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## Non-coding RNAs as regulators of embryogenesis

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### Abstract

Non-coding RNAs (ncRNAs) are emerging as key regulators of embryogenesis. They control embryonic gene expression by several means, ranging from microRNA-induced degradation of mRNAs to long ncRNA-mediated modification of chromatin. Many aspects of embryogenesis seem to be controlled by ncRNAs, including the maternal–zygotic transition, the maintenance of pluripotency, the patterning of the body axes, the specification and differentiation of cell types and the morphogenesis of organs. Drawing from several animal model systems, we describe two emerging themes for ncRNA function: promoting developmental transitions and maintaining developmental states. These examples also highlight the roles of ncRNAs in ensuring a robust commitment to one of two possible cell fates.

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The discoveries of the microRNA (miRNA) *lin-4* in nematode patterning<sup>1,2</sup> and the long non-coding RNA (lncRNA) *Xist* in mammalian X chromosome inactivation<sup>3–5</sup> were milestones in molecular and developmental biology. Regulatory roles for RNAs had been postulated previously<sup>6,7</sup>, but *lin-4* and *Xist* were initially regarded as rare exceptions to the rule that proteins are the main regulators of gene expression. In the past 10 years, however, genome-wide transcriptome analyses have revealed the presence of thousands of non-coding transcripts (reviewed in REFS 8–10). Members of this diverse group of non-coding RNAs (ncRNAs) have emerged as regulators of almost every aspect of biology (reviewed in REFS 11–13).

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Competing interests statement

The authors declare no competing financial interests.

FURTHER INFORMATION

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ncRNAs comprise a diverse group of transcripts including ‘housekeeping’ ncRNAs (ribosomal RNA, transfer RNA, small nuclear RNA and small nucleolar RNA), regulatory ncRNAs and several other poorly characterized types of ncRNAs (for example, ncRNAs that originate from regulatory elements (reviewed in REFS 9,10)) (Supplementary information S1 (table)). Regulatory ncRNAs can be broadly classified according to their sizes as small ncRNAs (<200 bp; for example, miRNAs, endogenous small interfering RNAs (endo-siRNAs) and PIWI-interacting RNAs (piRNAs)) and lncRNAs (for example, large intergenic ncRNAs (lincRNAs)). Members of both classes are known for their ability to regulate gene expression by a wide range of mechanisms. For example, miRNAs can act at the RNA level by destabilizing and repressing target RNAs (reviewed in REF. 12). lncRNAs can act at multiple levels. At the DNA level, lncRNAs regulate gene expression by a range of mechanisms, including transcriptional interference by antisense transcription and modulation of chromatin modifications (reviewed in REFS 11,14).

In this Review, we highlight the recent advances in our understanding of ncRNA-mediated regulation during animal embryogenesis, focusing mainly on regulatory roles of miRNAs and lncRNAs. Readers interested in RNA-mediated control of plant development should refer to recent publications<sup>15–18</sup>. piRNAs and endo-siRNAs (reviewed in REFS 19,20) seem to function predominantly in the germ line and will be discussed only in the context of their potential roles during embryogenesis.

## Global requirements for miRNAs

The functions of ncRNAs can be explored globally as well as at the level of specific RNAs. Biogenesis of most miRNAs depends on specific RNA processing enzymes, including Drosha, its essential cofactor DGCR8, and Dicer (reviewed in REF. 20). Because the biogenesis of endo-siRNAs involves Dicer but not DGCR8, deleting Dicer abolishes the production of most mature miRNAs and endo-siRNAs, whereas deleting DGCR8 specifically blocks canonical miRNA biogenesis. This provides a powerful approach for determining the global roles of miRNAs. By contrast, there is no known dedicated post-transcriptional processing machinery for lncRNAs, which impedes specific blockage of their biogenesis.

Evidence that miRNAs are essential for vertebrate embryogenesis comes from the phenotypes of zebrafish mutants that lack both maternal and zygotic Dicer activity (known as *MZdicer* mutants)<sup>21–23</sup>. *MZdicer* fish undergo gametogenesis, cell fate determination and early patterning, but they show defects in germ layer formation, morphogenesis and organogenesis. Importantly, many of these defects are rescued by mature miR-430 (discussed further below), demonstrating that the phenotypes of *MZdicer* fish are indeed due to an absence of miRNA function.

miRNA activity is also essential for normal progression through mouse embryogenesis, as evidenced by the malformation and resorption of maternal–zygotic *Dgcr8* mutants<sup>24,25</sup>. *Dgcr8* mutant mouse oocytes develop normally<sup>25</sup>, but *Dicer1* mutant oocytes have hundreds of misregulated transcripts and are impaired in maturation<sup>26,27</sup>. The phenotypic differences between *Dicer1* and *Dgcr8* mutant mouse oocytes indicate that endo-siRNAs but not

miRNAs are essential for oocyte maturation. Subsequent roles of miRNAs in mammalian post-fertilization development are revealed by tissue-specific deletions of Dicer (reviewed in REF. 13).

Analyses of global miRNA function during early embryonic development in flies and worms have been hampered by severe germline defects and sterility caused by loss-of-function mutations in the small RNA processing machinery (reviewed in REF. 13). Nevertheless, recent knockout studies of individual miRNAs in *Caenorhabditis elegans* suggest that miRNA activity is also required during early invertebrate embryogenesis<sup>28,29</sup>. Collectively, these studies demonstrate that miRNAs regulate various aspects of animal embryogenesis.

## Clearance of maternal mRNAs

The earliest known role of embryonic miRNAs is during the maternal–zygotic transition (MZT), when zygotic transcription starts and maternal mRNAs are degraded (reviewed in REFS 30,31). The zebrafish miR-430 cluster is expressed during zygotic genome activation and accelerates the deadenylation and clearance of hundreds of maternal mRNAs<sup>22</sup>. Maternal mRNAs persist abnormally in *MZdicer* mutants, but the resulting defects in embryonic morphogenesis are rescued by expression of mature miR-430 family members (FIG. 1a). The role of miRNAs in repressing maternal transcripts is evolutionarily conserved: both the miR-430 orthologue in frogs (miR-427)<sup>32</sup> and families of unrelated embryonic miRNAs in *Drosophila melanogaster* and *C. elegans*<sup>33,34</sup> also trigger the deadenylation of maternal mRNAs. Interestingly, recent work in *D. melanogaster* has raised the possibility that piRNAs, which have so far been implicated only in the germ line (reviewed in REF. 20), may also contribute to the timely decay of maternal mRNAs<sup>35</sup>. Together, these findings show how small ncRNAs promote developmental transitions by removing mRNAs that are expressed at the preceding developmental stages.

Analysis of miR-430 targets in zebrafish revealed two classes of mRNAs<sup>36</sup>. The first class is removed in both somatic cells and primordial germ cells (PGCs), whereas the second class is degraded in the soma but not in the germ line. mRNAs belonging to the second class are protected from miR-430 activity by the proteins deleted in azoospermia-like (DAZL) and dead end 1 (DND1)<sup>37,38</sup> (FIG. 1b). DAZL is enriched in germ cells and promotes the poly(A)-tail elongation of a subset of miR-430 target mRNAs. Thus, DAZL counteracts miR-430-induced deadenylation and increases the efficiency of translation of the mRNAs to which it binds<sup>38</sup>. Human DAZL is essential for primordial germ cell formation<sup>39</sup>, suggesting that DAZL-mediated miRNA target protection may be evolutionarily conserved. DND1 uses a different mechanism to counteract certain human miRNAs as well as miR-430 in zebrafish germ cells. It interferes with the interaction of specific miRNAs with target mRNAs by binding to target 3' UTRs<sup>36,37</sup>. DAZL and DND1 illustrate how the modulation of miRNA activity at subsets of target mRNAs can control cell type-specific gene expression.

## Renewal and differentiation of ES cells

The conserved function of miR-430 family members in fish and frogs raises the possibility that miR-430 orthologues in mice (miR-290–295) and humans (miR-302, miR-372 and

miR-516–520) are also involved in the MZT. These miRNAs are expressed during early mammalian development<sup>40</sup>, but no mutational analysis has been carried out to address their function *in vivo*. However, mammalian miR-430 orthologues have emerged as important regulators of embryonic stem (ES) cell pluripotency.

The hallmark of ES cells is their ability to self-renew and to produce differentiated cells of any fate. These unique properties depend on specific gene regulatory circuits that feature pluripotency factors such as OCT4 (also known as POU5F1), SOX2, Nanog, Krüppel-like factor 4 (KLF4), transcription factor E2- $\alpha$  (TCF3) and MYC (also known as c-MYC). Recent studies show that miRNAs and possibly lncRNAs are also involved in ES cell maintenance and differentiation (FIG. 2).

### miRNAs in ES cell proliferation.

Studies that removed miRNA biogenesis components indicate essential roles for miRNAs in ES cell proliferation and differentiation. For example, *Dicer1* mutant mouse embryos are impaired in ES cell generation<sup>41</sup>, and *Dicer1* as well as *Dgcr8* mutant mouse ES cells show severe growth and differentiation defects<sup>24,26,42,43</sup>.

