 4 subjects and these traces were then converted into 3D parametric ventricular mesh models, termed atlases, as in [Thompson, et al., 2004] . Using fluid registration techniques each atlas was separately warped to match and thereby extract the shape of the lateral ventricle of each new subject's scan. This step resulted in four lateral ventricle segmentations per subject that were then averaged to create one final ventricular model. Averaging four separate segmentations minimizes automated labeling errors that occur when only one atlas is used.

#### 2.5. Radial distance mapping

After modeling the segmented hippocampi and lateral ventricles as 3D parametric surface meshes, we computed the medial core (a medial curve threading down the center of each structure) and the radial distance from the medial core to each surface point for each structure in each subject [Apostolova, et al., 2006a; Apostolova, et al., 2006b; Thompson, et al., 2004] . Radial distance provides an intuitive measure of the thickness of the structure from its core to each point on its boundary.

#### 2.6. Statistical methods

One-way analysis of variance (ANOVA) with *post-hoc* Bonferroni correction for multiple comparisons and chi-squared test were used to test for between-group differences in age, sex, education and the presence of Apolipoprotein E4 (ApoE4) genotype. The presence of ApoE4 allele was coded as 1, the absence was coded as 0. Subjects for whom ApoE4 genotype data was not available (6 NC, 9 PDCN and 3 PDMCI representing 6%, 7% and 10% of each group, respectively) were coded as 0.5. Due to non-normality of distribution of the MMSE scores, between-group comparisons were conducted with the Kruskal-Wallis test when three groups of comparison were compared (i.e., NC, PDNC and PDMCI) and Mann-Whitney when two comparison groups were compared (i.e., amnesic vs. nonamnesic PDMCI). We compared disease severity measured with the H&Y scale and the UPDRS motor subscale between PDCN and PDMCI, using a two-tailed Student's t-test.

Our main analyses were conducted with linear regression. The models included hippocampal and ventricular radial distance as the outcome and diagnosis as the predictor variable while adjusting for age, education, ApoE4 genotype and scanning site. Linear regression models with MMSE, UPDRS motor subscale and H&Y scores as predictors adjusting for center, and in the case of MMSE for ApoE4, were also performed in the pooled dataset. As PD is frequently unilateral at disease onset we repeated the regression analyses comparing left- and right-predominant PDCN and PDMCI subjects to the NC group. Cases with bilateral parkinsonian features were excluded from these models.



For map-wise multiple comparison correction we ran 100,000 permutations to measure the extent of the map that would have appeared significant by pure chance, in statistical maps thresholded at  $p < 0.01$  [Thompson, et al., 2003]. The final permutation corrected p-value reflects the likelihood with which the observed experimental findings would have occurred by chance alone, in null data.

### 3. Results

Demographic and cognitive between-group comparisons are shown in **Table 2** and **3**, respectively. Comparing NC, PDCN and PDMCI revealed significant between-group differences in age, education and MMSE. After applying Bonferroni correction for multiple comparisons, we determined that the age difference was due to the PDMCI group being older than both the NC ( $p=0.012$ ) and the PDCN groups ( $p=0.036$ ). The difference in education was driven by a difference between the NC and PDCN groups ( $p=0.014$ ). The groups were well balanced in respect to sex, disease lateralization, ApoE4 genotype, UPDRS and H&Y scores (**Table 2**). There were significant between-group cognitive differences on all cognitive measures with the PDMCI and PDCN groups performing significantly worse than our NC group (**Table 3**).

Amnesic and nonamnesic PDMCI subjects showed comparable age, sex, educational level, disease lateralization, ApoE4 genotype, UPDRS motor subscale and MMSE distribution (**Table 2**). The only difference seen was in H&Y scores - amnesic PDMCI subjects showed borderline significant higher scores ( $p=0.05$ ). While amnesic and nonamnesic PDMCI subjects performed comparably on VOSP, serial 7, Stroop interference and semantic fluency, amnesic MCI subjects showed significantly lower scores on CVLT and sum of words from the Stroop test (**Table 3**).

#### 3.1. Hippocampal analyses

The 3D age-, education-, center- and ApoE4-adjusted hippocampal significance and percent difference maps for the between-group comparisons can be seen in **Figure 1**.

