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Exercise improves cognition and hippocampal plasticity in APOE ε**4 mice**

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Abstract

Background—Human studies on exercise, cognition, and *apolipoprotein E* (*APOE*) genotype show that ε4 carriers may benefit from regular physical activity.

Methods—We examined voluntary wheel-running, memory, and hippocampal plasticity in *APOE* ε3 and *APOE* ε4 transgenic mice at 10–12 months of age.

Results—Sedentary ε 4 mice exhibited deficits in cognition on the radial-arm water maze (RAWM), a task dependent on the hippocampus. Six weeks of wheel-running in ε4 mice resulted in improvements on the RAWM to the level of ε3 mice. Hippocampal brain-derived neurotrophic factor (BDNF) levels were similar in ε 3 and ε 4 mice, and after exercise BDNF was similarly increased in both ε3 and ε4 mice. In sedentary ε4 mice, tyrosine kinase B (Trk B) receptors were reduced by 50%. Exercise restored Trk B in ε4 mice to the level of ε3 mice, and in ε4 mice, exercise dramatically increased synaptophysin, a marker of synaptic function.

Conclusions—Our results support the hypothesis that exercise can improve cognitive function, particularly in ε4 carriers.

Keywords

Exercise; *APOE*; Behavior; BDNF; Plasticity

1. Introduction

The ε4 allele of the *apolipoprotein E* (*APOE*) gene is a known risk factor for Alzheimer's disease (AD) that is present in 20% to 25% of the population, and in 40% to 50% of lateonset AD cases [1]. However, ε4 is neither necessary nor sufficient for the development of AD. Accumulating evidence in both human studies [2, 3] and animal models [4, 5] suggests that lifestyle factors such as regular exercise may protect against cognitive decline and dementia.

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Although physical activity is generally associated with a reduced risk of dementia, several human studies showed the interactive effects of exercise and *APOE* genotype on cognitive decline. The majority reported that the protective effects of exercise are more robust in carriers of the ε4 allele [6–9], although at least one study reported a protective effect in noncarriers of the ε4 allele, but not in carriers [10]. The specific effects of exercise on brain function have not been examined in an animal model of *APOE* ε4, which allows greater control of confounding variables and the examination of underlying mechanisms associated with enhanced cognitive performance. Previously published research showed that nervegrowth factor and synaptophysin, indicators of synaptic plasticity, are stimulated by enhanced environments where an exercise wheel is present [11]. However, *APOE* ε4 transgenic mice in enriched environments did not exhibit the cognitive improvements exhibited by *APOE* ε3 mice, and were resistant to the neurotrophic induction of synaptogenesis that occurred in *APOE* ε3 mice. Although the enrichment paradigm used by Levi et al [11] included access to a running wheel, their study design did not specifically emphasize exercise. Further, the group housing of their mice precluded quantification of exercise for each mouse, and limited the individual amount of access to the running apparatus. Zhong et al [12] showed a similar finding, i.e., a gene-targeted *APOE* ε4 model mouse was resistant to the synaptic plasticity induced by a novel environment in wild-type mice. However, the novel environment had no exercise wheel, and the effects of physical exercise were not assessed [12]. Similarly, Jankowsky et al reported increased AD-like pathology in transgenic mouse models exposed to an enriched environment [13]. Clearly, the effects of exercise alone differ from those of an enriched environment, even if that environment includes access to a running wheel.

The present study emphasized voluntary wheel-running as the intervention strategy in singly housed *APOE* ε3 and *APOE* ε4 mice, allowing for greater quantification of running behavior and related improvements in fitness. Several mechanisms were proposed to explain the benefits of exercise in dementia [14, 15]. One main area of focus concerns the exerciseinduced increase in brain-derived neurotrophic growth factor (BDNF), which may serve as a final common pathway for exercise-induced improvements in cognition. Brain-derived neurotrophic factor is consistently upregulated in the hippocampus and related cortical areas in rodents after voluntary wheel-running [15]. Increases in BDNF regulate several downstream signal transduction pathways that result in synaptogenesis, neurogenesis, and dendritic branching, hence facilitating learning and memory. The exposure of cerebellar cultures to tyrosine kinase B (TrkB), the high-affinity receptor for BDNF, induces the development of axosomatic synapses [16]. The TrkB fusion protein, TrkB-Fc, blocks longterm potentiation (LTP), the cellular model of memory [17]. Additional evidence exists for the role of TrkB signaling in synaptogenesis [18]. Impaired learning and memory in TrkB knockout mice illustrate the functional necessity of this receptor [19].

