

Theory of amorphous ices

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We derive a phase diagram for amorphous solids and liquid supercooled water and explain why the amorphous solids of water exist in several different forms. Application of large-deviation theory allows us to prepare such phases in computer simulations. Along with nonequilibrium transitions between the ergodic liquid and two distinct amorphous solids, we establish coexistence between these two amorphous solids. The phase diagram we predict includes a non-equilibrium triple point where two amorphous phases and the liquid coexist. Whereas the amorphous solids are long-lived and slowly aging glasses, their melting can lead quickly to the formation of crystalline ice. Further, melting of the higher density amorphous solid at low pressures takes place in steps, transitioning to the lower-density glass before accessing a nonequilibrium liquid from which ice coarsens.

glass transition | putative liquid-liquid transition

Amorphous ices are nonequilibrium, low-temperature phases of water (1–3). These phases lack long-range order and their properties are fundamentally dependent on the protocols by which they are prepared (4, 5). They are molecular glasses that exhibit a variety of reproducible behaviors, including transitions between different amorphous states. This paper provides quantitative analysis and numerical simulation of this polyamorphism and predicts a nonequilibrium phase diagram, offering explanations of previous experimental observations (1, 3, 6–9) and possibly guiding future experiments on supercooled water.

Our treatment can be applied generally in many cases where there is interest in comparing phase behaviors of nonequilibrium glasses with those of equilibrium liquids and crystals. For water in particular, however, our results bear on whether observed nonequilibrium polyamorphism can be interpreted as evidence of more than one distinct liquid phase of water. It is a topic of current interest and controversy. There are no direct measurements of two-liquid behavior in water, but the low-temperature critical point that would accompany such behavior has been offered as an explanation for unusual properties of liquid water, such as maxima in various response functions (4, 10), and molecular simulation results are often cited as supporting this theoretical idea, e.g., refs. 11–14. However, water anomalies can be explained with models for which there is demonstrably only one liquid phase (15), and seemingly rigorous equilibrium analysis of various simulation models argues against cold water exhibiting the existence of two distinct liquids (16, 17). Rather, it seems that an illusion of two-liquid behavior in simulation coincides with coarsening of ice, and this paper shows how arresting those fluctuations yields a multitude of nonequilibrium amorphous solids.

Phenomenology

A phase diagram is drawn in Fig. 1A. It is partitioned with the onset temperature, $T_o(p)$, which is the crossover temperature below which liquid-phase dynamics is spatially heterogeneous. This temperature is an equilibrium material property. The pressure dependence of $T_o(p)$ for water has been determined from experimental transport data and computation (18). The low-pressure limit of the onset temperature, T_o , coincides with the temperature of maximum density (19). In the phase diagram, we express temperature T in units of T_o . Similarly, we express pressure p in units of $p_o = -10^{-4} \Delta h / \Delta v$, where Δh and Δv are, respectively, the molar enthalpy and volume changes upon melting

ice at low pressures. With reduced pressure and temperature units, the phase diagram is reasonably independent of choice of molecular model (19). Requirements for a suitable model are twofold: (i) The liquid phase exhibits preference for local tetrahedral order, and (ii) the liquid freezes into an ice-like crystal with global tetrahedral order. Values of T_o and p_o , specific lattice structures, absolute melting temperatures, and so forth are sensitive to specific choices of molecular model, but all have similar liquid-phase dynamics at temperatures below the onset, and all have ice-melting temperatures reasonably close to the onset (19, 20). For experimental water, $T_o = 277$ K and $p_o = 0.3$ bar.

Occurring as it does below the onset temperature, the dynamics of forming ice at supercooled conditions is complex. For example, in the initial stages of coarsening at low enough temperatures relatively large density fluctuations occur associated with dynamic heterogeneity. These fluctuations take place over a range of time scales extending to milliseconds (17, 21), and, when viewed on shorter time scales, are easily confused with the existence of two distinct liquids. These fluctuations can be arrested and crystallization can be avoided through rapid enough cooling or confinement, producing nonequilibrium amorphous solids of various types with different glass transitions. For instance, when hyperquenching at a cooling rate ν , freezing into glass can occur at a temperature T_g , where $1/\nu = |d\tau/dT|_{T=T_g}$. Here, τ stands for the structural relaxation time of the liquid before freezing. Because the rate of increase of τ increases with decreasing T , the glass transition temperature T_g decreases with decreasing ν . Of course, a low enough cooling rate leads to crystallization, not glass formation.

