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# **The Retinoid X Receptors and Their Ligands**

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# **Abstract**

This chapter presents an overview of the current status of studies on the structural and molecular biology of the retinoid X receptor subtypes  $\alpha$ ,  $\beta$ , and  $\gamma$  (RXRs, NR2B1–3), their nuclear and cytoplasmic functions, post-transcriptional processing, and recently reported ligands. Points of interest are the different changes in the ligand-binding pocket induced by variously shaped agonists, the communication of the ligand–bound pocket with the coactivator binding surface and the heterodimerization interface, and recently identified ligands that are natural products, those that function as environmental toxins or drugs that had been originally designed to interact with other targets, as well as those that were deliberately designed as RXR-selective transcriptional agonists, synergists, or antagonists. Of these synthetic ligands, the general trend in design appears to be away from fully aromatic rigid structures to those containing partial elements of the flexible tetraene side chain of 9-cis-retinoic acid.

### **Keywords**

Coactivator; corepressor; ligand; ligand-binding domain; nuclear receptor; retinoid X receptor; RXR

# **1. Introduction**

The retinoid X receptor  $(RXR<sup>1</sup>)$  is an intriguing and essential member of the steroid/thyroid hormone superfamily of nuclear receptors (NRs) that predominately function as transcription factors with roles in development, cell differentiation, metabolism, and cell death. This review outlines the accomplishments made in understanding RXR biology from 2004 and also presents an overview of many of the RXR ligands (rexinoids) and their activities reported since 2000.

Briefly, the RXR subtypes or isotypes  $\alpha-\gamma$  (NR2B1–3) (Table 1) are members of the orphan NR family of this NR superfamily because at their discovery natural ligands were unknown.

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The natural ligand of RXR remains controversial. Although 9-cis-retinoic acid (9-cis-RA in Fig. 1A) was first proposed to have this status, many groups have since been unable to detect endogenous 9-cis-RA in cells either in culture or in vivo unless its isomer, alltransretinoic acid (ATRA), had been present first or added [1,2]. Compounding the uncertainty of its status as the natural ligand of RXR is the instability of the RA tetraene side chain that either in the presence of light or a mercaptan, such as reduced glutathione, can equilibrate to a mixture of double-bond isomers generally containing 80% ATRA, 8–10% 9 cis-RA, and other isomers. Polyunsaturated fatty acids (PUFAs) such as docosahexaenoic acid (DHA) and a saturated metabolite of chlorophyll, phytanic acid (Fig. 1A), were also identified as RXR ligands.

### **1.1. Nuclear function of RXR**

In the nucleus, RXR functions as a transcription factor by binding to specific six-base-pair sequences of DNA in the promoter regions of genes. In doing so, RXR functions as a dimer with either itself (homodimer) or another NR (heterodimer). Generally, binding by the ligand of the NR partner defines the promoter site (response element or RE) composed of two six base-pair sequences (half-sites) separated by a discrete number of bases to which the RXR–NR heterodimer binds  $[5'$ -PuG(G/T)TCA-(X)<sub>n</sub>-PuG(G/T)TCA-3'] [3]. As indicated

<sup>&</sup>lt;sup>1</sup>Abbreviations: 9-cis-RA, 9-cis-retinoic acid; AA, arachidonic acid; ABCA1, ATP-binding cassette 1 transporter A1; AC50, concentration of ligand required for 50% of maximum gene activation by ligand's NR bound to its NR RE; ADRP, adipose differentiation-related protein; AF, activation function; ASC, activating signal cointegrator 2; ATRA, all-trans-retinoic acid; bg, *Biomphalaria glabrata*; βG, β-galactosidase; bt, *Bemisia tabaci*; CAR, constitutive androgen receptor; CAT, chloramphenicol acetyl transferase gene; CBP, core-binding pocket; CCL6, chemokine (C–C motif) ligand 6; CD36, class B scavenger receptor family member on macrophage to which oxidized low-density lipoprotein binds; cdk6, cyclin-dependent kinase 6; cDNA, circular DNA; CDR, clinical dementia rating; CoA, coactivator; CoR, corepressor; cp, *Celuca pugilator*; CRABP-II, cytosolic retinoic acid-binding protein II; CRBP, cytosolic retinol-binding protein; Cyp or CYP, cytochrome P450; ΔA/B. deletion of the A/B domain; DBD, DNAbinding domain; DEC, deleted in esophageal cancer; DHA, docosahexaenoic acid; DHT, dihydrotestosterone; dm, *Drosophila melanogaster*; DR, direct repeat; EAR2, ν-erbA-related receptor 2; Ec, ecdysone; eCFP, enhanced cyan fluorescent protein; EMSA, electromobility shift assay; ER, estrogen receptor or everted repeat; ERK, extracellular signal-regulated kinase; esi, electrospray ionization; eYFP, yellow fluorescent protein; FA, fatty acid, FABP, fatty acid-binding protein; FCHL, familial combined type hereditary hyperlipidemia; FCS, fluorescence correlation spectroscopy; FRAP, fluorescence recovery after photobleaching; FRET, fluorescence resonance energy transfer; FXR, farnesoid X receptor; Gal, galactosidase gene; GFP, green fluorescent protein; GR, glucocorticoid receptor; GRIP-1, glucocorticoid receptor-interacting protein 1; GST, glutathione *S*-transferase; h, human; H, helix; HDAC, histone deacetylase; HDX MS, hydrogen/deuterium exchange mass spectrometry; HNF, hepatic nuclear factor; hv, *Heliothis virescens*; IGFBP, insulin-like growth factor-binding protein; IL-6, interleukin-6; IR, inverted repeat; ITC, isothermal scanning calorimetry; JNK, jun terminal kinase; LBD, ligand-binding domain; LBP, ligand-binding pocket; LDL, low-density lipoprotein; LEF, lymphoid enhancer-binding factor; lm, *Locusta migratoria*; LPS, lipopolysaccharide; ls, *Lymnaea stagnalis*; Luc, luciferase gene; LXR, liver X receptor; m, mouse; MALDI, matrix-assisted laser desorption ionization; MAPK, mitogen-activated phosphokinase; MDR1, multi-drug resistance 1; mESC, murine embryonic stem cell; MMTV, murine mammary tumor virus; MR, mineralcorticoid receptor; na, not active; NBRE, Nurr1 and NGFI-B monomer response element; nc, not conducted; NCoR, nuclear receptor corepressor; nd, not determined; NES, nuclear export signal; NGFI-B, nerve growth factor IB, rat NR4A1; NMR, nuclear magnetic resonance spectrometry; NMU, *N*-nitrosomethylurea; nPAS2, neuronal PAS domaincontaining protein 2; NR, nuclear receptor; NSCLC, non-small cell lung cancer; nt, not tested; Nurr77, mouse NR4A1; OA, oleic acid; pal, palindromic response element; PCAF, p300/CBP-associating factor; PDB, Protein Data Bank; PDK4, pyruvate dehydrogenase kinase 4; Pg, prostaglandin; pm, *Polyandrocarpa misakiensis*; PML, promyeloleukemia protein; PNR, photoreceptor-specific nuclear receptor; PPAR, peroxisomal proliferator-activated receptor; PPRE, PPAR response element; PSA, prostate specific antigen; PUFA, polyunsaturated fatty acid; PXR, pregnane X receptor; RAR, retinoic acid receptor; RARE, RAR response element; RE, response element; RFP, ring finger protein; RID, receptor-interacting domain; RIP140, receptor-interacting protein 140; ROR, retinoid-related orphan receptor; RXR, retinoid X receptor; SC50, concentration of RXR synergist required to enhance response of its dimeric partner's ligand to 50% of the maximal response induced; SCD, stearoyl-coenzyme A desaturase; SFC, splicing factor compartment; SHP, small heterodimer partner NR; shRNA, short hairpin RNA; SMRT, silencing mediator of retinoid and thyroid hormone receptors; SPR, surface plasmon resonance; SRC, steroid receptor coactivator; SXR, steroid and xenophobic receptor; T3, triiodothyronine; T4, thyroxine; tc, *Tribolium castaeneum*; TCF, T-cell factor; TCPOBOB, 1,4-(3,3′,5,5′-tetrachlorobispyridyloxy)benzene; TIF-II, transcription intermediary factor II; *tk*, thymidine kinase promoter; TNFα, tumor necrosis factor α; TR, thyroid hormone receptor; TRE, TR response element; TRAP220, thyroid hormone receptor-associated protein complex component; tRXR, truncated RXR; TR3, testicular receptor 3, human NR4A1; TTNPB, (*E*)-4-[2-(5,6,7,8-tetrahydro-5,5,8,8-tetramethyl-2-naphthalenyl)-1-propenyl]benzoic acid; UAS, upstream activation sequence; UCP3, uncoupling protein 3; up, *Uca pugilator*; USP, ultraspiracle; VDR, vitamin D receptor.

by one base (DR-1).

by some of the REs listed in Table 2, these sequences can be repeated directly (DR), inverted (IR), everted (ER), palindromic (pal), or disordered depending on the dimer bound. Thus, RXR heterodimers with peroxisome proliferator-activated receptor (PPAR), retinoic acid receptor (RAR), vitamin D receptor (VDR), and thyroid hormone receptor (TR) consist of two directly repeated (DR) half-sites separated by one, two or five, three, and four bases (n), respectively, typically with RXR in the 5′-position. In the case of the RXR heterodimer with RAR bound to a DR-1 response element, RXR can occupy either the 5' or 3'-position. The RXR homodimer preferentially recognizes two 5′-(A/G)GGTCA-3′ half-sites separated

**1.1.1. RXR dimeric status in cells—**The status of RXR in cells remains controversial. In addition to forming heterodimers and homodimers in vitro, RXR homotetramers have also been detected. The cellular status of retinoic acid receptor (RAR) ligand-binding domain (LBD)–RXR LBD heterodimers and RXR LBD–RXR LBD homodimers was determined using fluorescence correlation spectroscopy (fluorescence fluctuation brightness analysis) [4]. CV-1 cells were transfected with constructs for yellow fluorescent protein (YFP)-RXR LBD and cyan fluorescent protein (CFP)-RAR LBD. Brightness intensities were then measured. Both YFP and CFP were identically bright after excitation at 905 nm, whereas only YFP fluoresced after excitation at 965 nm. These studies were used to demonstrate that in the transfected cells the labeled RXR existed either as a heterodimer with labeled RAR or as a monomer and not as a homodimer.

**1.1.2. DNA-binding status of RXR as a heterodimer—**In Table 2 are listed those nuclear receptors that heterodimerize with the RXRs and have roles in regulating genes controlling metabolic signaling pathways, their typical REs and ligands. Among these are the peroxisome proliferator-activated receptor (PPAR) isotypes  $\alpha$ ,  $\beta/\delta$  and  $\gamma$ , which also has roles in cell proliferation and differentiation.

**RXR–PPAR:** The intracellular behavior of the RXRα–PPAR heterodimer in the presence or absence of a ligand was investigated using the combination of fluoresence recovery after photobleaching (FRAP), fluoresence correlation spectroscopy (FCS), and fluorescence resonance energy transfer (FRET) on transfected enhanced yellow fluorescent protein (eYFP)-PPARα–γ and eYFP-RXRα constructs [5]. Unlike the nuclear patterning exhibited by eYFP-ERα, the fluorescent flakes and foci produced after preliminary transfections of the eYFP-PPARα constructs were considered to be artifacts that were caused by protein overexpression. At lower expression levels, the eYFP-PPARα expression pattern became diffuse in the nuclei of living COS-7 cells. According to FRAP, the eYFP-PPAR proteins in the presence or absence of their ligands were highly mobile in cells that had the diffuse distribution patterns of fluorescence and were unaffected by co-expression of RXRα alone or with added 9-cis-RA. The diffusion pattern for eYFP-RXRα was similar to that of the apo-PPARs. The diffusion constants for the eYFP-PPARs were  $4.8-5.5 \mu m^2/sec$  and decreased to 2.3–3.5  $\mu$ m<sup>2</sup>/sec after their ligand bound to suggest that PPAR binding by cofactors had increased to give complexes on the order of  $1-2$  MKda. The diffusion constant for the eYFP-RXR $\alpha$  was 4.6  $\mu$ m<sup>2</sup>/sec. The authors estimated that about 3,600–120,000 fluorescent protein molecules were expressed per cell, whereas the actual number of PPAR

target genes was <1,000. Therefore, they speculated that many of the reported interactions of PPARs–RXRα on DNA would have been the consequence of transient or nonspecific interactions at sites resembling authentic PPREs. FRET indicated that PPAR–RXR dimerization occurred prior to ligand binding or DNA binding, however heterodimer binding to DNA was only observed to be stable in vivo after ligand had bound.

**RXR–RAR:** The RXRs also have major roles in regulating genes controlling cell proliferation and differentiation in the context of their heterodimers with the RARs, thyroid hormone receptors (TRs), and vitamin D receptor (VDR). Both non-denaturing nanoelectrospray ionization (nano-ESI) and high-mass matrix-assisted laser desorption ionization (MALDI) mass spectrometric methods were used to demonstrate that the RXR– RAR heterodimer bound to a DR-5 RARE in the presence of 9-cis-RA and that RXR was upstream (5′), in contrast to the DR-1 RARE in which RAR was upstream [6]. Crosslinking was used to stablilize the complex for MALDI, but did not necessarily stabilize the bound ligand. RAR did not homodimerize in solution but was able to form such a homodimeric complex on the DR-5 in the presence of 9-cis-RA and excess DR-5 to indicate that RXR was not required for RAR to associate with its half-site. Limitations of these methods were also described by the authors. However, the subtypes of the mutant murine retinoid receptors RXR A/B and RAR A/B used in the study were not identified.

### **1.2. Cytoplasmic function**

Recently, RXR has been shown to have cytoplasmic functions that are distinct from its activity as a transcription factor.

**1.2.1. Induction of TR3 nuclear export—**RXR was reported to shuttle the orphan NR human TR3/mouse Nur77/rat NGFI-B from the nucleus to the cytoplasm, allowing TR3 to interact with mitochondrial Bcl-2 to reverse its anti-apoptotic function to one promoting apoptosis. This activity was first reported by Zhang and colleagues [7,8] and confirmed by Wu and colleagues [9]. Stress induced by treatment of cancer cell lines with a cancer therapeutic agent or an adamantyl-substituted retinoid-related molecule induced TR3 relocalization in several cancer cell lines.

Using MGC80-3 human gastric cancer cells, Wu and colleagues investigated the role of RXRα in inducing TR3 nuclear export [9]. Apo-RXRα was unable to induce export, whereas 1.0 μM 9-cis-RA-treated RXRα effectively did so within 30 min. Deletion analysis indicated that the RXRα DBD contained a nuclear export signal (NES), as did TR3. However, the CRM1-dependent TR3 NES did not appear to be involved in export in this cell line. TR3 lacking its DNA-binding domain (DBD), hinge, and 90 N-terminal residues of the LBD still colocalized in mitochondria, as was shown by staining with the mitochondrial marker Hsp60, and induced apoptosis irrespective of 9-cis-RA treatment, whereas fulllength TR3 resided in the nucleus and was unable to migrate out of the nucleus or to induce apoptosis in the absence of RXRα and 9-cis-RA. A mutant lacking the 106 N-terminal residues of the TR3 A/B domain underwent nuclear export to mitochondria in the presence of 9-cis-RA, whereas the C-terminal deletion of 25 residues from the TR3 LBD prevented TR3 interaction with RXRα and nuclear export induced by RXRα and 9-cis-RA.

**1.2.2. Platelets—**Despite their lack of a nucleus, platelets expressed several NRs, including androgen receptor (AR), estrogen receptors (ERs), glucocorticoid receptor (GR), mineralcorticoid receptor (MR), PPARs, PXR, and RXRs  $\alpha$  and  $\beta$ . Platelets are derived from the cytoplasm of megakaryocytes, which have nuclei that express mRNAs for these NRs and have enzymes for their translation [10]. In platelets these NRs were considered to function through nongenomic pathways. For example, platelet aggregation and thromboxane (TX)  $A_2$ release were inhibited by the RXR agonists 9-cis-RA and methoprene acid (Fig. 1B). Activated platelets released microparticles that were found to contain RXRα to suggest that RXRα had an extracellular role in modulating the results of platelet activation [11]. Treatment with RXR ligands 9-cis-RA and methoprene acid, but not ATRA, inhibited platelet aggregation induced by  $TXA_2$  mimetic U46619 and  $TXA_2$  release stimulated by adenosine diphosphate [12]. Inhibition occurred by ligand-bound RXR interacting with Gprotein Gq to prevent the activation of the GTPase Rac.

### **2. RXR general structure**

### **2.1. RXR gene promoter**

The human RXRα gene was found to have 10 exons but not a typical TATA transcription initiation site [13,14]. Its promoter sequence has been considered to resemble that of a house keeping gene because of the high  $G + C$  content in its 5'-untranslated region. In keeping with the high  $G + C$  content, 17 and 12 putative Sp1 sites were identified upstream and downstream, respectively, of the start site. Putative AP-1, AP-2, AP-4, GATA-1/2, N-Myc, v-myb, SRY, AML-1a, and imperfect DR-0, 3, 4, and 5 sites were also identified. RXR expression in the mouse was induced during the acute-phase response in the heart by cytokines, lipopolysaccharide (LPS), or sepsis and was not cyclical in metabolic tissues except in the liver [15]. RXRα expression was was also induced by ATRA [13]. The human RXRβ gene also has ten exons, is  $G + C$  rich, and lacks a TATA motif [16]. In the mouse pituitary, 9-cis-RA downregulated RXRγ1 expression by activating a negative nonconsensus DR-1 site in its promoter [17]. The human  $\text{R}X\text{R}a$ -γ genes are located on chromosomes 9 (band q34.3), 6 (band 21.3), and 1 (band q22–q23), respectively [18].

### **2.2. RXR isotypes/isoforms**

Three isotypes or isoforms  $(a, \beta, \text{ and } \gamma)$  of RXR are expressed (Table 1). Their expression levels vary with cell type and differentiation status. Each of these isoforms has several subtypes as the result of alternative splicing. RXRα was found to predominate in the epidermis, intestine, kidney, and liver; RXRβ expression was ubiquitous; and RXRγ was expressed mostly in brain and muscle and was weak in adipose tissue [19]. RXR $\alpha$  or RXR $\beta$ deficiency in mice was embryolethal, whereas RXRγ knock-down mice survived and appeared normal.

Nohara and colleagues reviewed the effects of RXR subtype polymorphisms [19]. Those of RXRα were not linked with any metabolic dysfunction, whereas the RXRβ c.51C>T polymorphism was linked to higher body mass, gallstone risk, and bile duct cancer risk. The association of an RXRγ polymorphism with hyperlipidemia was noted. The most common form of hereditary hyperlipidemia is the familial combined type (FCHL), which has been

associated with increased very-low density lipoprotein (VLDL) that could be accompanied by increased low-density lipoprotein (LDL). The RXRγ gene is located on chromosome 1q21–q23, which has been termed the "FCHL" locus and linked with higher LDL– cholesterol and triglyceride levels in several families. The RXRγ p.Gly14Ser variant was observed in hyperlipidemic patients, was more common in those with FCHL, and was higher in those with coronary stenosis. Interestingly, the Ser14 variant suppressed lipoprotein lipase (LPL) promoter activity by 60%, whereas the Gly14 variant suppressed LPL by 40%. LPL plays a role in the hydrolysis of VLDL. RXRγ variants have also been linked to free FA and triglyceride levels in familial type 2 diabetes.

### **2.3. RXR structural and functional domains**

Like other NRs, the RXR proteins have six major functional/structural domains (Fig. 2A). Beginning at the NR N-terminus, these domains are: A/B, which contains a ligandindependent activation function (AF)-1 to which coactivator proteins (CoAs) bind; C or DNA-binding domain (DBD), which mediates NR binding to specific sequences of DNA in the promoter regions of genes (half-sites of REs); D or hinge, which connects the DNA and ligand-binding domains; E or ligand-binding domain (LBD) (Fig. 2B–2D) that contains a ligand-dependent AF-2 sequence to which CoAs or corepressors (CoRs) bind to regulate transcriptional activation by the NR; and the F domain, the function of which in RXR remains to be established [18].

**2.3.1. RXR DNA-binding domain and its interaction with DNA—**Like other NRs, the RXRα DBD (130–209) has two zinc-finger domains (residues 135–155 and 171–190), each of which complexes a Zn(II) ion through four cysteines [20] (Fig. 3). The Rastinejad group showed that, in the Protein Data Bank (PDB) crystal structure (1BY4 in Table 3) of two RXRα DBD homodimers bound to their DR-1 REs (Fig. 3A) in a 15-base-pair DNA oligomer, the two DBD zinc fingers were separated by a 14-residue sequence that contained the C-terminus of the recognition helix (152–164), which specified the DNA-binding halfsite and also included the 152–155 sequence of the first Zn finger (Fig. 3B). In the DNA oligomer, the two DR-1 RE sequences were separated by two base-pairs, which provided an internal DR-2 RE. The two bound DBD homodimers were linerally wrapped around the DNA helix. The DBD recognition helix residues Lys160 and Arg164 interacted with the DNA major groove nucleotide base and phosphate residues. Residues 187–191 of the second zinc finger domain plus the C-terminal residues 192–198 made up helix-II, which was then followed by the T-box in the DBD. The T-box interacted with a zinc finger of the upstream (5′) RXR partner to provide a DBD dimerization interface that permitted cooperative dimerization on DNA. The interface H-bonds that stabilized each DBD homodimer on its DR-1 were made between Arg182 and Arg186 from the Zn finger II loop of the 5′-DBD and Gln208 from the T-box of its of its downstream (3') partner. In addition, Arg172 and Arg186 from the 3′-RXRα DBD Zn finger II loop of the 5′-homodimer and Glu207 from the T-box of 5′-DBD of the 3′-homodimer formed H-bonds that stabilized the DBD homodimer interface bound to the DR-2. The respective  $K_d$  values for the RXR $\alpha$  DBD homodimer binding to the DR-1 and DR-2 REs were approximately 0.35 μM and 0.48 μM. In contrast, binding of an RXRα monomer to its half-site sequence could not be detected at a RXRα concentration as high as  $2 \mu M$ . The Table in Fig. 3A lists the response elements made up of

direct repeats to which RXR binds as a heterodimer and the partners involved. The cartoon in Fig. 3B shows the general structure of the RXRα DBD and its various domains.