The proliferation defects of *Dgcr8* mutant mouse ES cells are partially rescued by expression of mature members of the miR-290–295/302 family<sup>44</sup>. Because of their sufficiency in promoting a cell cycle that is characteristic of ES cells, these miRNAs are called ES cell-specific cell cycle-regulating (ESCC) miRNAs. They trigger S phase entry by silencing multiple negative G1–S regulators<sup>44</sup> (FIG. 2). The potency of ESCC miRNAs in promoting rapid stem cell proliferation is further highlighted by their ability to enhance de-differentiation of somatic cells to induced pluripotent stem cells (iPS cells) and to substitute for Myc in iPS cell generation<sup>45</sup>.

### miRNAs in ES cell differentiation

Whereas ESCC miRNAs enable *Dgcr8* mutant ES cells to proliferate, mature let-7 miRNA partially rescues their differentiation defects<sup>46</sup>. let-7 represses several key pathways that are crucial for ES cell identity, including genes that promote cell cycle progression<sup>47</sup> and stem cell identity<sup>46,48</sup> (FIG. 2). Consistent with the ability of let-7 to promote differentiation and repress self-renewal, blocking let-7 activity increases reprogramming efficiency<sup>46</sup>. The opposing roles of ESCC miRNAs and let-7 in ES cell self-renewal and differentiation, respectively, illustrate how specific sets of miRNAs can maintain a particular cellular property or induce alternative fates.

It needs to be stressed that our current understanding of let-7 function in vertebrates is mainly based on *in vitro* knockdown and overexpression studies, and a direct contribution of let-7 to vertebrate development has not yet been demonstrated. The difficulty in generating let-7 knockout models in the presence of multiple potentially redundant family members is one example of the difficulty of assessing miRNA function *in vivo*.

In addition to let-7, several other miRNAs have been implicated in repressing pluripotency in differentiating ES cells (reviewed in REF. 49) (FIG. 2). Whereas most promoters of ES cell-specific miRNAs are bound and induced by OCT4, SOX2, Nanog and TCF3 (REF. 50),

these core pluripotency factors are post-transcriptionally repressed by several differentiation-induced miRNAs. For example, human miR-145 downregulates *OCT4*, *SOX2* and *KLF4* upon differentiation<sup>51</sup>. As OCT4 represses miR-145 expression, the interplay between miR-145 and OCT4 is an example of a bistable feedback loop involved in balancing pluripotency and differentiation (FIGS 2,3).

### The let-7–LIN28 bistable switch

Paradoxically, despite the role of let-7 in driving cellular differentiation, the let-7 precursor RNAs are present in both pluripotent and differentiated cells. This enigma has been resolved by the discovery that the pluripotency factor LIN28 binds to a short tetranucleotide binding motif in the terminal loop of let-7 miRNA precursors and blocks their processing by Drosha<sup>52,53</sup> and Dicer<sup>54,55</sup>. Inhibition of Dicer-mediated pre-let-7 processing depends on LIN28-mediated recruitment of the non-canonical poly(A) polymerase TUTase4 (also known as ZCCHC1), which adds an oligo-uridine tail to the 3' end of pre-let-7 and causes its degradation (reviewed in REF. 56).

As let-7 prevents LIN28 expression by binding to the *Lin28* 3' UTR<sup>55</sup>, let-7 and LIN28 establish a toggle switch between pluripotent (let-7 off, LIN28 on) and differentiated (let-7 on, LIN28 off) cell fates. The antagonism between let-7 and LIN28 further illustrates a major theme in miRNA regulation during embryogenesis: miRNAs participate in bistable loops and thereby control and stabilize alternative cell fate decisions (FIG. 3). let-7 also promotes differentiation by repressing MYC, which collaborates with OCT4, SOX2, Nanog and TCF3 to induce LIN28 and ESCC miRNAs<sup>45</sup>. The observation that MYC and KLF4 can be replaced by LIN28 and Nanog in iPS cell generation<sup>57</sup> highlights the existence of an interlinked gene regulatory network that can be shifted towards pluripotent or differentiated cell fates (FIG. 2).

In contrast to somatic tissue differentiation, which is inhibited by LIN28, differentiation of mouse ES cells into PGCs requires LIN28 (REF. 58). As in ES cell maintenance, LIN28 inhibits let-7 processing and thereby indirectly stabilizes the let-7 target gene that encodes PR domain zinc finger protein 1 (*Prdm1*; also known as *Blimp1*), a key regulator of PGC commitment (FIG. 2). PRDM1 seems to be the main mediator of LIN28 function in PGC development, as it can rescue the failure of *Lin28* knockdown ES cells to contribute to the germ line in chimeric mouse embryos.

### Long non-coding RNAs and pluripotency

Recent studies in mouse ES cells suggest that lncRNAs are integral members of the ES cell regulatory circuit<sup>59–61</sup>. Expression of several lncRNAs correlates with the expression of pluripotency markers<sup>62</sup>, and more than 100 lincRNA promoters are bound by stem cell factors such as OCT4 and Nanog<sup>59</sup>. Overexpression and knockdown of individual OCT4- or Nanog-controlled lncRNAs interferes with ES cell maintenance and modulates ES cell lineage-specific differentiation<sup>61</sup>. Recently a study identified a set of lincRNAs that are associated with pluripotency, including the lincRNA-RoR (regulator of reprogramming) that is required for and enhances the reprogramming of fibroblasts into the pluripotent state<sup>63</sup>.

Despite these insights, a comprehensive understanding of the roles of lncRNAs in stem cell function awaits loss-of-function analyses.

## Germ layer specification

The first specialization of pluripotent cells during embryogenesis is their allocation to a germ layer — ectoderm, mesoderm or endoderm. Two members of the transforming growth factor- $\beta$  (TGF $\beta$ ) family, Nodal and Lefty, regulate germ layer formation (reviewed in REF. 64). Nodal promotes mesoderm and endoderm formation, whereas lefty blocks Nodal signalling and promotes ectoderm development. Strikingly, members of the miR-430/290–295/302 family not only promote the degradation of maternal mRNAs and ES cell proliferation but also repress Nodal signalling<sup>23,65,66</sup> (FIG. 1c). For example, zebrafish miR-430 modulates Nodal signalling by targeting the Nodal ligand Squint and its antagonist Lefty2 (REF. 23). Absence of miR-430-mediated repression causes an imbalance and overall reduction in Nodal signalling. Thus, miR-430 balances the counteracting inputs of Nodal and Lefty and promotes mesendoderm formation. Similar to the role of miR-430 in zebrafish<sup>23</sup>, miR-427 regulates Nodal and Lefty expression in frogs<sup>66</sup>. By contrast, human miR-302 targets Lefty but not Nodal<sup>66</sup>: interference with miR-302 function reduces Nodal signalling in human ES cells and blocks differentiation into mesendoderm (FIG. 1c).

In addition to Nodal pathway ligands, the Nodal receptor activin receptor 2a (Acvr2a) is also subject to repression by miRNAs<sup>65</sup>. Mature miR-15/16 is present in a ventral–dorsal gradient in *Xenopus laevis* embryos, which results in the preferential repression of *Acvr2a* at the future ventral side. The higher levels of Acvr2a on the dorsal side are thought to contribute to the dorsal–ventral gradient of Nodal activity. This role might be conserved in humans, as miR-15 can target human *ACVR2A* *in vitro*.

The regulation of Nodal signalling by miRNAs is an example of how miRNAs modulate activity thresholds of dosage-sensitive pathways and thus modulate cell fate decisions. This characteristic feature of miRNA-mediated regulation has also been exploited in other concentration-dependent pathways such as Hedgehog<sup>67</sup>, fibroblast growth factor (FGF)<sup>68</sup>, epidermal growth factor receptor (EGFR)<sup>69</sup> and *D. melanogaster* Notch signalling<sup>70</sup>.

## Imprinting and dosage compensation

One of the earliest developmental roles for lncRNAs occurs during dosage compensation<sup>71</sup> and imprinting<sup>72</sup>. Both processes are required for normal development and rely on lncRNA-mediated epigenetic modulation of chromatin states to regulate gene expression levels.

### Imprinting

Even though not all imprinted lncRNAs may have a functional role in imprinting<sup>73</sup>, it is intriguing that most of the known imprinted domains contain at least one lncRNA with anti-correlated expression (reviewed in REF. 72). Parental-specific expression of lncRNAs is controlled by the inheritance of differentially methylated DNA sequences known as imprinting control regions (ICRs). An unmethylated ICR enables expression of a nearby lncRNA, which leads to silencing of selected neighbouring genes *in cis* (FIG. 4A). For



example, in mice, paternal-allelic expression of two lncRNAs, antisense to *Igf2r* RNA non-coding (*Airn*) and *Kcnq1*-overlapping transcript 1 (*Kcnq1ot1*), is essential for the silencing of some neighbouring paternal genes<sup>74,75</sup>. Although the mechanism of silencing in the embryo proper is still unclear, in extra-embryonic lineages such as the placenta, lncRNA-mediated recruitment of complexes that modify histones<sup>76–79</sup> and/or DNA<sup>80</sup> results in allele-specific chromatin profiles (FIG. 4A). The role of imprinting is still unknown for most loci, but the antagonistic activities of the imprinted genes insulin-like growth factor 2 (*Igf2*) and IGF2 receptor (*Igf2r*) during growth control demonstrate a function for imprinting in development (reviewed in REF. 81). Imprinting illustrates how *cis*-acting lncRNAs can silence neighbouring genes and thus regulate gene dosage.