The downstream signal-transduction mechanisms involved in BDNF-induced changes in learning and memory have not been examined in relation to exercise. Increases in the levels of TrkB may be required to increase the activation of these downstream signal-transduction events. After being activated by TrkB receptor signaling, p21-activated kinase (PAK) undergoes a rapid, dose-dependent phosphorylation, correlated with increased synaptic size

and density [20]. Exercise induction of BDNF and these downstream signal-transduction events may result in the synaptogenesis associated with improved cognition.

Recent studies showed that even at late stages of cognitive decline, exercise can improve function in transgenic AD mouse models [5]. The current study examines the impact of voluntary wheel-running on cognitive performance in *APOE* ε3 and *APOE* ε4 transgenic mice at ages where cognitive deficit is present in *APOE* ε4-targeted replacement mice [21]. We focused on the BDNF signal transduction pathway for synaptogenesis as a potential mechanism for exercise-induced cognitive improvement. Specifically, exercise-induced changes in BDNF, TrkB, phosphorylated PAK, and synaptophysin in *APOE* ε3 and *APOE* ε4 mice may provide a mechanism for the effects of exercise on cognitive function. Because several studies of humans reported that exercise is positively associated with cognitive performance in ε4 carriers [6–9], we hypothesized that *APOE* ε4 mice would show an induction of BDNF and its associated signaling pathway markers in response to exercise, providing a synaptogenic mechanism for improved cognition.

2. Methods

2.1. Mice

All animal protocols were approved by the Institutional Animal Care and Use Committee at the University of California at Irvine. We purchased 16 ε3 and 16 ε4 targeted replacement mice as retired breeders (catalogue numbers 001548 and 001549, Taconic Transgenics, Hudson, NY). One ε4 mouse died before testing, reducing the number to 15. All mice were between 10 and 12 months of age at testing, and groups were balanced for gender. All animals were singly housed.

Mice were generated by electrocorporation of cultured embryonic stem cells from the 129 mouse strain, with a targeting construct containing human coding sequences for *APOE* ε3 or *APOE* ε4 with mouse flanking sequences. Homologous recombination yields mouse regulatory sequences on human *APOE* exons. Embryonic stem cells containing the recombination were then introduced into C57Bl/6J embryos and chimeric offspring bred again to C57Bl/6J, and then interbred to generate homozygotes [22] (see also [www.taconic.com\)](http://www.taconic.com).

2.2. Procedures

The experimenters handled the mice twice a week for 1 minute each during the 6 weeks before cognitive testing, to reduce anxiety during testing. The mice were assigned identification numbers to blind the experimenters to the genotype and exercise conditions during cognitive testing and scoring.

2.3. Exercise

Exercised (RUN) mice were provided in-cage running wheels for 6 weeks before cognitive testing, and wheel rotations were monitored by computer (Mini-Mitter, Bend, OR). Sedentary (SED) animals were housed in cages of similar proportions, with no running wheels. To confirm aerobic differences between groups because of exercise training, we

conducted citrate synthase assays on the soleus muscle of SED and RUN animals, according to Srere and Brooks [23].

2.4. Cognitive testing

Animals were tested over the course of 6 days. Cognitive tests varied in two main aspects: (1) the area of the brain that they challenged, and (2) the duration of training and testing (single-day versus multiple-day). Object recognition (OR) testing occurred on the first day, followed by 4 consecutive days of radial-arm water-maze (RAWM) testing, and 1 day of place recognition (PR) testing. Testing protocols are described below.

