

## REVIEW

# An expanding universe of the non-coding genome in cancer biology

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**Neoplastic transformation is caused by accumulation of genetic and epigenetic alterations that ultimately convert normal cells into tumor cells with uncontrolled proliferation and survival, unlimited replicative potential and invasive growth [Hanahan, D. *et al.* (2011) Hallmarks of cancer: the next generation. *Cell*, 144, 646–674]. Although the majority of the cancer studies have focused on the functions of protein-coding genes, emerging evidence has started to reveal the importance of the vast non-coding genome, which constitutes more than 98% of the human genome. A number of non-coding RNAs (ncRNAs) derived from the ‘dark matter’ of the human genome exhibit cancer-specific differential expression and/or genomic alterations, and it is increasingly clear that ncRNAs, including small ncRNAs and long ncRNAs (lncRNAs), play an important role in cancer development by regulating protein-coding gene expression through diverse mechanisms. In addition to ncRNAs, nearly half of the mammalian genomes consist of transposable elements, particularly retrotransposons. Once depicted as selfish genomic parasites that propagate at the expense of host fitness, retrotransposon elements could also confer regulatory complexity to the host genomes during development and disease. Reactivation of retrotransposons in cancer, while capable of causing insertional mutagenesis and genome rearrangements to promote oncogenesis, could also alter host gene expression networks to favor tumor development. Taken together, the functional significance of non-coding genome in tumorigenesis has been previously underestimated, and diverse transcripts derived from the non-coding genome could act as integral functional components of the oncogene and tumor suppressor network.**

## Introduction

One striking observation from the Human Genome Project is the existence of only ~25 000 protein-coding genes, a surprisingly low number that does not seem to scale with human developmental and pathological complexity. The genomic regions with protein-coding capacity only account for 1.5% of the human genome; and instead, a vast proportion of non-coding genomes in mammals are clearly correlated with the extent of their genomic complexity in evolution (1). The mammalian non-coding genomes include sequences encoding introns, *cis*-regulatory elements, non-coding RNAs (ncRNAs), and most abundantly, repetitive elements (2,3). Contrary to the conventional wisdom that the non-coding sequences have little functional importance, emerging evidence has revealed cell type- and context-dependent transcriptional activity within these non-coding genomic regions, and further highlighted their important biological functions in development and disease (4,5).

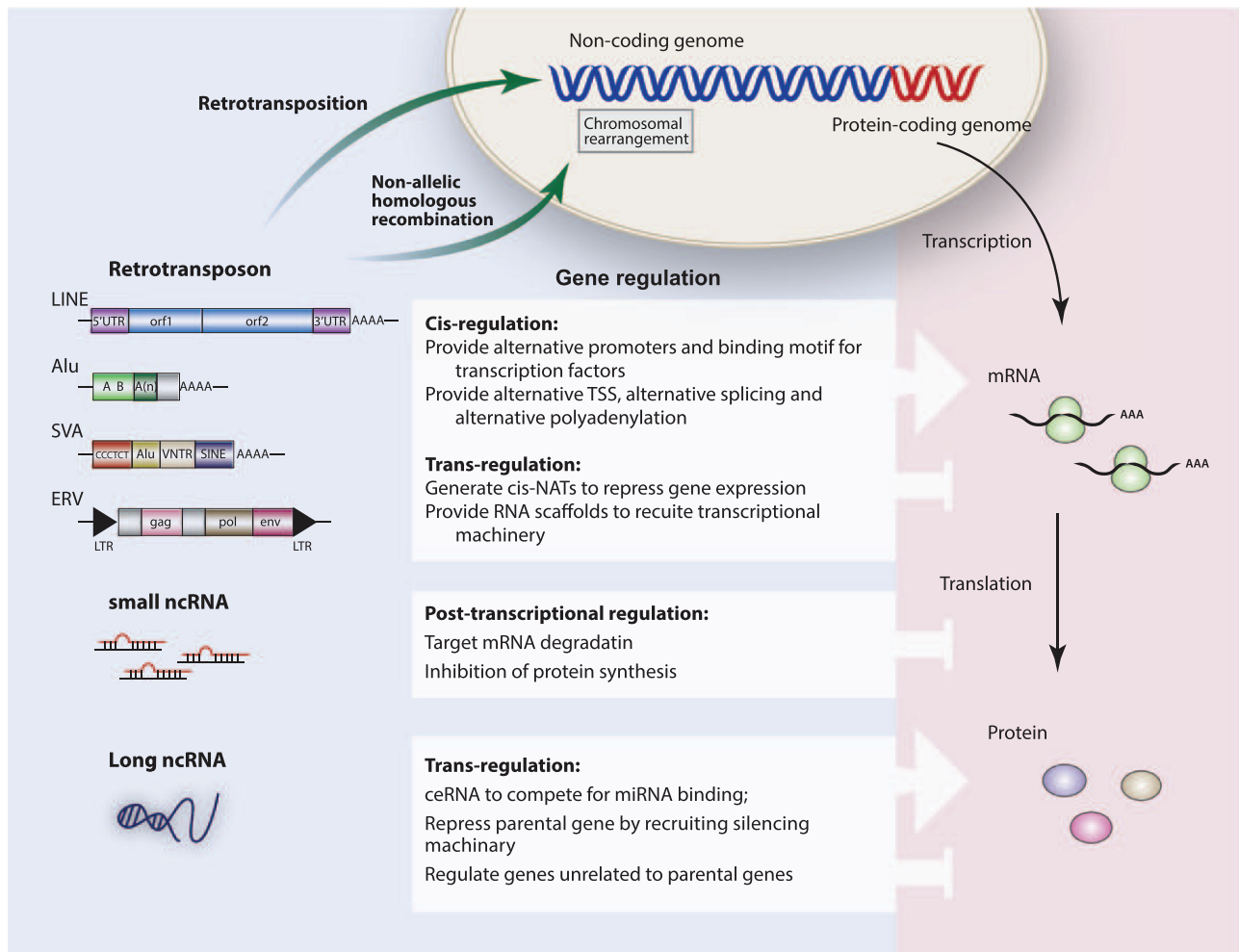
**Abbreviations:** ERV, endogenous retrovirus; LINE, long interspersed element; LTR, long-terminal repeat; miRNA, microRNA; NAHR, non-allelic homologous recombination; ncRNA, non-coding RNA; ORF, open reading frame; SINE, short interspersed element.