The crystal and solution structured of the RXRa DBD have been compared [20]. NMR studies of the RXRα DBD in solution indicated that its T-box was helical with its Glu208 having interactions that masked the DNA recognition helix residues Lys160 and Arg164. In contrast in the crystal structure, the downstream DBD T-box in the homodimer DBD–DR-1 complex was extended and disordered. The authors postulated that in the latter conformation, the 3′-DBD Lys160 and Arg164 were unmasked and interacted with DNA and the T-box Glu208. As a result, Glu208 interacted with the 5′-DBD's Gln182 and Arg186 to stabilize the position of the zinc finger II loop. Unlike other NR DBDs, which recognized all six base-pairs of an RE half-site, each recognition helix in the RXRα DBD homodimer only recognized three base-pairs of the half-site. The authors suggested that the reduced contact in the homodimer led to preferential RXR heterodimerization on DNA that allowed more contacts and, thus, stronger interactions with DNA. In the RXRα DBD– RARα DBD heterodimer, the RXRα DBD T-box formed an interface with the second Zn finger of the RARα DBD. In the RXRα–RARα heterodimers bound to the DR-2 and DR-5 REs, RXR was upstream, whereas binding polarity was reversed on the DR-1 RE with RAR being upstream. The DR-1 RE was also bound by RXR homodimers and by the complex of PPAR $\gamma$ (102–505)–RXR $\alpha$ (11-462) bound to rosiglitazone and 9-cis-RA, respectively, and two NCoA2 (SRC-2) peptides, as found in the PDB crystal structure 3DZY (Fig. 4) [21].

RXRα export from the nucleus is mediated by the binding of its DBD recognition helix, which is adjacent to the first zinc finger, to the calcium-binding protein calreticulin, whereas mutation of two conserved phenylalanines (158 and 159) in this helix abrogates its export. The RXRα nuclear localization sequence (NLS) is also located in this region between residues 160–165 (Lys-Arg-Tyr-Val-Arg-Lys) [22]. Unlike several other NRs, RXRα lacks NLS sequences in its hinge or LBD. The results using RXRα and vitamin D receptor (VDR) chimeras with fluorescent proteins led the authors to conclude that RXRα: (i) dynamically shuttled between nucleus and cytoplasm; (ii) heterodimerized with VDR in the cytoplasm regardless of calcitriol (vitamin  $D_3$ ) binding status; and (iii) facilitated the apo-VDR nuclear residence time.

**2.3.2. Hinge—**Deletion of 7, 14, or 28-amino acids from the C-terminus of the human RXRα hinge domain (residues 200–229) demonstrated that the RXRα mutants with 7- and 14-residue deletions retained 90% and 70% transcriptional activity, respectively, of the native RXRα on a DR-1-driven-luciferase (Luc) reporter in response to 0.1 μM 9-cis-RA, whereas the 28-residue deletion mutant was inactive [23]. Mutants having such deletions from the N-terminus of the hinge were inactive. Heterodimers of the RXR $\alpha$  A/B(223–229), RXRαΔA/B(216–229), and RXRαΔA/B(209–229) mutants with the VDRΔA/B retained the ability to bind to the VDRE DR-3 (binding constants of 88, 79, and 92 nM) although their affinities were lower than that of the RXR $\alpha$  A/B–VDR A/B (71 nM). The authors concluded that the RXRα hinge was very flexible, and this flexibility permitted RXRα to bind to various REs in its heterodimers with a variety of NRs [24]. The flexibility of the RXRα hinge was fully demonstrated in the crystal structure (3DZY) of the rosiglitazone– PPARγ–RXRα–9-cis-RA complex bound to a DNA 20-base oligomer containing a PPRE

[21]. The flexible hinge permitted the RXRα LBD to shift to the opposite side of the DNA helix from its DBD to provide sufficient space for the PPARγ LBD to reside between the RXRα LBD and RXRα DBD (Fig. 4).

**2.3.3. RXR ligand-binding domain—**Of the RXR domains, the LBD has been the most extensively studied. Crystallography has been used to investigate this domain in depth either alone, agonist bound, and in complexes with the LBDs of its dimeric NR partners and/or CoA peptides. These structures and those of the RXR DBD bound to DNA, and the complex of holo-RXRα–holo-PPARγ bound to CoA peptides and DNA that have been deposited in the PDB as of 2011 are listed in Table 3.

The LBD structure, which is conserved among members of the steroid/thyroid hormone NR superfamily, consists of 12 α-helices and a small β-sheet between helices H5 and H6 that are arranged in what is termed a barrel or an anti-parallel helical sandwich in which H4, H5, H8, H9, and H11 are between layers formed by H1–H3 and H6, H7, and H10 (Fig. 2B–2D). In the absence of a ligand, all 12 helices of the RXR LBD (apo form) are present (Fig. 2B). To accompany the structural changes in the LBD (holo form) induced by the binding of a rexinoid that induces gene transcription (transcriptional agonist), H2 unwound to provide a longer loop between helices H1 and H3 that permitted H3 to undergo a 13 Å tilt to form a surface with H4 and H12 that accommodated the binding of a CoA or the related peptide containing the NR box motif (Fig. 2C). The full CoA, when bound, could then recruit other regulatory proteins that include the transcriptional protein complex that connects the NR–RE complex with the transcription start site. The functional domains of the RXRα LBD are shown in Fig. 5. These include the ligand-binding pocket (LBP) (Fig. 5A), the coactivator surface consisting of residues from H3, H4, and H12 to which CoA proteins and and certain repressors bind (Fig. 5B), and the dimerization interface between the RXRα and PPARγ LBDs consisting of predominantly residues from H10 and some from H7–H9 (Fig. 5C).

Crystal structures reveal that the conformations assumed by the RXR LBD H12 were often undefined and atypical of NRs because, even when the RXR LBD was bound by a transcriptional agonist, the position of its H12 varied. Only the combined binding by the agonist and CoA peptide committed H12 to an agonist conformation with H3 and H4 to create a stabilized groove or surface to which the CoA-derived peptide bound. The entire CoA surface has not been rigorously defined because structural work has focused on bound small peptides that contain the CoA binding motif (NR box) of Leu-XX-Leu-Leu ( $X =$ unspecified residue) plus adjacent residues that define CoA binding specificity rather than the full CoA sequence. In addition, CoAs can have multiple NR boxes that can interact with the AF-1 and AF-2 sites on the same NR or its partner.

Normally, RXR is diffusely localized in the nucleoplasm in normal human mammary epithelial cells and in ATRA-sensitive MCF-7 breast cancer cells, whereas RARα is both dispersed diffusely in the nucleoplasm and localized in microspeckles with PML bodies [25]. In contrast, in the ATRA-resistant MDA-MB-231 breast cancer cell line, RXRα exhibited a punctate pattern in the splicing factor compartment (SFC), as was indicated by immunostaining with antibodies for RXRα and SFC components SC-35 and p105. In MDA-MB-231 cells, RXRα was not associated with DNA or RNA and did not participate in gene

transcription. The C-terminal deletion mutant  $RXRa$  (417–462) showed reduced localization in the speckles, whereas a peptide corresponding to this deletion localized in the SFC. These results suggested that the RXRα E domain participated in SFC localization. In tumor samples from five of 12 invasive breast cancer patients, RXRα was also localized in the SFC regardless of treatment with 9-cis-RA or RXR agonist AGN194204 (Fig. 1B).

**2.3.4. F domain—**Whether any of the RXR isotypes has a functional F domain has yet to be established. This domain is usually included with the RXR LBD as E/F. For example, human RXRα is typically considered to be a 462 residue protein with the E domain ending at 462. However, other NRs such as estrogen receptor  $(ER)$  a and hepatic nuclear factor (HNF) 4α have large F domains with specific sequences that modulate gene transcription.

## **3. RXR ligands**

### **3.1. Ligand binding alters the ligand-binding pocket conformation**

Notable differences in complexes of the RXRα LBDs with the agonists 9-cis-RA, DHA (docasahexaenoic acid in Fig. 1A), and BMS649 (SR11237 in Fig. 1B) included their respective ligand-binding pocket (LBP) volumes (494, 528, and 472–480  $\AA$ <sup>3</sup>), LBP volume occupied by ligand (74, 81, and 86–88%), and van der Waals/polar contacts (71/6, 89/6, and 89–92/6–7), which variously impacted their respective ligand-binding affinities to the RXRα LBD (2, 50–100, and 5–10 nM) [26,27]. Overlap of these ligands in their bound conformations showed that the agonist DHA, which had the lowest affinity, more closely overlapped 9*Z*-oleic acid, which was considered to confer an antagonist conformation to the RXRα LBD in its heterodimer with the RARα LBD–antagonist BMS614 (1DKF), whereas the structures of transcriptional agonists 9-cis-RA and SR11237 differed from those of DHA and 9*Z*-oleic acid and overlapped more closely with each other. Interestingly, the SR11237 1,3-dioxalane ring and the 9-cis-RA 19-methyl group occupied the same region of the LBP. The authors accounted for the 10% decrease in pocket volume when SR11237 was bound by RXRα to the repositioning of its H5 Gln306 into the LBP from the LBD surface, where it was located in the 9-cis-RA and DHA complexes. They termed the corner of the L pocket the "hinge" region. They noted that binding by SR11237 revealed unoccupied subpockets around H5 Tyr305 and Gln306 and around H3 Ile268 and H5 Phe313 that could be exploited in ligand design and postulated that this "hinge" provided maximal adaptability and flexibility to the LBP.

# **3.2. Ligand-induced communication with the AF-2 core to form the CoA binding groove or surface**

Second-order Möller–Plesset perturbation analysis of molecular interactions by the 9-cis-RA–RXR $\alpha$  complex (PDB 1FBY), in which missing regions had been replaced with those from the human 9-cis-RA–RXRα LBD–SRC-1 peptide complex (1FM9), suggested the residues through which 9-cis-RA interacted to stabilize the seven-residue activation function-2 core (AF-2C, H12 450–456) in its AF-2 core-binding pocket (AF-2CBP, containing 10-residues from H3–H5, H10, and H11) to produce the H12 canonical agonist conformation [28]. The polar side chains of H12 Glu453 and Glu456, which resided outside the AF-2CBP, were considered to have a role in CoA recruitment. The other five residues

are hydrophobic and were locked within the AF-2CBP by van der Waals interactions. On binding, 9-cis-RA assumed an L-shaped conformation. Of the residues surrounding 9-cis-RA, 19 were within 4.2 Å and so considered to make contacts with the ligand. H5 Tyr305 and H11 Leu436 were closest to the 9-cis-RA 19-methyl group, which was located at the corner of the 9-cis-RA L-shaped conformation, and also participated in forming the AF-2CBP. Thus, 9-cis-RA did not interact directly with H12, but was  $\,$  5.9 Å from the AF-2 core residues. The authors concluded that Tyr305 and Leu436 provided the means for communication between the ligand and the AF-2 core.

### **3.3. Natural ligands**

Negative ion electrospray mass spectrometry of the lipids, which were isolated from recombinant RXRα LBD protein that had been incubated with brain-conditioned medium, was used to identify the unsaturated fatty acids (FAs) docosahexaenoic acid (22:6) (DHA), arachidonic acid (20:4) (AA), and oleic acid (18:1) (OA) as most highly bound [29]. AC<sub>50</sub> values for their induction of RXRα activation on the ApoA1 RXRE-*tk*-Luc in transfected cells were 5–10 μM. Docosapentaenoic acid (22:5) was slightly less potent than DHA or AA, and all were more potent than linolenic acid (18:3) and linoleic acid (18:2), whereas OA had lower potency (see Table 4 for structures). Arachidic acid (20:0) and stearic acid (18:0) were inactive. DHA reduced mouse YAMC colonocyte proliferation and activated a DR-1 RE-reporter in YAMC and normal human NMC460 colon cells to suggest a potential use in cancer prevention [30].

RXRα was found to be expressed and activated in the rostral spinal cord of *Xenopus laevis* tadpoles beginning at developmental stage 24/25, which is the time of primary neuron formation, and then declined at the swimming stage [31]. These results suggested to the authors that an endogenous RXR ligand had a role in frog development.

#### **3.4. Synthetic RXR ligands and their developmental status**

The lack of significant therapeutic efficacy in cancer patients partipating in clinical trials using bexarotene (Targretin™, LGD1069) accompanied by their experiencing severe adverse events has dampened enthusiasm for the development of more potent or more RXRselective rexinoids for treatment of cancer or diabetes by such pharmaceutical companies as Bristol-Myers-Squibb, Hoffman-La Roche, and Lilly. Limited accessibility to rexinoids for research purposes or use of evaluative assays due to laborious material transfer agreements or concerns of litigation has also restricted retinoid research by the U.S. academic community.

The U.S. National Cancer Institute has initiated clinical trials on 9cUAB (Fig. 1B) as a rexinoid agonist for use in cancer prevention. 9cUAB was first reported by Muccio and colleagues at the University of Alabama at Birmingham [32]. One preliminary report described a single-dose pilot study in 14 volunteers, who were given 5, 10, or 20 mg of 9cUAB30 orally [33]. No grade 3 or 4 toxicities occurred although one volunteer had grade 2 symptoms and seven had grade 1 (predominately headache, which the authors suggest might have been related to their lack of caffeine consumption).  $T_{max}$  was at 2–3 h after dosing, and  $t_{1/2}$  was 2.8–7.2 h. Maximum plasma concentration at the 20-mg dose was 70

ng/mL. In a 6-month study in mice dosed daily by gavage at 30, 100, or 300 mg/kg, doserelated hepatomegaly was observed in both sexes, which the authors associated with induction of liver enzymes [34].

A recent analysis of the relationship between an RXR polymorphism and successful outcome in a recurrence of head-and-neck cancer prevention trial using 13-cis-RA [35] suggests a potential use in targeting RXR in one particular patient subpopulation. Despite the major hiatus of rexinoid research currently ongoing in the U.S., groups in Europe and Japan have been very productive. In Tables 4 and 5 are listed many of the retinoid agonists and antagonists, respectively, reported in the open literature for the period 2000–2010. Many were the result of the ongoing productive collaboration between the Gronemeyer and de Lera groups in Europe.

### **3.5. Impact of RXR ligand binding on its apo or holo-NR partner and other proteins**

**3.5.1. Ligand-induced RXR–androgen receptor crosstalk—**RXRα was shown to crosstalk with androgen receptor (AR) in prostate cancer cells [36]. At 1.0 μM, both 9-cis-RA and the rexinoid agonist LGD101305, which is the 3'-fluoro analog of LG100268, repressed the activation of the AR on the MMTV-ARE-Luc reporter construct by 10 nM dihydrotesterone (DHT) in transfected PC-3 prostate cancer cells. Repression of DHTinduced activation on the  $p(ARE)<sub>A</sub>$ -Luc and prostate specific antigen (PSA)-Luc reporter constructs by 9-cis-RA was observed in androgen-independent PC-3 and androgendependent LNCaP prostate cancer cells. Similarly, 10 nM DHT plus transfected AR repressed the activation of the pCRBP-II DR-1-Luc reporter by RXRα and 1.0 μM 9-cis-RA in co-transfected PC-3 cells, whereas 9-cis-RA inhibited the interaction between AR and its ARE. Co-immunoprecipitation and GST-pull-down indicated interaction between RXRα and AR. Mutational deletions suggested that the interaction interface was between the RXRα A/B plus LBD H4–H6 domains and the AR LBD H7 (772–800). The authors suggested that one of the mechanisms by which rexinoids prevented androgen-dependent cancer cell growth was by the binding of the RXR–rexinoid complex to the apo- or holo-AR homodimer, which then blocked the AR homodimer from binding to AREs to prevent DHTinduced gene transcription.

**3.5.2. Importance of RXR ligand on coactivator and dimeric partner binding—**

The binding of the CoA SRC-1 peptide containing the NR box Leu-His-Arg-Leu-Leu motif to RXRβ complexed with RXR agonist 9-cis-RA or PA024 (Fig. 1B and Table 4) was detected using surface plasmon resonance (SPR) [37]. The CoA peptide-biotin conjugate was immobilized on streptavidin chips, which were then treated with recombinant RXRβ that had been pre-incubated with 9-cis-RA or PA024 alone or in the presence of RXR antagonist HX531 (Fig. 1D [38] and Table 5). The  $K_d$  value for the SRC-1 peptide binding to the RXRβ–9-cis-RA complex was 59 nM and the K<sub>a</sub> was  $1.7 \times 10^7$  M<sup>-1</sup>. K<sub>d</sub> values for the binding of the apo-LXRα and apo-LXRβ LBDs to the chip-immobilized RXRα LBD were 0.29 and 1.1 μM, respectively, and decreased to 2.2 nM and 2.3 nM, respectively, in the presence of the LXR ligand 22*R*-hydroxycholesterol, providing evidence that the bound LXR ligand enhanced the strength of the LBD–CoA bond [37]. Respective  $K_d$  values of apo-RXRβ binding to immobilized LXRa and LXRβ were 0.62  $\mu$ M and 0.78  $\mu$ M, and in the

presence of 9-cis-RA decreased to 0.53 pM and 0.41 nM. Thus, ligand binding was considered to strengthen the heterodimer LBD interface between the RXRβ LBD and that of its NR partner.

### **3.6. Rexinoid synergists**

**3.6.1. Synergists reveal differential roles for RXR isotypes in heterodimers**

**with NGFI-B—**Several retinoids reported by Shudo, Kagechika, and coworkers were termed RXR synergists because of their inability to induce robust HL-60 myeloid leukemia cell differentiation alone but to enhance that induced by RAR agonists [39]. HX600 functioned as a weak RXR agonist, whereas HX531 (Fig. 1D), HX603, and HX665 (Table 4) were unable to activate RXR in a reporter assay. Only HX603 inhibited reporter activation induced by 9-cis-RA and so behaved as a rexinoid antagonist in this context. Unlike 9-cis-RA, the RXR synergists HX531, HX600, HX603, and HX665 (Fig. 1C) at 1.0 μM were unable to activate Gal4-RXRα–γ LBD chimeras on the MH100(UAS)×4-*tk*-Luc reporter in transfected HEK293 cells [40]. Except for the decrease in reporter response induced by HX603, the other compounds at 1  $\mu$ M did not affect the level of activation of Gal4-RXRα by 0.1 μM 9-cis-RA. Neither the synergists nor 9-cis-RA alone induced Gal4- NGFI-B to activate its reporter construct. However, 9-cis-RA or HX600 at 0.1 or 1.0 μM induced robust reporter activation when Gal4-NGFI-B was cotransfected with RXRα or RXRγ, while reporter activation by HX603 at 1.0 μM was weaker. In contrast, these rexinoids were unable to activate the reporter when Gal4-NGFI-B was transfected with RXRβ. Co-transfection of both wild-type RXRα and NGFI-B with the DR-5 NX3′X3-*tk*-Luc reporter produced a greater than additive response on treatment with 1.0 μM 9-cis-RA, HX600, or HX603 compared with transfection with each receptor alone. Morita and colleagues attributed the activation mediated by the binding of the synergists to the RXR– NGFI-B heterodimer to be allosteric. The structures and activities of these compounds are shown in Table 4.

**3.6.2. Effects of synergists on RXR**α**–orphan NR Nurr1 heterodimer—**RXR

synergists HX600 and HX603 activated the Gal4-Nurr1–RXRα heterodimer on the MH100(UAS)×4-*tk*-Luc reporter [40]. At 1.0 μM, HX600 alone activated Gal4–Nurr1 on this reporter, and further enhanced reporter activation in the presence of cotransfected RXRα. However, HX600 was unable to effect the activation of Gal4 constructs with NRs NOR1, DHR38, FXR, PPARγ, TRβ, and RARα on this reporter construct or that of fulllength LXRα, LXRβ, or FXR on the inverted repeat (IR)-1×3-*tk*-Luc. These results suggested an unusual allosteric interaction between RXR and Nurr1 that was not observed with other NR partners.

### **3.7. Other agents functioning as RXR ligands**

**3.7.1. Organotins—**As Table 4 indicates, the organotins that are used as anti-fouling agents on ship hulls and other marine structures are potent RXR ligands [41]. They are also disruptors of marine life. Notably, they cause sex reversal and so lead to species decline.

