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Antithymocyte Globulin is Associated with a Lower Incidence of De Novo Donor-Specific Antibodies in Moderately Sensitized Renal Transplant Recipients

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Abstract

Background—Recent evidence suggests that de novo donor specific antibodies (dnDSA) are associated with antibody mediated rejection (ABMR) and graft failure following kidney transplantation. The effects of induction immunosuppression on dnDSA are unknown.

Methods—The study population comprised 114 consecutive moderately sensitized (positive DSA and negative flow crossmatch) recipients who received deceased donor renal transplants between December 2009 and November 2011. Patients were divided in 2 groups based on induction immunosuppression: antithymocyte globulin (ATG) (n=85), or basiliximab (n=29) and were followed up for 36 months.

Results—Patients in the ATG group received a mean dose of 4.98 mg/kg \pm 7.9 mg/kg, had a significantly higher PRA and received more plasmapheresis and IVIG at the time of transplant. The incidence of dnDSA ($p = 0.02$, HR=0.33, 95% CI 0.09 to 1.24) and ABMR ($p = 0.001$, HR=0.9, 95% CI 0.04 to 0.87) was significantly lower in the ATG group. In multivariate regression analyses, ATG induction was the single most important variable associated with both ABMR and dnDSA.

Conclusions—In moderately sensitized deceased donor renal transplant recipients, induction with ATG is associated with a reduction in the occurrence of dnDSA and ABMR when compared with basiliximab.

Keywords

donor-specific antibody; antibody mediated rejection; thymoglobulin; basiliximab; sensitization

INTRODUCTION

Preformed human leukocyte antigen (HLA)-donor specific antibodies (DSA) represent a significant obstacle to transplantation. In support of this observation, pre-transplant DSA significantly increased the risk for antibody mediated rejection and increased graft failure by 76% despite a negative flow cytometry crossmatch result (1). In addition to preformed DSA, de novo DSA were associated with poor transplant outcomes (2–4). The average annual incidence of dnDSA was reported as 3–4% after the first year post-transplant. Wiebe and colleagues found mean time to appearance of dnDSA was 4.6 years post-transplant (4). Independent risk factors included young age, non-adherence to immunosuppressant medications, acute cellular rejection and HLA-DRB1 antigen mismatch. Development of dnDSA post-transplant is associated with antibody-mediated rejection (ABMR) and increased risk of graft loss (3,4,5–9). As a result, post-transplant monitoring of DSA is becoming increasingly recognized as standard-of-care in renal transplant recipients (10).

Only two randomized clinical trials have evaluated the effects of ATG as induction immunosuppression in sensitized patients (11,12). In both studies, ATG (Thymo®, Genzyme, Cambridge, MA; Thymoglobulin®, IMTIX Pasteur-Mérieux-Connaught, Lyon, France) induction demonstrated beneficial short-term effects on acute rejection and graft function and survival in sensitized patients. However, there is limited information on the relationship between induction immunosuppression, dnDSA and ABMR. The purpose of this study was to evaluate the association of induction immunosuppression agents ATG and basiliximab with the incidence of dnDSA and ABMR in moderately sensitized deceased donor kidney transplant recipients.

RESULTS

Baseline characteristics

A total of 29 patients (25.5%) received basiliximab while 85 patients (74.5%) received ATG. Patients were followed for 36 months. Patients in the ATG group received a total of 4.98 mg/kg \pm 7.9 mg/kg, had a higher peak panel reactive antibody (PRA) level (39% vs. 22%, $p=0.03$) as well as greater utilization of plasmapheresis/IVIG (55% vs. 17%, $p=0.0008$) (Table 1) suggesting that patients in this group were more highly sensitized. All other pre-transplant risk factors were similar between the two groups including age, gender, ethnicity, retransplant status, HLA mismatch, and pretransplant DSA (Table 1). Discharge creatinine and tacrolimus levels were not statistically different between the two groups.

