

# NIH Public Access

**Author Manuscript** 

J Gene Med. Author manuscript; available in PMC 2014 July 08

# Published in final edited form as:

J Gene Med. 2012 June ; 14(6): 366–373. doi:10.1002/jgm.2632.

# Hoechst Increases AAV-mediated Transgene Expression in Airway Epithelia by Inducing the CMV Promoter

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# Abstract

**BACKGROUND**—In airway epithelia, the kinetics of recombinant adeno-associated virus (AAV) transgene expression is slow. This has negative practical implications for research and for translation into therapy. The DNA minor groove-binding agent Hoechst-33342 has been shown to enhance AAV transgene expression. Here we investigate the mechanism of Hoechst related augmentation of AAV-mediated transgene expression.

**METHODS**—We investigated the effect of Hoechst-33342 on HT1080, COS-7, mouse and human airway epithelia transduced with different AAV serotypes encoding eGFP. We exposed cells to increasing concentrations of Hoechst-33342 at different time points. We evaluated the effect on second strand DNA synthesis using a self-complementary GFP transgene. We also investigated the effect on expression from transfected plasmids with and without AAV2 ITRs.

**RESULTS**—We found that Hoechst-33342 significantly accelerated AAV transgene expression. This effect was seen for all serotypes and could not be explained by increased virus binding or internalization. Hoechst-33342 only had an effect when the treatment was given during or after transduction, even 120 days post-transduction, suggesting an effect on transgene expression regulation. Hoechst-33342 increased transgene expression when cells were transduced with an AAV expressing self-complementary eGFP, but no effect on cells transduced with AAV when the promoter was RSV. Finally, Hoechst-33342 increases gene expression from transfected plasmids regardless of the presence of AAV2 ITRs.

**CONCLUSIONS**—Hoechst dramatically augments and accelerates AAV-mediated transgene expression in airway epithelia without altering AAV-mediated gene transfer. Hoechst activation of the CMV promoter is seen in plasmids, but is drastically enhanced in the context of AAV.

# Keywords

AAV; Hoechst; Transgene; Expression; CMV Promoter

**CONFLICTS OF INTEREST STATEMENT** We have no conflicts of interest to report.

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# INTRODUCTION

Adeno-associated viruses (AAV) are single stranded DNA viruses belonging to the dependovirus genus of the parvovirus family [1]. They have an approximately 4.7 kilobase genome containing inverted terminal repeats and three open reading frames, *rep, cap*, and *aap*. AAVs are non-pathogenic and have been studied as gene therapy vectors for multiple genetic diseases, including cystic fibrosis [2–5].

Gene therapy clinical trials for cystic fibrosis with the most studied serotype, AAV2, demonstrated safety, but suboptimal efficiency for treatment in the airways [5–7]. AAV5, which binds to receptors distinct from AAV2, has increased binding to the apical surface and increased transduction of airway epithelia *in vitro*, indicating that viral binding is a rate limiting step for successful transduction of airway epithelia by AAV [8, 9]. We have previously used directed evolution of AAV in well differentiated human airway epithelia to discover a novel AAV with increased binding and internalization in human airway epithelia compared to AAV5, suggesting the virus had sequentially overcome two different rate limiting steps (binding and internalization) [10, 11]. Others have shown different rate limiting steps for AAV transduction of airway epithelia, including intracellular trafficking and second strand synthesis [12, 13].

Hoechst is a bisbenzimide minor groove DNA-binding chemical [14]. It is commonly used for fluorescent staining of nuclei of live or dead cells for use in flow cytometry or microscopy. Because Hoechst dyes bind DNA, they can disrupt DNA replication during cell division and are known mutagens. Li *et al.*, showed that Hoechst can improve AAVmediated transgene expression in multiple cell types which could potentially be valuable for the use of AAV in *in vivo* models to accelerate transgene expression [15]. Here we investigated the effect of Hoechst on AAV-mediated transgene expression in human airway epithelia, and have identified a novel limitation for recombinant AAV, where transgene expression from the CMV promoter is increased by Hoechst treatment.

# MATERIALS AND METHODS

#### **Recombinant AAV**

All recombinant AAV vectors were packaged and purified with iodixanol gradient centrifugation as previously described produced by the University of Iowa Gene Transfer Vector Core [16]. DNase-resistant genomic titers were determined by quantitative PCR.