**3.7.2. Nonsteroidal anti-inflammatory agents—**The nonsteroidal anti-inflammatory drugs *R*-etodolac and sulindac sulfide were reported to interact with RXR as transcriptional

antagonists [42,43]. Administration of *R*-etodolac induced the ubiquitination of RXRα, decreased RXRα levels in prostate tissues of TRAMP mice, and reduced their incidences of gross urogenital mass and metastasis to 12% and 29% compared to 25% and 58% in the nontreated control mice [42]. *R*-etodolac also inhibited β-catenin-mediated signaling on a TCF–LEF-dependent reporter through interaction with the PPARγ–RXRα heterodimer [44]. Sulindac sulfide at 75 μM induced >80% apoptosis of F9 teratocarcinoma cells at 24 h [43]. Apoptosis was independent of the ability of these drugs to inhibit the activity of cyclooxygenase-2 but dependent on their interaction with RXRα or its truncated form (tRXRα).

### **3.8. Effects of combinations of rexinoid and the ligand of the NR partner**

**3.8.1. PPAR**γ**—**RXR agonist LGD1069 (Fig. 1B) and the PPARγ agonist rosiglitazone (Table 4), which were combined at 0.5 μM each, up-regulated the expression of 20 genes ≥3.8-fold at 24 h in A375(DRO) melanoma cells [45]. The four most highly up-regulated genes were *TIE1* (122×), *S100A2* (69×), *IL1B* (40×), and *ANGPTL4* (32×). At 1.0 μM, LGD1069 and PPARγ agonist pioglitazone individually up-regulated *S100A2* 3.4× and 4.9×, respectively, to indicate that the combination was synergistic. Note that the authors either used two different agents or misnamed one. The finding that *S100A2* expression was higher in premalignant nevi than in primary melanoma tumors or their metastases suggested to the authors that the loss of the calcium-binding protein S100A2 had a role in transformation. Knock-down of S100A2 expression by shRNA was accompanied by reduced antiproliferative responses to LGD1069 and rosiglitazone either alone or combined, while in nontransfected wild-type cells inhibition of proliferation was enhanced by treatment by either ligand or their combination.

Combination treatment with 100 nM PPAR $\gamma$  agonist rosiglitazone (BRL 49653) and 50 nM 9-cis-RA decreased MCF-7, tamoxifen-resistant MCF-7 TR1, SKBR-3, and T47D breast cancer cell viability to a greater extent than would be expected from the additive effects of the agents [46]. MCF-7 cells were shown to undergo apoptosis. In contrast, the combination or either agent alone had no effect on the viability of the immortalized normal breast epithelial cell line MCF-10A. The combination induced the expression of p53 in MCF-7 cells, whereas each agent alone did not. Deletion analysis of the p53 promoter indicated that its NFκB site was responsible for this effect. The electromobility shift assay (EMSA) was used to demonstrate that PPARγ–RXRα bound to this promoter site. High expression of RXRα in PPARγ-co-transfected CV-1 monkey kidney cancer cells attenuated the response of the PPARγ–RXRα on the PPRE DR-1-*tk*-Luc promoter to troglitazone [47]. The nontransfected control cells did not express PPARγ or RXRα in the presence or absence of troglitazone. The authors suggested that the excess uncomplexed RXRα had bound essential cofactors that were necessary for activation of reporter expression by the PPARγ–RXRα hetrodimer.

The combination of the PPAR<sub>Y</sub> ligand 15-deoxy-<sup>12,14</sup>-PgJ<sub>2</sub> or rosiglitazone with the RXR agonist 9-cis-RA induced the expression of glutathione *S*-transferase (GST), a phase II liver enzyme with a role in carcinogen detoxification via its formation of glutathione conjugates of carcinogens or carcinogen metabolites, which could then be excreted in the bile [48]. The

concentration of glutathione in liver is 10 mM, and primary hepatocytes express PPARγ1. The authors explained that the GST promoter had binding sites for the NF-E2-related factor (Nrf) and CCAAT/enhancer binding protein (C/EBP) β, both of which were required for *GST* expression, and that both transcription factors had a PPRE in their promoters.

**3.8.2. RAR—**Treatment of human colon adenocarcinoma CaCo-2 cells with 0.1 μM RARα-selective Am580 produced a 2.5-fold induction of mRNA for the breast cancer resistance protein (BCRP), which acts as an ABC transporter of the benzo[*a*]pyrene sulfate metabolite [49]. This induction was enhanced to 10.7-fold by 0.01 μM RXR agonist CD2608/LGD1069, which alone induced BCRP expression 5.0-fold. Note that the rexinoid was named incorrectly but its chemical structure was correct in Fig. 1 of the cited paper. Differentiation of human NB4 myeloid leukemia cells to granulocytes was induced by RARα-selective agonist BMS753; however, both the RARα agonist and an RXR-selective agonist BMS649 (SR11237) were required to induce the expression of the cytochrome P450 26A1 enzyme, which was also induced by ATRA [50], which can isomerize to produce 9 cis-RA. Cyp26A1, which functions as an RA 4-hydroxylase, has a role in the development of retinoid resistance. Thus, the authors concluded that combination therapy with RXR and RAR-selective retinoids could have either positive or negative effects on cancer cell response.

**3.8.3. Synergism with anti-cancer drugs—**Treatment with LGD1069 (bexarotene) alone at 10 μM produced only minimal growth inhibition  $(-25%)$  of Calu3, EKVX, H358M, H441, HOP62, HOP92, and SKMES1 non-small cell lung cancer (NSCLC) cell lines, and 30–50% inhibition of A427, A549, and H322M NSCLC cell lines although all lines expressed RXRβ and only SK-MES-1 failed to express RXRα [51]. However, cotreatment of the cells with LGD1069 (bexarotene) at 1  $\mu$ M was able to reduce the IC<sub>50</sub> values of pacitaxel by  $32-54\%$  and vinorelbine by 18–48%. The IC<sub>50</sub> value for 50% cell growth inhibition by paclitaxel was reduced 0.4 log and that by vinorelbine by 0.45 log in the presence of 1 μM LGD1069. The combination of 20 mg/kg of paclitaxel or 2.5 mg/kg of vinolrebine with 100 mg/kg of LGD1069 reduced Calu-6 tumor volume in treated mice to 32% and 59%, respectively, of that of the nontreated tumor-bearing mice, and these values were greater than the reductions produced by either agent alone. LGD1069 (4 μM) was also reported to synergize with paclitaxel (40 nM) in NMU-417 rat mammary cancer cells, which had been derived from an *N*-methylnitrosourea (NMU)-induced rat mammary cancer, leading to their increased apoptosis (8-fold combined vs 1.03-fold and 3-fold alone, respectively) [52]. The complete regression of existing NMU-induced mammary tumors  $(75 \text{ mm}^2)$  in the rat was increased to 80% after 6 weeks by combined dosing of oral LGD1069 (100 mg/kg) daily and intraperitoneal paclitaxel (20 mg/kg) weekly compared to either agent alone (6% and 54%, respectively).

In a phase III trial comparing the addition of bexarotene to a cisplatin and vinorelbine combination with the two anti-cancer drug combination alone in patients with advanced or metastatic NSCLC ( $n = 623$ ), no significant difference in survival time was observed in the treatment arms except in a subgroup of patients, who were male smokers that had experienced a weight loss 5% in the past 6 months, stage IV disease, and grade 3/4

triglyceridemia [53]. The group on the bexarotene plus drug combination had a 12.3-month median survival time compared to the 9.2-month median survival of the anti-cancer drug combination alone-treated group. In another phase III trial comparing the addition of bexarotene to carboplatin plus paclitaxel combination with the two anti-cancer drug combination in advanced or metastatic NSCLC patients ( $n = 612$ ), no significant difference was observed in the treatment arms overall [54]. However, a similarly categorized group that had grade 3/4 triglyceridemia survived 3.2 months longer than the anti-cancer drug combination alone-treated group, which had a 9.2-month median survival [54]. These results suggest a more personalized approach to using RXR ligands for NSCLC cancer treatment.

# **4. RXR Interaction Partners**

Protein partners of the RXRs include (i) transcription factors, including the NRs—CAR, EAR2, FXR, LXRs  $\alpha$  and  $\beta$ , NGFI-B/Nur77/TR3, Nurr1, PPARs  $\alpha$ ,  $\beta$ /δ, and  $\gamma$ , PNR, PXR/ SXR, RARs  $α-y$ , RXRs  $α-y$ , SHP, TRs  $α$  and  $β$ , and VDR; the circadian rhythm transcription factors Arntl/Bmal1, Clock, and nPAS2/MOP4; and others such as Bcl3, integrin β3-binding protein, MyoD, NFκB-1, NFκB-1B, Oct1/POU2F1, Oct2/POU2F2, RelA, SMAD-2, SP1, and TATA-binding protein; (ii) transcriptional cofactors including CoAs such as BRD8, CNOT1, EDF1, Med24/Trap100, Med25, NCoAs 1–3, 6, and 62/ SNW1, NRBF2, PGC-1α, PNRC2, and TIF-1α/TRIM24; and CoRs such as the histone deacetylases (HDACs) 3 and 4, NCoR2, and RIP-140/NRIP1; (iii) DNA-modifying agents such as DNTTIP2, FUS, GADD45s  $\alpha$  and  $\gamma$ , MPG, and T:G mismatch-specific thymine DNA glycosylase (TDG); and (iv) other proteins, including CTLS1, Cyp27B1, DAND5, insulin growth factor-binding protein (IGFBP) 3, importin β, PRKD2, RNF8, TAGT-12/ TMPRSS3, and ubiquitin ligase N4. These proteins are listed in Fig. 2 in a review article by Lefebvre et al. [13]. Insulin growth factor-binding protein (IGFBP)-3 was also found to interact with RXRα in a GST pull-down assay [55].

### **4.1. Cofactors**

Generally, during cofactor binding the NR LBD H12 shifts to associate with H3 and H4 to generate domains for binding by CoAs or CoRs. The NR interaction domains of these coregulatory proteins differ. The CoA two-turn α-helix Leu-XX-Leu-Leu or NR box motif and the CoR three-turn α-helix Leu-XXX-Ile-XXX-Leu sequences (X is unspecified) allow them to dock into hydrophobic pockets consisting of the H12 AF-2 and residues from H3 and H4, which then are stabilized by two charge clamps between the cofactor and its binding pocket. The longer length of the CoR motif is considered to sterically hinder H12 from adopting an agonist conformation. Whether the CoR binding surface is larger than that of the CoA or whether other structural changes occur has not been investigated for the RXRs in the context of their complexes with the native cofactors.

Two-hybrid recruitment assays indicated that the apo-RXRβ, RARα, and TR LBDs bound the CoR silencing mediator of retinoid and thyroid hormone receptors (SMRT) and that SMRT dissociated from RARα and TR after treatment with their respective agonists (Am580 and T<sub>3</sub>), whereas SMRT did not dissociate on treatment of RXR $\beta$  with its agonist LG100268 (Fig. 1B) [56]. Dissociation of SMRT from the LG100268–RXRβ LBD complex required the presence of the CoA ACRT. The crystal structure 1H9U of the LG100268–

RXRβ LBD homodimer complex revealed that H12 occupied two positions, one of which had H12 in the canonical agonist conformation (AF-2 surface), whereas in the other H12 was located below the LBD. Schwabe and colleagues concluded that agonist binding alone to the RXR LBD was unable to induce transcriptional agonism but required the bound CoA. The authors observed that, unlike the conformation of 9-cis-RA in the LBP, in which 9-cis-RA did not contact H12, the 3′-methyl group of LG100268 would contact the side chain of RXRβ H12 Leu522 (RXRα H12 Leu451) and through this contact would stabilize the contact between H12 and the CoA. As a result, LG100268 would produce higher transactivation activity than that observed on binding of 9-cis-RA. Other reseasons given for the higher transactivation activity of LG100268 were its greater volume (355  $\AA$ <sup>3</sup>) compared to that of 9-cis-RA (315  $\AA$ <sup>3</sup>) that would lead to additional contacts with the LBP surface and its greater rigidity that would entropically favor binding.

Cofactors of RXR have been reviewed by Wei [57].

### **4.1.1. Coactivators**

**NR box structure:** Structural analyses of NR LBD–CoA peptide complexes revealed that the CoA Leu-X-X-Leu-Leu motif had a two-turn α-helical structure. On CoA peptide binding to the hydrophobic NR LBD AF-2 surface such as that of LXR, which was comprised of residues from H3, H4, and H12, conserved H3 lysine and H12 glutamate residues formed a charge clamp to stabilize the binding by the CoA motif, for example, Leu-Ser-Gln-Leu-Leu in the CoA activating signal co-integrator-2 (ASC-2) [58]. The three leucines in this motif interacted with the AF-2 hydrophobic surface, and its three upstream (N-terminus) residues (Pro-Thr-Ser in ASC-2) played an essential role in determining binding selectivity.

**Coactivator selectivity:** Mutational analysis of the NR box regions of the CoAs steroid receptor coactivator (SRC) 1 and CREB-binding protein (CBP/p300) demonstrated that RXR preferentially bound the former and PPAR $\gamma$  the latter [59]. Of the three NR boxes in SRC-1, LG100268-bound RXR had the highest affinity for NR box III followed by I and then II, and none for the CBP NR box. Of the NR box III residues conferring binding selectivity, the +2 and 3+ positions of the sequence 749-Leu-Arg-Tyr-Leu-Leu-753 played important roles, as did the immediate upstream (−1) and downstream (+6) residues (Leu and Glu, respectively).

**Phantom effect:** As a dimeric partner of a NR, RXR played a role in its transcriptional activity. The peptide Co4aN (1429–1511) derived from ASC-2 (also called NRC/RAP250/ TRBP/PRIP/gene product of AIB3), which contained the ASC-2 NR box 2, interacted with LXRα LBD residues from H3–H5 and H12. Binding by the peptide to the 22*R*hydroxycholesterol–LXRα LBD complex was enhanced five-fold by the dimerization of the holo-LXRα LBD with the apo-RXR LBD and further enhanced two-fold in the presence of RXR agonist 9-cis-RA (0.1 μM) [58]. This RXR heterodimerization-mediated allosteric enhancement that required the presence of the RXR H12 (AF-2) was termed the "phantom effect". The authors posited that the asymmetric interaction between the LXRα LBD and

RXR LBD stabilized the LXRα LBD H12 in a more favorable position that allowed the CoA peptide to bind even in the presence of the apo-LXRα.

**RFP8:** The ring finger protein (RFP) 8 was found to interact with the apo-RXRα by using a two-hybrid assay on a human liver cDNA library [60]. RFP8 stimulated RXRα transactivation activity on CRBP-II and RARβ RARE-linked reporter constructs, which was then augmented by 9-cis-RA (1 μM). The RFP8 and RXRα proteins colocalized in the nucleus of transfected COS-7 cells. The interaction site with RFP8 was predominantly in the N-terminal 28 residues of the RXRα A/B domain. Although RFP8 had previously been found to interact with the ubiquitin-conjugating enzyme UBE2E2, which mediates RXRα ubiquitination in hepatoma cells, RFP8 did not enhance RXRα degradation through the ubiquitin pathway.

**PCAF:** The CoA p300/CBP-associating factor (PCAF) interacted with the apo-RARα LBD–apo-RXRβ LBD heterodimer and that interaction was enhanced by the RXR agonist AGN194204 (Fig. 1B) in GST pull-down assays [61]. The apo-RARα LBD also interacted with PCAF but that interaction was not enhanced by AGN194204, whereas interaction between PCAF and the apo-RXRβ LBD was low and enhanced in the presence of the RXR agonist. In contrast, the interaction of the NR DBDs with PCAF was constitutive. Agonist binding induced the formation of 1:1 stoichometric complexes of PCAF–RARα LBD– RXRβ LBD. The efficacy of PCAF binding to the full heterodimer was enhanced five-fold in the presence of RXR agonist AGN194204 ( $K_d$  47.2 nM vs 9.4 nM, respectively) with the differences in the PCAF off-rate having the higher impact. A similar enhancement occurred with the heterodimer bound to the βRARE.

**4.1.2. Coactivator–NR interaction relationships—**Similarity cluster analyses of NR holo-LBP and CoA binding sites were conducted using the crystallographic structures of 177 human NRs from the PDB [62]. On the basis of the hydrophobic and polar contact preferences of 35 CoA-binding sites, RXRα and RXRβ of the RXRs (α, β, and γ, which were represented by respective PDB structures 1MV9, 1UHL, and 2GL8) were most closely related. The RXRs were next most closely related to CAR, followed by RARs β and  $γ$ , PPAR $\gamma$ , and LXR $\beta$ . When both ligand-binding and CoA site fields were combined, RXRs  $\alpha$ and  $\beta$  were again more closely related to each other than to RXR $\gamma$ . The RXRs were next most closely related to HNF4α and HNF4γ.

Dynamics methods were used to model the binding of 9-cis-RA and/or a TRAP220 CoA peptide to the apo- and holo-RXRα LBDs as represented in crystal structures 1LBD and 1XDK, respectively, as a means investigating agonist–NR–CoA peptide interactions [63]. A dynamics comparison of the two structures revealed that of 217 residues, the positions of 71 were conserved (rmsd =  $0.3 \text{ Å}$ ), whereas 19 LBD and 16 AF-2 site residues had rmsds of 3.0  $\AA$  and 14.8  $\AA$ , respectively, to indicate less positional conservation in the LBP and even less in the AF-2. Protein dynamics and thermodynamics on the Cα and Cβ backbone atoms and pseudoatoms that represented the centers of the residue side-chains were used to study whether ligand and CoA binding was sequential or simultaneous. The outcome being in agreement with the crystal structures led the authors to conclude that ligand and CoA

binding to the RXRα LBD occurred sequentially; however, their analysis was unable to predict the binding order.

**4.1.3. CoA–AF-2 Interaction—**An ab initio fragment molecular orbital study of the RXRα LBD–9-cis-RA complex with the CoA steroid receptor coactivator (SRC) 1 peptide NR box motif was performed to investigate the role of the transcriptional AF-2 core [24]. AF-2 Glu453 and Glu456 were separately mutated to lysine to investigate the role of each glutamate. These studies suggested that the strength of the transcriptional response paralleled that of the strength of the AF-2–CoA interaction.

**Aromatic clamp locks H12 in the agonist conformation:** Comparison of holo-RXR structures alone and their complexes with CoA NR box peptides demonstrated the roles of three phenylalanine residues (RXRα LBD H3 Phe282, H11 Phe442, and H12 Phe455) in facilitating CoA peptide binding [64]. In the mouse apo-RXRα1 LBD(227–467) these phenylalanines were exposed to solvent and did not interact with other residues. After CoA TRAP220 NR box 2 peptide bound to the mouse 9-cis-RA–RARβ2 LBD–RXRα1 LBD complex, the side chains of the phenylalanines reoriented to come into close contact with H12 and the TRAP220 peptide. Comparison of this complex with that of 9-cis-RA–RXRa LBD showed that TRAP220 peptide binding produced a 110° rotation about the H3 Phe282 Cα–Cβ bond that led to a 104° pivot of H12 Phe455 and a 2-Å shift of H12 towards both H3 and the CoA peptide that resulted in the stabilization of Phe455 in a hydrophobic pocket comprised of H3 Leu281, Phe282, and Leu285, H12 Met459, and two leucines and a methionine of the peptide. H11 Phe442 shifted by 112° to contact H12 Leu460 and H3 Phe282 to form the aromatic clamp that correctly positioned H12. While not inhibiting the binding efficacy of 9-cis-RA, mutation of Phe282 or Phe442 to alanine blocked the transactivational activity of the 9-cis-RA–RXRα homodimer on the DR-1-*tk*-CAT reporter, thereby demonstrating the importance of these residues in stabilizing CoA binding.

**Role of RXR**α **LBD H12 in CoA recruitment by a non-permissive heterodimer (apo-**

**RXR**α**–apo-RAR**α**):** Reconstitution experiments in yeast, which did not express the CoA SRC-3 (pCIP/AIB-1/RAC-3/TRAM-1/ACTR) or the CoR SMRT, reporter assays, and EMSAs were used to demonstrate that binding of the RXR agonist LG100268 to the wildtype RXRα–RARα heterodimer induced the recruitment of the SRC-3 receptor interacting domain (RID) peptide and activation of a DR-5 reporter construct [65]. However, this complex was unable to induce the release of the SMRT RID peptide, which only occurred in the presence of the RAR agonist ATRA, which also had recruited the CoA peptide [65]. The RXRα (403) mutant lacked the RXR H11–H12 loop and H12. The RXRα (403)–RARα heterodimer remained responsive to ATRA-mediated CoA recruitment, whereas RXR agonist LG100268 weakly impaired CoA recruitment but did not impact CoR binding. On the DR-1 reporter construct, the wild-type RXRα–RARα heterodimer was activated by ATRA to recruit SRC-3 and lose SMRT, whereas LG100268 only weakly inhibited SRC-3 binding and had no effect on SMRT dissociation. Similar results were observed with the wild-type and mutant RXR heterodimers with TRβ. Three-hybrid assays in yeast showed that the mutant RXR heterodimer had higher affinity (two-fold) for SRC-3 than the wildtype heterodimer. The authors concluded that the RXR LBD H12 inhibited CoA association

in the apo-heterodimer but was essential for heterodimer activation by a rexinoid agonist. Supporting the role of the RXRα H12, was the finding that *Xenopus laevis* embryos expressing a mutant with a deletion of the RXR LBD H12, Gal4-RXR  $(470-488)$ , were unable to activate the 14×UAS-E1b-Luc reporter in the presence of LG100268 [31].

