

Exploring Primary Care Providers' Interest in Using Patient Navigators to Assist in the Delivery of Tobacco Cessation Treatment to Low Income, Ethnic/Racial Minority Patients

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Abstract We examined attitudes and practices regarding tobacco cessation interventions of primary care physicians serving low income, minority patients living in urban areas with a high smoking prevalence. We also explored barriers and facilitators to physicians providing smoking cessation counseling to determine the need for and interest in deploying a tobacco-focused patient navigator at community-based primary care practice sites. A self-administered survey was mailed to providers serving Medicaid populations in New York City's Upper Manhattan and areas of the Bronx. Provider counseling practices were measured by assessing routine delivery ($\geq 80\%$ of the time) of a brief tobacco cessation intervention (i.e., "5 A's"). Provider attitudes were assessed by a decisional balance scale comprising 10 positive (Pros) and 10 negative (Cons) perceptions of tobacco cessation counseling. Of 254

eligible providers, 105 responded (41%). Providers estimated 22% of their patients currently use tobacco and nearly half speak Spanish. A majority of providers routinely asked about tobacco use (92%) and advised users to quit (82%), whereas fewer assisted in developing a quit plan (32%) or arranged follow-up (21%). Compared to providers reporting $<80\%$ adherence to the "5 A's", providers reporting $\geq 80\%$ adherence tended to have similar mean Pros and Cons scores for Ask, Advise, and Assess but higher Pros and lower Cons for Assist and Arrange. Sixty four percent of providers were interested in providing tobacco-related patient navigation services at their practices. Although most providers believe they can help patients quit smoking, they also recognize the potential benefit of having a patient navigator connect their patients with evidence-based cessation services in their community.

Keywords Tobacco cessation · Primary care · Healthcare providers · Minority health · Patient navigation

Introduction

Smoking remains the leading modifiable behavioral risk factor and cause of premature death in the United States [1] and may be the largest single contributor of health inequalities in low-income populations [2]. Over the past decade, state and local governments have implemented evidence-based public health and clinical interventions in order to reduce cigarette consumption [3–8]. In 2002, the New York City Department of Mental Health and Hygiene embarked on a comprehensive tobacco control program [3] and, within 7 years, smoking rates in New York City fell to one of the lowest in the Nation (15.8%) [9]. However, rates of smoking show marked variations according to income,

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education and race/ethnicity and are highest among immigrants, minorities, and persons of a lower socioeconomic status [10–12].

Although safe and efficacious tobacco cessation treatments exist [7], these evidence-based treatments tend to be underutilized, especially among low-income, ethnic/racial minority smokers. In New York State only 28% of patients used evidence-based treatment cessation services (medication and/or counseling) [13]. In addition, despite New York State's widespread efforts to reduce barriers to access such as cost, language, and geographic distance, Spanish speaking and Medicaid smokers have lower utilization of the State Quitline than expected based on smoking prevalence rates [14–16].

Primary care providers have long been considered essential catalysts for cessation advice and assistance [17, 18]. Approximately 70% of all smokers are seen by a physician each year and even brief physician advice to quit smoking has been shown to be an effective smoking cessation intervention [7, 19]. The Public Health Service and US Preventive Services Task Force recently reaffirmed that clinicians should ask all adults about tobacco use and provide tobacco cessation interventions for those who use tobacco products (Grade A recommendation) [7, 20]. Providers are encouraged to follow the “5 A's” brief, behavioral counseling framework (i.e., (1) Ask about tobacco use; (2) Advise quitting; (3) Assess willingness to quit; (4) Assist to quit; (5) Arrange follow-up) to promote tobacco cessation. Although national and statewide surveys typically reveal that providers routinely ask their patients about tobacco use and advise smokers to quit, providers are much less likely to complete the latter “5 A's”, namely assessing willingness to quit, assisting smokers to quit, and arranging follow-up [21, 22]. Compounding this general tendency to forego the more intensive cessation treatment (i.e., less assessing, assisting and arranging follow-up), recent studies reveal marked disparities in primary care patterns with low income, black and Hispanic smokers being less likely to be asked about their smoking, advised to quit, or receive recommendations for use of evidence-based cessation pharmacotherapies [23–25].

