

# Protein Targeting and Transport as a Necessary Consequence of Increased Cellular Complexity

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With increasing intracellular complexity, a new cell-biological problem that is the allocation of cytoplasmically synthesized proteins to their final destinations within the cell emerged. A special challenge is thereby the translocation of proteins into or across cellular membranes. The underlying mechanisms are only in parts well understood, but it can be assumed that the course of cellular evolution had a deep impact on the design of the required molecular machines. In this article, we aim to summarize the current knowledge and concepts of the evolutionary development of protein trafficking as a necessary premise and consequence of increased cellular complexity.

*The evolution of modern cells is arguably the most challenging and important problem the field of biology has ever faced . . .*

—Carl R. Woese  
(Woese 2002)

Current models may accept that all modern eukaryotic cells arose from a single common ancestor (the cenacestral eukaryote), the nature of which is—owing to the lack of direct living or fossil descendants—still highly under debate (de Duve 2007). The chimeric nature of eukaryotic genomes with eubacterial and archaeobacterial shares led to a discussion about the origin of this first “proto-eukaryote.” Several models exist (see Fig. 1), which either place the evolution of the nucleus before or after the

emergence of the mitochondrion (outlined in Koonin 2010; Martijn and Ettema 2013). According to the different postulated scenarios (summarized in Embley and Martin 2006), eukaryotes in the latter case might have evolved by endosymbiosis between a hydrogen-producing, oxygen-producing, or sulfur-dependent  $\alpha$ -proteobacterium and an archaeobacterial host (Fig. 1C). The resulting mitochondriate prokaryote would have evolved the nucleus subsequently. In other scenarios (Fig. 1B), the cenacestral eukaryote emerged by cellular fusion or endosymbiosis of a Gram-negative, maybe hydrogen-producing, eubacterium and a methanogenic archaeobacterium or eocyte, leading to a primitive but nucleated amitochondrial (archezoan) cell (Embley and Martin 2006, and references

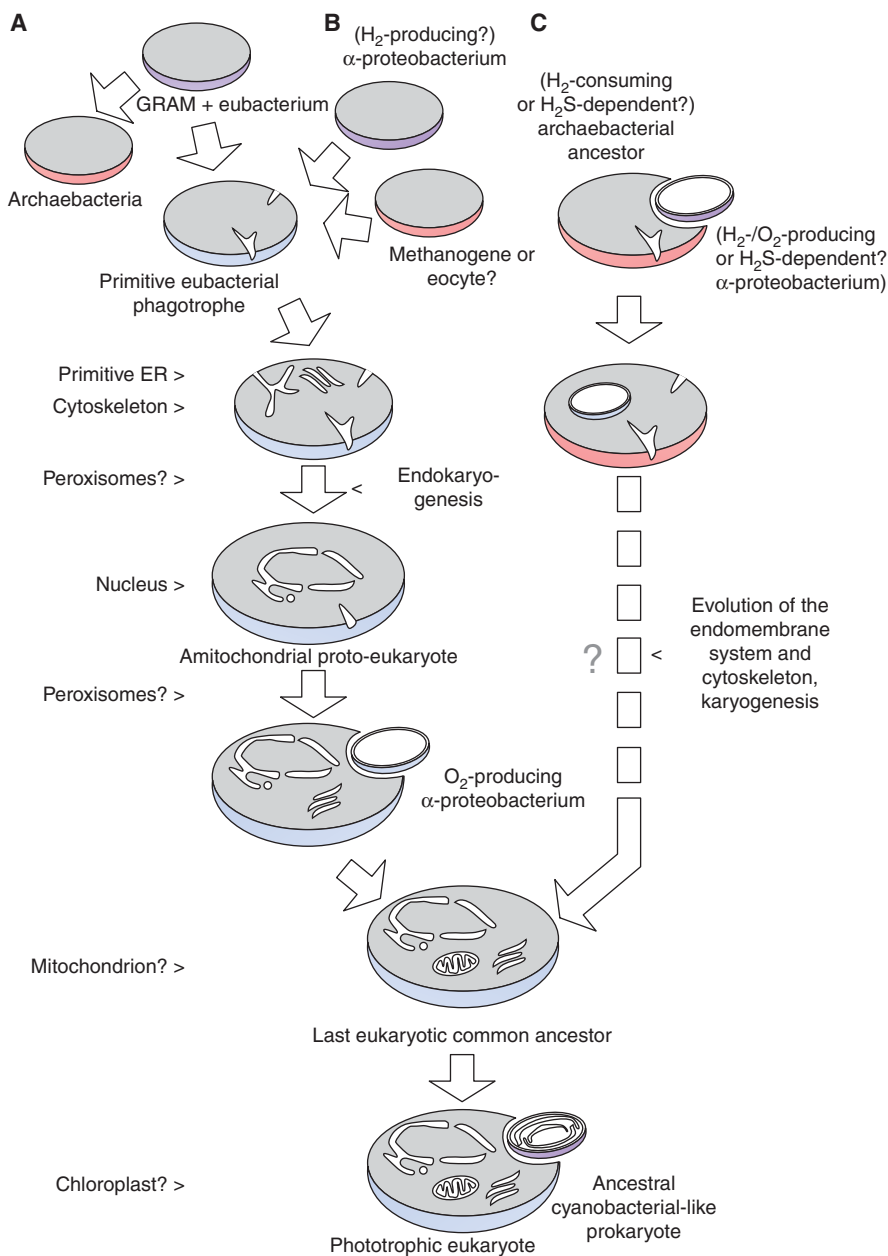
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**Figure 1.** Evolution of the last common ancestor of all eukaryotic cells. A schematic depiction of the early eukaryogenesis. Because of the lack of living and fossil descendants, several opposing models are discussed (A–C). The anticipated order of events is shown as a flow chart. For details, see text. (Derived from Embley and Martin 2006; Koonin 2010.)

therein). As a third alternative, Cavalier-Smith (2002) suggested a common eubacterial ancestor for eukaryotes and archaeobacteria (the Neomuran hypothesis) (Fig. 1A).