Importantly, a different ν and therefore a different T_g can imply a different type of glass. This is because the transition at T_g produces a material with a frozen nonequilibrium correlation length ℓ_{ne} (22). This length is the mean-free path between excitations at the glass

Significance

Glassy water is abundant, occurring in such varied circumstances as thin films condensed on interstellar dust particles and as hosts to hyperquenched protein crystals. Yet quantitative understanding of this class of materials is limited, hampered by lack of formalism needed to systematically treat long time scales and far-from-equilibrium behaviors. Here, we describe a theory to overcome some of this difficulty and apply the theory with simulation to establish the existence of distinct amorphous ices and coexistence between them. This advance allows systematic treatment of dynamics interconverting and melting these nonequilibrium solids, and thereby provides principled explanations of experiments that have probed these processes.

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to produce robust immobile amorphous states in a computer simulation. It is done through an importance sampling that focuses on relevant parts of trajectory space. The procedure is a non-equilibrium version of large-deviation formalism (28). Such an approach has been successful in simulating stable glasses of simple-liquid mixtures (29–32). We adapt that approach here with one additional feature: while using a dynamical order parameter to highlight noncrystalline immobile states, as has been done before, we use a second-order parameter that distinguishes nonequilibrium immobile states of different densities. Both order parameters are functions of path, as required to characterize nonequilibrium phases.

The order parameter we use to measure mobility is the total number of enduring displacements (EDs) occurring in an N -particle system during a trajectory of length t_{obs} (30). Other functions of system history could also be used (29, 31, 32). An ED occurs when a particle jumps from one position to another, and it sticks for a significant period in the new position (33). Such motions manifest the elementary excitations in a structural glass former (34). They occur intermittently, and when one such event occurs, it takes on average Δt to complete. This instanton time, Δt , is much smaller than the structural relaxation time of a glass-forming melt. Structural relaxation follows from coordinated motions of a large number of elementary excitations (34).

The number of EDs per particle per unit time is

$$\hat{c}[\mathbf{x}(t)] = \frac{\Delta t}{N t_{\text{obs}}} \sum_{i=1}^N \sum_{t=\Delta t}^{t_{\text{obs}}} \Theta(|\bar{\mathbf{r}}_i(t) - \bar{\mathbf{r}}_i(t - \Delta t)| - a), \quad [1]$$

where $\mathbf{x}(t)$ stands for the trajectory of the system, a is the displacement length (a fraction of a molecular diameter), $\Theta(x)$ is the unit Heaviside function, and $\bar{\mathbf{r}}_i(t)$ is the position of molecule i , averaged over the time interval $t - \delta t/2$ to $t + \delta t/2$. The averaging over δt coarse-grains out nonenduring vibrations. Applying the prescriptions of ref. 34 to models of water gives Δt as approximately the structural relaxation time at normal liquid conditions, and δt an order of magnitude smaller. For the calculations illustrated below, we use $\Delta t = 1$ ps and $\delta t = 0.1$ ps. Other choices for Δt and δt yield consistent results.

The second-order parameter we use is a dimensionless measure of density history. For constant pressure and fixed N , it can be expressed in terms of the system's instantaneous density, $\rho(t)$:

$$\hat{\rho}[\mathbf{x}(t)] = \frac{\Delta t}{t_{\text{obs}}} \sum_{t=\Delta t}^{t_{\text{obs}}} \frac{\rho(t - \Delta t) - \rho_{\text{xtl}}}{\rho_{\text{liq}} - \rho_{\text{xtl}}}, \quad [2]$$

where ρ_{liq} and ρ_{xtl} are the average densities of the equilibrium liquid and crystal, respectively, at a particular thermodynamic state.