Because of these disparities, innovative strategies are needed to help extend the reach and impact of physician-delivered advice and provide a bridge to community-based cessation services. Over the past decade, patient navigators have been used with increasing frequency to help patients access and overcome potential barriers to receiving quality cancer care [26, 27]. More recently, the use of patient navigators has been examined in primary care to extend a provider's reach in promoting adherence to preventive health recommendations [28, 29]. The patient navigation model represents a promising strategy for meeting the

Institute of Medicine's criteria for quality health care (i.e., being safe, effective, patient centered, timely, efficient, and equitable) [30]. In terms of the “5 A's”, patient navigators are ideally suited to perform “Assess,” “Assist,” and “Arrange,” interventions that require more time than primary care providers have been able and willing to provide [22]. In doing so, the navigator would concentrate on assisting smokers access evidence-based cessation interventions [31]. By arranging follow-up with the patient, the navigator also would be able to foster a sustained tobacco treatment intervention in the primary care setting [32, 33]. Ultimately, we hypothesize that lay patient navigators (i.e., former smokers from the same communities as the patients) can be trained and equipped with relevant knowledge, skills, and experience to address certain barriers specific to that patient population.

As part of a larger ongoing research project that aims to develop and apply a patient navigation model to reduce disparities in cessation treatment utilization, this study examined primary care physicians' attitudes and practices with regard to smoking cessation interventions. Specifically, we solicited a sample of primary care providers treating low-income, minority patients in areas known to have high rates of smoking and low cessation treatment utilization rates and assessed the frequency with which they have been counseling and offering interventions to their smokers. In addition, we wanted to understand barriers and facilitators to providing smoking cessation counseling. Finally, to gather preliminary evidence regarding the feasibility of integrating patient navigation into primary care clinics, we collected preliminary data regarding primary care providers' potential interest and perceived benefit of implementing a tobacco-focused patient navigator at community-based primary care practice sites.

Methods

Sample

The study was approved by the Institutional Review Boards at The City College of New York and Memorial Sloan-Kettering Cancer Center. Data were collected via a self-administered, anonymous survey mailed to a sample of primary care providers participating in a Medicaid-managed care program. Primary care providers (i.e., internists, family practitioners, and obstetrician/gynecologists) practicing in a zip code located within or in proximity to the targeted areas of either New York City's East and Central Harlem and Washington Heights and Inwood (i.e., 10128, 10029, 10035, 10025–27, 10030, 10037, 10039, 10031–34, 10040) or three zip codes in the Bronx (10451, 10452, and

10454) that were in close proximity to Upper Manhattan were selected because of the high proportion of current smokers residing in these low income, urban, largely minority neighborhoods [34–37].

Eligible providers were mailed the survey in July 2009 with personalized cover letters, stamped return envelopes, and a \$2 cash incentive, methods that have been found to maximize response rates from healthcare professionals [38]. A postcard for indicating contact information also was included for providers interested in participating in a future planned pilot patient navigation project. Follow-up phone calls and face-to-face visits were conducted.

Instruments

The 42-item questionnaire included sections pertaining to practice setting characteristics, tobacco cessation attitudes and practices, patient navigation, and personal background (demographic) information [39]. Provider attitudes were assessed by a validated decisional balance that consisted of 10 positive (Pros, $\alpha = 0.83$) and 10 negative (Cons, $\alpha = 0.86$) perceptions of tobacco cessation counseling. Individual items were measured on a 5-point Likert-type response format, ranging from 1 (*strongly disagree*) to 5 (*strongly agree*) [40]. The decisional balance scale has been found to be significantly associated with physicians' readiness to deliver smoking cessation counseling [40] in that greater positive beliefs (Pros) about providing cessation counseling are associated with greater readiness, whereas greater negative beliefs (Cons) are associated with lower likelihood of providing cessation counseling [41]. Provider counseling practices were measured by assessing routine delivery ($\geq 80\%$ of the time) of the "5 A's". The items pertaining to patient navigation asked if physicians were familiar with the patient navigation model (yes/no) and assessed physicians' perceptions of the effectiveness of having a staff person perform specified services for smokers.

