Initial cognitive decline is associated with cortical thinning in early Parkinson disease

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ABSTRACT

Objectives: Our aim was to assess cortical thickness in a large multicenter cohort of drug-naive patients with early Parkinson disease (PD), with and without mild cognitive impairment (MCI), and explore the cognitive correlates of regional cortical thinning.

Methods: One hundred twenty-three newly diagnosed patients with PD and 56 healthy controls with 3-tesla structural MRI scans and complete neuropsychological assessment from the Parkinson's Progression Markers Initiative were included. Modified Movement Disorders Society Task Force level II criteria were applied to diagnose MCI in PD. FreeSurfer image processing and analysis software was used to measure cortical thickness across groups and the association with cognitive domains and tests.

Results: In patients with MCI, atrophy was found in temporal, parietal, frontal, and occipital areas compared with controls. Specific regional thinning in the right inferior temporal cortex was also found in cognitively normal patients. Memory, executive, and visuospatial performance was associated with temporoparietal and superior frontal thinning, suggesting a relationship between cognitive impairment and both anterior and posterior cortical atrophy in the whole patient sample.

Conclusions: These findings confirm that MCI is associated with widespread cortical atrophy. In addition, they suggest that regional cortical thinning is already present at the time of diagnosis in patients with early, untreated PD who do not meet the criteria for MCI. Together, the results indicate that cortical thinning can serve as a marker for initial cognitive decline in early PD. Neurology® 2014;82:2017-2025

GLOSSARY

 $CN =$ cognitively normal; $LNS =$ Letter Number Sequencing; $MCI =$ mild cognitive impairment; $MDS =$ Movement Disorders Society; MoCA = Montreal Cognitive Assessment; PD = Parkinson disease; PPMI = Parkinson's Progression Markers Initiative; **SDMT** = Symbol Digit Modalities Test; **UPDRS** = Unified Parkinson's Disease Rating Scale.

The pattern of initial cognitive impairment is gaining increased clinical significance in Parkinson disease (PD) .¹ There is preliminary evidence that deficits in specific cognitive domains pose a differential risk of developing dementia.2 According to this hypothesis, while patients with early frontal executive deficits remain stable over time, patients with early posterior cortical deficits seem to have a higher risk of developing subsequent dementia. In addition, specific cognitive domains may not only have different regional underpinnings but also differ in their pathologic substrates, with frontal deficits being related to variations in dopaminergic activity in frontal regions whereas posterior cognitive deficits are nondopaminergic and associated with structural changes in temporoparietal areas.^{3,4}

Understanding the brain mechanisms underlying early mild cognitive impairment (MCI) in PD is important because it could help in identifying a target group for treatment.⁵ In this study, we explored the association between cortical thickness and cognition in patients with newly diagnosed, untreated PD. We hypothesized that (1) patients with PD-MCI would have more cortical atrophy compared to patients with normal cognition (PD-CN); (2) cognitive domains

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subserved by the temporoparietal cortex would be associated with cortical thinning in these regions, whereas executive and attentional impairment would be associated with frontal thinning or no structural changes; and (3) semantic fluency and verbal encoding would correlate with posterior cortical thinning, consistent with research showing that semantic fluency deficits predict dementia^{3,4} and memory deficits are due to an encoding rather than retrieval impairment in early PD.6

METHODS Participants. All subjects included in this study were enrolled in the Parkinson's Progression Markers Initiative (PPMI) (2011)⁷ (accessed in March 2013), an observational, multicenter study designed to identify PD progression biomarkers. Only subjects with an MRI volume acquired on a 3-tesla Siemens scanner with similar acquisition parameters, which passed quality control before and after image preprocessing, were included in this study.8

At baseline, patients were required to meet standard diagnostic criteria for PD, have been diagnosed within 2 years, and to be untreated for PD. In addition, all subjects underwent dopamine transporter imaging, and a significant dopamine transporter deficit was required for neurobiological confirmation of a PD diagnosis. Inclusion criteria for healthy controls consisted of not having neurologic dysfunction, no first-degree family member with PD, and a Montreal Cognitive Assessment (MoCA) score >26. Psychiatric assessment included the 15-item Geriatric Depression Scale.9 Motor severity assessed by part III of the Movement Disorders Society (MDS) Unified Parkinson's Disease Rating Scale (UPDRS),¹⁰ disease stage by Hoehn and Yahr scale $(1967)^{11}$ and disability by Schwab and England scale¹² were obtained for patients.

