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## ABSTRACT

Evidence from biological and human studies strongly supports a role for *MMP* and *TIMP* genes as candidate genes for non-syndromic cleft lip with or without cleft palate (NSCL/P). We previously showed the association of promoter polymorphisms in *MMP3* (rs3025058 and rs522616) and *TIMP2* (rs8179096) with NSCL/P. In this study, we examined the functional significance of these polymorphisms. A specific DNA-protein complex for *MMP3* rs522616 A was detected, and this allele by itself showed greater promoter activity than the G allele. However, the effect of rs522616 was ultimately regulated by the rs3025058 allele on the background. For *TIMP2* rs8179096, the T allele showed a 2.5-fold increase in promoter activity when compared with allele C, whereas both C and T alleles were found to bind to nuclear factor kappa B. Our results provide new evidence that promoter polymorphisms in *MMP3* and *TIMP2* are functional and may affect gene transcription with possible effects on craniofacial development leading to NSCL/P.

**KEY WORDS:** MMP, TIMP, luciferase, EMSA, oral cleft, single nucleotide polymorphism.

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# Functional Significance of *MMP3* and *TIMP2* Polymorphisms in Cleft Lip/Palate

## INTRODUCTION

Non-syndromic cleft lip with or without cleft palate (NSCL/P) accounts for 65% of all birth defects (Gorlin *et al.*, 2001). In addition to the impact on quality of life for affected individuals, the presence of a cleft imposes a substantial burden in terms of health care costs on the families and the society. Lifelong treatment including surgical, dental, nutritional, and behavioral interventions is often required (Strauss, 1999).

The etiology of NSCL/P is complex, with genetic and environmental risk factors. Studies have estimated that from 3 to 14 genes, acting individually and/or in concert, may be involved (Schliekelman and Slatkin, 2002). Traditionally, numerous research approaches – including studies of candidate genes suggested by family-based linkage or linkage disequilibrium studies, association studies using case-parent trios or case-control samples, identification of chromosomal abnormalities in cases, direct sequencing of affected individuals, or by animal models displaying a cleft phenotype – have been used in NSCL/P studies (Dixon *et al.*, 2011). A combination of genome-wide linkage studies and genome-wide association studies led – after years of studies – to major breakthroughs in the identification of NSCL/P risk loci.

Matrix metalloproteinases (MMPs) are a family of zinc-dependent proteases collectively responsible for extracellular matrix (ECM) and tissue remodeling. They are comprised of 23 enzymes that share sequence homologies and substrate specificities, and are classified as collagenases, gelatinases, stromelysins, matrilysins, or membrane-type MMPs (Nagase and Woessner, 1999). Together with their endogenous tissue inhibitors (TIMPs), MMPs have key roles during the embryogenesis and homeostasis of adult tissues (Nagase *et al.*, 2006). MMPs and TIMPs have been suggested as potential candidate genes for NSCL/P based on observations of their spatial and temporal expression patterns during palatogenesis in mice and because absence of MMP activity resulted in cleft palate (Morris-Wiman *et al.*, 2000; Blavier *et al.*, 2001; Brown *et al.*, 2002; Shi *et al.*, 2008; de Oliveira Demarchi *et al.*, 2010).

We have previously shown the association of 2 *MMP3* promoter polymorphisms (rs3025058 and rs522616) with NSCL/P phenotypes in Brazilian and US populations; haplotypes containing these variants were also associated with NSCL/P (Letra *et al.*, 2007, 2012a). A promoter variant in the *TIMP2* (rs8179096) gene was also associated with NSCL/P (Letra *et al.*, 2012a), corroborating previous association findings in Europeans (Nikopensius *et al.*, 2011).

*MMP3* transcription is tightly regulated and influenced by the rs3025058 5A/6A polymorphism, characterized by the presence of 5 or 6 adenine residues, with the 5A allele having greater promoter activity than the 6A allele (Ye *et al.*, 1996; Zhu *et al.*, 2006; Souslova *et al.*, 2010). Differential transcriptional activity was also recently reported for the rs522616 A/G variant, where allele G showed lower promoter activity than allele A (Huai *et al.*, 2013). Nevertheless, the relationship between rs522616 and rs3025058 and their combined effects on *MMP3* transcription remain unknown. Similarly, the regulatory effects of *TIMP2* rs8179096 are still unclear.