### X chromosome inactivation in mammals

In the mammalian female embryo, random inactivation of one of the two X chromosomes equalizes X-linked gene dosage. Inactivation is mediated by a genomic region called the ‘X-inactivation centre’ (Xic), which encodes at least seven regulatory ncRNAs (reviewed in REF. 71) (FIG. 4Ba). One of them, the 17 kb lncRNA *Xist*, is the primary mediator of X-inactivation and is essential for silencing the X chromosome from which it is expressed (the inactive X, Xi)<sup>3–5,82</sup>. *Xist* and the *Xist* antisense transcript *Tsix* are initially biallelically expressed, but then, by still unclear means, *Tsix* continues to be transcribed from only one of the two X chromosomes, the future Xa (active X) (FIG. 4Bb). *Tsix* transcription results in stable silencing of *Xist* in *cis* by recruitment of the DNA methyltransferase DNMT3A to the *Xist* promoter on Xa. Whether this process involves Dicer-mediated processing of long duplex *Tsix–Xist* RNA is controversial<sup>83–85</sup>. Upregulation of *Xist* expression on Xi requires a *trans*-acting lncRNA called *Jpx*, which is encoded within the Xic and has been suggested to antagonize *Tsix*<sup>86</sup>. Absence of *Tsix* expression on Xi enables expression of an internal transcription unit within the *Xist* transcript called repeat A (*RepA*)<sup>87,88</sup>. *RepA* recruits Polycomb repressive complex 2 (PRC2) in *cis*, which then deposits repressive histone-modification marks (H3K27me3) at the 5′ end of *Xist*<sup>89</sup>. This *RepA*-directed repressive chromatin state is essential for upregulation of *Xist* expression from Xi<sup>85</sup> and the subsequent *Xist*-dependent propagation of the silencing marks along the entire Xi (FIG. 4Bb,Ca). Coating of Xi by *Xist* leads to the establishment of heterochromatin that is maintained throughout the lifespan of females. X inactivation illustrates how a cascade of interactions among multiple lncRNAs establishes stably silenced chromatin domains that determine and maintain a specific developmental fate.

### Dosage compensation in flies

Flies have evolved a different strategy to balance X-linked gene expression. Dosage compensation also depends on X-linked lncRNAs — *roX1* and *roX2* (RNA on the X 1 and 2) — but the *D. melanogaster roX1* and *roX2*-containing dosage compensation complex (DCC) does not induce silencing. Instead, it directs approximately twofold upregulation of most genes on the single male X chromosome (reviewed in REF. 90) (FIG. 4Cb). DCC binding activates expression of neighbouring genes by acetylating histone H4 (REF. 91). Loss-of-function mutations in core DCC proteins or *roX1 roX2* double mutants cause lethality in males resulting from reduced expression of X-linked genes<sup>92,93</sup>.

The redundantly acting *roX1* and *roX2* ncRNAs seem to have both *cis* and *trans* activities. The roX loci function as DCC entry sites in *cis*, and roX RNAs are required for proper targeting of the DCC to the X chromosome<sup>92–94</sup>. However, unlike for *Xist*, X-chromosomal expression of these ncRNAs is not an absolute requirement for the upregulation of X-linked genes in males, because transgenic autosomal roX expression can rescue male lethality<sup>92</sup>. Therefore, roX RNAs can also function in *trans* and might act as structural components of the DCC.

In contrast to the single nucleation site in *Xist*-mediated silencing, fly X chromosomes contain hundreds of DCC binding sites with varying affinities<sup>95,96</sup> (reviewed in REF. 90) (FIG. 4C). A common feature of DCC binding sites is their transcriptional activity<sup>97</sup>. Analogous to *roX1* and *roX2* loci, which have DCC-recruiting activities<sup>93,94</sup>, additional ncRNAs originating from genes on the X chromosome may therefore contribute to *cis*-recruitment of the DCC.

The roles of lncRNAs in imprinting and in balancing X-linked gene dosage show how lncRNAs can induce stable chromatin states that regulate hundreds of genes. The similarity of the mechanisms used in imprinting and dosage compensation to achieve monoallelic silencing of particular genomic regions highlights the capacity of lncRNAs to act as guides and tethers for epigenetic modifiers. The ability of lncRNAs to establish stable gene expression makes them ideally suited for maintaining developmental fates.

## Regulation of Hox clusters

Hox genes regulate anterior–posterior patterning in all bilateria, and their misexpression can cause homeotic transformations. Several years before the discovery of lncRNAs as key determinants of X inactivation and imprinting, it was noted that “substances which in turn regulate other genes that actually determine segmental structure and function”<sup>98</sup> and which “curiously ... do not possess any significant coding potential”<sup>99</sup> originate from regulatory regions of Hox clusters in *D. melanogaster*. Some of these hypothetical ‘substances’ have now been identified as ncRNAs.

### Cis-regulation by ncRNAs

Transcription of non-coding regions in Hox clusters can regulate the expression of neighbouring Hox genes. For example, forced transcription through intergenic Polycomb group (PcG) response elements (PREs) in the *D. melanogaster* *Bithorax* complex causes homeotic transformations. These phenotypes resemble abnormalities that are caused by Hox gene misexpression and correlate with a loss of PRE-mediated silencing<sup>100,101</sup>. Silencing is lost irrespective of the direction of transcription, suggesting that the process of transcription *per se* can modulate the expression of neighbouring genes<sup>101</sup> (FIG. 5Aa).

Two conflicting studies have implicated ncRNAs originating from *bithoraxoid* (*bxo*), the upstream regulatory region of the *D. melanogaster* Hox gene *Ultrabithorax* (*Ubx*), in regulating *Ubx* expression. According to a report in embryos, transcription across *bxo* prevents expression of *Ubx*<sup>102</sup> (FIG. 5Aa). By contrast, a study in larval imaginal discs



suggests that *bxd* transcripts induce *Ubx* expression by tethering a histone methyltransferase to the *Ubx* promoter<sup>103</sup> (FIG. 5Ab).

The cause for this discrepancy is unclear, but there is evidence for both repressive and activating effects of other lncRNAs. For example, a recent study in mammalian cells suggests that lncRNAs can have enhancer-like activity and promote expression in *cis*<sup>104</sup>. Conversely, a lncRNA at the dihydrofolate reductase (*DHFR*) locus binds to and inhibits expression from the *DHFR* promoter<sup>105</sup> (FIG. 5Ab). *Cis*-acting repression might also be induced by short transcriptional start site-associated ncRNAs (TSSa RNAs). Many human TSSa RNAs are GC-rich, can form stem-loop structures and have been suggested to recruit PRC2 to silence genes<sup>106</sup>. Although it remains unclear whether these ncRNAs have embryonic functions, these studies suggest pervasive roles of *cis*-acting small and long ncRNAs in recruiting chromatin modifiers and modulating local gene expression.

### Trans-regulation by lncRNAs

The study of human HOX transcripts led to the discovery of HOX antisense intergenic RNA (*HOTAIR*), a *trans*-acting lncRNA<sup>107</sup>. Unlike *Xist* and imprinted lncRNAs, which seem to function strictly in *cis*, *HOTAIR* does not contribute to gene regulation at its site of expression in the *HOXC* cluster. Instead, it has been implicated in repressing genes located at other sites in the genome, including a domain in the *HOXD* cluster<sup>107</sup>. The silencing activity of *HOTAIR* is based on its interaction with two histonemodifying complexes, the H3K27-trimethylating PRC2 (REF. 107) and the H3K4me2/3-demethylating lysine-specific demethylase1 (LSD1)–CoREST–REST complex<sup>108</sup> (FIG. 5B). These two protein complexes cooccupy and repress *HOXD* and several other loci in a *HOTAIR*-dependent manner. Thus, *HOTAIR* might act as a molecular scaffold for modulating expression of genes throughout the genome<sup>107–109</sup> (reviewed in REFS 14,110) (FIG. 5Ac,Ad,B). It is currently unclear whether *HOTAIR* itself selects its target sites and acts as a guide for interacting protein complexes (FIG. 5Ad), or whether target sites are selected by the DNA-binding specificity of interacting protein complexes (for example, CoREST) (FIG. 5Ac). Although the basis of *HOTAIR* recruitment is unknown and analysis of its role during embryogenesis awaits the generation of mutant alleles, *HOTAIR* illustrates the potentially wide-ranging effects of lncRNAs as *trans*-acting regulators<sup>62,111–113</sup> (reviewed in REF. 14) (FIG. 5Ac–e).

### Hox genes can escape miRNA regulation

In addition to many ncRNAs, Hox clusters encode two evolutionarily conserved miRNAs, miR-10 and miR-196 (*iab-4* in *D. melanogaster*)<sup>114–116</sup>. Several Hox genes (for example, *Ubx* in *D. melanogaster*) contain conserved target sites for these Hox miRNAs, and Hox miRNA overexpression can cause homeotic transformations in *D. melanogaster* and chicks<sup>117,118</sup>. However, the absence of Hox-like patterning defects in *D. melanogaster* mutants that lack *iab-4* raises the question of how important Hox miRNAs are during embryogenesis<sup>119</sup>.