Standard protocol approvals, registrations, and patient consents. Each participating PPMI site (1) received approval from an ethical standards committee on human experimentation before study initiation, and (2) obtained written informed consent for research from all individuals participating in the study.

Neuropsychological assessment. All subjects underwent a comprehensive neuropsychological battery. Visuospatial functions were evaluated using the 15-item version of the Benton Judgment of Line Orientation test and the clock and cube items of the MoCA. Verbal memory was assessed using the Hopkins Verbal Learning Test–Revised. Total immediate recall (encoding) and delayed recall (retrieval) scores were included in this study. Executive functions were evaluated using 3 semantic fluency tests (names of animals, fruits, and vegetables), the MoCA phonemic fluency subtest, and the MoCA trail making item. Attention was assessed by means of the Letter Number Sequencing (LNS) test, the Symbol Digit Modalities Test (SDMT), the MoCA backward digit span item, the MoCA vigilance item, and the MoCA serial 7s item. Because of the lack of specific language tests in the PPMI assessments, language abilities were evaluated using the items of MoCA including sentence repetition, abstraction, and naming. For cognitive tests yielding continuous scores, the individual raw scores of patients with PD were transformed to z scores using the means and SDs of the control group.

Diagnosis of MCI. The classification of MCI was performed by an approximation to the guidelines of the MDS Task Force for

the level II diagnosis of PD-MCI.¹³ Because the PPMI study was launched before the publication of these guidelines and does not include at least 2 comprehensive neuropsychological tests for all 5 domains or a validated measure to address subjective cognitive impairment, some adjustments had to be made. In line with this, the following MoCA items were included for assessment of the cognitive domains: backward digit span, vigilance and serial 7s (attention and working memory), phonemic fluency and trail making (executive), sentence repetition, abstraction and naming (language), clock, and cube (visuospatial). Patients were classified as having MCI if they showed impairment in 2 or more tests or items within the same cognitive domain or in 2 or more domains. Impairment was defined as a score below 2.0 SDs for the individual continuous tests, or a score below the maximum for the ordinal and categorical items, based on previous recommendations made by the MDS Task Force criteria for PD dementia.¹⁴

To enable correlation analyses between cognition and cortical thickness as well as comparisons with previous literature, we included a second approach to diagnose PD-MCI. This approach consisted of generating cognitive domain scores by calculating the average of the z scores of continuous tests pertaining to the same domain, as previously described.^{15,16} Two cognitive domains were built using the continuous cognitive tests: memory (z) scores of immediate and delayed recall tests) and executive/attention (z scores of semantic fluency, phonemic fluency, SDMT, and LNS test). A third visuospatial domain was based solely on the Judgment of Line Orientation test. Impairment in these cognitive domains was established if patients scored below 2.0 SD compared with the means of the control group. We used the modified MDS criteria13 as our primary classification (PD-MCI-MDS), but we also performed the same analyses using the second domain-based approach (PD-MCI-Domains).

MRI acquisition. T1-weighted MRI scans were acquired in the sagittal plane on 3T Siemens (TIM Trio and Verio) scanners (Erlangen, Germany) using a magnetization-prepared rapidacquisition gradient echo sequence. The MRI parameters were as follows: repetition time $= 2,300/1,900$ milliseconds; echo $time = 2.98/2.96/2.27/2.48/2.52$ milliseconds; inversion time = 900 milliseconds; flip angle: 9°; 256 \times 256 matrix; and $1 \times 1 \times 1$ mm³ isotropic voxel.

MRI preprocessing. The FreeSurfer image processing (version 5.3, [http://surfer.nmr.mgh.harvard.edu/fswiki\)](http://surfer.nmr.mgh.harvard.edu/fswiki) was used to generate a cortical surface model providing a measure of cortical thickness at each vertex,¹⁷⁻²⁰ as published elsewhere.²¹ The cortical maps were smoothed using a 15-mm full width at half maximum kernel.

Statistical analyses. Differences between groups in sociodemographic and neuropsychological variables were analyzed using Mann-Whitney U tests for non-normally distributed data (as indicated by the Kolmogorov-Smirnov test), Student t test for normally distributed data, and χ^2 for categorical data in SPSS 20.0 (IBM Corp., Armonk, NY).

Differences in cortical thickness between controls, PD-CN, and PD-MCI were examined on the cortical maps using FreeSurfer. Age, sex, education, MRI software version, and scanner were included as covariates. For the PD-CN and PD-MCI group comparisons, UPDRS III score was included as an additional covariate.

