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Targeted pancreatic cancer therapy with the small molecule drug conjugate SW IV-134

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ABSTRACT

Pancreatic adenocarcinoma is highly resistant to conventional therapeutics and has been shown to evade apoptosis by deregulation of the X-linked and cellular inhibitors of apoptosis proteins (XIAP and cIAP). Second mitochondria-derived activator of caspases (Smac) induces and amplifies cell death by reversing the anti-apoptotic activity of IAPs. Thus, Smac-derived peptide analogues (peptidomimetics) have been developed and shown to represent promising cancer therapeutics. Sigma-2 receptors are overexpressed in many proliferating tumor cells including pancreatic cancer. Selected ligands to this receptor are rapidly internalized by cancer cells. These characteristics have made the sigma-2 receptor an attractive target for drug delivery because selective delivery to cancer cells has the potential to increase therapeutic efficacy while minimizing toxicity to normal tissues.

Here, we describe the initial characterization of SW IV-134, a chemically linked drug conjugate between the sigma-2 ligand SW43 and the Smac mimetic SW IV-52 as a novel treatment option for pancreatic adenocarcinoma. The tumor killing characteristics of our dualdomain therapeutic SW IV-134 was far greater than either component in isolation or in an equimolar mix and suggests enhanced cellular delivery when chemically linked to the sigma-2 ligand. One of the key findings was that SW IV-134 retained target selectivity of the Smac cargo with the involvement of the $NF-\kappa B/TNF\alpha$ signaling pathway. Importantly,

Abbreviations: IAP, inhibitor of apoptosis proteins; XIAP, X-linked inhibitor of apoptosis proteins; cIAP, cellular inhibitor of apoptosis proteins; Smac, second mitochondria-derived activator of caspases; TNFa, tumor necrosis factor alpha; NF-kB, nuclear factor kappalight-chain-enhancer of activated B cells; AST, aspartate aminotransferase; ALT, alanine aminotransferase; BUN, blood urea nitrogen; Cr, creatinine; MUC1, mucin 1; i.p, intra peritoneal; PDAC, pancreatic ductal adenocarinoma; NIK, NF-қ-B-inducing kinase; Ab, antibody.

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SW IV-134 slowed tumor growth and improved survival in murine models of pancreatic cancer. Our data support further study of this novel therapeutic and this drug delivery strategy because it may eventually benefit patients with pancreatic cancer.

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1. Introduction

Pancreatic cancer is the fourth leading cause of cancer related deaths in the United States with a 5-year survival of 6% [\(Siegel](#page-11-0) [et al., 2012](#page-11-0)). Unfortunately this number has not significantly changed in decades. Even more depressing is the fact that about 75% of patients will not live a year from the date of their diagnosis [\(America cancer society, 2010](#page-10-0)). Since the majority of patients present with advanced disease and 80% of those resected develop metastases after resection, there is a desperate need for a novel strategy that will address the disease on a systemic level.

In this study we deliver a novel apoptotic molecule selectively to pancreatic cancer cells by targeting sigma-2 receptors, which are overexpressed in pancreatic ductal andenocarcinoma (PDAC). Sigma receptors are present in a variety of tissues but their physiologic function has not yet been completely defined. These receptors were first classified as a subtype of opioid receptors ([Martin et al., 1976](#page-11-0)) and in the central nervous system they are involved in the regulation of neurotransmitter release, modulation of neurotransmitter receptor function, learning and memory processes, and regulation of movement and posture [\(Su, 1993](#page-11-0)). They are expressed in peripheral tissues such as liver, kidney, and endocrine organs but their function in these tissues has been poorly understood [\(Ogawa et al., 2009](#page-11-0)).

At least two types of sigma receptors have been identified. Sigma-1 receptors were first characterized and cloned early on [\(Quirion et al., 1992; Walker et al., 1990](#page-11-0)) however it was several years before the sigma-2 receptor was characterized. Our group first reported Progesterone receptor membrane component 1 (PGRMC1) as the binding component of the sigma-2 receptor complex [\(Xu et al., 2011](#page-11-0)). Sigma-2 receptors are biomarkers for proliferation in solid tumors and expression is about 10 times higher in proliferative cells as compared to quiescence cells [\(Mach et al., 1997](#page-11-0)). As such, they have been shown to be useful clinically for PET/CT imaging with targeted ligands [\(Dehdashti](#page-10-0) [et al., 2013\)](#page-10-0).

We have previously demonstrated that sigma-2 receptors are overexpressed in PDAC and have developed sigma-2 ligands that have a very high affinity for pancreatic cancer cells [\(Kashiwagi et al., 2007\)](#page-11-0). In addition, we have suggested that it might be feasible to deliver drugs selectively to pancreatic cancer cells by conjugating small molecules to these ligands for delivery ([Spitzer et al., 2012\)](#page-11-0). Cancer-selective drug delivery is important pharmacologically because it improves the therapeutic window, thus improving drug concentrations at tumor sites while reducing drug concentrations at non-tumor sites and minimizing potential off-target toxicities. In addition to cancer selectivity, sigma-2 ligands have demonstrated the ability to rapidly internalize once they bind to a cancer cell

[\(Spitzer et al., 2012; Zeng et al., 2007\)](#page-11-0). This internalization property is another potential advantage of this delivery platform because there are a multitude of potential intracellular targets which have thus far been difficult to exploit.