In this study, we examined the functional effects of *MMP3* rs522616 and rs3025058, and *TIMP2* rs8179096 polymorphisms previously associated with human NSCL/P.

## MATERIALS & METHODS

### Reporter Constructs

Details on the reporter constructs and insert sequences are available in the online Appendix.

### Transient Transfection and Luciferase Reporter Assays

Human fibrosarcoma cells (HT-1080) were purchased from the American Type Culture Collection (Gaithersburg, MD, USA), cultured in Eagle's Minimum Essential Medium (Gibco, Grand Island, NY, USA), supplemented with 10% fetal calf serum and penicillin-streptomycin, and maintained at 37°C in 5% CO<sub>2</sub>. For transfection, cells were seeded at a density of 15,000 cells/well in a 96-well plate in culture medium for 24 hr to reach 80% confluence. Cells were transfected with 50 ng of each construct in Opti-MEM medium (Life Technologies, Grand Island, NY, USA) and 0.15 µL of FuGENE HD (Invitrogen, Carlsbad, CA, USA), and incubated for 24 hr. Cells underwent lysis at -80°C overnight, were thawed and then incubated with 100 µL LightSwitch Assay solution for 30 min. Luciferase activity was measured with Tecan Infinite 200 PRO reader (Tecan Systems, San Jose, CA, USA). Empty vector, RO1 negative control, and RPL10 positive control constructs were assayed in parallel for evaluation of transfection efficiency. The mean luciferase activity of each construct was compared by *t* test with Welch's correction in GraphPad Prism (GraphPad Inc., La Jolla, CA, USA). Data are presented as the mean ± standard error from three independent experiments. Differences were significant if  $p \leq .05$ .

### Electrophoretic Mobility Shift Assay (EMSA)

EMSA was performed according to the LI-COR IRDye® protocol (LI-COR, Lincoln, NE, USA). In brief, double-stranded oligonucleotides harboring *MMP3* rs522616 and *TIMP2* rs8179096 were synthesized (details in the online Appendix), labeled with infrared at the 5' end, and used as probes. HeLa S3 cell nuclear extracts (Active Motif, Carlsbad, CA, USA) were incubated with 50 fmol of each probe along with binding buffer (100 mM Tris, 500 mM KCl, 10 mM DTT; pH 7.5) and 25 mM DTT/2.5% Tween in 20 µL reaction volume for 20 min at room temperature. Unlabeled probes at various concentrations (1- to 100,000-fold of labeled probe) were used as specific competitors. Reaction mixtures were

loaded on a 4% native polyacrylamide gel and run in 1X TBE buffer. Gels were scanned by means of a LI-COR Odyssey CLx scanner.

### Supershift Assays

Our previously published *in silico* analyses revealed caudal-type homeobox 1 (CDX1) and nuclear factor-κ beta (NFκB) as potential binding partners for *MMP3* rs522616 A and *TIMP2* rs8179096 C/T alleles, respectively (Letra *et al.*, 2012a). Antibodies for CDX1 (Abgent, San Diego, CA, USA) and NFκB (Millipore, Billerica, MA, USA) were used in supershift assays to verify their ability to bind respective alleles. In brief, 1 µL of antibody was added to 20 µL of DNA-protein-binding reaction mixture, then loaded on a 4% native polyacrylamide gel for electrophoresis. Reagents and procedures were as described in the EMSA section. Rabbit IgG antibody was used as isotope control. Unlabeled wild-type and mutant NFκB consensus oligonucleotides were used to confirm rs8179096 binding to NFκB protein (details in the online Appendix).

### Mass Spectrometry

HeLa S3 nuclear extracts (Active Motif) were incubated with 200 fmol of *MMP3* A or G probes in a DNA-protein-binding reaction, and run on a 4% to 12% TBE gel electrophoresis. Gels were scanned and silver-stained. Gel bands containing DNA-protein complexes were excised and sent for mass spectrometry analysis. Results were viewed with Scaffold 3 software (Proteome Software Inc., Portland, OR, USA).

