

Role of CD8⁺ T Cells and Lymphoid Dendritic Cells in Protection from Ocular Herpes Simplex Virus 1 Challenge in Immunized Mice

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ABSTRACT

The development of immunization strategies to protect against ocular infection with herpes simplex virus 1 (HSV-1) must address the issue of the effects of the strategy on the establishment of latency in the trigeminal ganglia (TG). It is the reactivation of this latent virus that can cause recurrent disease and corneal scarring. CD8⁺ T cells and dendritic cells (DCs) have been implicated in the establishment and maintenance of latency through several lines of inquiry. The objective of the current study was to use CD8 $\alpha^{-/-}$ and CD8 $\beta^{-/-}$ mice to further evaluate the contributions of CD8⁺ T cells and the CD8 α^{+} and CD8 α^{-} subpopulations of DCs to the protection afforded against ocular infection by immunization against HSV-1 and their potential to increase latency. Neutralizing antibody titers were similar in immunized CD8 $\alpha^{-/-}$, CD8 $\beta^{-/-}$, and wild-type (WT) mice, as was virus replication in the eye. However, on day 3 postinfection (p.i.), the copy number of HSV-1 glycoprotein B (gB) was higher in the corneas and TG of CD8 $\alpha^{-/-}$ mice than those of WT mice, whereas on day 5 p.i. it was lower. As would be anticipated, the lack of CD8 α^{+} or CD8 β^{+} cells affected the levels of type I and type II interferon transcripts, but the effects were markedly time dependent and tissue specific. The levels of latent virus in the TG, as estimated by measurement of LAT transcripts and *in vitro* explant reactivation assays, were lower in the immunized, ocularly challenged CD8 $\alpha^{-/-}$ and WT mice than in their CD8 $\beta^{-/-}$ counterparts. Immunization reduced the expression of PD-1, a marker of T-cell exhaustion, in the TG of ocularly challenged mice, and mock-immunized CD8 $\alpha^{-/-}$ mice had lower levels of PD-1 expression and latency than mock-immunized WT or CD8 $\beta^{-/-}$ mice. The expansion of the CD8 α^{-} subpopulation of DCs through injection of WT mice with granulocyte-macrophage colony-stimulating factor (GM-CSF) DNA reduced the amount of latency and PD-1 expression in the TG of infected mice. In contrast, injection of FMS-like tyrosine kinase 3 ligand (Flt3L) DNA, which expanded both subpopulations, was less effective. Our results suggest that the absence of both CD8 α^{+} T cells and CD8 α^{+} DCs does not reduce vaccine efficacy, either directly or indirectly, in challenged mice and that administration of GM-CSF appears to play a beneficial role in reducing latency and T-cell exhaustion.

IMPORTANCE

In the past 2 decades, two large clinical HSV vaccine trials were performed, but both vaccine studies failed to reach their goals. Thus, as an alternative to conventional vaccine studies, we have used a different strategy to manipulate the host immune responses in an effort to induce greater protection against HSV infection. In lieu of the pleiotropic effect of CD8 α^{+} DCs in HSV-1 latency, in this report, we show that the absence of CD8 α^{+} T cells and CD8 α^{+} DCs has no adverse effect on vaccine efficacy. In line with our hypothesis, we found that pushing DC subpopulations from CD8 α^{+} DCs toward CD8 α^{-} DCs by injection of GM-CSF reduced the amount of latent virus and T-cell exhaustion in TG. While these studies point to the lack of a role for CD8 α^{+} T cells in vaccine efficacy, they in turn point to a role for GM-CSF in reducing HSV-1 latency.

A hallmark of ocular infection with herpes simplex virus 1 (HSV-1) is the establishment of latency in the trigeminal ganglia (TG) of the infected individual (1, 2). During the life of the latently infected individual, the virus can occasionally reactivate, travel back to the eye, and cause recurrent disease. Indeed, a major cause of corneal scarring (CS), also known as herpes stromal keratitis (HSK), is the scarring induced by HSV-1 following reactivation from latency (3, 4). Thus, the development of immunization strategies to protect against ocular HSV-1 infection must address the effects of the immunization strategy on the elicitation of latency by subsequent ocular exposure to HSV-1 and the maintenance of latency in the immunized mice. Protective immunity induced by a host following infection is mediated by a combination of innate (e.g., macrophage, NK cell) and adaptive (e.g., neutralizing antibody, cytotoxic T-lymphocyte) immune responses (5–13). In terms of adaptive responses, neutralizing antibodies and T-cell-mediated responses are involved in controlling primary ocular HSV-1 infection in naive mice (5, 14, 15). Both CD4⁺ T-cell-mediated and CD8⁺ T-cell-mediated immune responses

have been implicated in protection against ocular HSV-1 infection in naive mice (16–18), with adoptive transfer and *in vivo* T-cell-subset depletion studies suggesting variously that CD8⁺ T cells alone are sufficient (19–22), that CD4⁺ T cells alone are sufficient (23–26), or that the CD8⁺ and CD4⁺ T cells act together (16, 23, 27). However, CD8⁺ T cells have been implicated in the development of latency of HSV-1 in naive mice (28).

Dendritic cells (DCs) are powerful antigen-presenting cells (APCs) that play a key role in triggering the immune response against infectious agents. Although both macrophages (29) and

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DCs (30) can cross present antigens to T cells, only DCs are capable of stimulating naive CD8⁺ T cells (31, 32). DCs can also play an important role in the initiation of NK activation by viruses (33, 34). As DCs play a crucial role in linking innate and adaptive immunity and optimizing responses, there is increasing interest in using signals that are known to activate DCs or stimulate expansion of these cells to improve vaccine efficacy. Although the ability of DCs to stimulate NK cells and naive CD8⁺ T cells is of interest in the development of vaccines against HSV-1, it is necessary to clearly establish whether the DCs affect latency as well as protect against primary infections. Previously, we found that the levels of latency in mice that had been depleted of DCs using the diphtheria toxin/diphtheria toxin transgenic mouse system were lower than those in their mock-depleted counterparts, which suggested that DCs can promote HSV-1 latency and demonstrated that myeloid DCs regulate this process (35). In mice, CD11c⁺ DCs can be subdivided on the basis of their expression of CD8 α (36–40). The CD8 α ⁺ and CD8 α ⁻ subsets of DCs differ in terms of their expression of other molecules and their functional roles *in vivo* and *in vitro* (41–43). Using an adoptive transfer approach, we have found that the CD8 α ⁺ subset of DCs plays a key role in the establishment and maintenance of HSV-1 latency in mice (40), which would suggest that approaches that stimulate the development of CD8 α -expressing DCs should be avoided in the development of vaccines against ocular HSV-1 infection.

In the current study, we compared the effects of HSV-1 immunization of CD8 α ^{-/-} mice, CD8 β ^{-/-} mice, and wild-type (WT) mice on protection against primary infection and latency in the TG. In T cells, CD8 is functional when dimerized as either CD8 α -CD8 α homodimers or CD8 α -CD8 β heterodimers and CD8 β cannot form homodimers (44–46). Thus, CD8 α ^{-/-} mice lack both functional CD8⁺ T cells and CD8 α ⁺ DCs, whereas CD8 β ^{-/-} mice have functional CD8⁺ T cells and CD8 α ⁺ DCs. We report here that the absence of functional CD8⁺ T cells and CD8 α ⁺ DCs has no effect on the neutralizing antibody titer or virus replication in the eye after immunization or latency reactivation in ocularly infected mice. In addition, we found that treatment of WT mice with FMS-like tyrosine kinase 3 ligand (Flt3L) DNA enhanced the number of CD8 α ⁺ DCs and that this heightened the level of latency in the TG of the surviving mice. In contrast, treatment of WT mice with a granulocyte-macrophage colony-stimulating factor (GM-CSF) genetic adjuvant, which pushes the development of CD8 α ⁺ DCs to CD8 α ⁻ DCs, reduced the amount of latent virus in the TG. These studies point to a lack of a key role for CD8 α ⁺ T cells in HSV-1 vaccine efficacy and point to a role for GM-CSF in reducing HSV-1 latency.