Recent advance in sequencing technology has revolutionized the functional characterization of the non-coding genome. Numerous ncRNAs and transposable elements in the mammalian genome exceed protein-coding genes in numbers and in functional complexity. Emerging evidence has demonstrated that the genetic and epigenetic alteration of protein-coding genes cannot constitute the entire molecular basis underlying the pathogenesis of tumor development. It is increasingly clear that the functional importance of the non-coding genome in cancer biology, particularly that of ncRNAs and transposable elements, has been largely overlooked until recently. ncRNAs do not possess protein-coding capacity, and can be further divided into small ncRNAs (<200 nt) and long ncRNAs (>200 nt), purely based on the length of the molecules. The majority of ncRNAs that impact tumorigenesis act to regulate gene expression through a diverse range of molecular mechanisms. Transposable elements, on the other hand, contain abundant retrotransposons and DNA transposons, with retrotransposons starting to emerge with a potential role in promoting tumorigenesis. Here, we will review the recent advance in cancer biology to reveal the functional importance outside the protein-coding genome.

## MicroRNAs as integral components of oncogene and tumor suppressor network

Small ncRNAs, including microRNAs (miRNAs), small interfering RNAs (siRNAs) and Piwi interacting RNAs, refer to a class of ncRNAs that are less than 200 nt in length. As the best functionally characterized small ncRNAs, miRNAs regulate gene expression through posttranscriptional repression (6). Nascent miRNA transcripts (pri-miRNAs) are processed sequentially by two ribonuclease III enzymes, Drosha and Dicer, to yield mature miRNAs (7,8). Upon maturation, one strand of the miRNA duplex is selectively incorporated into the RNA-induced silencing complex, subsequently mediating the post-transcriptional gene silencing of specific mRNA targets through imperfect complementarity (9). The specificity of the miRNA-mRNA binding is often, although not exclusively, achieved by a perfect base-pairing at the miRNA ‘seed’ region—the 2–7 nucleotides at the miRNA 5′ end (10). miRNA-mediated posttranscriptional silencing can occur through degradation of target mRNAs (11,12), and/or inhibition of protein synthesis at the initiation stage (13) (Figure 1). Owing to their small size and imperfect base-pairing with the targets, miRNAs have the capacity to regulate many target mRNAs, and therefore act as global regulators for gene expression.

The connection between miRNAs and cancer was first suggested by their frequent genomic alteration and dysregulated expression in various human tumors (14). Subsequently, the first oncogenic miRNA, mir17-92, have been characterized with potent oncogenic activity both in mouse models and in cell culture studies (15). To date, many miRNAs have been identified to promote or suppress oncogenesis in mouse tumor models, cell culture systems and clinical studies, regulating nearly all essential cellular processes during tumorigenesis. Consistently, both specific miRNAs and components of the global miRNA biogenesis machinery undergo genetic and epigenetic alterations in a variety of human cancers (16,17). Aberrant alteration of miRNA levels and activities often lead to aberrant dosage of their target genes, which often dictates aberrant functional readouts of multiple molecular pathways during tumorigenesis. In addition, a single miRNA could regulate a specific oncogene or tumor suppressor pathway by repressing multiple components, and ultimately provide selective advantages at different stages of tumor development. Taken together, miRNAs are integral components of the oncogene and tumor suppressor network. Their functional significance during cancer development makes these small RNAs great candidates for novel diagnostic markers and therapeutic targets. In fact, the miRNA mimics to *miR-34a*, a p53-regulated miRNA with potent tumor suppressor effects upon overexpression, has reached Phase I studies in clinics for treating liver cancer. The functions of miRNAs in cancer development is a subject of extensive discussion in a few recent reviews (17,18), and will not be the focus of this article.