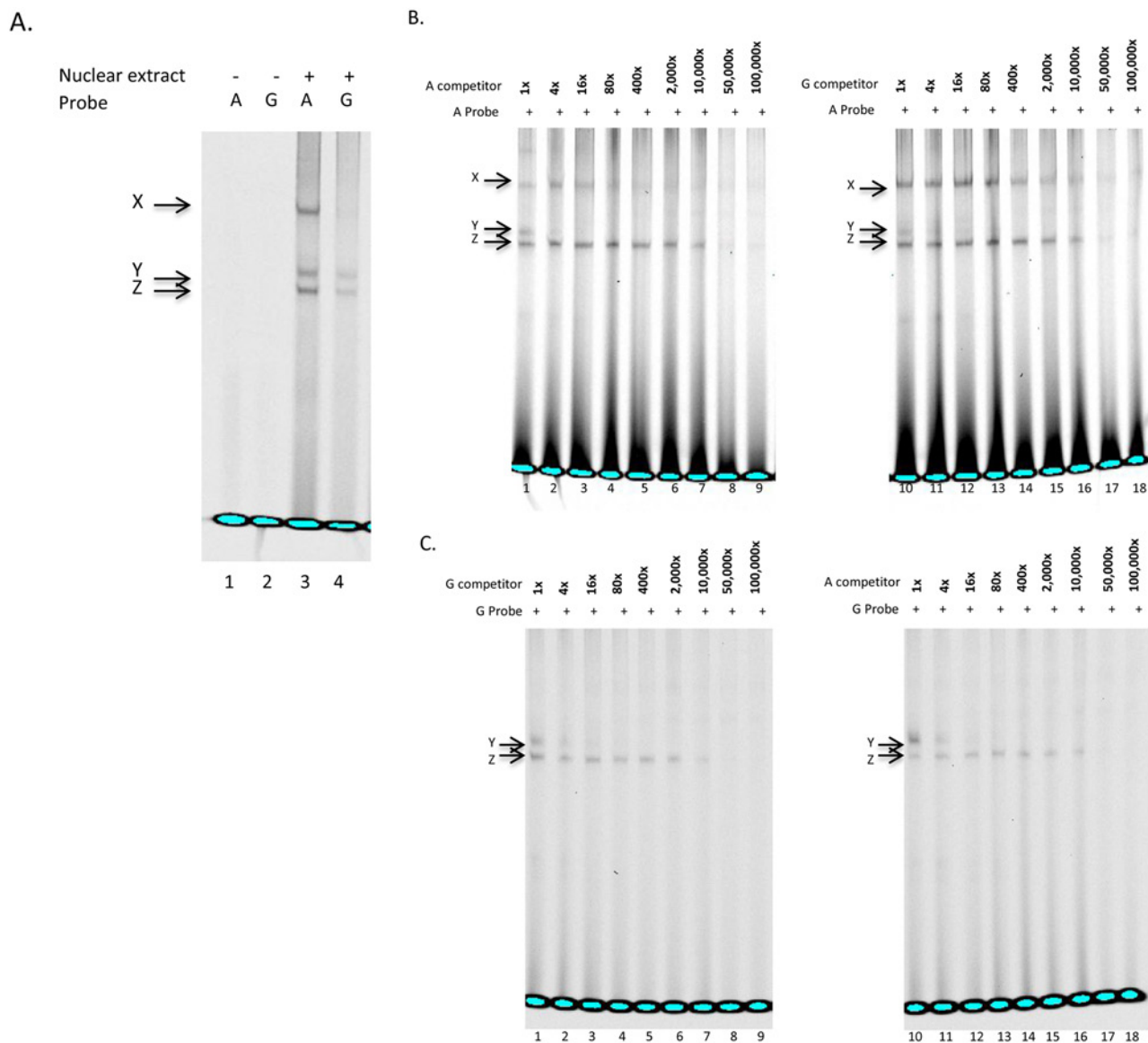
## RESULTS

### Binding of Nuclear Proteins to *MMP3* rs522616 A/G

Both A and G probes produced a specific DNA-protein complex (Y) (Fig. 1A, lanes 3 and 4), which was abolished by the lowest competitor DNA levels (16X) (Figs. 1B, 1C, lanes 3 and 12), suggesting that the protein(s) involved bind equally to the A- or G-containing motifs. *MMP3* A also produced an additional complex (X) (Fig. 1A, lane 3), which was abolished by the unlabeled A-competitor (Fig. 1B, lane 5), whereas the G-competitor failed to do so at even higher concentrations (Fig. 1B, lanes 10-18), suggesting that complex X is specific to the A probe. The binding in complex Z was not blocked by either the A or G competitor, and thus was considered non-specific (Figs. 1B, 1C). Supershift assays for CDX1 did not confirm the published *in silico* results (data not shown); nonetheless, mass spectrometry analysis identified 34 proteins as unique for the A probe binding complex (online Appendix).