MATERIALS AND METHODS

Virus and cells. Plaque-purified HSV-1 strains were grown in rabbit skin (RS) cell monolayers in minimal essential medium (MEM) containing 5% fetal calf serum (47). RS cells (from Steven L. Wechsler) were described previously (47). KOS, a nonneurovirulent nonstromal disease-producing strain, was used as a live virus vaccine. Since its original isolation by Kendall O. Smith at the Baylor College of Medicine, different substrains of KOS with various degrees of virulence in animals have been isolated (48–50). The avirulent KOS strain that we used in this study is also called KOS-63 (51, 52). McKrae, a stromal disease-causing, neurovirulent HSV-1 strain, was used as the ocular challenge virus.

Mice. WT C57BL/6 and C57BL/6 CD8 α ^{-/-} mice were purchased from The Jackson Laboratory. C57BL/6 CD8 β ^{-/-} mice have been described previously (53) and were bred in-house. All animal procedures

adhered to the Association for Research in Vision and Ophthalmology (ARVO) statement for the use of animals in ophthalmic and vision research and were conducted according to institutional animal care and use guidelines (Cedars-Sinai Medical Center).

Immunization. Mice were immunized three times at 2-week intervals by intraperitoneal (i.p.) administration of 1×10^6 PFU of live, avirulent HSV-1 strain KOS in tissue culture medium, as we described previously (54).

Serum neutralizing antibody titers. Sera were collected 3 weeks after the final immunization, and serum neutralizing antibody titers were determined using 50% plaque reduction assays, as we described previously (55).

Ocular infection. Mice were challenged 3 weeks after the final immunization by ocular infection (without corneal scarification) with 2×10^5 PFU of HSV-1 strain McKrae per eye in tissue culture medium (2 μ l) (56).

Titration of virus in tears. Tear films were collected from both eyes of 10 mice per group on days 1 to 5 postinfection (p.i.) of the eye using a Dacron-tipped swab. Each swab was placed in 0.5 ml tissue culture medium and squeezed, and the amount of virus was determined using a standard plaque assay on RS cells (56).

***In vitro* explant reactivation assay.** Mice were sacrificed at 28 days p.i., and individual TG were removed and cultured in 1.5 ml tissue culture medium, as we described previously (35). Briefly, a 10- μ l aliquot was removed from each culture daily for 20 days and used to infect RS cell monolayers. The RS cells were monitored daily for 2 days for the appearance of a cytopathic effect (CPE) to determine the time of first appearance of reactivated virus from each TG. As the media from the explanted TG cultures were plated daily, the time at which reactivated virus first appeared in the explanted TG cultures could be determined.

RNA extraction and cDNA synthesis. Corneas, TG, and spleens from immunized mice were collected on days 3 and 5 p.i. Additionally, in some immunized mice, TG were isolated on day 28 p.i. Isolated tissues were immersed in RNeasy RNA stabilization reagent and stored at -80°C until processing. The corneas, TG, or spleen from each animal was processed for RNA extraction using the TRIzol reagent (Invitrogen, Carlsbad, CA) and RNeasy column cleanup (Qiagen Inc., Valencia, CA). Briefly, frozen tissue was resuspended in TRIzol and homogenized, followed by addition of chloroform and subsequent precipitation using isopropanol. The RNA was then treated with DNase I to degrade any contaminating genomic DNA, followed by cleanup using a Qiagen RNeasy column as described in the manufacturer's instructions. The RNA yield from all samples was determined by spectroscopy (NanoDrop ND-1000; NanoDrop Technologies, Inc., Wilmington, DE). Isolated total RNA was reverse transcribed with random hexamer primers and the murine leukemia virus (MuLV) reverse transcriptase contained in the High Capacity cDNA reverse transcription kit (Applied Biosystems, Foster City, CA), according to the manufacturer's recommendations. All isolated corneas used for quantitative reverse transcription-PCR (qRT-PCR), as described below, were free of contamination from other parts of the mouse eye, vitreous fluid, and tears.

TaqMan real-time PCR. The expression levels of various target genes, as well as the expression of the endogenous control gene, GAPDH (glyceraldehyde-3-phosphate dehydrogenase), were evaluated using commercially available TaqMan gene expression assays (Applied Biosystems, Foster City, CA) with reaction mixtures containing optimized primer and probe concentrations. Primer-probe sets consisted of two unlabeled PCR primers and the 6-carboxyfluorescein (FAM) dye-labeled TaqMan MGB probe formulated into a single mixture. Additionally, all probes used to measure expression of cellular transcripts were designed to overlay an intron-exon junction to eliminate the signal from any potential genomic DNA contamination. The assays used in this study were as follows: (i) CD8 (α -chain) ABI assay Mn01182108_m1 (amplicon length, 67 bp), (ii) programmed death 1 (PD-1; also known as CD279) ABI assay Mm00435532_m1 (amplicon size, 65 bp), (iii) alpha interferon (IFN- α) ABI assay Mm00833961_s1 (amplicon length, 158 bp), (iv)

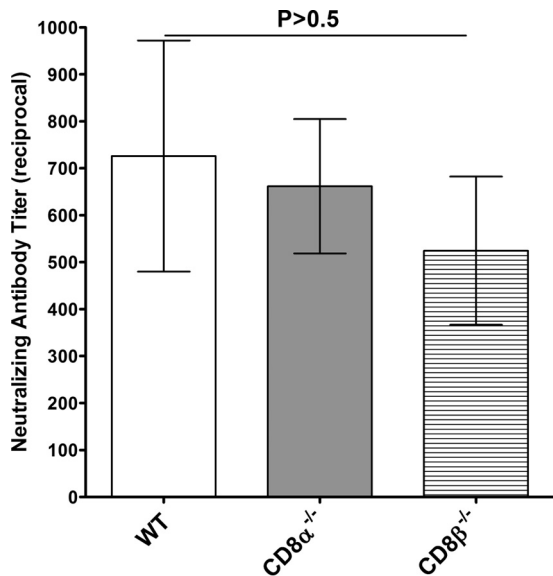


FIG 1 Neutralizing antibody titers in immunized mice. CD8 $\alpha^{-/-}$, CD8 $\beta^{-/-}$, and WT mice were immunized i.p. with avirulent HSV-1 strain KOS as described in Materials and Methods. Three weeks after the third immunization, mice were bled and neutralizing antibody titers were determined by plaque reduction assays. Each bar represents the average neutralizing antibody titer from 10 serum samples for WT mice, 16 serum samples for CD8 $\alpha^{-/-}$ mice, and 8 serum samples for CD8 $\beta^{-/-}$ mice. The error bars indicate the standard errors.

IFN- β ABI assay Mm00439552_s1 (amplicon length, 69 bp), (v) IFN- γ ABI assay Mm00801778_m1 (amplicon length, 101 bp), and (vi) GAPDH ABI assay Mm999999.15_G1 (amplicon length, 107 bp).

The custom-made primers and probe set used in this study were as follows: (i) LAT-specific primers (forward primer, 5'-GGGTGGGCTCGTGT ACAG-3'; reverse primer, 5'-GGACGGGTAAGTAACAGAGTCTCTA-3'; probe, 5'-FAM-ACACCAGCCCCGTTCTTT-3'; amplicon length, 81 bp, corresponding to LAT nucleotides 119553 to 119634) and (ii) glycoprotein B (gB)-specific primers (forward primer, 5'-AACGCGACGCACATCAAG-3'; reverse primer, 5'-CTGGTACGCGATCAGAAAGC-3'; probe, 5'-FAM-CAGCCGCGACTACTACC-3'). qRT-PCR was performed using an ABI ViiA7 sequence detection system (Applied Biosystems) in 384-well plates. The threshold cycle (C_T) values, which represent the PCR cycles at which there is a noticeable increase in the reporter fluorescence above the baseline, were determined using ViiA7 RUO software.