Patient and graft outcomes

Kaplan Meier survival analyses with the associated Log-Rank tests demonstrated that the risk of acute rejection (combined cellular and antibody-mediated) (HR 0.24, 95% CI 0.08 to 0.79, $p=0.0007$), acute ABMR (HR=0.2, 95% CI 0.04 to 0.87, $p=0.002$), acute cellular

rejection (HR=0.27, 95% CI 0.05 to 1.49, p=0.03) and dnDSA (HR=0.33, 95% CI 0.09 to 1.24, p=0.02) was significantly lower in patients receiving ATG induction (Figures 1 and 2).

At one year, patients receiving ATG induction had a lower sum dnDSA level ($455 \pm 1,828$ vs. $3,652 \pm 12,835$ MFI, p=0.02, Table 2) while sum MFI levels (pre-existing and *de novo*) were similar between the two groups (Table 2). Death (2 vs. 1), graft loss (4 vs. 2), cytomegalovirus infection (11 vs. 3), BK nephropathy (7 vs. 1), one-year serum creatinine, proteinuria and estimated glomerular filtration rate (eGFR) were not different between ATG and basiliximab groups (Table 2).

Univariate analysis and multivariable Cox regression analyses demonstrated no association between dnDSA and recipient age, race, gender and transplant number, pretransplant DSA, peak PRA, tacrolimus level at discharge (Table 3). However, ATG induction (HR 0.16, 95% CI 0.04 to 0.5, p=0.003) and plasmapheresis/IVIG therapy at the time of transplant (HR 3.8, 95% CI 1.12 to 12.7, p=0.03) were significant predictors of dnDSA (Table 3). ATG induction was the single most important predictor of ABMR (HR 0.16, 95% CI 0.05 to 0.6, p=0.006, Table 3).

Patients in the ATG group underwent more plasmapheresis/IVIG, but were also at a higher immunological risk at baseline, evidenced by a higher peak PRA (p=0.03). Similarly, patients receiving plasmapheresis/IVIG were more sensitized with a higher DSA at transplant (1630 ± 700 vs. 850 ± 360 , p<0.0001).

Further analyses demonstrated that the majority (60%) of dnDSA were directed against donor class II HLA and that the sum MFI rapidly increased with time until month 6. The majority (73%) of rejection episodes were associated with HLA class II DSA. Mean time to acute ABMR was 4.6 ± 6 months and 9 out of 11 episodes of ABMR were ABMR phenotype 1, suggesting that ATG may prevent both ABMR phenotypes.

DISCUSSION

Our study shows that the use of ATG induction in moderately sensitized deceased donor kidney transplant recipients was associated with a lower incidence of dnDSA and antibody mediated rejection. Thibaudin et al. randomized sensitized renal transplant recipients to induction with ATG or no induction, with ATG associated with a lower incidence of biopsy-proven acute rejection from 64% to 38% and increased 1-year graft survival from 76% to 89% (11). Noel et al. assessed induction with ATG versus daclizumab in high immunological risk deceased donor renal transplant recipients, finding a lower incidence of biopsy-proven acute rejection (15.0% vs. 27.2%, p=0.016) and steroid-resistant rejection (2.7% vs. 14.9%, p=0.002) in the ATG arm at one year (12). No differences were seen in one-year patient or graft survival. Limitations of these studies included lack of long-term data and information regarding the development of dnDSA or ABMR.

The beneficial effects of ATG on acute rejection and dnDSA appeared relatively late, suggesting that the mechanism by which ATG prevents the development of donor specific antibodies is mediated via inhibition of both primary and secondary immune responses. For example, evidence suggests that ATG induces complement-independent apoptosis of naïve

plasma B cells and plasma cells in vitro (13). It is also possible that ATG inhibits the secondary immune response by memory B cells, *via* T cell inhibition. A recent study by Ayasoufi noted that administration of ATG a week prior to transplant was substantially superior in inhibiting anti-donor T cell responses than at the time of transplant, suggesting that ATG has the ability to target preexisting donor-reactive memory T cells (14). Importantly, ATG induces Tregs following immune reconstitution, indicating that rATG therapy may also suppress B cells and DSA generation via activation of Tregs (16–18). Further in vitro and clinical studies are needed to investigate these specific mechanisms.