### Differential *MMP3* Gene Promoter Activity Based on rs522616 A/G and rs3025058 5A/6A Variant Haplotypes

*MMP3* rs522616 A resulted in a 3.7-fold ( $p = .004$ ) increase in activity when compared with G. When investigating the background effect of rs3025058 on the activity of rs522616, we observed highest promoter activity with the 6A\_G haplotype,



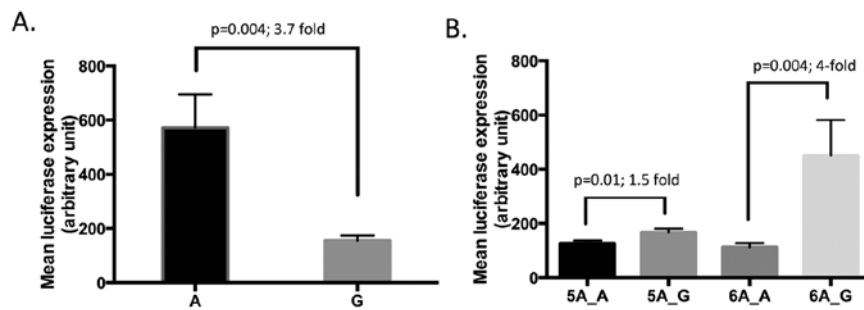
**Figure 1.** Electrophoretic mobility shift assays of *MMP3* rs522616. **(A)** Double-stranded, infrared-labeled A and G probes incubated in the absence (lanes 1, 2) or presence of cell nuclear extract (lanes 3, 4). **(B)** Competition assay with double-stranded, infrared labeled A probe incubated with cell nuclear extract and 1-, 4-, 16-, 80-, 400-, 2,000-, 10,000-, 50,000-, and 100,000-fold molar excess of unlabeled A (lanes 1-9) and G probes (lanes 10-18). **(C)** Competition assay with double-stranded, infrared-labeled G probe incubated with cell nuclear extract and 1-, 4-, 16-, 80-, 400-, 2,000-, 10,000-, 50,000-, and 100,000-fold molar excess of unlabeled G (lanes 1-9) and A probes (lanes 10-18). Note that complex Y was produced by both A and G probes and abolished by the lowest competitor DNA levels (16X) (Fig. 1C, lanes 3, 12), suggesting that the protein(s) involved bind equally to the A- or G-containing motifs. *MMP3*\_A also produced an additional complex (X) (Fig. 1A, lane 3), which was abolished by unlabeled A-competitor (Fig. 1B, lane 5), but not G-competitor at even higher concentrations (Fig. 1B, lanes 10-18), suggesting that complex X is specific to the A probe.

followed by 5A\_G, 5A\_A, and 6A\_A. Haplotype 5A\_G resulted in a 1.5-fold increased promoter activity compared with 5A\_A ( $p = .01$ ), whereas 6A\_G activity was four-fold higher than 6A\_A ( $p = .004$ ) (Fig. 2).

### Binding of Nuclear Proteins to *TIMP2* rs8179096 C/T

Two similar DNA-binding complexes (L and M) were observed for C and T probes (Fig. 3A, lanes 3, 4). Both probes presented similar affinities for these complexes, being blocked by 4- or

16-fold molar excess of either C or T competitor (Figs. 3B, 3C, lanes 2, 8). Supershift assay confirmed the presence of a NFκB-binding site (complex K) for both C and T probes (Fig. 3D), as predicted in our previous work (Letra *et al.*, 2012a). No bands were observed in isotype control lanes, indicating that the supershift bands reflected NFκB-probe interaction (Appendix Fig.). Furthermore, we confirmed the specificity of rs8179096 to bind to NFκB by comparing wild-type and mutant NFκB oligonucleotides introduced in EMSA reaction. We observed decreased binding of C/T probes to nuclear extract when NFκB wild-type



**Figure 2.** Luciferase reporter assay results for *MMP3* promoter variants in HT-1080 cells. **(A)** Results for *MMP3* rs522616 showing increased promoter activity with the A allele. **(B)** Results for rs522616 and rs3025058 haplotype combinations showing differential effects of rs522616 depending on rs3025058 background allele.

oligo was increased (Fig. 3E, lanes 6, 10). In contrast, no reduction in binding was observed upon increase in mutant NFκB (Fig. 3E, lanes 8, 12). This suggests that the mutant NFκB oligonucleotide was unable to bind to NFκB protein and therefore freed all of the specific protein to bind to other oligos (in this case, C/T probes). This is further indication that rs8179096 binds to NFκB protein.