Second mitochondria-derived activator of caspases (Smac) is a mitochondrial protein that is released into the cytosol to induce or amplify apoptosis by inhibiting the inhibitors of apoptosis ([Du et al., 2000; Verhagen et al., 2000](#page-10-0)). These targets are the inhibitor of apoptosis proteins (IAP) which are upregulated in many different types of cancer [\(Deveraux and Reed,](#page-10-0) [1999; Wu et al., 2007](#page-10-0)). Endogenous Smac induces autoubiquitination and degradation of cIAP1, which subsequently creates an autocrine feedback loop leading to NF-kB activation and TNF α secretion, which then engages the extrinsic death pathway via caspase-8 ([Wu et al., 2007\)](#page-11-0). Another function is to antagonize XIAP's inhibitory role on caspases. BIR-3 domain of XIAP binds and blocks caspase-9 [\(Oost et al., 2004](#page-11-0)), while its BIR-2 domain binds caspase-3/7 [\(Deveraux et al., 1997;](#page-10-0) [Shiozaki et al., 2003](#page-10-0)). Smac competitively bind baculovirus IAP repeat domains BIR resulting in release of active caspases. These multifaceted activities make Smac an ideal candidate for cancer drug development. In fact, there are an increasing number of studies describing Smac-derived peptides and peptidomimetics for cancer therapy [\(Li et al., 2004; Peng et al.,](#page-11-0) [2008; Sun et al., 2008a, 2008b; Zobel et al., 2006](#page-11-0)).

In this study, we describe the biologic activity of the small molecule therapeutic SW IV-134 and its efficacy in PDAC. This new dual-domain compoundwas synthesized by chemical linkage of the sigma-2 ligand SW43 [\(Vangveravong et al., 2006\)](#page-11-0) and the Smac mimetic SW IV-52 [\(Oost et al., 2004; Sun et al., 2008a](#page-11-0)) (with specificity for the BIR-3 domain of XIAP) in order to selectively deliver the drug to PDAC cells.We have recently shown efficacy of a sigma-2/Smac conjugate SW III-123 in ovarian cancer [\(Zeng et al., 2013](#page-11-0)) and now we have developed SW IV-134 in an effort to improve efficacy and binding affinity of these compounds in PDAC. First, SW IV-134 is a pure enantiomer of the L-configuration, while SW III-123 is a racemic mixture of both enantiomers. As well, the binding affinity of SW IV-134 (Ki $=$ 22.6 \pm 1.8 nM) for the sigma-2 receptor is nearly ten times higher compared to SW III-123 (Ki $=$ 189.9 \pm 12.8 nM) ([Zeng et al.,](#page-11-0) [2013](#page-11-0)). This strategy represents a promising step in cancer treatment with the potential to increase cancer cell death while minimizing off-target toxicities.

2. Materials and methods

2.1. Compounds

Chemical synthesis of the sigma-2 ligand SW43 was performed as previously described ([Vangveravong et al., 2006](#page-11-0)) and the Smac mimetic SW IV-52 exactly matches the structure from previously published work ([Oost et al., 2004\)](#page-11-0) [\(Sun et al.,](#page-11-0) [2008a](#page-11-0)). Detailed synthesis schematics are shown in the supplementary data (Fig. S1).

2.2. Cell lines

CFPAC-1, BxPC-3, AsPC-1, PANC-1 and MiaPaCa-2 were obtained from American Type Culture Collection (ATCC, Manassas, VA). KCM cell line was isolated from a human MUC1 expressing pancreatic tumor of transgenic mouse. The KCM mouse model was generated on the C57BL/6 background by mating the P48-Cre with the LSL-KRASG12D mice and further mating to the MUC1.Tg [\(Besmer et al., 2011; Tinder et al.,](#page-10-0) [2008\)](#page-10-0). The KCM cell line was kindly provided by Dr. Pinku Mukherjee (University of North Carolina-Charlotte). CFPAC-1 and PANC1 were cultured in Iscove's modified Dulbecco's medium with 4 mM L-glutamine, 1.5 g/L Sodium bicarbonate, and 10% fetal bovine serum (FBS). MiaPaCa-2 was cultured in Dulbecco's Modified Eagle's with 10% FBS and 2.5% horse serum. BxPC-3, AsPC-1 and KCM were cultured in RPMI- 1640 medium with 10% FBS. Antibiotics penicillin (100 μ g/ml) and streptomycin (100 μ g/ml) were added to the media and cells maintained in humidified incubator at 37 °C with 5% $CO₂$.