Correlation analyses between cortical surface maps and cognition were performed in the whole patient sample, adjusting for age, sex, education, scanner/software versions, and UPDRS scores. First, we performed correlations between cortical thickness and the z scores of the 3 cognitive domains. In addition, because some specific information might be lost by combining tests into domains, we also correlated cortical thickness with z scores of the individual continuous cognitive tests. In all imaging analyses, cluster-wise correction using Monte Carlo simulation with 10,000 iterations (vertex-wise threshold of $p < 0.05$) was applied. The plots of significant correlations between thickness and cognition were created using Spearman p.

RESULTS Frequency and profile of MCI. The characteristics of the sample can be found in table 1. Using the modified MDS Task Force definition, 33 patients with PD (28.5% [95% confidence interval 20.7%– 37.7%]) were classified as having MCI. The comparisons between groups showed that patients with PD-MCI were significantly older than controls (table 1). MoCA scores differed between controls and patients with PD-MCI according to both classifications and between PD-CN and PD-MCI based on the PD-MCI-MDS classification. Only 18 patients (15.5% [95% confidence interval 9.7%–23.7%]) were classified with MCI based on the PD-MCI-Domain approach. Both classification methods coincided in the classification of 16 patients (88.9%) with MCI. Following the PD-MCI-MDS criteria, memory and executive impairment were the most common deficits in patients with PD-MCI (33.3% each), followed by visuospatial (27.3%) and attention (21.2%) impairment. There were no significant language deficits. Further results can be found in appendix e-1 on the Neurology® Web site at [Neurology.org.](http://www.neurology.org/)

Cortical thickness in PD with and without MCI. Based on the PD-MCI-MDS classification, cortical thinning in the right inferior temporal gyrus was found in PD-CN patients compared with controls, while the left hemisphere did not show significant thinning, suggesting an asymmetric pattern of atrophy (figure 1,

Abbreviations: ADL = activities of daily living; CN = cognitively normal; GDS = Geriatric Depression Scale; HVLT = Hopkins Verbal Learning Test; MCl = mild cognitive impairment; MDS = Movement Disorders Society; MoCA = Montreal Cognitive Assessment; PD = Parkinson disease; UPDRS = Unified Parkinson's Disease Rating Scale.

Comparisons between groups were performed using χ^2 , Mann-Whitney U, or Student t test.

^a Significant differences ($p < 0.05$) between controls and patients with PD-MCI according to MDS criteria.

 b Significant differences ($p < 0.05$) between controls and patients with PD-MCI according to the cognitive domains criteria.

 c Significant differences ($p < 0.05$) between PD-CN and PD-MCI patients according to the cognitive domains criteria.

 d Significant differences ($p < 0.05$) between PD-CN and PD-MCI patients according to MDS criteria.

 e Significant differences ($p < 0.05$) between controls and all patients.

 $^{\mathsf{f}}$ Significant differences (p $<$ 0.05) between controls and PD-CN patients according to MDS criteria.

^g Significant differences ($p < 0.05$) between controls and PD-CN patients according to the cognitive domains criteria.

Neurology 82 June 3, 2014 2019

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B. PD-MCI-Domains criteria

Vertex-wise comparisons of cortical thickness between (a) controls (CTR) and cognitively normal patients with Parkinson disease (PD-CN), (b) controls and patients with PD and mild cognitive impairment (PD-MCI), and (c) PD-CN and PD-MCI, following the modified PD-MCI-MDS criteria (A) and the PD-MCI-Domains criteria (B). The color scale bar shows the logarithmic scale of p values ($-log_{10}$). Lh = left hemisphere; MDS = Movement Disorders Society; Rh = right hemisphere.

table 2). To further explore this asymmetry, we performed a secondary correlation analysis between cortical thickness in the region that showed significant group differences and neuropsychological performance in the PD-CN group. The results of this analysis showed a significant correlation between that region's thickness values and verbal learning scores ($r = 0.25$, $p < 0.019$).

In patients with PD-MCI, atrophy was present in the right inferior temporal region as seen in the PD-CN group, but, in addition, regional thinning was more prominent in the left than the right side, compared with controls. Moreover, in patients with PD-MCI, changes were also observed in the left superior parietal cortex, precuneus, lateral occipital, temporal, anterior cingulate, and superior frontal gyri compared with controls (figure 1, table 2). The comparison between PD-CN and PD-MCI patient groups showed regional thinning in the left precuneus in patients with PD-MCI (figure 1, table 2).