In our study, plasmapheresis/IVIG was associated with a greater risk of dnDSA. Rather than being a cause of dnDSA or ABMR, it is more likely that this treatment was utilized more in higher-risk patients, and that the protective effects of ATG were independent of plasmapheresis/IVIG. In support of this hypothesis, the analysis of ABMR in patients who did not undergo plasmapheresis/IVIG revealed that lymphocyte depletion was associated with a significantly lower incidence of ABMR. The converse was also true; in all patients who underwent plasmapheresis/IVIG at the time of transplant, the incidence of ABMR was lower with ATG. Our study further highlights the importance of monitoring for DSA and dnDSA in moderately sensitized patients (10,13). Recent consensus guidelines surrounding the testing and clinical management of HLA antibodies in transplantation advocate measurement of DSA and protocol biopsies during the first 3 months posttransplant in high-risk (desensitized or DSA positive/XM negative) patients (10). They suggest monitoring DSA during the first month posttransplant in intermediate-risk (history of DSA but currently negative) patients, and biopsy if DSA present. The need for serial DSA screening is recognized to determine time to onset of dnDSA before graft dysfunction, protocol biopsies at first appearance of dnDSA with documented pathologic correlation and clinical trials assessing prevention of the production of DSA.

Observational studies hold inherent limitations of which the reader should be aware and the single center design limits conclusions that may be drawn. However, our findings suggest that in moderately sensitized deceased donor renal transplant recipients, induction with thymoglobulin is associated with a reduction in the incidence of dnDSA and ABMR when compared with basiliximab. Randomized clinical trials with long term follow up and mechanistic studies are needed to determine the effect of antithymocyte globulin induction on donor specific B cells, plasma cells and patient and graft outcomes.

MATERIALS AND METHODS

Patient population and induction therapy

Approval was obtained from the UW Institutional Review Board and Human Subjects Committee (M2010-1296). The study population consisted of 114 consecutive moderately sensitized patients who received deceased donor kidney transplants at the institution between December 2009 and November 2011. Patients were classified as moderately sensitized if they exhibited a negative flow cytometric crossmatch despite the presence of DSA mean fluorescence intensity (MFI_{max}) values by single antigen bead testing between 500–4,000 at the time of transplantation (15). The desensitization protocol for this group of patients included plasmapheresis and IVIG (100 mg/kg) and induction immunosuppression

with ATG 5–6 mg/kg (rabbit anti-human thymocyte immunoglobulin, Thymoglobulin®, Sanofi). A subgroup of patients received induction therapy with basiliximab 20 mg on POD0 and POD3 (Simulect®, Novartis), based on individual provider preference. All patients received a 100 mg IV bolus of dexamethasone intraoperatively, 50 mg IV on postoperative day 1, and tapered to prednisone 30 mg daily at time of discharge.

Maintenance immunosuppression and viral prophylaxis

Maintenance immunosuppression consisted of a three-drug regimen of prednisone, tacrolimus, and mycophenolate sodium. No corticosteroid withdrawal, avoidance, or minimization was pursued in these patients. Prednisone dose at 1-month post transplant was 5–10 mg per day. Tacrolimus target level was 7–11 ng/ml at discharge. Donor cytomegalovirus (CMV) serostatus positive/recipient negative patients received six month of valganciclovir, along with any CMV positive donor or recipient patients who received ATG. Patients received three months of treatment with low dose acyclovir if donor and recipient cytomegalovirus serologies were negative. Basiliximab induction recipients with positive CMV serostatus received prophylaxis with high dose acyclovir. All patients received 1 year of *pneumocystis jirovecii* pneumonia prophylaxis with sulfamethoxazole-trimethoprim or an alternative agent if documented sulfa allergy.

Determination of de novo DSA

HLA antibodies were identified using LabScreen Single Antigen Beads (One Lambda, Canoga Park, CA) and were analyzed at baseline, along with 1 week, 3 months, 6 months and 12 months post-transplant. Specificities were assigned using multiple criteria consistent with consensus guidelines, including patterns of epitope reactivity, MFI value, assay background, and individual bead performance. A dnDSA was defined as an antibody that was not detectable pretransplant and appeared post-transplant. For patients exhibiting multiple dnDSA, the sum of the highest MFI value for each specificity was considered for analysis.

