

Cerebral blood flow response to acute hypoxic hypoxia

Ashley D. Harris^a, Kevin Murphy^a, Claris M. Diaz^a, Neeraj Saxena^b, Judith E. Hall^b, Thomas T. Liu^c and Richard G. Wise^{a*}



Hypoxic hypoxia (inspiratory hypoxia) stimulates an increase in cerebral blood flow (CBF) maintaining oxygen delivery to the brain. However, this response, particularly at the tissue level, is not well characterised. This study quantifies the CBF response to acute hypoxic hypoxia in healthy subjects. A 20-min hypoxic (mean $P_{ETO_2} = 52$ mmHg) challenge was induced and controlled by dynamic end-tidal forcing whilst CBF was measured using pulsed arterial spin labelling perfusion MRI. The rate constant, temporal delay and magnitude of the CBF response were characterised using an exponential model for whole-brain and regional grey matter. Grey matter CBF increased from 76.1 mL/100 g/min (95% confidence interval (CI) of fitting: 75.5 mL/100 g/min, 76.7 mL/100 g/min) to 87.8 mL/100 g/min (95% CI: 86.7 mL/100 g/min, 89.6 mL/100 g/min) during hypoxia, and the temporal delay and rate constant for the response to hypoxia were 185 s (95% CI: 132 s, 230 s) and 0.0035 s^{-1} (95% CI: 0.0019 s^{-1} , 0.0046 s^{-1}), respectively. Recovery from hypoxia was faster with a delay of 20 s (95% CI: -38 s, 38 s) and a rate constant of 0.0069 s^{-1} (95% CI: 0.0020 s^{-1} , 0.0103 s^{-1}). R_2^* , an index of blood oxygenation obtained simultaneously with the CBF measurement, increased from 30.33 s^{-1} (CI: 30.31 s^{-1} , 30.34 s^{-1}) to 31.48 s^{-1} (CI: 31.47 s^{-1} , 31.49 s^{-1}) with hypoxia. The delay and rate constant for changes in R_2^* were 24 s (95% CI: 21 s, 26 s) and 0.0392 s^{-1} (95% CI: 0.0333 s^{-1} , 0.045 s^{-1}), respectively, for the hypoxic response, and 12 s (95% CI: 10 s, 13 s) and 0.0921 s^{-1} (95% CI: 0.0744 s^{-1} , 0.1098 s^{-1}) during the return to normoxia, confirming rapid changes in blood oxygenation with the end-tidal forcing system. CBF and R_2^* reactivity to hypoxia differed between subjects, but only R_2^* reactivity to hypoxia differed significantly between brain regions. © 2013 The Authors. *NMR in Biomedicine* published by John Wiley & Sons, Ltd.

Supporting information may be found in the online version of this paper.

Keywords: arterial spin labelling (ASL); blood oxygenation; cerebral blood flow (CBF); cerebral perfusion; hypoxia; R_2^* ; temporal dynamics

INTRODUCTION

An acute decrease in the arterial partial pressure of oxygen (P_{aO_2}) stimulates increased blood flow to the brain to maintain cerebral oxygen delivery. However, the regional perfusion increase can be subtle during mild hypoxia [arterial P_{O_2} range of 60–150 mmHg (1)], only becoming more pronounced and consistent with moderate to severe hypoxic challenges (2). The blood flow response to hypoxia is also dynamic as it evolves with prolonged hypoxic exposure, for example, over the course of days (1,3).

One of the first methods to measure blood flow to the brain was the Kety–Schmidt method, which is based on indicator diffusion theory (4). It was used to investigate the effects of altered blood gases on blood flow to the brain (5) and found that blood flow increased by 35% with a 10% inspired oxygen challenge. Currently, one of the most widely used techniques for the assessment of alterations in bulk blood flow is transcranial Doppler (TCD) ultrasound. TCD measures velocity directly and relies on the relationship between the arterial blood velocity and blood flow, and typically assumes a constant vessel cross-sectional area. The blood flow response to a range of levels of acute and chronic hypoxia, as well as the sensitivity of blood flow to hypoxic hypoxia at different levels of end-tidal CO_2 , have been characterized using TCD ultrasound (1,3,6). Reactivity to hypoxia and hypercapnia of different arteries feeding the brain, specifically the internal carotids, the vertebral arteries and the middle (MCA) and posterior cerebral

arteries, has been characterised using TCD (7). The temporal dynamics of bulk blood flow in the MCA during acute hypoxic hypoxia have been characterised with TCD, suggesting that the time constant in response to hypoxia is approximately 80 s

* Correspondence to: R. G. Wise, CUBRIC, School of Psychology, Cardiff University, Park Place, Cardiff CF10 3AT, UK.
E-mail: wiserg@cardiff.ac.uk

- a A. D. Harris, K. Murphy, C. M. Diaz, R. G. Wise
CUBRIC, School of Psychology, Cardiff University, Cardiff, UK
- b N. Saxena, J. E. Hall
Department of Anaesthetics, Intensive Care and Pain Medicine, School of Medicine, Cardiff University, Cardiff, UK
- c T. T. Liu
Center for Functional Magnetic Resonance Imaging and Department of Radiology, University of California San Diego, La Jolla, CA, USA

This is an open access article under the terms of the Creative Commons Attribution License, which permits use, distribution and reproduction in any medium provided the original work is properly cited.

Abbreviations used: ANOVA, analysis of variance; ASL, arterial spin labelling; CBF, cerebral blood flow; CI, confidence interval; MCA, middle cerebral artery; P_{aO_2} , arterial partial pressure of oxygen; P_{ETCO_2} , partial pressure of end-tidal carbon dioxide; P_{ETO_2} , partial pressure of end-tidal oxygen; SNR, signal-to-noise ratio; S_{pO_2} , blood oxygen saturation; TCD, transcranial Doppler.

and the time constant for return to normoxia after hypoxia is 29 s with a delay in the initiation of each response of 5 s (8).

In contrast with the measurement of bulk arterial flow, cerebral tissue perfusion can be measured using nuclear imaging methods (positron emission tomography or single photon emission computed tomography), computed tomography as well as MR-based methods. With a 12% inspired oxygen challenge, global cerebral blood flow (CBF) has been shown to increase by 8.7% (9). CBF in some brain regions has been shown to be more responsive to hypoxia than in others (10,11). Binks *et al.* (11) provided evidence that phylogenetically older regions of the brain, such as the basal ganglia, putamen, caudate and pallidum, have a relatively larger CBF increase in response to hypoxia than 'newer' brain regions. Pagani *et al.* (10) found a different set of regions with comparatively greater CBF in response to hypoxia, specifically the anterior cingulate cortex, right temporal lobe, sensory motor cortices, prefrontal cortex and the basal ganglia. Regional differences in the sensitivity of the CBF response to hypoxia may have implications for regional susceptibility to damage or adaptation to hypoxic conditions. As an alternative imaging technique, arterial spin labelling (ASL) MRI provides a method to investigate CBF that does not require the injection of an exogenous intravascular contrast agent. In addition, ASL can be performed continuously and is therefore suitable for the characterisation of the temporal parameters of the CBF response to hypoxia.

