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## A $\beta 3$ integrin-KRAS-RalB complex drives tumor stemness and resistance to EGFR inhibition

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### Abstract

Tumor cells, with stem-like properties, are highly aggressive and often display drug resistance. Here, we reveal that integrin  $\alpha\beta 3$  serves as a marker of breast, lung, and pancreatic carcinomas with stem-like properties that are highly resistant to receptor tyrosine kinase inhibitors such as erlotinib. This was observed in vitro and in mice bearing patient-derived tumor xenografts or in clinical specimens from lung cancer patients that had progressed on erlotinib. Mechanistically,  $\alpha\beta 3$ , in the unligated state, recruits KRAS and RalB to the tumor cell plasma membrane, leading to the activation of TBK-1/NF $\kappa$ B. In fact,  $\alpha\beta 3$  expression and the resulting KRAS/RalB/NF $\kappa$ B pathway were both necessary and sufficient for tumor initiation, anchorage-independence, self-renewal, and erlotinib resistance. Pharmacological targeting of this pathway with Bortezomib reversed both tumor stemness and erlotinib resistance. These findings not only identify  $\alpha\beta 3$  as a marker/driver of carcinoma stemness but they reveal a therapeutic strategy to sensitize such tumors to RTK inhibition.

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### AUTHOR CONTRIBUTIONS

L.S. designed and performed experiments, interpreted data, and wrote the paper; S.K., A.F., J.Q., M.Y., M.F.C., K.C.E., performed experiments; S.K. provided the lung biopsies. A.L. provided the pancreatic patient-derived xenografts. T.C. and J.V.H. provided the H441 model T.C., S.M.L., L. D., J. W., I. W., and J.V.H. provided the BATTLE study. S.L., J.S.D., A.M., H.H., and S.A., gave conceptual advices; and S.M.W., and D.A.C. designed experiments, interpreted data, and wrote the paper.

## Introduction

Despite extensive efforts invested in the clinical development of cancer therapies, current treatments can control tumor growth initially but have produced only modest long term efficacy since most of the patients ultimately relapse. Accumulating evidence implicates tumor initiating cells (TIC), also known as cancer stem cells or tumor-propagating cells, as contributors to tumour dormancy, metastasis, and relapse<sup>1, 2</sup>. TIC represent a subpopulation of highly tumorigenic cancer cells that are capable of anchorage-independence, self-renewal, and multi-lineage differentiation, properties which render these cells particularly resistant to therapy<sup>3, 4</sup>. Developing effective strategies to identify and target TIC will require a better understanding of the molecular mechanisms that drive TIC function. Although a number of cell surface proteins and adhesion molecules have already been identified as TIC markers for certain tumour types or subtypes<sup>5, 6</sup>, none have emerged as viable therapeutic targets to reverse tumour progression and drug resistance.

Integrin  $\alpha v \beta 3$  is a cell surface adhesion molecule that has been well established as a driver of tumor progression<sup>7, 8</sup>. Not only has expression of  $\alpha v \beta 3$  been associated with poor outcome and higher incidence of metastasis for a variety of epithelial cancers<sup>8</sup>, but its expression has also been reported on a subpopulation of breast<sup>9-11</sup> and leukemia cancer stem cells<sup>12</sup>. Although the primary function of integrins is thought to be coordination of cell-matrix communication to influence intracellular signaling cascades<sup>8</sup>,  $\alpha v \beta 3$  integrin is capable of triggering anchorage-independent cell survival and tumor metastasis in the absence of ligand binding<sup>13</sup>. Considering the presence of  $\alpha v \beta 3$  on some TIC populations and its role in permitting anchorage-independent survival, we reasoned that  $\alpha v \beta 3$  expression might be a marker of and functional contributor to a tumor stemness program that allows tumor cells to survive the environmental changes encountered during invasion, metastasis, and exposure to cancer therapies.

We report here that  $\alpha v \beta 3$  is specifically upregulated on the surface of various epithelial tumor cells exposed to receptor tyrosine kinase inhibitors, and  $\alpha v \beta 3$  expression is associated with enhanced tumor progression and drug resistance compared with tumors lacking  $\alpha v \beta 3$ . In fact, we found that  $\alpha v \beta 3$  is both necessary and sufficient to reprogram breast, lung, and pancreatic tumor cells toward a stem-like phenotype with specific resistance to receptor tyrosine kinase (RTK) inhibitors. Mechanistically,  $\alpha v \beta 3$  expressed on the surface of tumor cells initiates a membrane-proximal complex with KRAS and RalB to activate TBK1/NF $\kappa$ B and enhance anchorage-independence, self-renewal, tumor initiation, and RTK inhibitor resistance. Targeting this pathway genetically or pharmacologically not only reverses these stem-like properties but resensitizes such tumors to RTK inhibition.

## Results

### Integrin $\beta 3$ expression drives a tumor-initiating cell phenotype and RTKI resistance

On a wide range of histologically distinct tumors, integrin  $\alpha v \beta 3$  expression has been linked to increased metastasis<sup>13-17</sup>. To assess a potential role for  $\alpha v \beta 3$  in tumor initiation using clinical samples, patient-derived lung and pancreatic xenografts were sorted into  $\beta 3+$  and

$\beta 3^-$  subpopulations, transplanted into NOD/SCID  $Il2r\gamma^{-/-}$  recipient mice by limiting dilution, and assessed for TIC. The  $\beta 3^+$  subpopulation from both tumor types was highly enriched (60-fold) in TICs relative to the  $\beta 3^-$  population (Fig. 1a and Supplementary Fig. 1a,b). In fact  $\beta 3^+$  but not  $\beta 3^-$  cells were able to form compact tumorspheres (Fig. 1b). Also,  $\beta 3^+$  tumors contained both  $\beta 3^+$  and  $\beta 3^-$  cells indicating these cells can recapitulate the heterogeneity of the parental tumor (Fig. 1c). We next assessed whether  $\alpha v\beta 3$  expression on a panel of carcinoma cell lines can impact properties commonly associated with tumor stem-like cells by both loss and gain of function studies. Compared with their respective  $\beta 3^-$  counterparts,  $\beta 3^+$  lung or pancreas tumors showed a 50-fold higher frequency of TICs when implanted into NSG or nude mice (Fig. 1d,e and Supplementary Fig. 1c-e). These findings were corroborated *in vitro* among breast, lung and pancreatic cell lines since  $\beta 3^+$  cells showed a six-fold increased self-renewal properties (secondary tumorspheres) relative to  $\beta 3^-$  cells (Fig. 1f,g and Supplementary Fig. 1f).

TICs are known to be particularly resistant to cellular stresses, such as nutrient deprivation or exposure to anti-cancer drugs<sup>3</sup>. Indeed,  $\beta 3^+$  cells showed a 4-fold survival advantage compared to their  $\beta 3^-$  counterparts when subjected to nutrient deprivation (Fig. 2a). Accordingly, we noted that  $\beta 3$  expression conferred resistance to RTK inhibitors such as the EGFR inhibitors erlotinib, and lapatinib as well as the IGF-1R inhibitor linsitinib, yet these cells remained sensitive to chemotherapeutic agents such as gemcitabine and cisplatin (Fig. 2b-d and Supplementary Fig. 2a-c). While previous studies have linked tumor stemness to a general drug resistance phenotype<sup>3,4</sup>, our findings reveal a stem-like tumor cell population that appears to be selectively resistant to RTK inhibitors. Importantly, this link between  $\alpha v\beta 3$  expression and RTK inhibitor resistance was also observed *in vivo*, as knockdown of integrin  $\beta 3$  sensitized A549 human lung carcinoma xenografts to erlotinib, while ectopic expression of integrin  $\beta 3$  conferred erlotinib resistance of FG human pancreatic carcinomas tumors growing orthotopically in the pancreas (Fig. 2e,f and Supplementary Fig. 2d). We next evaluated a broad panel of breast, pancreas and lung cancer cell lines for their endogenous expression of  $\alpha v\beta 3$  and assessed their intrinsic sensitivity to erlotinib. All  $\alpha v\beta 3$ -expressing cells tested showed an increased intrinsic resistance to erlotinib (ranging from 3–60 fold) relative to tumors not expressing this receptor independently of EGFR or KRAS mutational status (Supplementary Fig. 2e). Together, these findings indicate that  $\alpha v\beta 3$  expression is both necessary and sufficient to induce tumor cell stem-like properties, including resistance to RTK inhibition.