**4.1.4. Impact of CoA binding on bound ligand—**Muccio and colleagues conducted a thorough investigation of the binding of the CoA glucocorticoid receptor-interacting protein (GRIP) 1 NR box 2 peptide by the 9-cis-RA–RXRα LBD homodimer complex [66]. Their analysis was based on comparisons of the crystal structure of this complex with that of 9-cis-RA–RXRα LBD complex (PDB 1FBY) and their thermodynamic properties, which had been determined by isothermal calorimetry (ITC), hydrogen/deuterium exchange mass spectrometry (HDX MS), and UV spectroscopy of their complexes in solution [66]. The 9 cis-RA–RXRα LBD–GRIP-1 structure revealed changes typical of an holo-RXR–CoA peptide complex, namely the H1—H3 loop in which H2 was unwound and the GRIP-1 peptide bound as a two-turn helix in the CoA pocket formed by residues from H3, H4, and H12. The RXRα LBD stabilized GRIP-1 peptide binding through the formation of charge clamps. Its H12 Glu453 carboxylate group formed one such charge clamp through three Hbond interactions with GRIP-1 backbone NHs and its H3 Lys284 amino group formed the second charge clamp by a salt bridge with the GRIP-1 histidine ring. Binding was further stabilized by the GRIP-1 NR box 2 motif leucines and the–1 upstream isoleucine interacting with hydrophobic residues from H3, H4, and H12. These interactions required changes in the conformations of RXRα LBD H3, H4 and H12 residues. For example, H4 Arg302 was induced to shift towards H12 to permit a salt bridge between its guanidinium group and the H12 Glu453 and Glu456 carboxylate Os, which stabilized the H12 position. H-bonds also formed between the Arg302 guanidinium group Ns and the peptide backbone.

Most interesting, just as bound rexinoid agonists affected LBD geometry to facilitate CoA binding, the binding of the CoA impacted the positions of the LBP residues and 9-cis-RA geometry [66]. The authors showed that the bound GRIP-1 peptide ( $K_d = 0.9$  µM by circular dichroism and 0.6 μM by ITC) reduced the dynamics of residues responsible for CoA binding and those near the bound agonist. The authors observed that binding by the GRIP-1 peptide had to be exothermic to overcome the entropy opposing binding. Peptide binding did not produce large changes in the positions of the H6, H7, H8, H9, H10, and β-sheet backbones (rmsd =  $0.16 \text{ Å}$  for 229 residues), although changes occurred near the CoA pocket and extended to H11 residues interacting with the 9-cis-RA hydrophobic terminus. Peptide binding induced a 2-Å shift in the H12 C-terminus towards the CoA peptide and H11 and the rotation of H11 Phe437 towards the H12 Leu455. As a result, the conformation about 9-cis-RA was altered so that its 16-methyl group had reduced contact with H11 His435 and Leu436, lost contact with H3 Ile268 and Cys269, but now contacted H11 Cys432 and His435. Contacts of the 9-cis-RA 17-methyl and 18-methyl groups and 2, 3, and 4-methylene groups with pocket residues from H3, H7, and H11 were also altered. The 9 cis-RA 16,17,18-trimethylcyclohexenyl ring was flipped to the alternative half-chair conformation and the surface area contact between 9-cis-RA and H11 increased from 118 Å<sup>2</sup> to 140 Å<sup>2</sup>, whereas the 9-cis-RA 6–7 torsion angle decreased from –70° to –20°. The contact between the 9-cis-RA side chain 19-methyl and H11 Leu436 increased, whereas that

between its 20-methyl and H3 decreased. The important stabilizing ionic and H-bond interactions between the 9-cis-RA 15-carboxylate and the H5 Arg316 were maintained because the 9-cis-RA carboxylate shifted only 0.6 Å away. Thus, 9-cis-RA binding continued to be stabilized, although its LBP surface contacts had been reduced by 30%. The authors concluded that GRIP-1 peptide binding stabilized both the GRIP-1–RXRα interface and interior structure one layer from the bound ligand. The importance of the H11 Phe437 in transmitting these conformational changes between ligand and peptide was noted.

**4.1.5. Heterodimeric partner affects CoA Binding to RXR—**The dissociation constants  $(K<sub>d</sub>s)$  for binding of a CoA-derived NR box to the RXR $\alpha$  LBD were compared to those of the RARα LBD. Using fluorescently tagged peptides, the binding affinities of the SCR-1 NR box 1–3 peptide and the TRAP220 NR box 2 peptide were found to be lower for RXRa alone on the basis of their  $K_d$  values (53 and 24, respectively) than those for RARa alone (8.2 and 10.9, respectively) [64]. Similarly, in the presence of their respective agonists (CD3254 in Fig. 1B and Am80), peptide affinities were also lower for holo-RXRα (1.5 and 1.9, respectively) than holo-RARα (0.58 and 0.47, respectively). In the context of the RXRα–RARα heterodimer, RARα-selective Am80 enhanced affinity for the NR boxes 1 and 3 and NR box 2 peptides (0.40, 0.40, and 0.44, respectively) compared to those of the apo-heterodimer (4.8 and 3.0, respectively), which were not appreciably altered by presence of the RXR antagonist UVI3003 (Fig. 1D) (0.48 and 0.43, respectively). Interestingly, the RXR agonist CD3254 exerted effects on recruitment of the peptides to the heterodimer (0.4 and 1.0, respectively) and its complexes with Am80 (0.13 and 0.39, respectively) and RARα antagonist BMS614 (1.2 and 1.9. respectively). Notably, the impact of CD3254 on NR box 2 peptide recruitment to the heterodimer was diminished in the presence of Am80 (0.39). These values were determined using fluorescence anisotropy measurements at ligand concentrations above saturation levels and assumed one peptide bound by a monomer or a dimer. These results suggested to the authors that the agonist-bound LBDs acted independently in recruiting the CoA peptides. However, peptide recruitment to the RARα– RXRα–CD3254 complex in the presence of RARα agonist Am80 or antagonist BMS614 was lower than that induced by the apo-RARα alone to suggest that both heterodimerization and the structure of the RARα ligand played roles. In the context of recruitment of the native CoAs with multiple NR boxes cooperativity was observed between the two LBDs.

**4.1.6. Corepressors—**The general dogma of CoAs binding NRs complexed with transcriptional agonists and CoRs binding to antagonist complexes has been expanded to include the findings that agonist binding can also lead to the recruitment of certain CoRs, which interact with NRs through their CoRNR motifs (Leu/Ile-X-X-Ile/Val-Ile) [67].

**Dec1 and Dec2:** The basic helix-loop-helix DEC1 (BHLH E40 or B2/Stra13/Sharp2) and DEC 2 (BHLH E41 or B3/Sharp 2) transcription factors functioned as ligand-dependent RXR CoRs in the context of the RXR homodimer or its heterodimers with LXR, FXR, RAR, and VDR [68]. DEC2 was more potent than DEC1 in repressing 9-cis-RA-activated RXRα. Unlike DEC1, DEC2 was resistant to inhibition by the HDAc inhibitor trichostatin A and prevented CoA DRIP205 binding by RXRα. Interaction of the DECs with RXR was direct, mediated through the DEC N-terminal Leu-Lys-Asp-Leu-Leu CoA motif, and

enhanced by 9-cis-RA binding. DEC2 suppressed the activation of Gal4-RXRs by 9-cis-RA, whereas the effects of DEC1 on the 9-cis-RA-bound Gal4-RXRα, β, and γ constructs were respectively modest, ineffective, and weak. The role of DEC in circadian rhythm was suggested by its attenuation of RXR–LXR signaling in the liver.

**COPR1 and COPR2:** The comodulators of PPAR and RXR (COPRs) 1 and 2 were detected in normal human keratinocytes and MCF-7 breast cancer cells and found to function as partial CoRs because of their proline-rich autonomous activation domains [69]. Both COPRs bound to the RXRα AF-2 site through their NR box motif Leu-Leu-Tyr-Leu-Leu. COPR binding and corepression were enhanced in the 9-cis-RA–RXRα complex. Interactions of COPR1 and COPR2 with RXRα were stronger than those with the apo-PPAR and 9-cis-RA–RARα and RARγ complexes. Interaction of RXRα with COPR1 was higher than that with COPR2, which has a 50-residue insert N-terminal to the NR box.

**RIP140:** The rexinoid agonist AGN194204 (Fig. 1B) only had a minor impact on binding of the RARα–RXRβ heterodimer by the CoR RIP140 (NRIP1) C-terminus (RIP-C) peptide because the  $K_d$  value was only decreased to 2.0 nM compared to the apo- $K_d$  of 3.6 nM [61]. The C-terminus of RIP140 has an agonist-dependent motif, Leu-Tyr-Tyr-Met-Leu, whereas full-length RIP140 has nine traditional NR box motifs [67]. RIP140 binding led to the recruitment of HDAc to silence transcription in the presence of bound agonist. Moreover, binding by RIP-C or RIP140 to the heterodimer was stronger in either the presence or absence of the rexinoid compared to CoA PCAF binding  $(K_d$  of 2 nM for RIP-C versus 9.4 nM for PCAF in the presence of AGN194294 as shown by competition assays, SPR, coimmunoprecipitation, or altered histone acetylation levels [61]. Kinetics revealed that the  $K_{on}$  rate for RIP-C was 19-fold higher (142  $\times$  10<sup>3</sup>/Msec) than that for PCAF. Interestingly, the authors observed that the rexinoid could even induce the recruitment of PCAF to the apo-RARα–RXRα heterodimer.

### **4.2. Insulin-like growth factor binding protein-3**

IGFBP-3 was found to inhibit activation of an RARE by ATRA but to enhance that of an RXRE by a rexinoid [70]. Treatment of 22RV1 human prostate cancer cells with IGFBP-3 or 0.5 μM RXR agonist VTP194204 (AGN194204 in Fig. 1B) for 72 h inhibited proliferation by 22% and 37%, respectively, whereas their combination inhibited proliferation by 55%, a value slightly below the additive effect of 59%. The combination of LGD1069 (Fig. 1B) and IGFBP-3 had higher than additive effects on the induction of human LAPC-4 and LNCaP prostate cancer cell apoptosis in vitro at both 24 h and 48 h (2.36-fold vs 1.32-fold and 1.57-fold, and 1.90-fold vs 1.27-fold and 1.26-fold, respectively). Induction of caspase-3/7 activity at 24 h was also above additive. Neither agent alone had significant effects on tumor weight or serum prostate specific antigen (PSA) level when administered by intraperitoneal injection in a murine LAPC-4 prostate cancer xenograft model, but the combination of IGFBP-3 (4 mg/kg/day) and rexinoid (4 mg/kg/ day) reduced tumor weight by 54% and PSA levels by 40% compared to the saline-treated control mice.

Transfection of IGFBP-3 into HL-60 and NB4 acute myeloid leukemia cells, which normally do not express this protein, was observed to enhance differentiation-induced by RXR agonist AGN194204 and down-regulate signaling by RAR and VDR that was induced by ATRA and vitamin  $D_3$  analog EB 1089, respectively [71].

### **4.3.** β**-Catenin**

RXRα interacted with β-catenin, which mediates Wnt signaling in embryogenesis and tumorigenesis [72]. β-Catenin has an extra-nuclear role in cell adherent complexes, whereas in the nucleus it functions as a CoA of the transcription factor T-cell factor/lymphoid enhancer factor (TCF/LEF) family through one or more of its five NR box motifs. RXRα agonist AGN194204 inhibited β-catenin-induced TCF/LEF-*tk*-Luc reporter activity. RXR agonists AGN194204, AGN195362, AGN195741, AGN196060, and 9-cis-RA induced βcatenin degradation through the proteasome pathway, which was blocked by the RXR antagonist AGN195393. Both the RXRα A/B domain and H12 were required for interaction with β-catenin. Wnt/β-catenin signaling was found to be constitutively activated in colorectal cancers and cancer stem cells [73]. Nuclear β-catenin was found to be expressed in 74% of 214 colorectal cancer samples. Its level of expression and distribution did not correlate with levels of LEF-1 (26%) or TCF4 (46%), which were considered as predictors of longer or shorter survival, respectively.

# **5. Dimerization**

Increasing evidence indicates that RXR does not play a passive role as a heterodimeric partner but impacts the responses of its NR partner, regardless of its permissive, nonpermissive, or conditionally permissive status.

### **5.1. Dimeric partner classification**

**5.1.1. Non-permissive versus permissive—**Heterodimeric partners of RXRs have been classified as either non-permissive NRs (TRs and VDR), permissive NRs—farnesoid X receptor (FXR), liver X receptors (LXRs), and PPARs, or conditionally permissive NRs (RARs). The cartoons in Fig. 6 illustrate how RXR behaves in each of these heterodimers. In non-permissive heterodimers, RXR activity was classified as being subordinated to that of its partner, although RXR was still capable of binding its transcriptional agonists and interacting with CoAs [74]. Thus, a non-permissive NR in the context of an RXR heterodimer would only be activated in response to its own agonist, while the binding of RXR to a rexinoid agonist would not enhance the transactivational response induced by the NR–agonist complex on the NR response element (Fig. 6A). In such a heterodimer, a CoR would only be released by the binding of an agonist of the partnering NR, but not by the rexinoid agonist binding to RXR [75]. In permissive NR–RXR heterodimers, transactivation would occur through the binding by a transcriptional agonist to either partner or by agonists binding to both partners (Fig. 6B). Binding by both agonists could have additive or synergistic affects. A CoR protein would also be released when a rexinoid agonist bound to RXR [75]. The RAR heterodimers have a mixed status in that, while this activation of the apo-heterodimer would not be not affected by an RXR agonist, binding by an RAR agonist

would induce both transactivation and permissivity so that an RXR agonist could then bind and enhance the activation by the RAR agonist (Fig. 6C).

Heterodimer structure was considered to define permissivity [75]. The conditionally permissive RXRα LBD–RARα LBD heterodimer was symmetric with no interaction occurring between the RARα H12 (AF-2 helix) and the RXRα LBD, whereas the permissive RXRα LBD–PPARγ LBD heterodimer was asymmetric with the PPARγ LBD H12 interacting with the RXRα LBD helices H7 and H10 even in the absence of a PPARγ ligand. Although the longer length of H12 in the NR partner has been suggested as facilitating this permissive interaction, H12 lengths in the permissive Nurr1 (NR4A2) and NGFI-B (NR4A1) orphan NRs were found to be shorter than those in the permissive PPAR and LXR LBDs and the same length as the non-permissive TRβ LBD H12.

Mutational analysis was used to show that the heterodimer interface between RXR and its NR partner did not mediate permissivity and the interaction with a CoR, but that the Nterminus of the LBD of the NR partner controlled the heterodimer classification status [75]. The dimerization-deficient RXRα(Ala416Lys) mutant, which could not heterodimerize with Nurr1, a CoR-binding-deficient RXRα(Leu294Arg) mutant, which could not interact with the CoR SMRT, and RXR chimeric constructs with the RARγ LBD were used to demonstrate that RXR recruited SMRT to the permissive RXR–Nurr1 heterodimer without altering the ability of Nurr1 to interact with SMRT or NCoR. Nurr1, itself, was unable to interact with a CoA and interacted only weakly with a CoR. Thus, binding by 9-cis-RA or the rexinoid agonist SR11237 [76] (Fig. 1B) to RXR inhibited RXR interaction with SMRT. In contrast, binding by RAR agonist TTNPB (Ro13-7410) [77], but not SR11237, inhibited the interaction between SMRT and RAR $\gamma$  in the non-permissive RXR–RAR $\gamma$  heterodimer.

**5.1.2. Exceptions—**Interestingly, exceptions to the standard permissivity definition [75] are increasing and appear to depend on the cell type, the RE of the NR partner, and cofactors present. Exceptons include the interactions of RXR with the non-permissive TRs and the permissive LXRs and PXR. Thus, categorization of the transcriptional response is becoming increasingly complex.

Nagy and coworkers conducted microarray profiling of genes regulated by 9-cis-RA and LG100268 when bound to RXR that was partnered with permissive LXRs and PPARs and non-permissive RARα and VDR and their agonists in human monocyte-derived dendritic cells [74]. These primary dendritic cells expressed mRNAs for RXR $\alpha$ , LXRs  $\alpha$  and  $\beta$ , PPARs  $\beta/\delta$  and  $\gamma$ , RAR $\alpha$ , and VDR but did not, or only at very low levels, express mRNAs for CAR, FXR, PPARα, PXR, RARs β and γ, and TRs α and β. Treatment with an RXR agonist enhanced the original low expression of LXRα and PPARβ/δ. The authors found that the RXR agonists were unable to completely replicate the expression pattern induced by the permissive NR agonists—LXRα/β agonist GW3965, PPARβ/δ agonist GW1516, and PPARγ agonist rosiglitazone—on LXR and PPAR target genes as indicated by the incomplete overlap of altered gene sets at 12 h after treatment and the dose-response curves for LXR-dependent genes for ABCA1, ABCG1, LXRα, and SCD and for PPARβ/δ or γdependent genes for ADRP, CD36, FABP4, and PDK4. They concluded that in myeloid cells the RXR agonists and LXR or PPAR agonists employed different pathways to down-

regulate gene expression and that RXR permissiveness for gene up-regulation was impaired. The RXR agonists were able to modulate only 14% and 8% of the respective genes regulated by RAR $\alpha$  agonist Am580 and VDR agonist 1 $\alpha$ ,25-(OH)<sub>2</sub>-vitamin D<sub>3</sub> (VD<sub>3</sub>). However, they did detect ATRA (2.2 nM), but not 9-cis-RA, in the cells, which could have modulated the expression induced by the RXR agonist. The differential modulation of LXR and PPAR-signaling pathways by RXR ligands suggested to these authors that RXR homodimer signaling was involved and that permissivity was defined by cell type and cofactors present. They concluded that RXR signaling was mediated both by multiple permissive heterodimers and independently of such heterodimers.

**RXR**α**–TR:** The non-permissive RXRα–chick TRβ heterodimer had the ability to function permissively [78]. The distal enhancer region upstream of the prolactin proximal promoter has a non-conserved DR-4. Transactivation on this site was activated by the RXRα–TRβ heterodimer in the presence of TR agonist  $T_3$  or RXR $\alpha$  agonist 9-cis-RA (Fig. 1A) or LG100268 (Fig. 1B), or the combination of  $T_3$  and either RXR agonist. Each ligand also enhanced CoA recruitment. Permissive activation occurred in pituitary cells and more weakly in GH4C1 cells but not in CV-1 cells in which  $T_3$  alone was responsible for CoA recruitment. The AF-2 domains of both receptors were required to recruit the CoA. The authors suggested that the availability of CoAs also affected the transactivational response.

Samuels and colleagues provided results that supported this finding [14]. Apo-RXRα inhibited  $T_3$ -induced activation of the Gal4-TR $\alpha$  on a pCM100 reporter construct, whereas the 9-cis-RA–RXRα complex enhanced activation of the TRE-DR-4-CAT reporter. RXRβ alone or with 9-cis-RA had no effect. Deletion of the RXRα or RXRβ A/B domain indicated that the RXRα A/B was responsible for inhibition of Gal4-TR activity. The authors suggested that the RXR $\alpha$  A/B directly impacted the interaction of TR with T<sub>3</sub>. In addition, 9-cis-RA–RXR binding to the Gal4-TR inhibited  $T_3$  induced transactivation on the G5-tk-CAT but enhanced that of  $T_3$ –TR on the TRE DR-4. In earlier work, this group showed that while 9-cis-RA was not able to activate the RXR–TR, it did induce the dissociation of a CoR from TR in the heterodimer [79]. The prolactin promoter, which has a DR-4 RE in its distal enhancer, is responsive to 9-cis-RA, which induces CoA recruitment to the heterodimer and activation, and also enhances activation induced by  $T_3$  in transfected pituitary cells [78]. These authors also reported that in transfected CV-1 cells, 9-cis-RA normally is unable to activate the RXR–TR unless unless high levels of an exogenous CoA are co-expressed.

**RXR–pregnane X receptor heterotetramer:** RXRα played a subordinate roll in the RXRα LBD–PXR LBD heterodimer. Molecular dynamics, quasiharmonic, and normal moded analyses were conducted on the pregnane X receptor (PXR) LBD in the context of its heterodimer and heterotetramer with RXRα LBD [80]. Models were derived from the PXR LBD–RXRα LBD heterodimer (1ILG) and two PPARγ LBD–RXRα LBD structures (1RDT and 1FM6). In the heterotetramer, residues in each PXR LBD β-turn-β motif (β-sheet) contributed to formation of an aromatic zipper that functioned as an essential homodimerization interface, which was necessary for interaction with the CoA SCR-1, without interfering with heterotetramer localization, RXR heterodimerization, or ligand and DBD interactions. This PXR LBD–PXR LBD interface (β1, β1', β3, β4), which was 30 Å

from the PXR LBD AF-2 surface, formed a strongly correlated unit with H1, H3, H3′, H4, and H9 that led to their highly correlated motion in the same direction. The motion of adjacent helices including H12 was also correlated. The authors suggested that that the correlations indicated that H3 acted as the conduit through which the stabilizing effects of the PXR homodimer were communicated to the AF-2 surface (H3, H3′, H4, and AF-2), which facilitated interactions with a CoA and induction of transcription. In contrast, in the RXR–PXR heterodimer and heterodimer subunit interactions of the PXR β-sheet with the AF-2 surface exhibited only disjointed and smaller motions that were anti-correlated. Correlated AF-2 domain motions were also seen in the PPAR<sub>γ</sub> LBD of the PPAR<sub>γ</sub> LBD– RXRα LBD complex.