A couple of ASL-based MRI studies have estimated the magnitude of the CBF response to hypoxic hypoxia. In one study (12), the hypoxic challenge was defined by a 9–14% decrease in blood oxygen saturation, with imaging performed after the end-tidal oxygen levels had stabilized for several minutes; however, variable CBF responses were observed. Although there was a statistically significant increase in CBF (7% increase in CBF per 10% drop in arterial oxygen saturation), 30% of the subjects showed a negative response, specifically a drop in CBF with hypoxia. In an examination of the CBF response to investigate acute mountain sickness susceptibility (13), CBF was shown to increase by 11–16% in grey matter during a 30-min fixed 12.5% inspired O₂ challenge. Although, in this study, an explicit description of the temporal CBF response to acute hypoxia was not given, it appears that the increase in CBF took longer than the relatively rapid response (less than 2 min) described by Poulin *et al.* (8) using TCD.

The objective of this study was to quantify the magnitude and temporal dynamics of the CBF response to hypoxic hypoxia for whole-brain grey matter and on a regional basis. R_2^* , being sensitive to blood deoxyhaemoglobin content and therefore a marker of local blood oxygenation (14), was quantified to examine the relationship between altered blood oxygenation and CBF. We measured CBF (using ASL) continuously during a 33-min protocol that included rest, a 20-min inspiratory hypoxic challenge and a normoxic recovery phase in healthy volunteers.

MATERIALS AND METHODS

Twelve healthy subjects (four men, eight women; 28.6 ± 5.0 years) were recruited for this study. The local ethics committee approved the study and all subjects gave informed written consent. All subjects were healthy without vascular, respiratory, cardiac or neurological disease (self-reported). Each subject was familiarized with the respiratory circuit outside the MR scanner prior to the experimental session. An anaesthetist monitored

subjects (peripheral oxygen saturation, respiratory rate and heart rate) during the hypoxic challenges.

Perfusion measurements

MRI data were collected at 3 T (HDx, General Electric) using an eight-channel receive-only head coil. CBF was estimated using a dual-echo, single-shot, proximal inversion with a control for off-resonance effects – quantitative imaging of perfusion using single subtraction II (PICORe-QUIPSS II) (15) ASL acquisition with gradient-echo spiral readout. Imaging parameters were: TR/TE₁/TE₂ = 2200 ms/3 ms/29 ms; TI₁/TI₂ = 600 ms/1500 ms; field of view, 22 cm × 22 cm; six slices, 7 mm thick, with a 1-mm gap between slices; matrix, 64 × 64. A 33-min scan (900 repetitions) was performed during the hypoxia protocol (described below). Slices were placed to maximize coverage of the cerebrum with the most inferior slice at the base of the occipital lobe and extending superiorly to include the parietal and temporal lobes.

CBF data were pre-processed using surround subtraction of the ASL tag and control images (16). With the same slice prescription, calibration scans were acquired to provide an estimate of M_0 (fully relaxed blood water magnetisation) (17). R_2^* was calculated from the dual-echo data, $R_2^* = [\ln(S_1/S_2)]/(TE_2 - TE_1)$, allowing us to calculate the ΔR_2^* time course with respect to the mean R_2^* of the initial baseline period of normoxia.

CBF was calculated using a standard single-compartment model (17–19). We incorporated three corrections for alterations of model parameters in hypoxia that are otherwise likely to bias the CBF estimates.

- (1) The shortening of T_2^* in hypoxia. The calculated ΔR_2^* time course was used to dynamically correct the ASL signal. This aims to account for the enhanced T_2^* decay of the perfusion label, although this effect is expected to be small with the short TE of 3 ms employed (20).
- (2) The shortening of T_1 of arterial blood. During the initial normoxic baseline period, we assumed arterial blood $T_1 = 1.664$ s (21). During steady-state hypoxia (final portion of the hypoxic period), we assumed T_1 for arterial blood = 1.611 s, based on the group mean level of blood oxygen desaturation observed in this study and the dependence of T_1 on oxygenation reported in the literature (21). The change in R_2^* was used as an index of oxygenation to estimate the arterial blood T_1 at each time point by linear interpolation between 1.611 s and 1.664 s.
- (3) A reduced arterial arrival time with increasing blood flow. The expected increase in CBF with hypoxia is likely to result in a decrease in the tissue arrival time. This is the time after the labelling pulse at which the labelled spins are assumed to have entered the parenchyma from the brain's capillaries. A decreased arrival time means that the labelled spins spend less time relaxing at the T_1 of blood and more time relaxing at the T_1 of brain parenchyma, assumed to be 1.165 s (22). Tissue arrival times are expected to vary across the brain (23), but we are unable to estimate this effect, having acquired data only with a single post-label delay. We therefore assume that, in normoxia, the label reaches the tissue at 1500 ms across the whole brain (TI₂ for the first slice acquired). The estimate of the dependence of the tissue arrival time on global CBF was based on the work of Ho *et al.* (24), in which a 5-ms decrease in arrival time per 1% increase in CBF was observed.

As can be seen in equation (3) of ref. (18), which summarises the signal model, the factor q_p is dependent on CBF because of the dependence of the arrival time on flow and is dependent on hypoxia through the changing blood T_1 . The expression was therefore solved numerically to estimate CBF at each time point using Matlab (The Mathworks Inc., Natick, MA, USA) and a tissue-blood partition coefficient of water ($\lambda = 0.9$) (18).

A whole-brain T_1 -weighted (fast spoiled gradient recalled echo, 1-mm³ voxels, TI/TR/TE = 450 ms/7.8 ms/3 ms) image was used for registration. All data were registered to MNI space using FLIRT [FSL, FMRIB – www.fmrib.ox.ac.uk/fsl (25)] and regional data were extracted using anatomical regions defined by the MNI atlas, available in FSL (regions: frontal lobe, insula, occipital lobe, parietal lobe, putamen, temporal lobe and thalamus).