Integrin-mediated adhesion/ligation is known to promote tumor cell survival and progression<sup>8</sup>. Therefore, we considered whether inhibiting the ligand binding properties of  $\alpha v\beta 3$  could reverse tumor stemness and/or sensitize tumors to RTK inhibitors. Interestingly, integrin antagonists that compete for ligand binding and disrupt cell adhesion had no effect on the ability of  $\alpha v\beta 3$  to induce tumor cell stemness or resistance to RTK inhibitors (Supplementary Fig. 2f). Furthermore, tumor cells expressing a mutant integrin  $\beta 3$  (D119A) incapable of binding ligand<sup>13</sup> showed erlotinib resistance to the same degree as cells expressing WT  $\beta 3$  (Supplementary Fig. 2g). Thus, the contribution of  $\alpha v\beta 3$  to tumor cell stemness and RTK inhibitor resistance appears to involve a non-canonical function for this integrin, independent from its traditional role as a mediator of cell adhesion. Together, these

findings indicate that the presence of  $\alpha\text{v}\beta\text{3}$  on histologically distinct carcinomas induces a stem-like, drug resistant phenotype that is independent of its capacity to induce adhesion-mediated signaling.

### Acquired resistance to EGFR inhibition selects for a $\beta\text{3}+$ cell population with tumor-initiating cell properties

Patients with non-small cell lung cancer (NSCLC) often respond to erlotinib but invariably develop resistance through multiple mechanisms (including EGFR mutations, EGFR gene amplification, and alternate routes of kinase pathway activation<sup>18–21</sup>). However, accumulating evidence supports the concept that outgrowth of TICs contribute to this process<sup>22,23</sup>. To explore a possible role for  $\alpha\text{v}\beta\text{3}$  in acquired resistance we examined a lung carcinoma cell line (HCC827 cells) that harbors a clinically relevant deletion of exon 19 of EGFR but that lacks  $\alpha\text{v}\beta\text{3}$  expression. Tumor-bearing mice were systemically treated with erlotinib for 90 days until resistance emerged and monitored for the induction of  $\alpha\text{v}\beta\text{3}$  (Fig. 3a). Once erlotinib resistant was observed tumors expressed a qualitative increase in  $\alpha\text{v}\beta\text{3}$  relative to tumors in vehicle treated mice (Fig. 3b and Supplementary Fig. 3a). Accordingly,  $\alpha\text{v}\beta\text{3}$  expression was also qualitatively increased on two other orthotopic cancer models (H441, lung cancer) and (FG, pancreas cancer) after sustained erlotinib treatment (Fig. 3c,d and Supplementary Fig. 3b,c). Thus, systemic erlotinib treatment of mice bearing human lung and pancreas cancers drives  $\alpha\text{v}\beta\text{3}$  expression that coincides with the acquisition of drug resistance.

To assess a possible role for  $\alpha\text{v}\beta\text{3}$  expression as a primary mediator of tumor stemness we prepared single cell suspensions from erlotinib resistant HCC827 tumors, sorted these cells into integrin  $\beta\text{3}+$  and  $\beta\text{3}-$  populations, and assessed their tumor initiating abilities in mice and their stem-like properties *in vitro*. The  $\beta\text{3}+$  sorted population showed enhanced self-renewal capacities (4-fold) and tumor initiation (100-fold) relative to the  $\beta\text{3}-$  population (Fig. 3e,f and Supplementary Fig. 3d,e) and completely accounted for the stem-like properties among the drug resistant population. Supporting this notion, the  $\beta\text{3}+$  population was able to reconstitute the heterogeneity of the primary tumor revealing the presence of both  $\beta\text{3}+$  and  $\beta\text{3}-$  cells (Fig 3g). Furthermore, these erlotinib resistant lung tumors (HCC827) showed a 16-fold increase in the stem marker ALDH-1 (Supplementary Fig. 3f) whereas knockdown of endogenous  $\beta\text{3}$  in A549 lung carcinoma cells resulted in a significant decrease in ALDH-1 expression (Supplementary Fig. 3g). These findings were validated in patient biopsies, as integrin  $\beta\text{3}$  gene (ITG $\beta\text{3}$ ) expression was significantly upregulated on a cohort of lung cancer patients in the BATTLE trial<sup>24</sup> that had progressed on erlotinib relative to patients who had not been treated with this drug (Fig. 3h). Moreover,  $\alpha\text{v}\beta\text{3}$  expression as detected by immunostaining was not expressed on primary lung tumor biopsies prior to treatment but was highly upregulated on these same primary tumors after progression on erlotinib (Fig. 3i). Together, these findings reveal that erlotinib-resistant tumors show enriched  $\alpha\text{v}\beta\text{3}$  expression, which appears to be necessary and sufficient to account for both tumor stemness and erlotinib resistance.

## Integrin $\beta 3$ /KRAS complex is critical for a tumor-initiating phenotype and EGFR inhibitor resistance

Mechanistically, integrins are known to transmit signals in the context of one or more RAS family members<sup>25</sup>. Thus, we analyzed tumor cells growing in 3D for a possible co-localization between  $\alpha\beta 3$  and one or more RAS family members. Integrin  $\alpha\beta 3$  co-localized specifically with KRAS in membrane clusters and was not co-localized with NRAS, RRAS, or HRAS in these cells (Fig. 4a,b and Supplementary Fig. 4a–c). Accordingly, KRAS could be specifically co-immunoprecipitated with  $\alpha\beta 3$  but not with  $\beta 1$  integrins (Fig. 4c). Importantly, we observed that KRAS knockdown abolished  $\alpha\beta 3$ -mediated anchorage-independence, nutrient deprivation and erlotinib resistance as well as self-renewal, but did not impact these properties in cells lacking  $\alpha\beta 3$  (Fig. 4d–g and Supplementary Fig. 4d–f), indicating that  $\beta 3$  and KRAS likely cooperate to drive both stemness and drug resistance of these cells. There are two isoforms of KRAS (KRAS-2A and -2B) and only KRAS-2B was associated with  $\alpha\beta 3$  (Supplementary Fig. 4g). Interestingly, KRAS-2B is the only RAS isoform containing a poly-cationic region (poly-lysine) within its hyper-variable region<sup>26</sup> which may be linked to its capacity to associate with  $\alpha\beta 3$  in these cells. Together, these findings demonstrate that the ability of  $\alpha\beta 3$  to drive tumor stemness and RTK resistance is linked to its capacity to associate with the 2B isoform of KRAS.

There are no obvious KRAS binding sites on the  $\beta 3$  cytoplasmic tail, making it possible that the KRAS/ $\beta 3$  interaction occurs through an intermediary. In fact, Galectin-3, linked to tumor progression<sup>27</sup> has been reported in separate studies to interact with KRAS<sup>28</sup> or integrin  $\alpha\beta 3$ <sup>29</sup>. Therefore, we considered if Galectin-3 might serve as an adaptor facilitating the  $\beta 3$ /KRAS interaction that could be linked to tumor stemness and RTK resistance. Indeed, knockdown of Galectin-3 in  $\beta 3+$  PANC-1 cells not only prevented the formation of this KRAS/ $\beta 3$  complex (Fig. 5a,b), but also reversed the anchorage-independence, erlotinib resistance and self-renewal induced by  $\alpha\beta 3$  (Fig. 5c–e and Supplementary Fig. 4h). Together, these findings provide evidence that Galectin-3 facilitates a specific interaction between  $\alpha\beta 3$  and KRAS that appears to be required for the induction of stem-like properties and erlotinib resistance of epithelial cancers.