Diagnosis of rejection

All episodes of rejection were biopsy proven based on indication biopsies and were evaluated according to Banff 97 classification updated in 2010 (19).

Statistical analysis

Baseline characteristics and outcomes between patients receiving basiliximab or ATG induction were compared. For categorical data, Fisher's exact test or Chi-squared test were used, where appropriate. Continuous numerical data was analyzed using Student's *t* test. Survival analyses for ABMR and dnDSA were completed by the Kaplan-Meier method. Univariate and multivariable stepwise Cox regression analyses were performed to determine the risk factors associated with ABMR and dnDSA. Data are described as mean values with standard deviation. All p-values of less than 0.05 were considered statistically significant. MedCalc Software (Acacialaan 22, B-8400 Ostend, Belgium) was used for the analyses.

Abbreviations

ABMR	mixed + pure antibody mediated rejection
ATG	Anti thymocyte globulin
DSA	donor specific antibody
dnDSA	de novo donor specific antibodies
MFI	mean fluorescence intensity
PRA	panel reactive antibody

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No conflicts of interest.

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Figure 1. (a) ATG was associated with a lower incidence of Acute Rejection

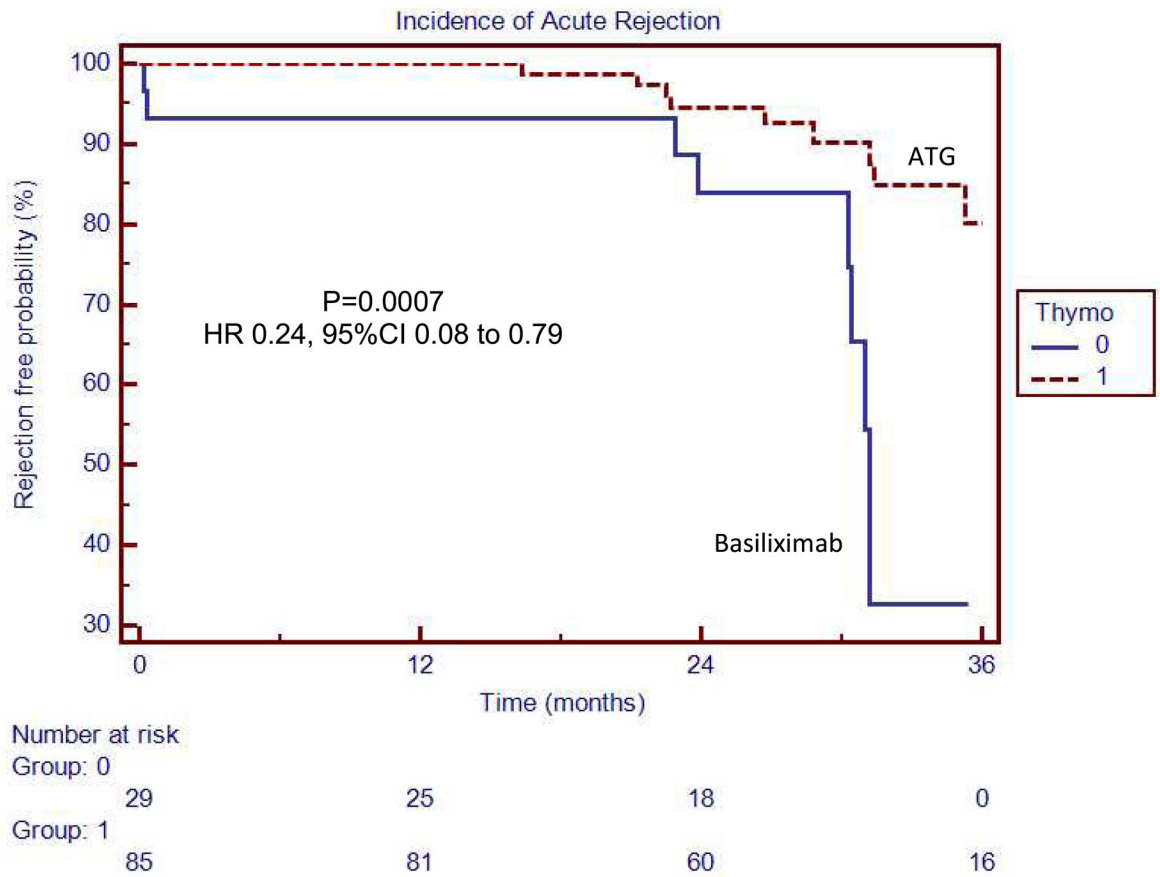


Figure 1. (b) ATG was associated with a lower incidence of Acute ABMR

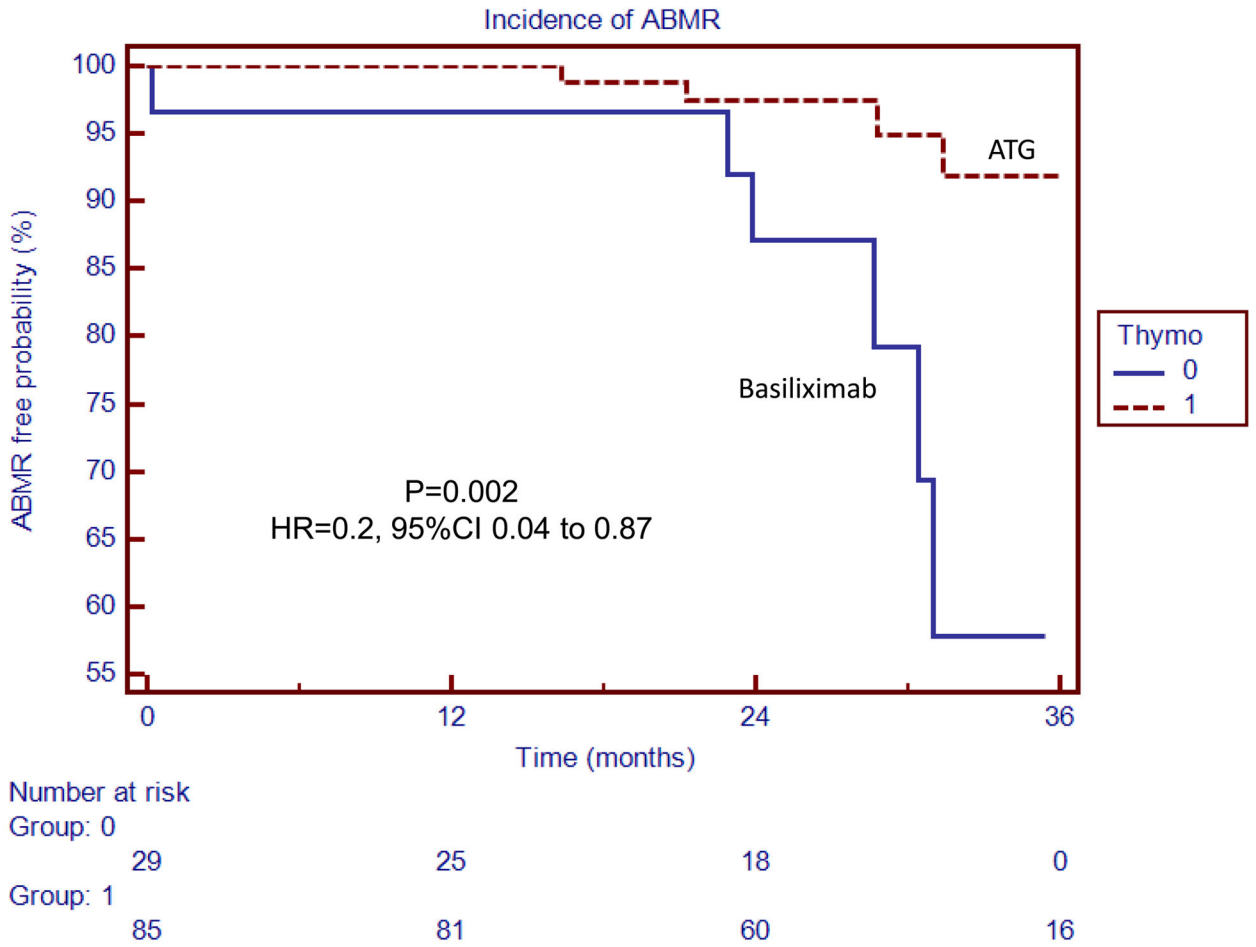
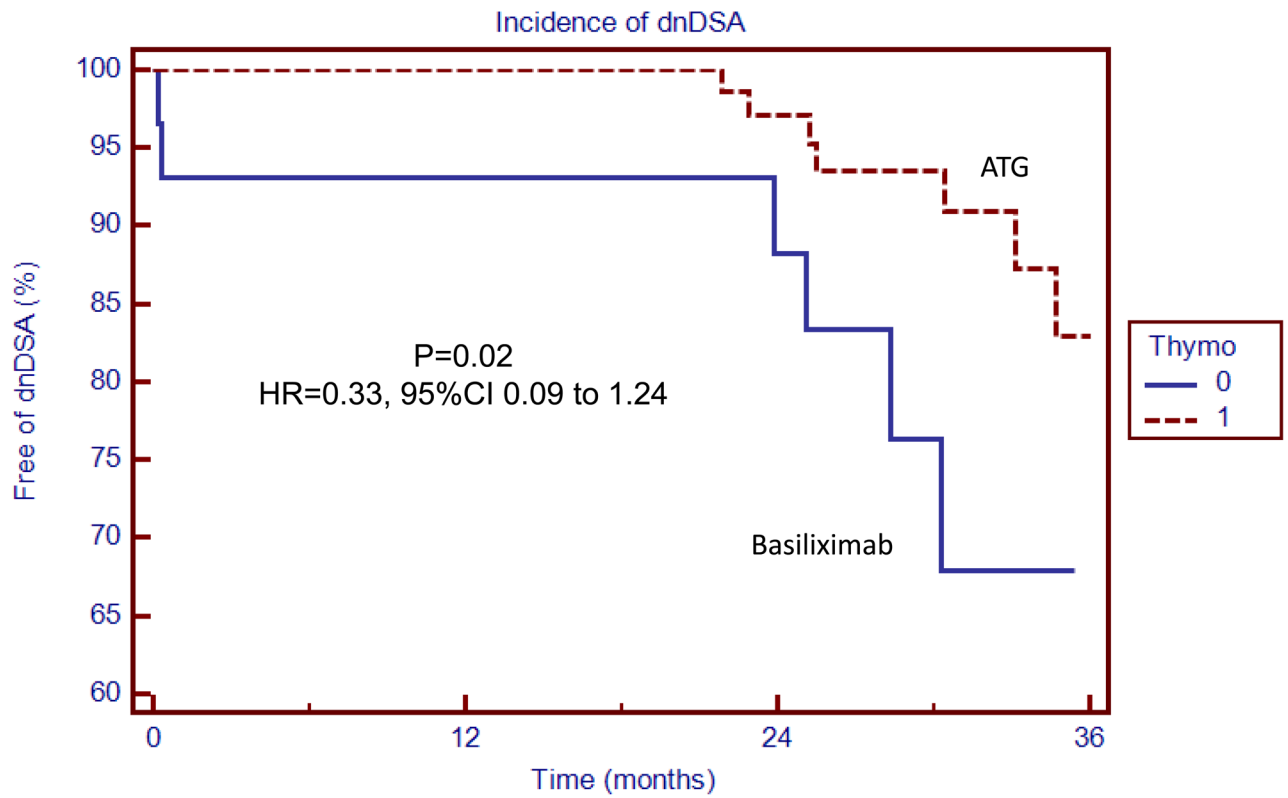


Figure 1.