**Figure 1.** A summary of diverse transcripts derived from the non-coding genome and their potential roles in cancer development.

### A potential role for retrotransposons in tumor development

Nearly half of the mammalian genome consists of transposable elements, which are divided into DNA transposons and retrotransposons. DNA transposons undergo excision and reintegration through a self-encoded transposase enzyme, using a ‘cut-and-paste’ mechanism that does not increase their copy number. In contrast, retrotransposons propagate by a ‘copy-and-paste’ mechanism, utilizing an RNA intermediate that is reverse-transcribed into DNA before integration into a new genomic locus. Thus, retrotransposons can quickly accumulate within host genomes, and greatly outnumber other species of transposable elements (2). The conventional wisdom depicts retrotransposons as selfish genomic parasites that remain strictly silenced in somatic tissues. However, retrotransposons occasionally escape transcriptional silencing under specific developmental and pathological contexts; the resulting aberrant propagation can compromise host fitness, at least in part due to mutagenic events and increased genomic instability. Furthermore, increasing evidence also suggests that at least a subset of retrotransposon elements could act both in *cis* and in *trans* to alter the structure and expression of adjacent protein-coding genes (19,20). Thus, reactivation of retrotransposons during tumor development could contribute to oncogenesis through multiple mechanisms (Figure 1).

In mammals, retrotransposons constitute ~40% of the genome, and can be further classified as long-terminal repeat (LTR) retrotransposons or non-LTR retrotransposons. LTR retrotransposons, also called endogenous retroviruses (ERVs) in mammals, represent evolutionary remnants of ancient retroviral invasions into the host genome. ERVs

consist of two LTRs flanking an internal sequence that contains *gag*, *pol* and *env* genes encoding the core viral proteins. ERVs are further grouped into three classes on the basis of homology to exogenous retroviruses: Class I (including MuLV and VL30), Class II (including IAP and ERVK) and Class III (including ERVL and MaLR (21)). Although most ERVs are heavily mutated and/or truncated, a small number of retrotransposon elements in mammalian genomes resemble intact exogenous retroviruses in overall structure and retrotransposition capacity. Interestingly, the human ERVs (HERVs) are present as molecular fossils, the majority of which have no retrotransposition activity as a result of accumulated mutations and truncations (2,22). Nevertheless, HERVs still confer strong gene regulatory effects, possibly contributing to malignant transformation of a variety of cell types (see below). Non-LTR retrotransposons mainly comprise long interspersed elements (LINEs) and short interspersed elements (SINEs). Hominid genomes also contain SVAs, elements that each consists of a SINE region, a Variable number of tandem repeats and an Alu-like sequence. In contrast to the inactive human ERVs, a small number of intact LINE-1, Alu and SVA elements still remain active in retrotransposition (23–25), and *de novo* integration events caused by all three retrotransposon classes have been described in a variety of human tumors (26–28).

### Expression regulation of retrotransposons in cancer

As remnants of integrations of exogenous retroviruses and self-propagation during evolution, retrotransposons are thought to be mostly silenced by host genome surveillance through various mechanisms,

including DNA hypermethylation (29,30), histone modification, polycomb complex interaction (31), Piwi-interacting RNA and endo-siRNA-mediated silencing (32,33). Nevertheless, a surge of specific retrotransposon expression is observed during specific stage of embryogenesis and germ line development, possibly through multiple intricate machineries that precisely regulate such processes (31,34–38).

The delicate retrotransposon suppression network is likely dysregulated in cancer cells, as a result of genetic and epigenetic alterations. Cancer genomes are overall hypomethylated in the repeat-rich heterochromatin regions, a pattern that could lead to the transcriptional activation of these repeats (39,40). In addition, aberrant retrotransposon expression in cancer cells could be induced by stress stimuli, including, but not limited to, metabolic stress, unfavorable tumor microenvironment and genotoxic agents. Stress-induced activation of retrotransposons has been observed in many organisms. Heat shock and cycloheximide treatment can rapidly increase Alu and SINE levels (41); benzo(a)pyrene (BaP), a ubiquitous environmental carcinogen, can upregulate L1 RNA levels and increase L1 retrotransposition activity (42), by decreasing DNA methylation and increasing H3K4 trimethylation (H4K4Me3) and H3K4 acetylation (H3K9Ac) at LINE-1 promoters (43). Given these observations, it is likely that genetic and epigenetic alterations in specific cancer types induce aberrant retrotransposon expression that has profound functional impact on tumor development.