**RXR–LXR:** LGD1069 was able to activate activate hepatic lipogenic genes leading to increased triglycerides via the  $\text{RXR}-\text{LXR}\alpha/\beta$  heterodimer [81]. This activity was confirmed in wild-type but not LXRα/β-knockout mice. However, bexarotene was unable to similarly increase cholesterol homeostasis as demonstrated by lack of increased plasma total cholesterol. In contrast the LXR ligand TO-901317 could activate lipogenic genes—sterol regulatory element-binding protein (SREBP) 1c, stearoyl-CoA desaturase 1 (SCD1), and fatty acid synthase (FAS)—and cholesterol homeostasis genes—the ATP-binding cassette transporters ABCGFs 1, 5, and 8 and ABCA1.

#### **5.2. Allosteric interactions between RXR and its dimeric partner**

Ranganathan, Mangelsdorf and colleagues employed statistical coupling analyses of NR LBDs to identify interior communication pathways of residues (allosterism) that linked the four NR functional surfaces: (i) ligand-binding pocket; (ii) AF-2 motif; (iii) dimerization interface; and (iv) cofactor binding-surface [82]. The authors indicated that the transcriptional response of the RXR–NR heterodimers to the NR ligands defined their functional status as non-permissive, in which the NR's ligand was solely responsible for transactivation (i.e.,  $NR = TRs$  and  $VDR$ ); conditionally permissive, in which the RXR ligand alone had only a minimal effect but enhanced transcription induced by the partner's ligand (NR = RAR); and permissive, in which, either the RXR ligand or the NR's ligand induced transcription and their combination behaved additively or synergistically ( $NR =$ FXR, LXR, Nurr1, and PPARs). Mapping of the allosteric network identified by the cluster analysis of the NR LBDs onto the RXR LBD identified 27 coupled residues in H1, H1–H3 loop, H3, H4, H5, β-turn, H8, H9, H10, H11, and H12 that interacted either internally (H1 with H3, H1–H3 loop internally and with H3 and H4, H3 with H4, H4 with H5 and the H8– H9 loop, and H5 with H10) or externally with the ligand (H5 Trp305 with 9-cis-RA), the CoA peptide (H3 Lys284, H4 Gln297, and H12 Phe450), and the dimeric partner's LBD interface (H8–H9 loop Asp379, H9 Arg393, and H10 Leu419 and Arg426). Thus, about half of the residues had roles in LBD interactions and the others formed the allosteric network with the NR partner.

Alanine mutational analysis of LBP residues in permissive heterodimers of RXR with FXR, LXR, and PPAR $\alpha$  showed that their LBP residues were energetically linked to their heterodimerization interface [82]. Mutation of the allosteric network in the permissive partner had a greater impact on the response of RXR to its agonist than the comparable

mutation in RXR and decreased responses to RXR agonists, whereas similar mutations in the context of non-permissive RARα and TRβ heterodimers with RXR did not. This finding suggested to the authors that the permissive partner of RXR had the greater functional dominance. The authors noted that the non-permissive heterodimers were involved in endocrine signalling, whereas the permissive ones regulated metabolic pathways in response to lipid or lipid products, and that the responsive flexibility of the permissive heterodimers permitted them to function as sensors of dietary factors to activate metabolism. For example, Nurr1(Glu445Ala) having a mutation in the LBD N-terminus had enhanced constitutive activity but exhibited a reduced response in the presence of an RXR ligand [75].

**5.2.1. RXR–CAR—**The orphan NR constitutive androgen receptor (CAR) is considered to be constitutively activated although functional ligands have been identified. The behavior of RXR–CAR heterodimers varied with cell type and ligand [83]. While 9-cis-RA enhanced interaction of RXR–CAR with the CoA SRC-3, it inhibited the CAR agonist 1,4-[3,3′,5,5′- (Cl)4-bispyridyloxy]benzene (TCPOBOP) from enhancing CoA recruitment and the CAR antagonist androstanol from decreasing it. In a DR-5 reporter assay, 9-cis-RA or LGD1069 alone was inactive but either blocked activation by the CAR ligand. However, on other RXR–CAR REs both rexinoids enhanced heterodimer transactivation. The authors concluded that RXR agonist LGD1069 blocked CAR agonist activity but not the inhibitory activity of the CAR antagonist in HepG2 hepatoma cells.

Heterodimerization of the RXRα LBD with the agonist TCPOBOP–mouse CAR LBD complex increased the potency of CAR agonist-induced recruitment of the CoA TIF-II NR box 3 peptide from 243 nM to 83 nM (four-fold) and increased that for CAR antagonist androstanol-induced TIF-II dissociation from an  $IC_{50}$  value of 183 nM to 60 nM as determined by luminescence proximity assays [84]. Recruitment of the TIF-II peptide by monomeric apo-CAR was increased approximately three-fold in the context of the CAR heterodimer with apo-RXR $\alpha$  (IC<sub>50</sub> reduced from 10  $\mu$ M to 3  $\mu$ M). IC<sub>50</sub> binding affinity values of the TCPOBOP–CAR complex for the peptide decreased from an  $IC_{50}$  value of 0.5 μM in the monomer to 0.1 μM in the RXRα heterodimer.

**5.2.2. RXR–TR allosterism—**Although allosterism between RXR and its partner was first considered the purview of the permissive receptors, increasing evidence indicates communication also occurs with non-permissive partners.

Allosteric interactions between RXR and TR were explored using isothermal scanning calorimetry (ITC) [85]. Conclusions drawn from this study were that (i) the TR response element (TRE) induced conformational changes in the TR DBD that decreased interactions with the TR LBD; (ii)  $T_3$ –TR $\alpha$ –TRE bound the CoA SRC-1 peptide with three-fold higher affinity than  $T_3$ –TR $\alpha$ ; (iii)  $T_3$ –TR $\alpha$ –RXR also bound the SRC-1 peptide with three-fold higher affinity than T<sub>3</sub>–TR $\alpha$ ; whereas (iv) T<sub>3</sub>–TR $\alpha$ –RXR–TRE bound with only two-fold higher affinity to indicate that binding by the complex components was not additive and that the TRE in the complex attenuated the enhancement of SRC-1 binding by RXR. Interestingly, binding of 9-cis-RA to RXR further reduced SRC-1 affinity. The authors called this type of interaction "a negative permissive effect".

The affinities of the RXR–TRα to the DR-4 and inverted palindrome (IP)-6 TREs were not affected by the binding of 9-cis-RA or  $T_3$  [85]. Levels of transcriptional activation induced on either TRE by 9-cis-RA or by  $T_3$  were the same; however, activation by the ligand combination produced a higher response on the DR-4 RE. Binding by both ligands eliminated the negative effect of the TRE on SCR-1 binding and produced equivalent transactivation from either RE when both ligands were added sequentially. The authors concluded that RXR functioned allosterically to enhance the binding of  $T_3$  by TR.

**5.2.3. RXR–VDR allosterism—**Even in the absence of a RXR agonist, in the context of the RXR–VDR binding by a VDR agonist was found to allosterically induce a change in the RXR conformation from that of the nonliganded (apo) receptor to the liganded (holo) agonist conformation [86]. In this reverse "phantom effect", RXR became capable of recruiting the CoAs SRC-1, TIF-II, and ABC-1.

### **5.3. Tail wrapping by RXR LBD H12**

**5.3.1. RXR–LXR heterodimer—**The LXRα and LXRβ LBDs form homodimers in solution and on crystallization; however, a physiologic role for the LXR homodimer has not been confirmed in vivo. Overlap of the agonist GW3965–LXRα LBD homodimer with the RXRα LBD–LXRα LBD heterodimer indicated a <0.8 Å rmsd in LXRα backbone carbons [87]. Comparison of the structures of the NCoA2 peptide-bound agonist *N*-(2,2,2 trifluoroethyl)-*N*-[4-(2,2,2-trifluoro-1-hydroxy-CF3-ethyl)phenyl]benzenesulfonamide– LXRα LBD–RXRβ LBD–agonist methoprene acid heterodimer complex (1UHL) [88] and the NCoA1 (SRC-1) peptide-bound agonist 3-Cl-4-{[3-(7-propyl-3-CF3-1,2-benzisoxazol-6 yl)oxy]propylsulfanyl} phenylacetic acid (TO-901317)–LXRα LBD homodimer complex (3IPS) [87] showed that several LXRα LBD residues in the former had rotated from their homodimeric positions to form strong heterodimer salt bridges with RXRβ (LXRα His390– RXRβ Glu472 and LXRα Glu465–RXRβ Arg406) to favor heterodimer formation. In the crystal structures of the agonist—1-benzyl-3-(4-MeO-phenylamino)-4-phenylpyrrrole-2,5 dione (SB313987), (4-{3-[(2-Cl-3-CF<sub>3</sub>-benzyl)(2,2-Ph<sub>2</sub>-ethyl)amino]propoxy}-1*H*-indol-1yl)acetic acid (SB786875), and 2-{4-[(butyl)(3-Cl-4,5-(MeO)<sub>2</sub>benzyl)amino]phenyl}-1,1,1,3,3,3-F<sup>6</sup>-propan-2-ol (GSK2186)—LXRα LBD–RXRα LBD– 9-cis-RA heterodimer complexes without bound cofactor peptides (2ACL, 3FC6, and 3FAL, respectively), the RXRα C-terminus including its H12 protruded around LXRα to bind the LXRα AF-2 or CoA surface [87]. In order to do so, the RXRα H11 became disrupted at Phe437 and Phe438. Binding of the RXRα H12 C-terminus was stabilized by a charge clamp with LXRα Lys273, while LXRα Glu441 bound the RXRα H12 Phe450 backbone. Hydrophobic interactions (RXRα H11–H12 loop and H12 Pro446, Phe450, Leu451, and Leu455) also occurred and masked another stabilizing salt bridge between LXRα H3 Lys291–RXRα H12 Asp448–LXRα H12 Val445 carbonyl (tail wrap conformation). LXRα H3 Lys291 position was found to be conserved in those NRs forming heterodimers with RXR—FXR, LXRs, and Nurr1 (in which case lysine was replaced by glutamate), PPARs, PXR, RARs α and β, RORα, and TRα) whereas in the RXR isotypes H12 Arg448 was conserved. In its tail-wrap conformation, the conserved RXR H12 Glu453 was located on the LXRα AF-2 surface and, thus, able to reverse the LXRα AF-2 surface charge, thereby repelling binding by a similarly charged CoA and preventing LXRα signaling even when an

LXR agonist was bound. The authors proposed that in the presence of sufficient levels of an RXR agonist and a CoA, the RXR tail would shift to form an RXR CoA surface as was seen in the  $N-(2,2,2-F_3-Et)$ , $N-[4-(1,1,1,3,3,3-F_6-2-OH-2-propyl)phenyl]benzenesulfonamide$ (TO-901317)–LXRα LBD–RXRβ LBD–methoprenic acid–complex bound by two CoA GRIP-1 peptides (1UHL). Thus, RXR activation was required before LXR could be activated. They also cited supportive studies that included the tail-wraps found in the RXRα LBD homotetramer that were stabilized by the H12 Asp448–H4 Arg302 salt bridge.

### **5.4. Dimer interface between LBDs of RXR and its NR partner**

**5.4.1. RXR homodimers—**Moras and colleagues compared the structures and sequences of NRs forming homodimers (class I) with those forming heterodimers with RXRs (class II) [89]. They found that differentially expressed conserved residues formed salt bridges to stabilize the homodimer interface. Thus, in the RXR LBD homodimer, H1 Glu239 formed a salt bridge with H8 Arg371 and H8 Glu366 formed another salt-bridge with the H10 Nterminus Arg414 to stabilize the H9–H10 loop adjacent to the homodimer interface. The authors suggested that the proximity of H1 to the CoA surface provided a means for possible communication with the interface. In addition, a conserved H4 Tyr-305 was found to participate in the LBP. RXR homodimers were reported to bind the malic enzyme promoter PPRE site in vivo and induce its transactivation in the presence of 9-cis-RA and the CoA SRC-1 [90].

**RXR tetramer-to-dimeric (oligomeric) DNA switch:** In addition to its role as a conventional dimeric NR transcription factor, Noy and collaborators presented evidence that RXR can function as a DNA architectural switch through its ability to interconvert from nonliganded homotetramers to homodimers [91,92]. Because the RXR homotetramers have their DBDs exposed, they retained the ability to complex with RXREs. Binding by the two nonliganded homodimeric components of the homotetramer to two RXREs that were reasonably close caused DNA to form a loop. Looping was cleverly established by demonstrating that the binding of the apo-RXR homotetramer to two RXREs, which were separated by 250 base-pairs in a 382 base-pair sequence, facilitated DNA ligation to form a circular sequence. Circularization was inhibited by the binding of either the RXR agonist 9 cis-RA or  $4-[5,6,7,8-tetrabydro-3,5,5,8,8-Me<sub>5</sub>-2-naphthalenyl]-2-methylpropenyl]benzoic$ acid (SR11345) or the RXR antagonist 2-[(3-*n*-hexyloxy-5,6,7,8-tetrahydro-5,5,8,8-Me4-2 naphthalenyl)(methyl)amino]pyrimidyl-5-carboxylic acid (PA452 in Fig. 1D) [93]. The RXR ligands caused the homotetramer to dissociate into ligand-bound homodimers [91]. Using a transcriptionally inactive RXR $\alpha$  mutant lacking the LBD H12 (RXR H12), which formed homotetramers, bound DNA, and dissociated into homodimers on agonist or antagonist binding, but was transcriptionally inactive by being unable to bind CoAs, they established that the mutant homotetramer induced DNA looping. This dominant-negative mutant was also capable of inducing the expression of genes involved in cell-cycle progression and apoptosis in transfected MCF-7 breast cancer cells treated with SR11345. Up-regulated genes included *Btg2* (2.8-fold), *procaspase-9* (2.8-fold), *GADDs 34, 45*α*, 45*β, and *45*γ (2.4–3.7-fold), *TNF 2* (3.1-fold), and *TR3/Nur77/NGFI-B* (4.5-fold). The RAR antagonist LE540 did not have any significant affect on *Btg2* and *procaspase-9* expression induced by either rexinoid but blocked that induced by RAR agonist TTNPB (Ro13-7410).

Moreover, in MCF-7 cells transfected with the constitutively active homotetrameric RXR mutant RXR(Phe321Ala), which was unable to dissociate to homodimers in the presence of a ligand, only very low levels of *Btg2* and *procaspase-9* were induced by agonist SR11345 or antagonist PA452, whereas in similarly treated cells transfected with the constitutively active dimeric RXR mutant RXR(Phe318Ala) high levels of these genes were expressed. In the presence of 1 μM SR11345 or PA452, MCF-7 cells that had been transfected with wildtype RXRα, RXRα H12, or RXR(Phe318Ala), which could form homodimers, underwent apoptosis, whereas similarly treated cells that had been transfected with constitutive homotetrameric RXR(Phe321Ala) mutant did not. The authors concluded that the RXR homotetramer had a physiologic role in this cell line.

**Impact of ligand and CoA:** Overlap of the structures of the 9-cis-RA–RXRα LBD and LG100268–RXRβ LBD as found in the crystal structures of their homodimers (Table 3) showed that their backbones comprising the homodimeric interfaces were identical [56]. This finding suggested to the authors that the agonists were unable to transmit their structural differences, as was evidenced by their inducing different LBP shapes, and thus did not exert major allosteric differences on the RXR homodimeric interfaces.

However, HDX MS was used to demonstrate that 9-cis-RA binding to the RXRα LBD homodimer decreased exchange at the homodimer interface, which was comprised of interacting residues from H7, H10, H11, and the H7–H8 and H10–H11 loops that were not in direct contact with the ligand, in addition to the decreased exchange observed in those residues directly contacting the ligand [94]. These results supported the dynamics studies of Moras and colleagues, which indicated that ligand binding produced a more compact RXR homodimer [95].

Both ligand and CoA binding by RXR produced changes in the dimer interface. HDX MS was also used to show that after 9-cis-RA binding to the RXRα LBD, exchange by residues was most suppressed in the area surrounding the LBP and was followed by that of residues from mid-H10 (Leu419) to mid-H11 (Cys432) [66]. The H7 Val354–MeT362 sequence, which did not contact 9-cis-RA, was also protected from exchange. Binding by the CoA GRIP-1 peptide further suppressed the level of exchange by these same residues. Exchange was also suppressed in the H11 Leu433–Phe438 and H12 Phe450–Thr462 sequences, which would have been masked by the bound GRIP-1.

**5.4.2. Structure of the RXR LBD homotetramer—**The structures of the RXRα LBD homotetramer alone (1G1U), the homotetramer complexed with four CoR SMRT2 peptides (3R29), that with two inactive isomers of retinoic acid, most likely ATRA in which the the 9*E*,11*E*-double bonds are cisoid (1G5Y), and that with two SMRT2 peptides and two molecules of the antagonist rhein (3R2A) have been determined (see Table 3). The RXRα LBD tetramer structures are shown in cartoon format in comparison to that of the agonist bigelovin–RXRα LBD–GRIP-1 dimer (3OZJ) in Fig. 7. What is most notable about these tetramers compared to that of the RXRα LBD dimer complexed with its agonist 9-cis-RA (3OAP) or bigelovin is the position of H12. In the agonist-bound dimers, H12 is tucked under the LBD to form the AF-2 surface with H3 and H4 to facilitate GRIP-1 or SRC-1 CoA peptide binding (Fig. 7 and Fig. 8).

In the structures of the apo-RXRα LBD homotetramer 1G1U and the RXRα LBD–ATRA tetramer (1G5Y), Gampe Jr. and colleagues observed two symmetric dimers (A1–B1 and B2–A2) that formed major stabilizing interactions between like helices, namely A1 H3–B2 H3, A1 H11–B2 H11, A2 H3–B1 H3, and A2 H11–B1 H11, with H12 of each monomer extending onto the monomeric CoA surface of the adjacent symmetric dimer to function as a CoA NR box motif would to block CoA binding (Fig. 7) [96]. The authors termed the function of H12 in this position as autorepression. In these interfaces, only the interactions between H11 residues Leu436 and Phe437 of adjacent monomers from opposing dimers were symmetric, whereas those for H3 in adjacent monomers involved a symmetric interaction between their two Cys269s and one asymmetric interaction between, for example, the A2 Asp273 carboxylate with the B1 Val265 NH and Tyr266 OH. Also observed was a short helix (labeled H3′) between H3 and H4.

Shen and coworkers reported two different RXRα LBD tetramers to which the CoR SMRT2(2237–2352) peptide was bound [97]. Binding of one CoR peptide to each monomer in the RXRα LBD tetramer structure 3R29 caused a rearrangement compared to their positions in the apo-tetramer with one of the dimers was rotated 30°. This rotation led to different interlocking interactions with the H11 (termed H10 by the Shen group) Ile442 carbonyl of the first monomer hydrogen-bonding with the H7 Arg348 of a second adjacent monomer and its H11 Thr444 OH hydrogen-bonding with the H4 Arg302 of the other adjacent monomer. H11 residues from each monomer formed a hydrophobic core to stabilize the tetramer. Thus, H11 Phe438 of the first monomer interacted with the H11 Phe438 of the second monomer and H11 Phe437 of the first monomer interacted with H11 Phe437 of the third adjacent monomer and so forth around this core. The four H11 Leu441s protruded into the center of the core to further stabilize binding. As a result the tetramer interface was found to increase from 1,476  $\AA^2$  in the apo-tetramer to 2,180  $\AA^2$  in the SMRT2 peptide-bound tetramer. H12 of one monomer contacted the AF-2 surface of the adjacent monomer, which was comprised of residues from H3, H3′, and H4, to allow binding of the SMRT2 peptide. The N-terminus of H3 was also bent but more sharply than that observed in LBD–agonist structure (Fig. 8).

Rhein (1,8-dihydroxy-3-carboxy-9,10-anthroquinone) from rhubarb roots is an ingredient in Chinese medicines used to treat gastrointestinal disorders and is reported to have anti-tumor and anti-angiogenic activities [98]. Shen and coworkers found that rhein functioned as an antagonist in the contexts of the RXR homodimer on the RXRE and the RXR heterodimers with PPAR $\gamma$ , LXR, and LXR $\alpha$  on their REs by inhibiting transactivation induced by their respective agonists 9-cis-RA, rosiglitazone, TO-901317, and chenodeoxycholic acid (concentrations not given) in cotransfection assays [97]. The  $IC_{50}$  value for inhibition of 9cis-RA-induced RXRα transactivation by rhein was 0.75 μM.

Crystallization of the RXRα LBD with excess rhein and SMRT2 peptide by Shen and colleagues produced an asymmetric tetramer (3R2A) [97]. Inspection of this structure (3R2A) revealed two different dimers, each containing one monomer bound with rhein and one bound with SMRT2 peptide (Figure 9). Rhein formed H-bonds with the H11 Cys432 carbonyl O of the LBDs. Both monomers binding rhein and both monomers binding the SMRT2 peptide differed in structure. Interestingly, the more ordered rhein-bound monomer

dimerized with the more disordered SMRT2-bound monomer and vice-versa. The Nterminus of H3 in the rhein bound monomer underwent an outward shift and could not stabilize the binding of a SMRT2 peptide. Instead, H12 of the SMRT2 peptide-bound monomer from the adjacent dimer covered the rhein bound in the LBP and the SMRT2 binding site of the first monomer. In the other rhein-bound monomer the H3 N-terminus was not helical. One SMRT peptide was disorded and the other helical. Other major structural differences in the LBDs were in H3 and H12 backbones and the length of the β-sheet. The authors concluded that neither the antagonist nor the CoR peptide alone was fully capable of stabilizing a fully symmetric LBD tetramer structure. The authors also concluded that the H12 AF-2 motif assumed different conformations on interacting with an agonist or antagonist and different positions to mediate the recruitment of a CoA or CoR [97]. These various RXR LBD structures are shown in the overlaps in Fig. 8.

