

Original Article

Loss of expression of MHC class I-related chain A (MICA) is a frequent event and predicts poor survival in patients with hepatocellular carcinoma

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Abstract: Natural killer (NK) cells are important effector cells for the first line of defense against tumor. Distant MHC class I homolog MICA has been identified as human ligand for NK cell activating receptor NKG2D. Engagement of MICA triggers NK cells and augments antigen-specific CTL anti-tumor immunity. However, the expression level of MICA and its clinical significance in hepatocellular carcinoma remains to be elucidated. In the present study, a hospital-based study cohort of 143 HCC patients was involved. MICA expression levels were determined by immunohistochemical staining. The association of MICA expression with tumor clinicopathologic features, disease-free survival, and overall survival of HCC patients were analyzed. Significantly decreased expression of MICA was detected in tumor specimens. MICA expression was significantly associated with AFP level ($P < 0.001$) and tumor node metastasis stage ($P = 0.003$). Patients with reduced level of MICA had a statistically significantly shorter disease-free survival and overall survival duration than patients with preserved expression of MICA. However, in multivariate analysis, MICA expression level was found not to be an independent prognostic factor for both disease-free survival and overall survival of HCC patients. Our findings suggest that decreased MICA expression may play an important role in HCC tumor evasion of host immunity, which warrants further investigation in future studies.

Keywords: MHC class I-related chain, hepatocellular carcinoma, immunohistochemistry

Introduction

Hepatocellular carcinoma (HCC) ranks as the fifth most common malignancies and the third leading cause of cancer-related mortality worldwide, being responsible for 80% of primary malignant liver tumors in adults [1, 2]. HCC has a 5-year relative survival rate of approximately 7% and causes more than 600,000 deaths annually worldwide [3]. Although its prevalence is highest in Africa and Asia, its incidence in western countries is rising mainly due to increasing rates of alcoholic liver diseases and hepatitis C infection [4]. Currently, there is no effective treatment of HCC except surgical resection and liver transplantation for early-stage cancer. However, fewer than 15% of patients undergo surgery because of late clinical

presentation and diagnosis [5]. Therefore, the development of new therapeutic targets and biomarkers is urgently needed for early detection of HCC and thus the individualized treatment decision-making.

Although multiple major risk factors have been identified, such as genetic factors, environmental toxins, alcohol abuse, obesity, and metabolic disorders [6], infection with hepatitis virus B (HBV) or C (HCV) remains to be the major etiological factor for HCC [2]. Recent studies have demonstrated that NK cells are a major component of innate lymphocytes that responses to eradicate viral infection from the infected liver [7], and play a critical role in innate resistance against tumors [8, 9]. In addition, previous studies have revealed that NK cells can modulate

MICA expression in hepatocellular carcinoma

Table 1. Associations of MICA expression with characteristics of HCC patients

Variables	No. of cases	MICA_HCC expression		P (χ ² test)
		Low	High	
Total	143	79	64	
Gender				0.158
Male	25	17	8	
Female	118	62	56	
age				0.243
Age ≤ 54	77	46	31	
Age > 54	66	33	33	
HBsAg				0.828
HBsAg negative	5	3	2	
HBsAg positive	138	76	62	
AFP (μg/L)				0.000
AFP < 200	81	34	47	
AFP ≥ 200	62	45	17	
Maximal diameter (cm)				0.609
< 5	57	30	27	
≥ 5	86	49	37	
Tumor number				0.080
Single	106	54	52	
Mutiple	37	25	12	
Differentiation				0.505
Well	5	2	3	
Moderately	44	22	22	
Poorly	94	55	39	
TNM				0.003
1	86	38	48	
2	20	16	4	
3	32	20	12	
4	5	5	0	
PVTT				0.152
No PVTT	123	65	58	
PVTT	20	14	6	
therapy				0.140
hepatectomy	113	66	47	
hepatectomy + TACE	30	13	17	

CEA, carcinoembryonic antigen; MICA, MHC class I polypeptide-related sequence A.

the functions of dendritic cells (DC), the major sentinel of innate and adaptive immunity [10, 11]. Therefore, NK cells may also affect the magnitude and direction of adaptive immune responses against tumors. NK cell functions are regulated by a balance of negative and positive signals, which are mediated by inhibitory and activating receptors; the former includes

killer cell immunoglobulin-like receptors (KIRs) and C-type lectin-like molecules, such as CD94 and NKG2A/E, and the latter includes the NKG2D activating receptor [12, 13].