2.4.1. OR task—Recognition tasks were adapted from previously published methods [24]. Lesion studies illustrated that object recognition is not dependent on hippocampal function [24]. The testing arena was a 5-gallon white cylinder measuring 35.5 cm in height and 28 cm in diameter, with 2.5 cm of clean bedding covering the bottom, as well as approximately a quarter cup of the animal's home-cage bedding. Phases included a 15-minute habituation to empty arena, 5 minutes of training, 5 minutes of rest in the home cage, and 3 minutes of testing in the arena. For training, we exposed the animal to two identical objects on opposite ends of the arena. After the brief home-cage rest, we returned mice to the arena for testing. During testing, one of the previous objects was returned to its original position (old), and one of the previous objects was replaced with a new object (novel).

This task exploits an unimpaired animal's tendency to explore the novel object more than the old object. We analyzed the ratio of time spent exploring the novel object (in seconds) to time spent exploring both objects combined (novel/old + novel) for each of the 3 minutes in the testing phase. Similarly, the total number of touches of the novel object relative to both objects was examined for each minute. The objects consisted of rubber pet toys, previously tested to exclude objects that the mice were unlikely to explore. The different objects were counterbalanced across genotype and exercise conditions, and were used equally as old and novel objects. Objects were cleaned with 70% ethanol between phases, to eliminate odor cues. Similarly, bedding was circulated between phases to reduce cues.

2.4.2. PR task—The same phases of habituation, training, and testing were used in placerecognition testing, which is dependent on hippocampal function. We exposed mice to two identical objects at specific positions in the arena during training. After 5 minutes in their home cages, we returned the mice to the arena with one of the objects in the same location as before, and the other object in a novel location. Ambient cues in the room served as place references. We again analyzed the ratio of time spent exploring the object in the new location relative to time spent exploring both locations (new/new $+$ old) for each of the 3 minutes. The ratio for number of touches of objects was also examined. This task exploits an unimpaired animal's tendency to explore an object in a new location more than an object in an old location.

2.4.3. RAWM—The RAWM protocol in this study was adapted from that of Dr. David Morgan (Health Science Center, University of South Florida, personal communication), and consisted of 3 days of testing, plus 1 reversal day. It was previously used in AD mouse

models (Tg2576) with success, and is sensitive to the hippocampal dysfunction associated with AD mouse models [5, 25, 26]. Similar to Morris water-maze testing, the object of the task is to learn and remember the location of an escape platform that is submerged in a tank of opaque water. The RAWM has six arms radiating from an open center and visual cues placed on the walls of the testing tank. For each mouse, the platform was placed consistently in the same arm for the first 3 days (the platform arm was randomized across mice), whereas each mouse began every trial sequentially in a different arm to eliminate "chaining" [27]. The mice received 15 trials per day in blocks of five. They were tested in groups of 4–5, such that mouse 1 would receive trial 1, then mouse 2 would receive trial 1, etc., cycling through until all 4–5 mice in a group had received the five trials in a block. A rest period of 5 minutes was given for each mouse before its subsequent trial within a block, and 2 hours in between blocks. Because the task spans several days, there are overnight periods of rest in which consolidation was shown to occur in rats [28]. We measured both time to find platforms (latency), and entries of nontarget arms (errors), although errors were shown to be more sensitive to dysfunction [29, 30]. If the mice did not find the platform after 60 seconds, they were gently guided to the platform, where they were left for 20 seconds before being returned to their cage.

Animals were sacrificed 4–5 hours after PR testing by decapitation. Their brains were dissected and subsectioned into regions of interest. Soleus muscles were dissected from the hind leg.

2.5. Molecular markers

Hippocampi were quick-frozen after dissection and stored at −80°C. We prepared homogenates by pulverizing frozen samples on dry ice, preparing 500 µL of cell lysis buffer (number 28-30412; Bio-Rad, Hercules, CA) containing protease inhibitor cocktail (number 171-304012, Bio-Rad) and 1 µL of a stock solution containing 500 mM phenylmethylsulfonyl fluoride (number P-7626) in dimethyl sulfoxide (number D2650, both from Sigma, St. Louis, MO), and sonicating [31]. We centrifuged the homogenate for 10 minutes at 25,000*g*, and removed the supernatant for analysis. We used a standard bichondruric acid assay to determine protein content, and to aid in loading equal amounts of protein in all subsequent analyses. We measured BDNF levels in duplicate, using an enzyme-linked immunoassay (ELISA) kit according to the manufacturer's instructions (G7610, BDNF Emax ImmunoAssay, Promega, Madison, WI), and analyzed plates on an automated multiwell plate reader.