In the more ordered of the LBDs, rhein occupied the hydrophobic region of the LBD to overlap a portion of the cyclohexenyl ring and C-7 and C-8 of the tetraene side chain of 9 cis-RA as found in the LBD–9-cis-RA complex 3OAP (Fig. 8A). The most notable differences were in the positions of H12 and the sharpness in the bending of H3 toward the bound ligand with that conferred by 9-cis-RA being more gradual. The overlaps of the more ordered rhein-bound and SMRT2-bound LBDs were highly similar with H12 extended and disordered and H3 bent to the same degree (Fig. 7B). Inspection of the LBP showed van der Waals interactions between rhein and the side chains of the residues in its hydrophobic arm. It will be interesting to determine whether an antagonist more closely resembling the more conventional RXR antagonists behaves similarly.

**5.4.3. Heterodimer interface—**Crystallography demonstrated that RXR LBD–NR LBD heterodimers were asymmetric with the NR's C2 symmetry axis rotated (10°) from that of RXR [99,100]. Residues in H7, H9, H10, and the H9–H10 loop from both the RXR LBD and that of its partner participated in forming the heterodimer interface. The H10 N-terminus in both LBDs has a conserved dimerization motif  $\Theta \Psi K \Psi \Psi \Psi \Psi \Psi \Psi \Psi (\Theta = Lys,$  Phe, and Trp;  $\Psi$  = Ala, Ile, Leu, Met, Pro, and Val;  $\Sigma$  = Asp and Glu) [87] that formed a hydrophobic coiled coil core that was generally surrounded by H-bonds between the H7s of each partner and the H9–H10 loop residues of the other partner [99,100]. This structure is found in the agonist farglitazar (GI-262570)–PPARγ LBD–RXRα–LBD–9-cis-RA heterodimer complexed with the SRC-1 peptide (1FM9) [99]. Both H12s were in the active conformation. In contrast to the linear conformation of H7 (Val342–MeT360) in the apo-RXRα LBD structure [100], H7 in the heterodimer unwound at Glu352 (E insert) to allow a helical bend that permitted the strengthening of the heterodimer interface. As a result, the H7 Glu352 formed a salt bridge with the H7 Arg 348 that brought it close to the H10 Lys431, which then formed a salt bridge with the PPARγ H12 C-terminus (Tyr477) to stabilize the PPARγ H12 on the PPARγ AF-2 surface for CoA recruitment. These interactions increased the heterodimer interface surface area by 10%. Formation of a corresponding salt bridge between the RXR $\alpha$  H12 terminus and PPAR $\gamma$  H7 was not possible. Another heterodimer stabilizing interaction occurred between the RXRα H9 Arg393 and PPARγ H10 Asp441.

Comparison of RXRα LBD interfaces formed in heterodimers with PPARγ and CAR LBDs revealed major differences that accounted for the 10% larger LBD interface surface that RXRα made with CAR (995 Å) [84,99]. The overlap between these heterodimeric structures was high for the RXR backbones, but substantial differences occured between the PPARγ and CAR backbones. These included a 16° tilt in the CAR H9 axis that allowed such interface changes as a one helical-turn rotation in the CAR H10 so that its H9–H10 loop became included in the interface core and H-bond formation between the CAR H7 and the RXR H9–H10 loop, which were parallel. The authors did not address the impact of the RXRα H7 Glu352 (E insert).

### **5.4.4. Impact of ligand on heterodimeric interface**

**RXR**α**–RAR:** Ligand binding to the RXR LBD did not introduce major changes in its backbone in the heterodimer interface of the RXRα LBD–RARα LBD [64]. Overlapping of the structures of the RARα antagonist BMS614–RARα LBD–RXRα LBD–9*Z*-oleic acid complex (1DKF), in which RXRα was considered to have an antagonist conformation, with that of the 9-cis-RA–RARβ(D–F)–RXRα LBD–TRAP220 peptide complex (1XDK) and measurement of differences in the positions of their 90 dimer interface H7–H10 Cα atoms produced a rmsd of 0.4 Å to indicate that the two RXR backbones were almost identically positioned. The interface backbones for RAR $\alpha$  and RAR $\beta$  were similarly close (rmsd 0.59 Å for 89 Cα atoms). Overlap of the RXR backbones as found in the apo-RXRα LBD homodimer and the holo-RXR heterodimer again indicated low deviations between interface backbone Cαs (rmsd 0.48Å) to indicate highly similar backbone structures at the heterodimer interface. However, side chain positions did change. The authors concluded that allosteric effects would only be reflected as very subtle changes in receptor conformation at the heterodimer interface.

**RXR**α**–LXR**α**:** Molecular dynamics simulations on the apo-RXRα LBD–apo-LXRα LBD, the 22*R*-hydroxycholesterol–LXRα LBD, and the 9-cis-RA–RXRα LBD–apo-LXRα LBD complexes suggested that the increase in heterodimer binding strength induced by the bound ligand had a greater impact on the H-bond interactions at the heterodimer interface than it did on the hydrophobic interactions [37]. Binding by 9-cis-RA to RXRα or 22*R*hydroxycholesterol to LXRα increased the number of interface H-bonds from three to six or 10, respectively; whereas the respective hydrophobic interface interactions decreased by five or increased by one.

**RXR–VDR:** Time-dependent HDX MS was conducted on the apo- and holo-VDR LBDs and the holo-VDR–RXR complex [101]. These studies revealed that the apo-VDR–RXR was sufficiently stable for this type of analysis and that the exchange profiles for the ligand bound-VDR LBD were similar to those for the holo-heterodimer complex, except that the dimer interface was more highly protected from exchange after ligand binding.

**RXR heterodimers with PPAR**γ**, LXR**α **and FXR:** Shen and coworkers reported the structure (3OZJ) of the dimeric bigelovin–RXRα LBD–SRC-1 CoA peptide complex [102] (Fig. 7). The sesquiterpene bigelovin is another ingredient found in Chinese herbal medicine and is reported to induce leukemia cell growth arrest and apoptosis [103]. Bigelovin had an

 $AC_{50}$  value of 4.9 μM for activating the GAL4-RXR $\alpha$  LBD in a luciferase reporter assay in HEK293T cells and a  $K_d$  value for binding the RXRa LBD of 8.7  $\mu$ M [102]. It slightly enhanced activation of the GAL4-PPAR $\gamma$  LBD by rosiglitazone and GAL4-FXR LBD by chenodeoxycholic acid in this reporter assay but not that of the GAL4-LXRα LBD by TO-901317. In cotransfection assays using the wild-type receptors, bigelovin at 10 μM appeared to function as a weak antagonist of RXRα on the RXRE (approx. 80% activation of the control) but was able to activate the  $RXRa$ – $PPAR\gamma$  on the PPRE compared to the control and slightly enhanced activation induced by rosiglitazone. Bigelovin at 10 μM functioned as a weak antagonist of the RXRα–LXRα on the LXRE and of the RXRα–FXR on the FXRE. However, bigelovin only reduced the transactivation induced by the LXR agonist TO-01317 but not that by FXR agonist chenodeoxycholic acid. Bigelovin did not bind to the PPARγ, LXRα, and FXR LBDs. Interestingly, bigelovin only occupied the hydrophobic arm of the RXRα LBP to overlap with most of the antagonist rhein except for the C-5 to C-7 portion of the rhein scaffold (Fig. 9). The acetyl group of bigelovin overlapped the carboxylate of rhein. Again, the major ligand interactions observed in the LBP were hydrophobic [102]. On the basis of its transactivation behavior, the question arises as to why the SRC-1 CoA peptide would bind the bigelovin–RXRα LBD complex. Overlapping of the structures of the LBD complexes with bigelovin and rhein (Fig. 7) indicated that the major differences in LBP side chain positions were for H5 Trp305 and H11 Phe439, which resided much closer to bigelovin than to rhein. Although neither compound bound to the RXRα LBD as potently as 9-cis-RA or many synthetic analogs, their analogues may offer another means of manipulating the functions of RXRs.

### **6. Posttranscriptional processing**

#### **6.1. Phosphorylation**

Phosphorylation is the most extensively studied post-translational modification of RXRα. Rochette-Egly and colleagues reported that ATRA-induced activation of jun-terminal kinase (JNK) led to the phosphorylation of AF-1 domain residues (Ser61, Ser75, and Thr87) in mouse RXRα, which then allowed cooperation between the AF-1 and AF-2 domains in the  $RXR\alpha-RAR\gamma$  heterodimer leading to enhanced transactivation activity and was necessary for the antiproliferative effect of ATRA [104]. They also showed that stress (ribotoxic anisomycin or UV irradiation)-induced signaling pathways led to the activation of JNK1/2, which resulted in the phosphorylation of Ser265 in the LBD H1–H3 loop, to interfere with retinoid-responsive gene activation. RXRα Ser61, Ser65, and Thr87 were also phosphorylated. RARα and RARγ were not affected. The responses of wild-type RXRα and its Ser265Ala and Ser61/75/Thr87Ala mutants to anisomycin-induced phosphorylation in the context of their heterodimers with RARγ and RARα on both DR-5 and mRARβ2 response elements in the presence of combinations of their ligands (RARα-selective BMS753 or RARγ-selective BMS961 plus RXR-selective BMS649) showed that the transcriptional effects of Ser265 phosphorylation were modulated by both the NR and RE. In contrast, phosphorylation of Ser265 in the LBD H1–H3 loop (omega-loop) of mouse RXR $\alpha$  (Ser260 of human RXR $\alpha$ ) by the ras–raf–MAPK(ERK) pathway mediated VD<sub>3</sub> and ATRA-resistance in hepatocellular cancers and attenuated CoA recruitment [105]. Higher RXRα Ser260/265 phosphorylation levels were found to be associated with colon, hepatic,

and pancreatic cancers, the ATRA-resistant HL-60R myeloid leukemia line, and leiomyoma cells. Phosphorylation of Ser260 lowered RXRα transactivation activity and retarded its ubiquitin-proteasomal degradation, but was blocked by RXRα heterodimerization with RARβ. Phosphorylation of the human Ser260, which was adjacent to H12, by a mitogenactivated kinase impaired RXR–RAR transactivation in HuH7 hepatoma cells and its degradation by proteolysis so that RXRα was able to continue functioning as a dominantnegative protein [106].

Using RXRα(Thr82Asp/Ser260Asp) and (Thr82Ala/Ser260Ala) double-mutants, the Moriwaki group established the role of phosphorylation at these positions [107]. In the presence of 9-cis-RA, the alanine double-mutant exhibited enhanced transcriptional activity on the CRBP-II-RARE-*tk*-Luc and CRBP-II-RXRE-*tk*-Luc reporters and more effectively inhibited growth and induced apoptosis of transfected Huh7 human hepatocarcinoma or HEK-293T human embryonic kidney epithelial cells. In contrast, the phosphomimetic aspartate double-mutant did not exhibit enhanced transcriptional activity and was unable to inhibit cell growth or induce apoptosis. These findings suggested to the authors that phosphorylation inhibited the ability of RXRα to homodimerize or heterodimerize with RARβ.

The RXRα LBD Ser260 is located close to both the CoA and CoR interaction surfaces [108]. An anti-RXRα LBD antibody that did not recognize the phosphorylated version of Ser260, the constitutively active RXRα(Ser260Ala) mutant, and MAPK kinase inhibitors were used in the human keratinocyte cell line HPK1A and its ras-transformed derivation to demonstrate that in RXRα heterodimers with PPARγ, RARα, TRβ, and VDR and in RXRα homodimers, phosphorylation of the RXRα Ser260 attenuated CoA recruitment and RE binding by the heterodimeric partner. On this basis the authors proposed that the conformation of the RXRα AF-2 domain was modulated by the adjacent phosphorylated H1–H3 loop (Asn-Met-Gly-Leu-Asn-Pro-Ser-Ser<sub>260</sub>-Pro-Asn-Asp-Pro-Val-Thr-Asn) through the interaction of the Pro264 and Asn262 loop residues with the H12 AF-2 residues Phe450 and Glu453.

Agonist AGN194204-bound RXRα was found to interact with and be phosphorylated by casein kinase 1α (CK1α) on a serine residue [109]. Phosphorylation did not affect RXRα homodimer or RXRα–RARα heterodimer activity on their respective RXRE and RARE sites in luciferase reporter assays, but did cause RXRα relocalization to the clusters of interchromatin granules. RXRα relocalization was accompanied by resistance to both rexinoid-induced cell growth inhibition and apoptosis.

Rochette-Egly and colleagues also reported that knock-out of RXRα blocked the induction of endodermal differentiation of mouse F9 teratocarcinoma cells by ATRA and the ATRAmediated induction of several genes [110]. In F9 cells, RXRa A domain Ser 22 was constitutively phosphorylated. Wild-type,  $RXRa^{-/-}/RXRa$ -transfected, and  $RXRa^{-/-}/$ RXRα(Ser22Ala)-transfected F9 cells were used to demonstrate that phosphorylation of Ser22 had no effect on F9 endodermal differentiation but was required for induction of several RA-responsive genes—HNF3α, CRABP-II, Hoxβ-1, and HNF1β—but not that of Hoxa-1 or Stra6. Ser22 did have a role in ATRA-induced inhibition of cell proliferation and

 $G_1$  arrest caused by RA-induced down-regulation of the cyclin-dependent kinase inhibitor p27KIP and the removal of p21CIP protein by enhanced proteosomal degradation. Other phosphorylation sites in the mouse RXRα LBD included Tyr249 in the H1–H3 loop and H9 Ser397 [111].

Rochette-Egly and colleagues also investigated the interaction of the AF-1 and AF-2 domains in the RXRα–RARγ2 heterodimer by using deletion and alanine mutants and wildtype mouse RXRα [112]. They concluded that the AF-1 phosphorylation sites and the AF-2 domain of RXRα cooperated with RARγ2 to recruit cofactors or activate NR degradation. The RAR $\gamma$ 2 (AF-1) mutant was activated by RXR $\alpha$  plus RXR agonist BMS649 (SR11237) in Fig. 1B) to 42% of the wild-type response as measured by the induction of HNF3α mRNA expression. Similarly, deletion of either the RXRα AF-1 or AF-2 did not significantly affect the DR-5-*tk*-CAT reporter response induced by retinoic acid (stereochemistry undefined) or the combination of the RARγ agonist BMS961 and RXR agonist in the presence of the wild-type  $RAR\gamma2$  or the  $RAR\gamma2$  (AF-1). However, transactivation was reduced and autonomous RXR degradation was lost when the RXRα AF-1 domain serines were mutated to alanines to suggest a loss of synergy between the receptors. Phosphorylation of the RXRα AF-1 domain at Ser61, Thr75, and Ser87 by MAPKs in F9 and COS-1 cells was induced by BMS649 within 2 h, but did not mediate RXRα degradation or that of its NR partner; however, deletion of the AF-1 or mutation of its phosphorylation sites reduced RXRα degradation induced by its agonist [112].

### **6.2. Truncation**

RXRα was cleaved by calcium-dependent calpain in the liver to an N-terminal truncated 44 kDa protein (tRXRα) that could localize in mitochondria [113-115]. There, tRXRα heterodimerized with TR to enhance mRNA levels in response to 9-cis-RA or T3. In the cytoplasm, tRXRα interacted with Akt to activate the Akt/PI3K pathway. Zhang and colleagues recently reported that tRXRα interaction with the Akt p85α subunit and subsequent Akt activation were inhibited by sulindac sulfide and several analogs, when combined with TNFα [43]. The RXRα–p85α interaction domain was located between RXRα residues 80–100. The authors observed that tRXRα was highly expressed in breast, liver, prostate, and thyroid cancer tissues but not in adjacent normal tissues.

#### **6.3. RXR-mediated degradation of its NR partner**

LGD1069 and LG100268 (Fig. 1B), which typically function as RXR agonists, at 10  $\mu$ M were found to be weak transcriptional activators of human PXR/SXR on the (CYP3A1 DR-3)<sub>2</sub>-tk-CAT (four-fold compared to eight-fold for rifamicin and 21-fold for SR12813) [115] and antagonized the binding of the RXR–PXR heterodimer to the MDR1 and CYP3A4 PXREs, its CoA recruitment, and the activation of PXR by its agonists rifampicin and SR12813 in MCF-7 breast cancer and HepG2 hepatocarcinoma cells [115]. Competitive binding to human PXR using  $[3H]$ SR12813 by 10 μM SR12813 (K<sub>d</sub> = 41 nM) and rexinoids LGD1069 and LG100268 gave displacements of 100%, 85, and 87%, respectively [116]. The rexinoids enhanced the degradation of both PXR and RXR by calpain within 1 h of treatment, but did not alter their mRNA levels [115]. Rifampicin did not enhance NR degradation. Whereas the proteasome inhibitor lactacystin blocked the degradation of RXR,

it had no effect on that of PXR, and calpeptin, a selective inhibitor of calpains, blocked the degradation of PXR but not RXR. LGD1069 enhanced the protease activity of calpain in LS180 cells by an unestablished mechanism. These studies suggested to the authors that the administration of rexinoids with PXR ligands could modulate drug metabolism. The authors noted that LGD1069 also induced the cleavage of c-Jun by calpain. Other calpain targets that were suggested for modification in the presence of rexinoids were p53, c-Myc, and androgen receptor (AR). Antagonism by RXR agonists was also observed in the context of the RXR–FXR heterodimer [117].

### **6.4. Sequestration**

Gene translocations producing fusions of PLZF, NuMA, NPM, and STAT5b with RARα account for 5% of acute promyelocytic leukemia cases. Patients having these translocations do not respond to ATRA therapy as effectively as those with the PML-RARα translocation [118]. Proteins translated from these ATRA-nonresponsive translocations also homodimerized and formed RXR heterodimers that bound to RAREs to prevent RARαinduced gene activation. Their heterodimerization with RXR led to RXR relocalization and sequestering that resulted in reduced RXR intracellular activity. These higher order oligomeric complexes with the RARα fusion proteins were found to recruit corepressors, bind RAREs, and play a role in transformation [119]. Treatment with RXR panagonist SR11237 suppressed transformation or induced apoptosis of transformed cells.

### **7. Therapeutic potential**

### **7.1. Atherosclerosis**

While cholesterol metabolism and its peripheral distribution are mediated by the liver, dysfunctional processing of cholesterol by macrophages leads to the development of hypercholesterolemia and cholesterol-containing atherosclerotic plaques and lesions (reviewed in [120]). PPARγ activated by 9- and 13-OH-octadienoic acids plays a role in macrophage differentiation, uptake of oxidized low-density lipoprotein (LDL), and the expression of LXRα, which, in turn, induces the expression of such lipid transporters as ATP-binding cassette (ABC) A1 and ABCG1. Rexinoids were able to activate permissive heterodimers of RXR with PPAR<sub>Y</sub> and LXR $\alpha$ , which have respective roles in lipid and sterol processing. Macrophage-expressing and lesion-containing cytochrome P450 CYP17A1 was induced by agonists of PPARγ [121], RXR, and RAR, but not by LXR agonists [120]. This P450 enzyme hydroxylates cholesterol to produce 17-OH-cholesterol, which is an LXR agonist, and, thus, has a role in the sterol elimination. Activation of RXR– FXR by rexinoids led to the repression of the CYPA1 and CYPB1 hydroxylases, which have roles in the synthesis of bile acids that are responsible for uptake of fats by the intestine. The activation of RXR–LXR by bile acids in intestinal enterocytes induced the expression of ABC-1 that transports free cholesterol from these cells into the intestinal lumen.

### **7.2. Cancer treatment/prevention challenges**

The potential of retinoids as cancer preventive and therapeutic agents and the role of RXR have been reviewed [122]. Loss of RXR leads to hyperplasia, whereas its overexpression plus treatment with an RXR agonist leads to inhibition of proliferation and/or induction of
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differentiation. Causative factors involved in the loss of RXR function included its relocalization and the overexpression of RAR leading to RAR–RXR heterodimer formation at the expense of RXR homodimers so that p21 expression via two RXREs in its promoter could no longer be upregulated.

In malignant MDA-MB-231 breast cancer cells, RXRα protein expression levels remained the same as those of normal mammary epithelial and retinoid-responsive MCF-7 breast cancer cells [25]. However, unlike MCF-7 and normal cells, where RXRα protein was diffusely distributed in the nucleoplasm, in retinoid-resistant MDA-MB-231 breast cancer cells RXRα localized in the nucleus in a punctate pattern that colocalized as nuclear speckles with splicing factor compartment (SFC) proteins SC-35 and p105, a SFC substructural component [25]. As a result, RXRα signaling in response to 9-cis-RA or AGN194204 was silenced in MDA-MB-231 cells. The distribution of RXRβ and RXRγ remained normal. In the SFC, RXRα was resistant to digestion by DNase and RNase. The RXRα C-terminus (441–454) peptide inhibited RXRα localization to the SFC. The authors considered that the relocalization of RXRα was due to a protein–protein interaction mediated by the RXRα C-terminus. In contrast, RXRα was normally localized in MDA-MB-435, BT-20, and BT-549 breast cancer, SK-OV-3 ovarian cancer, HeLa cervical cancer, and ras-transformed NIH 3T3 cell lines. Immunochemistry showed RXRα localized in the SFC of mesenchymal cells from five of 12 human invasive breast carcinoma samples, but not in normal tissue or benign hyperplasia. The authors suggested that the compartmentalization of RXR in the SFC could have produced the limited results observed in clinical trials using the rexinoid LG1069 (bexarotene in Fig. 1B) for prevention or treatment of cancer [25].