A stress-inducible MHC class I-related chain A (MICA) has been identified as a human ligand of NKG2D [14] expressed on the membrane of viral-infected cells and many carcinoma cells such as in lung, breast, ovary, prostate, colon cancer, but is usually absent from normal tissue [15, 16]. MICA share structural homology with MHC class I molecules but have no role in antigen presentation [17]. It acts as a ligand for NKG2D to activate the antitumor effects of NK cells and CD8⁺ T cells [14, 18, 19]. This NKG2D-mediated tumor elimination is considered to be effective in the early stages of tumor growth [20-22]. Thus, the expression level of MICA on the tumor cell surface may determine the anti-tumor efficacy. On the other hand, MICA is also secreted into the serum by cleavage at the transmembrane domain with matrix metalloproteinases [23, 24] and inhibits the anti-tumor effect of natural killer cells and CD8⁺ T cells by blocking their action [19, 25, 26]. Thus the levels of shedding MICA in serum may act as a decoy of NKG2D to avoid tumor rejection.

Previous works have demonstrated that a tumor-specific expression pattern of MICA has been observed in a broad range of epithelial tumor cells, such as melanoma, colon, breast, lung, ovary and renal [15, 27]. However, the expression and biological functions of MICA in HCC cells has not been investigated. In this study, we sought to extensively explore the association of MICA with human HCC by determining their expression levels in a larger cohort of primary hepatocellular carcinoma tissues. In addition, we analyzed its association with clinicopathological parameters and prognosis in HCC patients.

Materials and methods

Study population

A total of 143 HCC patients were enrolled at the Eastern Hepatobiliary Surgery Hospital, Second Military Medical University in Shanghai, China. Patients who met all the following eligibility criteria were included in our study: (1) diagnosis of primary HCC identified by histopathological examination; (2) treatment with radical resec-