DNA injection. The complete open reading frames (ORFs) for murine GM-CSF and Flt3L were purchased from InvivoGen (San Diego, CA). Plasmid DNA was purified using a cesium chloride gradient. In each experiment, 10 female WT mice per group were injected intramuscularly (in the quadriceps), using a 27-gauge needle, with 10 μ g of cesium chloride-purified DNA in a total volume of 50 μ l of phosphate-buffered saline three times (14 days, 7 days, and 4 h before ocular infection), as we described previously (39, 55). The efficacy of GM-CSF injection compared with that of Flt3L injection to stimulate the increase of CD11c $^+$ CD4 $^+$ cells is shown in Fig. 9.

Flow cytometric analysis. Spleens were isolated from mice injected with GM-CSF or Flt3L or mock-injected mice 2 weeks after the first injection, and single cells were prepared. Isolated lymphocytes were stained with anti-CD3, anti-CD4, anti-CD8 α , and anti-CD11c obtained from BD Pharmingen (San Diego, CA) and BioLegend (San Diego, CA) and then analyzed by flow cytometry.

Statistical analysis. Student's *t* test and analysis of variance were performed using the computer program Instat (GraphPad, San Diego, CA) to analyze protective parameters. Results were considered statistically significant when the *P* value was <0.05.

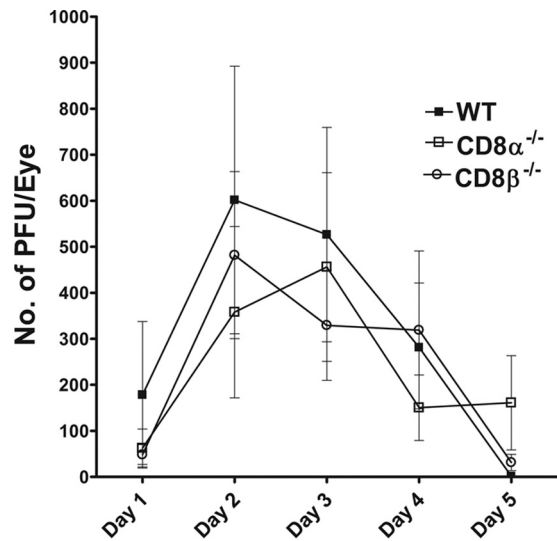


FIG 2 Virus titers in mouse eyes following ocular infection of immunized mice. The immunized mice described in the legend to Fig. 1 were ocularly infected with 2×10^5 PFU/eye of virulent HSV-1 strain McKrae. The presence of infectious virus in the eyes of immunized mice was monitored daily by collecting tear films from 20 eyes for WT mice, 32 eyes for CD8 $\alpha^{-/-}$ mice, and 28 eyes for CD8 $\beta^{-/-}$ mice, as described in Materials and Methods. The error bars indicate the standard errors.

RESULTS

Effects of HSV-1 immunization on levels of neutralizing antibody and replication of HSV-1 in the eyes of challenged mice.

CD8 $\alpha^{-/-}$, CD8 $\beta^{-/-}$, and WT mice were immunized three times with avirulent HSV-1 strain KOS ($n = 8$ to 16), and the titers of neutralizing antibody in sera collected 3 weeks after the final immunization were determined. The titers of neutralizing antibody were high in the immunized CD8 $\alpha^{-/-}$, CD8 $\beta^{-/-}$, and WT mice, and there was no statistically significant difference in the titers among the groups of immunized mice (Fig. 1, $P > 0.5$, Student's *t* test).

To assess the effects of immunization on primary infection in the different groups, the mice were challenged by ocular infection with HSV-1 and tear films were collected daily on days 1 through 5 p.i. ($n = 20$ to 32 eyes per group). The amount of infectious virus, determined using a plaque assay, was similar in the immunized CD8 $\alpha^{-/-}$, CD8 $\beta^{-/-}$, and WT mice during this time period (Fig. 2). Thus, the absence of CD8 α^+ or CD8 β^+ T cells or CD8 α -expressing DCs did not appear to affect the ability of the immunization strategy to promote the clearance of HSV-1 from the eyes of infected mice.

Effects of expression of CD8 on establishment of latency in ocularly challenged immunized mice.

To assess the establishment of latency in the immunized, ocularly challenged CD8 $\alpha^{-/-}$, CD8 $\beta^{-/-}$, and WT mice, we determined the amounts of LAT transcript in individual TG isolated on day 28 p.i. TaqMan RT-PCR was carried out using total RNA isolated from the individual TG. The amount of LAT mRNA detected in the TG of CD8 $\beta^{-/-}$ mice was significantly higher than that detected in the TG of WT or CD8 $\alpha^{-/-}$ mice (Fig. 3, $P = 0.03$). Thus, an absence of CD8 β is associated with an increase in HSV-1 latency in the TG of immunized mice that have been challenged by ocular infection, whereas an absence of CD8 α does not affect latency. These results are con-

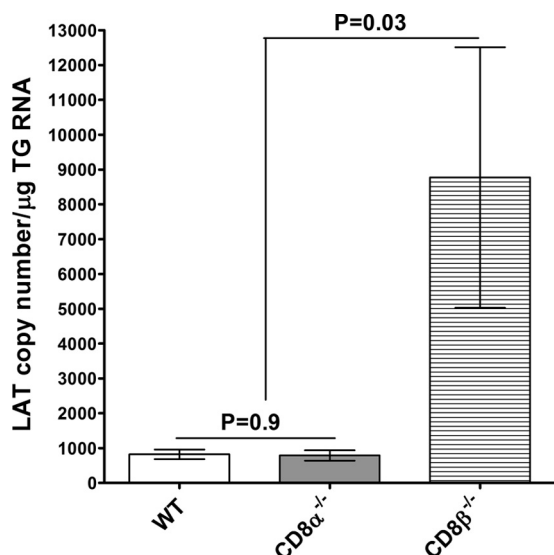


FIG 3 Quantitation of LAT RNA in TG of immunized mice. TG from the mice immunized as described in the legend to Fig. 1 were harvested on day 28 p.i. Quantitative RT-PCR was performed on the TG from each mouse. In each experiment, an estimated relative copy number of the HSV-1 LAT was calculated using standard curves generated from pGem5317. Briefly, DNA template was serially diluted 10-fold such that 5 μ l contained from 10^3 to 10^{11} copies of LAT and then subjected to TaqMan PCR with the same set of primers. By comparing the normalized threshold cycle of each sample to the threshold cycle of the standard, the copy number for each reaction was determined. GAPDH expression was used to normalize the relative expression of viral LAT RNA in the TG. Each bar represents the mean \pm SEM from 18 TG for WT mice, 32 TG for CD8 $\alpha^{-/-}$ mice, and 16 TG for CD8 $\beta^{-/-}$ mice.

sistent with those in our recent report showing that in naive mice, the absence of CD8⁺ T cells correlated with a reduction in viral latency (40). Similarly, it has been shown that the antiviral immune response after acute and chronic lymphocytic choriomeningitis virus (LCMV) infection is enhanced by genetic disruption of Qa-1-restricted CD8⁺ T-regulatory (Treg) cell activity (57). In marked contrast, there was no significant difference in the amount of LAT RNA in the TG of CD8 $\alpha^{-/-}$ mice and WT mice (Fig. 3, $P = 0.9$), suggesting that the absence of CD8 α in immunized mice did not affect the level of latency compared with that detected in immunized WT mice.