2.3. Sigma receptor binding studies

SW IV-134 binding affinity to both sigma-1 and 2 receptors were tested for as previously described for SW43 compound ([Vangveravong et al., 2006](#page-11-0)). Briefly, guinea pig brain for sigma-1 binding assay and rat liver for sigma-2 binding assay membrane homogenates (\sim 300 µg protein) were diluted with 50 mM Tris-HCl, pH 8.0 and incubated with either \sim 5 nM $[{}^{3}H](+)$ -pentazocine (34.9 Ci $\,$ mmol $^{-1}$ (Perkin $-$ Elmer, Boston, MA) for sigma-1 assay) or 1 nM $[^3H]RHM-1$ (80 Ci mmol⁻¹(American Radiolabeled Chemicals Inc., St. Louis, MO) for sigma-2 assay) in a total volume of 150 μ l in 96-well plates at 25 \degree C. The concentrations of SW IV-134 ranged from 0.1 nM to 10 μ M. After 60 min inscubation, the reactions were terminated by the addition of 150 μ l of cold wash buffer (10 mM Tris-HCl, 150 mM NaCl, pH 7.4) using a 96-channel transfer pipette (Fisher Scientific, Pittsburgh, PA, USA), and the samples harvested and filtered rapidly into a 96-well fiberglass filter plate (Millipore, Billerica, MA, USA) that had been presoaked with 100 μ l of 50 mM Tris-HCl, at pH 8.0 for 1 h. Each filter was washed three times with 200 μ l of ice-cold wash buffer, and then the bound radioactivity quantified using a Wallac 1450 MicroBeta liquid scintillation counter (Perkin Elmer, Boston, MA, USA). Nonspecific binding was determined from samples that contained 10 μ M of cold haloperidol.

2.4. Evaluation of cytotoxicity in vitro

Cytotoxicity of the drugs was evaluated by Cell titer-Glo, Luminescent cell viability assay (Promega, Madison, WI). Pancreatic cell lines were plated at a density of 2 \times 10⁴/well in white 96 well, clear bottom plates for 24 h prior to treatment. Drugs were dissolved in DMSO and serially diluted in culture medium to achieve final concentration with DMSO less than 1%. Cells were treated for 24 h and 100 μ l of the Titer Glo reagent was added to each well. The content of the plate was mixed using an orbital shaker and incubated for 10 min at room temperature. Luminescence signal was measured using multi-mode microplate reader (BioTek instruments, Winooski, VT). Different drug concentrations were assayed in triplicate.

2.5. In vitro caspase assays

Caspase-3/7, 8 and 9 activities were measured in AsPC-1 cells using Caspase-Glo® Assay Systems according to the manufacturer's instructions (Promega). Briefly, the assays are based on caspase-specific substrates, which are activated by cleavage, resulting in caspase-specific luminescence signals. Cells were seeded at a density of 2 \times 10⁴ in white 96-well, clear bottom plates for 24 h before treatment with 12 μ M of compound. The contents were mixed using plate shaker for 30 s, then incubated at room temperature for 90 min. Luminescence signal was measured using multi-mode microplate reader (BioTek). Assay was performed in triplicates, and caspase activity was plotted compared cells treated with DMSO as a control.

2.6. In vitro evaluation of apoptosis via flow cytometry

Phosphatidylserine (PS) translocation to the plasma membrane is an early event during apoptosis induction and can be detected by flow cytometry. AsPC-1 cells were seeded in 6-well plates at a density of 8 \times 10⁵/well for 24 h and treated with 12 μ M of the compounds. After 24 h they were assayed using Annexin-V FITC Kit (Biolegend, San Diego, CA). Propidium iodide was added to differentiate early apoptotic cells from necrotic and late stage apoptotic cells. Cells were prepared according to the manufacturer's instructions and analyzed with a FACSCalibur flow cytometer from (BD Biosciences, San Jose, CA).

2.7. Immunoblotting

PANC-1 cells were plated in 6 wells plate at a density of 8×10^5 /well. Cells were treated with DMSO as a control and SW IV-134 at concentrations of 4, 8 and 12 μ M for 1, 6 and 24 h. Cells were harvested and lysed in RIPA buffer containing protease inhibitor cocktail (Roche, Mannheim, Germany) and phosphatase inhibitor cocktail (Sigma chemical, St Louis, MO). Protein concentration was measured by BCA protein assay kit (Thermo Fisher Scientific, Rockford, IL). Samples containing equal amount of protein were run on NuPAGE Bis-Tris 4-12% gradient gel and then transferred onto PVDF membranes (Life Technologies, Grand Island, NY). The membranes were incubated in blocking buffer (5% dry milk) for 1 h then in primary antibody at 4 \degree C overnight. Membranes were washed 3 times with TBS-T and incubated in secondary antibody at room temperature for 1 h. SuperSignal West Dura Substrate (Thermo Fisher Scientific Rockford, IL) was used to detect secondary antibody. Primary rabbit antibodies for XIAP, cIAP-1, NIK, NF-kB p65, Phospho NF-қB p65, and NFkB2 p100/p52s were purchased from Cell Signaling Technology (Danvers, MA). Primary actin goat antibody was purchased

from Santa Cruz (Dallas, TX). Primary antibody dilutions were made according to the manufacturer's instructions. HRPlinked goat anti-rabbit secondary antibodies were purchased from Cell Signaling. HRP linked donkey anti-goat secondary antibody was purchased from Santa Cruz (Dallas, TX). Secondary antibody dilutions were 1:2000 for goat anti-rabbit, 1:4000 for donkey anti-goat.