### EARLY EUKARYOGENESIS—A BRIEF SYNOPSIS

Independent of the question of how the proto-eukaryote arose, the favored hypothesis ima-



gines a primitive, initially noncompartmented and—most likely—a cell-wall-less “phagocyte” that was able to invaginate its cytoplasmic membrane (de Duve 2007; Cavalier-Smith 2010). These invaginations might have budded to build vesicles and (over time) convoluted membrane systems that gradually differentiated into distinct compartments such as the endoplasmic reticulum (ER), the Golgi, endo- and lysosomes, peroxisomes, and the eukaryotic plasma membrane. Arguments in favor of this concept are (1) the similar phospholipid composition of nuclear, endoplasmic, and plasma membranes (van Meer et al. 2008); (2) the striking similarities between cotranslational protein import into the ER and cotranslational transport of proteins across bacterial inner membranes (e.g., Rapoport 2007); (3) some peroxisomal membrane proteins that are inserted in the ER membranes and are subsequently rerouted to preexisting peroxisomes via vesicles (van der Zand et al. 2010, 2012); and (4) components of the peroxisomal importomer can evolutionarily be traced back to the ER-associated degradation (ERAD) machinery (Gabaldon et al. 2006; Bolte et al. 2011). However, how primitive phagocytosis evolved cannot be reconstructed today, because many different regulatory factors had to evolve in parallel, for example, mechanisms for membrane recycling (by budding and fusion) and vesicle routing along a—at least basic—cytoskeleton, involving many new factors such as SNARES, coat proteins, small G-proteins, and so on. Possible scenarios are outlined, for example, in Cavalier-Smith (2009) or Yutin et al. (2009).

The evolution of the nuclear envelope is thought to have paralleled or shortly succeeded the evolution of the endomembrane system and the cytoskeleton as suggested by its composition of cytoskeleton and endomembrane elements (Cavalier-Smith 2009). It was speculated that its *de novo* formation after mitosis from ER vesicles might recapitulate its evolution (Mans et al. 2004).

Independent of the origin of the nuclear envelope, comparative genomics point out that parts of the involved factors are not proto-eukaryotic innovations having evolved *de novo*

or from eukaryotic paralogs performing other functions, but were patched together from bacterial ancestors (e.g., Cavalier-Smith 2010). For example, prototypic coat proteins seem to have provided an evolutionary basis for the nuclear pore complexes (Devos et al. 2004; Brohawn et al. 2008). Bacterial ancestors were also found for the cytoskeleton elements tubulin and actin (Nogales et al. 1998; van den Ent et al. 2001) and the HEH domain of nucleolamine-anchoring Scr1p-Man (Mans et al. 2004).

Even if not reconstructible in any detail, the proto-eukaryote most likely evolved before the change of the atmosphere from anoxic to oxic (de Duve 2007), owing to the emergence of molecular oxygen gained by oxygenic photosynthesis of phototrophic bacteria 2 to 3 billion years ago (Ertel et al. 2005; Holland 2006). This change in atmosphere is actually considered as the driving force for the acquisition of mitochondria, a hallmark in eukaryote evolution. Here, mitochondria are discussed as direct descendants of (maybe phototrophic)  $\alpha$ -proteobacterial-like cells (e.g., Gray 1992; Andersson et al. 2003; Cavalier-Smith 2006), which were taken up by the phagotrophe and established as facultative and then obligate endosymbionts before they were gradually turned into an organelle (Poole and Penny 2007). Alternatively, it is discussed that mitochondria originated from a symbiotic relationship of a hydrogen-dependent archaeon and a facultative anaerobic eubacterium that produced hydrogen as a by-product of its anaerobic respiration (for review, see Embley and Martin 2006).

The last organelle that “joined the game” was the chloroplast,  $\sim 2.2$ –1.5 billion years ago (Ertel et al. 2005). Plastids are—as mitochondria—endosymbiotic organelles that derived from the uptake and “enslavement” of a once free-living cyanobacterial-like ancestor (Sagan 1967; Martin and Kowallik 1999). Plastids—similar to mitochondria—are enveloped by a double membrane and show several characteristics that reveal their bacterial origin, for example, their remnant genome and the features/composition of their envelope membranes (Stoebe and Maier 2002). The acquisition of the ability to use energy from sunlight via photosynthesis revolu-

tionized Earth's life history, because it gave rise to all plants and algae we know today.