When MCI was defined according to the PD-MCI-Domains strategy, similar findings emerged in the group comparisons. However, according to this classification approach, no significant cortical thinning was identified in PD-CN patients compared with controls. In patients with PD-MCI, there was Table 2 Significant cortical thickness differences across groups following the PD-MCI-MDS criteria

Abbreviations: $CN =$ cognitively normal; CTR = control; MCI = mild cognitive impairment; MDS = Movement Disorders Society; $PD =$ Parkinson disease.

The cortical area, cluster-wise p value, and Talairach coordinates correspond to the most significant vertex within each cluster.

a bilateral pattern of thinning involving temporal, superior parietal, and precentral areas, as well as the precuneus and occipital area in the right medial surface, compared with controls. The comparison between the PD patient groups showed more widespread changes involving temporal, precentral, and superior parietal regions in PD-MCI (figure 1, table e-1).

We did not find any regions showing increased cortical thickness in patients with PD with or without MCI compared to controls.

Associations between regional cortical thickness and cognition. The visuospatial domain was associated with superior parietal thickness bilaterally, which in the right hemisphere extended to the right superior frontal gyrus and precuneus, while adjusting for potentially confounding covariates. Visuospatial scores also correlated with temporal and precentral thickness in the left hemisphere ($\rho = 0.422$, $p <$ 0.001). The executive-attention domain scores correlated with cortical thickness in several areas, including the superior frontal, precentral, temporal, and parietal regions ($\rho = 0.511$, $p < 0.001$) (figure 2, table e-2). Finally, scores in the memory domain did not correlate with thickness in any cortical area.

Regarding the memory subtests, in contrast to the cognitive memory domain, higher performance in verbal encoding was associated with increasing thickness in middle, superior, and inferior temporal areas in both hemispheres ($\rho = 0.457$, $p < 0.001$) (figure 3, table e-2). In contrast, delayed recall scores (retrieval) did not show any significant correlations with cortical

The color scale bar shows the logarithmic scale of p values $(-\log_{10})$. Lh = left hemisphere; Rh = right hemisphere.

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Vertex-wise correlations between cortical thickness and performance on tests of memory encoding of Hopkins Verbal Learning Test–revised (A), semantic fluency (B), and letter and number sequencing (C) in patients with Parkinson disease. The color scale bar shows the logarithmic scale of p values $(-\log_{10})$. Lh = left hemisphere; Rh = right hemisphere.

 $-5.00 - 2.50 0.00 2.50 5.00$

Rh

thickness. We observed a dissociation in the neuroanatomical correlates of the 2 verbal fluency tests. Higher scores in semantic fluency were related to increased thickness in the superior temporal, supramarginal, occipital, medial parietal, and frontal regions ($\rho =$ 0.388, $p < 0.001$) (figure 3, table e-2). By contrast, phonemic fluency performance was not associated with thickness in any area. The LNS test scores correlated with thickness in a restricted area comprising the postcentral, precentral, and superior parietal gyri (ρ = 0.367, $p < 0.001$). The plots of all significant correlations are shown in figure e-1. There were no significant correlations between thickness and the SDMT scores, UPDRS motor scores, or disease duration in patients with PD or controls.

DISCUSSION The current study is the largest to date to assess the relationship between cognition and cortical thickness using 3T MRI in an early, drug-naive, multicenter PD cohort. We found that MCI in PD was associated with temporal, parietal, and frontal cortical thinning. Contrary to previous assumptions that atrophy does not accompany PD per se or in the absence of cognitive impairment,^{22,23} temporal thinning was also observed in patients with PD who did not meet criteria for MCI. Memory, visuospatial, and executive cognitive tests were mainly related to temporoparietal and superior frontal thinning, suggesting a relationship between cognitive impairment and both anterior and posterior cortical atrophy in PD.

Lh

The nature of cognitive impairment in early PD is still a matter of debate. Recent evidence suggests that both Lewy²⁴ and Alzheimer disease pathologies^{25,26} could have an important role in the cortical changes underlying the development of cognitive decline, which could serve as a preclinical biomarker of dementia.27 However, it remains unclear how cortical thinning is related to the earliest cognitive changes in PD. In addition, dopaminergic treatment of early PD often ameliorates some cognitive functions at the expense of compromising others,¹ masking the true effects of PD on cognition and its relationship with brain structure.