## RalB is a key modulator of integrin $\beta 3$ -mediated tumor-initiating phenotype and EGFR inhibitor resistance

The activation of KRAS elicits changes in cellular function by signaling through a number of downstream effectors, most prominently AKT/PI3K, RAF/MEK/ERK, and RalGTPases<sup>30</sup>. Depletion of Akt, Erk, or RalA produced an equivalent inhibition of 3D growth among  $\beta 3$ -positive and  $\beta 3$ -negative tumor cells (Supplementary Fig. 5a), suggesting these effectors were not specifically involved in the ability of  $\alpha\beta 3$  to enhance stemness. In contrast, knockdown of RalB not only selectively impaired 3D colony formation of  $\beta 3+$  cells (Fig. 6a and Supplementary Fig. 5b), but it reversed  $\beta 3$ -mediated stemness (Fig. 6b,c and Supplementary Fig. 5b,c), and resistance to nutrient deprivation or erlotinib treatment (Fig. 6d–g and Supplementary Fig. 5d). Importantly, in FG pancreatic tumor cells expressing  $\alpha\beta 3$  we observed RalB activation in a manner that depended on KRAS co-expression (Fig. 6h). Clinically, we could detect co-localization between  $\alpha\beta 3$  and RalB in biopsies from

pancreatic cancer patients (Fig. 6i). In fact, the activation of RalB was sufficient for erlotinib resistance, since expression of a constitutively active RalB G23V mutant in  $\beta 3^-$  tumor cells conferred erlotinib resistance (Supplementary Fig. 5e).

Consistent with recent studies that have linked RalB and its effectors, TBK1 and NF $\kappa$ B, to RTKI resistance, cell survival and stemness<sup>31–33</sup>,  $\beta 3^+$  tumor cells showed enhanced activation of these effectors relative to that of  $\beta 3^-$  tumor cell counterparts. RalB knockdown restored the ability of erlotinib to inhibit NF $\kappa$ B (c-Rel) in  $\beta 3^+$  tumor cells (Fig. 6j). Interestingly, this  $\alpha v\beta 3$ -mediated signaling pathway appeared to be independent of integrin ligation as well as FAK activation, and event that is typically associated with canonical integrin signaling (Fig. 6j).

### **TBK1 and c-Rel inhibition overcome $\beta 3$ -mediated stemness and erlotinib EGFR inhibitor resistance**

Given this and the fact that RAS and Ral inhibitors have not proven effective clinically, we postulated that interrupting signaling at or downstream of RalB could reverse the stemness and drug resistance of  $\beta 3^+$  tumor cells. Indeed, genetic inhibition of TBK1 or c-Rel overcame  $\beta 3$ -mediated self-renewal, erlotinib and nutrient deprivation resistance (Fig. 7a–d and Supplementary Fig. 6a–c). In fact, the proteasome inhibitor bortezomib (clinically approved for myeloma) and known to disrupt the NF $\kappa$ B pathway, when combined with erlotinib, overcame  $\beta 3$ -mediated intrinsic or acquired erlotinib resistance *in vitro* and *in vivo* (Fig. 7e–h and Supplementary Fig. 6d,e). Importantly, tumors treated with a combination of erlotinib and bortezomib showed a complete loss of the  $\beta 3^+$  stem population (Fig. 7i).

### **Schematic model depicting the role of $\alpha v\beta 3$ in carcinoma stemness and drug resistance**

We have identified Integrin  $\alpha v\beta 3$  as a marker of breast, pancreatic and lung carcinomas that are resistance to RTK inhibitors such as erlotinib or lapatinib. A model of how  $\alpha v\beta 3$  drives carcinoma stemness and drug resistance is depicted in Fig 8a. Integrin  $\alpha v\beta 3$ , in the unligated state, together with Galectin-3 recruits KRAS into a membrane complex leading to the hyper-activation of RalB. This complex then leads to TBK-1/NF $\kappa$ B activation as previously described<sup>34</sup>. We demonstrate that drugs such as bortezomib that target this pathway are able to reverse RTK inhibitor resistance and tumor stemness (Fig 8b). Interestingly, targeting the ligand binding properties of  $\alpha v\beta 3$  do not influence this pathway, as this pathway is only assembled when  $\alpha v\beta 3$  is in the unligated state.

## **Discussion**

Tumor initiating cells display stem-like properties and are associated with tumor progression, metastasis, and drug resistance<sup>11, 35</sup>. While a number of markers such as CD166, CD133, or CD44 have been identified as cancer stem cell markers for particular cancer types, these are not consistently expressed between different tumor types, and their cell surface expression does not necessarily correspond to their overall DNA/RNA/protein expression levels<sup>36</sup>. In fact, their contribution to a stem cell phenotype is unclear. For example, breast cancer tumor initiating cells are characterized by low levels of CD24<sup>37</sup>, whereas high CD24 expression is linked to tumor initiation in pancreatic<sup>38</sup> and lung<sup>39</sup>

cancers. Although aldehyde dehydrogenase (ALDH1) is a cytoplasmic enzyme that is expressed in cancer stem cells in leukemia, breast, lung, colon, and prostate cancer<sup>36</sup>, knockdown studies suggest a tumor-suppressive role for this enzyme<sup>40</sup>. Here, we identify CD61/integrin  $\beta 3$  to be both necessary and sufficient to promote tumor stem properties, including tumor initiation, self-renewal, and resistance to RTK inhibition. Importantly, we have observed this function of integrin  $\beta 3$  for a range of histologically distinct epithelial tumors, including lung, breast, and pancreatic carcinomas.

The role of integrin  $\alpha v\beta 3$  as a cancer stem cell driver is consistent with previous reports linking  $\alpha v\beta 3$  expression to tumor progression and metastasis for a wide range of cancers<sup>13, 41</sup>, since stemness properties would contribute to these endpoints by promoting drug resistance, tumor growth, and ability to invade into foreign microenvironments. In normal tissues, integrin  $\alpha v\beta 3$  becomes expressed on a variety of cell types undergoing tissue remodeling. It specifically contributes to invasion, survival, and/or proliferation of luminal progenitor cells in the developing mammary gland<sup>42</sup>, hematopoietic stem cells<sup>43</sup>, endothelial cells undergoing angiogenesis<sup>44</sup>, and smooth muscle cells during vascular remodeling<sup>45</sup>. Therefore, the role of integrin  $\alpha v\beta 3$  that we have uncovered in cancer stem cells may be reminiscent of a more fundamental role for this integrin during development and tissue remodeling during repair. It is important to point out that blocking the ligand-binding function of  $\alpha v\beta 3$  using cyclic peptides such as Cilengitide does not impact the ability of this integrin to promote erlotinib resistance (Supplementary Fig. 2f), as we have attributed this behavior to stem-like abilities including anchorage-independent growth that would not be impacted by disrupting cell adhesion. In fact, expression of a ligand binding defective mutant of  $\alpha v\beta 3$  is still able to dramatically enhance tumor cell anchorage independent growth and drug resistance.

Integrin  $\alpha v\beta 3$  functions as part of a complex with KRAS, and tumors expressing integrin  $\beta 3$  require KRAS both for their resistance to RTK inhibitors and for self-renewal (Fig 4d,e). Our collective results suggest that this  $\beta 3$ /KRAS interaction may be the crucial event required to drive  $\beta 3$ -mediated stemness. Formation of this molecular complex appears to be facilitated by Galectin-3, a lectin family protein previously reported to bind to  $\beta 3$ <sup>29</sup> and KRAS<sup>28</sup>, separately. We propose that the  $\beta 3$ /Galectin-3/KRAS complex drives stemness and erlotinib resistance by aggregating  $\alpha v\beta 3$ /KRAS into clusters at the plasma membrane to facilitate the recruitment and hyperactivation of RalB, which is known to drive NF $\kappa$ B (c-Rel) via TBK1<sup>32, 34</sup>. Galectin-3 is a requirement for  $\alpha v\beta 3$ /KRAS clustering, and thus knockdown of Galectin-3 negates the contribution of  $\alpha v\beta 3$  to stemness and drug resistance (Fig. 5c–e). This highlights the potential of targeting Galectin-3 as a means to disrupt this pathway in tumors.

Given that  $\beta 3$  is both necessary and sufficient to account for stemness and drug resistance, it should be possible to target and inhibit  $\beta 3$  transcription or selectively kill  $\beta 3$ -expressing tumor cells as a means to prevent or reverse this phenotype. However, preventing  $\beta 3$  expression will require a better understanding of how this gene becomes induced. Previous studies have established that  $\beta 3$  expression can be induced on tumor cells by a variety of factors that are prevalent in the tumor microenvironment, including hypoxia<sup>46</sup> or inflammatory stress<sup>47</sup>. Targeting upstream transcription factors previously linked to  $\beta 3$

expression such as HOXD3<sup>48</sup>, FOSL1<sup>49</sup>, SP1<sup>50</sup>, or ETS1<sup>51</sup> might be useful in preventing the conversion of epithelial cancers to a drug-resistant, stem-like fate. Alternatively, antibody conjugate therapy is gaining popularity as a means to direct a cytotoxic agent such as a toxin, radionuclide, small molecule, or enzyme toward a specific cell surface target expressed on tumor cells<sup>52</sup>. Targeting tumor cells using an antibody specific for  $\alpha v\beta 3$ <sup>53, 54</sup> may be particularly advantageous since its expression in the adult is low except in remodeling or angiogenic tissues<sup>44</sup>, and yet is enriched on the population of drug-resistant, stem-like metastatic tumor cells likely to be present in the circulation.