These order parameters have associated fields, which render the spatial patterns associated with distinct phases and interfaces. The interexcitation lengths ℓ and ℓ_{ne} characterize the patterns of the excitation field in the liquid and glass, respectively.

The relevant equilibrium probability distribution function is

$$P(c, \rho) = \langle \delta(c - \hat{c}[\mathbf{x}(t)]) \delta(\rho - \hat{\rho}[\mathbf{x}(t)]) \rangle_A, \quad [3]$$

where $\delta(x)$ is Dirac's delta function and the subscripted angle brackets $\langle \dots \rangle_A$ denote equilibrium average over trajectories that include amorphous microstates only. Such microstates have small values of the Steinhardt–Nelson–Ronchetti Q_6 parameter (35). This parameter is finite for crystalline ice states and vanishes as $\mathcal{O}(1/\sqrt{N})$ for amorphous states. It is therefore possible to identify reasonable ranges of Q_6 values that discriminate between amorphous and crystalline states of water. The amorphous equilibrium distribution functional is $P[\mathbf{x}(t)] \propto p_{\text{eq}}[\mathbf{x}(t)] \prod_t \Theta(Q_6^* - Q_6(x_t))$, where $p_{\text{eq}}[\mathbf{x}(t)]$ is the unconstrained trajectory distribution, and $Q_6(x_t)$ is the crystalline order parameter for the system configuration at

the t th time interval. We have checked that in the region of the equilibrium phase diagram where our calculations are performed that our results are insensitive to a cutoff Q_6^* , to the extent that it is large enough to encompass typical liquid fluctuations and small enough to exclude crystal interface formation (i.e., for an $N = 216$ particle system, the acceptable range is $0.1 < Q_6^* < 0.18$). See ref. 16.

Conditioned as it is to sample only amorphous states, $P(c, \rho)$ is unimodal, with the most probable region near the average values of c and ρ for the liquid. The distribution, however, exhibits fat tails at the low values of c typical of glass. These tails (i.e., large deviations) can be stabilized with nonequilibrium fields that couple to $\hat{c}[\mathbf{x}(t)]$ and $\hat{\rho}[\mathbf{x}(t)]$. Specifically, with the fields s and λ , the equilibrium distribution of trajectories $P[\mathbf{x}(t)]$ is reweighted to

$$P_{s, \lambda}[\mathbf{x}(t)] \propto P[\mathbf{x}(t)] e^{-\{s\hat{c}[\mathbf{x}(t)] - \lambda\hat{\rho}[\mathbf{x}(t)]\} N t_{\text{obs}}}, \quad [4]$$

for which the nonequilibrium order-parameter distribution is

$$P_{s, \lambda}(c, \rho) \propto P(c, \rho) e^{-(sc - \lambda\rho) N t_{\text{obs}}}. \quad [5]$$

Positive values of s favor low-mobility (i.e., glassy) states, and positive values of λ favor high-density states.

We have applied these equations to the mW model of water (36). The reference temperature and pressure of the mW model are $T_o = 250$ K and $p_o = 1$ bar, respectively. The mW model is the simplest of atomistic models to exhibit reversible thermodynamics, freezing, and relaxation of water (16, 18, 19, 36, 37). That it also faithfully exhibits transitions to and from glass, as we detail, is evidence that the model contains essential features underlying the physical properties of water both in and out of equilibrium.

Our trajectories fix the number of molecules, N , the pressure p , and the temperature T . The system is evolved over a time Δt with a Nosé–Hoover barostat (38). At every Δt , all N -particle momenta are randomized, and this process is repeated up to a trajectory of length t_{obs} . We typically use $N = 216$ and take t_{obs} to be 10 to 400 times the structural relaxation time of the reversible melt. The nonequilibrium distribution for these trajectories, Eq. 4, is then sampled using transition path sampling (39). Ref. 30 provides an illustration of such a calculation for a supercooled simple-liquid mixture, but without the extra field λ . The field λ has a thermodynamic meaning, like a chemical potential, but affecting a time-averaged density rather than an instantaneous density. In contrast, s has a dynamical meaning, essentially the rate at which EDs are suppressed (40).