#### *Retrotransposition of reactivated non-LTR retrotransposons in human cancer*

In the human genome, only a small number of intact non-LTR retrotransposons, including LINE, Alu and SVA, have the ability for retrotransposition. The human genome has nearly 500 000 LINE elements and 1 500 000 SINE elements. Most tumor-specific retrotransposition events identified so far are attributed to the aberrant activity of LINE-1 (L1) retrotransposon class, due to its abundance in the genome, as well as its intact and autonomous retrotransposition machinery. L1 retrotransposition leads to insertional mutagenesis events that are potentially detrimental to the host genome (23). The full length of the human L1 retrotransposon is ~6kb, including a 5' untranslated region (5' UTR) with a RNA polymerase II promoter, two open reading frames (ORFs), and a 3' UTR that contains a polyadenylation signal and a polyA tail. ORF2 encodes a ~150-kDa protein that has reverse transcriptase and endonuclease activities crucial for LINE1 retrotransposition (44,45), whereas the protein encoded by ORF1 forms a trimer that serves as a RNA chaperone (46,47). The intact L1 can directly retrotranspose into genomic DNA through a mechanism called target-primed reverse transcription, during which the ORF2p generates a single-strand endonucleolytic nick at target genomic loci. The liberated 3'-OH then is used as a primer by the L1 reverse transcriptase to initiate cDNA synthesis using the L1 mRNA as a template. The second strand of targeted DNA then is cleaved and used to prime second-strand synthesis. The signatures of this L1 integration include 5' truncation, shortening of oligo(dA) at the 3' end, and target site duplications of 7–20 base pairs in length (48). L1s can also insert into transcribed genes, in either the sense or antisense orientation, and retrotranspose sequences derived from their 3' flanks to new genomic locations (49). By doing so, L1 has the potential to serve as a vehicle to mobilize fragments of protein-coding genes into other genomic loci to create new genes, or to alter the expression of existing genes (50,51). These L1 retrotransposition events provide a mechanism for insertional mutagenesis in somatic cells, possibly yielding various pathological conditions, including cancer (Figure 1).

Of the 500 000 copies of LINE elements in the human genome, the majority of L1 elements have lost their retrotransposition activity by truncation, inversion, mutation or recombination (52,53), leaving fewer than 100 copies competent for retrotransposition (2). It has been long suspected that L1 retrotransposition is involved in cancer development, yet the repetitive and diverse nature of L1 elements poses a technical difficulty in identifying cancer-specific integration sites. Historically, the identification of *de novo* L1 insertion was performed by analyzing new L1 insertion sites near well-defined tumor

suppressors or oncogenes using classic molecular biology approaches. For example, new L1 integration has been observed by Southern analysis in the last exon of the tumor suppressor adenomatous polyposis coli in colorectal cancers, which disrupt intact adenomatous polyposis coli function to control cell growth (26). Another classic example of L1 insertion in cancer was observed in canine transmissible venereal tumor, where L1 insertion occurs (at high frequency) 5' to the first exon of the proto-oncogene *c-myc*, driving high c-Myc expression and predisposing cells to malignant transformation (54–56).

Recent advances in high-throughput sequencing technology have revolutionized our ability to analyze L1 activity during tumor development (57). The first effort to identify novel somatic L1 insertions on a genome-wide scale was performed in human lung tumors using L1-Ta junction PCR followed by pyrosequencing. In that study, tumor-specific somatic L1 insertions are observed to occur at high frequency in lung cancer cells, but not in adjacent healthy tissues (58). The prevalence of L1 insertion was further confirmed in colorectal cancers using whole-genome paired-end sequencing (27,28). Here, a third of tumor-specific L1 insertions lead to the disruption of annotated protein-coding genes, some of which have implicated tumor suppressor functions with reoccurring mutations. Furthermore, the oncogenic role of somatic L1 insertion also is demonstrated in a subset of hepatocellular carcinomas (59), where either inherited or somatic *de novo* L1 integrations possibly yield a strong oncogenic effect. In this study, a germ line L1 insertion occurs in the tumor suppressor MCC (mutated in colorectal cancers) gene, which ablated MCC expression and activates oncogenic  $\beta$ -Catenin/Wnt signaling. In addition, a tumor-specific L1 inserted into the enhancer region of tumorigenicity 18 (*ST18*), a candidate oncogene in hepatocellular carcinoma, thus aberrantly activating *ST18* by interrupting its negative feedback loop (59). The exact molecular mechanism governing the cancer-specificity of L1 reactivation remains largely unclear; in addition to target-primed reverse transcription, multiple mechanisms have been proposed by which L1 mobilize to alter cancer genome.