Early *Ubx* transcripts have shorter 3' UTRs that lack most miRNA-binding sites<sup>120</sup>. It is thus conceivable that *iab-4* is dispensable for establishing early *Ubx* expression domains but might be required during later central nervous system development, when long 3' UTR-

containing *Ubx* transcripts dominate<sup>120</sup>. These observations highlight the potential for differential 3' UTR length in escaping, allowing or fine-tuning miRNA-induced regulation.

## Cell fate specification

The specification of cell fates is dictated by the activation of lineage-specific genes and the suppression of genes that promote alternative fates. Intriguingly, ncRNAs have emerged as regulators of both processes in muscles, neurons, the haematopoietic system and other cell types (reviewed in REFS 13,121). We focus here on neural development as a representative developmental process that illustrates the important roles of ncRNAs in fate specification.

### miR-124: a master regulator of neural development?

In vertebrates, miR-124 is considered a master regulator of neural development. *In vitro*, miR-124 overexpression triggers, and miR-124 knockdown prevents, neural differentiation (reviewed in REF. 122). Consistent with its pro-neural activity, miR-124 is abundantly expressed in neural progenitors and mature neurons, where it represses several genes with anti-neural activities (FIG. 6a). For example, miR-124 downregulates small C-terminal domain phosphatase 1 (SCP1), which serves as a cofactor for REST to suppress the transcription of genes that promote neural development. miR-124 itself is transcriptionally repressed by SCP1–REST in nonneuronal cells<sup>123,124</sup>. Reminiscent of the let-7–LIN28 toggle switch in ES cells, the miR-124–SCP1 cross-repression ensures de-repression of neural genes in the developing neural lineage and repression of neural genes in non-neural cells (FIGS 3,6a).

miR-124 also enhances neural differentiation by promoting exchanges of cell type-specific protein variants. For example, miR-124 represses the neural progenitor-specific variant of the chromatin-remodelling complex BAF (npBAF), which promotes neural progenitor proliferation. Thus, miR-124 relieves npBAF-mediated inhibition of neural differentiation, which is in turn promoted by the neuron-specific form of BAF (nBAF)<sup>125</sup>. In addition, miR-124 promotes neural-specific alternative splicing through the neural variant of the global repressor of alternative splicing polypyrimidine tractbinding protein 1 (PTB; neural variant, nPTB)<sup>126</sup>. PTB blocks expression of nPTB in non-neural cells by causing exon skipping and degradation of *nPTB* mRNA. miR-124-mediated repression of PTB during neural differentiation allows correct splicing of *nPTB* and results in widespread changes in the splicing pattern in neurons. Conversely, downregulation of nPTB is reinforced by the muscle-specific miRNA miR-133, which contributes to muscle differentiation<sup>127</sup>. These findings exemplify the intimate crosstalk that exists between miRNA-dependent gene regulatory networks that control distinct cell fates.

The examples of miR-124 function highlight the ability of miRNAs to temporally and spatially orchestrate the modulation of hundreds of genes by means of targeting a few key components of distinct gene regulatory pathways (for example, transcriptional regulators, splicing factors or chromatin remodellers). Importantly, interactions between miRNAs and regulatory proteins are widespread in miRNA-mediated control of distinct cellular differentiation pathways. For example, regulatory interactions between the muscle-specific miRNAs miR-1 and miR-133 and core transcription factors (for example, the serum-

response factor SRF and the myogenic transcription factor MYOD) and the histone deacetylase HDAC4 are central to skeletal and cardiac myogenesis<sup>128–130</sup>.

Only a few of the many miR-124 targets have been mentioned here, and miR-124 represses several additional mRNAs with functions in neurogenesis (reviewed in REF. 122). In addition, there are other miRNAs, such as miR-9, that have been implicated in various aspects of neural development in mice, fish and flies (reviewed in REF. 122). For example, mutual repression between miR-9 and the nuclear receptor TLX (also known as NR2E1) contributes to the control of differentiation of neural stem cell progenitors in mice<sup>131</sup> (FIG. 3). Despite the plethora of data that support important roles of miR-124 and miR-9 in promoting neural differentiation, genetic loss-of-function experiments are needed to conclusively test this hypothesis; such studies are urgently needed given the very mild phenotypes observed in *C. elegans* miR-124 mutants<sup>132</sup>.

### Cell fate specification by miRNA *Isy-6*

In *C. elegans*, *Isy-6* is a striking example of an miRNA that regulates the formation of a highly specialized neuronal fate. During left–right patterning, the gustatory neurons ASEL and ASER acquire distinct fates. *Isy-6* is specifically expressed in ASEL neurons. Loss of *Isy-6* causes a cell fate switch from left (ASEL) to right (ASER) side neuronal identity<sup>133</sup>. Two transcription factors, COG-1 and DIE-1, are part of the ASEL–ASER network. *Isy-6* is activated by DIE-1 and represses COG-1 in ASEL by binding to the *cog-1* 3' UTR (FIG. 6b). Conversely, COG-1 is expressed in ASER, where it represses DIE-1 expression. This repressive effect is mediated by the *DIE-1* 3' UTR, suggesting that COG-1 activates miRNA(s) or RNA-binding proteins that repress *die-1* (REF. 134). It should be noted that members of the miR-273 family are expressed in ASER and, if misexpressed, can repress *die-1*. However, these miRNAs are not required for *die-1* repression<sup>135</sup>. The *Isy-6–cog-1–die-1* network (FIG. 6b) highlights how miRNAs can regulate the activity of bistable feedback loops and thus ensure the specification of discrete neuronal identities.

### lncRNAs increase neuronal complexity

In addition to miRNAs, lncRNAs are likely to have key roles in neuronal fate specification and/or maintenance. Even though only a few of the numerous lncRNAs expressed in the nervous system<sup>136,137</sup> have been functionally characterized, their restricted expression patterns and genomic locations adjacent to genes with neural functions<sup>136</sup> suggest roles in neural development<sup>11</sup>.

Taurine-upregulated gene 1 (*TUG1*) is a conserved mammalian lincRNA involved in photoreceptor development<sup>138</sup>. *TUG1*-depleted photoreceptor cells show abnormal morphology of outer segments and complex alterations in gene expression patterns. It has been suggested that *TUG1* might bias gene expression towards rod-typed cells by inhibiting expression of cone-specific genes. These effects might be mediated by the association of *TUG1* with PRC2 (REF. 111), but the biological significance of this interaction remains to be tested *in vivo*.

One of the few lncRNAs that has been analysed by loss-of-function studies is *Evf2* (REF. 113). This lncRNA is expressed in the embryonic mouse forebrain in domains similar to those of the homeobox transcription factors DLX5 and DLX6, which are encoded in the region adjacent to the *Evf2* gene. Mice that are mutant for *Evf2* demonstrate an essential function of this lncRNA in the development of GABAergic neurons<sup>113</sup>. *Evf2* regulates the expression of *Dlx5*, *Dlx6* and glutamic acid decarboxylase 1 (*Gad1*) through *cis*- and *trans*-acting mechanisms. Initial *in vitro* studies suggested that *Evf2* may function as a transcriptional co-activator of homeobox protein DLX2 at the *Dlx5–Dlx6* locus<sup>139</sup>. However, subsequent *in vivo* analysis revealed that *Dlx5–Dlx6* expression increases in *Evf2* mutants<sup>113</sup>, indicating a negative regulatory role of *Evf2* at *Dlx5–Dlx6*. Whereas *Dlx6* inhibition was proposed to be caused by transcriptional interference in *cis*, repression of *Dlx5* and activation of *Gad1* seem to be mediated by a *trans*-activity of *Evf2*, which involves recruitment of DLX homeodomain proteins and the DNA methyl-binding protein MECP2. Collectively, the roles of *TUG1* and *Evf2* illustrate important functions for lncRNAs in specific neuronal cell types.

## Morphogenesis

ncRNAs have also been implicated in various morphogenetic processes, ranging from synaptogenesis to tissue rearrangements<sup>140–144</sup>. We focus on the roles of ncRNAs in epithelial-to-mesenchymal transition (EMT), a fundamental morphogenetic process (reviewed in REF. 145).

### ncRNAs regulate the EMT

Mesenchymal cells are often migratory and undifferentiated, whereas epithelia are characterized by apical–basal polarity and E-cadherin-mediated cell–cell adhesion. EMT is associated with repression of E-cadherin expression by the zinc finger transcription factors homologue of Snail (SNAI1), ZEB1 and ZEB2 (reviewed in REF. 146). Because these repressors function as inducers of EMT in many cellular contexts, their activities are tightly regulated. In epithelia, *ZEB1* and *ZEB2* mRNAs are repressed by miR-200 family members<sup>141–143</sup>. In turn, mesenchymal expression of miR-200 is repressed by ZEB1 and SNAI1 (REFS 147,148), revealing a bistable loop that regulates EMT (FIGS 3,7).

Additional support for this notion comes from the observation that forced transcription of miR-200 in mesenchymal cells is sufficient to induce epithelial properties *in vitro*<sup>141,143</sup>.