### THE PROTEIN TRANSPORT "PROBLEM"

The progressive compartmentalization of the eukaryotic ancestor gave rise to complex and interdependent, but mutually affecting (e.g., aerobic and anaerobic), distinct metabolic pathways (Lopez-Garcia and Moreira 2006). This process provided enormous evolutionary benefits but necessitated the development of mechanisms to "feed" these pathways with the required enzymes and regulatory factors (Bohnsack and Schleiff 2010). Next to proteins for the exchange of metabolic intermediates, products and small solutes, and proteinaceous components for the transport of proteins were required for the proper biogenesis and preservation of the compartments (Mirus and Schleiff 2012; Sommer and Schleiff 2014). Irrespective of whether the spatial separation of metabolic pathways is really an evolutionary advantage or simply a relic of the endosymbiotic origin of some of the compartments (as discussed by Martin 2010), it is estimated that >50% of all proteins made in the modern eukaryotic cell have to cross at least one membrane to reach their final destination within the cell (Schatz and Dobberstein 1996). Only two principles of protein traffic and transport exist within eukaryotes: (1) direct cotranslational transport across or into a membrane, as, for instance, in the case of the endoplasmic reticulum or plastid thylakoid membranes (Robinson et al. 2001; Rapoport 2007); and (2) posttranslational transport of premade proteins. Proteins for the latter mode are (a) either guided by escorting proteins to the organellar surface, where they bind to specific translocon complexes that contain pores to facilitate protein integration or translocation (e.g., in case of peroxisomes, plastids, and mitochondria, the nucleus) (e.g., Pemberton and Paschal 2005; Schleiff and Becker 2011; Platta et al. 2013), or (b) packed into vesicles that shuttle between the different compartments (as in the case of endoplasmic reticulum and downstream compartments [see, e.g., Harter and Reinhard 2000] or chloroplasts [see Vil-

larejo et al. 2005]). In most cases, vesicular transport involves the Golgi as the central distribution center.

Proteins are usually transported by virtue of distinct targeting signals that are required for the interaction with specific receptors at the organelles' surfaces. They often are part of the amino acid sequence, share conserved amino acid sequences, or are dependent on their chemical properties. They are, in many cases, cleaved off upon successful translocation of the passenger (e.g., Martoglio and Dobberstein 1998; Gunkel et al. 2005; Schleiff and Becker 2011). Besides these peptide-based signals, posttranslational protein modifications (like, e.g., manose-6-phosphate) (Braulke and Bonifacino 2009) or motifs in the messenger RNA (mRNA) have been described as prerequisite for proper localization of proteins within the cellular context. Some mRNAs are prelocalized to the nucleus, the endoplasmic reticulum, or the mitochondria, where they are translated at distinct sites and translocated in a cotranslational manner (for review, see Weis et al. 2013). Although it was initially thought that this is an exclusively eukaryotic invention, prelocalization of mRNA was recently found in bacteria as well. This might pinpoint to a very ancient targeting mechanism that was used before proteinaceous signals and targeting factors evolved (Nevo-Dinur et al. 2011).

Compartments and their "feeding" transport mechanisms most likely coevolved. It has to be assumed that, whenever possible, the eukaryote "in-the-making" primarily recycled and adapted preexisting proteins, structures, and regulatory mechanisms from its bacterial ancestor(s) and added newly developed factors subsequently (see below). Hence, to understand which factors were adapted and which were not (and why), a reconstruction of what was present in the bacterial ancestor is necessary.

### BACTERIAL SOUVENIRS

The most ancestral mode of insertion of membrane proteins into the cytoplasmic membrane of bacteria is thought to be direct insertion without proteinaceous guidance (Mirus and Schleiff

2012). Only subsequently, bacteria evolved several systems for a directed insertion of membrane proteins into their cytoplasmic membranes and the secretion of proteins across it, namely, SEC, TAT (twin-arginine translocase), and YidC. These systems most likely emerged early on in evolution, developed further, and were transmitted to modern bacteria and their eukaryotic descendants (see below) (Bohnsack and Schleiff 2010; Mirus and Schleiff 2012; Sommer and Schleiff 2014).

YidC (and their organellar homologs Oxa1 in mitochondria and Alb3 in plastids) might be the most primordial system. YidC might have evolved as an initially single-acting ribosome “docking” site to chaperone the insertion of membrane proteins (Kohler et al. 2009; Mirus and Schleiff 2012). This development must have been evolutionarily beneficial, for example, by avoiding cytosolic aggregation of membrane proteins as well as misfolding during membrane insertion. In parallel, and maybe independently to YidC, the SecYE system has evolved, which undertook the cotranslational insertion of multimeric membrane proteins using YidC to aid the lateral release of proteins from SecY (Luirink et al. 2001). It is considered that both—YidC and SecYE(G)—acted initially independently of each other and that the evolution of the amino-terminal domain of YidC (for SecF interaction) (see Xie et al. 2006) bears witness to this process (Bohnsack and Schleiff 2010). SecYE has gained SecG subsequently in evolution (Bohnsack and Schleiff 2010), which might have evolved convergently with the subunits SecB and SecA, the latter of which interacts with SecYEG through SecG (Mori et al. 1998). Therefore, SecYEG serves two functions: (1) together with the signal recognition particle (SRP), it acts as an insertase for the cotranslational insertion of membrane proteins into the cytoplasmic membrane (du Plessis et al. 2011); and (2) together with the Sec-specific chaperone SecB and the motor subunit SecA, it “pushes” secretory proteins posttranslationally across the membrane. These proteins are subsequently released via the SecDF complex, which most likely co-evolved with SecYE (Lycklama and Driessen 2012).

The third system, the hetero-oligomeric TAT, facilitates the translocation of folded proteins across the cytoplasmic membrane (Fröbel et al. 2012). TatA constitutes the pore-forming subunit, whereas TatB and TatC are receptors coordinately recognizing the twin-arginine-containing signal sequence. Despite their distinct functions, the high sequence similarity of single-spanning TatA and TatB suggests a common ancestor for both. It can be imagined that TatA might have been the initial translocon with a subsequent development of TatBC (Bohnsack and Schleiff 2010).