#### Well-Differentiated Cultures of Airway Epithela

Human airway or mouse epithelial cells were isolated from trachea and bronchi, seeded onto collagen coated, semi-permeable membranes (Millipore), and grown at the air-liquid interface as previously described [17]. All experiments with human and mouse airway epithelia were carried out in accordance with the University of Iowa Institutional Review Board and the University of Iowa Institutional Animal Care and Use Committee.

#### **AAV Transduction**

Human and mouse airway epithelia were apically inoculated with 10<sup>5</sup> viral genomes per cell (vg/cell) of indicated AAV serotypes diluted in EMEM for 4 hours at 37°C. HT1080 cells and COS-7 cells were cultured as previously described [15] and were inoculated for 4 hours with the indicated AAV serotypes for 4 hours at 37 °C at the doses indicated. Hoechst-33342 was purchased from Invitrogen (catalog number H1399). Hoechst-33342 was diluted in the culture media for each cell type at the indicated concentrations. Hoechst treatment occurred at the time of viral inoculation unless indicated otherwise.

#### **Plasmid Transfection**

Transfection of plasmid DNA into COS-7 cells was done using Lipofectamine 2000 (Invitrogen) according to the manufacturer's instructions. Hoechst treatment occurred at the time of transfection and was maintained in the cell culture media for the entire duration of the experiment.  $\beta$ -galactosidase per milligram of total protein was measured 72 hours after transfection as previously described (Galacto-Light; Tropix, Inc., Bedford, Mass) [18]. The plasmids were generously provided by Lynda Ostedgaard [19]. The plasmid pCMV5–β-gal was modified to contain truncated CMV promoters or the full CMV promoter as previously described [19]. To determine the effect of AAV inverted terminal repeats (ITRs) on Hoechst-activated gene expression, plasmids encoding the CMV promoter driving GFP expression, with or without AAV2 ITRs flanking the reporter gene and promoter were used for electroporation in COS-7 cells by standard methodologies. Briefly, 10 million cells were mixed with 2 µg of plasmid DNA in 400 µl of cytomix (120mM KCl, 0.15mM CaCl2, 10mM K2HPO4, 10mM KH2PO4, 25mM HEPES, 2mM EGTA, 5mM MgCl2, 2mM ATP and glutathione) and put in an electroporation cuvette (Bio-Rad Laboratories, Hercules, CA) for 30 minutes on ice. After electroporation, cells were seeded onto 24 well tissue culture plates and treated with Hoechst for 24 hours post-transfection. Flow cytometry was performed to determine the percentage of GFP positive cells 72 hours post-transfection.

# RESULTS

#### Hoechst increases transduction of human airway epithelia by AAV

Human airway epithelia (HAE) represent an in vitro model that recapitulates many of the *in vivo* barriers to viral-mediated gene transfer in the lung. As in many tissues *in vivo*, AAV-mediated transduction of HAE has a significant lag time prior to transgene expression. Li et al showed that Hoechst treatment of non-polarized cells accelerated the time course of transgene expression or alternatively increase AAV-mediated transduction of human airway epithelia. We transduced well-differentiated cultures of human airway epithelia, from the apical surface, with recombinant AAV1 encoding GFP under the control of the CMV promoter. Cells were treated with basolateral media containing 10  $\mu$ M Hoechst-33342 at the time of viral inoculation. Figure 1A and 1B show representative images of epithelia 7 days post-transduction in the presence or absence of Hoechst-33342. A 10-fold increase in the number of cells expressing GFP was observed when cells were treated with Hoechst (Figure 1c). These data suggest that, similar to Li et al, Hoechst increases AAV transgene expression and that increased numbers of cells were transduced.

#### The effect of Hoechst is dose dependent and serotype independent

We investigated the effect of increasing concentrations of Hoechst on transgene expression and the relationship to the serotype and multiplicity of infection (MOI). We transduced HT1080 cells with AAV1 or AAV5 at an MOI of  $10^5$  vg/cell, and treated with increasing concentrations of Hoechst. As seen in figure 2A and 2B, Hoechst treatment results in increased transgene expression that was dose dependent for both AAV1 and AAV5. Although no toxicity was observed at Hoechst concentrations up to  $10 \,\mu$ M, higher concentrations demonstrated cell death (data not shown). We then investigated the effect of Hoechst on transgene expression when we varied the MOI. Figure 3A (AAV1) and 3B (AAV5) show that the effect of Hoechst was more evident with lower amounts of virus. These data suggest that Hoechst has an effect that is dose dependent and AAV serotype independent. Hoechst treatment is able to increase the number of GFP positive cells to the level equivalent to 100 times more virus in the absence of Hoechst.