**PDCN vs. NC comparisons**—The PDCN vs. NC 3D hippocampal radial distance statistical and percent difference maps are presented in **Figure 1**. Compared to NC, the PDCN group had significantly smaller right hippocampal radial distances (left  $p_{corrected}=0.061$ , right  $p_{corrected}=0.0086$ ). Quantitatively, there was a 10-20% difference in radial distance in the areas of significance between the two groups (**Figure 1**). In the regression analyses using left- or right-predominant PDCN cases only (**Figure 1**) we found significant differences in right hippocampal radial distance between the right-predominant PDCN and NC ( $p_{corrected}=0.0077$ ). Left-predominant PDCN subjects did not show significant differences relative to NC. ApoE4 was not a significant predictor of hippocampal radial distance in any of the regression models.

**PDMCI vs. NC and PDCN comparisons**—The PDMCI vs. NC and PDMCI vs. PDCN 3D hippocampal radial distance statistical and percent difference maps are presented in **Figure 2**. While some areas in both hippocampi showed up to 30% smaller radial distance in PDMCI relative to NC, these differences did not survive our stringent permutation

correction for multiple comparisons. The differences in hippocampal radial distance between PDMCI and PDCN were of smaller magnitude than those seen between NC and PDMCI, and likewise did not reach statistical significance. Of the PDMCI subtypes, **only** the nonamnestic PDMCI group showed trend-level smaller right hippocampal radial distance relative to NC subjects ( $p_{corrected}=0.092$ ). No trend-level or significant differences were seen between the amnestic PDMCI group and NC. Direct comparison of amnestic and nonamnestic PDMCI did not reveal significant differences in hippocampal radial distance (maps not shown). In the regression analyses using left- or right-predominant PDMCI cases only we found significant differences in right hippocampal radial distance between the right-predominant PDMCI and NC ( $p=0.017$ ). Left-predominant PDMCI subjects did not show significant differences relative to NC. ApoE4 was not a significant predictor of hippocampal radial distance in any of the regression models.

**MMSE, H&Y and UPDRS associations**—The center- and ApoE4-adjusted associations between hippocampal radial distance and MMSE, as well as the center-adjusted associations between hippocampal radial distance and H&Y and UPDRS motor subscale across the pooled sample can be seen in **Figure 3**. We found significant left ( $p_{corrected}<0.0001$ ) and right ( $p_{corrected}=0.00035$ ) positive associations with MMSE. UPDRS scores similarly showed negative associations with hippocampal radial distance bilaterally (left  $p_{corrected}=0.048$ ; right  $p_{corrected}=0.036$ ), while a trend-level negative effect for H&Y was present on the right only ( $p_{corrected}=0.06$ ).

### 3.2. Ventricular analyses

**PDCN vs. NC comparisons**—PDCN subjects showed no significant ventricular enlargement relative to NC.

**PDMCI vs. NC and PDCN comparisons**—The PDMCI vs. NC and PDMCI vs. PDCN 3D hippocampal radial distance statistical and percent difference maps are presented in **Figure 4**. The PDMCI group showed greater left than right enlargement of the frontal, temporal and occipital lateral ventricular horns, relative to NC (left frontal  $p_{corrected}=0.0003$ , left temporal  $p_{corrected}=0.024$ , left occipital  $p_{corrected}=0.0003$ ; left whole ventricle  $p_{corrected}=0.0082$ ; right frontal  $p_{corrected}=0.036$ , right temporal  $p_{corrected}=0.08$ , right occipital  $p_{corrected}=0.036$ ; right whole ventricle  $p_{corrected}=0.047$ ). The magnitude of between-group differences in areas of significance ranged from 5 to 25%. Splitting the PDMCI sample into left- or right-predominant subgroups revealed significant contralateral frontal and occipital horn enlargement in right-predominant PDMCI (left frontal  $p_{corrected}=0.0044$ , left occipital  $p_{corrected}=0.0011$ ; left whole ventricle  $p_{corrected}=0.07$ ) and bilateral frontal and occipital differences in left-predominant PDMCI (left frontal  $p_{corrected}=0.0049$ , left occipital  $p_{corrected}=0.0058$ ; left whole ventricle  $p_{corrected}=0.023$ ; right frontal  $p_{corrected}=0.019$ , right occipital  $p_{corrected}=0.022$ ; right whole ventricle  $p_{corrected}=0.034$ ) relative to NC.