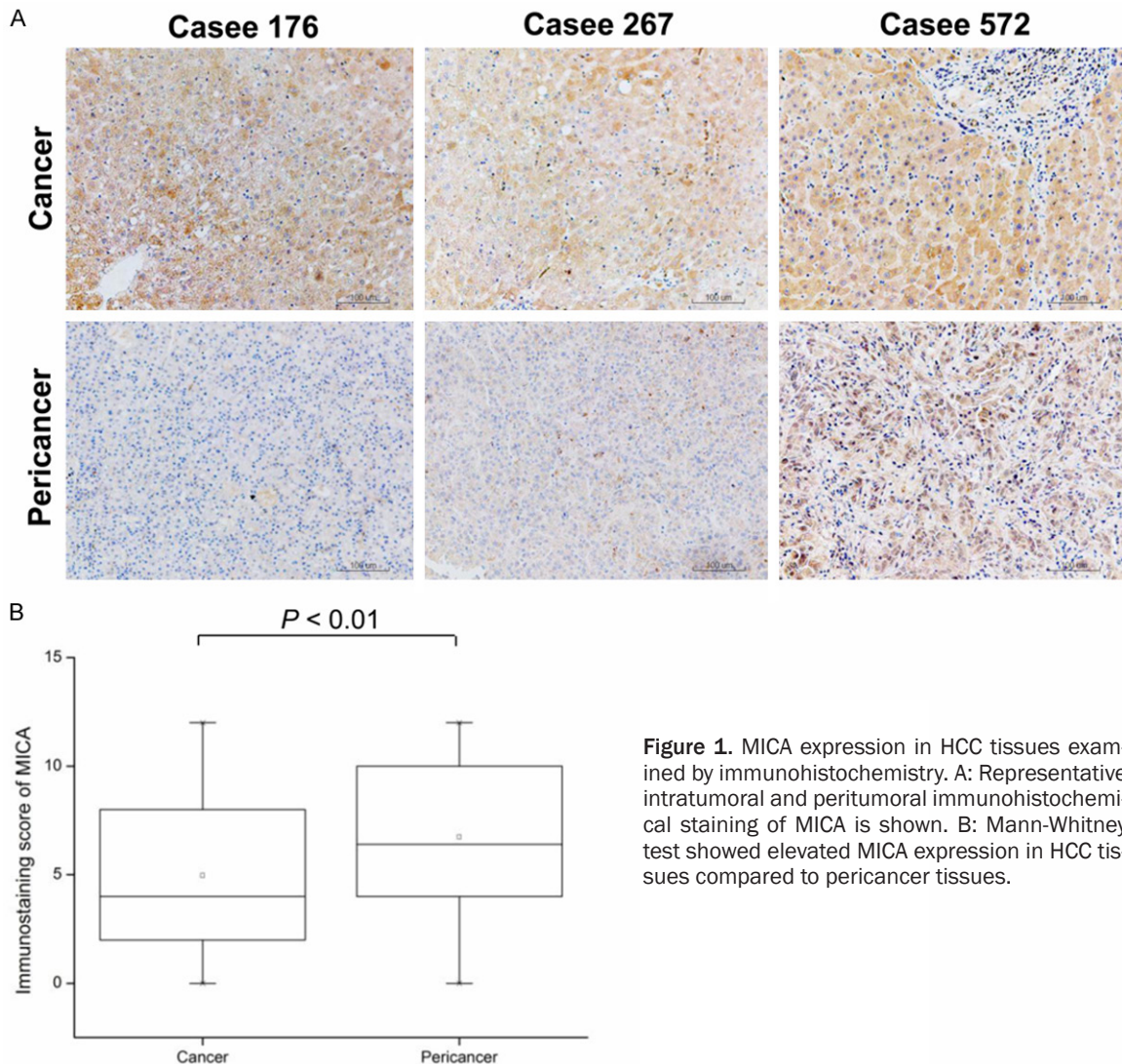


Figure 1. MICA expression in HCC tissues examined by immunohistochemistry. A: Representative intratumoral and peritumoral immunohistochemical staining of MICA is shown. B: Mann-Whitney test showed elevated MICA expression in HCC tissues compared to pericancer tissues.

tion; (3) availability of complete follow-up data; (4) no preoperative anticancer treatment, such as chemotherapy and radiotherapy; (5) no history of familial malignancy or other synchronous malignancy, and (6) no death within 3 months after operation. The histopathological type and grade were determined using the criteria of the World Health Organization (WHO) classification. All patients were staged according to the seventh-edition TNM staging system of the Union for International Cancer Control (UICC) and American Joint Committee on Cancer (AJCC). Postoperative follow-up, including physical and laboratory examinations, was performed at the outpatient department every three months for the first two years, every six months for the third to fifth years and annually thereafter until at least five years after the

operation or until the patient died, whichever came first. The last follow-up date was August, 2013. Informed consent was obtained from each patient. This study was approved by the Ethical Committee of Second Military Medical University and performed in accordance with the ethical standards of the Helsinki Declaration.

Tissue samples

For immunohistochemical staining, formalin-fixed, paraffin-embedded primary HCC samples were collected from 143 patients mentioned above and stored at room temperature. HE slides from these patients were viewed under a light microscope by pathologists and 4- μ m-thick tissue sections were cut from corresponding blocks containing representative tumor regions.