To date, *de novo* L1 insertions have been found in a number of human tumor types, including colon cancer, lung cancer, ovarian cancer, prostate cancer and hepatocellular carcinoma (59). In these studies, aberrant L1 retrotransposition activity varies among tumor types and among individual patients; and it is yet to be determined whether the occurrence of L1 retrotransposition has any prognostic value. Interestingly, *de novo* L1 insertions in somatic tissues may also occur in normal development. Although still controversial, researchers have reported a high level of somatic LINE-1 retrotransposition in human neuronal progenitor cells, which is likely to alter the transcriptome dynamics in mature neurons and contribute to their plasticity (60). Taken together, aberrant L1 insertion in somatic tissue could constitute a novel mechanism for mutagenesis of the cancer genome. The findings described above could have profound impact on our understanding of the pathogenesis of cancer.

Alu and SVA, two non-LTR retrotransposon classes, also are capable of retrotransposition, although their retrotransposition frequency is much lower than that of L1s in human cancer (61). Unlike the intact L1 elements, which encode all machineries required for retrotransposition, both Alu and SVA elements hijack the activated L1 ORF2p protein *in trans* to achieve retrotransposition (62). Alu elements constitute the most abundant class of transposable elements in human, with ~1 000 000 copies interspersed throughout the genome. Alu elements are derived from the small cytoplasmic 7SL RNA, without any protein-coding capacity. The transcription of Alu elements is initiated by a RNA polymerase III-binding promoter (63), yet their low-fidelity transcription generates many mutations during the expansion of Alu elements in the genome. Most of the intact mobile Alu elements belong to a young Alu family, AluY, whose *de novo* insertions are mostly confined to the non-coding genome (64), but occasionally disrupting protein-coding genes to compromise the integrity of the tumor suppressor network (65). SVA is a human-specific retrotransposon comprising ~7000 copies in the genome. Although *de novo* SVA insertions have been associated with some human diseases (such as X-linked agammaglobulinemia and Fukuyama-type congenital



muscular dystrophy) (66,67), cancer-causing SVA insertions are yet to be identified and validated.

#### *Retrotransposons mediate chromosomal rearrangement*

The presence of abundant and highly homologous retrotransposon elements in the human genome can mediate non-allelic homologous recombination (NAHR). NAHR is initiated by double-strand breaks, followed by homologous recombination between two highly similar DNA fragments (usually >1 kb apart). One of the single-stranded DNA tails formed at the break site invades the non-allelic homologous DNA duplex, forming a displacement-loop, which then is extended by DNA synthesis. The 3' single-stranded DNA tail then is captured and forms a double Holliday junction. Depending on position, orientation and resolution of this junction, NAHR between two identical or highly homologous Alu elements can result in deletion, inversion, duplication or translocation. Since cancer cells tolerate high level of DSBs by compromising checkpoint machinery, evading apoptosis and overexpressing DSB repair proteins, retrotransposon-mediated NAHR could contribute to frequent chromosomal rearrangement in cancer cells (Figure 1), possibly leading to pathogenic copy number variations (68,69).

Unlike L1 elements that are enriched in intergenic regions, Alu elements are preferentially enriched in gene-rich regions (70,71). The chromosomal rearrangements mediated by Alu–Alu homologous recombination can have direct functional impacts on protein-coding genes. For example, *BRCA1* and *BRCA2* are important DNA repair proteins, mutations of which are associated with inherited breast/ovarian cancer. Interestingly, high densities of repetitive elements occur in both loci, with *BRCA1* consisting of 42% Alu sequences and *BRCA2* containing 20% Alu and 27% LINE sequences (72–74). Thus, both *BRCA1* and *BRCA2* genes are susceptible to NAHR-mediated mutagenesis, as demonstrated in patients with hereditary breast/ovarian cancer. In recent studies, an 89-kb deletion encompassing *BRCA1* exons 7–11 and a 23-kb deletion containing *BRCA1* exons 11–15 were both found to be flanked by two highly homologous Alu elements (75). Not surprisingly, L1-mediated NAHR has not been identified in cancer, possibly due to lower L1 recombination frequency and/or a negative selection pressure imposed by deletion of the much larger L1 element.

Similarly, active ERVs also have the potential to mediate chromosomal rearrangements. The human ERV type K (HERV-K) is the best-characterized ERV associated with increased genomic variation. Members of the HERV-K superfamily are considered to be the most recent and active members of human ERVs. There are ~550 HERV-K loci and 6400 HERV-K derived solo LTRs in the human genome (76). Although evidence indicates the occurrence of HERV-K-mediated chromosomal rearrangements during human genome evolution (77,78), it is unclear whether the divergence and low copy number of HERV-K would permit NAHR in the cancer genome.