2.8. In vivo caspase-3 assay

Caspase-3 Assay Kit Fluorometric (Abcam, Cambridge, MA) was used to detect in vivo caspase-3 activity. Female C57BL/6 mice (6 weeks old) were purchased from Harlan laboratories (Indianapolis, IN). Mice were injected in the right flank with 200 µL of single-cell suspension of KCM (2.5 \times 10⁴ cells per mouse). Treatment was started when the mean tumor diameter was 5 mm. Mice were stratified into 2 groups $(n = 3)/$ group. One group (mouse $4-6$) received daily intra peritoneal injections with 750 nmoles of SW IV-134 in 100 µL of vehicle (25% Cremophor and 75% H_2O) and the other group (mouse 1–3) was injected with vehicle once a day for 3 days. This drug dose and the vehicle were used in all the in vivo experiments in the study. Tumors were harvested and single cell suspensions prepared using a tumor dissociation kit (Miltenyi Biotec, Auburn, CA). Cells from each tumor were suspended in 50 μ l/ well of lysis buffer in white 96 wells at a density of 1 \times $10^6/$ well, each tumor was assayed in triplicate. The assay was performed according to manufacturer's instructions. The fluorescence signal was detected using a multi-mode microplate reader (BioTek). The fold increase in caspase-3 activity was determined by comparing the results with the maximum level of the control as a baseline.

2.9. In vivo assessment of apoptosis

Athymic female nude mice (6 weeks old) were purchased from Harlan laboratories. They were injected in the right flank with 200 µL single cell suspension of AsPC-1 in RPMI medium (1 \times 10⁶ cells per mouse). Treatment was started when the tumor diameter was between 5 and 6 mm. Mice received daily intra peritoneal injections with SW IV-134 and vehicle once a day for 3 days. Tumors were harvested and fixed with 10% Neutral Buffered Formalin. Immunohistochemistry staining for terminal deoxynucleotidyl transferase mediated (dUTP) nick end labeling (TUNEL) was performed by Washington University Digestive Diseases Research Core Center (DDRCC).

2.10. In vivo assessment of tumor growth and survival

Animal studies were performed according to the animal studies protocol approved by the Washington University Institutional Animal Care Facility. In vivo studies with mice were performed to compare the effect of SW IV-134, SW43, SW IV-52, a combination of SW43 with SW IV-52, and vehicle. Toxicity evaluation was also performed. C57BL/6 mice (6 weeks old) were injected subcutaneously in the right flank with KCM cells described above. Treatments started when the mean tumor diameter was \sim 7 mm. Mice received daily intra peritoneal injections with 750 nmoles in 100 μ L/mouse of SW IV-134 and vehicle for 10 days. Tumors were measured every other day with a digital caliper. Several mice from different treatment groups were sent to the Division of Comparative Medicine in our institution for pathologic evaluation. Blood was collected for complete blood count (CBC) and biochemical analysis (AST, ALT, BUN, total bilirubin, and Cr). Organs were examined grossly and histologically.

Another in vivo experiment was performed to evaluate the effect of the drug on tumor growth and survival. Athymic female nude mice (6 weeks old, Harlan Laboratories) were injected in the right flank with 200 µL single cell suspension of 1×10^6 AsPC-1 cells in RPMI medium. Treatment started when the mean tumors diameter was \sim 6 mm. Mice received daily intra peritoneal injections with 750 nmoles in 100 μ L/ mouse of SW IV-134 and vehicle for 2 weeks. Tumors were measured every other day. Mice were euthanized when tumors reached a diameter of 2 cm or had ulcerated.

2.11. Statistics

Statistical analyses and data plotting were performed using GraphPad Prism software version 5 (San Diego, CA). Results were expressed as mean \pm SEM of at least 3 biological replicates. IC $_{50}$ values were calculated by curve fitting normalized viability versus drug concentration. One-way ANOVA was used to analyze the differences in caspase assays, AnnexinV and IC_{50} values. Two-way ANOVA was used to analyze the difference in tumor sizes and in vivo caspase-3 assays. Unpaired two-tailed t-test was used to evaluate the difference in CBC, biochemistry analyses and the TNFa blocking study. The Kaplan-Meier survival curve was plotted and the difference between the groups was compared with a log-rank test. A p -value <0.05 was considered significant for all analyses.

3. Results

3.1. SW IV-134 and SW43 have similar binding kinetics for the sigma-2 receptor

The major goal of our current study was to expand the versatility of the sigma-2 receptor for the selective delivery of antiapoptotic drug cargoes into the cancer cells. We therefore chemically linked our well-established sigma-2 ligand SW43 with the Smac peptidomimetic SW IV-52, resulting in the dual-domain drug SW IV-134 ([Figure 1A](#page-4-0)). The question that arises when combining two unrelated molecules is whether this linkage will change the active domains of the individual components of the conjugate. In order to address these initial concerns, we performed competitive binding assays with SW IV-134 to determine the impact the Smac domain might have caused with regard to the affinity for the sigma-2 receptor. These assays demonstrated that the affinity of SW IV-134 for the sigma-2 receptor was only slightly reduced compared to the parent compound, $K_i = 22.6 \pm 1.8$ nM [SW IV-134] and $K_i = 7.1 \pm 1.3$ nM [SW43] [\(Table 1\)](#page-5-0). Of note, the affinity for the other family member of the sigma receptors (sigma-1) was much more reduced for the drug conjugate and resulted in a more than 250-fold higher sigma-2 selectivity compared to only 19 for the parental compound SW43. The reason(s)

Figure $1 -$ Compound structures and cell killing characteristics of SW IV-134. (A) Chemical structures of the drugs used in the current study. IC $_{50}$ of (B) KCM and (C) AsPC-1. Cells were treated with different concentrations of SW IV-134, SW43, SW IV-52, and a combination of SW IV-52 with SW43. Titer-Glo viability assay was performed 24 h later. IC₅₀ was expressed as mean \pm SEM, $n \ge 3$.

for this affinity change are currently unknown but are the focus of future investigations.