Although this protocol overcomes huge time scales associated with glass transitions (41), the calculations are nevertheless time-consuming. As such, we have considered limited system sizes, large enough to exhibit clear signatures of glass transitions but not larger. The side length of a simulation box with $N = 216$ is slightly larger than 6σ , where σ is a molecular diameter. That side length is large compared with the equilibrium correlation length of the homogeneous liquid, which is about σ or smaller. However, 6σ can be small compared with nonequilibrium lengths that characterize robust glasses. Prior work (29, 30) has found that anomalous responses of glass transitions begin to disappear from simulations when system sizes are decreased below 200 particles. With $N \approx 200$, the stability of glasses we produce is limited to $\ell_{\text{ne}} \approx 6\sigma = 1.5$ nm (41).

Distinct Phases and Coexistence

The nonequilibrium phase behavior we find in this way is illustrated in Figs. 1 and 2. We find three distinct amorphous phases: one ergodic liquid and two glasses. For a finite t_{obs} with fixed p and T , anomalous responses consistent with first-order transitions occur at specific values of s and λ , which we label as s^* and λ^* , respectively. Glasses formed at the higher temperatures require higher s and are thus intrinsically less stable than those formed at lower T with lower s . The amorphous solid regions end where no value of s can stabilize a glass distinct from the liquid. That region cannot extend above T_o .

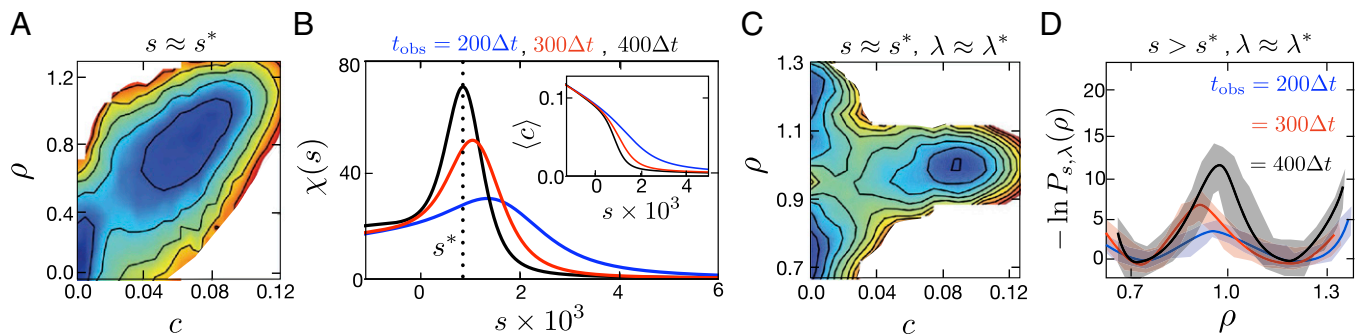


Fig. 2. Nonequilibrium distributions for mobility c , reduced density ρ , and the susceptibility $\chi(s)$ for cold water. (A) $-\ln P_{s,i}(c, \rho)$ calculated with the mW model for $t_{\text{obs}} = 300\Delta t$, $s \approx s^*$, and $\lambda = 0$ at the state point $T/T_0 = 0.8$, $p/p_0 = 1$. (B) Mean mobility and susceptibility calculated at the state point in A for different trajectory lengths, t_{obs} , illustrating scaling consistent with a first-order phase transition in trajectory space. The susceptibility peaks at nonequilibrium coexistence, $s = s^*$. (C) $-\ln P_{s,i}(c, \rho)$ calculated with the mW model for $N = 216$, $s \approx s^*$, $\lambda \approx \lambda^*$, and $t_{\text{obs}} = 200\Delta t$ at the state point $T/T_0 = 0.75$, $p/p_0 = 10^4$. (D) Marginal distribution functions of ρ calculated for LDA–HDA coexistence at the state point in C. Shading indicates error estimates of 1 SD. Contours in A and C are spaced by unity, and the coloring is a guide to the eye.