Of the PDMCI subtypes, the nonamnestic PDMCI group showed extensive ventricular enlargement spanning all parts of the lateral ventricle relative to NC ranging from 5-25% (left frontal  $p_{corrected}=0.0006$ , left temporal  $p_{corrected}=0.03$ , left occipital  $p_{corrected}=0.0002$ ;



left whole ventricle  $p_{corrected}=0.01$ ; right frontal  $p_{corrected}=0.016$ , right temporal  $p_{corrected}=0.056$ , right occipital  $p_{corrected}=0.016$ ; right whole ventricle  $p_{corrected}=0.028$ ) while amnesic PDMCI showed only a trend for ventricular enlargement of the left occipital horn relative to NC ( $p_{corrected}=0.0995$ , radial distance % difference range: 5-15%). Direct comparison of amnesic and nonamnesic PDMCI did not reveal significant differences in ventricular radial distance (maps not shown).

The PDMCI group showed larger bilateral temporal and occipital, and left frontal horn radial distance relative to PDCN (left frontal  $p_{corrected}=0.0088$ , left temporal  $p_{corrected}=0.022$ , left occipital  $p_{corrected}=0.0008$ ; left whole ventricle  $p_{corrected}=0.01$  right temporal  $p_{corrected}=0.0094$ , right occipital  $p_{corrected}=0.027$ ; right whole ventricle  $p_{corrected}=0.048$ ). In this comparison the magnitude of between-group differences was 5-20%. ApoE4 showed a trend-level association with the radial distance of the left body/occipital horn in the pooled sample only.

**MMSE, H&Y and UPDRS associations—**Figure 5 shows the center- and ApoE4-corrected significance and correlation maps between MMSE and ventricular radial distance, as well as the center-corrected significance and correlation maps between H&Y and UPDRS motor subscale scores and ventricular radial distance in the pooled sample. As expected there was a strong negative association between MMSE and the frontal (left  $p_{corrected}<0.0001$ ; right  $p_{corrected}=0.0003$ ) and body/occipital horns (left  $p_{corrected}<0.0001$ ; right  $p=0.0001$ ) of the lateral ventricles. For the temporal horns we observed a significant right ( $p_{corrected}=0.007$ ) and trend-level left ( $p_{corrected}=0.06$ ) positive association with MMSE (Figure 5 top panel). We also found significant associations between H&Y scores and the body/occipital horn on the left (left  $p_{corrected}=0.009$ ) as well as trend-level right body/occipital horn (right  $p_{corrected}=0.06$ ) and left frontal horn (left  $p_{corrected}=0.095$ , Figure 5 middle panel). The UPDRS motor subscale showed trend-level positive associations with left body/occipital ( $p_{corrected}=0.08$ ) and right temporal horns ( $p_{corrected}=0.05$ , Figure 5 bottom panel).

#### 4. Discussion

Hippocampal atrophy is the most established dementia biomarker to date and occurs in Alzheimer's disease [Apostolova, et al., 2010a; Apostolova, et al., 2006a; Apostolova, et al., 2006b; Jack, et al., 1997], fronto-temporal [Krill and Halliday, 2004] and vascular dementias [Xu, et al., 2007]. Hippocampal atrophy in the MCI stage of AD is highly predictive of future development of dementia [Apostolova, et al., 2010a; Apostolova, et al., 2006b; Apostolova, et al., 2010c]. While most studies to date agree that hippocampal atrophy is present in the dementia stage of PD [Bouchard, et al., 2008; Camicioli, et al., 2003; Junque, et al., 2005; Tam, et al., 2005], whether hippocampal atrophy is present in non-demented PD subjects has been controversial. Four studies – two using the region-of-interest (ROI) approach [Camicioli, et al., 2003; Junque, et al., 2005] and the other two a visual scale for hippocampal atrophy [Bruck, et al., 2004; Tam, et al., 2005], reported hippocampal atrophy in PDCN, while one ROI [Camicioli, et al., 2004] and several voxel-based morphometry studies [Burton, et al., 2004; Kassubek, et al., 2002; Nagano-Saito, et al., 2005] failed to document hippocampal atrophy in PDCN.

Here we found that hippocampal atrophy and ventricular enlargement can occur early in the course of PD and are associated with cognitive decline. We detected hippocampal atrophy not only in the PDMCI state but also in our cognitively normal PD subjects. Hippocampal atrophy in PD was strongly associated with cognitive decline and disease severity as measured by the MMSE and the UPDRS motor subscale. Post mortem data [Bertrand, et al., 2004] and our current findings suggestive of hippocampal involvement as early as the PDCN stage indicate that more work in this area is warranted. It is important to establish whether PDCN subjects with hippocampal atrophy are at increased risk for cognitive decline. Further analyses of the longitudinal ParkWest dataset will allow us to address that question. Additionally, as the subset of PDMCI subjects grows we will be able to establish the prognostic significance of hippocampal atrophy in PDMCI.