3.2. SW IV-134 has potent killing activity against pancreas cancer cell lines

We next assessed the pharmacologic activity of our drugs on a panel of human and mouse pancreatic cancer cell lines in vitro. The cells were treated for 24 h with SW IV-134, SW43, SW IV-52, and an equimolar mixture of the individual compounds SW IV-52 and SW43 to determine the killing profiles of the various drugs. As a general rule, the Smac mimetic alone had the least biologic activity for all cell lines with IC_{50} > 300 µM (Figure 1B, C and [Table 2\)](#page-5-0). SW43 treatment resulted in a robust killing pattern for all cell lines that was only slightly augmented in combination with SW IV-52. In stark contrast, however, delivery of Smac via the sigma-2 ligand SW43 (SW IV-134) reduced the IC_{50} from 2fold (PANC-1) to more than 8-fold (MiaPaCa-2) compared to the combination treatment SW43 $+$ SW IV-52 [\(Table 2\)](#page-5-0). Cell death was induced by apoptosis, gauged macroscopically on membrane blebbing events (not shown) and the apoptotic marker (Annexin-V positivity) ([Figure 2A](#page-6-0), B), which both correlated well with the viability data described above (Figure 1B, C).

These results were very encouraging and strongly suggest that the sigma-2 ligand SW43 of the drug conjugate SW IV-134 efficiently manages to deliver the Smac mimetic SW IV-52 into the cancer cells which leads to enhanced cell death induction. This scenario is further supported by the notion that the combination treatment with the isolated compounds SW43 and SW IV-52 was incapable to augment cancer cell killing at the same concentration.

3.3. SW IV-134 activates intrinsic and extrinsic apoptotic pathways

Smac mimetics have been shown to competitively bind the BIR-3 domain of XIAP, which results in the induction of caspase-9 activity (intrinsic apoptotic pathway) ([Oost et al.,](#page-11-0) [2004\)](#page-11-0). In addition to the activation of the intrinsic death pathway, the same peptidomimetics have been reported to also trigger the extrinsic apoptotic pathway via $TNF\alpha$ expression ([Vince et al., 2007](#page-11-0)). In order to test the effects of SW IV-134 on both death pathways, activation of caspase-8 (extrinsic) and caspase-9 (intrinsic) were assessed. To obtain a more complete picture, caspase-3 was also included as both pathways converge at the level of this key executioner caspase. AsPC-1 cells were treated with SW IV-134, SW43, SW IV-52, and a combination of SW IV-52 and SW43. The drug conjugate SW IV-134 was found to activate all three caspases more than SW IV-52 and an equimolar combination of SW43 and SW IV-52, while SW43 did not cleave the caspases at these concentrations, similar to the DMSO control [\(Figure 3\)](#page-7-0). More specifically, SW IV-134 induced a strong activation of caspase-8 to nearly 5 fold above baseline, while SW43, SW IV-52 and the combination of the latter drugs were quite ineffective under these con-ditions [\(Figure 3](#page-7-0)A, $p < 0.0001$). These results are consistent with a robust involvement of the extrinsic death pathway by our dual-domain compound.

Regarding activation of the intrinsic death pathway, SW IV-134 also increased the level of activated caspase-9 more than 5-fold, while SW43, SW IV-52 and the SW43/SW IV-52 combi-nation were again rather ineffective ([Figure 3B](#page-7-0), $p < 0.0001$). These data suggest that the Smac domain of SW IV-134 efficiently displaces XIAP from the inactive caspase-9 precursor, subsequently leading to an increase in caspase-9 activity.

Both death pathways converge at the level of active caspase-3. Thus, it was not surprising to see an increase in cleaved caspase-3 to nearly 3-fold above baseline, while SW43, SW IV-52 and a cotreatment with SW43 and SW IV-52 only resulted in a marginally elevated level of the executioner caspase ([Figure 3](#page-7-0)C, $p < 0.0001$). Overall, these results suggest that SW IV-134 activates both intrinsic and extrinsic apoptosis

d K_i for σ_1 receptors/ K_i for σ_2 receptors.

pathways via the preserved structural features of the Smac mimetic as part of our dual-domain drug conjugate.

3.4. SW IV-134 induces NF- κ B-mediated TNF α dependent extrinsic apoptotic pathway activation

Smac was previously reported to mediate degradation of cIAP-1, which culminates in NF-қB-mediated TNFa production [\(Vince et al., 2007\)](#page-11-0). This feedback loop amplifies the intrinsic death pathway and leads to an augmentation of apoptosis via the extrinsic TNF receptor route. We therefore speculated that our drug conjugate would induce the same amplification pathway. To evaluate this hypothesis, we treated PANC-1 cells with SW IV-134 and assessed the key modulators of this pathway by Western blot analysis.