#### The effect of Hoechst is seen after gene transfer

Li et al., suggested that Hoechst-33342 affects the cell cycle, which in turn affects the ability of AAV the transduce the cells. Considering the low baseline level of mitosis observed in polarized airway epithelia, we speculated that the effect of treatment with Hoechst would not be due to altering the airway epithelial cell cycle, and would instead facilitate viral DNA conversion and/or transgene expression. We applied Hoechst before or after transduction with AAV to investigate if the effect of Hoechst is dependent on the cell cycle. We hypothesized that if the mechanism behind the Hoechst-33342-mediated increase in AAV transduction was due to an effect on the cell cycle, then the effect would be preserved if Hoechst-33342 was applied to the cells prior to AAV, but not after AAV was applied to the cells. Briefly, Hoechst was applied for 4 hours at different intervals during the transduction of airway epithelial cells with AAV1 from time 0 to 4 hours. Figure 4A shows that Hoechst has minimal to no effect when applied to HAE prior to AAV transduction, whereas the effect was identical when applied 24 hours after the transduction. Note the staining of the nucleus was identical in all epithelia. These results suggest that Hoechst does not alter cells before transduction and does not alter the number of cells taking up AAV, but instead affects an acute step of transduction. Moreover, these data suggest that treatment after a significant period of time may trigger or augment expression from latent AAV.

#### The effect of Hoechst is seen months after gene transfer

AAV infected airway epithelial cultures can survive for long periods of time (up to several months). We asked whether Hoechst-33342 would have an effect on AAV-mediated transgene expression in cultures transduced with AAV after a significant period of time. Mouse airway epithelia were transduced with  $10^5$  vg/cell of AAV1, AAV5, or bovine AAV (bAAV), and 120 days later were treated with 10  $\mu$ M Hoechst-33342. Figure 4B–D shows the significant increase in the number of GFP positive cells observed for each AAV serotype, with the greatest increase occurring in the bAAV-transduced epithelia. Representative images of the effect of Hoechst on bAAV-GFP transduced epithelia are shown immediately prior to Hoechst treatment (5B) and 24 hours post-Hoechst treatment (5C). The ability of Hoechst to have an effect when applied after transduction points to three

alternative possibilities: altered AAV intracellular trafficking, altered double strand formation/concatemerization of the DNA, or an effect on transcription.

#### Hoechst increases self-complementary AAV-mediated transgene expression

In order to investigate if the effect of Hoechst is due to augmenting the formation of double stranded DNA once the viral genome is delivered to the nucleus, we studied the effect of Hoechst on 2 different viruses: AAV2.5T [10] with a non-self-complementary or a self-complementary genome encoding the CMV promoter and an eGFP transgene [20]. HT1080 cells were transduced with  $10^5$  vg/cell of these viruses and treated with 0  $\mu$ M or 1  $\mu$ M Hoechst-33342 at the time of transduction. Few GFP positive cells were observed in epithelia treated with AAV2.5T-scGFP in the absence of Hoechst (Figure 5A). In contrast, numerous GFP positive cells were readily observed in epithelia treated with AAV2.5T-scGFP in the presence of Hoechst (Figure 5B). A similar increase was observed with AAV2.5T carrying the non-self complementary GFP genome (Figure 5C) demonstrating that similar to single stranded AAV, Hoechst improved expression of self-complementary AAV. These data suggest that Hoechst is not affecting second strand synthesis.

#### Hoechst does not affect AAV encoding the RSV promoter

We next investigated whether Hoechst was promoter specific, and asked whether Hoechst treatment would have an effect on the RSV promoter in the context of AAV. We transduced HT1080 cells with  $10^5$  vg/cell of AAV2 or AAV5 encoding the RSV promoter driving the eGFP transgene. 0  $\mu$ M or 1  $\mu$ M Hoechst was applied at the time of transduction. Hoechst-33342 had no effect on RSV-promoted GFP expression for AAV2 and AAV5 (Figure 6). These data suggest that the effect of Hoechst may be due to activation of the CMV promoter, and that transcription may be a limitation in AAV-mediated gene transfer to human airway epithelia.