MICA expression in hepatocellular carcinoma

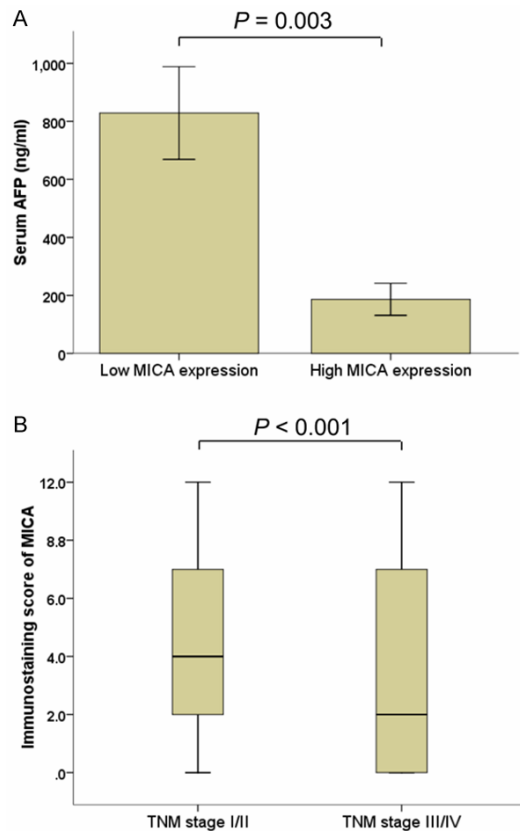


Figure 2. Associations of MICA expression with characteristics of HCC patients. MICA expression is negatively related to AFP class (A) and TNM staging (B).

Immunohistochemical staining

Sections were incubated with antibody of MICA (1:100, Abcam). Visualization signal was developed with Invitrogen Histostain Plus kit. The intensity and extent of MICA immunostaining were evaluated for all samples under double-blinded conditions. In brief, the percentage of positive staining was scored as 0 (0-9%), 1 (10%-25%), 2 (26%-50%), 3 (51%-75%) or 4 (76%-100%), and the intensity as 0 (no staining), 1 (weak staining), 2 (moderate staining) or 3 (dark staining). The total score was calculated as the product of intensity and extent, ranging from 0 to 12.

Statistical analysis

All statistical analyses were performed using the SPSS Statistics 19.0 software (IBM). χ^2 test was used to analyze the relationships between MICA expression and various clinicopathological parameters. Kaplan-Meier survival function

was calculated and compared with log-rank test. Cox proportional hazard regression model was used for univariate and multivariate analyses to explore the effects of the clinicopathological variables and MICA expression on survival. All statistical tests were two-tailed and $P < 0.05$ was considered to be significant.

Results

Characteristics of patients

The characteristics of 143 HCC patients involved in this study are shown in **Table 1**. 118 patients (82.5%) were female and 25 (17.5%) were male. The medium age was 52 years, with a range of 25 to 78. The tumor size of 57 HCC patients (39.9%) was smaller than 5 cm and that of 86 patients (60.1%) was larger than 5 cm (including 5 cm). Poorly differentiated tumor was the most common (65.7%), followed by moderately (30.8%) and well-differentiated (3.5%) tumors. According to the International TNM (Tumor Node Metastasis) Classification, 86 (60.1%), 20 (14%), 32 (22.4%), and 5 (3.5%) of 143 HCC patients were classified as TNM stages I, II, III, and IV, respectively. During this study, 57 (39.8%) patients died and 94 (65.7%) patients developed recurrence.

MICA expression was significantly decreased in HCC cells

We performed immunohistochemical analyses of 143 HCC tissue blocks, and MICA was detectable in all analyzed clinical specimens. As shown in **Figure 1**, MICA expression was lower in cancer tissues than in adjacent noncancerous tissues, and this difference was statistically significant ($P < 0.001$). By immunohistochemistry, MICA has a membrane-staining and cytoplasmic pattern, which is in accord with the membrane-bound and soluble forms of MICA.