#### *Retrotransposons confer regulation of adjacent genes.*

While L1 and Alu retrotransposition occur in a tumor type-specific manner and possibly generates mutagenic events to promote tumor progression, transcriptional regulation conferred by aberrantly retrotransposon derepression could also constitute a mechanism dictating an aberrant transcriptional program that favor tumor development. In 1950s, when Barbara McClintock first discovered transposable elements, she speculated that such sequences could act as mobile 'controlling elements' that regulate host gene transcription and alter phenotypes. Indeed, a subset of mammalian retrotransposons resides at the vicinity of protein-coding genes, and could have the capacity to alter the structure and expression of adjacent host genes upon their escape of the transcriptional silencing (79).

Retrotransposons, particularly LTR retrotransposons (ERVs) and their evolutionary remnants, impact the neighboring genes through a variety of mechanisms. First, ERV reactivation, either as a solo-LTR or as an intact ERV element, yields a strong viral LTR promoter that could act to enhance the expression level of adjacent genes on the

same strand (80). Additionally, ERVs could reside at gene promoters, enhancers or silence/insulator regions, and provide binding motifs for important oncogenic and tumor suppressor transcription factors to confer a unique transcriptional regulation on the adjacent genes (81). Second, an intronic retrotransposon, upon its reactivation, could lead to alternative transcription start site usage, alternative splicing or alternative polyadenylation, thus generating a unique isoform of the adjacent gene (79,82,83). Third, antisense transcripts could be derived from retrotransposons that overlap with adjacent genes in the opposite orientation, and subsequently disrupt the expression of the neighboring genes with sequence complementarity (84). Finally, retrotransposon transcript could act as an RNA scaffold in *trans* to recruit epigenetic machineries to confer transcriptional regulation on nearby genes (20). Taken together, reactivation retrotransposons, even those without retrotransposition capacity, could confer strong regulation on adjacent genes (Figure 1).

Consistent with this idea, 18.1 and 31.4% of transcription start sites map within transposable elements in the mouse and human genomes, respectively. However, retrotransposon-initiated transcription varies considerably among tissues and cell types, with one of the strongest representations observed in embryonic tissues (85). This result is consistent with widespread ERV derepression during normal embryonic development, particularly in preimplantation embryos (86). One of the best-characterized examples is the derepression of MERVL retrotransposons in two-cell-stage mouse embryos. The MERVL-mediated regulation on adjacent protein-coding genes constitutes the molecular basis for the unique developmental potential of two-cell embryos (19). Given the gene regulatory effects caused by retrotransposon derepression in normal embryonic development, it is plausible that cancer cells, particularly cancer stem cells, could harbor the same retrotransposon-initiated transcription activity that occurs in embryonic cell types. Thus, retrotransposon derepression could yield altered expression and structure of the adjacent genes, possibly constituting at least one mechanism for the frequent alternative transcription start site usage observed in various cancers (87–90).

Intronic retrotransposons can alter the intron–exon distribution of the RNA transcripts by enforcing alternative splicing, intron retention, exonization and/or premature polyadenylation. Almost 90% of multi-exon human genes undergo alternative splicing and generate multiple splice variants during development and cell differentiation (91–93). So far, most relevant studies have focused on the role of SINEs in mediating alternative splicing. Both the sense and antisense strands of Alu contain a number of potential splice sites; therefore, the pre-mRNAs containing Alu sequence will be recognized by the splicing machinery and lead to exonization of a Alu fragment (82). The abundance of Alu in gene-rich regions provides a repertoire of alternative splicing sites. In fact, Alu exonization is such a widespread phenomenon that in the human brain as many as 50% transcripts contain Alu sequence (94). In addition, adenosine-to-inosine (A-to-I) RNA editing by ADAR protein on intramolecular pair of inverted Alu repeats can create or eliminate splicing signals on pre-mRNAs. As 90% of A-to-I editing occurs on intronic Alu sequences, these Alu-containing transcripts can be subject to aberrant splicing (95). Similarly, there is evidence suggesting that ERVs also can disrupt transcription by regulating splicing. By sequence prediction, human ERVs such as ETn, HERV-W and HERV9 show strong internal exonization by providing cryptic splice donor/splice acceptor sequences or polyadenylation signal in an orientation-sensitive manner (96). Cancer cells have been shown to display a change in transcript splicing pattern from normal cells (97,98). Many critical genes involved in cell proliferation and DNA damage have cancer-specific variants resulting in dysfunctional or even antagonizing proteins. Dysregulation of the splicing machinery and RNA editing have been reported in human cancers (99,100); these defects might target retrotransposons-containing pre-mRNAs, thereby generating oncogenic splicing variants.