We noticed that after exposure to our drug, the intracellular cIAP-1 level quickly decreased, while XIAP was not affected ([Figure 4A](#page-7-0)). This was not unexpected since antagonism of Smac is viewed as a displacement mechanism of XIAP from caspase-9 and is not associated with XIAP degradation ([Oost et al., 2004\)](#page-11-0). The consequence of cIAP-1 degradation leads to accumulation of NF-қB-inducing kinase (NIK), which, under steady-state circumstances, is constitutively degraded by cIAP-1. As a result, NIK readily accumulates within the target cells as shortly as 1 h post-treatment. NIK activates IKKa which results in phosphorylation of the p100 NF-KB subunit, leading to production of p52 of p52-RelB dimers, that act as a transcription factor. Results showed an increase in NF-kB p52 level with increasing time of SW IV-134 treatment. Both increase in NIK and NF-kB p52 levels indicate the activation

of the non-canonical NF-қB pathway [\(Oeckinghaus and](#page-11-0) [Ghosh, 2009](#page-11-0)). We also demonstrated an increase in activated phospho-NF-қB (p65), which indicates activation of the canonical NF-қB pathway [\(Oeckinghaus and Ghosh, 2009](#page-11-0)) [\(Figure 4](#page-7-0)A).

A prominent transcriptional target of NF-қB is TNFa [\(Vince et al., 2007](#page-11-0)). In order to evaluate the role of TNF α in activating the extrinsic death pathway, PANC-1 cells were pre-treated with or without 2 μ g/ml of anti-TNF α antibody from R&D Systems (Minneapolis, MN) to block the extrinsic TNF receptor pathway. Then cells were treated with 4 μ M of SW IV-134 for 24 h. Activation of caspase-8 was used as a marker for pathway activation one day post-treatment. In the absence of TNF α blocking antibody, a marked cleavage of caspase-8 was noted, which was reduced to background levels in the presence of the antibody [\(Figure 4](#page-7-0)B). Together, our data support the notion that SW IV-134 induces the extrinsic death pathway via the cIAP-1/NIK/NFқB axis, which in turn leads to transcriptional and translational upregulation of TNFa, the key mediator of druginduced apoptosis. These data further suggest that both domain structures of SW IV-134 are well preserved and accessible for the targeting (SW43) and execution of the effector function (SW IV-52) of our dual-domain cancer therapeutic.

3.5. SW IV-134 induces apoptosis in vivo, reduces tumor growth and improves survival in preclinical mouse models of pancreatic cancer

The most stringent evaluation of a novel cancer therapeutic is its pharmacologic profile in a relevant animal model. In order to address in vivo applicability of SW IV-134, we employed a syngeneic (C57BL/6) as well as a xenograft (nude) mouse model of pancreatic cancer. In both cases, female mice were injected subcutaneously into the right flanks with the respective tumor cell lines (KCM and AsPC-1, respectively). Treatment was initiated at a mean tumor diameter of $6-7$ mm, 2 weeks post-implantation. The mice were then treated daily by i. p. injection with 750 nmoles in 100 μ L of SW IV-134, SW43, SW IV-52 and an equimolar mix of SW43 and SW IV-52 for the indicated time. Vehicle alone served as a negative control.

In the syngeneic cancer model (KCM in C57BL/6), only the dual-domain therapeutic SW IV-134 was capable of reducing the average tumor size [\(Figure 5A](#page-8-0), $p < 0.0001$), while none of

Figure 2 – SW IV-134 induces target cell apoptosis. (A) Flow cytometry dot plot of AsPC-1 cells treated with different compounds for 24 h. There is significant shift of cells treated with SW IV-134 from Annexin-V/PI negative to Annexin-V positive/PI negative quadrant (early apoptotic cells) compared to controls. (B) Flow cytometry of AsPC-1 showing the percent of early apoptotic cells (Annexin-V positive/PI negative), ***p < 0.0001.

Drug $[12 \mu M]$

the other reagents alone or in combination resulted in a reduction in tumor growth with similar growth rates as the vehicle control ([Figure 5A](#page-8-0), $p = 0.7$). Of note, no gross abnormalities in mouse behavior (grooming) and drug-related deaths were recorded, supported by unchanged serum labs (CBC, AST, ALT, BUN, total bilirubin, and Cr) Supplementary table S1 (A) and (B). Organ analyses (brain, heart, lungs, alimentary tract, kidneys, liver and pancreas), which did not reveal obvious signs of adverse drug effects, except a mild and transient peritonitis (data not shown).

Similar results were obtained in a xenograft model using AsPC-1 cells in nude mice. Due to the limited activity of the parental compounds from our previous investigation, we only assessed the efficiency of SW IV-134 compared with a

Drug $[12 \mu M]$

Figure 3 – SW IV-134 treatment leads to caspase activation. Caspase-Glo® Assay of AsPC-1 treated with 12 µM of different compounds for 24 h. Cells treated with SW IV-134 had significant increase in caspase-3, 8, and 9 *** $p < 0.0001$.

Figure 4 - SW IV-134 activates the NF-қB pathway and TNF α dependent apoptosis. (A) Western blot of PANC-1 cells treated with DMSO as control and SW IV-134 in different time points and concentrations. (B) Caspase-8 assay PANC-1 cells treated with and without anti-TNFa antibody for 1 h, followed by 24 h incubation with SW IV-134. Activated caspase-8 was monitored as a surrogate for TNF α induction, $\gamma > 0.05$.