(a) ATG was associated with a lower incidence of Acute Rejection

(b) ATG was associated with a lower incidence of Acute ABMR

Thymoglobulin was associated with a lower incidence of acute rejection and acute antibody mediated rejection. The box to the right of the figures indicates patients who received ATG induction (Thymo=1 or Basiliximab Thymo=0)



Number at risk				
Group: 0				
	29	25	18	0
Group: 1				
	85	81	60	16

Figure 2.
 ATG was associated with a lower incidence of dnDSA
 Thymoglobulin was associated with a lower incidence of de novo donor-specific antibodies.
 The box to the right of the figures indicates patients who received ATG induction (Thymo=1
 or Basiliximab Thymo=0)

Table 1

Baseline Characteristics

	Basiliximab	ATG	p
Sample Size	29	85	-
Recipient age (years \pm SD)	49.7 \pm 13	48.5 \pm 12	0.4
Caucasian (%)	23 (79)	60 (71)	0.5
Diabetic ESRD (%)	6 (21)	18 (21)	0.9
Female (%)	15 (52)	34 (40)	0.3
Retransplant (%)	6 (20)	29 (34)	0.3
Preemptive transplant (%)	4 (14)	10 (12)	0.9
Peak PRA (%) \pm SD	22 \pm 31	39 \pm 39	0.03
HLA mismatch (mean \pm SD)	4.3 \pm 0.9	4.3 \pm 1.3	0.9
Pretransplant DSA MFI \pm SD	1090 \pm 677	1245 \pm 673	0.3
PE/IVIG at transplant (%)	5 (17)	47 (55)	0.0008
Discharge Creatinine (mg/dL)	3.5 \pm 2.7	3 \pm 2.1	0.3
Discharge TAC concentration (ng/mL)	5 \pm 4.4	7 \pm 4.6	0.06

Table 2

Kidney function and DSA

		Basiliximab	ATG	
Kidney function at 12M	eGFR (mL/min) \pm SD	55 \pm 17	56 \pm 25	0.9
	Creatinine (mg/dL)	1.4 \pm 0.4	1.4 \pm 0.5	0.8
	UPC (mg/mg)	0.3 \pm 0.4	0.4 \pm 0.4	0.4
DSA at 12M	dnDSA (MFI \pm SD)	3,652 \pm 12,835	455 \pm 1,828	0.02
	DSA (MFI \pm SD)	4,060 \pm 6,300	2,454 \pm 4,265	0.2

Table 3

Risk Factors for dnDSA and Acute ABMR

Risk Factors for dnDSA						
Covariate	Univariate		Stepwise Multivariate Regression			
	P	HR	95% CI	P	HR	95% CI
ATG	0.003	0.1	0.02 to 0.48	0.003	0.16	0.04 to 0.5
Age > 50 years	0.4	1.8	0.44 to 7.05	-	-	-
African American	0.07	4.2	0.88 to 20.4	-	-	-
Female	0.3	1.9	0.55 to 6.67	-	-	-
Discharge TAC level	0.3	0.9	0.83 to 1.07	-	-	-
DSA at Tx > 1,000 MFI	0.06	0.18	0.02 to 1.05	-	-	-
Peak PRA	0.1	0.98	0.95 to 1.0	-	-	-
Retransplant status	0.08	5.4	0.81 to 36.2	-	-	-
PE/IVIG at transplant	0.001	28	3.8 to 201.9	3.03	3.8	1.12 to 12.7

Risk Factors for Acute ABMR						
Covariate	Univariate		Stepwise Multivariate Regression			
	P	HR	95% CI	P	HR	95% CI
ATG	0.0007	0.01	0.001 to 0.15	0.006	0.16	0.05 to 0.6
Age > 50 years	0.08	0.15	0.01 to 1.26	-	-	-
African American	0.1	4.7	0.74 to 30.79	-	-	-
Female	0.5	1.6	0.35 to 7.56	-	-	-
Discharge TAC level	0.1	1.1	0.96 to 1.40	-	-	-
DSA at Tx > 1,000 MFI	0.008	0.03	0.002 to 0.42	-	-	-
Peak PRA	0.1	1.02	0.99 to 1.05	-	-	-
Retransplant status	0.5	0.5	0.05 to 4.83	-	-	-
PE/IVIG at transplant	0.001	88.5	5.7 to 1369	-	-	-