In a screen comparing RXR protein expression in thyroid tumor samples to that in tissues from their normal margins, nuclear levels of RXRα and RXRβ were decreased 0–50% and 0–30%, respectively, in the thyroid carcinomas (anaplastic, follicular, medullary, and papillary), whereas nuclear levels in the adenomas were decreased about 30% [123]. RXR $\gamma$ levels were decreased to 0–35% in the carcinomas and to 40% in the adenomas. Levels of cytoplasmic RXRα were reduced only to 50–80% of normal in the carcinoma cells and to 30% in the adenomas. Cytoplasmic RXRβ and RXR $\gamma$  were decreased in carcinomas and adenomas to 0–15% of normal. The authors suggested that these decreases may have impacted the negative outcome in two trials using retinoids to treat thyroid cancers by inducing the redifferentiation of transformed cells.

The RXR agonist AGN194204 (Fig. 1B) alone was able to inhibit the proliferation of pancreatic cancer cells [124]. After 7 days, cell growth inhibition by 1.0 μM AGN194204 was about 50% in Mia PaCA-2, 40% in BxPC-3, and 20% in AsPC-1 cell lines. Levels of cyclin E and cdk6 had decreased, and p27 had increased in retinoid-treated MIA PaCa-2 cells to suggest that inhibition of the cell cycle was involved. MIA PaCa-2 cells did not undergo apoptosis as was indicated by lack of procaspase-3, 8, and 9 cleavage.

The only selective rexinoid available for cancer treatment is bexarotene (Targretin™, LGD1069), which is FDA-approved for oral treatment of cutaneous T-cell lymphoma. Despite its evaluation alone and in combination with various potent cancer therapeutic

agents, clinical trials against solid tumors such as non-small cell lung cancers have not demonstrated sufficient efficacy against the tumor and/or have caused dose-related severe adverse effects. Its far more RXR-selective analog, LG100268 (Fig. 1B), has not advanced into cancer treatment trials despite promising results in animal models such as a triply transgenic mouse model of pancreatic cancer [PDX-1-Cre, Kras(Gly12Asp), and p53(Arg172His] reported by Sporn and colleagues [125]. Of mice treated with LG100268 (45 mg/kg diet) 44% survived at 24 weeks (average life-span  $23.7 \pm 1.7$  weeks) compared to 32% of the control group (average life-span  $20.5 \pm 0.9$  weeks); however, at 40 weeks all mice had died. LG100268 combined with the terpenoid CDDO-Me (60 mg/kg diet) extended lifetime by 4 additional weeks.

On the basis of its efficacy against breast and lung cancer xenograft growth in mice, a Phase I clinical trial on AGN194204 (NRX194204 or NRX4204) was launched against breast and NSCL cancers by NuRx Pharmaceuticals (Irvine, CA) in 2008 [126].

Recently, the acyclic retinoid (polyprenoic acid, 4,5-didehydrogeranylgeranoic acid, (*E*)-3,7,11,15-tetramethyl-2,4,6,10,14-hexadecapentaenoic acid, peretinoin, NIK-333, or E5166) was evaluated against recurrence of liver cancer in subjects at risk [127]. Liver carcinogenosis is associated with deactivation of RXRα function due to Ras-MAPKmediated hyperphosphorylation [128]. According to subgroup analyses of data from an up to 96-week phase II/III trial evaluating NIK-333 against recurrence of hepatitis C viruspositive hepatocellular carcinoma, treated patients with mild liver impairment (cirrhosis status Child-Pugh A), who had undergone curative therapy,  $(N = 310)$  or those with Child-Pugh impairment and having the major tumor of  $<$  20-mm diameter before treatment (N = 110) had promising results [129]. NIK-333 at 600 mg daily ( $N = 100$ ) reduced risk of recurrence or death to about 40% of the placebo control  $(N = 106)$  in the first group, whereas risk in the second treated group ( $N = 49$ ) was reduced to 62% compared to the placebo control  $(N = 49)$ . Adverse events included tolerable albuminuria, headache, and hypertension [129]. Whether NIK-333 isomerizes like ATRA to produce an isomer with properties similar to those of 9-cis-RA appears not to have been investigated. Polyprenoic acid (E5166) is cited as having RXR activity [130] and was first reported by Moriwaki, Muto, and coworkers [131]. E5166 was found to activate the CRBP-II RXRE-CAT reporter in transfected HuH-7 hepatoma cells, which constitutively express RXRα [132].

E5166 was evaluated for prevention of tumor recurrence or a second primary tumor in patients previously treated for hepatoma by surgical resection or percutaneous injection of ethanol into the tumor [133]. Beginning no later than 8 months after curative therapy, patients were treated with 300 mg of E5166 (N = 44) or peanut oil (N = 45) twice daily for 48 weeks. At the 38-month follow-up, five (11%) in the treatment group had disease recurrence and seven (16%) developed a second primary tumor (well-differentiated hepatocellular carcinoma grade I–II), whereas in the placebo group the respective values were two (4%) and 20 (44%). At the median 62-month follow-up, the estimated 6-year survival was 74% in the treatment group and 46% in the placebo group according to Kaplan-Meier estimates  $(P = 0.04)$  [134]. A subsequent study indicated that up to 38 weeks after treatment, the probability of treatment failure did not differ between groups, but began to differ between 51–199 weeks with that for the treatment group being lower, after which time

failure in the treatment group increased  $[135]$ . Increased serum levels of an  $\alpha$ -fetoprotein isoform (AFP) L3 and prothrombin-induced vitamin K absence II protein (PIVKA-II) were determined to be predictive of recurrence and biomarkers of the presence of latent hepatocellular carcinoma cells in the treatment and placebo groups  $(N = 21 \text{ each})$ . For example, comparison of biomarker levels at the beginning and end of the 48-week treatment revealed that in the placebo group AFP-L3 positivity rose from 19% to 57% and its serum levels increased; whereas in the treatment group AFP-L3 positivity decreased from 24% to 5% and its serum levels decreased. At 48 weeks, AFP-L3 levels between the groups differed by 680-fold. Respective differences in incidence of PIVKA-II were 29% and 10%, and PIVKA-II levels differed by 5.2-fold. At the 7-year point after beginning treatment, second primary tumors had been detected in 23 of the treatment group and 28 of the placebo group.

## **7.3. Dementia**

In a small case study comparing patients with  $(N = 70)$  and without  $(N = 18)$  dementia, levels of RXRα gene and protein expression in the inferior temporal gyrus (area 20) were higher in the group with dementia ( $P < 0.0005$  and  $P = 0.007$ , respectively) and significantly associated with higher clinical dementia rating (CDR) scores ( $P = 0.005$ ) [136]. Higher levels of RXRα gene expression in the hippocampus were also correlated with higher CDR scores in individuals with dementia and Alzheimer's disease-associated neuropathology ( $P =$ 0.008) but not with Braak neuropathological staging or neuronal plaque density. RXRα protein expression levels were highly correlated with its message levels in the hippocampus (*P* < 0.0005). RXRα expression also correlated with expression levels of genes for ApoE, which is the principle CNS cholesterol transporter, and ABCA-1, which modulates cholesterol and phospholipid efflux from cells into plasma (*P* < 0.0001). Significant correlations with levels of LXRβ expression were not found. These results suggest that an RXR ligand could play a role in modulating the effects of dementia.

In Parkinson's disease, midbrain dopaminergic neurons degenerate. A method for treating this disease would involve using a rexinoid to induce the differentiation of induced pluripotent stem (IPS) cells to functional neurons if IPS-related teratoma formation could be avoided [137]. RXR ligand DHA activated Nurr1 expression and signaling in IPS cells leading to their differentiation to tyrosine hydroxylase-producing neurons and increased dopamine release and behavior in an animal model of the disease. Primary dopamine neurons isolated from ventral midbrain obtained from embryonic day (E) 14.5 rat embryos, E14.1-stage induced mouse embryonic stem cells (ESCs), or sonic hedgehog and FGFinduced nestin-responsive Lmx1α-overexpressing mouse ESCs were protected from the neurodegeneration-inducing toxin 6-OH-dopamine by pretreatment with 1 μM RXR agonist LG100268 or RXR–Nurr1-selective ligand XCT0139508 (Fig. 1D) [138]. This toxin at 10 and 15 μM for primary neurons or at 150 and 500 μM for mESC-derived neurons induced cell death and neurotransmitter depletion by first inducing oxidative stress and hypoxia (0–  $1\%$  O<sub>2</sub>), which were accompanied by an increase in tyrosine hydroxylase-positive cells. However, neither rexinoid protected the neurons from stress induced by the glutamate analogue kainic acid (100 or 500 μM) or hydrogen peroxide (80 or 110 μM). Protective effects by LG100268 or DHA pretreatment only occurred in cells that expressed Nurr1. In the absence of cell stress, the rexinoid did not affect cell numbers. These results suggest that

RXR ligands functioning through the RXR–Nurr1 heterodimer have a neuroprotective effect. Nurr1 (NRA42) has been considered to function as a true orphan nuclear receptor on the basis of its LBP being filled with aromatic residues in the crystal structure of its LBD [139]. However, weakly binding Nurr1 ligands ( $K_d = 20 \mu M$ ) have been detected by <sup>19</sup>F NMR-based library screening [140] and missing NMR signals in two regions of the putative LBP also support a flexible pocket capable of interaction with a ligand [141].

## **7.4. Diabetes**

The roles of RXR in modulating the effects of insulin resistance observed in diabetes patients were reviewed by Szanto et al. [120] and are summarized as follows. In addition to enhancing the activity of the PPARγ-selective thiazolidinedione drugs as insulin sensitizers, rexinoids functioned through other signaling pathways. The accumulation of saturated fatty acids (FAs) in skeletal muscle cells in diabetic patients was found to interfere with their insulin signaling and glucose uptake, whereas rexinoids increased both uptake and oxidation of saturated FAs by these cells. Rexinoid agonists such as LG100268 sensitized human skeletal muscle to insulin-dependent glucose disposal by enhancing the expression of genes for the FA transporter CD36, UCP3, which increases mitochondrial FA oxidation, and PDK4, which enhances fat disposal. Rexinoids also induced the expression of stearoyl-CoA desaturase (SCD) 1, which converts saturated FAs to monounsaturated FAs for storage as triglycerides, thereby reducing the levels of the saturated FAs that would be converted to diacylglycerol and ceramide. Both of these lipids reduced insulin signaling and increased the conversion of glucose to glycogen for storage.

In type 2 diabetes, the decrease in slow-twitch muscle fibers, which derive energy from FA oxidation and glycolysis, contributed to loss of insulin sensitivity, whereas rexinoids increased the expression of such slow-twitch muscle markers as myoglobin and troponin I in skeletal muscle. Rexinoids also attenuated insulin resistance, which is caused by normally sensitive skeletal muscle cells becoming increasingly unable to respond to stimulation of glucose transport by insulin and adipocytes failing to suppress lipolysis (lipid ester cleavage) and increasing their release of FAs. The increased availability of FAs resulted in suppressesd gluconeogenesis and increased glucose production by the liver. The PPARγselective thiazolidinediones reduced the release of FAs by adipocytes, increased their levels of the insulin-sensitizing adipokine, adiponectin, and reduced that of insulin resistanceinducing TNFα and resistin. Rexinoids contributed by enhancing PPARγ activity through the PPARγ–RXR heterodimer-mediated activation of the c-Cbl-associated protein (CAP)/c-Cbl pathway to increase CAP expression and c-Cbl phosphorylation. Rexinoids alone stimulated the insulin receptor substrate/Akt pathway to enhance glucose use in skeletal muscle by decreasing the phosphorylation of insulin receptor substrate (IRS) 1. Unfortunately, rexinoids had the adverse effect of causing triglyceridemia by suppressing lipoprotein lipase expression in both skeletal muscle and heart and so their translation into useful drugs for the treatment of diabetes has not been realized.

Micrroarray analysis of a doxycycline-inducible dominant-negative  $RXR\beta$  LBD Cterminus 20 AA) that was expressed in MIN6 mouse insuloma cells, which also expressed SV40-T antigen, showed that the dysregulated genes included down-regulated *Hes5* (Notch

signaling effector), *Hk1* (hexokinase), *Mki67, Nr2f6*, and *Nkx6-2* (role in pancreatic endocrine development) [142]. All down-regulated genes were confirmed by RT-PCR. *cMaf*, which induces insulin expression, was also down-regulated. Genes with roles in mitochondrial function, protein synthesis or trafficking, signal transduction, and transcription were up-regulated. The authors concluded that these findings supported the use of 9-cis-RA plus high-dose rosiglitazone or the over-expression of both RXR and PPARγ to inhibit insulin secretion by INS-1 cells stimulated by high glucose.

### **7.5. Innate inflammatory response**

Innate immunity is a nonspecific method by which the host rapidly defends itself against pathogens by recruiting immune cells to the infection site, by producing cytokines, and by activating the complement cascade, processes that remove dead cells, bacteria, and other foreign matter, and the adaptive immune system [143]. Leukocyte activation occurs when the Gram-negative bacterial cell-wall component lipopolysaccharide (LPS) complexes with serum LPS binding protein to bind the cell-surface marker CD14, which is associated with toll-like receptor 4 (TLR4), to activate a signaling process leading to increases in intracellular  $Ca(\Pi)$  levels, tyrosine kinase phosphorylation, and  $NFRB$  activation that then leads to increased synthesis of such cytokines and chemokines as TNFα and interleukins (ILs) 1, 6, and 8 [144]. Gram-positive bacterial peptidoglycans and lipoproteins bind TLR2 to activate a similar process.

Inflammation due to recruitment of immune cells can become dysregulated during sepsis and cause the release of excessively high levels of proinflammatory mediators into the blood stream that produce organ failure. Sepsis caused by an inbalance of the host inflammatory response is characterized by the systemic inflammatory response syndrome in which the patient has two of the following symptoms: temperature of  $\langle 35 \degree C$  or  $>38.5 \degree C$ , heart rate >90 beats/min, respiratory rate of >20 breaths/min, white blood cell count >12,000/mm<sup>3</sup> or  $\langle 4,000/\text{mm}^3$ , or  $>10\%$  immature cells of total leukocytes, and has confirmed presence of infection [145]. The studies described below suggest two pathways by which an RXRselective retinoid could function in mediating the immune response to infection. Additional work will be needed in this area to discern the actual signaling processes involved.

**7.5.1. Down-regulation of cytokine expression—**Conditional knock-out (KO) of RXRα in mouse peritoneal macrophages led to down-regulation of the levels of chemokines CCL6 and CCL9 and the attenuation of leukocyte recruitment to sites of acute inflammation in a chemically induced mouse peritonitis model [143]. Interestingly, these KO mice, if suffering from sepsis induced by cecal ligation and puncture or by LPS-induced septic shock, experienced prolonged survival. CCL6 and CCL9 function as chemoattractants that induce monocyte/macrophage and granulocyte migration and the secretion of IL-6 and MCP-1. Treatment with 9-cis-RA or rexinoid LG100268 up-regulated CCL expression in wild-type macrophages and induced the activation of a RXR homodimer-responsive DR-1 RXRE in the CCL promoter in transfected mouse macrophage RAW 264.7 cells, whereas the RXR homodimer antagonist and PPARγ–RXR and RAR–RXR agonist LG100754 inhibited the induction of CCL6 and CCL9 mRNA expression by either 9-cis-RA or LG100268. The authors suggested that their results support RXR as a target for treatment of

sepsis and inflammatory diseases by preventing the release of chemokines and leukocyte migration. On the basis of these results, patients should be treated with an RXR antagonist in the context of RXR functioning as a homodimer. Other studies suggest the involvement of RXR heterodimeric partners LXR and PPARs in the hepatic inflammatory acute phase response, which has been reviewed by Treuter and coworkers [146].

**7.5.2. Immune response to bacterial challenge—**Pathogenic bacteria causing anthrax, plague, and *Salmonella* and *Shigella* infections induced macrophage apoptosis through the TLR4 pathway in order to elude the innate immune response [147]. Activation of the RXR–LXR heterodimer by the LXR ligands 24*S*,25-epoxycholesterol, TO-901317, and GW3965 alone or combined with 9-cis-RA induced the expression of anti-apoptotic genes such as *AIM/CT2/Api6, Bcl-XL*, and *Birc1a/NeuroAIP* and attenuated the expression of pro-apoptotic genes such as *procaspases-1, 4/11, 7*, and *12, FasL*, and *DNases 1I3*/*DNase* <sup>γ</sup> and *Cidewa*. These results suggested a role for a rexinoid that behaved as a selective RXR–LXR agonist in treatment of bacterial infection.

#### **7.6. Memory Deficit**

Rats were treated orally with RARα-selective agonist Am80 (5 mg/kg) daily and RXRselective synergist HX630 (20 mg/kg) daily either alone or in combination at 3 h before being injected with scopolamine, which induced amnesia [148]. The rats were tested 30 min later for how long their passive avoidance lasted each day for a 7-day period. This duration test measured the time delay before trained rats moved from their lighted enclosure into a dark one, where they recieved an electric shock. At the 7-day test point, the scolopaminetreated control delay time (latency period) was 3.1 sec compared to 158 sec for the Am50 treated group, 192 sec for the HX630 group, and 269 sec for the combination, whereas the delay time for the nontreated trained group (blank) was 272 sec. Time-responsive restoration of memory was noted for both the blank and retinoid-treated groups. The results suggested than an RXR-selective retinoid would potentiate the effects of the RARα-selective retinoid at memory restoration.

#### **7.7. Obesity**

LG100268 was found to decrease body weight and food consumption in obese, insulinresistant Zucker rats [149]. Rats that had been orally dosed with LG100268 for 6 weeks had a six-fold increase in apoptosis of subcutaneous fat and a decrease in that fat mass. Dosed rats also had the adverse effects of triglyceride levels being elevated two-fold and 75% total thyroid hormone  $T_4$  suppression. Direct injection of the rexinoid into the brain at 30 µg daily reduced food intake, weight gain, and insulin levels in the rats without inducing triglyceridemia to suggest separate pathways for energy balance and lipid homeostasis by rexinoids.

However, the potential use of a rexinoid for weight reduction may be limited because of the ability of an RXR ligand to activate the PPARγ–RXR heterodimer. Activation of this heterodimer by the environmental obesogen tri(*n*-butyl)tin chloride was found to induce human and mouse white adipose-derived multipotent stromal stem cells to differentiate into adipocytes. As a result, cellular lipid content and expression of adipogenic genes increased

[150,151]. The authors suggested that the increase in adipocytes in utero would lead to increased adipose mass in the offspring. In addition to activating RXR, tri(*n*-butyl)tin chloride functions as a PPARγ agonist [152].

#### **7.8. Severe chronic hand eczema**

Eczema is considered to be caused by a dysregulation of the cutaneous innate immune system that leads to prolonged inflammation in response to environmental stimuli and frequently afflicts those having occupations that expose them to frequent contact with water such as bakers, hairdressers, and masons [153]. Its incidence has been estimated at 5–10% of the population [154,155]. This condition affects both the hands and feet and is thought to have a genetic component. Hyperactive cytokine secretin by type-2 T-helper lymphocytes of the adaptive immune system is considered as one component of this condition. Keratinocytes are also involved. They express the surface receptors CD14 and TLR4, both of which increase on exposure to LPS [144]. Epidermal and dermal dendritic cells also express TLR2 and TLR4 [153]. Lipoteichoic acid and peptidoglycan from Gram-positive bacteria such as *Staphylococcus areus* activate TLR2 [153], whereas TLR4 is activated by Gram-negative bacterial LPS. Their activation results rapid intracellular Ca(II) response, NFκB nuclear-translocation, secretion of proinflammatory chemokines and cytokines [153,156]. In addition, mitogen-activated protein kinase signaling is induced leading to synthesis of IL-1β, TNFα, inducible nitric oxide synthetase, and reactive oxygen species. The cutaneous innate immune first-line defense also includes acid mammalian chitanase that degrades microbial chitans, and antimicrobial peptides such as leukocyte and epithelial cathelicidin-cleavage product hCAP18/LL-37, beta-defensins, and psoriasin. While the latter peptides rise in eczema lesions, this study indicated that levels of LL-37 were lower in eczema and so could be used as a biomarker for childhood eczema severity [153]. Interestingly, 67% and 39%, respectively, of 144 children with eczema had coexisting allergic rhinitis and asthma.

Oral aliretinoin (9-cis-RA) was recently approved in Europe for treatment of severe cases of chronic hand eczema. Results from a muticenter randomized trial in standard treatmentrefractory patients ( $N = 1,032$ ) demonstrated efficacy and safety [157]. Patients were treated for up to 24 weeks with 30 mg or 10 mg of 9-cis-RA ( $N = 310$  each) or placebo daily and followed up for 24 weeks. Respective response rates based on clearing or almost clearing were 195 of 409 (48%), 115 of 418 (28%), and 34 of 205 (17%). Respective median reduction in disease extent was 75%, 50%, and 33%. Median time to relapse was 5.5, 6.2, and 5.4 months. In the high-dose group, headache was the most frequent adverse event (20%) followed by erythema (7%), and abnormal laboratory results were elevated cholesterol (14%) and triglyceride levels (8%) and decreased thyroid-stimulating hormone (7%). Results from a smaller trial in dermatitis patients using topical 1% bexarotene gel twice or three times daily were 39% for 90% clearance and 79% for 50% clearance [158]. Most responders achieved 50% clearance after 5 weeks and 90% clearance after 10–12 weeks.