In contrast to miR-200, which represses ZEB2 activity and stabilizes the epithelial state, an antisense lncRNA in the *ZEB2* locus — *ZEB2* natural antisense transcript (*ZEB2-NAT*) — increases *ZEB2* protein levels<sup>149</sup>. *ZEB2-NAT* binds to the pre-*ZEB2* mRNA and prevents splicing of an intron that contains an internal ribosome entry site (IRES). Retention of this IRES is essential for efficient *ZEB2* mRNA translation and thus for robust inhibition of E-cadherin expression in mesenchymal cells. SNAI1 activates *ZEB2-NAT* transcription<sup>149</sup> and thus represses E-cadherin, both directly, by binding to the E-cadherin promoter, and indirectly, by promoting *ZEB2* translation (FIG. 7). These results suggest that lncRNAs not only function at the level of chromatin but can also act as splicing regulators.

Another miRNA involved in EMT is miR-21. It represses tumour suppressors such as programmed cell death 4 (*PDCD4*) and phosphatase and tensin homologue (*PTEN*)<sup>150,151</sup> to induce de-differentiation and increased motility and invasiveness. Pri-miR-21 to pre-miR-21 maturation is promoted by TGF $\beta$  signalling, a well-known inducer of EMT. TGF $\beta$ -induced nuclear translocation of SMAD proteins results in SMAD binding to specific pri-miRNA stems (for example, pri-miR-21), the recruitment of the DROSHA microprocessor complex and increased efficiency of miRNA maturation<sup>152,153</sup> (FIG. 7). These findings show how developmental signalling pathways can influence miRNA processing.

## Prospects

The discovery of a plethora of novel, presumably noncoding transcripts of unknown function was first met with scepticism. Why would an organism generate a universe of RNAs? Even though recent RNA-seq analyses indicate that the transcribed fraction of the genome may not be as large as initial microarray studies suggested, functional analyses have started to shed light on their biological activities (reviewed in REFS 11,14). Still, the large majority of ncRNAs have no assigned functions, underlining the need for comprehensive loss-of-function studies. Assigning functions to ncRNAs is likely to be a challenge, as systematic miRNA knockout studies in *C. elegans* show that single and even double and triple mutants do not result in easily recognizable phenotypes<sup>28,154,155</sup>. Moreover, misexpression studies do not necessarily reflect the *in vivo* requirement for an ncRNA<sup>135</sup>. The task is further complicated by the possibility that disruption of ncRNA sequences not only results in changes in ncRNA activity but may also interfere with the activity of overlapping *cis*-acting elements that regulate gene expression. Regardless of these challenges, there is a great need to move from expression and cell culture studies to functional analyses of miRNA and lncRNA function *in vivo*.

It is still largely unclear how the great diversity of embryonic forms has evolved, despite a limited set of regulatory proteins. Genomic studies show that miRNAs were continuously added to metazoan genomes through time, and that a large proportion of predicted target sites is not conserved between different species<sup>22,156,157</sup>. The ease by which 3' UTRs can lose or gain miRNA target sites greatly facilitates phenotypic changes. For example, a point mutation in the 3' UTR of myostatin renders this mRNA responsive to miR-206 and results in sheep with increased muscle mass<sup>158</sup>. Detailed comparative studies are likely to uncover intriguing principles of metazoan evolution for ncRNAs small and large alike.

We must also keep in mind that putative non-coding transcripts could encode small peptides. This possibility has been raised by the discoveries that the *D. melanogaster* gene *polar granule component* (*pgc*) encodes a small protein<sup>159</sup> and that the polycistronic RNA *polished rice* (*pri*) encodes several small peptides that control the activity of the transcription factor Shavenbaby<sup>160,161</sup>. Conversely, protein-coding mRNAs might have functions as RNAs. For example, human tumour suppressor p53 (*TP53*)<sup>162</sup> and *D. melanogaster* *Oskar*<sup>163</sup> function at both the protein and RNA level. Our expanding knowledge of ncRNA function in metazoans and the numerous studies of ncRNAs in prokaryotes<sup>164</sup> raise the possibility that the roles of RNAs will be even more pervasive than is currently perceived.

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**miRNAs**

(MicroRNAs). ssRNAs of ~ 22 bp that act as guides for RNA-induced silencing complex (RISC)-mediated repression of partially complementary target genes. Biogenesis of most miRNAs requires the stepwise activity of two RNase III endonuclease complexes, nuclear Drosha–DGCR8 and cytoplasmic Dicer.

### lncRNAs

(Long non-coding RNAs). Transcripts that resemble protein-coding mRNAs in that they are capped, spliced and polyadenylated RNA polymerase II transcripts; they differ from mRNAs only in their lack of a protein-coding ORF.

### endo-siRNAs

(Endogenous small interfering RNAs). Small RNAs that originate, in a Dicer-dependent manner, from long double-stranded (sense–antisense or hairpin) precursors. Initially mainly thought of as a mechanism of host defence against exogenous dsRNA, endo-siRNAs are now known to also regulate endogenous mRNAs in mouse oocytes and *Caenorhabditis elegans*.

### piRNAs

(PIWI-interacting RNAs). Small (24–31 bp) RNAs that are associated with PIWI-clade proteins of the Argonaute family. They ensure genome stability in the germ line of flies, mice and zebrafish by silencing transposable and repetitive elements.

### lincRNAs

(Large intergenic non-coding RNAs). A subgroup of long non-coding RNAs (lncRNAs) that originate from intergenic regions.

### Drosha

The double-stranded RNA processing enzyme that catalyses the nuclear primary microRNA (pri-miRNA) to precursor miRNA (pre-miRNA) cleavage reaction.

### DGCR8

The essential RNA-binding cofactor of Drosha; DGCR8 and Drosha are core proteins of the so-called microprocessor complex that promotes nuclear primary microRNA (pri-miRNA) to precursor miRNA (pre-miRNA) processing during canonical microRNA biogenesis.

### Dicer

The dsRNA processing enzyme that catalyses the final cytoplasmic precursor microRNA (pre-miRNA) cleavage reaction to generate mature miRNAs during canonical miRNA biogenesis; Dicer also promotes processing of endogenous small interfering RNA (endo-siRNA) precursors to generate mature endo-siRNAs.

### RNA-induced silencing complex

(RISC). The RISC complex contains the single-stranded, ~ 22 bp miRNA and proteins of the Argonaute family. The miRNA acts as guide for the Argonaute proteins, which mediate the repression of the target mRNA.

### Germ layers

Cell layers that are specified in a transforming growth factor- $\beta$  (TGf $\beta$ )-signalling dependent manner after the initial embryonic cleavage stages. Each of the three primary germ layers (ectoderm, endoderm and mesoderm) gives rise to specific tissues and organs during later embryogenesis.

### Deadenylation

The process of removal of the 3' poly(A) tail of mRNAs, which leads to their destabilization and subsequent degradation; deadenylation is mainly mediated by the CAF1-CCR4 deadenylase complex and contributes to the RNA-induced silencing complex (RISC)-mediated repression of target mRNAs.

### Primordial germ cells

(PGCs). Embryonic cells that give rise to germ cells from which the haploid gametes (oocytes in females and sperm in males) differentiate.

### Induced pluripotent stem cells

(iPs cells). *In vitro*-derived pluripotent stem cells that originate from non-pluripotent somatic cells in a process called reprogramming.

Dosage compensation

A process that equalizes levels of X-linked gene expression in XX females and XY males.

Epigenetic

An epigenetic change is an inherited phenotypic change that is caused by mechanisms other than changes in the underlying DNA sequence.

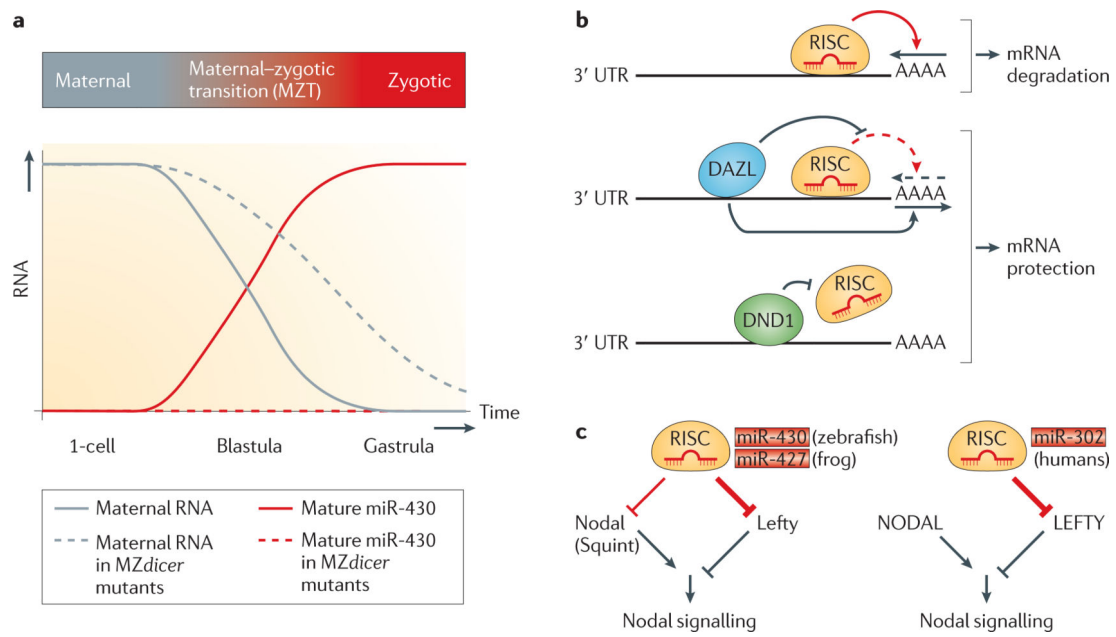


### Polycomb

Polycomb group (PcG) proteins are epigenetic regulators of gene expression that silence target genes by establishing a repressive chromatin state. Polycomb repressive complex 2 (PRC2) trimethylates histone H3 at lysine 27 (H3K27me3). This repressive histone modification is recognized by PRC1, which has ubiquitylating activity. Because of their role in maintaining states of gene expression, PRCs have key roles in cell fate maintenance and transitions during development.