Intracellular markers TrkB and phosphorylated PAK were probed via Western blot, using βactin as a loading control. The synaptic marker synaptophysin was likewise measured by Western blot. Individual antibody concentrations and incubation protocols are listed in Table 1. We ran 15 µg of protein per sample per lane on Bio-Rad Criterion XT 8% to 12% Bis-Tris gels for cold electrophoresis, and transferred protein to polyvinylidene fluoride membrane overnight at 4°C. Three separate investigators independently analyzed each marker, using Image J NIH shareware (National Institutes of Health, Bethesda, MD). Levels of each target marker were normalized to β-actin, and results were analyzed by repeated-measures analysis of variance, with each investigator serving as a separate measure.

2.6. Statistical analyses

All data were analyzed in SPSS statistical software (SPSS Inc., Chicago, IL), using analysis of variance as 2 (genotype: ε3 and ε4) \times 2 (exercise: RUN and SED) analyses. The RAWM data for days 1–3 were subjected to a genotype \times exercise \times block [9] design. Trials were grouped into blocks of five, with three blocks per day (15 trials), such that blocks 1–3 reflected day 1 of testing, blocks 4–6 reflected day 2 of testing, and block 7–9 reflected day 3 of testing.

3. Results

3.1. Citrate synthase

Citrate synthase levels reflect changes in aerobic capacity because of training. A strong trend toward increase occurred in the running condition ($F_{(1,23)} = 3.97$, $P = 0.058$). In the RUN group, the average amount of daily running (wheel revolutions/day) correlated positively with citrate synthase levels ($R = 0.39$, $P < 0.05$). Running amounts did not differ between genotypes (Table 2). Citrate synthase showed no interactions of genotype and condition, indicating that both genotypes responded equally in terms of aerobic change induced by exercise.

3.2. Object recognition

Object recognition is a single-day task that is not dependent on hippocampal function. No genotype or exercise main effects or interactions emerged in the OR task.

3.3. Place recognition

Place recognition is a single-day task, but is dependent on hippocampal function. The *APOE* ε4 mice were impaired in this task relative to *APOE* ε3 mice for both touches of the new object ($F_{(1,26)} = 6.0$, $P = 0.021$) and time exploring the new object ($F_{(1,26)} = 7.2$, $P = 0.013$). Exercise improved performance in both genotypes for touches $(F_(1,26) = 4.31, P = 0.048;$ Fig. 1A) and time $(F_{(1,26)} = 4.2, P = 0.051; Fig. 1B)$.

3.4. RAWM

Water-maze testing is dependent on hippocampal function, and spans several days. It is a greater challenge to hippocampal function than simple recognition tasks, and was shown to be an excellent discriminator of hippocampal dysfunction in transgenic mice [5, 25, 30]. Swim speed was not significantly affected by genotype or exercise condition, but females of both genotypes swam significantly faster than males ($F_{(1,23)} = 11.05$, $P = 0.003$). No interactions were found between gender and exercise, or between gender and genotype. To correct for the influence of gender on error and latency outcomes, we included gender as a covariate in all analyses of RAWM. In measures of error, the effect of gender as a covariate neared significance ($P = 0.06$). In measures of latency, gender was a significant covariate (P $= 0.04$). This study was not powered to detect genotype and exercise effects within separate genders.

When we evaluated number of incorrect arm entries (errors), a significant interaction emerged for overall errors on the RAWM between genotype (ε3 versus ε4) and exercise

condition (SED versus RUN) ($F_{(1,26)} = 4.60$, $P = 0.042$). Although all mice eventually learned the escape platform location by day 3, ε4 SED mice made more overall errors than the ϵ 3 SED mice during learning. The ϵ 4 RUN mice improved such that they were indistinguishable from ε 3 mice on error measures (Fig. 2). The ε 3 mice showed modest improvement with exercise.