Besides the membrane-bound translocation machineries, bacteria developed a targeting mechanism that ensures the proper feeding of the translocons with substrate proteins. It may be accepted that this mechanism evolved in the early days of self-insertion to prevent the aggregation of hydrophobic membrane proteins in the cytoplasm. Targeting and direct insertion of such proteins during their biogenesis is therefore the most logically consistent (and elegant) way. Recognition and targeting are facilitated by the SRP (signal recognition particle), which recognizes substrate proteins in their nascency at the ribosome via a hydrophobic signal sequence (the signal peptide) at the very amino terminus of the polypeptide chain. The most primitive SRP consists of the protein Ffh and the SRP-RNA (Akopian et al. 2013). Together with its receptor FtsY, Ffh might have initially acted “as an early means for RNA-based primordial ribosomes to deal with greasy polypeptide chains” (Walter et al. 2000) in that the ancestral Ffh/FtsY might have chaperoned the self-insertion of membrane proteins before the evolution of YidC and SecYE (Bohnsack and Schleiff 2010).

With the emergence of the outer membrane (OM), a new level of cellular complexity was added that required additional factors and transport machineries. Next to chaperones that assist folding or guidance of secretory proteins to the new membrane, systems for the integration into or the transport of proteins across this membrane became necessary. Secretion of proteins across the outer membrane is performed by a multitude of different mechanisms.





Next to SEC-dependent mechanisms, by which proteins are secreted (e.g., autotransporters: extracellular enzymes and toxins, have been exported to the periplasm via SecYEG), Sec-independent mechanisms evolved (types I, III, and IV secretion systems) that are capable of directly secreting cytoplasmic proteins (for review, see, e.g., Mirus et al. 2010).

One of the most important things in terms of a forthcoming eukaryogenesis (see below) was the evolution of Omp85 proteins that chaperone the insertion of outer membrane proteins similarly to YidC (Löffelhardt et al. 2007). Expectedly, Omp85 is one of the oldest protein families that evolved shortly after the outer membrane (Bredemeier et al. 2007). Omp85 proteins consist of a 16-stranded  $\beta$ -barrel-shaped carboxy-terminal domain, as well as up to six amino-terminal polypeptide-transport-associated (POTRA) domains, the number of which differs among the different bacterial clades (Koenig et al. 2010). Like Omp85 proteins, OM proteins in general (with few exceptions) possess a  $\beta$ -barrel-shaped transmembrane domain, which stands in contrast to the throughout  $\alpha$ -helical membrane domains of proteins of the cytoplasmic membrane. This entirely different buildup has most likely evolved to escape the release mechanism for  $\alpha$ -helical membrane segments of the SecYEG complex preventing the mistargeting of these proteins to the cytoplasmic membrane. OM proteins are transferred from the cytoplasmic to the outer membrane via dedicated chaperones. Here, SurA seems to be of special interest, which might share a common ancestor with trigger factor-like proteins, the latter of which is a ribosome-associated chaperone (Bohnsack and Schleiff 2010). In addition, two further chaperones—Skp and DegP—evolved that are particularly involved in OM protein biogenesis as well (Sklar et al. 2007). Although the exact mode of targeting in the intermembrane space is not yet established, targeting of proteobacterial OM proteins relies on an invariant aromatic amino acid in the very carboxyl terminus of the proteins (Struyve et al. 1991), which is thought to be recognized by Omp85.

## THE FIRST DAYS OF EUKARYOTIC PROTEIN TRAFFICKING

When discussing the evolution of protein trafficking and transport mechanisms as a necessary consequence of increased cellular complexity, it has to be considered that two different types of organelles coexist within cells: (1) those that evolved gradually *de novo* during early eukaryogenesis (the endomembranes, the peroxisomes and perhaps the nucleus); and (2) those of endosymbiotic origin (mitochondria and chloroplasts). The first evolved according to Darwin's theory of "survival of the fittest" in parallel with their transport mechanisms, either by gradual transition and consequent further development of preexisting principles (e.g., Sec and SRP) (see Rapoport 2007) or by invention of totally new mechanisms (e.g., nuclear import, peroxisomal importomer, vesicle transport). One might speculate that their actual level of complexity was based on the co-occurrence of new regulatory factors. The second type of organelles evolved stepwise, starting with an autonomous coexistence of the in-cooperated endosymbiont within the host cell. In a first step of the symbiont-to-organellar transition, the host might have "evolved" mechanisms for the insertion of proteins into the symbionts' outer membranes to benefit from their energy-rich metabolites. The most primitive base for this process might have been simple mistargeting of proteins, most likely in a cotranslational manner as typical for membrane proteins. Via gene transfer (Kleine et al. 2009), the biogenesis of symbiotic proteins might then have begun to drift to the host's cytoplasm, being initially synthesized in- and outside of the symbiont (Mirus and Schleiff 2012). The development of a basic protein targeting and import mechanism subsequently made the loss of genes in the symbiont's genome possible. At that early period, the most likely rather simple import mechanisms further developed by addition of sophisticated regulatory mechanisms. This allowed not only for a tight regulation of the organellar proteome by transcriptional control, but also (at the level of protein translocation) ensured rapid adapta-



tions, for example, during plastid transitions (Bohnsack and Schleiff 2010).

As outlined above, the evolution of the endomembrane system is considered to have been crucial for the prokaryote-to-eukaryote transition. It is believed that because of the absence of bacterial ancestors and the assumed complexity, the endomembrane system evolved rapidly from a very simple trafficking system in the early eukaryote to near-modern complexity in the last common eukaryotic ancestor (Dacks and Field 2007). Thereby, the eukaryote-in-the-making frequently recycled preexisting bacterial factors for new cellular functions as, for example, Vps29, a central subunit of the retromer vesicle coat, shares similarity with bacterial phosphoesterases (Dacks and Field 2007). A second way for innovation of new factors was provided by massive gene duplication (Makarova et al. 2005). Adaptins 1, 2, and 3 of the COPI vesicle coat trace back to a single common ancestor (Schledzewski et al. 1999). All P-loop GTPases, among them the Arf/Sar family involved in vesicle coat formation and vesicle budding and the Rab/Ras family involved in vesicle fusion (Mellman and Warren 2000), were derived from a single protein ancestor (Leipe et al. 2002). Thereby, a primitive vesicle system was soon developed that diversified over time, producing different coats for distinct vesicle pathways (e.g., retrograde vs. anterograde ER–Golgi), motor proteins, GTPases, SNAREs, and so on for accurate intracellular trafficking and targeting (Nebenfuhr 2002).