Ventricular enlargement has likewise been observed in Alzheimer's disease [Carmichael, et al., 2007b; Chou, et al., 2010], fronto-temporal [Kril and Halliday, 2004], PDD [Apostolova, et al., 2010a] and vascular dementias [Carmichael, et al., 2007b] and has been reported to be predictive of cognitive decline to MCI and dementia [Carmichael, et al., 2007a; Chou, et al., 2010]. In our study we found pronounced ventricular enlargement in PDMCI but not in PDCN as we have previously reported [Dalaker, et al.] as well as a strong negative correlation between ventricular size and MMSE scores. Interestingly, nonamnestic PDMCI showed more pronounced ventriculomegaly relative to amnestic PDMCI subjects with greater involvement of the posterior and frontal parts of the lateral ventricles. As nonamnestic PDMCI subjects showed deficits in the visuospatial and attention-executive domains structural changes of the parietal and frontal lobes are to be expected. The question whether ventriculomegaly precedes or coincides with the onset of the PDMCI state will be addressed as we follow our PDCN cohort longitudinally. Taking into account the wealth of reports of cortical and subcortical atrophy in PDD [Apostolova, et al., 2010a; Beyer, et al., 2007; Burton, et al., 2005; Burton, et al., 2004; Nagano-Saito, et al., 2005; Ramirez-Ruiz, et al., 2005] and PDMCI [Beyer, et al., 2007; Meyer, et al., 2007], one could speculate that the ventriculomegaly in PDMCI reflects cortical and subcortical involvement.

We consistently found left hemispheric involvement in our newly diagnosed drug-naïve PDMCI subjects regardless of disease laterality. Our disease lateralization analyses revealed significant contralateral ventricular and hippocampal predilection in right-predominant PDMCI subjects, but both contra- and ipsilateral ventricular enlargement in left-predominant PDMCI subjects relative to NC. One might argue that these findings potentially reflect a left-hemispheric bias in our neuropsychologic test battery, which included verbal as opposed to nonverbal memory and two verbal fluency tasks. However, if these right-predominant PDCN subjects convert to PDMCI and PDD at higher rates, our findings above would imply that right-sided PD poses subjects at greater risk for cognitive impairment, as has been previously suggested [Cooper, et al., 2009; Williams, et al., 2007]. We will be able to ascertain that once we analyze the longitudinal PDCN data. It is also worth mentioning that greater rates of lateral ventricle enlargement contralateral to the involved side has been reported by others and has been associated with faster decline in motor symptoms [Lewis, et al., 2009].

Several strengths and limitations of the present study should be acknowledged. Major strengths include the excellent recruitment and disease ascertainment strategies employed in this population-based multi-center prospective longitudinal cohort study of drug naïve new onset PD. Advanced imaging methodology was also used for these analyses. At this time the major limitation of our analyses is that they are cross-sectional. However, as we proceed to analyses of 3-year and 5-year ParkWest structural MRI data that limitation will be overcome. Another limitation is the small sample size of the amnesic and nonamnesic PDMCI subgroups. This limitation is introduced by the study's strict inclusion criterion to enroll only newly diagnosed drug-naïve PD subjects. Such a design offers the opportunity to study PD along its longitudinal course since initial presentation, but limits our ability to have a large number of subjects meeting PDMCI criteria. Despite this limitation the nonamnesic PDMCI was sufficiently large to detect statistically significant differences. Finally, while correcting for scanning site reduces the variability introduced by the use of different scanners and different imaging protocols as much as possible, there is no doubt some residual unmodeled variance in our data, perhaps due to scanner effects. Yet despite this noise, we were able to find significant hippocampal and ventricular differences between the diagnostic groups. Had we analyzed a dataset with a unified imaging protocol and lesser scanner variability we might have had even better power to detect disease-associated differences.