Respiratory protocol

Inspired gas concentrations were controlled in the MR scanner using dynamic end-tidal forcing (26). Subjects breathed through a tight-fitting facemask (Quadralite, Intersurgical, Wokingham, Berkshire, UK). Gas partial pressures were measured using rapidly responding gas analysers (Models CD-3A and S-3A; AEI Technologies, Pittsburgh, PA, USA). Dynamic end-tidal forcing was performed using custom software (BreatheDmx, Oxford University, written in LabView, National Instruments, Newbury, Berkshire, UK), which compares the measured end-tidal gases with the desired values and then controls the gas delivery to the subjects on a breath-by-breath basis to meet and maintain the desired end-tidal partial pressure of each gas (26,27). Gas delivery from high-pressure gas cylinders (BOC, Margam, Port Talbot, UK) was controlled with four mass-flow controllers (Model MFC 1559A, MKS Instruments, Wilmington, MA, USA) for medical air, 100% O₂, 10% O₂/balance nitrogen and 10% CO₂/balance air, powered by two two-channel power supplies (Model PR4000, MKS Instruments). An analogue-to-digital data acquisition card (National Instruments Corp., Austin, TX, USA) was used for the acquisition of the gas partial pressures from the gas analysers (recorded at 500 Hz) and for the digitization of the flow direction from an MR-compatible flow transducer (VMM-400, Interface Associates, Laguna Niguel, CA, USA). Gases flowed continuously, passing through a mixing chamber placed close to the volunteer to ensure adequate and fast mixing. The inspire was drawn from this continually flowing gas stream. The sampling port for the establishment of CO₂ and O₂ levels was positioned on the port of the facemask. This design ensures minimal delay in the delivery of an updated gas mixture and minimal mixing of expired and inspired gases within the constraints of the end-tidal forcing system (26).

Resting partial pressures of end-tidal CO₂ and O₂ (P_{ETCO_2} and P_{ETO_2} , respectively) were established after the subject had acclimatized to the experimental set-up but prior to the ASL acquisition. The hypoxic protocol, based on that of Poulin *et al.* (8), lasted 33 min and consisted of a 5-min baseline (normoxia), 20 min of hypoxia (target $P_{ETO_2} = 50$ mmHg) and 8 min of recovery (normoxia) (see Fig. 1). The end-tidal forcing system aimed to maintain P_{ETCO_2} at the subject's resting value throughout.

Temporal response model

In order to quantify the magnitude and temporal dynamics of the CBF and R_2^* responses, a temporal model similar to that of Poulin *et al.* (8) was applied. We refer to CBF in the description that follows; however, the same model was also applied to the

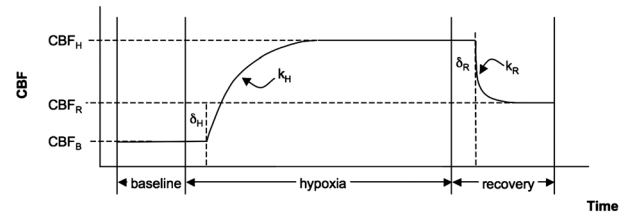


Figure 1. Schematic diagram of modelling parameters. This model was used for both the cerebral blood flow (CBF) and R_2^* time series but, for descriptive purposes, we refer here to CBF only. CBF_B is the CBF calculated during the baseline period, and CBF_H and CBF_R are the equilibrium CBF values obtained during hypoxia and recovery, respectively. The rate constants during the transition to hypoxia and back to normoxia during the recovery are denoted by k_H and k_R , respectively, and these transitions occur at delays of δ_H and δ_R after the gas mixtures are switched to the hypoxic challenge or back to normoxia, respectively.

R_2^* time course. The hypoxic model parameters included: CBF at baseline normoxia (CBF_B); an exponential increase defined by the rate constant k_H with the CBF increase beginning at a delay δ_H after the onset of hypoxia, leading to a plateau CBF (CBF_H) during hypoxia; and, similarly, recovery during normoxia beginning at a delay δ_R and described by an exponential rate constant (k_R) reaching a recovery CBF (CBF_R). (See Fig. 1 for a schematic description of these parameter definitions.) All parameters were determined using nonlinear fitting, and the 95% confidence intervals (95% CIs) of all the parameter fits were estimated (Matlab) as a reflection of the influence of noise in the data on the fitted parameters. The minimum values for the delay times δ_H and δ_R were constrained to 20 s for CBF and to 0 s for R_2^* . There was an inherent finite transition period of 20 s between the inspired oxygen levels imposed by the function of the end-tidal forcing system. We allowed our model to describe R_2^* changes without delay because R_2^* would be expected to change as soon as the blood oxygenation begins to transition. However, CBF is expected to rise on the transition to hypoxia, for example, only after a substantial drop in oxygenation has occurred, and the end-tidal forcing system would not bring this about until after its 20-s inherent transition period.

Before fitting the time-series data to the model, in order to improve the signal-to-noise ratio (SNR) in the CBF measurement, registered individual subject data were averaged to produce a group-averaged time course. The hypoxia time-series model was applied to whole-brain grey matter and on a regional basis using the MNI structural atlas to define the frontal lobe, insula, occipital lobe, parietal lobe, putamen, temporal lobe and thalamus. As a supplementary investigation, the model was also used to characterise the hypoxic response in the whole-brain grey matter of individual subjects.

Reactivity

Hypoxic reactivity was calculated on an individual subject basis as the percentage change in CBF or the absolute change in R_2^* from the last 5 min of the hypoxic period compared with the 5 min of baseline normoxia normalized by the absolute change in P_{ETO_2} .

Statistical analysis

Differences in physiological data (S_pO_2 , P_{ETO_2} , P_{ETCO_2} , heart rate and ventilation rate) between normoxia and hypoxia were tested

using paired *t*-tests of the individual average data during normoxic and the last 5 min of the hypoxic condition. Two-way analysis of variance (ANOVA) was used to assess the effect of region and subject on the regional reactivity for both the CBF and R_2^* data.

RESULTS

All subjects tolerated the hypoxic challenges well. The hypoxic challenge was stopped early in one subject because of a technical difficulty and, as a result, the data from the final ~10 min of this session were excluded from the analysis.

During the hypoxic challenge, according to pulse oximetry, subjects desaturated to an average S_{pO_2} of 83%, with the subject-averaged data reaching this level after 810 s. Group-averaged total oxygen delivery at baseline was 15.1 mL/100 g/min and, in hypoxia, was 14.7 mL/100 g/min, assuming that the dissolved oxygen is negligible (13) and that the concentration of haemoglobin (assumed to be 15 g/dL) does not change during the challenge. A summary of the physiological data is shown in Table 1. Sample CBF maps are shown in Fig. 2, and group-averaged end-tidal O_2 and CO_2 are shown in Fig. 3. Although the inclusion of

the dynamic changes in T_1 , R_2^* and tissue arrival times is expected to reduce bias in the estimates for CBF in hypoxia, these refinements have the capacity to add noise in the calculated CBF time series, for example, when noise is already present in the R_2^* estimate as a result of head motion. As a result of nonconvergence of the numerical estimates of CBF, time-course data from three regions from one subject and one from another were excluded from the regional CBF estimates.