Data Analysis

Data were analyzed using SPSS 15.0 (SPSS Inc, Chicago, IL). Descriptive statistics were calculated for demographic characteristics and enactment of the "5 A's". Differences between groups were examined using chi-squared tests. For the decisional balance scales, raw scores for Pros and Cons were converted into *T*-scores. Bivariate correlations were used to assess the relationship between mean Pros and Cons *T*-scores and readiness to provide tobacco cessation services and interest in patient navigation. Reported *p*-values are two-sided and a value of less than .05 was considered statistically significant.

Table 1 Characteristics of primary care providers

Characteristic	<i>N</i> (%)
Age	
20–39	25 (24.0%)
40–59	66 (63.5%)
60 and older	13 (12.5%)
Gender	
Female	74 (70.5%)
Race/ethnicity	
African American/Black	20 (19.2%)
Asian American/Pacific Islander	17 (16.3%)
Latino/Hispanic	17 (16.3%)
White	45 (43.3%)
Other	6 (4.9%)
Area of practice	
Internal medicine	44 (41.9%)
Family practice	16 (15.2%)
OB/GYN	30 (28.6%)
Others	15 (14.3%)
Number of years since graduating medical school (<i>n</i> = 102)	
Mean (SD)	19 (9.8)
Less than 10	21 (20.6%)
11–20	41 (40.2%)
21 and above	40 (39.2%)
Smoking status	
Never smoker	79 (75.2%)
Former smoker	23 (21.9%)
Current smoker	3 (2.9%)
Academic affiliation	
Yes	75 (72.1%)
English speaking patients (%)	
Mean (SD)	55.2 (28.6)
Spanish speaking patients (%)	
Mean (SD)	45.1 (29.5)
Estimated smoking prevalence (%)	
Mean (SD)	22.1 (16.6)

Results

Demographics

A total of 254 eligible providers received the survey packet and 105 providers completed surveys, yielding a response rate of 41.3%. The majority of physicians were between 40 and 59 years of age and the mean (SD) number of years since graduating medical school was 19.0 (9.8) (Table 1). Approximately 70% of providers were female and more than 50% self-identified as African American/Black, Asian American/Pacific Islander, or Latino/Hispanic. The most common area of practice was internal medicine (41.9%)

Table 2 Physicians’ tobacco-related counseling practices (5 A’s)

Intervention	Frequency and percent of physicians who perform the intervention with:				
	≥80% of patients	61–80% of patients	41–60% of patients	20–40% of patients	<20% of patients
Ask about smoking status	92 (92.0%)	6 (6.0%)	0 (0.0%)	1 (1.0%)	1 (1.0%)
Advise smoking patients to quit	83 (82.2%)	12 (11.9%)	4 (4.0%)	0 (0.0%)	2 (2.0%)
Assess willingness to quit	57 (57.0%)	26 (26.0%)	5 (5.0%)	6 (6.0%)	6 (6.0%)
Assist smoking patients	31 (32.0%)	16 (16.5%)	14 (14.4%)	10 (10.3%)	26 (26.8%)
Arrange follow-up for smoking patients	21 (21.4%)	11 (11.2%)	17 (17.3%)	13 (13.3%)	36 (36.7%)

followed by obstetrics/gynecology (28.6%). The providers estimated that approximately 22.1% of their patients are current tobacco users and that nearly half of their patients are Spanish speaking. While 23 (21.9%) providers reported being former smokers, only three (2.9%) providers reported currently smoking.

Provision of the “5 A’s”

Table 2 illustrates the percentage of time that primary care providers routinely perform each of the “5 A’s” with ≥80% of their patients. Although the vast majority of providers routinely asked patients about tobacco use (92%) and advised tobacco users to quit (82.2%), only slightly more than half of the providers assessed tobacco users’ willingness to quit (57%). Similarly, even fewer assisted tobacco users in developing a quit plan (32%) or arranged follow-up contact (21.4%).