The nuclear pore complex (NPC) and the nuclear import machinery partially evolved from preexisting bacterial structures (Devos et al. 2004; Mans et al. 2004; Martin and Koonin 2006; Cavalier-Smith 2010). Fully folded proteins are transported by importins, which shuttle between cyto- and nucleoplasm (through the pore complexes). The major structural motif of importin- $\beta$ , the HEAT repeats (Morimoto et al. 2002), the Ras-like GTPase RAN, facilitating export of importins (Dong et al. 2007) as well as RAN-GDP import factor NTF2 (Mans et al. 2004), originated from bacterial ancestors (Bohnsack and Schleiff 2010). COPII-coat proteins and nucleoporins share structural features and arrangements (Devos et al. 2004; Sampath-

kumar et al. 2013) and therefore most likely a common ancestry (Brohawn et al. 2008; Debler et al. 2008; Kampmann and Blobel 2009), arguing for a coevolution of the endomembrane system and the nuclear envelope. Based on the structural conservation of the core nucleoporins, it was assumed that the nuclear pore complex emerged early on in evolution and was already established in the last common eukaryotic ancestor (Baptiste et al. 2005; DeGrasse et al. 2009).

The vast majority of membrane proteins are synthesized cotranslationally into Sec61 and are laterally released into the ER membrane. In contrast, carboxy-terminally tail-anchored (TA) proteins are inserted posttranslationally. Whereas the eukaryotic Sec61 is a systematic offspring of its bacterial predecessor SecYEG (Rapoport 2007), eukaryotes did not fall back on YidC for posttranslational membrane protein insertion. Instead, the transmembrane domain (TMD)–recognizing complex (TRC) was evolved that facilitates the insertion of TA-proteins at the ER membrane (Stefanovic and Hegde 2007). The core of this complex is Trc40/Get3, which again traces back to a bacterial ancestor, the ATPase ArsA (Rabu and High 2007).

In terms of evolution of eukaryotic protein transport systems, peroxisomes are unique. Peroxisomes import already fully folded matrix proteins. The peroxisomal importomer consists of several subunits of which Pex5 and Pex7 constitute receptor proteins for the recognition of so-called peroxisomal targeting sequences at the amino or carboxy termini of matrix proteins (Rucktäschel et al. 2011). Receptor-cargo complexes dock at the membrane via Pex13 and 14, in the case of Pex5 insert into the membrane, and release cargo into the lumen. After cargo release, Pex5 is actively extracted from the membrane via the cytoplasmic AAA-type ATPases Pex1 and 6. In line with the formation of peroxisomes as “specialized vesicles,” the peroxisomal import machinery seems to have evolved from a prototypic ERAD, as, for example, the TPR domains of Pex5, the ATPases Pex1 and 6, and so on share striking similarities to known ERAD components (Gabaldon et al. 2006; Bolte et al. 2011). In turn, ERAD, which is most likely a eukaryotic



invention, must have been already evolved at an early stage of eukaryogenesis. The peroxisomal import machinery might have evolved from frequently “mistargeted” ERAD components to peroxisomes during their formation at the ER membrane, serving as the base for developing a new translocon. The apparent change in transport direction (ERAD export; peroxisomes import) and lack of components energizing this process were overcome by the loss of the assumed static pore and the evolution of an ubiquitin-dependent export-driven shuttling mechanism (Platta et al. 2013), which is reminiscent of the mechanism of dislocation of membrane-bound ERAD substrates (Bolte et al. 2011). It may be speculated that the reason for importing readily folded matrix proteins is the supposed lack of luminal chaperones (Erdmann et al. 1997). Only recently, evidence was found for small heat-shock proteins that are targeted to peroxisomes in plants (Ma et al. 2006), but whether or not this is specific for plants remains elusive to date. An alternative reason for importing folded proteins might be that enzymes for peroxisomal key functions required cofactors or prosthetic groups that are synthesized and assembled outside the peroxisome only. In line with this idea, several enzymes, among them catalases or acyl-CoA-oxidases, enzymes that are involved in fatty acid oxidation and decomposition of reactive oxygen species, depend on cofactors like heme or flavin adenine dinucleotide (FAD), respectively (Kim and Miura 2004; Zamocky et al. 2008).

### ENDOSYMBIOTIC ORGANELLES

As mentioned above, endosymbiotic organelles gradually evolved import machineries in their surrounding membranes by partially reusing preexisting proteinaceous factors as raw material (for review, see Mirus and Schleiff 2012; Sommer and Schleiff 2014). Despite the mechanistical differences, a convergent evolution of these machineries is particularly reflected in the very similar buildup (Schleiff and Becker 2011 and references therein). The latter is the logical consequence of both, the evolutionary development and the functional requirement. The out-

er membrane translocon was built by simply recycling an organellar outer membrane protein combined with eukaryotic inventions serving as receptors. The inner membrane translocons had to serve different functions, translocation across the membrane and insertion into the membrane, and thus, similar mechanistic principles evolved based on the principle “form follows function.”