The role of RXR in innate immune response suggests why 9-cis-RA would be effective in treating this disease. The dimeric partner(s) involved in the cutaneous innate immune

response remain to be conclusively identified. In keratinocytes, RXR dimeric partner VDR complexed with  $VD_3$  and the CoA SRC-3 have a role in the synthesis and processing of the lipids involved in skin permeability barrier function and triggering of the innate immune response [159]. On activation of toll-like receptors by their ligands,  $VD_3$  synthesis increased leading to increased expression of cathelicidin and TLR2 [153,160]. The RXR heterodimeric partner PPARγ is reported to have a role innate immunity by regulating AP-1 and p38 MAP kinase activity [161].

# **8. Background reading (reviews)**

Since 2000, many excellent reviews describing RXR transcriptional function have appeared. In 2010, Lefebvre and colleagues provided a thought-provoking overview of research on the signaling mechanisms of the RXR isotypes  $(a-y)$  with emphasis on the research issues that should be addressed and the unique role that the RXRs have in transcriptional signaling [13]. They stressed the need for RXR isotype-selective rexinoids for mechanistic studies and pharmacological use on the basis of differences observed in isotype responses, particularly that of RXRβ [13]. Several such isotype selective rexinoids are listed in Table 4. They also highlighted several intriguing behaviors of the RXRs, including (i) the ability of the RXR tetramer to contribute to promoter looping, which was first recognized by Noy and colleagues [92]; (ii) the identity of heterodimer regulatory sites (REs) to which RXR was prebound that were located significantly upstream from transcription start sites; (iii) the possible relationship between RXR permissivity and metabolic regulation; and (iv) interaction of RXRs with non-NR transcription factors such as nPAS2/MOP4 and Clock, which act as regulators of circadian feedback. As described above, the versatility of RXR in effecting diverse signaling pathways is evidenced by their listing of its interacting proteins.

Several authors provided a clear step-by-step overview of the transcription process induced by the retinoid NRs that includes basic structure, binding to REs, ligand binding, CoA recruitment, transcriptional machinery recruitment, heterodimer degradation by the ubiquitin–proteasome pathway, and modulation of transcription by phosphorylation of RXR, RAR, and cofactors [57,111,162]. Reviews on the therapeutic and preventive potential of rexinoids in cancer [163,164] and multiple sclerosis [165] have appeared, as have reviews describing RAR and RXR-selective retinoids [166,167] and the roles of RXR in metabolic regulation [168]. The adverse effects of the only FDA-approved rexinoid (bexarotene) have been reviewed [169].

# **9. Conclusions and Future Directions**

Despite entensive clinical trials, only two RXR agonists have been approved for drug use and then only for limited indications, namely topical and systemic treatment of CTCL using bexarotene (LGD1069) and topical treatment of Kaposi's sarcoma and systemic treatment of refractory chronic hand eczema using aliretinoin (9-cis-RA). Both drugs have significant adverse effects that may be due to their abilities to activate the RARs. Their effects on other nuclear receptors such as those that bind fatty acids (PPARs and HNF4α) should also be examined. In their review, Lefebvre et al. describe several rexinoids that were reported to show selective activities in the context of a particular heterodimer [13]. These included

LG101506, which activated RXR–PPARα, but did not activate RXR heterodimers with PPARβ/δ, PPARγ, LXRα/β, RARα, and FXRα in reporter assays [170]. However, LG101506 did synergize with ligands for PPAR $\beta$ /δ and PPAR $\gamma$ , but not that for RARa. Results for synergy with other receptors were not reported. Unlike LG100268 at 30 mg/kg daily for 14 days, LG101506 did not raise triglyceride levels in normal rats but did so in both lean and obese Zucker rats treated for 7 days at 1 and 3 mg/kg daily. Rexinoids HX630 and TZ335 enhanced HL60 leukemia cell differentiation induced by RARα-selective Am80, whereas rexinoid PA024 enhanced both differentiation and apoptosis of HL60 cells [171]. Their effects on gene expression in HL60 cells also differed. In combination with Am80, HX630 or TZ335 induced the expression of 50 predictor genes that resembled those induced by ATRA in a DNA microarray assay, whereas Am80 plus PA024 induced a pattern similar to that of 9-cis-RA. Whether these RXR synergists affected other RXR heterodimers in HL60 cells was not explored.

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#### **Figure 1.**

Examples of chemical structures of RXR ligands. **A.** Natural products that act as RXR ligands: 9-cis-retinoic acid, (*E*)-5,8,11,14,17,20-docosahexaenoic acid, lithocholic acid, and phytanic acid. **B.** Synthetic RXR transcriptional agonists; 9cUAB30, AGN194204, CD3254, LG100268, LG101305, methoprene acid, PA024, SR11217, and SR11237 (BMS649). **C.** Synthetic RXR synergist: HX600. **D.** Synthetic RXR transcriptional antagonists: HX531, PA452, UVI3003, and XCT0135908.

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# **Figure 2.**

RXRα protein domains and ligand-binding domain structure. **A.** Map of human RXRα functional domains. Adapted from Ref. [18]. **B.** Human RXRα ligand-binding domain (LBD) without a bound ligand as found in Protein Data Bank (PDB) crystal structure of the apo-RXRα LBD homodimer 1LBD. **C.** Human RXRα LBD complexed with transcriptional agonist SR11237 (BMS649, with carbon atoms in gray) as found in PDB crystal structure 1MVC. Protein backbones are shown in ribbon format.





n, undefined nucleotide

#### **Figure 3.**

Retinoid X Receptor (RXR) DNA-binding domain (DBD) interaction with DNA. **A.** Direct repeat (DR) sequence half-sites (5′-AGGTCA-3′) separated by  $X = 1-5$  base-pairs (n) to which RXRα, β, and γ bind as an RXR homodimer or as an RXR heterodimer with retinoic acid receptor (RAR) subtypes  $\langle$ , β, and γ, peroxisome proliferator-activated receptors (PPARs)  $\langle$ ,  $\beta/\delta$ , and  $\gamma$ , chick ovalbumin uncoupled protein-transcription factor (COUP-TFs) I and II, vitamin D receptor (VDR), thyroid hormone receptor (TR), liver X receptors (LXRs)  $\langle$  and  $\beta$ , nerve growth factor I beta (NGFI-B/TR3/Nur77) in which RXR is the upstream (5′) binding partner. n, undefined nucleotide base-pair that separates the direct repeats of 5′-AGGTCA-3′. Degenerate sequences also exist. **B.** Structure of the RXR〈 DBD showing the two zinc fingers (I and II), each of which is stabilized by complexation of a  $zinc(\Pi)$  ion (shown in gold) with four of its cysteine sulfhydryl groups (zinc finger I: C135, C138, C152, and C155; and II: C171, C177, C187, and C190). Recognition helix (C152– R164) and helix II (C187–M198) α-helical sequences are shown in red and bracketed. The T-box sequence (K201–R209) is shown in blue. According to NMR studies, when the RXRα DBD monomer was free in solution, its T-box was helical with its E208 residue (magenta) interacting with K160 and R164 (cyan) of the recognition helix. However, according to the crystallographic structure of two RXR〈 DBD homodimers (1BY4), each of which was bound to a direct repeat sequence that was separated by one base-pair—DR-1 (n  $=$  A,  $X = 1$ ) RXRE—that were separated by two residues giving rise to an internal DR-2  $((n)_X = GT, X = 2)$  RXRE, the T-box was a random coil that allowed the R164 side chain to interact with DNA and the K160 side chain to interact with DNA through a water molecule. As a result, the residues K156, E153, and R161 of the most upstream DBD interacted with

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the most upstream (5') half-site of the first DR-1 nucleotides  $5'-G_2$ ,  $3'-C_3$ , and  $3'-G_5$ , respectively, and residues K22, E19, K26, and R27 of its downstream partner (second DBD) interacted with the downstream DR-1 half-site nucleotides of the first DR-1, namely 5′-G9,  $3′$ -C<sub>11</sub>,  $3′$ -G<sub>12</sub> (through water), and  $3′$ -G<sub>12</sub> (directly and through a water), respectively. Interactions of the third RXR〈 DBD with the second DR-1 upstream half-site base-pairs were as follows: K160 with the  $3'-C_3$  and  $3'-A_4$  (both through a water), E153 with  $3'-C_3$ , and R161 with  $3'$ -G<sub>5</sub> (directly and through a water), and those of its downstream partner (fourth DBD) with the downstream half-site of the second DR-1 were: K156 with  $5'$ - G<sub>10</sub> (through a water), K160 with  $5'$ -G<sub>10</sub> (directly and through a water), G153 with  $3'$ -C<sub>10</sub> (directly) and  $3'$ -A<sub>11</sub> (through a water), and R161 with  $3'$ -G<sub>12</sub>. Thus, contacts of the four RXR DBDs with the nucleotide bases varied and depended on their upstream or downstream position on each DR-1 and to which of the two DR-1 sites they bound. DBD residues R164, N185, R191, R161, and Q188 interacted with phosphate residues of the 5′- G<sub>3</sub>,  $3'$ -G<sub>5</sub>,  $3'$ -G<sub>5</sub>,  $3'$ -T<sub>6</sub>, and  $3'$ -T<sub>6</sub>, respectively, whereas Q206 and K145 interacted with phosphates adjacent to  $5'$ -G<sub>2</sub> and  $5'$ -A<sub>7</sub> from an adjacent subunit, respectively. These residues are underlined. A, adenosine; C, cytosine; G, guanosine; and T, thymidine. Adapted from Ref. [20].



#### **Figure 4.**

Structure of the rosiglitazone–PPARγ–RXRα–9-cis-RA complex bound to two coactivator (CoA) NCoA2 peptides and DNA (PDB 3DZY). Components are colored as follows: PPARγ LBD (blue), DBD (cyan), ligand (carbons in orange), and CoA peptide (gray); RXRα LBD (magenta), DBD (red), ligand (Cs in yellow-green), and CoA peptide (pink); and DNA backbone (orange), nucleosides (blue and green). The RXRα LBD helices are numbered. Note that the RXRα hinge was not defined in 3DZY due to absence of electron density. Adapted from Ref. [21].



#### **Figure 5.**

RXRα ligand-binding domain (LBD) functional domains. **A.** Structure of RXRα LBD ligand-binding pocket showing contacts between pocket residues and RXR agonist SR11237 (BMS64) as found in the PDB structure 1MVC. Two views of the residues in helices H3 (backbone and residue side-chain Cs in blue), H5 (Cs in cyan), H7 (Cs in green), and H11 (Cs in orange) and β-sheet (Cs in gray) contacting SR11237 (Cs in magenta). **B.** RXRα LBD CoA surface residues (cyan backbone and residue side-chain Cs) forming salt-bridge contacts that stabilize binding of the CoA GRIP-1 peptide (rose) as shown in 1MVC. **C.** PPARγ LBD–RXRα LBD heterodimer interface as found in PDB 3DZY with RXRα residue contacts labeled. PPARγ and RXRα backbones and side-chain Cs in pink and cyan, respectively. Ns (blue), Os (red), and S (yellow). A, alanine; C, cysteine; D, aspartate; E, glutamate; F, phenylalanine; H, histidine; I, isoleucine; L, leucine; N, asparagine; Q, glutamine; R, arginine; V, valine; W, tryptophan; and Y, tyrosine.

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#### **Figure 6.**

Transcriptional activation by nonpermissive, permissive, and conditionally permissive heterodimers of RXR and another nuclear receptor (NR). **A.** Transcriptional activation by a nonpermissive heterodimeric partner such as thyroid hormone receptor (TR) or vitamin D receptor (VDR). The nonpermissive NR is dominant so that binding by its agonist ( $T_3$  or VD3) controls the recruitment of a coactivator protein (CoA) to the TR or VDR AF-2 surface of the RXR–TR or VDR complex bound to its response element (TRE or VDRE) in the promoter region of a  $T_3$  or  $VD_3$ -activated gene. The bound CoA could then recruit a histone acetylase, a bridging or scaffolding protein, and the transcriptional complex to initiate gene transcription from the nonpermissive-ligand responsive gene transcriptional start site (TS). Binding of an RXR agonist would not enhance the response induced by the bound TR or VDR agonist. **B.** Transcriptional activation by a permissive RXR heterodimeric partner such as farnesoid (bile acid) X receptor (FXR), liver (oxysterol) X receptor (LXR), and peroxisome proliferator-activated receptor (PPAR). An agonist of either partner in the heterodimeric pair such as RXR–PPAR could bind its own NR to initiate the recruitment of a CoA to the RXR–NR (RXR–PPAR) complex bound to its NRE (PPRE) in the promoter region of an NR (PPAR) agonist-responsive gene. Thus, either agonist-bound RXR or NR (PPAR) could recruit a coactivator (CoA), a histone acetylase, a bridging or scaffolding protein, and the transcriptional complex to initiate gene transcription.

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Binding of an agonist to the second NR in the dimer would enhance the transcriptional response induced by first NR–agonist complex either additively or synergistically. **C.** Transcriptional activation by the conditionally permissive heterodimeric partner RAR. Binding of the RAR agonist would control the transcriptional response and also permit the binding of an RXR agonist. Thus, the RAR–agonist complex would be permissive. The RXR–agonist complex would then enhance the transcriptional response induced by the RAR agonist. Adapted from Refs. [13] and [75].



#### **Figure 7.**

Cartoon demonstrating behavior of RXRα ligand-binding domain (LBD) helix H12 in the context of its homodimeric complex with bigelovin and coactivator (CoA) SRC-1 peptide (PDB structure 3OZJ), the apo-tetramer (1G1U), the tetramer bound with four corepressor (CoR) SMRT2 peptides (3R29), and the tetramer bound with two molecules of antagonist rhein and two CoR SMRT2 peptides (3R2A). In 3OZJ, H12 of each monomer formed an AF-2 surface with its H3 and H4 to bind the CoA peptide despite bigelovin demonstrating antagonist behavior by repressing wild-type RXRα basal transactivation activity in a reporter assay (Ref. [102]). In 1G1U, H12 of each monomer of the tetramer formed a surface with helices H3 and H4 of the monomer from the opposing homodimer to repress transactivation. In 3R29, H12 of each monomer formed a CoR surface with H3 and H4 of a monomer from the opposing dimer to bind one CoR peptide. In 3R2A, the homotetramer was composed of two homodimers, each containing one monomer bound with rhein and the other bound with a CoR peptide. The CoR surface of the monomer that bound the CoR peptide was composed of its own H3 and H4 with H12 from the monomer to which rhein was bound in the adjacent dimer. The backbones of each monomer differed as did the structures of the CoR peptides and the positions of the rhein ligands. The more ordered CoR peptide-bound monomer dimerized with the more disordered ligand-bound monomer and vice-versa. Cartoon based on Refs. [97] and [102].



### **Figure 8.**

Major differences occur in the human RXRα ligand-binding domain (LBD) structures when complexed as apo-tetramers and corepressor (CoR) peptide-bound tetramers compared to the agonist and antagonist-bound structures. **A.** Structure of one RXRα LBD monomer (light-pink backbone) complexed with transcriptional agonist 9-cis-RA (C atoms in brown) and coactivaor (CoA) GRIP-1 peptide (magenta backbone) (PDB 3OAP homodimeric LBD–9-cis-RA complex) of the superposed with that of one RXRα LBD monomer (cyan backbone) complexed with the antagonist rhein (Cs, blue) (PDB 3R2A tetrameric LBD complex containing two rhein molecules and two peptides). **B.** RXRα LBD monomer (green backbone) complexed with CoR SMRT2 peptide (yellow backbone) (PDB 3R29 tetrameric LBD–SMRT2 complex) superposed with one RXRα LBD monomer (cyan backbone) complexed with antagonist rhein (Cs, blue) (PDB 3R2A tetramer complex. **C.** RXRα LBD monomer (light-pink backbone) complexed with 9-cis-RA (Cs, brown) and GRIP-1 peptide (magenta backbone) (PDB 3OAP) superposed with one RXRα LBD monomer (green backbone) complexed with SMRT2 peptide (yellow backbone) (PDB 3R29 tetramer complex). RXRα LBD helices are numbered. Ligand Os in red.

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#### **Figure 9.**

Overlap of structures of human RXRα ligand-binding domains (LBDs) complexed with transcriptional antagonists that occupy the hydrophobic portion of the L-shaped RXRα ligand-binding pocket (LBP). View of the LBP (green backbone and residue side-chain Cs) in the more-ordered monomer bound with antagonist rhein (blue Cs) as found in the tetrameric LBD complex containing two molecules of rhein and two corepressor (CoR) SMRT2 peptides (PDB 3R2A). This view is overlaid with that of the LBP (magenta backbone and residue side-chain Cs) bound with bigelovin (orange Cs) as found in the homodimeric LBD complex with two coactivator (CoA) SRC-1 peptides (PDB 3OZJ). LBP residues within 4.0 Å of the ligand are shown. Helices H3, H5, H7, and H11 and their side chains having similar positions are labeled in black. Those side chains occupying different positions in the two structures are labeled according to their backbone colors. See H11 F439, for example. Although F349 is reported to have a major role in tetramerization, its position in 3OZJ appears not to support participation. The 1-OH and 8-OH O atoms of rhein were

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within 3–4 Å of the C432 backbone O (red bond above the H435 label), whereas similar Hbond stabilization for bigelovin was not observed. O atoms in red; Ns, blue; Ss, yellow.


# **Table 1**

RXR isotypes a, ß, and y (NR2B1-3), RXR isotype isoforms in human (h) or mouse (m), and RXR and ultraspiracle (NR2B4) homologues in other α, β, and γ (NR2B1–3), RXR isotype isoforms in human (h) or mouse (m), and RXR and ultraspiracle (NR2B4) homologues in other RXR isotypes  $\mathbf{g}$ 





**RXR isoform/isotype Expression site Sequence Homology Role Ref** γ2 Cardiac and skeletal muscle 1–340 (A/B N-terminal deletion) Compensates for RXRα in adipocytes

Sequence

 $1-340$  (A/B N-terminal deletion)

Cardiac and skeletal muscle

**Expression site** 

RXR isoform/isotype  $\lambda_5$ 

Homology

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Compensates for RXRa in adipocytes

Role

 $\mathbf{Ref}$ 





RXR agonist PA024

 $\operatorname{RXR}$  agonist PA024

9-cis-RA

Vertebrate RXR $\,$  80%

Role

Homology

Sequence

RXR isoform/isotype Expression site

Also taken in part from Refs. [18,181]. Also taken in part from Refs. [18,181].

ls RXR *Lymnaea stagnalis* (mollusk) Found in developing embryo and

Lynnaea stagnalis (mollusk)

 $\rm l s$  RXR

adult central neurons

Found in developing embryo and adult central neurons



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**Table 2**

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 $^a$ Abbreviations: CAR, constitutive androstane receptor; DR, direct repeat; ER, everted repeat; FA, fatty acid; FXR, farnesoid X receptor; IR, inverted repeat; LDL, low-density lipoprotein; LXR, liver X<br>receptor; NGFI-B, receptor; NGFI-B, nerve growth factor I-B; Pg, prostaglandin; PPAR, peroxisome proliferator-activated receptor; PU, polyunsaturated; PXR, pregnane X receptor; RE, response element; RXR, retinoid X *a*Abbreviations: CAR, constitutive androstane receptor; DR, direct repeat; ER, everted repeat; FA, fatty acid; FXR, farnesoid X receptor; IR, inverted repeat; LDL, low-density lipoprotein; LXR, liver X receptor; SXR, steroid and xenobiotic receptor.



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helix; h, human; IR, inverted repeat; LBD, ligand-binding domain; LBP, ligand-binding pocket; LXR, liver X receptor; n, mouse; NCoA, nuclear receptor, coactivator; PR, melmyl; PPAR, peroxisome proliferator-activated recept helix; h, human; IR, inverted repeat; LBD, ligand-binding pocket; LAR, liver X receptor; m, mouse; NCoA, nuclear receptor; coactivator; NR, nuclear receptor; Ph, phenyl; PPAR, peroxisome proliferator-activated receptor; PR sterol and senobiotic receptor; RAR, retinoic acid, RAR, retinoic acid receptor; RARE, retinoic acid response element; RE, response element; RXR, retinoid X receptor; SRC, steroid receptor coactivator; TEF, transcriptional receptor-associated protein; USP, ultraspiracle nuclear receptor.

Summarized from the RCSB Protein Data Bank (http://www.rcsb.org/pdb) Summarized from the RCSB Protein Data Bank (<http://www.rcsb,org/pdb>)

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### **Table 4**

# RXR transcriptional agonists and synergists





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*a*<br>
Compound names and numbers refer to those used in the cited references.

*b* Searches of PubMed, SciFinder and Google databases did not provide a structure for AGN195203.

*c* Abbreviations: na, not active; nd, not determined.

*d* Excitation of Trp at 290 nM and fluorescence at 340 nM.

*e* Concentrations undefined in Ref. [246].

#### **Table 5**

# RXR transcriptional antagonists



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*\** Compound numbers refer to those cited in the references.

*b* Abbreviations: h, human; nc, not conducted; nd, not determined; nt, not tested.

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