The first-order characters of the glass transitions are manifested by precipitous changes in density and mobility that tend to discontinuities as $Nt_{\text{obs}} \rightarrow \infty$. Transitions between the two amorphous solids are illustrated in Fig. 1B, and transitions between the amorphous solids and the liquid in Fig. 2B. Consistent with experiments on salty water (42), our coexistence line between the HDA and LDA solids ends at a triple point, not a critical point as supposed by Mishima et al. (3). In a long trajectory at this nonequilibrium triple point, the system will visit each of the three phases and transition between them. Fig. 1E shows a configuration near the triple point, transitioning between LDA and HDA.

From our explicit phase-coexistence calculations, like those illustrated in Fig. 2, we have located the square points on Fig. 1A. These points lie in accord with the predictions of our analytical formulas for the glass transition temperature with $\ell_{\text{ne}} = 6\sigma = 1.5$ nm. This agreement provides numerical support for our understanding of the glass transition. Further support comes from comparison with experiment.

The coexistence line between LDA and HDA occurs at the effective pressure $p - k_B T \lambda^* t_{\text{obs}} / \Delta v = (5 \pm 3) \times 10^3 p_0$. (The uncertainty reflects the error estimates illustrated in Fig. 2D.) With $p_0 \approx 0.3$ bar, the value of the reference pressure for water, the predicted coexistence is in harmony with experiments for the pressures found to produce reversible transitions between HDA and LDA (3). The predicted density differences between LDA, HDA, and liquid are also consistent with experiment within our corresponding states. For example, converting the reduced density ρ to absolute experimental densities (43), the results illustrated in Fig. 2 imply that at low pressures ($p/p_0 = 1$) the density of the liquid is higher than that of LDA by 0.08 g/cm³. Similarly, at high pressures ($p/p_0 = 10^4$), the computed results imply that the density of HDA is higher than that of LDA by 0.12 g/cm³; and at $p/p_0 = 2 \times 10^4$, the computed results imply that the density of HDA is higher than that of the liquid by 0.005 g/cm³.

The structure of the LDA glass is locally tetrahedral, as illustrated by the typical configuration shown in Fig. 1D. The LDA basin has the same density as the crystalline phase, ordinary ice Ih, consistent with experimentally prepared LDA ices (4). The local order is quantified with $\eta_{ijk} = (\mathbf{u}_{ij} \cdot \mathbf{u}_{ik} + 1/3)^2$, where \mathbf{u}_{ij} and \mathbf{u}_{ik} are the unit vectors pointing between a tagged molecule i to a pair of nearest neighbors j and k , respectively. For the LDA phase we have stabilized with the s ensemble, $\langle \eta_{ijk} \rangle_{\Lambda} \approx 0.05$. In comparison, for the liquid and the HDA phase, $\langle \eta_{ijk} \rangle_{\Lambda} \approx 0.2$.

HDA ice rendered in Fig. 1F has an average structure similar to that of high-pressure liquid water (44). Our computed radial distribution functions for these phases are shown in Fig. 3. The

structures of the liquid and glass phases differ in the fluctuations from the average. Spatial arrangements of excitations are uncorrelated in the liquid, but are anticorrelated with a large correlation length in a glass (22). This difference is most evident in the dynamics, Fig. 1C, because the anticorrelation arrests mobility (22, 45). Notice from the plateau values of $F_s(k, t)$ that fluctuations in molecular positions in HDA are larger than those in LDA. This juxtaposition predicted from our simulations is consistent with experiment (46).