Provider Attitudes toward Tobacco Cessation Counseling (Pros and Cons)

In terms of attitudes to deliver smoking cessation interventions, providers most strongly endorsed Pros related to the belief that doctors can be effective in tobacco cessation, physician counseling is effective, and physician advice is one of the best tobacco cessation interventions. On the other hand, the most highly endorsed Cons were the belief that smokers are non-compliant with tobacco cessation, cessation counseling is frustrating, and that physicians are unaware of the best cessation counseling strategies. Readiness to provide comprehensive cessation services (as measured on a 6-point scale from no expressed interest/concern to services fully integrated into care) was positively correlated with endorsement of Pros ($r = 0.29, p = .004$) and negatively correlated with endorsement of Cons ($r = -0.37, p < .001$). Provider attitudes also were related to performance of the “5 A’s” such that higher endorsement of Pros and lower ratings of Cons were associated with offering assistance ($p = .01$) and arranging ($p = .03$) for cessation services among providers (see Fig. 1).

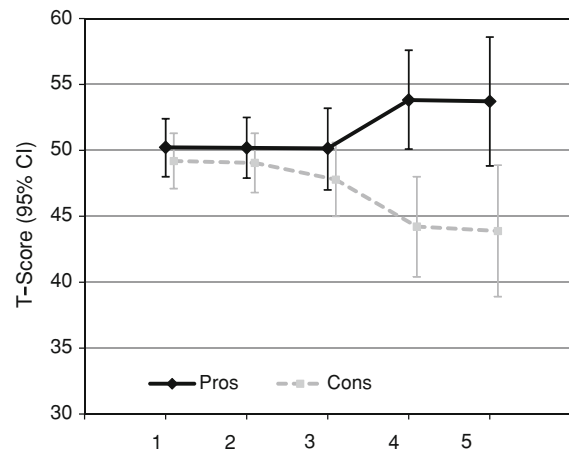


Fig. 1 Pros and cons of tobacco cessation counseling for providers who report at least 80% adherence to the 5 A’s for tobacco cessation

Readiness to Provide Tobacco Cessation Services

The three most frequently cited reasons for providers failing to provide smoking cessation advice and counseling to their patients were the perception of patients being unwilling or unmotivated to quit (30.2%), other health issues taking priority (27.9%), and lack of time (27.9%). In terms of awareness of community-based tobacco-cessation resources, 83% of providers were aware of the New York State Quitline while only 37% of providers knew about the New York State Fax-to-Quit Program, which allows providers to directly refer smokers to the Quitline. A minority of providers reported being familiar with formal cessation programs located in close proximity to their practice settings. When providers were asked what might make it easier to add or expand tobacco use cessation counseling within their practice, nearly two thirds (65.7%) cited greater knowledge of community referral sources and more than one third (37.3%) cited having staff with more training.

Awareness of and Interest in Patient Navigation

Although only 30% of providers had heard of patient navigation when provided with a brief explanation, 64% of respondents were interested in having a patient navigator

help their patients make greater use of the available tobacco services in their local community. In terms of perceived benefits of patient navigation, 83.4% believed a patient navigator would be helpful for following up with patients they advised to quit, 85.4% believed a patient navigator would help identify barriers to quitting, 88.2% believed a patient navigator would help patients find solutions to problems in quitting, and 89.4% believed a patient navigator would help motivate smokers to quit. Thirty-seven providers (35%) returned postcards expressing interest in a patient navigator to assist in their practice.

Finally, we examined provider demographics, cessation attitudes, and practice setting characteristics that may have been associated with providers' interest in patient navigation. Interest in patient navigation was positively correlated with endorsement of Pros ($r = 0.42$, $p < .001$) and negatively correlated with endorsement of Cons ($r = -0.22$, $p = .04$) of providing tobacco cessation services. No other covariates were associated with interest in patient navigation.

Discussion

Our study supports the need to identify strategies to support primary care providers' delivery of brief cessation counseling. Similar to other investigators [22], we found that providers routinely ask patients about smoking and advise their tobacco-dependent patients to quit; however, assisting and arranging follow-up are more inconsistently performed. Implementing these steps requires having time to counsel smokers, the ability to follow-up with regard to continued counseling, and knowledge of available and accessible statewide and community-based smoking cessation resources.