#### Hoechst increases expression driven by the CMV promoter

To determine the effect of Hoechst on the promoter, and whether the effect of Hoechst requires the inverted terminal repeats from AAV, we asked whether Hoechst-33342 would have an effect on gene expression from transfected plasmid DNA with or without AAV ITRs. Plasmids encoding the CMV promoter driving expression of GFP with or without the ITRs of AAV2 flanking the construct in the presence or absence of 5  $\mu$ M Hoechst-33342 were transfected into COS-7 cells, and the cells were evaluated for GFP expression by flow cytometry 3 days after transfection (Figure 7). We found that the ITRs were not required for the Hoechst-mediated increase in gene expression, suggesting that there is an effect on CMV promoter activity. We then asked whether there was a minimal promoter unit required for increased gene expression and if the effect was dependent on the reporter gene. To test this COS-7 cells were transfected with plasmids encoding the full CMV promoter or truncated CMV promoters each driving expression of the  $\beta$ -galactosidase gene, in the presence or absence of 1 µM Hoechst-33342. Similar increases in transduction were observed in the presence of 1 µM Hoechst (Figure 8). This suggests that Hoechst has an effect on the CMV promoter that is not limited to just AAV-mediated transgene expression, is not transgenedependent, and that Hoechst will likely have a similar effect in many cell types.

## DISCUSSION

Despite identifying novel AAV serotypes that bind to the apical surface of airway epithelia, AAV-mediated gene transfer efficiency remains suboptimal for respiratory gene therapy. In this study we found that Hoechst improves the efficiency of AAV-mediated transgene expression in human airway epithelia by activating the CMV promoter. Work by Walters et al [8] and Halbert et al [21] suggest that binding is a rate limiting step for AAV2, while others have found that intracellular trafficking and second strand synthesis are rate limiting steps [12, 13]. We have also previously found that binding and internalization are sequential rate limiting steps, which an evolved virus (AAV2.5T) overcomes [10, 11]. Our work here suggests that transgene expression is an important limitation for recombinant AAV as well.

A practical limitation of using AAV for *in vitro* and *in vivo* experiments is the delay in transgene expression. We, and others have found maximal transgene expression after one month in airway epithelial cultures [10]. This not only delays experiments, but also affects the interpretation of the results depending on when assays are prepared and the sensitivity of the readout. Li et al have shown that Hoechst increases transgene expression and moreover, suggested the mechanism was due to a direct effect on the cell cycle. We were particularly interested in Hoechst for human airway epithelia because it accelerates expression from several weeks to days. The effect in human airway epithelia could not be explained by the results of Li et al., since primary airway epithelia are not mitotically active [22] and because Hoechst does not increase AAV-mediated transgene expression when airway epithelial cells are treated 24 hours before being inoculated with AAV. Additionally, the ability of Hoechst to increase transgene expression from transfected plasmid DNA and the lack of an effect by Hoechst on AAV-mediated transgene expression when under the control of the RSV promoter also suggest that the effect is not due to an altered cell cycle distribution. Zhang et al showed Hoechst-33342 increases luciferase gene expression in transfected BC3H-1 monocytes [14]. Others have shown that Hoechst increases transcription factor binding to the TATA-box-binding element in vitro [23–25]. These data support the conclusion that the effect of Hoechst on AAV-mediated transgene expression is due to activation of the CMV promoter.

Hemophilia gene therapy trials using AAV2 to target gene transfer to the liver demonstrate a delay of up to six weeks in transgene expression that is related to inefficient uncoating of the viral genome inside the nucleus [26]. In airway epithelia and liver, Duan et al. showed that AAV2 is delayed in endosomal processing and trafficking to the nucleus due to ubiquitination and proteosomal degradation of the capsid [12]. The increase in transgene expression with self-complementary AAV and the lack of an effect on AAV-mediated transgene expression with the RSV promoter indicate that Hoechst does not affect intracellular trafficking, nuclear uncoating, or single strand to double strand DNA conversion.

In summary, temporal activation of the CMV promoter is an important limitation in the transduction of multiple cell types by recombinant AAV. The effect of Hoechst is more pronounced when the CMV promoter is in the context of AAV, but Hoechst is able to increase expression from transfected plasmid DNA encoding a gene driven by the CMV

### Acknowledgments

We especially want to thank Phil Karp, Pamella Hughes, Lynda Ostedgaard, Tatiana Rokhlina and David Stoltz for their help and suggestions. We appreciate the support of the Gene Transfer Vector Core [supported by the Roy J. Carver Charitable Trust, the NHLBI, CFF and NIDDK (DK54759)], the *In Vitro* Cell Models Core [supported by the NHLBI, CFF and NIDDK (DK54759)] and the Morphology Core of the Gene Therapy Center [supported by the NIDDK (DK 54759)] at the University of Iowa. This work was supported by the National Heart Lung and Blood Institute.