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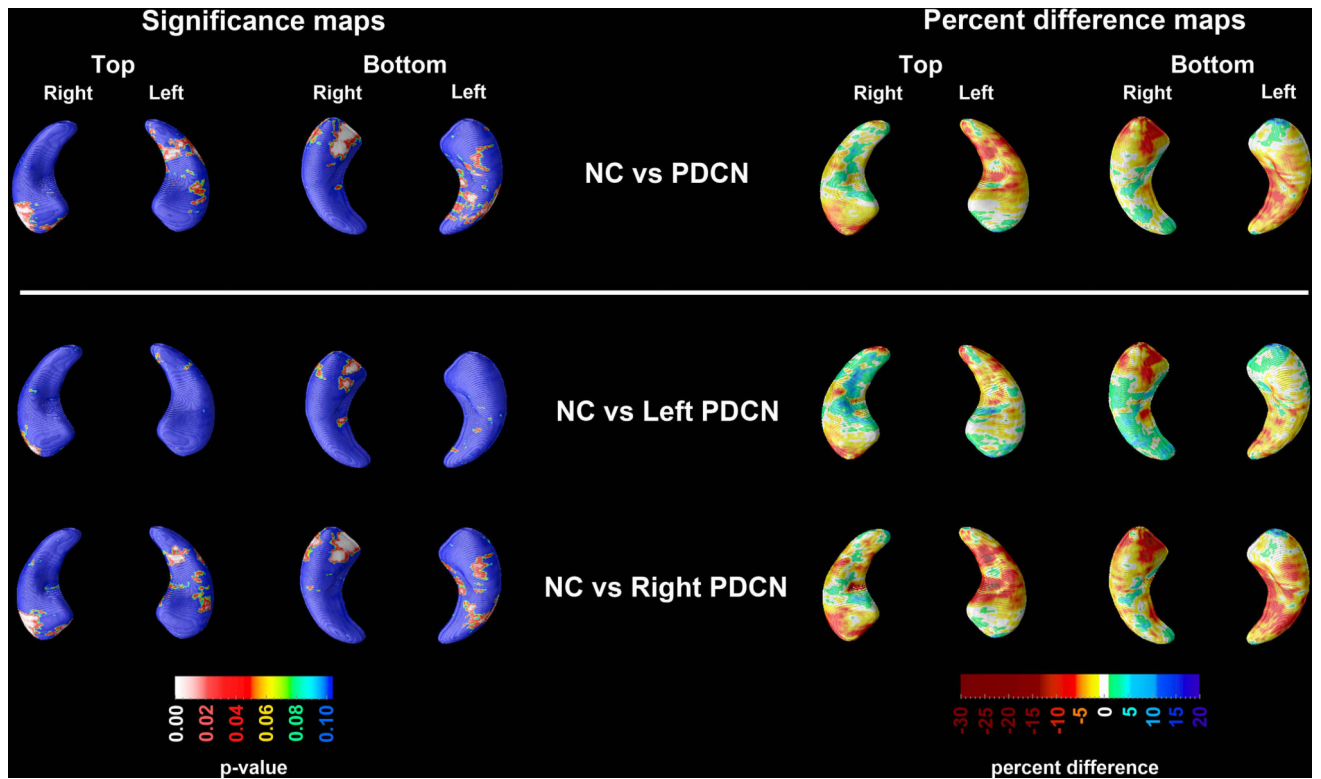
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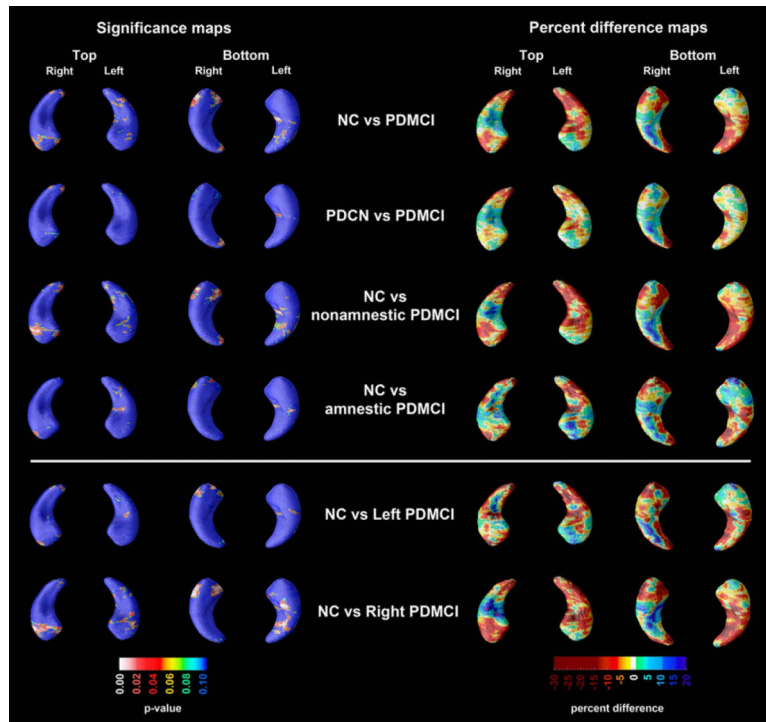