According to the model fitting of the group-averaged data, across grey matter, CBF increased from 76.1 mL/100 g/min (95% CI: 75.5, 76.7) to 87.8 mL/100 g/min (95% CI: 86.7, 89.6), with a rate constant of 0.0035 s^{-1} (95% CI: 0.0019, 0.0046) and a delay of 185 s (95% CI: 132, 230). This corresponds to a 15.4% increase in CBF. The parameters from the fitting (and the 95% CIs on these fits showing the reliability or noise in the parameter fit) across the regions are given in Table 2. The CBF and R_2^* time-course and model fits for all grey matter are shown in Fig. 4. The R_2^* response was more rapid than the CBF response, with a rate constant of 0.0392 s^{-1} and a delay of 24 s calculated for the transition to hypoxia (Table 3, Fig. 4).

Reactivity, summarized in Table 4, was calculated from the average CBF from the last 5 min of hypoxia compared with baseline normoxia. The two-way ANOVA examining the effects

Table 1. Summary of physiological measurements between baseline and hypoxic conditions (shown as mean \pm standard deviation over subjects). The baseline average is across the entire 5-min baseline normoxia period and the hypoxic average is the average across the last 5 min of the hypoxic period

	Baseline	Hypoxia	<i>p</i>
S_{pO_2} (%)	98.5 ^a	83.4 \pm 6.8	
Respiration rate (breaths/min)	13.2 \pm 4.5	14.3 \pm 3.7	0.05
Heart rate (beats/min)	66.6 \pm 7.9	74.4 \pm 8.7	<0.001
P_{ETCO_2} (mmHg)	41.2 \pm 2.8	39.8 \pm 2.5	<0.001
P_{ETO_2} (mmHg)	116.6 \pm 4.4	52.0 \pm 3.8	<0.001

P_{ETCO_2} , partial pressure of end-tidal carbon dioxide; P_{ETO_2} , partial pressure of end-tidal oxygen; S_{pO_2} , blood oxygen saturation. *p* values are derived from a paired *t*-test comparing baseline normoxia with hypoxic hypoxia.
^aAssumed from standard values.

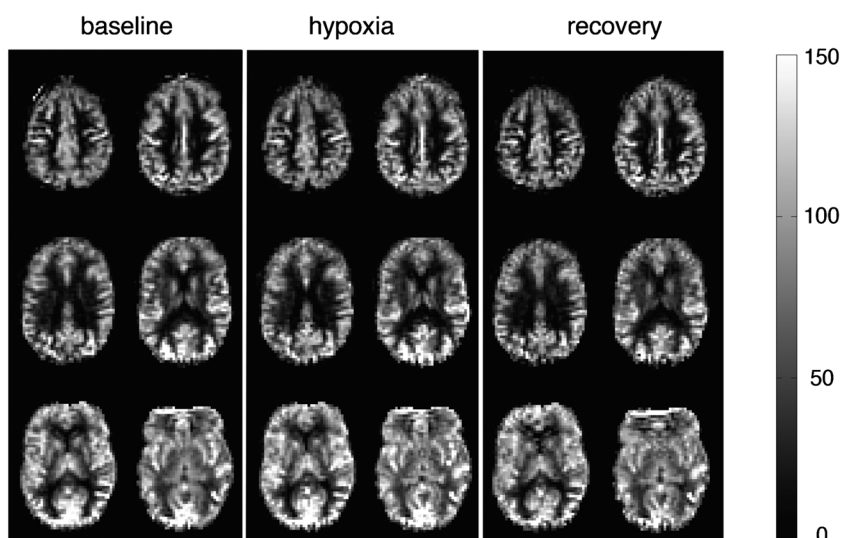


Figure 2. Sample cerebral blood flow (CBF) maps from one individual during normoxic baseline (a), hypoxic hypoxia (b) and normoxic recovery (c). Each map is derived from 5 min of data and has been registered to MNI space. CBF is displayed in mL/100 g tissue/min.

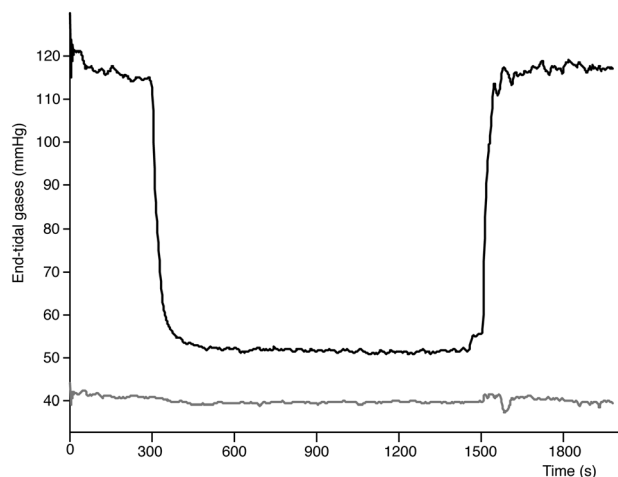


Figure 3. Group-averaged end-tidal O₂ (black) and CO₂ (grey).

of subject and region on CBF did not show any differences across regions, but did detect a subject effect ($p < 0.0001$). For the R_2^* data, both subject ($p < 0.0001$) and region ($p = 0.02$) were significant factors explaining the variance in regional reactivity.

DISCUSSION

We have described the dynamics of the CBF response to acute hypoxic hypoxia in a cohort of healthy, young adults. Although the CBF amplitude response to hypoxia has been investigated previously using ASL (12,13), to our knowledge, this is the first time the temporal dynamics of the global and regional CBF response have been quantified, and compared with a simultaneously acquired marker of cerebral blood oxygenation (R_2^*).