The vast majority of nuclear-encoded mitochondrial or plastid proteins are equipped with nonhydrophobic, cleavable amino acid sequences serving as targeting or transit peptides, respectively. These signals facilitate the interaction with guiding factors and chaperones, which target the proteins to the organellar surface, where they are bound by specific receptors as part of TOM/TOC (translocons of the outer membranes of mitochondria or chloroplasts). These oligomeric complexes have a central pore-forming subunit, which in the case of mitochondria (Tom40) is closely related to the mitochondrial porin VDAC (Pusnik et al. 2009; Gessmann et al. 2011), although it appears obvious that Tom40 traces back to a proteobacterial  $\beta$ -barrel protein, the nature of which is unclear. Several hypotheses have been formulated with different plausibilities. It was recently claimed that Tom40 might be an Omp85 descendent (Pusnik et al. 2011; Harsman et al. 2012), which appears rather unlikely based on the observed structures of Omp85-like proteins and VDAC (Clantin et al. 2007; Hiller et al. 2008; Ujwal et al. 2008) and its phylogenetic relation to VDAC (Flinner et al. 2012). It was proposed earlier that a proteobacterial porin served as the ancestor of Tom40 (Cavalier-Smith 2006). Lately, a third mechanism of VDAC/Tom40 evolution has been proposed, namely, the pentamerization of a 4- $\beta$ -sheet protein segment with a subsequent loss of one strand (Zeth and Thein 2010). Hence, at present, it cannot be stated with certainty whether or not this protein family is indeed of bacterial origin, although it appears plausible, because host membrane proteins have most likely been  $\alpha$ -helical.

The pore-forming subunit of the TOC complex, Toc75, is derived from a cyanobacterial Omp85 protein (Bredemeier et al. 2007), having



not only changed its function, but also its topology in evolution to turn from an insertase (see above) to a translocase for plastid proteins (Sommer et al. 2011). Considering the different pore properties of modern  $\alpha$ -proteobacterial and cyanobacterial Omp85 proteins (Bredemeier et al. 2007; Wunder et al. 2009), an Omp85 descent of Tom40 is unlikely, because only the latter possesses an adequate pore size that allows for the passage of an unfolded polypeptide chain. Toc75 possesses both, primitive receptor and channel function (Schleiff et al. 2011; Sommer et al. 2011), which is why it was speculated that the protein displays the most primitive TOC with all other subunits having evolved subsequently (Sommer and Schleiff 2014). This indicates that initial, rather simple transport mechanisms might have been suited to account for a successful symbiont-to-organellar transition.

TOM and TOC serve as major import gates for proteins into the organelles. Subsequently, after their passage, the proteins are sorted and distributed into the various suborganellar compartments (e.g., outer and inner membrane, intermembrane space, matrix/stroma, and in case of plastids, additionally, thylakoid membrane and lumen) supported by a multitude of different chaperones and translocon subcomplexes (Schleiff and Becker 2011). For instance, the sorting and assembly machinery (SAM) in the mitochondrial outer membrane facilitates the insertion of barrel-shaped outer membrane proteins. The core of this complex is the Omp85 descendant Sam50, which acts in a similar way as its bacterial counterpart (Schleiff and Soll 2005). Passage of the inner membrane is facilitated by the TIM23 complex, whose eponymous core component Tim23 shares homology to the bacterial permeases LivH (Rassow et al. 1999). Such other TIM23 subunits as Tim44 and the motor subunits Pam18 and Hsp70 trace back to bacterial ancestors as well (Hewitt et al. 2011). Inner membrane proteins that are inserted from the plasmic phase (matrix) use Oxa1, a clear YidC homolog (Luirink et al. 2001).

The situation is comparable in chloroplasts. Only a few core components of the modern TOC/TIC complexes can be traced back to cy-

anobacteria or the most prototypic plastids known today (Mirus and Schleiff 2012; Sommer and Schleiff 2014). These are next to Toc75 only Tic20/21, Tic55, Tic62, and Tic32 with different functions in cyanobacteria and Tic110—the supposed pore-forming subunit of TIC—as early eukaryotic inventions. Only the intermembrane space chaperone Tic22 has a cyanobacterial ancestor with a similar function in plants (Tripp et al. 2012). The functional homolog of Oxa1 in plants, Alb3, is also a YidC descendant (Luirink et al. 2001). Whereas the bacterial Sec and TAT systems were abandoned in mitochondria, they experienced a renaissance in plastids. Both systems facilitate the insertion and import of thylakoid proteins into thylakoid membrane and lumen in a very similar way to the bacterial counterparts, respectively (e.g., Albinia et al. 2012). Altogether it can be stated that proteins of bacterial origins are predominantly reused in the inner membranes of both organelles. That might make sense if one considers that especially the TOM/TOC complexes rather than TIM/TIC serve as regulatory interfaces for a harmonized coordination of organellar biogenesis and function and the host.

As mentioned above, most, but not all plastid proteins possess canonical targeting signals (Schleiff and Becker 2011). In higher plants, a small group of chloroplast proteins is targeted to the organelle via the endomembrane system, such as the  $\alpha$ -carbonic anhydrase, the nucleotide pyrophosphatase/phosphodiesterase, or the  $\alpha$ -amylase (Villarejo et al. 2005; Nanjo et al. 2006; Kitajima et al. 2009). These proteins are glycosylated and possess cleavable signal peptides similar to secretory proteins. This discovery led to the speculation that all nuclear-encoded plastid proteins might have been initially targeted to the chloroplasts via the endomembrane system and that TOC and TIC evolved only subsequently (Bhattacharya et al. 2007). According to this scenario, the TOC/TIC pathway became more efficient over time, and consequently, signal peptides would have changed into transit peptides (in the course of evolution), which would have gradually shifted hundreds of nuclear-encoded plastid proteins from the ER/Golgi transport route to the

TOC/TIC system (Bhattacharya et al. 2007). Very recently, phylogenetic analyses refuted this scenario, because it was shown that all described endomembrane system-targeted proteins were subsequent eukaryotic innovations and not targeted to chloroplasts in the early days of organellar evolution (Gagat et al. 2013). Accordingly, it was concluded that these proteins constitute only a specific class of proteins with a peculiar evolutionary history and that TOC/TIC was the initial system for protein uptake (Gagat et al. 2013).