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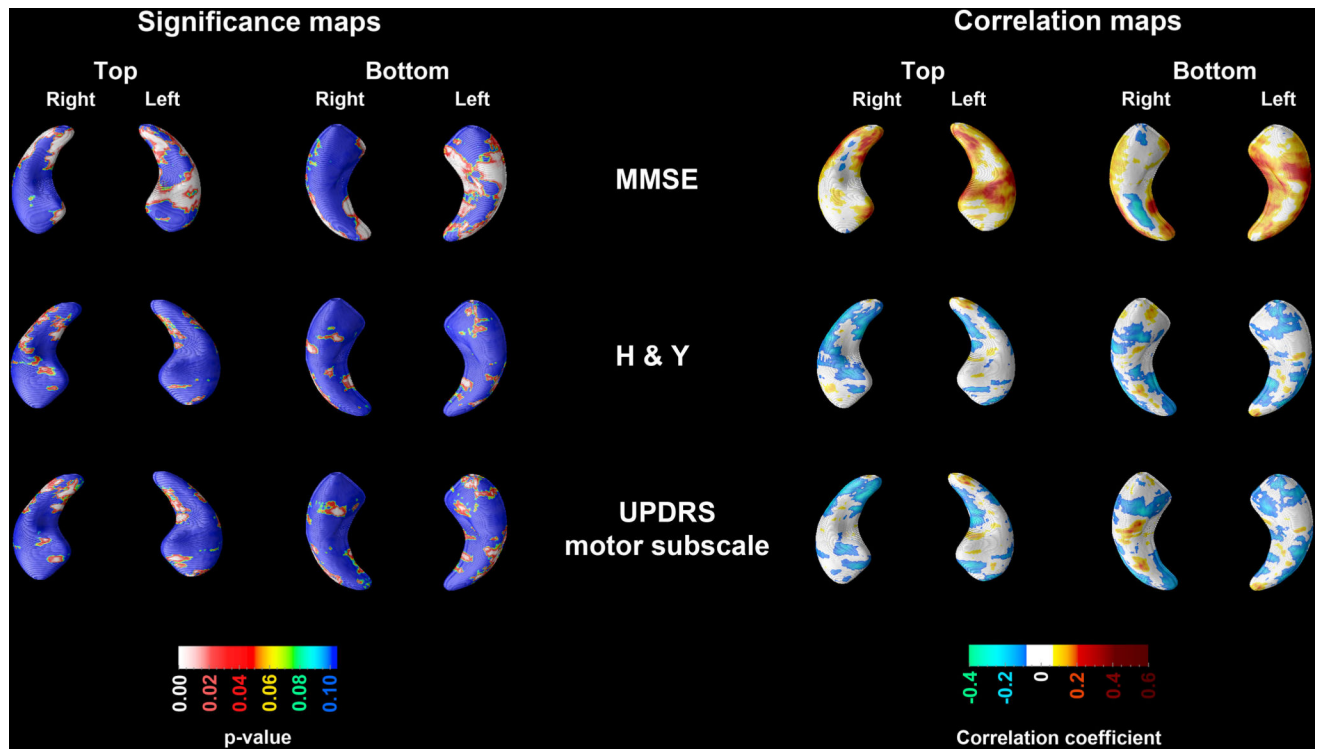