As shown in this report, reversing  $\beta 3$ -mediated drug resistance and stemness was achieved by disrupting its downstream signaling pathway. Perhaps the most straightforward approach to disable  $\beta 3$ -mediated stemness may be through NF $\kappa$ B, since previous studies have established NF $\kappa$ B as a transcription factor for a range of genes associated with tumor epithelial-to-mesenchymal transition and drug resistance<sup>31, 55</sup>. We reasoned that inhibitors targeting the NF $\kappa$ B pathway, such as Bortezomib<sup>56</sup>, might be combined with RTK inhibition to reverse integrin  $\beta 3$ -mediated drug resistance. Not only did Bortezomib sensitize  $\alpha v\beta 3$ -expressing tumors to erlotinib, but combining these agents prevented acquired resistance to erlotinib (Fig. 7h) and eradicated the stem-like cells within the tumor. Repurposing Bortezomib, an already FDA-approved therapy for multiple myeloma, could represent a promising and feasible strategy to sensitize lung and pancreas carcinomas to the effects of erlotinib.

In this study, we define a non-canonical function for  $\alpha v\beta 3$  as a marker and driver of cancer stemness and drug resistance. Once expressed on the carcinoma cell surface,  $\alpha v\beta 3$  couples to Galectin-3 and KRAS promoting the recruitment and activation of RalB leading to the induction of TBK1/NF $\kappa$ B activity. Targeting this pathway genetically or pharmacologically was able to reverse cancer stemness and drug resistance (Fig. 8). These findings demonstrate how the expression of a single integrin can reprogram tumor cells toward a stem-like state that enhances tumor progression and therapy resistance.

## Supplementary Material

Refer to Web version on PubMed Central for supplementary material.

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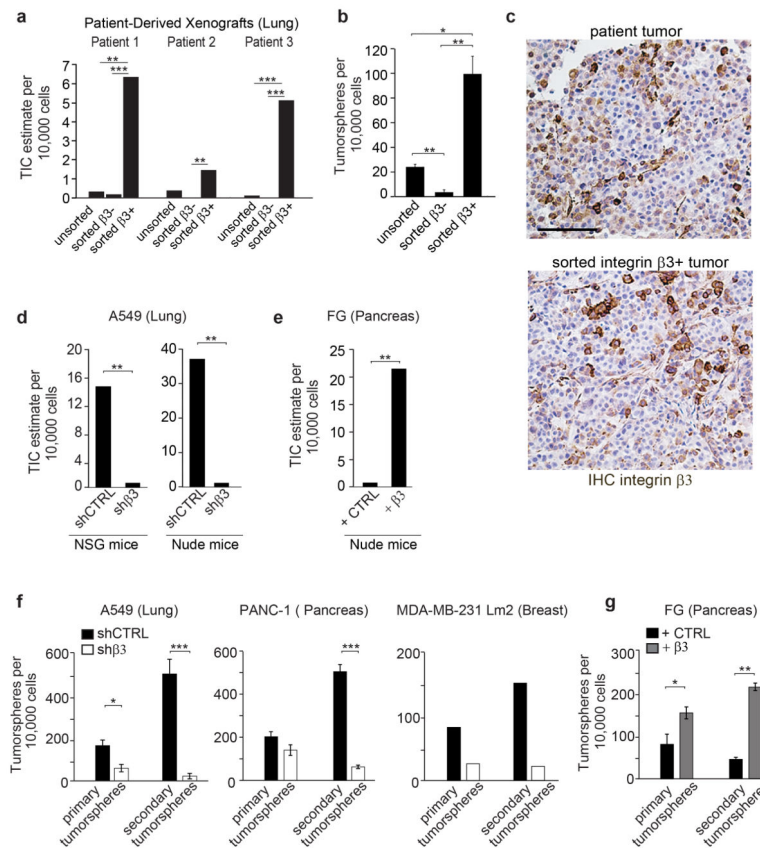


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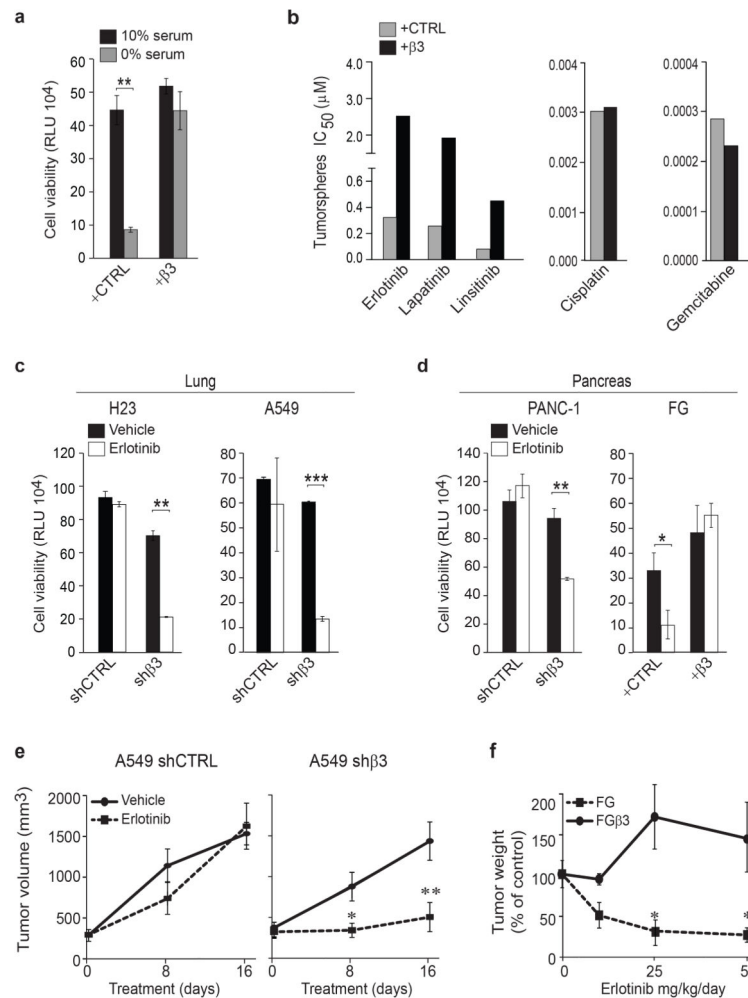
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### Figure 1. Integrin $\beta^3$ expression drives a tumor-initiating cell phenotype

**(a)** Frequency of tumor-initiating cells (TIC) in unsorted, integrin  $\beta^3^-$  and integrin  $\beta^3^+$  subpopulations of cells from 3 NSCLC patients-derived xenografts. Cells were tested for tumor initiation in NOD/SCID *Il2r $\gamma$ <sup>-/-</sup>* (NSG) mice. The n number of injection per condition for each patient are shown in Supplementary Figure 1a. The frequency of tumor-initiating cells per 10,000 cells was calculated using the ELDA extreme limiting dilution software. **(b)** Quantification of tumorspheres formed by the unsorted, the  $\beta^3^-$  and the  $\beta^3^+$  populations from 3 NSCLC patients. n=3 independent experiments (3 technical replicates per experiment); mean  $\pm$  SD. **(c)** Histological analysis of patient primary and  $\beta^3^+$  subpopulation tumors. Tumors were stained for Integrin  $\beta^3$ . Scale bar, 100  $\mu$ m. **(d)** Frequency of tumor-initiating cells for A549 cells expressing shCTRL or sh $\beta^3$  in NSG and nude mice. The n number of injection per condition for A549 shCTRL and A549 sh $\beta^3$  are shown in Supplementary Figure 1d. **(e)** Frequency of tumor-initiating cells for FG cells expressing control vector or integrin  $\beta^3$  (FG $\beta^3$ ) in nude mice. The n number of injection per condition for FG and FG $\beta^3$  are shown in Supplementary Figure 1e. **(f)** Self-renewal capacity of A549, PANC-1 and MDA-MB-231 Lm2 cells expressing shCTRL or sh $\beta^3$  measured by quantifying the number of primary and secondary tumorspheres. n= 3 independent experiments for PANC-1 and A549 (3 technical replicates per experiment). For MDA-MB-231 Lm2, n= 3 technical replicates of a representative experiment. mean  $\pm$  SD. **(g)** Self-renewal capacity of FG expressing control vector (+ CTRL) or integrin  $\beta^3$  (+ $\beta^3$ ), measured by quantifying the number of primary and secondary tumorspheres. n= 3 independent