As it is well established that lower levels of LAT are associated with less reactivation (35, 39, 58, 59), we tested whether this reduction in the levels of LAT in the TG of immunized CD8 $\alpha^{-/-}$ mice and WT mice correlated with a reduction in latent virus reactivation. For this purpose, TG were harvested from immunized, ocularly infected CD8 $\alpha^{-/-}$ and WT mice on day 28 p.i., and the kinetics of virus reactivation in the explanted TG were measured. During the 20-day monitoring period, reactivation was detected in only 5 of 22 TG from CD8 $\alpha^{-/-}$ mice and was not detected in any of the TG from WT mice (Table 1). Although we detected higher levels of reactivation in the CD8 $\alpha^{-/-}$ mice than the WT mice, these differences were not statistically significant (Table 1, $P = 0.3$, Fisher exact test). Collectively, these results suggested that although the immunized CD8 $\alpha^{-/-}$, CD8 $\beta^{-/-}$, and WT mice had similar neutralizing antibody titers and levels of virus replication, they exhibited differences in the establishment of latency, with the immunized CD8 $\beta^{-/-}$ mice exhibiting higher latency after challenge than CD8 $\alpha^{-/-}$ or WT mice. Our results

TABLE 1 Effect of CD8 α on kinetics of induced reactivation in explanted TG from latently infected immunized mice^a

Mouse strain	No. of reactivated TG/total no. of TG ^b	Days of reactivation ^c
CD8 $\alpha^{-/-}$	5/22	4, 5, 6, 7, 9
WT	0/8	NA ^d

^a CD8 $\alpha^{-/-}$ and WT mice were immunized as described in the legend to Fig. 1. Three weeks after the third immunization, mice were ocularly challenged with HSV-1 strain McKrae, as described in Materials and Methods. On day 28 p.i., individual TG were harvested from infected mice. Each individual TG was incubated in 1.5 ml of tissue culture medium at 37°C. Aliquots of medium were removed from each culture daily for up to 20 days and plated on indicator cells (RS cells) to assay for the appearance of reactivated virus.

^b Number of TG showing CPEs/total number of TG. Five of the 22 isolated TG showed a CPE on the indicator cells by 20 days after the monitoring period.

^c The days that the CPE was detected on RS cells.

^d NA, not applicable.

demonstrate that the absence of CD8⁺ T cells did not increase the level of HSV-1 reactivation from latency in CD8 $\alpha^{-/-}$ mice compared with that in WT mice.

Levels of PD-1 mRNA in the TG of ocularly challenged immunized mice. We have shown previously that higher levels of latency of HSV-1 in the TG are associated with higher levels of expression of PD-1, which is a marker of T-cell exhaustion (60). We therefore compared the relative levels of PD-1 in the TG of ocularly challenged immunized mice using RT-PCR. The results are presented in Fig. 4 as the fold increase compared to the baseline mRNA levels in TG from unchallenged, mock-immunized mice for each group. The levels of PD-1 mRNA in the TG of the mock-immunized CD8 $\alpha^{-/-}$ mice were significantly lower than the levels of PD-1 mRNA in the TG of mock-immunized WT or CD8 $\beta^{-/-}$ mice (Fig. 4, $P < 0.0001$). In WT and CD8 $\beta^{-/-}$ mice, immunization with HSV-1 significantly reduced the levels of PD-1 in the TG compared with those in the TG of their mock-immunized counterparts (Fig. 4, $P < 0.0001$), whereas the levels of

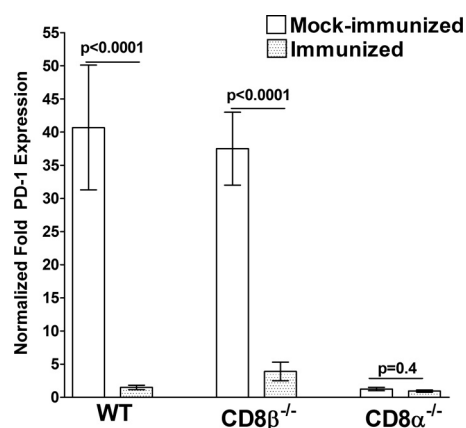


FIG 4 qRT-PCR analyses of the PD-1 transcript in the TG of latently infected mice. WT, CD8 $\alpha^{-/-}$, and CD8 $\beta^{-/-}$ mice were immunized i.p. with avirulent HSV-1 strain KOS or mock immunized as described in the legend to Fig. 1. Total RNA was isolated from each individual TG and used to estimate the relative expression of the PD-1 transcript in the TG of WT, CD8 $\alpha^{-/-}$, or CD8 $\beta^{-/-}$ mice. GAPDH expression was used to normalize the relative expression of each transcript in the TG of immunized mice. For mock-immunized mice, each bar represents the mean \pm SEM from 20 TG, while for immunized mice, each bar represents the mean \pm SEM from 18, 32, and 16 TG for WT, CD8 $\alpha^{-/-}$, and CD8 $\beta^{-/-}$ mice, respectively.

PD-1 mRNA in the TG of CD8 $\alpha^{-/-}$ mice was not affected by immunization (Fig. 4, CD8 $\alpha^{-/-}$, $P = 0.4$). However, the level of PD-1 mRNA was higher in the TG of immunized CD8 $\beta^{-/-}$ mice than in the TG of immunized CD8 $\alpha^{-/-}$ and WT mice, but the differences were not statistically significant (Fig. 4, $P > 0.05$). Although the level of PD-1 in immunized CD8 $\alpha^{-/-}$ mice was somewhat lower than that in WT mice, this difference was not statistically significant (Fig. 4, $P > 0.05$). These results suggest that immunization reduces T-cell exhaustion in ocularly challenged mice and that the absence of CD8 α is associated with less T-cell exhaustion in immunized mice. As the levels of PD-1 expression have been shown to correlate with the levels of LAT (60), these results provide further evidence that the absence of CD8 α is associated with less latency in immunized mice and suggest that this effect may be linked to the levels of T-cell exhaustion in the immunized mice.

Effect of CD8 α on gB and IFN expression during primary ocular challenge. The results presented above and those from our previously published studies using adoptive transfer approaches (40) indicate that the loss of CD8 α^+ cells has a beneficial effect in immunized mice in that it reduces latency (40). We therefore undertook a more detailed analysis of the effects of ocular challenge in immunized CD8 $\alpha^{-/-}$ mice. We first assessed the levels of the viral glycoprotein gB as an indicator of virus infection. The corneas, TG, and spleens were harvested on days 3 and 5 p.i., RNA was isolated, and the levels of transcripts for gB in the cornea and TG were determined using qRT-PCR. On day 3 p.i., the levels of gB mRNA in the cornea (Fig. 5A, $P = 0.2$) and TG (Fig. 5B, $P = 0.09$) were higher in CD8 $\alpha^{-/-}$ mice than in WT mice, but these differences were not statistically significant. This effect was reversed by day 5, at which time the levels of gB mRNA in the cornea (Fig. 5A, $P = 0.03$) and TG (Fig. 5B, $P = 0.02$) were significantly lower in CD8 $\alpha^{-/-}$ mice than in WT mice. On day 5 p.i., the differences in the levels of gB expression between CD8 $\alpha^{-/-}$ and WT mice were statistically significant, which is in marked contrast to the similarity in the titers of replicating virus in the tears of immunized CD8 $\alpha^{-/-}$ and WT mice after ocular challenge. It is thus reasonable to speculate that the absence of CD8 α in CD8 $\alpha^{-/-}$ mice may interfere with the posttranscriptional aspect of virus replication but not at the level of virus production.

We also determined the levels of type I IFN (IFN- α , IFN- β) or type II IFN (IFN- γ) transcripts by qRT-PCR using the same total RNA used in the experiments described above. In this case, RNA isolated from the spleens of the same mice was used as a control. The levels of IFN- α mRNA were significantly lower in the corneas of CD8 $\alpha^{-/-}$ mice than in the corneas of WT mice on day 3 p.i. (Fig. 6A, $P = 0.004$) and day 5 p.i. (Fig. 6A, $P = 0.0003$). In contrast, the levels of IFN- α in the TG of CD8 $\alpha^{-/-}$ mice on day 3 (Fig. 6B, $P = 0.09$) and day 5 (Fig. 6B, $P = 0.08$) were not statistically significantly higher than those in the TG of WT mice, while they were significantly higher in the spleens of CD8 $\alpha^{-/-}$ mice than in the spleens of WT mice on days 3 and 5 p.i. (Fig. 6C). There was no significant difference in the levels of IFN- β mRNA in the corneas of the CD8 $\alpha^{-/-}$ and WT mice on day 3 p.i. (Fig. 7A, $P = 0.4$), whereas on day 5, the levels of IFN- β were significantly lower in the corneas of CD8 $\alpha^{-/-}$ mice than in the corneas of WT mice (Fig. 7A, $P = 0.04$). Similarly, there were no significant differences between the levels of IFN- β mRNA in the TG (Fig. 7B, $P = 0.6$) and spleens (Fig. 7C, $P = 0.1$) of CD8 $\alpha^{-/-}$ mice and the levels of IFN- β mRNA in the TG and spleens of WT mice on day 3. How-