Across grey matter, the time-course model indicated that CBF increased from 76.1 mL/100 g/min to 87.8 mL/100 g/min (Table 2), showing a 15.4% increase in CBF during the hypoxic challenge, which is consistent with the observed increases in CBF during similar challenges (11–13). An exponential model using a normoxic baseline and a single hypoxic condition has been applied previously to describe the dynamics of the arterial blood flow response to a similar hypoxic challenge (target $P_{ET}O_2 = 50$ mmHg) using TCD to measure blood flow in the MCA (8). The study of Poulin *et al.* (8) used two rate constants (one for the transition to hypoxia and another for the return to normoxia) and a common delay term for the blood velocity response for both the transition from normoxia to hypoxia and the transition from hypoxia to normoxia. Here, we extend this approach to include separate delay terms for the transition to hypoxia and the return to normoxia. This additional model flexibility removes any assumptions of symmetry between the blood flow response to hypoxia and return to normoxia after the hypoxic challenge. In the present study, the rate constant for the CBF response to hypoxia across grey matter was 0.0035 s^{-1} and the delay for this response was 185 s (Table 2). The rate constant and delay for the return to normoxia were 0.0069 s^{-1} and 20 s (not significantly different from the 0-s delay as indicated by the 95% CIs), respectively, both faster and shorter than the transition to hypoxia, consistent with the observations in other studies (8,13). After a 20-min hypoxic challenge, there is evidence that blood flow in the MCA remains mildly increased for at least 5 min (28), which may indicate that a steady-state

condition is not reached within our 8-min observation period during recovery.

Previously reported temporal parameters of flow in the MCA show a high degree of variability (8). We have presented a group-averaged analysis in order to increase the SNR to assist model fitting to the data; however, uncertainty associated with the fitted parameters remains. For example, natural individual heterogeneity may be a dominant source of rate constant variability. The analysis performed on the group-averaged data was also applied to individual data (Table S1). Although there appear to be some outliers and some failures to estimate CBF, these data illustrate potential inter-individual differences in the CBF response to hypoxia. The rate constant for the return to normoxia after the hypoxic challenge is more variable than that of the response to hypoxia, both within and across regions (see CIs in Table 2).

TCD studies estimating the temporal characteristics of the blood flow response to hypoxia have found higher rate constants and shorter delays (i.e. a faster transition) than those observed here (8,28) and qualitatively observed in a previous ASL study of hypoxia [see figs 3–5 of ref. (13)]. Poulin *et al.* (8) reported an average time constant of 79.6 s, equivalent to a rate constant of 0.0126 s^{-1} ; however, on removing data from two volunteers with measured time constants of less than 1 s, two orders of magnitude less than the mean, the equivalent rate constant is $\sim 0.008\text{ s}^{-1}$, more similar to the values observed here. Nevertheless, a difference between the dynamics estimated by Poulin *et al.* (8) and the present experiment remains. As a result of the experimental constraints of end-tidal forcing in the MR environment, we chose a minimum 20-s transition period for changes in target end-tidal values, which may affect the rate of the CBF response. This could suggest a nonlinear relationship between the speed of hypoxia onset and the rate of the CBF response. Perhaps a faster hypoxia onset, as used by Poulin *et al.* (8), stimulates a faster blood flow response. Alternatively, differences in the temporal SNR may result in longer delays being estimated at lower SNR. TCD measures of CBF based on bulk arterial blood velocity may differ from CBF based on the ASL signal that arises from water that has entered the brain tissue. Furthermore, it is common that, in TCD measurements, the MCA is assumed not to change diameter; thus changes in blood velocity are assumed to be proportional to changes in blood flow. However, a recent study using multiple imaging modalities (TCD and phase-contrast MRI) has shown that the MCA changes diameter after an acute (180 min) hypoxic challenge and chronic hypoxic exposure (3), which could affect the TCD estimates of dynamic changes in flow.

Four reflexes are implicated in the blood flow response to hypoxia: (i) the hypoxic ventilatory response; (ii) the hypercapnic ventilatory response; (iii) hypoxic vasodilation; and (iv) hypocapnic vasoconstriction. These responses interact, increasing the system complexity (1). Although there are multiple mechanisms of vasodilation, adenosine is thought to be a main mediator of hypoxic-induced vasodilation (1), and its concentration parallels the increase in CBF during hypoxic exposure (29). Additional mediators include potassium and calcium ions and nitric oxide (1). The hypoxic ventilatory response is centrally mediated and modulated by CO₂, which is detected by both central and peripheral chemoreceptors (1). These interactions may be a cause of the delay of the CBF response to the hypoxic stimulus. Cerebral autoregulation has been shown to remain intact in acute hypoxia (30) and, more recently (31), inconsistencies in reports have been attributed to

Table 2. Model parameters (confidence intervals of fitting) for cerebral blood flow (CBF) response to hypoxia

	CBF _B (mL/100 g/min)	CBF _H (mL/100 g/min)	CBF _R (mL/100 g/min)	k _H (s ⁻¹)	k _R (s ⁻¹)	δ _H (s)	δ _R (s)
All grey matter	76.1 (75.5, 76.7)	87.8 (86.7, 89.6)	76.4 (73.8, 78.7)	0.0035 (0.0019, 0.0046)	0.0069 (0.002, 0.0103)	185 (132, 230)	20* (-38, 38)
Frontal lobe	56.9 (56.4, 57.4)	68.2 (66.8, 69.7)	57.0 (53.6, 60.5)	0.0032 (0.0018, 0.0046)	0.005 (0.0008, 0.0091)	250 (202, 298)	20 ^a (-26, 65)
Insula	71.1 (70.0, 72.2)	83.4 (82.0, 84.5)	73.9 (71.6, 76.2)	0.0034 (0.0017, 0.005)	0.0132 (-0.0019, 0.0284)	83 (12, 153)	121 (80, 163)
Occipital lobe ^b	89.8 (88.9, 90.7)	101.8 (100.6, 102.9)	89.5 (87.9, 91.1)	0.0035 (0.0021, 0.005)	0.0099 (0.0038, 0.016)	46 (-8, 100)	20 (-10, 50)
Parietal lobe ^b	66.7 (66.1, 67.2)	76.3 (75.6, 77.0)	65.9 (62.6, 69.2)	0.0042 (0.0027, 0.0058)	0.0062 (0.0008, 0.0116)	159 (115, 203)	88 (49, 128)
Putamen ^b	62.8 (62.1, 63.4)	72.5 (71.4, 73.6)	60.9 (57.6, 64.2)	0.003 (0.0018, 0.0043)	0.0052 (0.0012, 0.0093)	83 (26, 140)	30 (-10, 70)
Temporal lobe	77.4 (76.5, 78.2)	87.1 (85.5, 88.8)	77.1 (73.9, 80.3)	0.0029 (0.0012, 0.0045)	0.0062 (0.000, 0.0124)	85 (7, 163)	20 (-34, 74)
Thalamus ^b	62.4 (61.8, 63.1)	71.8 (71.0, 72.5)	59.3 (58.0, 60.6)	0.0044 (0.0027, 0.0062)	0.0098 (0.0051, 0.0144)	67 (20, 115)	20 (-3, 43)

CBF_B, baseline CBF; CBF_H, equilibrium CBF during hypoxia; CBF_R, recovery CBF; k_H, rate constant in the transition to hypoxia; k_R, rate constant in the return to normoxia; δ_H, delay in hypoxia response; δ_R, delay in response on return to normoxia (refer to Fig. 1).