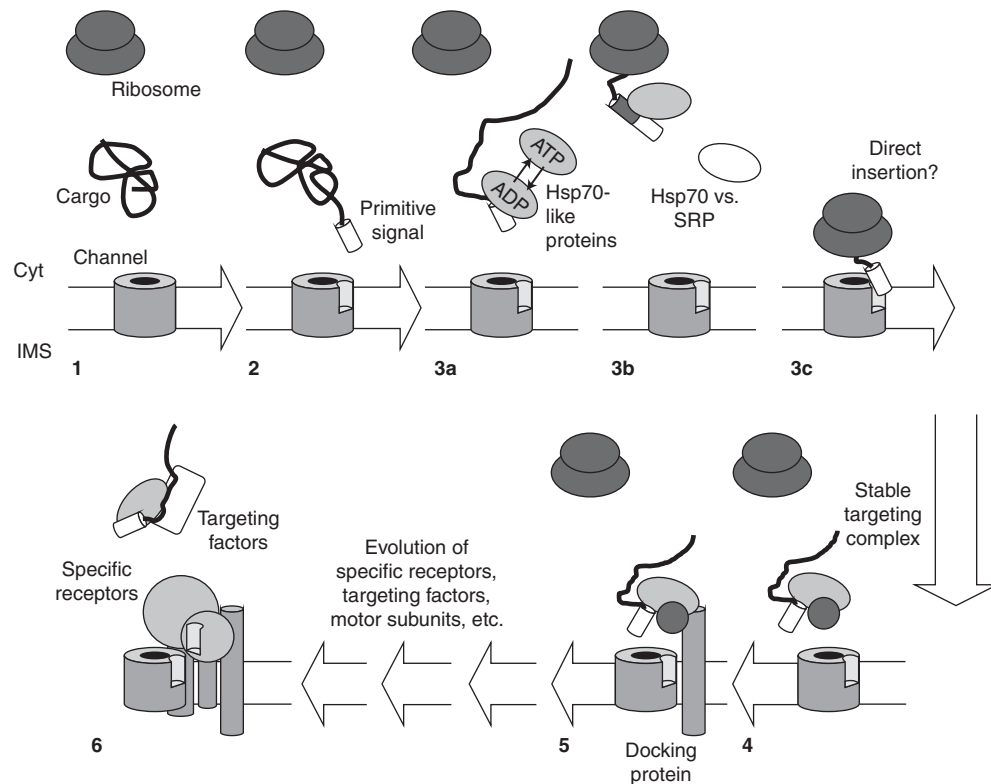
### SIGNAL EVOLUTION—PREREQUISITE OR CONSEQUENCE FOR TRANSLOCON EVOLUTION IN ENDOSYMBIOTIC ORGANELLES?

Given the molecular crowding of the cytoplasm (Ellis 2001), the question arises, why—despite the endomembrane system—most organelles are fed posttranslationally with proteins? It is assumed that diffusion as the driving force for protein trafficking to organelles is energetically favorable, but too inefficient, because diffusion rates are strongly dependent on the molecular weight (Ellis 2001). Prepositioning of ribosomes *mise-en-place* on first sight might enhance efficiency but would require sophisticated mechanisms for mRNA targeting and transport to the organellar surface as well. The question how posttranslational targeting mechanisms evolved may help to answer why.

Reconstructing the origins of this development, it can be assumed that the first cytoplasmically synthesized soluble mitochondrial and plastid proteins lacked suitable targeting information and consequently folded and accumulated in the cytoplasm. Import of those proteins into the organelles would occur only accidentally after diffusion of the proteins to the organellar surface, provided that primitive translocons already had evolved (Fig. 2, step 1). Basic targeting signals might have evolved already shortly after by different mechanisms, for example, “including” the 5′ UTR into the open reading frame or “occurrence” of a new exon (Mirus and Schleiff 2012). However, certainly their evolution was funneled by certain constraints: a

targeting signal (1) would only make sense if there is a receptor (at least as part of the primitive translocon) for its recognition; (2) it needs to be exposed in that it can serve as the “seed” for interactions; and (3) it must not share overlapping properties with other targeting signals, for example, for nuclear import or for the endoplasmic reticulum (Fig. 2, step 2). Accordingly, it may be accepted that mitochondrial and plastid targeting signals evolved “purposely” *de novo* with unique biochemical properties.

The arrival of the protein in an unfolded state at the organellar surface might have been evolutionarily beneficial, circumventing energetically expensive unfolding of the polypeptide for translocation. Consequently, Hsp70-like chaperones undergoing repeated ATP-dependent binding and release cycles (Mayer and Bukau 2005) might have served as initial “targeting” complexes (Fig. 2, steps 3a–c). To this end, it would have been of evolutionary “advantage” if otherwise soluble proteins would possess an amino acid extension that results in protein misfolding, necessitating the sequestering chaperones. This is in line with the observed insolubility of the precursor form of organellar proteins, but solubility of the mature form when heterologously expressed (e.g., for FNR) (Ceccarelli et al. 1991). Alternatively, amino-terminal extensions might have evolved to form exposed parts of the proteins to ensure sufficient insertion already during translation (Fig. 2, step 3c). In this case, the association with the chaperones would have been a subsequent event. A third scenario that can be envisioned is the evolution of an amino-terminal segment in front of the coding region of a membrane protein. The hydrophobicity of the transmembrane segment would have been automatically recognized by SRP leading to its mistargeting to the ER. A non-hydrophobic segment, however, would have circumvented such targeting but would have led to a cytoplasmically localized hydrophobic protein with a tendency to aggregate, again enforcing Hsp70 interaction (Fig. 2, step 3b). All three scenarios would explain why for both, mitochondrial and chloroplast preproteins, a major component of every so-far-described “guiding complex” is Hsp70. And all the more, it might be