Compared with *APOE* ε3 mice, *APOE* ε4 mice exhibited a delayed latency to locate the escape platform, regardless of exercise condition ($F_{(1,26)} = 8.34$, $P = 0.008$). Exercise did not significantly affect latency measures in either genotype, nor was an interaction of genotype and exercise present.

3.5. Molecular markers

Average daily running in the RUN group correlated positively with BDNF levels ($R = 0.49$, $P < 0.05$) and with citrate synthase (R = 0.34, $P < 0.05$). The correlation between BDNF and citrate synthase levels approached significance $(P = 0.059)$.

ELISA also revealed significantly greater BDNF in the RUN group relative to the SED group (Fig. 3), irrespective of genotype ($F_{(1,25)} = 6.47$, $P < 0.05$). Although both genotypes equally increased BDNF in response to exercise, significant genotype \times exercise interactions were observed for TrkB (Fig. 4), PAK (Fig. 5), and synaptophysin (Fig. 6) (TrkB, $F_{(1,18)} =$ 19.5, *P* < 0.01; PAK, F(1,18) = 24.7, *P* < 0.01; synaptophysin, F(1,18) = 29.6, *P* < 0.01). Post hoc tests revealed that for TrkB, PAK, and synaptophysin, running-induced increases in protein levels were largely restricted to the *APOE* ε 4 genotype (TrkB, F_(1,10) = 16.0, *P* < 0.01; PAK, F(1,10) = 11.6, *P* < 0.01; synaptophysin, F(1,10) = 31.5, *P* < 0.01). A significant decrease in PAK was evident in the *APOE* ϵ 3 genotype with exercise ($P = 0.006$).

4. Discussion

Exercise improved accuracy in ε4 mice on the radial-arm water maze, a task dependent on the hippocampus, and resulted in dramatic changes in plasticity in the hippocampus. Improvements with exercise on the RAWM task were specific to ε4 mice. The ε4 SED mice exhibited impairment on the RAWM task relative to ε3 mice, whereas ε4 RUN mice performed the same as ε3 mice. Importantly, differences in RAWM performance between ε3 and ε4 mice were not attributable to the extent of exercise performed, because ε3 and ε4 mice showed similar amounts of wheel-running and similar aerobic changes. Only scores of accuracy (errors) improved with exercise. Interestingly, the speed ofRAWM-solving (latency) did not change with exercise in either genotype. Place recognition, another hippocampal-dependent task, showed modest improvements in both ε3 and ε4 mice after exercise. Interestingly, no impairment of ε4 mice was evident in the hippocampusindependent task of object recognition, nor did exercise improve this task. These data show that exercise improves hippocampal function, and in the context of RAWM, restores the capacity of ε4 animals to that of ε3 animals.

The present study was not powered to analyze genders separately. However, we observed significant differences in swim speed and latency between genders, as well as trends toward a gender effect on error scores. Future investigation should analyze genders independently,

to determine if exercise-induced improvements in cognition are more predominant in females or in males.

Hippocampal learning depends on BDNF signaling as well as the engagement of other downstream pathways [19]. The BDNF system differed between ε3 mice and ε4 mice in several ways. Although BDNF increased to similar levels with running in both ε3 and ε4 animals, the levels of TrkB in SED ε4 were approximately 50% lower than in ε3. Reduced TrkB, caused by a partial knockout of the receptor or administration of antibodies against TrkB, will compromise synaptic function and learning [19, 32–34]. Running increased TrkB in ε 4 animals to levels indistinguishable from those in ε 3 animals. Moreover, in response to exercise, phosphorylated PAK and synaptophysin, i.e., markers indicative of synaptogenesis [19, 35, 36], increased in ε4 mice. Levels of PAK actually decreased in *APOE* ε3 animals, despite increases in BDNF. Although these results are difficult to interpret, they may explain our failure to observe improvements in exercised ε 3 animals in RAWM. We suggest that the differences evident in *APOE* ε4 animals with running may be essential in enhancing synaptic plasticity and the improved performance on the RAWM task. Our data are supported by previous findings where TrkB knockout mice performed well on simple avoidance learning tasks, but were impaired on radial-arm maze-learning [19].