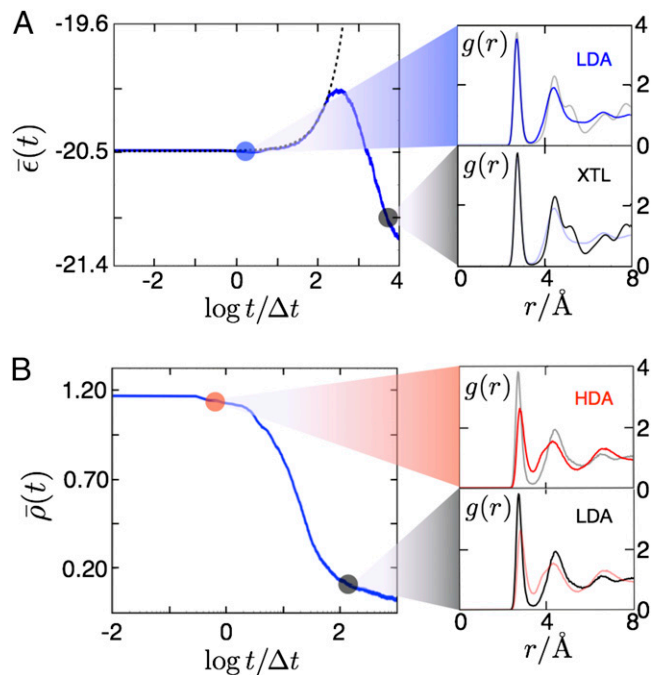


Fig. 3. Relaxation behavior of amorphous ices produced with the s ensemble. (A, Left) Average potential energy per particle, in units of T_0 , as a function of time for the mW model prepared in an ensemble at $s > s^*$, $T/T_0 = 0.8$, $p/p_0 = 0$, and $t_{\text{obs}} = 200\Delta t$ and evolved with $s = 0$, $T/T_0 = 0.76$, $p/p_0 = 0$. The dashed black line is an exponential function with characteristic time, $200\Delta t$. (A, Right) Average pair distribution functions at two indicated points in time. Faint lines show the $g(r)$ for the alternative solid. (B, Left) Average reduced density as a function of time for the mW model prepared in an ensemble at $s > s^*$, $T/T_0 = 0.76$, $p/p_0 = 2 \times 10^4$, and $t_{\text{obs}} = 200\Delta t$ and evolved with $s = 0$, $T/T_0 = 0.6$, $p/p_0 = 5 \times 10^3$. (B, Right) Average pair distribution functions at the two indicated points in time. Faint lines show the $g(r)$ for the alternative solid.

The marginal distribution of c , $\int d\rho P_{s,\lambda}(c,\rho)$, has mean value $\langle c \rangle$, and its variance gives the susceptibility, $\chi(s) = -(\partial\langle c \rangle/\partial s)_\lambda = Nt_{\text{obs}}\langle (c - \langle c \rangle)^2 \rangle$. In the thermodynamic limit, $\langle c \rangle$ and $\chi(s)$ are singular functions at the point of a glass transition, $s = s^*$. In simulations, the development of this singular behavior can be detected from system-size dependence. Specifically, for a first-order transition, the width of the change in $\langle c \rangle$ around $s = s^*$ should decrease proportionally to $1/Nt_{\text{obs}}$, and the height of $\chi(s)$ at $s = s^*$ should grow proportionally to Nt_{obs} . This scaling with respect to space–time volume is exhibited by the functions graphed in Fig. 2B. Similarly, at coexistence, the free-energy barrier between the two stable basins should grow proportionally to space–time surface area, $(Nt_{\text{obs}})^{3/4}$. This scaling is consistent with the growth exhibited in Fig. 2D, although a compelling demonstration is beyond the scope of the small system size and statistics we are able to treat. Also, as space and time obey different symmetries, finite size scaling may depend on other combinations of N and t_{obs} . See, for example, analogous issues in theory of quantum phase transitions (47).

Melting and Transitioning Between Amorphous Solids

Having prepared glassy configurations with the s ensemble, we can now study two experimental observations. The first is the nonmonotonic thermal responses found when heating LDA. The material first takes in heat, then it precipitously releases heat and crystallizes (1, 6). The experimental LDA coincides with the LDA that is first prepared with the s ensemble at some temperature $T < T_0$ and then cooled to a lower temperature where it remains stable for essentially all time. Melting LDA occurs when that low temperature is increased, a process that can be simulated by simply turning off s at the initial preparation temperature.