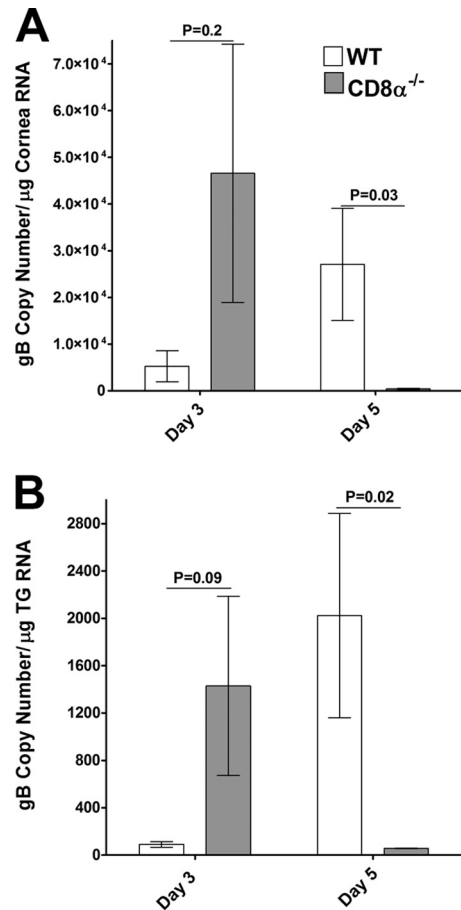


FIG 5 Expression of gB in the corneas (A) and TG (B) of infected mice. CD8 $\alpha^{-/-}$ and WT mice were immunized as described in the legend to Fig. 1 and ocularly infected as described in the legend to Fig. 2. gB expression in the cornea and TG was determined on days 3 and 5 p.i. In each experiment, an estimated relative copy number of HSV-1 gB was calculated using standard curves generated from pAC-gB1. Briefly, the DNA template was serially diluted 10-fold such that 5 μ l contained from 10³ to 10¹¹ copies of LAT and then subjected to TaqMan PCR with the same set of primers. By comparing the normalized threshold cycle of each sample to the threshold cycle of the standard, the copy number for each reaction was determined. GAPDH expression was used to normalize the relative expression of each transcript in the cornea and TG of infected mice. Each bar represents the mean \pm SEM from 6 corneas or TG.

ever, on day 5 the levels of IFN- β mRNA in the TG (Fig. 7B, $P = 0.04$) and spleen (Fig. 7C, $P = 0.0003$) were higher in the CD8 $\alpha^{-/-}$ mice than in the WT mice. Although there was no significant difference in the levels of IFN- γ mRNA in the corneas (Fig. 8A, $P = 0.2$), TG (Fig. 8B, $P = 0.7$), and spleens (Fig. 8C, $P = 0.07$) of CD8 $\alpha^{-/-}$ and WT mice on day 3 p.i., the levels of IFN- γ mRNA expression in the corneas (Fig. 8A, $P = 0.01$), TG (Fig. 8B, $P = 0.03$), and spleens (Fig. 8C, $P = 0.02$) were significantly lower in the CD8 $\alpha^{-/-}$ mice than in the WT mice on day 5 p.i. Taken together, these data demonstrate that the absence of CD8 α cells was associated with the downregulation of induction of type I IFNs in the cornea, whereas the absence of CD8 α cells did not downregulate the induction of type I IFNs in the TG on challenge after HSV-1 immunization. In contrast, the absence of CD8 α cells was associated with a significant reduction in the levels of type II IFNs in both the corneas and TG. Collectively, these results sug-

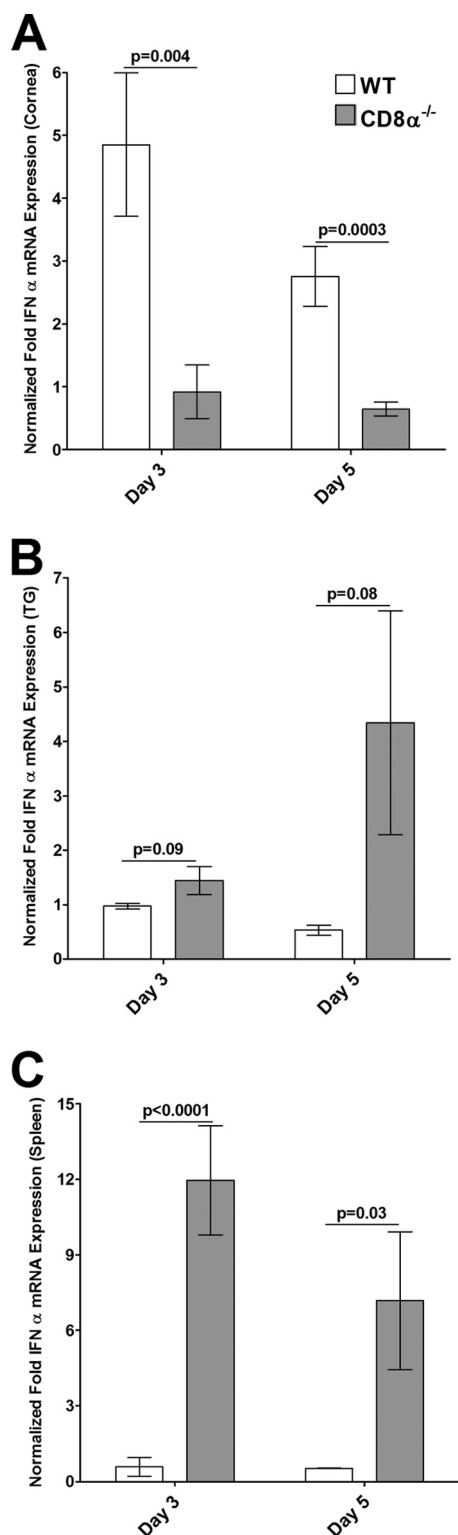


FIG 6 Expression of IFN- α in corneas (A), TG (B), and spleens (C) of infected mice. Total RNA isolated from individual mouse corneas and TG as described in the legend to Fig. 5 as well as RNA from the spleens of the same mice was used to estimate the relative levels of expression of IFN- α transcripts in WT and CD8 $\alpha^{-/-}$ immunized mice. IFN- α expression in the cornea, TG, and spleen was determined on days 3 and 5 p.i. GAPDH expression was used to normalize the relative expression of each transcript in the cornea, TG, or spleen in each group. Each bar represents the mean \pm SEM from 6 corneas or TG and 3 spleens.

gest that type I IFN plays a protective role against establishment of latency; i.e., higher levels of the gB transcript in the cornea occurred in the context of lower levels of type I IFN and lower levels of the gB transcript in the TG occurred in the context of higher levels of type I IFN. In contrast, type II IFN did not appear to play a major protective role against HSV-1 replication or establishment of latency.