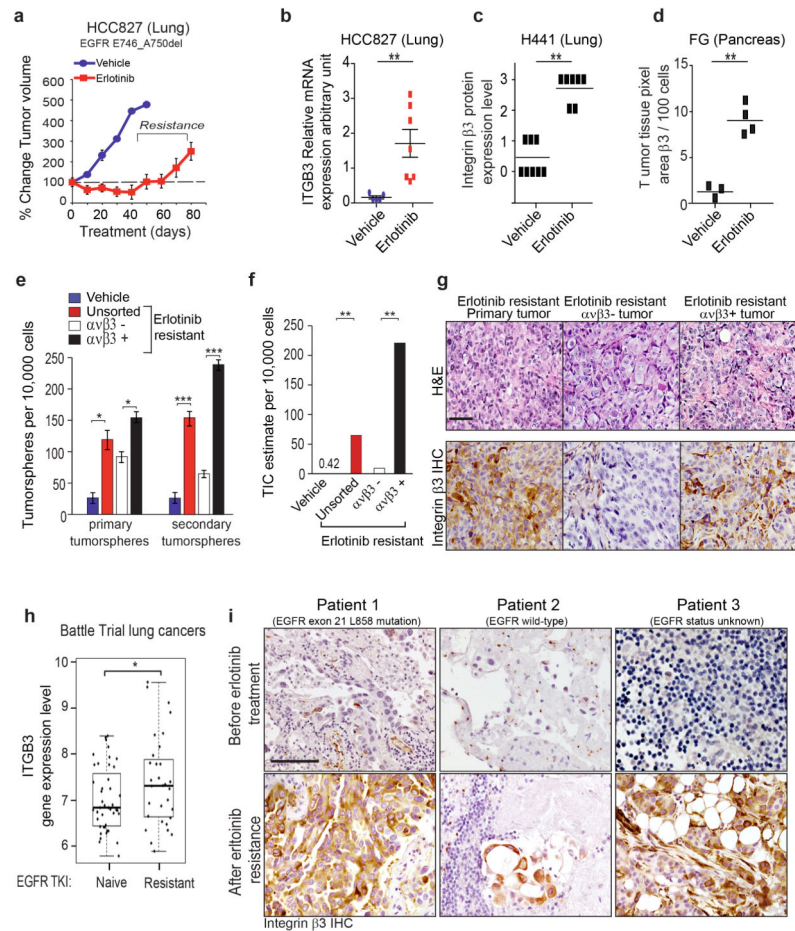
experiments (3 technical replicates per experiment); mean  $\pm$  SD. *P* value was estimated by Student's *t*-test in **b,f,g**;  $\chi^2$  test in **a,d,e**. \**P* < 0.05, \*\**P* < 0.01, \*\*\**P* < 0.001. Original data for **b,f,g** are provided in the Statistical Source data (Supplementary Table 3).



### Figure 2. Integrin $\beta 3$ drives RTK inhibitor resistance

(a) Effect of serum deprivation on FG and FG $\beta 3$  cells measured by CellTiterGLO cell viability assay. Cells were grown in 3D in media with media containing 10% serum or 0% serum. Data are expressed in relative Luciferase Units (RLU).  $n = 3$  independent experiments (2 technical replicates per experiment for CTRL and 3 technical replicates for + $\beta 3$ ); mean  $\pm$  SD. (b) Effect of integrin  $\beta 3$  expression (FG and FG $\beta 3$ ) on drug treatment response in pancreatic cancer cells. Cells in 3D culture were treated with a dose response of erlotinib, lapatinib, linsitinib, gemcitabine and cisplatin. IC<sub>50</sub> are calculated using Graph Pad prism software. Data are representative of 2 independent experiments. (c–d) Effect of erlotinib treatment on lung (c) and pancreatic (d) cells expressing or lacking integrin  $\beta 3$  measured by CellTiterGLO cell viability assay. Cells were grown in 3D in media with 1  $\mu$ M of erlotinib. Data are expressed in relative Luciferase Units (RLU).  $n = 3$  independent experiments (3 technical replicates per experiment); mean  $\pm$  SD. (e) Effect of integrin  $\beta 3$  knockdown on erlotinib resistance *in vivo*, A549 shCTRL and A549 sh $\beta 3$  ( $n = 8$  mice per treatment group) were treated with erlotinib (25 mg/kg/day) or vehicle during 16 days. Tumor volumes are expressed as mean  $\pm$  SEM. (f) Orthotopic FG and FG $\beta 3$  tumors ( $>1000$  mm<sup>3</sup>;  $n = 7$  mice for FG and  $n = 8$  mice FG $\beta 3$  vehicle and  $n = 5$  mice for each treatment group) were treated for 30

days with vehicle or erlotinib. Results are expressed as % tumor weight compared to vehicle control. mean  $\pm$  SEM. *P* value was estimated by Student's *t*-test in **a,c,d,e,f**. \**P* < 0.05, \*\**P* < 0.01, \*\*\**P* < 0.001. Original data for **a,b,c,d** are provided in the Statistical Source data (Supplementary Table 3).

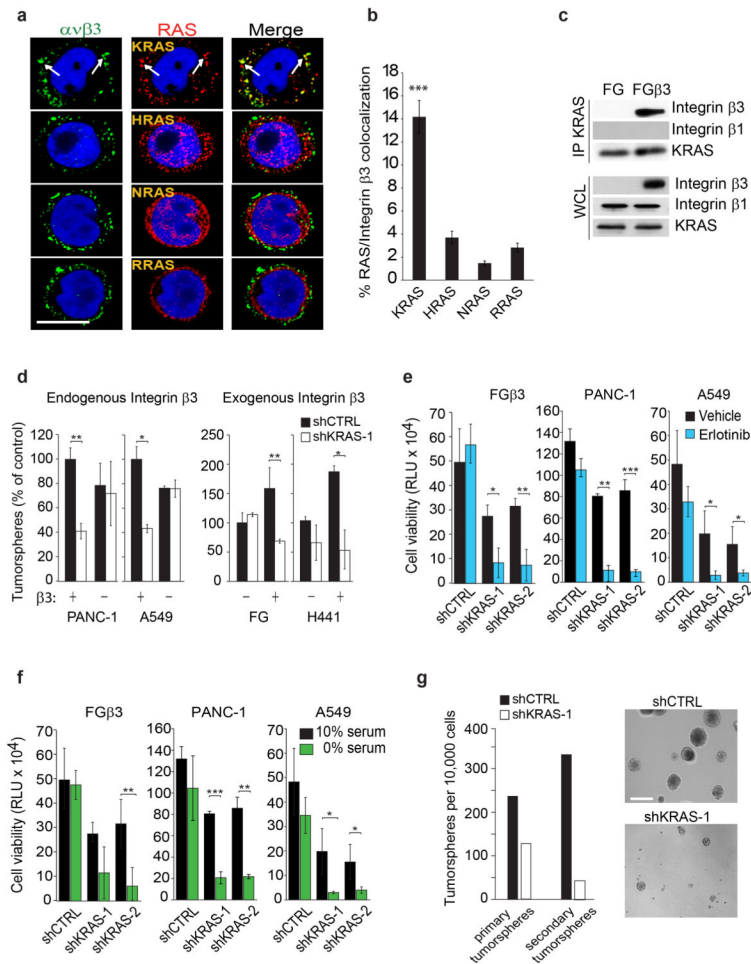


**Figure 3. Acquired resistance to EGFR inhibition selects for a  $\beta 3^+$  cell population with tumor-initiating cell properties**