^aDelay value fitted at the lower boundary.

^bRegional data based on 11 subjects.

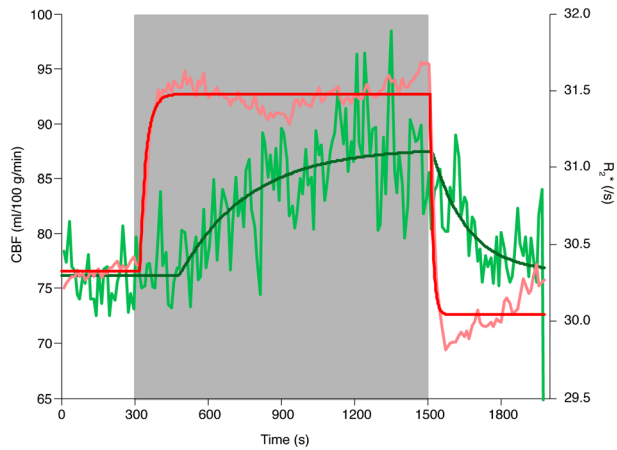


Figure 4. Cerebral blood flow (CBF) and R_2^* across whole-brain grey matter. Quantified CBF and the CBF modelled response are shown in light green and dark green, respectively. R_2^* over time and the modelled response are shown in pink and red, respectively. The time-series data for CBF and R_2^* were averaged into 11-s time bins for display purposes.

CO_2 variation and have confirmed that cerebral autoregulation is also maintained during hypocapnic hypoxia.

We used the overall R_2^* as an indicator of changes in blood oxygenation, as R_2^* is dependent on the concentration of deoxyhaemoglobin in arterial and venous blood. This was then used as an index of changes in T_1 of arterial blood. As expected, R_2^* responded rapidly to changes in the inspired oxygen levels, confirming that end-tidal forcing is effective in rapidly inducing changes in arterial and venous oxygenation. The time-course data of the R_2^* and CBF changes indicate consistency between these two metrics during the hypoxic challenge, although there are differences in the speed of response (Fig. 4). With the onset of hypoxic hypoxia, R_2^* increases rapidly as a result of the decrease in blood oxygenation, both arterial and venous. Approximately 3 min (180 s, Table 2) after the onset of hypoxia (time ~480 s in Fig. 4), CBF begins to increase and, thereafter, R_2^* decreases slightly (500–900 s, Fig. 4), which is consistent with the increasing CBF resulting in increased cerebral venous oxygenation. However, an increase in cerebral blood volume associated with the increase in CBF would tend to oppose this effect, perhaps explaining the subsequent increase in R_2^* between 900 and 1500 s (Fig. 4). At the end of the hypoxic challenge, R_2^* decreases rapidly, as arterial and venous oxygenation increase towards normal ($P_{\text{ET}}\text{O}_2 \sim 110$ mmHg). Again, the CBF response is slower than the R_2^* response. After approximately 150 s, R_2^* begins to increase slightly as the venous deoxyhaemoglobin concentration rises, potentially as a result of the CBF decrease.

The use of dynamic end-tidal forcing enables the examination of the effects of hypoxia with the advantage of being able to perform well-controlled respiratory challenges. Despite the end-tidal forcing system's attempted control over $P_{\text{ET}}\text{CO}_2$, there was an average 1.3 mmHg decrease in $P_{\text{ET}}\text{CO}_2$ during the hypoxic challenge (Table 1). Hypocapnia decreases blood flow by 2–3%/mmHg (1); thus an underestimation of the hypoxic CBF response of up to approximately 4% may be present.

The inclusion of the effects of changing T_2^* , arterial blood T_1 and tissue arrival time had a substantial effect on the estimate of CBF change with hypoxia. Without the inclusion of these factors, CBF would be estimated to increase by approximately 9%, in comparison with the 15% increase reported here with these

Table 3. Model parameters (confidence intervals of fitting) for R_2^* response to hypoxia

	R_2^{*B} (s^{-1})	R_2^{*H} (s^{-1})	R_2^{*R} (s^{-1})	k_H (s^{-1})	k_R (s^{-1})	δ_H (s)	δ_R (s)
All grey matter	30.33 (30.31, 30.34)	31.48 (31.47, 31.49)	30.04 (30.03, 30.06)	0.0392 (0.0333, 0.045)	0.0921 (0.0744, 0.1098)	24 (21,26)	12 (10,13)
Frontal lobe	34.45 (34.42, 34.48)	35.41 (35.40, 35.43)	33.74 (33.7173, 33.7703)	0.0577 (0.0345, 0.0809)	0.1041 (0.0701, 0.1382)	22 (17,27)	10 (8,12)
Insula	19.01 (19.00, 19.02)	19.85 (19.84, 19.85)	18.44 (18.44, 18.45)	0.0239 (0.0221, 0.0256)	0.0864 (0.0796, 0.0932)	22 (20,24)	9 (8,9)
Occipital lobe	30.14 (30.12, 30.16)	31.68 (31.67, 31.69)	30.09 (30.07, 30.11)	0.0091 (0.0085, 0.0096)	0.0648 (0.0547, 0.0749)	0 (-5, 5)	12 (10,14)
Parietal lobe	23.47 (23.46, 23.48)	24.86 (24.85, 24.86)	23.12 (23.11, 23.13)	0.0135 (0.0127, 0.0143)	0.0847 (0.0755, 0.0939)	9 (6,12)	11 (10,11)
Putamen	31.84 (31.81, 31.87)	32.58 (32.56, 32.59)	31.80 (31.77, 31.82)	0.1363 (0.0377, 0.235)	0.1449 (0.0182, 0.2717)	32 (28, 35)	20 (16,25)
Temporal lobe	33.77 (33.73, 33.79)	35.33 (35.32, 35.34)	33.45 (33.42, 33.47)	0.0241 (0.0208, 0.0274)	0.1114 (0.0837, 0.1392)	13 (9,17)	10 (8,12)
Thalamus	21.36 (31.35, 21.37)	22.97 (22.96, 22.98)	20.95 (20.94, 20.96)	0.0217 (0.0204, 0.0229)	0.082 (0.075, 0.0891)	18 (16,20)	11 (10,11)

R_2^{*B} : baseline R_2^* ; R_2^{*H} : equilibrium R_2^* during hypoxia; R_2^{*R} : recovery R_2^* ; k_H : rate constant in the transition to hypoxia; k_R : rate constant in the return to normoxia; δ_H : delay in hypoxia response; δ_R : delay in response on return to normoxia (refer to Fig. 1).