The discrimination of PD-CN from PD-MCI patients has shown promise in detecting the underlying cortical changes that precede cognitive impairment. While some studies have identified extensive gray matter volume loss in temporoparietal and prefrontal areas in PD-MCI compared with controls,²⁸ others detected small density reductions in the precuneus, primary motor, parietal cortex,²⁹ in addition to occipital areas, 30 or cortical thinning in focal frontal regions.³¹ However, the small sample sizes, lack of consistent criteria for defining MCI, and the use of different measures of atrophy are among the parameters that could account for inconsistent findings among previous studies. In a recent study using rigorous methodology and the MDS criteria for diagnosing MCI,²³ atrophy in the precentral and postcentral gyri, precuneus, frontal gyri, and temporal regions was found in patients with PD-MCI compared with controls, in line with our findings. However, in contrast to our study, no significant cortical changes were found in patients with PD that did not meet the criteria for MCI. Several reasons may account for this inconsistency. For instance, our study included a larger and more homogeneous sample of patients with PD, which might be more representative of earlier disease stages. The fact that all patients in our study had neurobiological confirmation of PD diagnosis and none were receiving dopaminergic treatment likely influenced the MCI classifications, resulting in a more reliable identification of PD-MCI cases. Indeed, compared with previous studies assessing cortical atrophy in PD-MCI, the main novelty of our study is the assessment of de novo, drugnaive PD patients at the earliest stages of cognitive impairment. The regional cortical thinning in PD-CN patients we observed suggests that cortical changes are already present at the time of diagnosis, before meeting criteria for MCI. The finding that cortical changes were detected specifically in temporal areas is in line with a recent study, 32 in which a temporoparietal pattern of atrophy, similar to that observed in Alzheimer disease, was found in patients with PD-MCI. This pattern was suggested to be a preclinical biomarker of cognitive decline even in patients with normal cognition at baseline. The asymmetry we found in the cortical thinning patterns of PD-CN patients affecting the right hemisphere is not without precedent³³ and suggests that cortical changes could start initially in one hemisphere and later on, as the disease progresses, extend to the other, as observed in the PD-MCI group. In addition, this asymmetric pattern significantly correlated with immediate recall performance in PD-CN group, in line with the thickness-memory correlation we found in the entire PD sample and the known association between memory and temporal areas.³⁴

Consistent with our predictions, the memory and visuospatial test scores were significantly associated with cortical thickness in temporal and parietal regions. However, contrary to our expectations, the executive domain scores also correlated with these areas and visuospatial scores also showed a correlation with frontal regions. These results are in agreement

with the notion that high-level cognitive functions rely on networks of brain areas that carry out different attentional functions³⁵ as well as previous evidence showing that executive impairment is associated with gray matter loss in frontal, temporal, and parietal areas,³⁶ while visuospatial impairment is associated with frontal gray matter reductions in PD.³⁷

In contrast, these findings do not comply with the CamPaIGN study hypotheses,⁴ on which we based some of our predictions. It should be noted that these hypotheses were established in the context of a longitudinal design using neuropsychological data to predict cognitive decline and dementia in PD. Hence, they have not been corroborated using neuroimaging structural analyses.

Our correlation analyses showed that the immediate recall scores (encoding) correlated with temporal thickness. The fact that no significant correlations were found between thickness and delayed recall, by contrast to total immediate recall, is in agreement with the hypothesis that memory impairment in drug-naive, early PD is to a large degree a deficit of immediate recall (encoding) and not of long-term recall (retrieval).⁶

We also observed that semantic fluency performance was associated with widespread thickness in posterior and frontal areas, while phonemic fluency did not show any correlation. This is in line with previous reports suggesting that semantic fluency impairment is associated with a higher risk of developing dementia⁴ and more widespread gray matter atrophy in frontal, temporal, and parietal areas in PD38,39 compared with phonemic fluency.

The current study has several strengths including the large sample size and comprehensive neuropsychological and 3T MRI assessment. The neurobiological confirmation of all PD diagnoses with DaTscan, the fact that they were drug-naive, and the use of modified MDS-MCI criteria for classifying MCI are also relevant strengths. Some limitations should also be recognized, such as the potential bias of including super-normal controls in the PPMI cohort with scores .26 in MoCA and the lack of 2 standardized cognitive tests for each of the 5 cognitive domains. Finally, although representative of early stages of PD, the PPMI sample is a research-based cohort and might not be truly representative of a population-based cohort. In addition, the disease duration of the patients with PD was very short, so it is possible that patients with other diseases could have been included in our study.

AUTHOR CONTRIBUTIONS

J.B.P., K.B., A.L., E.W., and D.A. were involved in the conception and design of the study, analysis and interpretation of the data, and drafting/revising the manuscript for content. P.S. and D.W. were involved in the interpretation of the data, and drafting/revising the manuscript for content.

Neurology 82 June 3, 2014 2023

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DISCLOSURE

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