(a) Effect of erlotinib treatment on HCC827 xenograft tumors. HCC827 cells were treated with vehicle control or erlotinib (25 mg/kg/day) until acquired resistance. Tumor dimensions are reported as the fold change relative to size of the same tumor on Day 1.  $n = 8$  mice per group. Data are mean  $\pm$  SEM. (b) Relative mRNA expression of integrin  $\beta 3$  (ITG $\beta 3$ ) in HCC827 vehicle-treated tumors ( $n = 5$  tumors) or erlotinib-treated tumors ( $n = 7$  tumors) from (a). Data are mean  $\pm$  SEM. (c) Quantification of Immunohistochemistry staining of integrin  $\beta 3$  in mouse orthotopic lung H441 tumors treated with vehicle ( $n = 8$  tumors) or erlotinib ( $n = 7$  tumors) was scored (scale 0 to 3). (d) Quantification of integrin  $\alpha v \beta 3$  expression in pancreatic human FG xenografts treated 4 weeks with vehicle ( $n = 3$  tumors) or erlotinib ( $n = 4$  tumors). Integrin  $\alpha v \beta 3$  expression was quantified as ratio of integrin  $\alpha v \beta 3$  pixel area over nuclei pixel area using Metamorph software. Data are mean. (e) Self-renewal capacity of HCC827 vehicle-treated (vehicle) and erlotinib-treated tumors (erlotinib resistant unsorted) from (a). The HCC827 erlotinib-treated tumors have been sorted in two groups: the integrin  $\beta 3^-$  and the integrin  $\beta 3^+$  population.  $n = 3$  independent experiments (3 technical replicates per experiment); mean  $\pm$  SD. (f) Frequency of tumor-initiating cells for HCC827 vehicle-treated (vehicle), erlotinib-treated (erlotinib resistant unsorted), erlotinib-treated  $\beta 3^-$  population and erlotinib-treated  $\beta 3^+$  population. The  $n$  number of injection per condition for



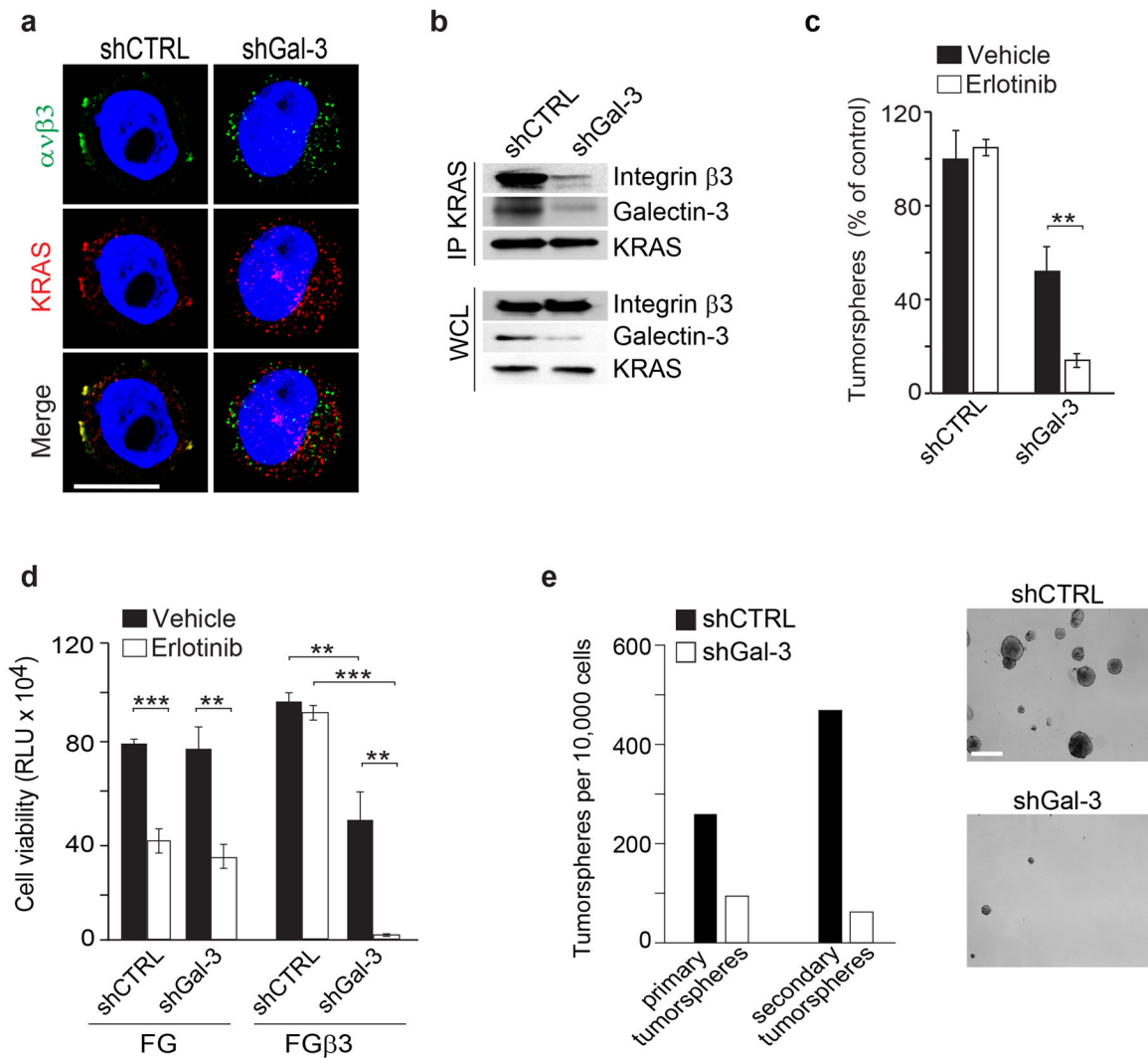
FG and FGβ3 are shown in Supplementary Figure 3e **(g)** Histological analysis of primary HCC827 erlotinib resistant xenografts and Integrin β3<sup>+</sup> and Integrin β3<sup>-</sup> subpopulations. Tumors were stained for H&E and Integrin β3. Scale bar, 50 μm. **(h)** Box plot comparing integrin β3 (ITGβ3) gene expression in human lung cancer biopsies from patients from the BATTLE Study<sup>24</sup> who were previously treated with an EGFR TKI and progressed (n=31 patients), versus patients who were EGFR TKI naïve (n=43 patients). The box shows the median and the interquartile range. The whiskers show the minimum and maximum. **(i)** Immunohistochemical analysis of integrin β3 expression in paired human primary lung cancer biopsies obtained before and after erlotinib resistance. Scale bar, 100 μm. *P* value was estimated by Student's *t*-test in **b,c,d,e,h**;  $\chi^2$  test in **f**. \**P* < 0.05, \*\**P* < 0.01. \*\*\**P* < 0.001. Original data for **d,e** are provided in the Statistical Source data (Supplementary Table 3).



**Figure 4. Integrin  $\beta$ 3/KRAS complex is critical for integrin  $\beta$ 3-mediated tumor-initiating phenotype and EGFR inhibitor resistance**

**(a)** Confocal microscopy images of FG $\beta$ 3 cells grown in 3D and stained for integrin  $\alpha$ v $\beta$ 3 (green) and RAS family members (red). Scale bar, 10  $\mu$ m. Data are representative of three independent experiments. **(b)** Quantified percentage of cells with colocalization of Integrin  $\beta$ 3 and RAS in (a). Data shown represent mean  $\pm$  SEM.  $n = 11$  fields for KRAS and RRAS and 10 fields for HRAS and NRAS. **(c)** Immunoblot analysis of KRAS immunoprecipitates from FG and FG $\beta$ 3 cells. Data are representative of three independent experiments. **(d)** Effect of KRAS knockdown on tumorspheres formation in lung and pancreatic cancer cells expressing or lacking integrin  $\beta$ 3.  $n = 3$  independent experiments (3 technical replicates per experiment); mean  $\pm$  SD. **(e)** Effect of KRAS knockdown on  $\beta$ 3-mediated erlotinib resistance measured by CellTiterGLO cell viability assay for FG $\beta$ 3, PANC-1 and A549. Cells were grown in 3D in media and treated with 1  $\mu$ M of erlotinib. Data are expressed in relative Luciferase Units (RLU).  $n = 3$  independent experiments (2 technical replicates per experiment); mean  $\pm$  SD. **(f)** Effect of KRAS knockdown on  $\beta$ 3-mediated survival under serum deprivation measured by CellTiterGLO cell viability assay for FG $\beta$ 3, PANC-1 and A549. Cells were grown in 3D in media containing 10% or 0% serum. Data are expressed in relative Luciferase Units (RLU).  $n = 3$  independent experiments (2 technical replicates per