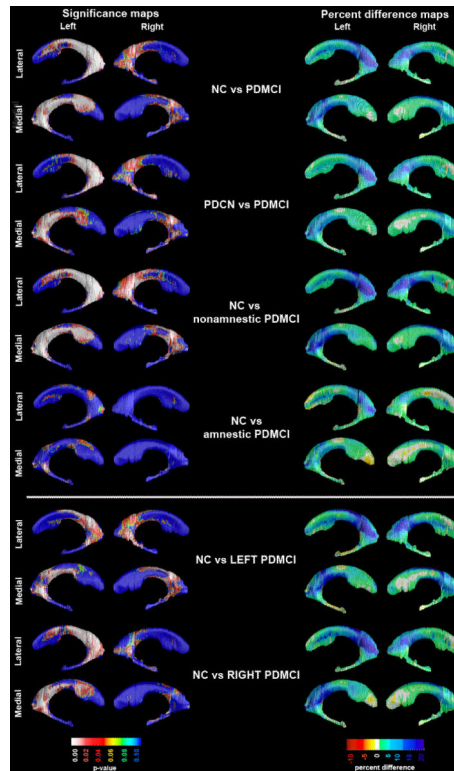
**Figure 1.** 3D significance (left panel) and percent difference (right panel) **NC vs. PDCN** hippocampal maps. Red and white areas in the significance maps show statistical significance ( $p < 0.05$ ). The following maps survived stringent correction for multiple comparisons: NC vs. PDCN on the right and NC vs. right-predominant PDCN vs. NC on the right.



**Figure 2.** 3D significance (left panel) and percent difference (right panel) NC vs. PDMCI hippocampal maps. Red and white areas in the significance maps show statistical significance ( $p < 0.05$ ). The NC vs. right-predominant PDMCI right hippocampal map survived stringent correction for multiple comparisons.

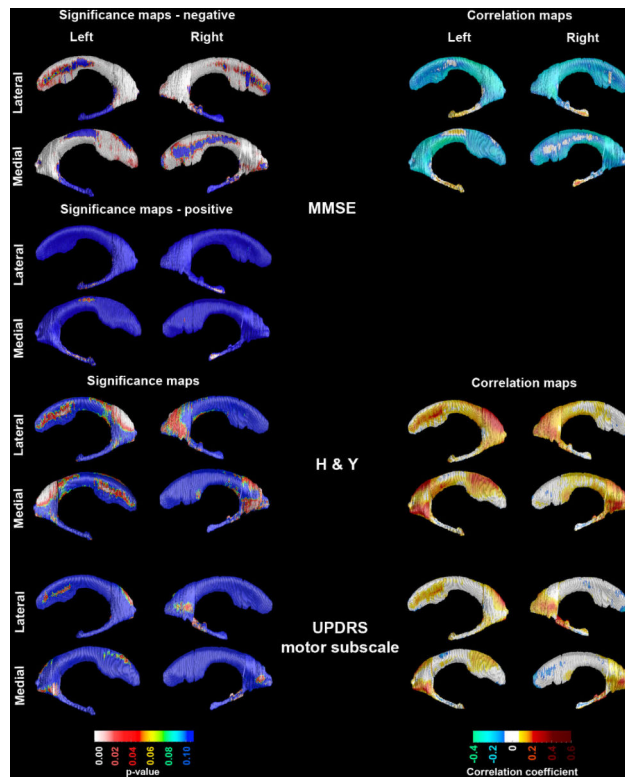


**Figure 3.** 3D significance (left panel) and correlation (right panel) maps of the association between MMSE, H&Y and UPDRS motor subscale scores and hippocampal radial distance. Areas in red and white in the significance maps show statistical significance ( $p < 0.05$ ). Both the left and right hippocampal MMSE and UPDRS maps survived stringent correction for multiple comparisons.



**Figure 4.**

3D significance (left panel) and percent difference (right panel) NC vs. PDMCI ventricular radial distance maps. Red and white areas in the significance maps show statistical significance ( $p < 0.05$ ). The following maps survived stringent correction for multiple comparisons: NC vs. PDMCI, PDCN vs. PDMCI, NC vs. nonamnesic PDMCI and NC vs. left-predominant PDMCI bilaterally, as well as NC vs. right-predominant PDMCI on the left.



**Figure 5.** 3D significance (left panel) and correlation (right panel) maps of the association between MMSE, H&Y and UPDRS motor subscale scores and ventricular radial distance. Areas in red and white in the significance maps show statistical significance ( $p < 0.05$ ). The left and right ventricular MMSE maps survived stringent correction for multiple comparisons.