Contribution of CD8 α -positive cells to latency and T-cell exhaustion. It has previously been reported that CD8⁺ T cells play a dominant role in maintaining HSV-1 latency (28), while we have recently shown that the absence of CD8 α ⁺ DCs, rather than CD8 α ⁺ T cells, is associated with a significant reduction of latency in the TG of infected mice (40). In the current study, we found that immunized CD8 $\alpha^{-/-}$ mice, despite their lack of both CD8 α ⁺ T cells and CD8 α ⁺ DCs, exhibit protection against ocular HSV-1 infection that was the same as or better than that of their immunized WT counterparts. This is consistent with our previous observation that transfer of CD11c⁺ CD8 α ⁺ cells to recipient mice that have been depleted of their DCs results in significantly enhanced latency in the TG of infected mice, whereas transfer of CD11c⁺ CD8 α ⁻ cells results in a reduction in latency (39). The number of DCs in mice can be manipulated by administration of DNA adjuvants. Flt3L-deficient mice have reduced levels of both myeloid-related (CD11c⁺ CD8 α ⁻) and lymphoid-related (CD11c⁺ CD8 α ⁺) DCs (61), and injection of Flt3L results in the expansion of both these DC subpopulations (39). In contrast, injection of GM-CSF results in expansion of the CD8 α ⁻ DC population but not the CD8 α ⁺ DC population *in vivo* (62, 63). As a proof of principle that an increase in the numbers of CD8 α ⁺ DCs contributes to higher latency and, consequently, T-cell exhaustion, WT mice were injected with GM-CSF or Flt3L DNA or mock injected. In these experiments, the mice were not immunized with the avirulent HSV-1 strain KOS to permit analysis of fine differences between the effects of injection of GM-CSF versus the effects of injection of Flt3L. WT mice rather than CD8 $\alpha^{-/-}$ mice were used in this experiment to compare the effects of expansion of CD4⁺ DCs compared to the effects of expansion of CD8 α ⁺ DCs in the context of a WT C57BL/6 background. The results of fluorescence-activated cell sorting (FACS) analysis following the first injection of mice with GM-CSF and Flt3L DNA are shown in Fig. 9. The results of FACS analysis suggested that the injection of mice with GM-CSF DNA significantly increased the CD11c⁺ CD4⁺ CD8 α ⁻ population in the spleens of injected mice compared with that in the spleens of mice injected with Flt3L DNA (Fig. 9, $P = 0.04$, right side) and mock-injected mice (Fig. 9, $P = 0.02$, right side), while the levels of CD11c⁺ CD4⁻ CD8 α ⁺ cells stayed the same among the three groups (Fig. 9, $P > 0.5$, left). These results are consistent with those of previous studies showing that GM-CSF expands the CD11c⁺ CD4⁺ CD8 α ⁻ population but not the CD8 α ⁺ DC population when administered to mice (62–66).

Following the third injection of GM-CSF or Flt3L DNA, mice were challenged ocularly, and on day 28 p.i., the levels of LAT mRNA in the TG of the infected mice were determined. The levels of LAT mRNA in the TG of latently infected mice were 4-fold lower in the GM-CSF-injected mice than in the mock-injected control mice (Fig. 10A, $P = <0.04$ for GM-CSF-injected compared to mock-injected mice). Similarly, the levels of LAT mRNA in the TG of latently infected mice were 3-fold lower in the Flt3L-injected mice than the mock-injected control mice (Fig. 10A, $P < 0.04$ for Flt3L-injected compared to mock-injected mice). Al-

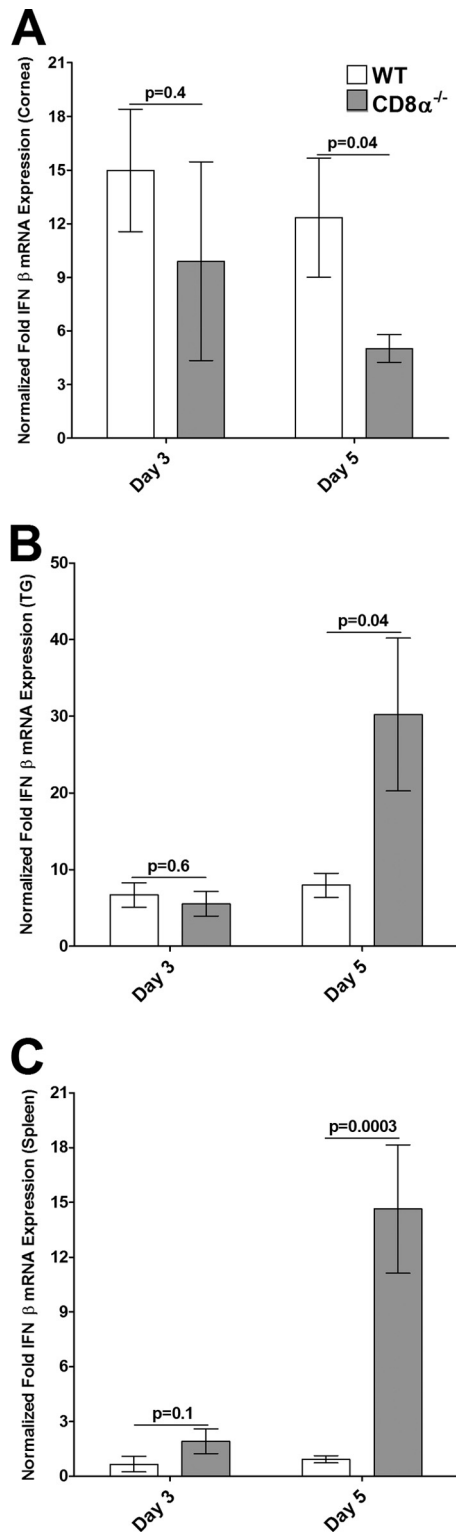


FIG 7 Expression of IFN- β in corneas (A), TG (B), and spleens (C) of infected mice. Total RNA isolated from individual mouse corneas and TG as described in the legend to Fig. 5 as well as RNA from the spleens of the same mice was used to estimate the relative levels of expression of IFN- β transcripts in WT and CD8 $\alpha^{-/-}$ immunized mice. IFN- β expression in the cornea, TG, and spleen was determined on days 3 and 5 p.i. GAPDH expression was used to normalize the relative expression of each transcript in the cornea, TG, or spleen in each group. Each bar represents the mean \pm SEM from 6 corneas or TG and 3 spleens.

though the levels of LAT in the Flt3L-injected mice were higher than those in the GM-CSF-injected mice, this difference was not statistically significant (Fig. 10A, $P = 0.2$). These results indicate that latency in the TG is reduced in mice injected with either GM-CSF or Flt3L DNA and further suggest that GM-CSF may be somewhat more effective.

As PD-1 is a marker of T-cell exhaustion and higher LAT expression correlates with higher PD-1 expression (60), we also investigated the effects of injection of GM-CSF or Flt3L on the expression of PD-1 in the TG of latently infected mice. For these purposes, the relative level of PD-1 was determined by RT-PCR of the total TG RNA extracts used to generate the data shown in Fig. 10A. The results are presented as the fold increase compared to the baseline mRNA level in TG from uninfected naive mice (Fig. 10B). The levels of PD-1 mRNA in the TG of the GM-CSF-injected mice were significantly lower than those in the TG of the mock-injected group (Fig. 10B, $P = 0.03$ for GM-CSF-injected mice compared to mock-injected mice). Although the level of PD-1 mRNA was lower in Flt3L-injected mice than the mock-injected control group, this difference was not statistically significant (Fig. 10B, $P = 0.5$). Similarly, although the levels of PD-1 in the TG of GM-CSF-injected mice were lower than those in the TG of Flt3L-injected mice, this difference was not significantly different (Fig. 10B, $P > 0.2$). These results provide further evidence of a direct correlation between a reduction in latency and a reduction in PD-1 expression.

DISCUSSION

The most efficient way to decrease latency and, thus, subsequent recurrent infections and a loss of vision associated with HSV-1 infection is to reduce latency in the TG. Our previously published studies provide several lines of evidence that CD8 α^{+} DCs contribute to latency in the TG of infected mice. We have shown that CD11c $^{+}$ CD8 α^{+} DCs are involved in the enhancement of latency in the TG of ocularly infected mice (35, 39), that increased latency is correlated with increased levels of CD8 $^{+}$ PD-1-positive T cells in the TG of latently infected mice (60), that CD11c $^{+}$ CD8 α^{+} DCs are involved in the exhaustion of T cells, and that this T-cell exhaustion is involved in enhanced latency (35, 39). Most recently, we used an adoptive transfer approach to demonstrate that CD8 α^{+} DCs, rather than CD8 $^{+}$ T cells, are responsible for enhanced viral latency and recurrences (40). In the current study, we tested the overall hypothesis that the absence of both CD8 T cells and myeloid DCs has no negative effect on vaccine efficacy and may be beneficial in terms of a reduction in the establishment of latency. In addition, as a proof of principle, we tested if pushing DCs toward myeloid-related DCs (CD11c $^{+}$ CD8 α^{-}) and away from lymphoid-related DCs (CD11c $^{+}$ CD8 α^{+}) would reduce latency in infected mice and that if it would do so by reducing T-cell exhaustion in the TG of infected mice.