Figure $5 - SW IV-134$ slows tumor growth and improves survival. (A) C57BL/6 ($n = 10$ mice/group) mice with right flank KCM subcutaneous tumors. Mice treated with daily i.p. injections with 750 nmoles in $100 \mu L$ of different compounds for 10 days. There was no significant difference between DMSO, WS 43, SW IV-52s and combination of SW43 + SW IV52s p (ns) > 0.05. Tumor diameters of mice treated with SW IV-134 were significantly smaller than other groups **** $p \leq 0.0001$. (B) Athymic nude mice ($n = 9$ mice/group) with right flank AsPC-1 xenograft were treated with daily i.p. injections of SW IV-134 and vehicle for 14 days. There was significant difference between tumor volumes of the 2 groups, ****p < 0.0001. (C) Kaplan–Meier survival curve of mice in (B). Median survival of mice treated with SW IV-134 was 88 days compared to 52 days of the control, $p = 0.003$.

single control. After tumors were established, the mice were treated daily with either 750 nmoles in 100 µL SW IV-134 or vehicle. In this model, the drug conjugate markedly reduced the growth rate of the established tumors while under treatment and resulted in a long-lasting delay in tumor progression with mean tumor diameters significantly smaller in the SW IV-134-tretaed group (Figure 5B, $p < 0.0001$). This treatment benefit culminated in a significant life extension of 36 days (median survival SW IV-134 vs. vehicle, 88 vs. 52 days, respectively; Figure 5C, $p = 0.0001$). Of note, one mouse in the treatment group was completely cured and remains tumor free for 11 months.

We sought to correlate tumor shrinkage in vivo with an apoptotic cell death mechanism and therefore assessed activation of the executioner caspase-3 following SW IV-134 treatments. In a first experiment, C57BL/6 mice with established KCM tumors received i.p. injections with 750 nmoles in 100μ L of SW IV-134 daily for 3 days while a control group received daily vehicle injections. Tumors were harvested, lysed and assessed for caspase-3 activity. Cleaved caspase-3 levels in the tumors treated with SW IV-134 was nearly 2 fold higher than that of the control group ([Figure 6](#page-9-0)A, $p < 0.0001$). An additional experiment was designed to verify the caspase-3 data, this time by immunohistochemistry utilizing TUNEL stain. Here, nude mice with established AsPC-1 tumors received i.p. injections with 750 nmoles in 100 μ L of SW IV-134 daily for 3 days while a control group received daily vehicle injections. Tumors were harvested and prepared for immunohistochemistry. Mice treated with SW IV-134 demonstrated a widespread signal development in the tumor with close to undetectable levels of apoptosis in the control group ([Figures 6B](#page-9-0) and C). Both sets of experiments are consistent with the potent activity of SW IV-134 in vivo.

4. Discussion

Currently available chemotherapeutics have limited efficacy in pancreatic cancer patients. There are many factors which contribute to the observed drug resistance. Unselective drug delivery and resistance to cell death through upregulation of prosurvival factors are most relevant to this discussion. Current chemotherapeutic drugs enter normal and cancer tissues with similar kinetics. As a result, dose limiting toxic effects on normal tissues often lead to changes in the chemotherapeutic plan including, dose reductions, switching to less effective drug combinations, and discontinuation of therapy. While normal cells are struggling to maintain homeostasis in this toxic environment, many pancreatic cancer cells have been shown to evade sensitivity to apoptosis by blocking caspase activation. They often do this by over-expression of prosurvival factors such as cIAP, XIAP, and survivin [\(Arlt et al.,](#page-10-0) [2013\)](#page-10-0). In this study we proposed to use sigma-2 ligands as a way to deliver a drug selectively to cancers and decided to target this prosurvival advantage by introducing a Smac inhibitor as the delivery package.

The idea to use sigma-2 ligands for drug delivery evolved from previous observations that several of these ligands localized to tumors and have been considered as potential imaging agents [\(Mach et al., 1997](#page-11-0)). We have previously shown that sigma-2 receptors are overexpressed in human pancreatic adenocarcinoma [\(Kashiwagi et al., 2007](#page-11-0)). We have also demonstrated that sigma-2 ligands can enter a pancreatic cancer cell and deliver additional drug cargos [\(Spitzer et al.,](#page-11-0) [2012\)](#page-11-0). These two findings provided the rationale for our efforts to develop sigma-2 ligands as cancer selective drug delivery

Figure $6 - SW IV-134$ induces apoptosis in vivo. (A) C57BL/6 mice $(n = 3$ mice/group) with right flank KCM subcutaneous tumors received daily i.p. injections of vehicle (mouse $1-3$) and 750 nmoles in $100 \mu L$ of SW IV-134 (mouse 4-6) for 3 days. Caspase-3 assay was performed on the tumors. Cleaved caspase-3 level in the mice treated with SW IV-134 was significantly higher than the control group, $p \le 0.0001(A)$. Athymic nude mice with right flank AsPC-1 subcutaneous tumors were treated as mentioned above and tumors were evaluated for apoptosis induction via TUNEL staining. Tumors treated with the drug (B) had a remarkable number of TUNELpositive cells compared to the control (C), magnification $= 40x$.

agents. We have also shown that selected sigma-2 ligands at high doses have some ability to induce pancreatic cancer cell death. By choosing a small molecule that activates the proapoptotic pathway as our delivery package we hoped that we might find that sigma-2 ligands ability to induce cell death might enhance this apoptotic effect in cancer cells. The mechanism by which sigma-2 ligands, at high doses, induces cell death is not completely understood. We do know that sigma-2 ligands induce apoptosis by caspase-3 dependent and independent mechanisms ([Kashiwagi et al., 2009;](#page-10-0) [Ostenfeld et al., 2005; Wei et al., 2006\)](#page-10-0). This was observed for the sigma-2 ligands we utilized to develop SW IV-134. For

example we found that SW43 induced caspase-3 activity in pancreatic cancers [\(Hornick et al., 2010](#page-10-0)). In addition we also found that SW43 induced cellular oxidative stress by increase lysosomal membrane permeabilization ([Hornick et al., 2012](#page-10-0)).