### **TIMP2 rs8179096 T Shows Higher Promoter Activity**

The presence of the T allele resulted in a 2.5-fold increase in promoter activity when compared with the C allele ( $p < .0001$ ) (Fig. 4).

## **DISCUSSION**

In this study, we examined the function of *MMP3* (rs522616 and rs3025058) and *TIMP2* (rs8179096) promoter variants, previously associated with NSCL/P (Letra *et al.*, 2007, 2012a). Our findings indicate that each of these variants has a different regulatory effect on gene transcription depending on the allele present.

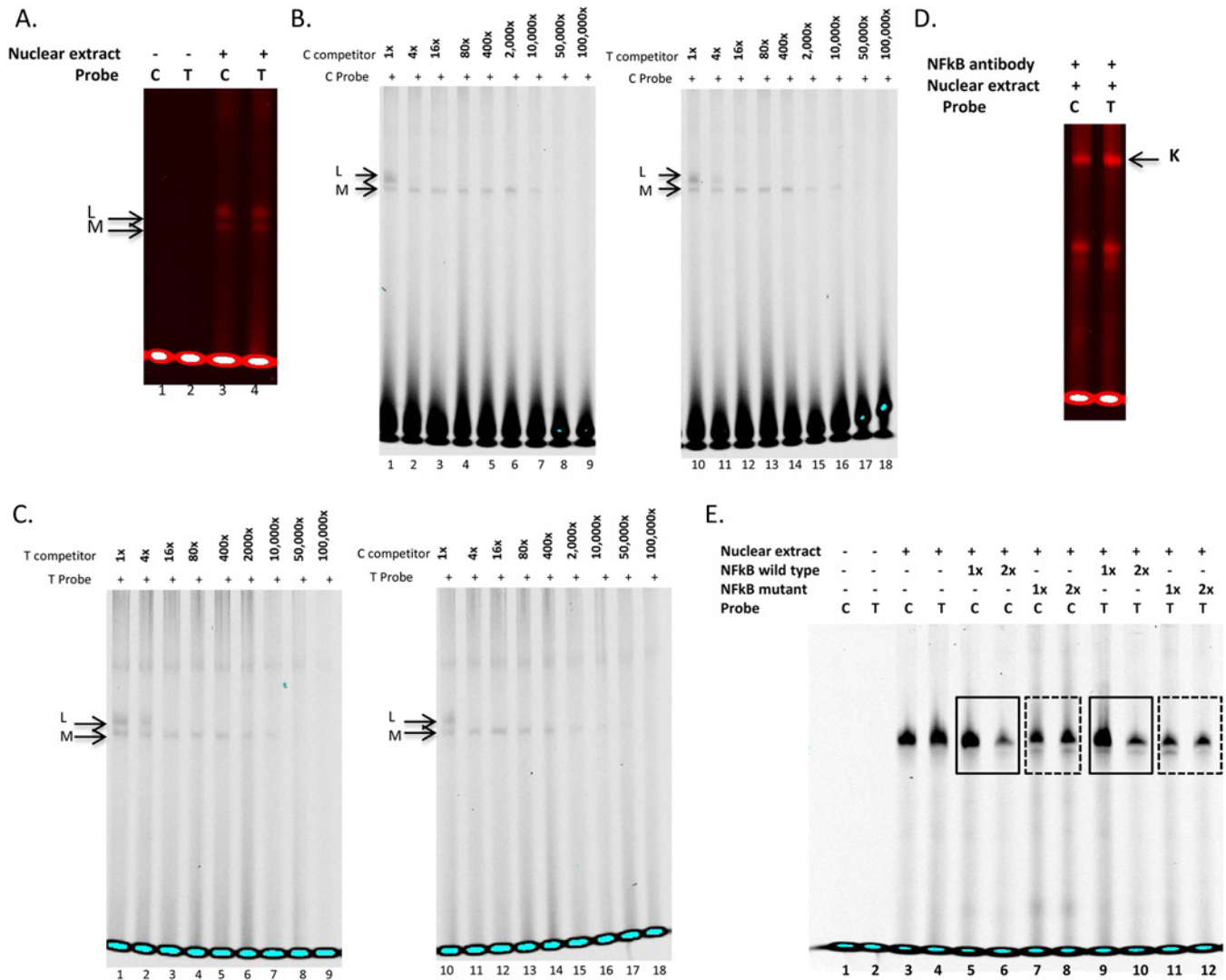
MMP-3, also known as stromelysin-1, is a key member of the MMP family, which participates in regulating the accumulation of extracellular matrix and activating other MMPs. MMP-3 has proteolytic activity on types III, IV, and V collagen, proteoglycans, laminin, fibronectin, and elastin, all of which are abundantly present in the palatal matrix (Nagase *et al.*, 2006). During mouse palatogenesis, MMP-3 expression was detected subjacent to the medial-edge epithelia following palatal shelf contact (Morris-Wiman *et al.*, 2000; Blavier *et al.*, 2001), and significantly increased in fused palates than in palates that failed to fuse (Brown *et al.*, 2002). Further, induction of MMP-3 expression has been shown to mediate the process of epithelial-mesenchymal transformation, an important event during palatal fusion (Lochter *et al.*, 1997). These findings imply that the *MMP3* gene is critical for proper palate development, and that perturbed expression may result in cleft palate.