Table 4. Regional hypoxic reactivity [negative values indicate increasing cerebral blood flow (CBF) and R_2^* with decreasing oxygen levels]

	Reactivity (% Δ CBF/ Δ mmHg)	R_2^* reactivity ($\Delta R_2^* s^{-1}/\Delta$ mmHg)
All grey matter	-0.2548 ± 0.1275	-0.0211 ± 0.0157
Frontal lobe	-0.3187 ± 0.1424	-0.0151 ± 0.0239
Insula	-0.2880 ± 0.1931	-0.0135 ± 0.0081
Occipital lobe	-0.1650 ± 0.1849	-0.0258 ± 0.0078
Parietal lobe	-0.2345 ± 0.0947	-0.0232 ± 0.0103
Putamen	-0.2253 ± 0.1085	-0.0152 ± 0.0269
Temporal lobe	-0.1919 ± 0.1103	-0.0272 ± 0.0198
Thalamus	-0.1997 ± 0.1441	-0.0265 ± 0.0095

factors included. The effect of hypoxia on shortening arterial blood T_1 and the assumed effect of the shortened arrival time had the most substantial effect on the estimate of the CBF increase, whereas the correction for shortened T_2^* played a minor role. T_1 and the arrival time impact directly on the calculation of the longitudinal magnetization. Without these corrections, the flow increase is underestimated, as the overall T_1 decay of the label at the point of signal acquisition is underestimated. The effect of T_2^* was particularly small ($\sim 3.7\%$ change in T_2^* between normoxia and hypoxia) because of the short TE (3 ms) of our spiral acquisition, TE being defined as the point at which the centre of k space is traversed. There remains the possibility of un-modelled T_2^* weighting of the CBF signal as the acquisition window naturally extends beyond 3 ms. However, our focus on regional and whole-brain analyses (low spatial frequency) means that our results will be influenced most by data collected in the centre of k space rather than the high-frequency data collected later in the acquisition. Furthermore, the majority of the power of the signal in k space is acquired within 6 ms of the excitation. If we assume an effective time of signal acquisition of 6 ms, the subsequent error in the reported CBF estimate would be less than 1%.

Using nuclear medicine imaging methods, others (2,10,11) have detected regional differences in the magnitude of the CBF response to hypoxia. However, the regions that show increased blood flow during hypoxia differed between these studies. Binks *et al.* (11) observed different responses regionally, and attributed these differences to differing phylogenetic ages of the regions, specifically that 'older' brain regions have an increased CBF response compared with 'newer' regions. Pagani *et al.* (10) reported a greater hypoxic CBF response in the sensory motor cortex, anterior cingulate cortex, prefrontal cortex, basal ganglia and right temporal lobe. Some of these regions are components of attentional networks, and Pagani *et al.* (10) suggested that, because attention is a basic, energy-consuming process, these networks are protected in acute hypoxia. The resolution of the discrepancies in differences in the CBF response is further confounded by the observation that arteries feeding the brain have different sensitivities to hypoxia (7). Nevertheless, regional differences in the CBF response to hypoxia have interesting implications in terms of cerebrovascular physiology and vulnerability to hypoxic damage. Therefore, in addition to examining the hypoxic response across the entire brain, we also analysed the hypoxic response regionally. As a result of limited brain coverage, and with the need in mind to perform spatial signal averaging to maintain signal-to-noise for model fitting, we

restricted our regional analysis to seven broad regions. We did not detect regional differences in CBF reactivity. However, regional differences in R_2^* reactivity were significant. Subject was a significant factor for both CBF and R_2^* reactivity, but the inter-subject differences did not appear to be explained by the small differences in the level of $P_{ET}O_2$ reached (data not shown). Therefore, it appears that individuals may have notably different levels of overall hypoxic reactivity. This inter-subject heterogeneity reduces our ability to detect inter-regional differences in reactivity to hypoxia across the group, but may also be important in determining the effects on an individual of a hypoxic event.

CONCLUSIONS

In this study, we quantified the dynamic CBF response to hypoxia during an acute (20 min) hypoxic challenge. We characterised both the magnitude and temporal characteristics of the CBF response across all grey matter and on a regional basis, and found that, across grey matter, CBF increased by approximately 15% at mean $P_{ET}O_2 = 52$ mmHg. In addition, we used R_2^* as a simultaneous marker of local blood oxygenation. In this healthy population, the CBF response to hypoxia was relatively slow, with a delay to onset of approximately 3 min and a time constant of approximately 4.5 min. In contrast, the CBF response on the return to normoxia from hypoxia was more rapid. The blood oxygenation response was much more rapid and was contemporaneous with the onset of the hypoxic challenge. Broad regional differences in the CBF response to hypoxia were not detected. However, individual heterogeneity may have a substantial role in determining the CBF response to hypoxia.

Acknowledgements

A.D.H. is funded by the Banting Fellowship Program, Natural Sciences and Engineering Research Council (NSERC) of Canada; K.M. and C.M.D. are funded by the Wellcome Trust, UK; T.T.L. acknowledges the support of the National Institutes of Health (NIH) Grant R01MH084796. R.G.W. is supported by the Higher Education Funding Council for Wales.

REFERENCES

1. Brugniaux JV, Hodges AN, Hanly PJ, Poulin MJ. Cerebrovascular responses to altitude. *Respir. Physiol. Neurobiol.* 2007; 158(2–3): 212–223.
2. Buck A, Schirlo C, Jasinsky V, Weber B, Burger C, von Schulthess GK, Koller EA, Pavlicek V. Changes of cerebral blood flow during short-term exposure to normobaric hypoxia. *J. Cereb. Blood Flow Metab.* 1998; 18(8): 906–910.
3. Wilson MH, Edsell ME, Davagnanam I, Hirani SP, Martin DS, Levett DZ, Thornton JS, Golay X, Strycharczuk L, Newman SP, Montgomery HE, Grocott MP, Imray CH. Cerebral artery dilatation maintains cerebral oxygenation at extreme altitude and in acute hypoxia—an ultrasound and MRI study. *J. Cereb. Blood Flow Metab.* 2011; 31(10): 2019–2029.
4. Kety SS, Schmidt CF. The determination of cerebral blood flow in man by the use of nitrous oxide in low concentrations. *Am. J. Physiol.* 1945; 143(1): 53–66.
5. Kety SS, Schmidt CF. The effects of altered arterial tensions of carbon dioxide and oxygen on cerebral blood flow and cerebral oxygen consumption of normal young men. *J. Clin. Invest.* 1948; 27(4): 484–492.
6. Battisti-Charbonney A, Fisher JA, Duffin J. Respiratory, cerebrovascular and cardiovascular responses to isocapnic hypoxia. *Respir. Physiol. Neurobiol.* 2011; 179(2–3): 259–268.