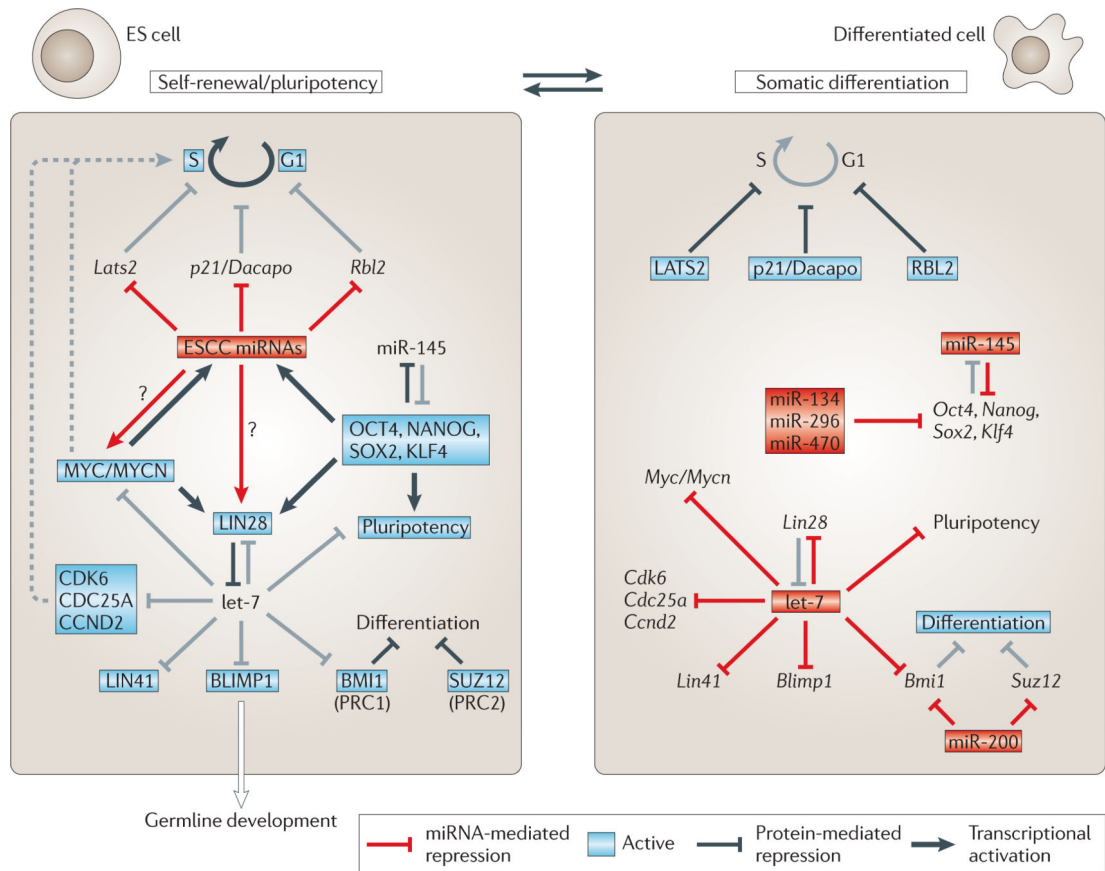
### Hox genes

Hox genes represent an ancestral embryonic patterning mechanism that specifies segmental identities along the anterior–posterior body axis in all bilateria. Hox genes encode homeobox transcription factors that are arranged in clusters. Expression of paralogous Hox genes within a cluster is tightly regulated both spatially and temporally; misexpression causes dramatic alterations in the embryonic body plan (homeotic transformations).



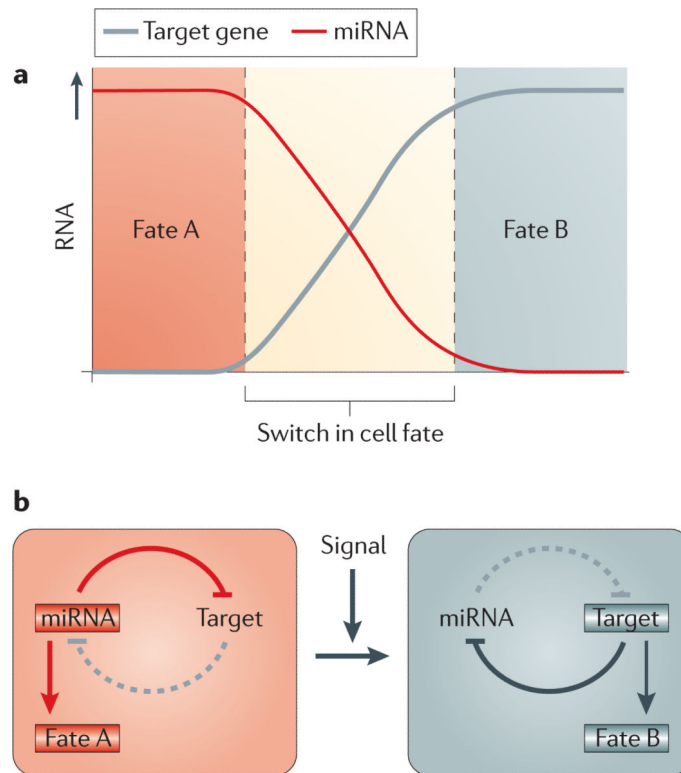
### Figure 1. miR-430 — a multitasking microRNA family during embryogenesis

**a** | Clearance of maternal RNAs by miR-430. The miR-430 family promotes clearance of maternal RNAs in zebrafish and frogs. Maternal RNAs that are present in the egg drive early development in the absence of zygotic transcription. Activation of zygotic transcription leads to the expression of zygotic genes, including miR-430. Mature miR-430 accelerates the decay of hundreds of maternal RNAs. In the absence of Dicer (as in maternal-zygotic Dicer (*MZdicer*) mutants), primary miR-430 transcripts are not processed into mature miR-430, which results in prolonged persistence of maternal RNAs. **b** | Modulation of miR-430 effector function. MicroRNAs (miRNAs) normally direct RNA-induced silencing complex (RISC) to the 3' UTR of target genes and promote deadenylation of mRNAs (poly(A) tail shortening indicated by an arrow above AAAAA). Binding of deleted in azoospermia-like (DAZL) to certain mRNAs antagonizes miRNA–RISC effector function by promoting polyadenylation of the mRNA. Similarly, binding of dead end 1 (DND1) to *cis* elements within 3' UTRs of certain mRNAs prevents miRNA–RISC association. **c** | miR-430 family members regulate Nodal signalling. In fish and frogs, RISC, which contains miR-430/miR-427, dampens and balances Nodal signalling by repressing both agonistic (Nodal) and antagonistic (Lefty) ligands. The human orthologue of miR-430 (miR-302) targets only the antagonist LEFTY, and thereby enhances Nodal signalling. miRNA-mediated repression is shown in red (the thickness of the line indicates the strength of repression) and protein-mediated silencing or activation in black.