In early studies, we found that naive mice in which the cornea was scarified prior to ocular infection with 1×10^6 PFU/eye of HSV-1 strain McKrae needed both CD4 $^{+}$ and CD8 $^{+}$ T cells for protection against death and CS (16). However, more recently we found that CD8 $\alpha^{-/-}$, CD8 $\beta^{-/-}$, and WT mice with a C57BL/6 background are refractory to death and CS following ocular infection with 2×10^5 PFU/eye of HSV-1 strain McKrae in the absence of corneal scarification (40). In the current study, the absence of CD8 α^{+} or CD8 β^{+} cells in immunized mice did not adversely affect the generation of neutralizing antibodies or protection

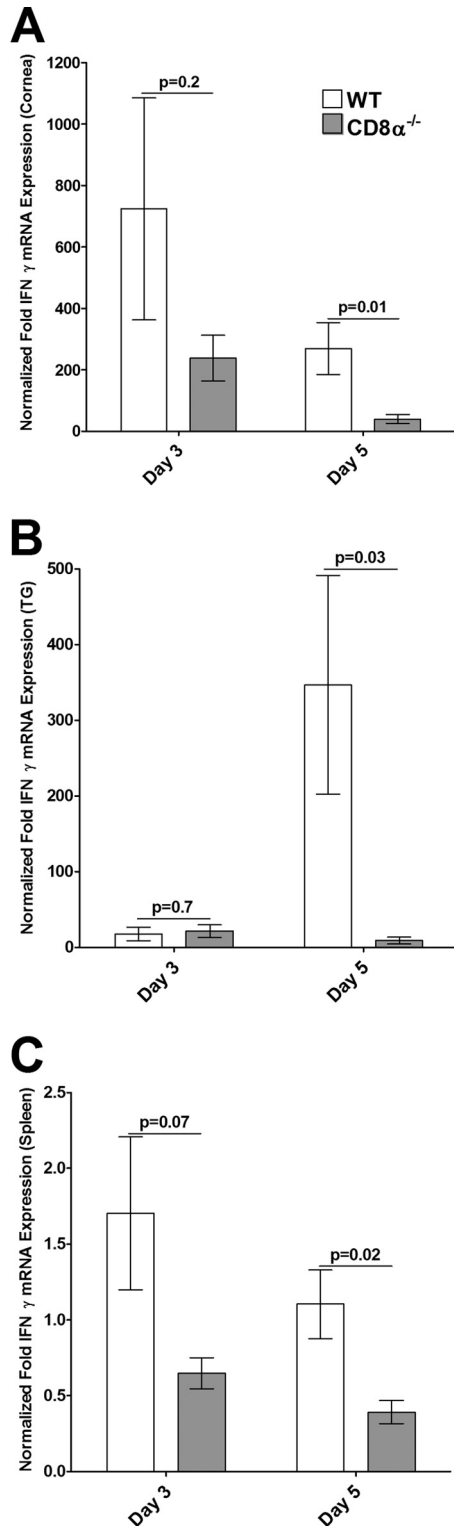


FIG 8 Expression of IFN- γ in corneas (A), TG (B), and spleens (C) of infected mice. Total RNA isolated from individual mouse corneas and TG as described in the legend to Fig. 5 as well as RNA from the spleens of the same mice was used to estimate the relative expressions of IFN- γ transcripts in WT and CD8 $\alpha^{-/-}$ immunized mice. IFN- γ expression in the cornea, TG, and spleen was determined on days 3 and 5 p.i. GAPDH expression was used to normalize the relative expression of each transcript in the cornea, TG, or spleen in each group. Each bar represents the mean \pm SEM from 6 corneas or TG and 3 spleens.

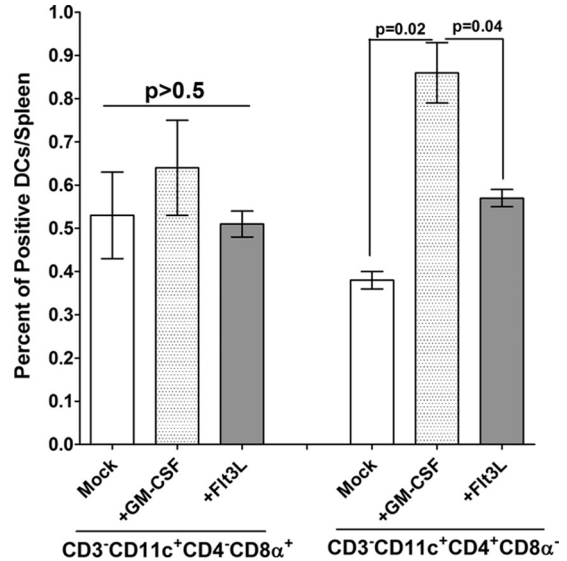


FIG 9 Expansion of CD11c⁺ CD4⁺ cells after injection of mice with GM-CSF. WT mice were injected once with GM-CSF DNA or Flt3L DNA or mock injected, as described in Materials and Methods. At 2 weeks postinjection and prior to HSV-1 infection, the spleens of some of the injected mice were harvested, and single cells were prepared, stained with anti-CD3, anti-CD4, anti-CD8 α , and anti-CD11c MAbs, and analyzed by flow cytometry. CD3-negative/CD11c-positive cells were gated on expression of CD8 α and CD4 cells. A minimum of 10⁴ events was acquired on a gate including viable cells. The mean percentages of CD3⁻ CD11c⁺ CD4⁻ CD8 α ⁺ or CD3⁻ CD11c⁺ CD4⁺ CD8 α ⁻ cells are shown for each treatment from two experiments.

against virus replication in the eyes of ocularly infected mice. However, the absence of CD8 β ⁺ cells was associated with enhanced latency in the TG of challenged mice compared with that in the TG of either CD8 $\alpha^{-/-}$ or WT mice. Immunization completely protected immunized mice against HSV-1-induced eye disease (not shown). In WT mice and CD8 $\beta^{-/-}$ mice, immunization significantly reduced the levels of PD-1 mRNA. The levels of PD-1 mRNA in unimmunized CD8 $\alpha^{-/-}$ mice were lower than those in WT mice and CD8 $\beta^{-/-}$ mice, and the levels of PD-1 mRNA in immunized CD8 $\alpha^{-/-}$ mice remained lower than those in immunized WT mice and CD8 $\beta^{-/-}$ mice. This is consistent with the results of our recent studies that showed that CD8 α ⁺ DCs enhance both latency and T-cell exhaustion, as determined by elevation of PD-1 expression (40). The higher latency in CD8 $\beta^{-/-}$ mice was unexpected and may be due to the unaltered function of CD8 α ⁺ DCs in these mice, as CD8 consists of either CD8 α -CD8 α homodimers or CD8 α -CD8 β heterodimers but not CD8 β -CD8 β homodimers (44–46). Thus, CD8 α -CD8 α homodimers in the absence of CD8 α -CD8 β may contribute to higher LAT expression and, consequently, higher PD-1 expression. Taken together with the findings of our previously published studies, the current studies establish that an effective vaccine against ocular HSV-1 infection does not require the presence of CD8 α ⁺ T cells and CD8 α ⁺ DCs; indeed, the results suggest that a lack of CD8 α ⁺ DCs plays a beneficial role in reducing latency and T-cell exhaustion in mice (35, 39, 40, 60, 67). This aspect of the present work and results from our previously published study (40) are consistent with the report that the absence of CD8⁺ Treg cell activity enhances the immune response to viral infection of mice (57).