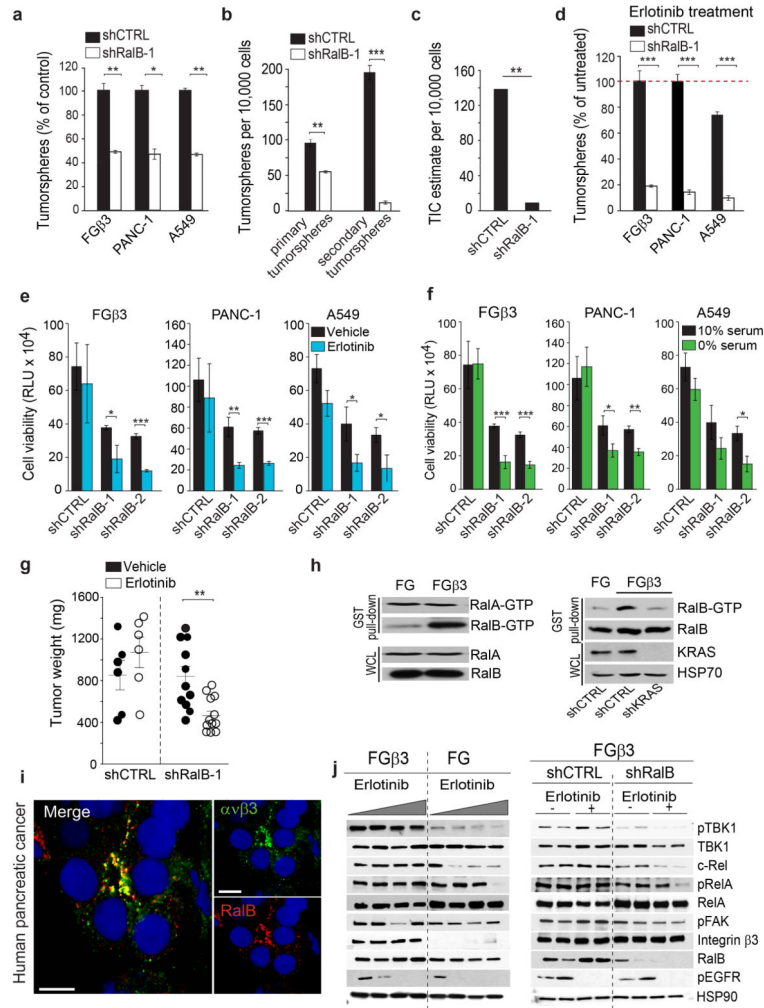
experiment); mean  $\pm$  SD. **(g)** Self-renewal capacity of FG $\beta$ 3 cells expressing non-target shRNA control (shCTRL) or KRAS-specific shRNA (shKRAS) measured by quantifying the number of primary and secondary tumorspheres. n= 3 wells per group. mean  $\pm$  SD. Data are representative of 2 independent experiments. Phase contrast images of self-renewal tumorspheres of FG $\beta$ 3 cells expressing non-silencing shRNA CTRL or specific KRAS shRNA. Scale bar, 100  $\mu$ m. *P* value was estimated by Student's *t*-test in **b,d,e,f,g**. \**P* < 0.05, \*\**P* < 0.01, \*\*\**P* < 0.001. Uncropped western-blots are provided in Supplementary Figure 7 and original data for **b,d,e,f,g** are provided in the Statistical Source data (Supplementary Table 3).



### Figure 5. Galectin-3 is essential for Integrin $\beta 3$ /KRAS complex

(a) Confocal microscopy images show immunostaining for integrin  $\beta 3$  (green), KRAS (red) and DNA (TOPRO-3, blue) for PANC-1 cells expressing non-target shRNA control (shCTRL) or Galectin-3-specific shRNA (shGal-3) grown in 3D. Scale bar, 10  $\mu$ m. Data are representative of three independent experiments. (b) Immunoblot analysis of KRAS immunoprecipitates from PANC-1 cells expressing non-target shRNA control (shCTRL) or Galectin-3-specific shRNA (shGal-3). Data are representative of 3 independent experiments. (c) Effect Galectin-3 knockdown on  $\beta 3$ -mediated erlotinib resistance in FG $\beta 3$  cells.  $n = 3$  independent experiments (3 technical replicates per experiment); mean  $\pm$  SD. (d) Effect of Galectin-3 knockdown on erlotinib response measured by CellTiterGLO cell viability assay for FG, and FG $\beta 3$ . Data are expressed in relative Luciferase Units (RLU). Cells were grown in 3D and treated with 1  $\mu$ M of erlotinib.  $n = 3$  independent experiments (2 technical replicates per experiment); mean  $\pm$  SD. (e) Self-renewal capacity of PANC-1 cells expressing non-target shRNA control (shCTRL) or Galectin-3-specific shRNA (shGal-3) measured by quantifying the number of primary and secondary tumorspheres.  $n = 3$  wells per group; mean

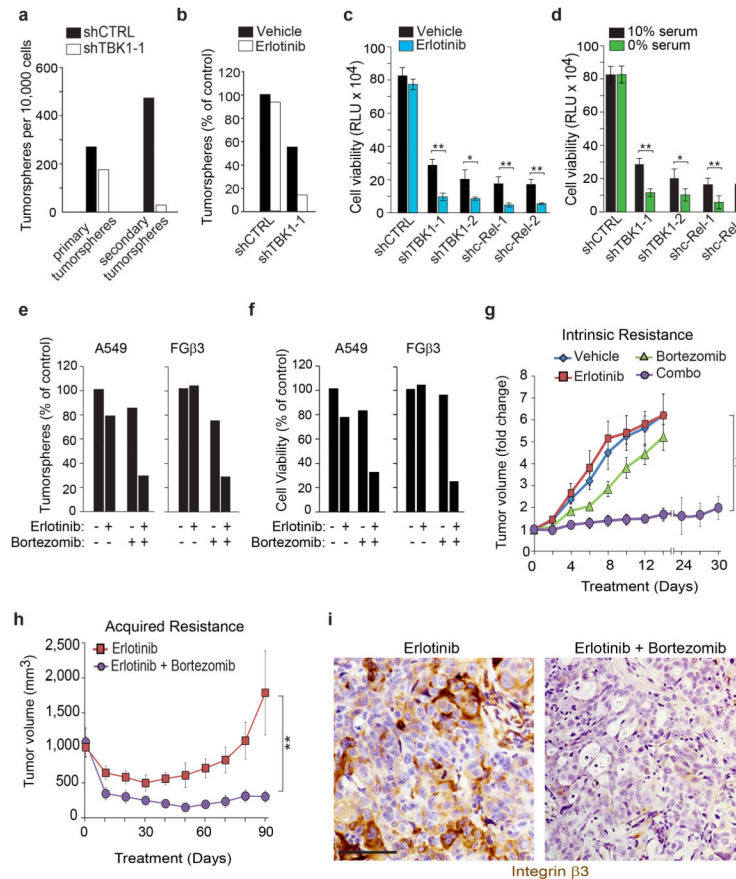
± SD. Data are representative of 2 independent experiments. Phase contrast images of self-renewal tumorspheres of PANC-1 cells expressing non-silencing shRNA CTRL or Galectin-3 specific shRNA. Scale bar, 100  $\mu$ m. *P* value was estimated by Student's *t*-test in **c,d,e**. \**P* < 0.05, \*\**P* < 0.01, \*\*\**P* < 0.001. Uncropped western-blot images are provided in Supplementary Figure 7 and original data for **c,d,e** are provided in the Statistical Source data (Supplementary Table 3).