7. Willie CK, Macleod DB, Shaw AD, Smith KJ, Tzeng YC, Eves ND, Ikeda K, Graham J, Lewis NC, Day TA, Ainslie PN. Regional brain blood flow in man during acute changes in arterial blood gases. *J. Physiol.* 2012; 590(Pt 14): 3261–3275.
8. Poulin MJ, Liang PJ, Robbins PA. Dynamics of the cerebral blood flow response to step changes in end-tidal PCO₂ and PO₂ in humans. *J. Appl. Physiol.* 1996; 81(3): 1084–1095.
9. Mintun MA, Lundstrom BN, Snyder AZ, Vlassenko AG, Shulman GL, Raichle ME. Blood flow and oxygen delivery to human brain during functional activity: theoretical modeling and experimental data. *Proc. Natl. Acad. Sci. U. S. A.* 2001; 98(12): 6859–6864.
10. Pagani M, Salmaso D, Sidiras GG, Jonsson C, Jacobsson H, Larsson SA, Lind F. Impact of acute hypobaric hypoxia on blood flow distribution in brain. *Acta Physiol. (Oxford)* 2011; 202(2): 203–209.
11. Binks AP, Cunningham VJ, Adams L, Banzett RB. Gray matter blood flow change is unevenly distributed during moderate isocapnic hypoxia in humans. *J. Appl. Physiol.* 2008; 104(1): 212–217.
12. Noth U, Kotajima F, Deichmann R, Turner R, Corfield DR. Mapping of the cerebral vascular response to hypoxia and hypercapnia using quantitative perfusion MRI at 3 T. *NMR Biomed.* 2008; 21(5): 464–472.
13. Dyer EA, Hopkins SR, Perthen JE, Buxton RB, Dubowitz DJ. Regional cerebral blood flow during acute hypoxia in individuals susceptible to acute mountain sickness. *Respir. Physiol. Neurobiol.* 2008; 160(3): 267–276.
14. Rostrup E, Larsson HBW, Toft PB, Garde K, Henriksen O. Signal changes in gradient echo images of human brain induced by hypo- and hyperoxia. *NMR Biomed.* 1995; 8(1): 41–47.
15. Wong EC, Buxton RB, Frank LR. Quantitative imaging of perfusion using a single subtraction (QUIPSS and QUIPSS II). *Magn. Reson. Med.* 1998; 39(5): 702–708.
16. Liu TT, Wong EC. A signal processing model for arterial spin labeling functional MRI. *Neuroimage* 2005; 24(1): 207–215.
17. Liau J, Perthen JE, Liu TT. Caffeine reduces the activation extent and contrast-to-noise ratio of the functional cerebral blood flow response but not the BOLD response. *Neuroimage* 2008; 42(1): 296–305.
18. Buxton RB, Frank LR, Wong EC, Siewert B, Warach S, Edelman RR. A general kinetic model for quantitative perfusion imaging with arterial spin labeling. *Magn. Reson. Med.* 1998; 40(3): 383–396.
19. Wegener S, Wu WC, Perthen JE, Wong EC. Quantification of rodent cerebral blood flow (CBF) in normal and high flow states using pulsed arterial spin labeling magnetic resonance imaging. *J. Magn. Reson. Imaging* 2007; 26(4): 855–862.
20. St Lawrence KS, Wang J. Effects of the apparent transverse relaxation time on cerebral blood flow measurements obtained by arterial spin labeling. *Magn. Reson. Med.* 2005; 53(2): 425–433.
21. Lu H, Clingman C, Golay X, van Zijl PC. Determining the longitudinal relaxation time (T₁) of blood at 3.0 Tesla. *Magn. Reson. Med.* 2004; 52(3): 679–682.
22. Lu H, Nagae-Poetscher LM, Golay X, Lin D, Pomper M, van Zijl PC. Routine clinical brain MRI sequences for use at 3.0 Tesla. *J. Magn. Reson. Imaging* 2005; 22(1): 13–22.
23. MacIntosh BJ, Filippini N, Chappell MA, Woolrich MW, Mackay CE, Zeigler P. Assessment of arterial arrival times derived from multiple inversion time pulsed arterial spin labeling MRI. *Magn. Reson. Med.* 2010; 63(3): 641–647.
24. Ho YC, Petersen ET, Zimine I, Golay X. Similarities and differences in arterial responses to hypercapnia and visual stimulation. *J. Cereb. Blood Flow Metab.* 2011; 31(2): 560–571.
25. Jenkinson M, Bannister P, Brady M, Smith S. Improved optimization for the robust and accurate linear registration and motion correction of brain images. *Neuroimage* 2002; 17(2): 825–841.
26. Wise RG, Pattinson KT, Bulte DP, Chiarelli PA, Mayhew SD, Balanos GM, O'Connor DF, Pragnell TR, Robbins PA, Tracey I, Zeigler P. Dynamic forcing of end-tidal carbon dioxide and oxygen applied to functional magnetic resonance imaging. *J. Cereb. Blood Flow Metab.* 2007; 27(8): 1521–1532.
27. Robbins PA, Swanson GD, Micco AJ, Schubert WP. A fast gas-mixing system for breath-to-breath respiratory control studies. *J. Appl. Physiol.* 1982; 52(5): 1358–1362.
28. Steinback CD, Poulin MJ. Cardiovascular and cerebrovascular responses to acute isocapnic and poikilocapnic hypoxia in humans. *J. Appl. Physiol.* 2008; 104(2): 482–489.
29. Winn HR, Rubio R, Berne RM. Brain adenosine concentration during hypoxia in rats. *Am. J. Physiol. Heart Circ. Physiol.* 1981; 241: H235–H242.
30. Ainslie PN, Barach A, Murrell C, Hamlin M, Hellemans J, Ogoh S. Alterations in cerebral autoregulation and cerebral blood flow velocity during acute hypoxia: rest and exercise. *Am. J. Physiol. Heart Circ. Physiol.* 2007; 292: H976–H983.
31. Querido JS, Ainslie PN, Foster GE, Henderson WR, Halliwill JR, Ayas NT, Sheel AW. Dynamic cerebral autoregulation during and following acute hypoxia: role of carbon dioxide. *J. Appl. Physiol.* 2013; 114: 1183–1190.

SUPPORTING INFORMATION

Supporting information may be found in the online version of this article.