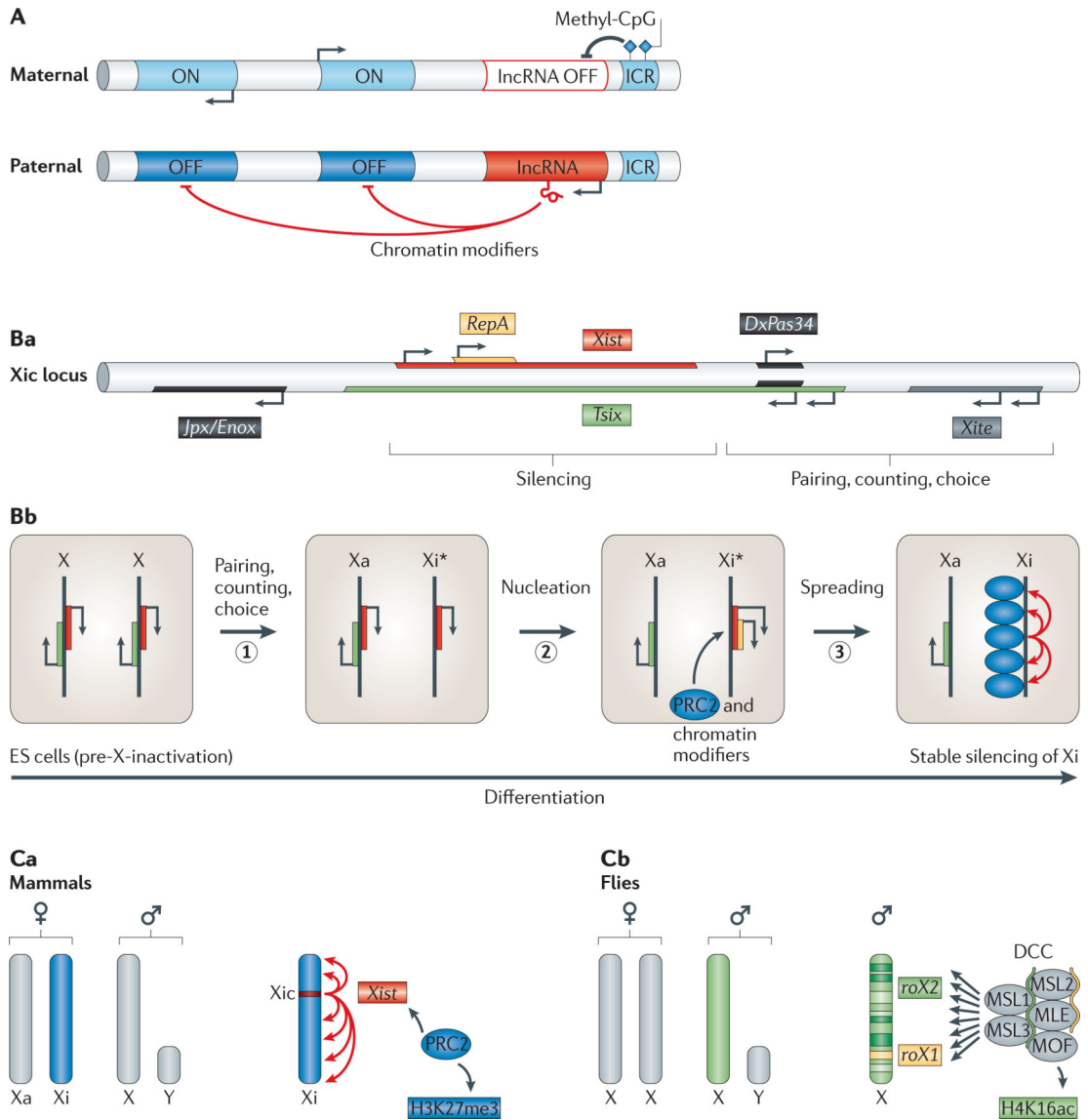
### Figure 2. Regulation of pluripotency by microRNAs

Model for the various roles of different families of microRNAs (miRNAs) in the gene regulatory network that maintains pluripotent and differentiating cell states. Maintenance of embryonic stem (ES) cell fate depends on the activity of the ES cell-specific cell-cycle-regulating (ESCC) miRNAs, which are induced by the core pluripotency factors OCT4, Nanog, SOX2 and KLF4 or TCF3, as well as by MYC or MYCN. ESCC miRNAs trigger S phase entry by repressing negative G1–S phase regulators (for example, the serine–threonine protein kinase LATS2, the cyclin E–Cdk2 inhibitor p21 (also known as Dacapo) and retinoblastoma-like protein 2 (RBL2))<sup>44</sup>. Key to the stabilization of either the pluripotent or the differentiating state is the antagonism between LIN28 (on in ES cells, off in differentiating cells) and let-7 (off in ES cells, on in differentiating cells). let-7 has a central role in promoting somatic differentiation by repressing multiple genes with important functions in ES cells. Other miRNAs that contribute to the suppression of pluripotent genes upon differentiation include miRNAs that repress pluripotency factors and miR-200 family members that repress the activity of Polycomb repressive complexes PRC1 and PRC2 (REFS 148,165,166). Red boxes indicate active miRNAs; unboxed text indicates inactive genes/miRNAs; grey lines indicate inactive processes. For further details, see the main text. Figure is modified, with permission, from REF. 46 © (2010) Macmillan Publishing Ltd. All rights reserved.



**Figure 3. RNAs control alternative cell fate decisions**

A recurrent theme of microRNA (miRNA) regulation during embryogenesis is their ability to control alternative cell fate decisions. Mutual repression between a miRNA and its target mRNA ensures reciprocal expression. The resulting bistable, double-negative feedback loop stabilizes either one of two possible cell fates and also promotes a rapid transition between the two states. Examples of bistable loops during embryogenesis include let-7–LIN28, miR-145–OCT4, miR-124–REST–SCP1, miR-9–TLX and miR-200–ZEB1.

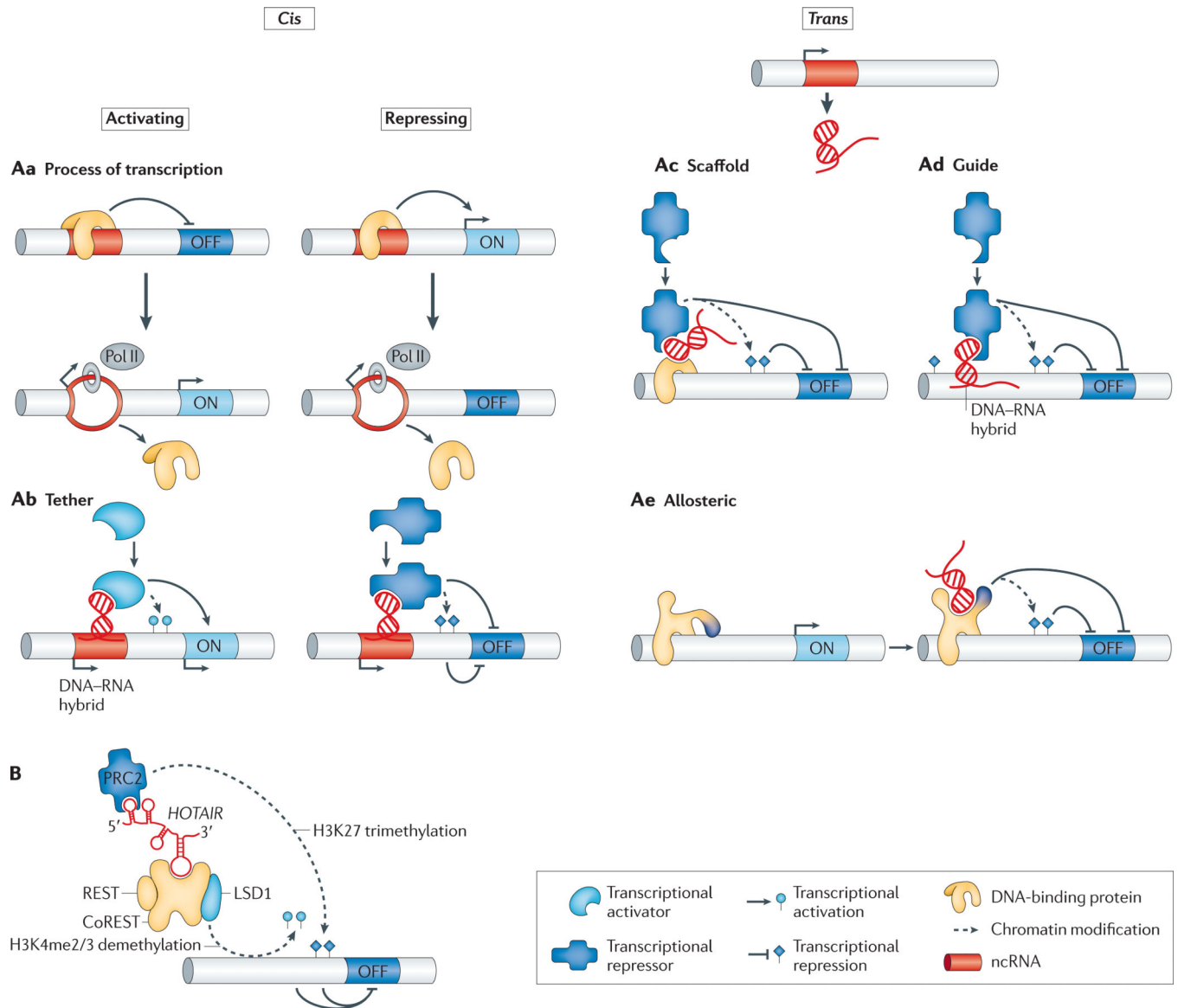


**Figure 4. Imprinting and dosage compensation**

**A** | Imprinting. Parental-specific, monoallelic expression of gene clusters is based on differentially methylated imprinting control regions (ICRs). Only the unmethylated ICR (here shown on the paternal allele) is active and induces expression of a nearby long non-coding RNA (lncRNA, red). The lncRNA recruits repressive chromatin modifiers in *cis* to selected neighbouring genes, resulting in their silencing (OFF, dark blue). By contrast, a methylated ICR (here shown on the maternal allele) prevents expression of the lncRNA and thereby allows transcription of neighbouring genes (ON, light blue). **B** | Mechanism of X inactivation in mammals. In mammals, X chromosome inactivation occurs in distinct steps that depend on the activities of several lncRNAs that originate from the X-inactivation centre (Xic). **Ba** | Scheme of non-coding RNAs (ncRNAs) at the Xic locus. **Bb** | Steps of X inactivation in mammalian females. In embryonic stem (ES) cells, both X chromosomes express low levels of two key lncRNAs, *Xist* (red) and *Tsix* (green). Upon differentiation, one of the two X chromosomes is randomly selected to continue expressing *Tsix* (active X