**Figure 2.** Evolution of posttranslational targeting of proteins to the surfaces of the endosymbiotic organelles. A model showing the evolution of posttranslational targeting of proteins to chloroplast and/or mitochondria is depicted. (1) Nuclear-encoded organellar proteins (as black line), initially lacking appropriate targeting signals, are synthesized and most likely accumulated in the cytoplasm. Import of those proteins into the organelles would occur only accidentally after diffusion of the proteins to the organellar surface (outer membrane as white bar), provided that a primitive translocon (dark gray) already had evolved. (2–6) The anticipated order of events for the evolution of appropriate targeting and translocon complexes. For details, see text. Cyt, cytosol; IMS, intermembrane space.

also not surprising that 14–3–3 proteins and Hsp90 are part of these guiding complexes as well, because they are generally known to act in concert with Hsp70 (e.g., Wegele et al. 2004; Yano et al. 2006). Subsequently, chaperone binding might have been stabilized by the evolution of special cofactors that impede nucleotide exchange to prevent elevated energy consumption (Fig. 2, step 4). The existence of such chaperone-containing complexes might have then enforced the evolutionary occurrence of chaperone docking proteins like Tom70 or Toc64 at the organellar surfaces (Schlegel et al. 2007; Mirus et al. 2009), which would omit random diffusion by producing a chemical gradient (Fig. 2, step 5).

However, at this stage, specificity of targeting would not have been provoked. It is known that at least 20% of all cytosolic proteins require guidance of Hsp70 in folding (Hartl and Hayer-Hartl 2002), which would then be—according to this scenario—targeted to the organellar surface. Moreover, protein targeting into the nucleus depends on positively charged regions within the proteins as well and amino-terminal hydrophobic regions serve as signals for cotranslational targeting to the SEC complex. Hence, to ensure the required specificity of targeting, further guiding factors and receptors as well as more specific signals would have to evolve, especially, for example, to discriminate between mi-

tochondria and plastids in plant cells (Fig. 2, transition 5 to 6). Accordingly, evolution had to be directed toward alternative signal sequences still serving their function but avoiding mistargeting. In line, the substrate specificity of Hsp70 is usually mediated by cochaperones (Mayer and Bukau 2005).

In summary, the mentioned scenario might explain why a posttranslational import mode was established during evolution. Cotranslational import, based on a hydrophobic signal, would have mistargeted the proteins to the endoplasmic reticulum, and a complex vesicle transport and fusion system to the organelles would have been required, which at the early period of eukaryogenesis might not have been present in the required differentiation. Nevertheless, the freedom of evolution was early recognized, because 25% of all randomly synthesized peptides are suited as basic import signals for mitochondria (Baker and Schatz 1987). That shows that the specificity of the receptor units at the surface is rather broad, and, therefore, receptors most likely evolved subsequently to the topogenic signals.

### EVOLUTIONARY VARIATIONS OF COMPLEXITY

For many years, the finding of eukaryotes that lack mitochondria was seen as proof for the existence of a premitochondrial phagotrophic proto-eukaryote, but it turned out that these were only secondarily “amitochondriates” still possessing remnants of the original mitochondrion (i.e., mitosomes and maybe hydrogenosomes) (Hackstein et al. 2006). These organelles show a highly reduced complexity in comparison to real mitochondria and teach us the possible simplicity of translocation pathways. In fact, the most simplistic TOM/TIM is constituted of the channel proteins Tom40 and Tim23 as well as of Sam50 only (Lithgow and Schneider 2010).

At the further end of this development are plastids, which are surrounded by more than two envelope membranes (complex plastids). These plastids arose by secondary endosymbiosis and are found in many phototrophic pro-

tists and their nonphototrophic relatives (e.g., Agrawal and Striepen 2010; Keeling 2010). In here, eukaryotes have gained plastids in lateral transfer by taking up already “simple” plastid-bearing eukaryotic cells (an ancestral red or green algae, respectively). This new level of complexity is the ultimate challenge in terms of protein trafficking because plastid proteins have to be transported across up to five membranes (e.g., Gould et al. 2007). Reutilization and adaptation of preexisting transport machineries were carried to the extreme (Hempel et al. 2007; Bolte et al. 2009).

### CONCLUDING REMARKS

As mentioned above, the evolution of the eukaryotic cell was and will be further on a challenging task. Despite all efforts in understanding the evolutionary coherencies, we will probably never be able to fully reconstruct all antecedents of early eukaryogenesis in all details, simply because we do not know how the last common eukaryotic ancestor really looked and which differentiation grade the individual organelles had at that time compared with modern cells. All the more, it is exciting that the finding of a plastid in-birth (Nakayama and Ishida 2009) affords the opportunity to study evolution “in real time.” The cercozoan testate amoeba *Paulinella chromatophora* possesses a cyanobacterial symbiont that traces back to a recent endosymbiotic event that took place a billion years after the primary endosymbiosis of all other plastids (Yoon et al. 2009). At that point, eukaryotic cells were already differentiated and, hence, provided already complex protein targeting and transport machineries as well as a sophisticated vesicle system. It is to be expected that the design of new mechanisms for the organelle in-the-making look completely different from what we know so far.

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