Association between expression of MICA and clinicopathologic characteristics of HCCs

Patients were divided into two groups based on the overall expression level of MICA: a high MICA expression group ($n = 64$) and a low MICA expression group ($n = 79$). The association between the MICA expression level and different clinicopathologic variables are shown in **Table 1**. No statistically significant associations were observed between MICA expression and

MICA expression in hepatocellular carcinoma

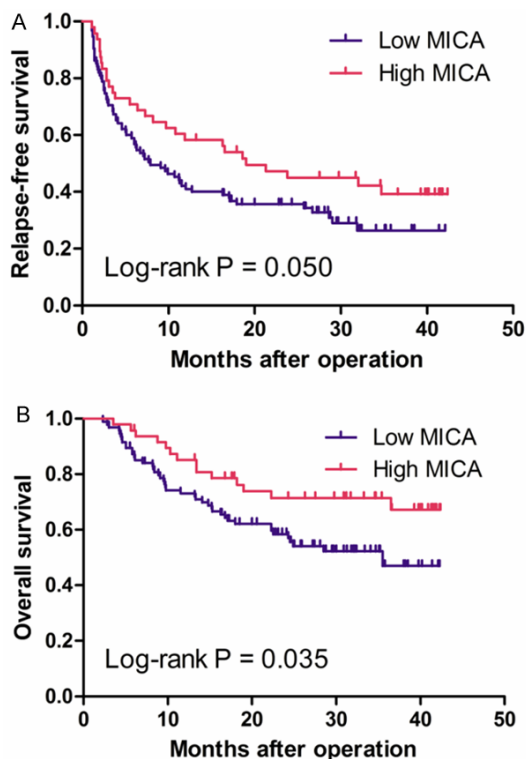


Figure 3. Kaplan-Meier survival curves of patients with different MICA expression levels. There is significant difference in the RFS (A) and OS (B) of HCC patients with different MICA levels.

gender, age at diagnosis, HBsAg, tumor size, tumor number, differentiation status, and PVTT status. Instead, the low level of MICA expression were found to be significantly associated with high AFP class ($P < 0.001$) and advanced TNM staging ($P = 0.003$) (Figure 2).

Association between the MICA expression and disease-free survival in HCC patients

The postoperative median follow-up duration was 24 months, and the Kaplan-Meier analysis was used to evaluate the disease-free survival of HCC patients with high or low MICA expression. Our data showed that HCC patients with high MICA expression had better disease-free survival than those with low MICA expression (Figure 3A, log-rank test: $P = 0.05$). The postoperative mean disease-free survival time of all eligible patients with HCC was 19.26 months (95% CI: 16.39-22.13). The postoperative mean disease-free survival time of patients with high expression of MICA was 23.01 months (95% CI: 18.03-27.97) whereas that of patients with low MICA expression was 17.26 months

(95% CI: 13.83-20.68). AFP, tumor size, tumor number, differentiation status, TNM stage, and PVTT status were proved to be associated with disease-free survival of patients with HCC. Patients with AFP of greater than 200 $\mu\text{g/L}$ (including 200 $\mu\text{g/L}$) and HCC patients with greater tumor size or number, poor differentiation, advanced TNM stage or PVTT positive had shorter disease-free survival and higher risk to relapse than those without. However, gender, age, HBsAg class, or therapy status had no prognostic value on disease-free survival of patients with HCC. Unadjusted hazard ratio (HR) is shown in Table 2.

To verify the independent prognostic value of MICA expression, the Cox proportional hazards model adjusted for gender, age, HBsAg, AFP class, tumor size, tumor number, differentiation status, TNM stage, PVTT, and therapy status was utilized to control for other prognostic factors. As a result, MICA protein level seems to be not an independent prognostic factor after controlling for all other life style and clinicopathologic factors. Whereas, AFP class and TNM stage were proved to be independent prognostic factors for disease-free survival of patients with HCC.