**Table 1**

Demographic and clinical comparisons between PD subjects included in the analyses vs. those who were not

Variable	PD with MRI N= 158	PD without MRI N=49	p-value
Age, yr	66.8 (9.3)	71.3(8.3)	<b>0.002</b>
Sex, M:F	93/65	30/19	0.087 <sup>\$</sup>
Education, yr	11.3 (3.4)	10.0 (2.6)	<b>0.004</b>
ApoE4+, N (%)	56 (37)	12(25.5)	0.14 <sup>\$</sup>
PD side, R/L/both	75/63/20	23/17/8	0.732 <sup>\$</sup>
H&Y	1.9 (0.6)	2.1 (0.8)	0.169 <sup>#</sup>
UPDRS	22.0 (10.5)	26.3 (12.7)	<b>0.019</b>
MMSE	28.0 (2.1)	26.7 (3.1)	<b>0.005</b>

<sup>#</sup> Mann Whitney U test<sup>\$</sup> Chi square test



**Table 2**

Demographic and clinical comparisons of the NC, PDCN and PDMCI groups (top) and the amnestic and nonamnestic PDMCI groups (bottom). Significant *p*-values in bold show group differences.

Variable	NC N=100	PDCN N=127	PDMCI N=31	p-value
Age, yr	65.0 (9.4)	65.8 (9.3)	70.5 (8.1)	<b>0.015</b>
Sex, M:F	48:52	74:53	19:12	0.2
Education, yr	12.6 (3.8)	11.3 (3.4)	11.6 (3.7)	<b>0.016</b>
ApoE4+, N (%)	32 (32%)	48 (38%)	7 (23%)	0.6
PD side, R/L/both	N/A	61/53/13	14/10/7	0.17
H&Y	N/A	1.8 (0.6)	2.0 (0.5)	0.2
UPDRS	N/A	21.7 (10.5)	23.5 (10.4)	0.4
MMSE	28.8 (1.2)	28.3 (1.7)	26.8 (3.0)	<b>0.001</b>

Variable	amnestic PDMCI N=11	nonamnestic PDMCI N=20	p-value	
Age, yr	70.4 (6.0)	70.6 (9.2)	0.96	
Sex (M:F)	5:6	14:6	0.2	
Education, yr	11.5 (4.7)	11.6 (3.2)	0.96	
ApoE4+, N (%)	3 (27%)	4 (20%)	0.4	
PD side, R/L/both	4/5/2	10/5/5	0.5	
H&Y	2.3 (0.5)	1.9 (0.4)	<b>0.05</b>	
UPDRS	22.5 (13.1)	24.0 (8.9)	0.7	
MMSE	27.5 (2.1)	26.5 (3.4)	0.6	

**Table 3**

Cognitive comparisons of the NC, PDCN and PDMCI groups (top) and the amnesic and nonamnesic PDMCI groups (bottom). Unless otherwise marked we used Student's t-test or ANOVA with post-hoc Scheffe's correction for multiple comparisons. Significant *p*-values in bold show group differences.

Variable	NC N=100	PDCN N=127	PDMCI N=31	p-value
CVLT immediate recall	8.9 (3.3)	7.7 (3.2)	4.3 (3.7)	<b>&lt;0.001</b>
CVLT total sumscore	42.2 (11.3)	38.7 (11.1)	25.1 (11)	<b>&lt;0.001</b>
VOSP silhouette	19.8 (3.5)	19.7 (3.9)	15.9 (4.5)	<b>&lt;0.001</b>
VOSP cube	9.8 (0.5)	9.5 (1.1)	8.6 (1.7)	<b>&lt;0.001</b>
Serial 7's	4.4 (0.92)	4.2 (1.3)	3.0 (2)	<b>0.001</b>
Stroop interference	30.9 (8.3)	29.3 (10.8)	18.5 (9.2)	<b>&lt;0.001</b>
Sum of words from the Stroop test	84.1 (13.0)	80.2 (18.3)	63.9 (19.1)	<b>&lt;0.001</b>
Semantic fluency	19.1 (4.9)	19.0 (5.2)	14.5 (5.4)	<b>&lt;0.001</b>

Variable	amnesic PDMCI N=11	nonamnesic PDMCI N=20	p-value	
CVLT immediate recall	1.8 (2.4)	5.7 (3.6)	<b>0.003</b>	
CVLT total sumscore	17 (7.3)	30.1 (9.9)	<b>0.001</b>	
VOSP silhouette	17.5 (4.8)	15 (4.2)	0.137	
VOSP cube	8.1 (2)	9.0 (1.5)	0.261 <sup>#</sup>	
Serial 7's	2.7 (2)	3.2 (2)	0.502 <sup>#</sup>	
Stroop interference	19.1 (8.3)	17.7 (9.8)	0.704	
Sum of words from the Stroop test	72.4 (17.9)	58.9 (17.4)	<b>0.05</b>	
Semantic fluency	15.4 (6.3)	14.1 (5.1)	0.545	

<sup>#</sup> Mann Whitney U test