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#### Figure 1.

Human airway epithelia were transduced with  $10^5$  vg/cell with AAV5-CMV-eGFP and treated with 0  $\mu$ M or 10  $\mu$ M Hoechst-33342 and fluorescent images were taken 7 days later (A and B respectively). Scale bar = 200  $\mu$ m. The number of GFP positive cells per field of view was quantified and shown in C. (\*p<0.01)

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#### Figure 2.

HT1080 cells were transduced with  $10^5$  vg/cell of either AAV1 or AAV5 in the presence of 0  $\mu$ M, 1.6  $\mu$ M, or 4.9  $\mu$ M Hoechst-33342. Representative images are shown from 48 hours post-transduction in A. Scale bar = 200  $\mu$ m. The number of GFP positive cells per field of view is shown in B.

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#### Figure 3.

HT1080 cells were transduced with increasing amounts of AAV1 (A) or AAV5 (B) and treated with 0  $\mu$ M, 1.6  $\mu$ M, or 4.9  $\mu$ M Hoechst-33342. Scale bar = 200  $\mu$ m. The number of GFP positive cells per field of view is shown. (\*p<0.05)

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#### Figure 4.

Human airway epithelia were treated with Hoechst-33342 for 4 hour intervals at different times relative to inoculation with AAV1 (A). "No Hoechst" indicates the cells were transduced with AAV1 but not treated with Hoechst. "-24 to -20" indicates the Hoechst was applied 24 hours prior and removed 20 hours prior to the addition of virus. "-5 to -1" indicates the Hoechst was applied 5 hours prior and removed 1 hour prior to the addition of virus. "0" indicates the Hoechst was applied 1 hour after and removed 5 hours after the virus was

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removed. "24 to 28" indicates the Hoechst was applied 24 hours after and removed 28 hours after the virus was removed. Scale bar = 200  $\mu$ m. B shows a mouse airway epithelial culture 120 days after transduction with bAAV before being treated with Hoechst-33342. C shows the same epithelium 24 hours after treatment with 10  $\mu$ M Hoechst-33342. Scale bar = 50  $\mu$ m. The numbers of GFP positive cells in mouse airway epithelia transduced with AAV1, AAV5, or bAAV pre- and 24 hours post-Hoechst treatment (D). (\*p<0.05)



#### Figure 5.

HT1080 cells were transduced with self-complementary or non-self-complementary AAV2.5T-CMV-eGFP and treated with 0  $\mu$ M or 1  $\mu$ M Hoechst-33342. 48 hours later, GFP expression was determined by fluorescent microscopy. Representative images from cells transduced with the self-complementary AAV2.5T and treated with (A) 0  $\mu$ M Hoechst or (B) 1  $\mu$ M Hoechst. Scale bar = 200  $\mu$ m. C shows the number of GFP positive cells per field of view for each virus. (\*p<0.01)

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#### Figure 6.

HT1080 cells were transduced with either AAV2 or AAV5 encoding the RSV promoter and the eGFP transgene and treated with 0  $\mu$ M or 1  $\mu$ M Hoechst-33342. 48 hours later, GFP expression was determined by fluorescent microscopy. A and B are representative images of cells transduced with AAV2 and treated with 0  $\mu$ M and 1  $\mu$ M Hoechst-33342 respectively. C and D are representative images of cells transduced with AAV5 and treated with 0  $\mu$ M and 1  $\mu$ M Hoechst-33342 respectively. C and D are representative images of cells transduced with AAV5 and treated with 0  $\mu$ M and 1  $\mu$ M Hoechst-33342 respectively. Scale bar = 200  $\mu$ m. E shows the number of GFP positive cells per field of view for each virus.

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#### Figure 7.

COS-7 cells were transfected with plasmids containing the CMV promoter driving GFP expression with or without AAV2 ITRs flanking the promoter/gene. The cells were treated with 0  $\mu$ M or 5  $\mu$ M Hoechst-33342 at the time of transfection, and evaluated for GFP expression by flow cytometry 3 days later. (\*p<0.01)



#### Figure 8.

COS-7 cells were transfected with plasmids containing the complete CMV promoter or truncated CMV promoters, each controlling expression of the  $\beta$ -galactosidase gene. These plasmids lacked the ITRs of AAV. The cells were treated with 0  $\mu$ M or 1  $\mu$ M Hoechst-33342 at the time of transfection, and evaluated for  $\beta$ -galactosidase activity 3 days later. (\*p<0.001)