Small molecules have been identified that sensitize pancreatic cancer to apoptosis including Smac mimetics [\(Dineen et al., 2010; Huang and Sinicrope, 2008](#page-10-0)). Smac was found to rapidly induce auto-ubiquitination and degradation of cIAPs [\(Varfolomeev et al., 2007](#page-11-0)). This results in activation of NF-kB pathway and TNFa production. TNFa mediates cytotoxicity by autocrine method through TNF-R1 receptor signaling and caspase-8 activation [\(Vince et al., 2007](#page-11-0)). The canonical NF-kB pathway activation leads to IkBa ubiquitination and proteosomal degradation. This results in release and translocation of NF-kB dimer (p50, 65) to the nucleus to induce transcription [\(Oeckinghaus and Ghosh, 2009\)](#page-11-0). cIAP-1 was reported to be associated with TRAF1 and TRAF2 through its N terminal BIR motif-comprising domain [\(Rothe et al., 1995](#page-11-0)). cIAP-1 has been shown to suppress caspase-8 recruit to TNF-R1 and cooperate with TRAF2 ([Oeckinghaus and Ghosh,](#page-11-0) [2009](#page-11-0)). The noncanonical NF-kB pathway depends on NIK which activates IKKa. This results in phosphorylation of the p100 NF-kB subunit, leading to production of p52 of p52-RelB dimmers that act as a transcriptional factor to induce apoptosis and cell death ([Oeckinghaus and Ghosh, 2009](#page-11-0)).

We looked to see if these two pro-apoptotic molecules would have additive or synergistic effects and were able to demonstrate a significant decrease in the IC_{50} of combination of SW43 and SW IV-52s compared to these individual compounds. However the real benefits were observed only when we conjugated the sigma-2 ligand SW43 to the Smac-derived peptidomimetic (SW IV-52) to create a tumor selective proapoptotic anti-cancer therapeutic, designated SW IV-134. We believe that SW43 not only works as a carrier to selectively deliver SW IV-52s, but both compounds work synergistically to induce cell death.

We tested several aspects of the dual domain therapeutic (SW IV-134) with the goal of demonstrating that delivery of the drug was achieved and drug function was as expected. In competitive binding assays we found that binding affinity of SW IV-134 to the sigma-2 receptor was nearly unaltered compared with SW43. Smac frees up caspase-9 in an active form [\(Oost et al., 2004\)](#page-11-0). We therefore tested for these effects in our cell lines and animal models and found that SW IV-134 increased caspase-9 level 5.2 fold over the baseline cleavage. Subsequently we tested the efficacy of SW IV-134 compared with the parent compounds. We found enhanced cell killing compared with either parent compound or the combination of the parent compounds suggesting that we were effectively delivering the Smac mimetic with the sigma-2 ligand.

One challenge of chemotherapeutic delivery to pancreatic tumor is the poor blood supply. It has been reported that malignant pancreatic tumors have 60% decreased in blood flow compared to normal pancreatic tissue ([Komar et al., 2009\)](#page-11-0). In order to see if the selective delivery and high efficacy of SW IV-134 can overcome this problem we tested our compound in murine models of pancreatic cancer. Immunohistochemical analysis of TUNEL staining showed that mice with tumor xenografts treated with SW IV-134, demonstrated large areas of apoptosis throughout the tumors (supplementary data Fig. S2). SW IV-134 showed promising results in both human (AsPC-1) and mouse (KCM) pancreatic cell lines in vivo. A short course of the drug slowed tumor growth.We were able to measure significant differences between tumor size of the group treated with SW IV-134 compared with controls (SW43, SW IV-52 and $SW43 + SW IV-52$). We observed no significant drug-related toxicity with this therapy. Necropsy and laboratory evaluation demonstrated that SW IV-134 had no major off target effects and mice tolerated the drug well without signs of weight loss. No mouse died as a result of treatment.

5. Conclusion

We advanced a novel tumor-selective pro-apoptotic anticancer therapeutic (SW IV-134) based on delivery of a Smac mimetic using a sigma-2 ligand. This strategy was effective in treating pancreatic cancer in murine models and minimal toxicity was observed. While the model we utilized was pancreatic cancer the principles are likely applicable to additional cancer types. This dual-domain (delivery-therapy) concept represents an exciting opportunity for the development of additional small molecule therapeutics with dual functionality. Based on the observed mechanism of action we would expect that this drug will synergize with existing therapies (chemotherapy and/or radiotherapy). Taken together, we believe that this novel dual domain therapeutic has potential as a therapy for patients with pancreatic cancer and further investigation and investment is warranted.

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Disclosure

W.G. Hawkins and R.H. Mach have intellectual property rights and have patents related to the work. No additional conflicts or potential conflicts of interest were disclosed by the authors.

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Appendix A. Supplementary data

Supplementary data related to this article can be found at <http://dx.doi.org/10.1016/j.molonc.2014.03.005>

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