Another mechanism through which retrotransposons could regulate adjacent gene expression is through the generation of antisense transcripts. When retrotransposons and corresponding adjacent genes are located on opposite strands, the retrotransposon-associated

transcription can generate *cis*-natural antisense transcripts (*cis*-NATs). Indeed, transcriptome analysis has demonstrated that there are extensive occurrences of antisense transcription of retrotransposon *cis*-NATs (86). The functions of these antisense transcripts are still puzzling. However, some ERV families exhibit antisense bias in regions close to genes, suggesting some degree of selection for this antisense orientation (96,101). The antisense ERV transcription, as seen with ERV9, has been observed to strongly associate with the transcriptional disruption of adjacent genes (102,103). A LINE-1 derived antisense, LCT13 has been shown to direct target metastasis-suppressor gene *TFPI-2* and suppress its expression and impact on tumor progression (104). In some cases, these *cis*-NATs can be further processed into miRNAs (105) or RNAs complementary to the adjacent gene to mediate this posttranscriptional repression (106).

Whether retrotranspositions contribute to or are simply consequence of the massive genomic changes that occur throughout cancer genomes is not yet clear. Assessment of the phenotypic effect of an insertion will require evaluation of the selective advantage imparted to the populations of cells that possess specific novel insertions. If tumor cells that contain new L1 insertions can undergo colony expansion, these insertions would be enriched, generating sufficient reads to be detected in high-throughput sequencing. Given the clonal nature of cancer evolution, a single clone that possesses metastatic capability or drug resistance will be further selected during tumor progression (107). Therefore, opportunities to capture the endogenous retrotransposition events are likely to be higher in the tumor metastases and drug-resistant samples. Current studies of endogenous retrotransposons is impeded by limitations in accurate alignment and mapping of repetitive sequences, and further hindered by a lack of functional assays. The development of more sophisticated tools for genome sequencing and computational analysis will be critical for the progression of these critical studies.

### A potential role of long ncRNAs in cancer development

The functional importance of long ncRNAs (lncRNAs) in development has been well demonstrated for decades. A number of classic lncRNAs act as the key regulators for multiple essential developmental processes, such as X-inactivation, dosage compensation and imprinting. Currently, lncRNAs are defined as ncRNAs greater than 200 nucleotides in length, and encompass a broad spectrum of different RNA classes, including enhancer intergenic RNAs (formerly lincRNAs), RNAs (eRNAs), circular RNAs, pseudogenes and sense and antisense RNAs overlapping other protein-coding or non-coding transcripts (108). This classification of lncRNAs by length defines an ncRNA class different from small ncRNAs. lncRNAs have diverse structural features, expression patterns and functional readout. Next-generation sequencing projects, such as FANTOM (Functional Annotation of Mammalian cDNA), have revealed the abundance and the complexity of numerous ncRNAs across human genome, which exceed the protein-coding genes in numbers and complexity (109).

We are only starting to understand the realm of ncRNA biology and the diverse mechanisms through which they regulate development and disease. Contrary to protein-coding genes and miRNAs that are largely evolutionarily conserved, lncRNAs often exhibit much weaker conservation, and even lncRNAs with strong biological phenotypes, such as *Air* and *Xist*, are poorly conserved, suggesting that evolutionary selection on lncRNAs largely act to preserve the RNA structure rather than the primary sequence (110). Despite the diverse structure features, expression patterns and mechanisms of action, lncRNA functions often converge on gene regulation. lncRNAs could target transcription factors, basal transcription machinery and even DNA to mediate transcriptional regulation. lncRNAs also mediate posttranscriptional regulation, at least in part by incomplete base-pairing with complementary mRNA to regulate pre-mRNA processing, splicing, transport, translation and degradation. Finally, lncRNAs could act as integral components of chromatin complexes, mediating epigenetic regulation via recruiting and directing chromatin modifying complexes to the target loci (Figure 1).

Expression studies have identified considerable lncRNA species with cancer-specific alterations in various tumor types at distinct stages of cancer progression. lncRNA *MALAT1* is aberrantly upregulated during metastasis of non-small cell lung cancer, and acts as an early prognostic marker for poor survival (111). lncRNAs *HOTAIR* and *HULC* also exhibit strong expression level specifically in cancer. In addition, specific lncRNAs are regulated transcriptionally by key oncogene and tumor suppressor pathways, and likely to act as integral components of such signaling network (112). For example, *lincp21* is a *bona fide* p53 transcriptional target, whose upregulation mediates a portion of p53 downstream effects (113).