Provider Practices

Our data confirm prior work that provider attitudes regarding the Pros and Cons of smoking cessation are associated with self-reported counseling practices [40]. However, the impact of these attitudes is more pronounced in the later steps of the "5 A's" (i.e., assist and arrange). As noted, these steps tend to be more time and resource intensive.

Vogt and colleagues [42] found that a significant minority of primary care providers holds beliefs and attitudes unlikely to facilitate discussions about smoking cessation and the three most prevalent negative beliefs were the time needed to discuss smoking, a perceived lack of effectiveness of such discussions, and a perceived lack of skill in conducting such discussions. Meredith and colleagues [43] examined the impact of attitudes on behaviors and showed that primary care providers with more

favorable attitudes toward smoking cessation counseling were more likely to report counseling and referring patients to a smoking-cessation program. However, Litaker and colleagues [44] found that physician attitudes are necessary but are not sufficient to guarantee the delivery of preventive care and that patient- and practice-level barriers must be considered.

Implications for Tobacco-Related Patient Navigation

The majority of providers expressed interest in integrating a patient navigation model into their practices and perceived numerous benefits in terms of assisting their patients to quit smoking. These findings suggest that providers may be receptive to working with patient navigators to promote tobacco cessation in the primary care setting. Despite the evidence that tobacco cessation treatment is efficacious for low income, minority smokers [7], these smokers are significantly less likely to seek any type of cessation treatment or to receive assistance in quitting from their primary care provider [45, 46]. Because most providers do not routinely implement all 5 A's, we hypothesize that patient navigators might follow-up with assessment of willingness to quit and provision of additional counseling strategies such as problem solving, social support, and motivational strategies [47]. The navigator would be able to address practical, patient-level factors that our provider respondents cited as being barriers of particular relevance to the low income, minority smokers [48].

Interestingly, providers were more likely to cite patient-level barriers (i.e., motivation of patients) and practice-related barriers (i.e., amount of time needed and competing health issues) than lack of training in cessation treatment. This finding may reflect the comprehensive tobacco control plan implemented in New York City beginning in 2002 [3, 49]. Despite reporting relatively high rates of awareness of community-based cessation resources, the majority of providers felt that having fuller knowledge of such resources would enable them to be more effective in treating tobacco dependence within their own practice.

Utilizing patient navigators for behavioral risk factor modification might be helpful in linking patients with available and accessible tobacco cessation resources. Despite the concept of patient navigation having been developed two decades ago for the purposes of eliminating barriers to diagnosis and treatment of cancer in the Harlem community [50], only a minority of providers was familiar with the concept of patient navigation.

Strengths and Limitations

Our study has a number of limitations inherent in this type of research. First, our findings are based upon self-report

and previous work indicates that physicians tend to over-report their own delivery of preventive counseling services [51, 52]. However, even if over-reporting is present, few providers claim to be administering all 5 A's as their standard of routine care ($\geq 80\%$ of the time). Second, given our purposive sampling frame, the generalizability is limited to primary care providers practicing in underserved areas. Third, our response rate was 41% and, while considered reasonable for an anonymous provider survey [38, 53], nonresponse bias might have influenced our observed results. If nonresponders had less favorable attitudes of smokers and were less likely to counsel smokers, the level of care provided to smokers would be even lower. Because the provider survey was anonymous, we were unable to determine which providers from our mailing list had responded to our survey and which providers had failed to respond (and why).

Conclusions

Our premise is that patient navigators are in a unique position to address many of the barriers observed in promoting tobacco cessation in routine clinical practice. Our next step is to design and develop a tobacco cessation training curriculum for lay patient navigators. We then will examine the acceptability and utility of a lay patient navigator trained to address barriers to using existing evidence-based cessation services as a means of implementing a novel treatment delivery service for low income, minority smokers. In addition, the misconceptions about effective cessation treatment could be addressed with the intent of promoting greater use of evidence-based smoking cessation treatment in local communities.

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