Transcription of *MMP3* is tightly regulated and influenced by a polymorphism in the promoter region (rs3025058). This polymorphism, located at -1171 of the transcription start site (Lei *et al.*, 2002), is characterized by the presence of 5 or 6 adenine residues (5A/6A), and higher transcription has been

described for the 5A allele (Ye *et al.*, 1996; Zhu *et al.*, 2006; Souslova *et al.*, 2010). Recently, differential transcriptional activity was also reported for the rs522616 A/G variant. *MMP3* activity with the A-allele was up-regulated in HEK293 and HUVEC cells, with ~2.7- and 5.9-fold changes, respectively (Huai *et al.*, 2013). Further, the sequence near rs522616, located upstream of the *MMP3* gene, may include a binding site for one or more transcriptional factors, and the presence of A or G may change the binding affinity of those factors (Huai *et al.*, 2013). Corroborating these findings, our results also indicate that both rs522616 and rs3025058 are functional, with effects on *MMP3* transcription. Our luciferase assays suggest a positive regulatory element at rs522616, since significantly higher promoter activity was found for the A allele in comparison with the G allele. This suggests that the A allele can enhance promoter activity, possibly by augmenting transcription factor binding. Indeed, electrophoretic mobility shift assays showed that both A and G alleles produced 2 specific DNA-protein complexes, whereas allele A produced an additional specific complex. Mass spectrometry analyses revealed 34 proteins that were exclusively bound to the A allele; nevertheless, further investigation is needed to verify if these proteins are authentic binding partners.

We also investigated the effect of rs522616 in the background of the rs3025058 variant. While we expected to see higher activity with the 5A\_A promoter haplotype, based on the higher transcription activities of the A and 5A alleles individually (Ye *et al.*, 1996; Souslova *et al.*, 2010), the activity of this haplotype was decreased by 1.5-fold when compared with that of 5A\_G. Although speculative, this finding may represent a negative feedback loop effect, in an attempt to limit transcription in the presence of the 2 ‘high transcription’ alleles, 5A and A. Conversely, we observed a four-fold increased activity with the 6A\_G promoter. This suggests that while rs522616 can affect the activity of the *MMP3* promoter by itself, it is ultimately regulated by the rs3025058 alleles in the background. A previous study assessing the association of *MMP3* variant haplotypes in coronary stenosis found that haplotypes containing the 5A allele were associated with myocardial infarction susceptibility, and elicited higher promoter activity in macrophages (Beyzade *et al.*, 2003). Taken together, these results indicate that the rs3025058 variant influences *MMP3* transcription due to differential effects on additional promoter variants. This highlights the complexity of the *MMP3* gene promoter, and correlates with the many functions of the MMP3 enzyme. Other *cis*-regulatory elements may also be responsible for the increased transcription promoted by the A allele.