We observed a similar induction of BDNF in both genotypes. Brain-derived neurotrophic growth factor was well-demonstrated to increase with exercise, and to improve cognitive function. It can act acutely to increase LTP and synaptic vesicle-docking [37]. Such acute effects of exercise-induced increases in hippocampal BDNF may have contributed to the improvements in place recognition observed in both genotypes. Brain-derived neurotrophic growth factor was also shown to increase in the hippocampus as a result of cognitive stimulation from RAWM itself [35, 36]. Thus it is also possible that increases in BDNF/ TrkB because of exercise may have been supplemented by the activation of neurons involved in learning the platform location. This is reflected by the robust improvement observed in RAWM in exercised ε4 mice.

This study supports the human-related literature indicating that sedentary *APOE* ε4 carriers are particularly at risk for cognitive decline, and as such, may exhibit a more robust benefit from physical activity than noncarriers [6–9]. In the human-related literature, ε4 carriers were shown to exhibit a slower speed of processing during executive function tasks [6, 38], a longer latency of event-related potentials [6, 39, 40], and altered cortical activation during cognitive challenges [6, 41–44]. The exercise-related benefits on cognitive function and speed of processing reported to date in the human-related literature have been crosssectional or epidemiological in nature [6–9]. In these studies, physically active participants had a history of a prolonged lifestyle of activity. However, the mice in the present study were predominantly sedentary until they were introduced to the running wheel at around age 9 months, an age at which ε4 mice already exhibit cognitive deficits. Hippocampal TrkB was significantly lower in age-matched sedentary *APOE* ε4 mice, as were cognitive deficits. An exercise-induced increase in BDNF and perhaps other pathways only resulted in increases in markers of synaptogenesis (PAK and synaptophysin) in *APOE* ε4 mice. Perhaps by virtue of having less synaptic markers in the sedentary condition, these animals had more to gain from exercise, or perhaps the *APOE* ε4 mice recruited greater neuronal resources to

learn the RAWM than did the ε3 mice, thereby boosting their overall plastic response. It is also possible (although not explored in the present study) that exercise decreases the synaptotoxicity associated with AD. Sedentary *APOE* ε4 animals did not show lower levels of synaptophysin that *APOE* ε3 animals, a potential indication for such toxicity observed in other models [45]. However, because no previous work established the presence or absence of synaptotoxicity in this model, we cannot rule out a contribution. Overall, our studies support the hypothesis that ε4 carriers show improved cognition and perhaps less hippocampal dysfunction when they engage in an exercise program.

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Fig. 1.

ε4 mice were impaired on place recognition relative to ε3 mice. Exercise improved performance for both genotypes in context of both touches (**A**) and time (**B**).

Nichol et al. Page 13

Nichol et al. Page 14

BDNF levels significantly increased in both *APOE* ε3 and *APOE* ε4 animals with 6 weeks of running-wheel access. There were no significant differences between the two genotypes.

Nichol et al. Page 15

Fig. 4.

The BDNF receptor, TrkB, demonstrated a significant interaction of genotype and condition (*P* < 0.01). Post hoc analysis revealed that *APOE* ε4 sedentary animals had significantly less TrkB than did sedentary *APOE* ε3 animals, but experienced a significant increase after 6 weeks of running-wheel exposure. No such increase was evident in *APOE* ε3 animals. The TrkB blots were normalized to β-actin.

Nichol et al. Page 16

 1.8

Fig. 5.

A significant interaction of genotype and condition existed for phosphorylated p21 activated kinase (*P* < 0.01). The ε4 mice increased their levels of PAK with 6 weeks of wheel access.

APOE ϵ 3 sed APOE ϵ 3 run APOE ϵ 4 sed APOE ϵ 4 run

Fig. 6.

Synaptophysin levels demonstrated an interaction between genotype × condition (*P* < 0.01), such that exercised ε4 mice exhibited increased synaptophysin, but ε3 mice did not.

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Antibodies used in Western blot analysis Antibodies used in Western blot analysis

Table 2

Citrate synthase levels respond similarly with exercise in both genotypes