Although the CD8 $\alpha^{-/-}$ mice used in this study lacked both

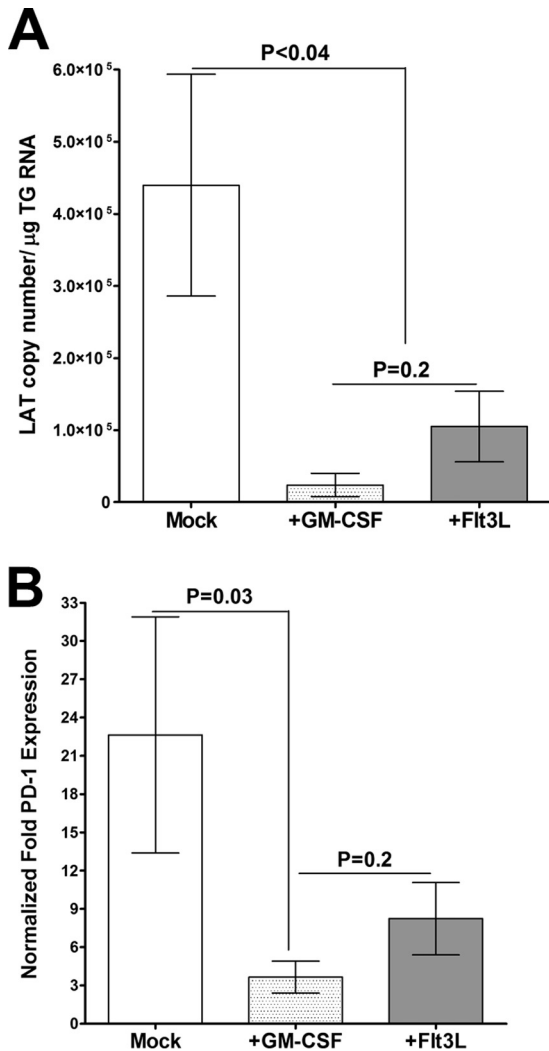


FIG 10 Detection of LAT (A) and PD-1 (B) following GM-CSF or Flt3L injection. WT mice were injected 3 times with GM-CSF or Flt3L DNA prior to ocular HSV-1 infection. Injected mice were ocularly infected with HSV-1 strain McKrae, and quantitative RT-PCR was performed to assay LAT and PD-1 expression. Each point represents the mean \pm SEM from 10 TG.

CD8 α^+ T cells and CD8 α^+ DCs, we found that their absence did not affect several parameters associated with protection against challenge of the immunized mice. Recently, we reported that in naive mice the presence of CD8 α^+ DCs but not CD8 α^+ T cells contributes to higher HSV-1 latency in the TG and that bone marrow (BM)-derived CD8 α^+ DCs harbor more virus than CD8 α^- DCs (40). Both Flt3L and GM-CSF are known to be potent stimulatory factors for DCs *in vivo* (68–73) and have been considered a means of augmenting the efficacy of various vaccines. Flt3L and GM-CSF differ, however, in terms of their effects on the development of DCs (74, 75). It has been shown that GM-CSF expands the CD8 α^- population but not the CD8 α^+ population, whereas Flt3L enhances the populations of both myeloid- and lymphoid-related DCs when administered to mice (62–66). We have shown previously that transfer of CD11c $^+$ CD8 α^+ cells significantly enhances latency in the TG of HSV-1-infected mice, whereas transfer of CD11c $^+$ CD8 α^- cells reduces latency (39).

Thus, in this study we injected WT mice with GM-CSF or Flt3L DNA and looked at the level of latency and T-cell exhaustion in ocularly infected mice. Our results suggested that the mice administered GM-CSF exhibited both reduced latency and reduced PD-1 expression compared to the levels of latency and PD-1 expression in mice administered Flt3L DNA. This result is in line with our previous observations that latency is increased by >1,000-fold in BALB/c mice (rather than the C57BL/6 mice that were used in this study) that are injected with human Flt3L DNA (35, 39). The results of the current study suggest that GM-CSF treatment may be more effective than Flt3L treatment in reducing HSV-1 latency in mice. The results of the current study are consistent with those of our previously published studies that have shown that shifting the DC population from CD8 α^+ to CD8 α^- reduces the establishment of latent infection in the TG of ocularly infected mice without having a deleterious effect on the prevention of eye disease or primary virus replication in the eyes and TG of ocularly infected mice (35, 39). The concept that CD8 α^+ DCs may act to exacerbate HSV-1 latency rather than play a protective role in mice is consistent with the reports of the deleterious effects of certain populations of DCs in the control of vaccinia virus (76), HIV-1 (77, 78), and dengue virus (79).

The results of the current study further suggest a mechanism by which CD8 α^+ DCs may promote latency. We found that CD8 $\alpha^{-/-}$ mice, whether they were immunized or not, had very low levels of PD-1 mRNA compared with the levels in naive CD8 $\beta^{-/-}$ and WT mice and that immunization significantly reduced the levels of PD-1 mRNA in CD8 $\beta^{-/-}$ and WT mice. These observations are consistent with our recent report that CD8 α^+ DCs contribute to higher PD-1 expression in T cells and, thus, may promote T-cell exhaustion, thereby enhancing latency (80). It has been shown that polyethylene glycol-modified GM-CSF [PEG-(GM-CSF)] expands the CD8 α^- population but not the CD8 α^+ population in mice *in vivo* (62, 63). Our future plans include investigation of whether administration of PEG-(GM-CSF) with the vaccine results in a greater reduction in latency compared to that achieved in mice administered GM-CSF DNA with the vaccine. It was recently shown that lymphotoxin- β receptor (LT β R) is a key growth signal for self-renewal of CD8 α^- DCs (81), and the use of an agonist LT β R monoclonal antibody (MAB) *in vivo* led to increases in the population of CD8 α^- DCs (82). We have tested whether the use of an LT β R agonist MAB *in vivo* affects the level of latency and T-cell exhaustion in recipient mice compared to that in mice administered an irrelevant MAB. However, in contrast to GM-CSF injection, mice administered LT β R MAB exhibited no reduction in the level of latency or T-cell exhaustion compared to that in mice administered the irrelevant MAB (not shown).

As interferons have potent immunomodulatory functions (83, 84), we also looked at the contribution of type I IFNs (IFN- α and IFN- β) and type II IFN (IFN- γ) to vaccine efficacy. Type I IFNs are produced by nucleated cells and mediate antiviral effects (85). Type II IFN (IFN- γ) is produced by NK cells, NK T cells, and T-cell populations (86). Our results suggest that the absence of CD8 α T cells and CD8 α DCs affected IFN- α and IFN- β expression in a tissue-specific manner, whereas it reduced IFN- γ production in all the tissues tested. However, although differences in the levels of IFN- α , IFN- β , and IFN- γ expression were observed between WT and CD8 α -deficient mice, these differences did not affect the level of virus replication in the eye, the duration of virus

replication in the eye, or the duration of explant reactivation or LAT expression in the TG of latently infected mice.

In conclusion, our results suggest that the absence of CD8 α ⁺ T cells and CD8 α ⁺ DCs does not negatively affect the efficacy of vaccines against ocular HSV-1 infection in mice and that the absence of the CD8 α ⁺ DCs may be beneficial, as this results in a failure of DC stimulation of LAT and PD-1 expression. The higher level of latency in CD8 β ^{-/-} mice can most likely be attributed to the presence of CD8 α ⁺ DCs that are capable of stimulating LAT and PD-1 expression. In addition, injection of mice with GM-CSF but not Flt3L either directly or indirectly resulted in a reduction in latency and a reduction in T-cell exhaustion. Although DCs in humans cannot be distinguished by the expression of CD8 α , human BDCA3-positive conventional DCs (cDCs; CD141) have been proposed to be human homolog CD8 α cDCs on the basis of the expression of other molecules and functional activity (87–89).

Our results demonstrate not only that HSV-1 latency-reactivation is not dependent on the presence of CD8⁺ T cells but also that shifting CD8 α ⁺ DCs to CD8 α ⁻ DCs prophylactically might have the potential to reduce or eliminate HSV-1 latency-reactivation. Thus, using GM-CSF as a vaccine adjuvant, which would push DCs toward the phenotype associated with CD8 α ⁻ DCs and away from the phenotype of CD8 α ⁺ DCs in mice, may improve the overall efficacy of vaccines against HSV infection.

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