Our hypothesis for the involvement of *MMP3* in NSCL/P is that down-regulation of *MMP3* would contribute to the phenotype because of decreased tissue remodeling and/or epithelial-mesenchymal transformation during palatal fusion. In a recent study, we found a strong association for rs522616 A with NSCL/P, while strong associations were observed for haplotypes including

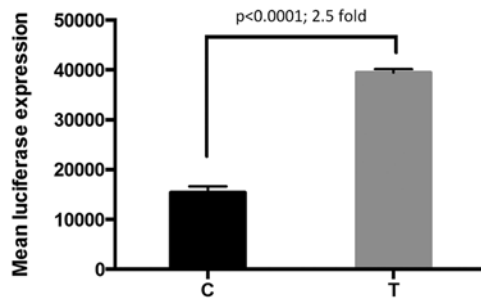


**Figure 3.** Electrophoretic mobility shift assays with *TIMP2* rs8179096 variant. **(A)** Double-stranded, infrared-labeled C and T probes incubated in the absence (lanes 1, 2) or presence of cell nuclear extract (lanes 3, 4). **(B)** Competition assay with double-stranded, infrared labeled C probe incubated in the presence of cell nuclear extract and 1-, 4-, 16-, 80-, 400-, 2,000-, 10,000-, 50,000-, and 100,000-fold molar excess of unlabeled C (lanes 1-9) and T probes (lanes 10-18). **(C)** Competition assay with double-stranded, infrared-labeled T probe incubated in the presence of cell nuclear extract and 1-, 4-, 16-, 80-, 400-, 2,000-, 10,000-, 50,000-, and 100,000-fold molar excess of unlabeled T (lanes 1-9) and C probes (lanes 10-18). **(D)** Supershift assays were performed incubating double-stranded, infrared-labeled C and T probes with cell nuclear extract plus NFKB antibody (Complex K). **(E)** EMSA for verification of NFKB binding to rs8179096. Double-stranded, infrared-labeled C and T probes were incubated in the absence (lanes 1, 2) or presence of cell nuclear extract (lanes 3-12). One- or two-fold molar excess (in comparison with probe concentration) of NFKB consensus unlabeled oligonucleotide was added in lanes 5, 6, 9, and 10 (solid frames), whereas mutant NFKB consensus unlabeled oligonucleotide was added in lanes 7, 8, 11, and 12 (dotted frames). No bands were observed in isotype control lanes, indicating that the supershift bands reflected NFKB-probe interaction.

the A and 6A alleles (Letra *et al.*, 2012b). Interestingly, the results of the present study showed that the 6A\_A haplotype was the least active promoter and may reflect gene down-regulation.

Our findings for *TIMP2* rs8179096 also suggest distinct allele-dependent effects. The T allele resulted in a 2.5-fold increased activity, and this allele may harbor binding sites for additional transcriptional enhancers. We also confirmed that both C and T alleles bind to NFKB, a key transcription factor involved in the innate immune system. While C and T alleles

were losing binding capability when NFKB consensus binding oligo diverges from protein in the same reaction, introduction of a mutant NFKB immunized C and T alleles from binding abolition. However, it is unlikely that NFKB is the single binding partner at this locus. The *TIMP2* promoter has several consensus binding sequences for transcription factors such as Sp1, AP-1, AP-2, and NFKB (Hegab *et al.*, 2005), and our results support these observations. In our previous study, we found evidence of gene-gene interaction between *MMP3* and *TIMP2*, where individuals carrying at least 1 copy of *MMP3* rs522616 A and 1 or



**Figure 4.** Luciferase reporter assay results for *TIMP2* rs8179096 in HT-1080 cells. Results showed increased promoter activity with the T allele.

2 copies of *TIMP2* rs8179096 C presented an increased risk for NSCL/P (Letra *et al.*, 2012a). Intriguingly, NF $\kappa$ B also interacts with rs3025058 to modulate *MMP3* transcription (Souslova *et al.*, 2010); hence, possible interaction between *MMP3* and *TIMP2* variants could be explained by the fact that NF $\kappa$ B has regulatory effects on both variants.

In summary, the findings of this study provide insights into the functional effects of *MMP3* and *TIMP2* promoter polymorphisms associated with NSCL/P. Of note, the results herein presented reflect the use of cell lines instead of actual palate tissues; therefore, different results could be observed if real facial mesenchyme cells were used. There is a constellation of molecules engaged in complex interactions to drive palate development in a concerted mode of action. Some of these molecules act as repressors, others as activators, whereas some display dual effects depending on the temporal and spatial context. However, we still know relatively little about the regulation and targets of many molecules identified as playing pivotal roles during lip and palate development. Knowing targets of key regulators of palate development ensures the identification of novel risk factors for NSCL/P. Careful *in vitro* functional studies provide a wealth of information, although they have limitations and cannot be directly extrapolated to *in vivo* conditions.

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