**Figure 6. RalB is a key modulator of integrin  $\beta$ 3-mediated tumor-initiating phenotype and EGFR inhibitor resistance**

**(a)** Effect of RalB knockdown on  $\beta$ 3-mediated anchorage independence.  $n=3$  independent experiments (3 technical replicates per experiment); mean  $\pm$  SD. **(b)** Self-renewal capacity of FG $\beta$ 3 expressing shCTRL or shRalB measured by quantifying the number of primary and secondary tumorspheres.  $n=3$  independent experiments (3 technical replicates per experiment); mean  $\pm$  SD. **(c)** Limiting dilution *in vivo* determining the frequency of tumor-initiating cells for FG $\beta$ 3shCTRL and FG $\beta$ 3 shRalB. The  $n$  number of injection per condition for FG and FG $\beta$ 3 are shown in Supplementary Figure 5c. **(d)** Effect of RalB knockdown on  $\beta$ 3-mediated erlotinib resistance measured by tumorspheres formation.  $n=3$  independent experiments (3 technical replicates/experiment); mean  $\pm$  SD. **(e–f)** Effect of RalB knockdown on  $\beta$ 3-mediated erlotinib (e) and nutrient deprivation (f) resistance measured by CellTiterGLO cell viability assay.  $n=3$  independent experiments (2 technical replicates per experiment); mean  $\pm$  SD. **(g)** Effect of RalB knockdown on  $\beta$ 3-mediated erlotinib resistance of human pancreatic (FG $\beta$ 3) orthotopic tumor xenografts. Established tumors expressing shCTRL or shRalB ( $>1000 \text{ mm}^3$ ) were randomized and treated for 10 days with vehicle or erlotinib (50 mg/kg/day). Results are expressed as tumor weight  $\pm$  SEM.  $n=6$  mice for FG $\beta$ 3

shCTRL vehicle and erlotinib treated groups and n=11 mice for FGβ3 shRalB vehicle treated, and n=13 mice for FGβ3 shRalB erlotinib treated groups. **(h)** Left, RalA and RalB activities were determined in cells grown in suspension using a GST-RalBP1-RBD immunoprecipitation assay. Data are representative of three independent experiments. Right, Effect of KRAS knockdown on RalB activity. Data are representative of three independent experiments. **(i)** Confocal microscopy images of integrin αvβ3 (green), RalB (red) and DNA (TOPRO-3, blue) in tumor biopsies from pancreatic cancer patients. Scale bar, 10 μm. **(j)** Left, Immunoblots showing expression of indicated proteins in representative FG and FGβ3 tumor xenografts from Figure 2f analyzed at treatment day 30. Right, Immunoblots showing expression of indicated proteins in representative FGβ3 shCTRL and FGβ3 shRalB tumor xenografts from (i) analyzed at treatment day 10. *P* value was estimated by Student's *t*-test in **a,b,d,e,f,g**;  $\chi^2$  test in **c**. \**P* < 0.05, \*\**P* < 0.01, \*\*\**P* < 0.001. Uncropped western-blot images are provided in Supplementary Figure 7 and original data for **a,b,d,e,f**, are provided in the Statistical Source data (Supplementary Table 3).

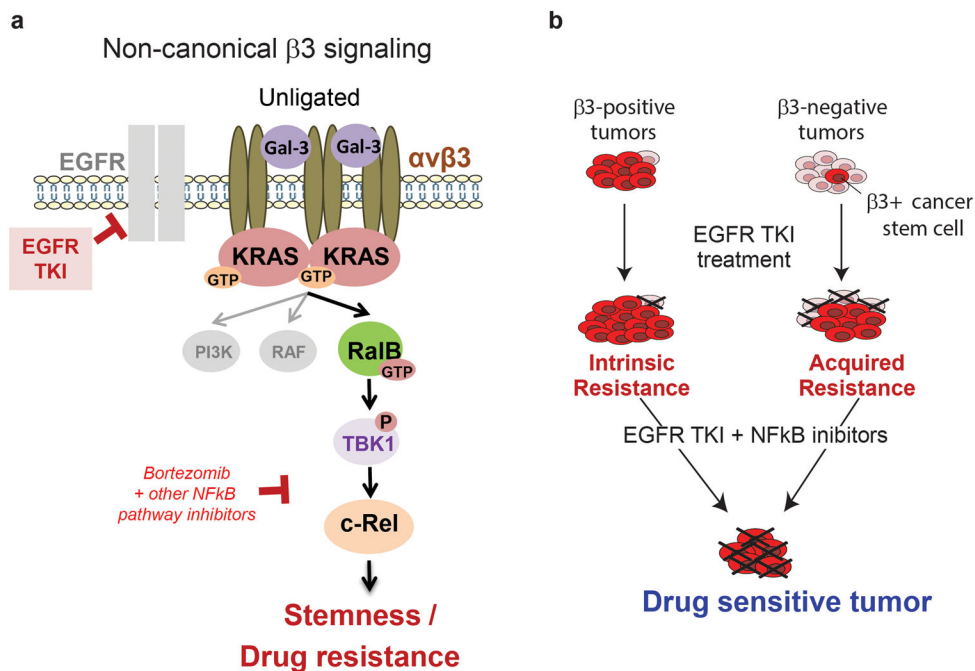


### Figure 7. TBK1 and c-Rel inhibition overcome $\beta$ 3-mediated stemness and EGFR inhibitor resistance

**(a)** Effect of TBK1 knockdown on PANC-1 self-renewal capacity.  $n=3$  wells per group; mean  $\pm$  SD. Data are representative of 2 independent experiments. **(b)** Effect of TBK1 knockdown on erlotinib resistance in FG $\beta$ 3 measured by tumorspheres formation.  $n=3$  wells per group; mean  $\pm$  SD. Data are representative of 2 independent experiments. **(c–d)** Effect of TBK1 and c-Rel knockdown on **(c)**  $\beta$ 3-mediated erlotinib resistance and **(d)**  $\beta$ 3-mediated serum deprivation survival measured by CellTiterGLO cell viability assay for FG $\beta$ 3 cells. Cells were grown in 3D in and treated with 1  $\mu$ M of erlotinib **(c)** or with 0% serum **(d)**. Data are expressed in relative Luciferase Units (RLU).  $n=3$  independent experiments (2 technical replicates/experiment); mean  $\pm$  SD. **(e–f)** Effect of bortezomib treatment on erlotinib resistance measured by **(e)** tumorspheres formation and **(f)** CellTiterGLO cell viability assay for FG $\beta$ 3 cells. Cells were grown in 3D in and treated with 0.5/1  $\mu$ M of erlotinib and 4/20 nM of bortezomib. Data are expressed in relative Luciferase Units (RLU).  $n=3$  wells per group; mean  $\pm$  SD Data are representative of 2 independent experiments. **(g)** Mice bearing subcutaneous  $\beta$ 3-positive tumors (FG $\beta$ 3) were treated with vehicle, erlotinib (25 mg/kg/day) or bortezomib (0.25 mg/kg) alone or in combination. Tumor dimensions are reported as the fold change relative to size of the same tumor on Day 1. mean  $\pm$  SEM.  $n=8$  mice for vehicle treated, bortezomib treated and erlotinib treated group and  $n=13$  mice for combo treated group. **(h)** Mice bearing subcutaneous  $\beta$ 3-negative HCC827 tumors were treated with erlotinib (25 mg/kg/day) or erlotinib (25mg/kg/day) and bortezomib (0.25 mg/kg) until



erlotinib resistance. Tumor volumes are reported. Mean  $\pm$  SEM. n= 10 mice per group. **(i)** Immunohistochemical analysis of integrin  $\beta$ 3 expression in HCC827 tumors treated 90 days with erlotinib or erlotinib and bortezomib from (h). Scale bar, 50  $\mu$ m. *P* value was estimated by Student's *t*-test in **a,b,c,d,e,f**; *one way ANOVA* test in **g,h**. \**P* < 0.05, \*\**P* < 0.01. The original data for **a,b,c,d,e,f** are provided in the Statistical Source data (Supplementary Table 3).



**Figure 8. Model Depicting the Proposed Integrin  $\alpha v\beta 3$ -mediated EGFR TKI resistance mechanism**

(a–b) During EGFR TKI treatment, a  $\beta 3$ -positive cancer stem cell population is selected. Integrin  $\beta 3$  interacts with KRAS via Galectin-3 to promote RalB activation. RalB subsequently activates TBK1 resulting in the activation of the NF $\kappa$ B pathway and thereby promoting cell survival. Importantly, ligation of integrin is not required for this signaling cascade. As demonstrated the inhibition of this non-canonical pathway sensitizes  $\beta 3$ -positive tumor cells to EGFR TKI. Targeting this pathway genetically or pharmacologically was able to reverse cancer stemness and drug resistance.