(Xa)). This process requires pairing, counting and choice (step **1**). *Tsix* expression from Xa interferes with expression of *Xist* and *RepA* (yellow) in *cis*. On the future inactive X (Xi\*), *RepA* recruits Polycomb repressive complex 2 (PRC2) to nucleate repressive chromatin marks, which are essential for upregulation of *Xist* expression on Xi\* (step **2**). Silencing spreads along the entire Xi\* in an *Xist*-dependent manner, resulting in the establishment of a stable heterochromatic state on Xi (inactive X) (step **3**). **c** | Comparison of dosage compensation in mammals and flies. In mammals, one of the two female X chromosomes is inactivated (dark blue), whereas flies upregulate the single X chromosome in males about twofold (green). **ca** | X inactivation in mammals depends on spreading of *Xist* (red) from its site of transcription along the entire length of the X chromosome. *Xist*-associated protein complexes such as PRC2, which catalyses trimethylation of lysine 27 on histone H3 (H3K27me3), establish a stably repressed chromatin state on Xi (dark blue). **cb** | By contrast, the fly dosage compensation complex (DCC), which contains the two ncRNAs *roX1* and *roX2* as structural components, binds discontinuously at hundreds of sites along the male X chromosome and deposits the activating H4K16-acetyl mark (twofold upregulated X chromosome in green). Panels **Ba** and **Bb** are modified, with permission, from REF. 167 © (2010) Cold Spring Harbor Laboratory Press.





**Figure 5. RNAs modulate chromatin**

**A** | Models of gene regulation by *cis*- and *trans*-acting long non-coding RNAs (lncRNAs).

**Aa** | In *cis* (left), the process of transcription can displace DNA-bound factors that inhibit (left) or activate (right) transcription of a neighbouring gene (process of transcription). **Ab** |

Alternatively, nascent non-coding transcripts can function as tethers for chromatin-

modifying complexes and/or transcriptional regulators, which can have either activating

(left) or repressive (right) activities (tether model). **Ac** | *Trans*-acting non-coding RNAs

(ncRNAs) can serve as platforms for the assembly of protein complexes (scaffold model). In

this model, target sites are specified by DNA-binding proteins. **Ad** | *Trans*-

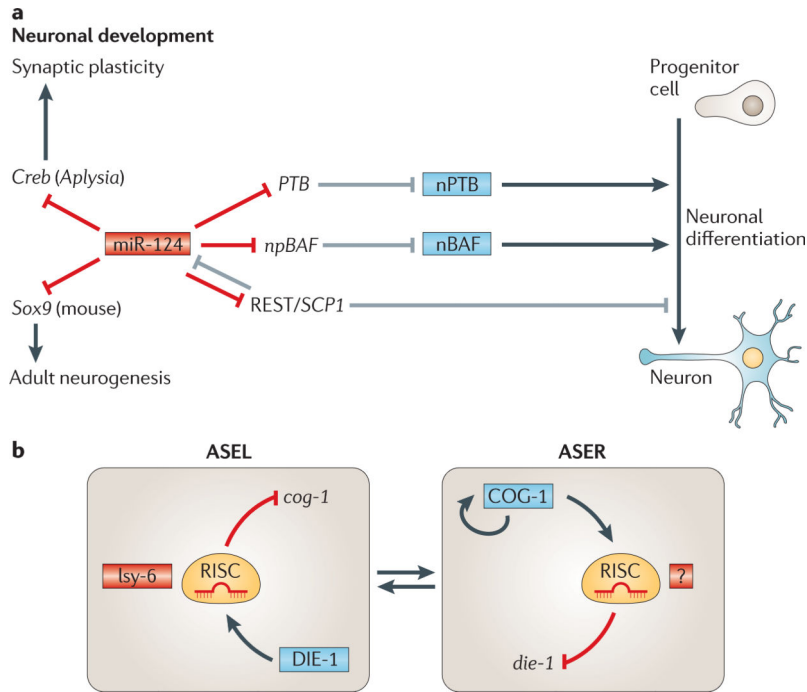
acting ncRNAs can specify target sites by forming hybrids with complementary DNA

sequences, and thus recruit chromatin modifiers and transcriptional regulators (guide

model). **Ae** | lncRNAs can also modulate the activity of protein complexes by inducing

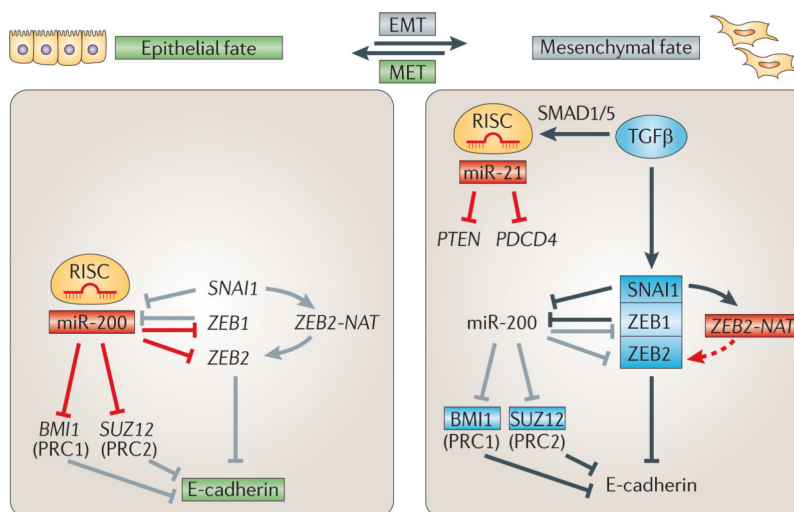
conformational changes (allosteric model). For simplicity, only repressive activities are

shown as examples of *trans*-acting mechanisms. **B** | The lncRNA HOX antisense intergenic RNA (*HOTAIR*) regulates gene expression in *trans* by providing a scaffold for chromatin-modifying complexes. *HOTAIR* is expressed from the *HOXC* cluster and represses multiple target genes elsewhere in the genome. *HOTAIR* binds to the H3K27-trimethylating Polycomb repressive complex 2 (PRC2) and the H3K4me2/3-demethylating lysine-specific demethylase 1 (LSD1)–CoREST–REST complex, which together establish a repressive chromatin state at *HOTAIR*-associated target genes (OFF, dark blue). Pol II, RNA polymerase II.



**Figure 6. Non-coding RNAs regulate neural development**

**a** | miR-124 promotes neural development by orchestrating the repression of several pathways that interfere with neural differentiation. Targeting of the mRNAs polypyrimidine tract-binding protein 1 (*PTB*), neuronal progenitor-specific BAF (*npBAF*) and small C-terminal domain phosphatase 1 (*SCP1*) by miR-124 promotes neural-specific splicing, neuronal chromatin remodelling and neural gene expression, respectively. These concerted changes are a driving force of neural differentiation. miR-124 has also been implicated in promoting adult neurogenesis in mice by repressing the transcription factor SOX9 (REF. 168). In *Aplysia*, miR-124 has been implicated in modulating synaptic plasticity by downregulating the transcriptional activator CREB<sup>144</sup>. **b** | Cell fate specification by *lsy-6* in *Caenorhabditis elegans*. A double-negative feedback loop under control of microRNAs and two transcription factors (DIE-1 and COG-1) specifies neuronal identities in *C. elegans*. Repression of *cog-1* by DIE-1-induced *lsy-6* is essential for establishing left side (ASEL) neuronal identities. Conversely, COG-1 interferes with DIE-1 expression in ASER neurons by activating a still unknown factor that represses *die-1*. Red boxes indicate active miRNAs; light blue boxes indicate active proteins; unboxed text indicates inactive elements; grey lines indicate inactive processes.



**Figure 7. Regulation of the epithelial-to-mesenchymal transition by non-coding RNAs**  
 Scheme of non-coding RNA (ncRNA)-mediated control of the epithelial-to-mesenchymal transition (EMT). miR-200 has a central role in stabilizing the epithelial state (green) by repressing negative regulators of E-cadherin expression. In epithelia, miR-200 targets subunits of Polycomb repressive complex 1 (PRC1) (BMI1) and PRC2 (SUZ12) as well as the transcription factors ZEB1 and ZEB2. Conversely, transforming growth factor- $\beta$  (TGF $\beta$ )-induced ZEB1, ZEB2 and homologue of Snail (SNAI1) repress miR-200 and E-cadherin and promote mesenchymal fate (grey). Additional mechanisms that are implicated in inducing EMT include the positive-feedforward loop featuring the SNAI1-induced natural antisense transcript of ZEB2 (*ZEB2-NAT*) as well as TGF $\beta$ -induced maturation of primary miR-21 (pri-miR-21). For further details, see the main text. Red boxes indicate active miRNAs; light blue boxes indicate active proteins; unboxed text indicates inactive genes/miRNAs; grey lines indicate inactive processes. *PDCD4*, programmed cell death 4; *PTEN*, phosphatase and tensin homologue; MET, mesenchymal-to-epithelial transition; RISC, RNA-induced silencing complex.