Association between MICA expression and overall survival of HCC patients

A statistically significant association between poor overall survival and reduced MICA expression level was found in patients with HCC. The Kaplan-Meier analysis for postoperative overall survival showed that HCC patients with high MICA expression had better overall survival than patients with low expression of MICA (Figure 3B, log-rank test: $P = 0.035$). The postoperative mean overall survival time of all eligible patients with HCC was 29.76 months (95% CI: 27.16-32.35). The postoperative mean overall survival time of patients with high expression of MICA was 33.57 months (95% CI: 29.57-37.58), whereas that of patients with low expression of MICA was 27.62 months (95% CI: 24.34-30.89). Similar to results of disease-free survival, AFP, tumor size, tumor number, differentiation status, TNM stage, and PVTT status proved to be prognostic factors for overall survival of patients with HCC. Patients with greater tumor size or number, poor differentiation, advanced TNM stage or PVTT positive had shorter overall survival. However, MICA expres-

MICA expression in hepatocellular carcinoma

Table 2. Cox regression analysis of prognostic factors in hepatocellular carcinoma

Variables	OS				RFS			
	Unadjusted analysis ^a		Adjusted analysis ^b		Unadjusted analysis ^a		Adjusted analysis ^b	
	HR (95% CI)	P value	HR (95% CI)	P value	HR (95% CI)	P value	HR (95% CI)	P value
MICA expression	0.695 (0.408-1.184)	0.181	1.094 (0.592-2.022)	0.774	0.928 (0.617-1.395)	0.720	1.425 (0.896-2.267)	0.135
Gender	1.021 (0.531-1.963)	0.951	1.284 (0.629-2.620)	0.492	1.046 (0.612-1.789)	0.869	1.323 (0.743-2.355)	0.342
Age_class	1.009 (0.607-1.678)	0.972	1.106 (0.633-1.931)	0.723	1.095 (0.735-1.633)	0.655	1.120 (0.727-1.725)	0.608
HBsAg	0.877 (0.214-3.598)	0.855			0.893 (0.282-2.822)	0.847		
AFP_class	2.040 (1.224-3.399)	0.006	1.875 (1.031-3.410)	0.039	1.712 (1.148-2.554)	0.008	1.749 (1.100-2.780)	0.018
HCC_size	2.489 (1.401-4.421)	0.002			1.769 (1.163-2.691)	0.008		
Tumor_No	2.273 (1.334-3.871)	0.003			2.129 (1.385-3.272)	0.001		
Differentiation	1.717 (1.026-2.876)	0.040	1.396 (0.801-2.433)	0.240	1.647 (1.109-2.447)	0.013	1.463 (0.963-2.223)	0.074
TNM	1.953 (1.524-2.503)	0.000	1.943 (1.489-2.536)	0.000	1.661 (1.364-2.022)	0.000	1.688 (1.368-2.083)	0.000
PVTT	4.564 (2.527-8.244)	0.000			3.869 (2.320-6.452)	0.000		
therapy	0.722 (0.366-1.424)	0.347	0.632 (0.304-1.313)	0.219	0.722 (0.432-1.205)	0.212	0.597 (0.344-1.038)	0.067

^aHazard ratios in univariate models. ^bHazard ratios in multivariable models. Abbreviations: CI, confidence interval; MICA, MHC class I polypeptide-related sequence A; HR, hazard ratio; OS, overall survival; RFS, relapse-free survival; PVTT, Portal Vein Tumor Thrombus.

sion, gender, age, HBsAg class, or therapy status had no prognostic value on overall survival of patients with HCC. Unadjusted HR values are shown in **Table 2**.

Multivariate analysis showed that AFP class and TNM stage were independent prognostic factors for overall survival of patients with HCC. Whereas, MICA expression level was proved to be not a prognostic factor for overall survival of HCC patients. In addition, no statistically significant correlation between age, gender, HBsAg, vascular invasion, differentiation or PVTT status and overall survival was found among patients with HCC (**Table 2**).