Results of such simulations are shown in Fig. 3A. The nonequilibrium average potential energy per molecule in units of T_0 , $\bar{\epsilon}(t)$, is computed by averaging over 1,000 independent trajectories initiated from configurations taken from the ensemble of inactive states. With $s = 0$, these amorphous solid states are thermodynamically unstable. The stable basin is the crystal, but that basin cannot be accessed without reorganization, and reorganization requires access to ergodic liquid states. The inactive glassy states are at a low potential energy state relative to the supercooled liquid. Upon instantaneously turning off the s field, the system remains immobile for a relatively long time, on average about $t = 200\Delta t$. This waiting time corresponds to the time for a rare fluctuation to produce an excitation. Once this reorganization begins, the system immediately begins to crystallize, and by $t = 1,000\Delta t$ on average the system has begun releasing energy as long-ranged order builds up. Fig. 3A (Right) shows the average radial distribution functions $g(r)$ for the beginning and end of the trajectory. Initially, the radial distribution function shows the local order characteristic of LDA, indicated by the separation between the first and second solvation shell (48). At the end of the trajectory, this local ordering has developed into a long-ranged ordered crystal, as indicated by the splitting of the second solvation shell and the persistent correlations at large separations.

The second experimental observation we consider is the finding of an abrupt transition from HDA to LDA when HDA is quenched to lower pressures keeping temperature low (3). This process can be simulated by initiating trajectories at configurations from an immobile HDA basin, prepared with $s > s^*$ and $p/p_0 > 10^4$, and running these trajectories with $s = 0$ and $p/p_0 < 10^4$. Fig. 3B shows the result from averaging over 1,000 such trajectories. The average

waiting time to transition across the HDA–LDA boundary is only $10\Delta t$, reflecting that only relatively small reorganization is required for transitioning between these two amorphous phases. The excess free energy due to the change in pressure is dissipated through an average concentration of mobility c that is only 0.02. After the initial burst of excitation, the system monotonically relaxes into the LDA state. Initially, the structure reflects the HDA configurations where the dynamics were initialized, whereas at later times the structure adopts the open local order of LDA.

Other illustrations of behaviors deduced from our preparations of amorphous ices are given in *SI Text*. For example, reversal and hysteresis of the process illustrated in Fig. 3B is shown, demonstrating that the glassy states prepared in our simulations are robust. To our knowledge, no prior simulations of low-temperature water have achieved this quality.

Conclusions

The most important and general results of this work are twofold: the demonstration that it is possible with molecular simulation to systematically prepare and predict properties and transitions of experimentally realizable amorphous solids, and the demonstration of analytical theory that can predict and interpret various behaviors of these materials. We illustrate here for water predictions and simulations of LDA–HDA transitions at conditions consistent with experimental observations; we also present the predictions of density differences between LDA, HDA, and ergodic liquid phases in accord with experimental observations; and finally, we present predictions of pathways by which HDA and LDA phases melt, again in accord with experimental observations.

Much has been written suggesting that the HDA–LDA transition might reflect a transition between two distinct phases of liquid water, e.g., refs. 2–5, 10, 49. This extrapolation from glass to liquid seems difficult to justify in light of the singularity that separates the nonequilibrium amorphous solids from the ergodic liquid. Occurring as they do through driving a material out of equilibrium over a finite period, the space–time transition is precipitous but not discontinuous. Nevertheless, a singularity underlies the phenomena. This fact about glass physics may have its first experimental demonstration in ref. 42, where coexistence lines for reversible transitions between different glasses of salty water are established and shown not to extend above the line of glass transition temperatures.

That particular experimental work finds more than one coexistence line separating distinct amorphous phases. Our discussion of phenomenology emphasizes that any one material can exhibit a range of glass behaviors reflecting a range of values of t_{nc} . How this variability can translate in general into distinct nonequilibrium phases is not yet known, and the answer is likely system dependent. For example, distinct amorphous phases seem generally possible in cases of a poor glass-forming liquid, as it is for water, because coarsening of crystal phases of one density can compete with vitrification of the liquid of another density. Whether other competing effects can be imagined and realized experimentally is an open question.

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