Despite the ample examples of altered lncRNA expression in cancer, genomic analyses and functional studies has yet to generate a comprehensive understanding on lncRNA functions in cancer. Nevertheless, the importance of specific lncRNAs in tumor development has started to emerge. For example, the classic lncRNA *Xist* has a potent tumor suppressor effect. The deletion of *Xist* in the blood compartment leads to a highly aggressive myeloproliferative neoplasm and myelodysplastic syndrome in mice due to aberrant X reactivation and multiple autosomal changes (114). In addition, some protein-coding gene loci, including well-defined oncogenes and tumor suppressors, generate antisense transcripts, which in turn cause DNA replication and mitotic anomalies, genome instability and dysregulation of the hematopoiesis pathway (115). Cancer cells also employ antisense RNAs to repress the transcription of tumor suppressor genes by epigenetic mechanisms. One of the best examples is an antisense transcript derived from the tumor suppressor locus, *p15*, which enhances the heterochromatin and DNA methylation of *p15* to transcriptionally silence this important tumor suppressor gene (116).

Among the diverse lncRNAs, pseudogenes represent an ncRNA class that has long been speculated to impact on tumor development. Pseudogenes are defective relatives of parental protein-coding genes, such that the pseudogenes have lost protein-coding capacity due to accumulation of mutations. There are two types of pseudogenes: non-processed pseudogenes (believed to have originated by gene duplication) and processed pseudogenes (believed to have arisen by retrotransposition) (117–119). In addition to the 26 000 well-annotated protein-coding genes, the human genome is estimated to contain more than 17 000 pseudogenes, two-thirds of which are of the processed type (120). Originating from mRNA transcripts, processed pseudogenes do not contain introns and typically are located on different chromosomes from that of the gene of origin. Although reverse transcriptase activity of L1 has been implicated to act in *trans* in generating processed pseudogenes, such pseudogenes do not necessarily associate with L1 sequences. Given the aberrant L1 activity frequently observed in cancer cells (121), it is likely that *de novo* generation of somatic pseudogenes arise from aberrant retrotransposon activity during tumorigenesis. Consistently, novel pseudogenes have been identified from various human cancers using next-generation sequencing.

Despite the diversity of pseudogenes in the human genome, little is known about the function of these non-coding transcripts. Emerging evidence suggests that pseudogenes are not merely 'junk DNA'; instead, pseudogenes could exert physiological and pathological functions through distinct mechanisms. First, pseudogene transcripts are proposed to function as competitive endogenous RNAs competing with the parental gene for miRNAs or RNA-binding proteins (122,123). Although this decoy mechanism is still under debate, it could constitute a mechanism through which pseudogenes regulate the expression and function of their parental genes, by allowing the parent loci to bypass miRNA-mediated posttranscriptional-silencing or RNA-binding-protein-mediated gene regulation. For example, *PTENP1*, a pseudogene of the tumor suppressor *PTEN*, is frequently downregulated in various cancer types. *PTENP1* serves as a decoy for *PTEN*-targeting miRNAs to maintain a high-level expression for *PTEN*, and *PTENP1* downregulation/deletion leads to reduced *PTEN* level (124). By analogy, pseudogenes of key oncogenes and tumor suppressors are likely to be integral components of the oncogene and tumor suppressor pathways. Second, antisense transcripts derived from the pseudogene loci can be recruited to the

parental genomic loci for transcription repression. For instance, the antisense RNA derived from OCT4-pg5, an OCT4 pseudogene can recruit silencing complex to Oct4 promoter region and repress its transcription (125). Finally, pseudogene transcripts also could function as long ncRNAs and affect the expression of genes unrelated to the parental genes. The best example is lncRNA *Xist*, a major effector of X-inactivation, which is a pseudogene of functional-unrelated *Lnx3* protein-coding gene (126). Taken together, these data suggest that pseudogenes might confer unexpected gene regulatory activity, potentially acting as integral components of the oncogene and tumor suppressor network.

## Conclusion

Once regarded as 'junk DNA', the functional importance of the non-coding genome is increasingly recognized in human development and disease. Both small ncRNAs and lncRNAs are integral components of the oncogene and tumor suppressor network. Their genetic and epigenetic alterations have profound functional impacts on the expression of specific protein-coding and non-coding genes through diverse mechanisms. In addition, retrotransposons could be aberrantly expressed and/or activated during tumor development. Being the most abundant elements in the human genome, a subset of reactivated retrotransposons could mediate insertional mutagenesis, genome recombination and gene conversion and, more interestingly, regulate the structure and expression of adjacent genes. The key challenge we now face is to pinpoint, with gene-specific resolution, the specific non-coding loci that have disease-causing functions. With the recent advances in sequencing technology, we are finally able to identify specific transcripts from the non-coding genome specific to cancer cells, and to define the retrotransposon-mediated regulation of adjacent genes. In the near future, the battlefield of the war against cancer is likely to hinge upon our thorough understanding about this 'dark matter' of our genome.

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