Discussion

In this study, we examined the expression of MICA and its association with clinical characteristics in HCC patients. We found that MICA expression was decreased in HCC tissues when compared with adjacent noncancerous tissues. Additionally, the expression levels of MICA were significantly associated with advanced TNM stage and AFP production, suggesting that decreased MICA expression might be of clinical relevance in the aggressiveness of hepatocellular carcinoma. We also explored the prognostic value of MICA in HCC patients. To our best knowledge, this is the first study to investigate the expression and clinical significance of MICA in HCC.

Immune responses against cancer cells is crucial for the prevention of tumor recurrence [28]. One important mechanism that prevents cancer metastasis is immune surveillance against cancer cells, in which NK cells play a crucial role [29]. The lysis of cancer cells by NK cells needs the symphony of KARs, such as NKG2D, DNAM-1, NKp46, and NKp30. It has been well documented that the interaction between MICA and NKG2D especially contributes to the effector responses of NK cells [14]. MICA expressed in many carcinoma cells and may serve as an important 'on' signal for NK cell-mediated innate immune surveillance against tumor cells [15, 16, 30]. Wu et al have demonstrated that MICA is induced at the early stage of prostate luminal epithelial cell transformation, and loss of predominant surface localization of MICA is associated with progression to invasive tumor or to progressively higher grades [16]. In addition, Maccalli et al have shown that the MICA/

NKG2D interaction promotes the lysis of tumor cells by T cells [18]. In consistence with these findings, we found that decreased MICA expression in HCC cells was associated with high-grade of HCC and worth OS of patients, suggesting the critical role of MICA/NKG2D interaction in the prevention of malignization of HCC by NK cells. However, the underlying mechanisms need to be further investigated.

Limited information is available about how MICA expression is regulated, particularly in specific tumors. The MICA gene transcriptional regulatory sequences contain heat shock elements similar to those in the hsp70 promoter, and previous studies have shown that MICA expression can be upregulated by heat shock treatment [31]. It had already shown that oxidative stress, viral and bacterial infections induced MICA expression, and activation of the MAPK intracellular signaling pathway upregulates the MICA expression on activated T lymphocytes [32-35]. However, the specific mechanisms of the induction of MICA expression remain unclear.

According to current available data, MICA is induced on a broad range of epithelial tumor cells, while is absent from normal tissues [15, 27, 30]. However, our current study clearly demonstrates that MICA is predominantly expressed in the adjacent nontumorous tissues rather than the HCC tumor cells. Human HCC has the unique characteristic of a development from chronic inflammatory liver diseases, and the adjacent "nontumorous tissues" is actually undergoing chronic inflammation. As cells expressing MICA on their surface are susceptible to NK and antigen-specific T cell immunity, the increased surface expression of MICA on adjacent nontumorous cells is proposed to mark nascent transformed cells for immune surveillance [36]. On the other hand, it has been demonstrated that NK cell activity decrease in patients with chronic liver diseases as well as HCC [37, 38], and long-term immunosuppression increases the incidence of many forms of malignancies [16]. Decreased MICA expression may represent one of the factors involved in HCC tumor evasion of host immunity, which is in accordance with our findings that decreased MICA expression is of clinical relevance in the aggressiveness of HCC. However, definitive evidence is still lacking and the role of MICA in the development of HCC needs to be further investigated in future studies.

MICA expression in hepatocellular carcinoma

In summary, our study for the first time demonstrated the reduction of MICA expression levels in HCC tissues compared with adjacent nontumorous tissues. In addition, significant associations between the decreased expression levels of MICA were found with high AFP class and advanced TNM staging. However, we did not find any association of MICA expression with the prognosis of HCC patients. Our findings contribute to the current understanding on the tumor cell adaption to antagonize the immunologic defense, which warrant further investigation in the role of MICA in the procedure of HCC development in future studies.

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Disclosure of conflict of interest

None.

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