



Published in final edited form as:

*Circ Heart Fail.* 2014 July ; 7(4): 580–589. doi:10.1161/CIRCHEARTFAILURE.114.001192.

## Resting Ventricular-Vascular Function and Exercise Capacity in Heart Failure with Preserved Ejection Fraction: A RELAX Trial Ancillary Study

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### Abstract

**Background**—Exercise intolerance is a hallmark of heart failure (HF), but factors associated with impaired exercise capacity in HF with preserved EF (HFpEF) are unclear. We hypothesized that in HFpEF, the severity of resting ventricular and vascular dysfunction are associated with impairment in exercise tolerance as assessed by peak oxygen consumption ( $pVO_2$ ).

**Methods and Results**—Subjects with HFpEF enrolled in the Phosphodiesterase-5 Inhibition to Improve CLinical Status And EXercise Capacity in Diastolic Heart Failure (RELAX) clinical trial ( $n=216$ ) underwent baseline Doppler echocardiography, cardiopulmonary exercise testing and cardiac magnetic resonance imaging. RELAX participants were elderly (median age 69 years) and 48% were women. EF (60%) and stroke volume (77 ml) were normal, while diastolic dysfunction (medial  $E/e'$  16, deceleration time 185 msec, left atrial volume 44 ml/m<sup>2</sup>) and increased arterial load (arterial elastance ( $E_a$ ) 1.51 mmHg/ml) were evident.  $PVO_2$  was reduced (11.7 ml/kg/min, 1141 ml/min) and age, sex, body mass index (BMI), hemoglobin and chronotropic response collectively explained 64% of the variance in raw  $pVO_2$  (ml/min). After adjustment for these variables, LV structure (diastolic dimension (1.5%,  $p=0.008$ ) and LV mass (1.6%,  $p=0.008$ )), resting stroke volume (2.0%,  $p=0.002$ ), LV diastolic dysfunction (deceleration time (0.9%,  $p=0.03$ ) and  $E/e'$  (1.4%,  $p=0.009$ ), and arterial function ( $E_a$  (2.1%,  $p=0.002$ ) and systemic arterial compliance (1.5%,  $p=0.007$ )), each explained only a small additional portion of the variance in  $pVO_2$ .

**Conclusions**—In HFpEF, potentially modifiable factors (obesity, anemia and chronotropic incompetence) are strongly associated with exercise capacity whereas resting measures of ventricular and vascular structure and function are not.

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Disclosures: None.

**Clinical Trial Registration**—URL: <http://www.clinicaltrials.gov>. Unique identifier: NCT00763867.

## Keywords

heart failure with preserved ejection fraction; diastole; exercise; cardiopulmonary exercise; oxygen consumption; arterial stiffness; aortic distensibility

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Exercise intolerance is a hallmark of chronic heart failure (HF)<sup>1</sup> and is correlated with reduced quality of life and poorer outcomes.<sup>2, 3</sup> Exercise capacity is influenced by left ventricular (LV) filling and contractile function, vascular function, chronotropic function, oxygen carrying capacity (hemoglobin) and peripheral muscle mass and function.<sup>4-12</sup> Impairments in resting LV and vascular function are common in patients with HF and preserved ejection fraction (HFpEF). However, it is unclear whether abnormalities in *resting* ventricular or vascular function are tightly correlated with impairment in the capacity to enhance ventricular and vascular function during exercise. Indeed, exercise capacity varies widely in individuals with HF and reduced EF (HFrEF) who have marked abnormalities in resting LV and vascular function.

Small, single center studies have established the presence of reduced exercise capacity in HFpEF and evaluated the association of select variables with impaired exercise capacity in HFpEF.<sup>7-9, 12</sup> The Phosphodiesterase-5 (PDE-5) Inhibition to Improve Clinical Status and Exercise Capacity in HFpEF (RELAX) trial evaluated the effect of therapy with the PDE-5 inhibitor sildenafil on clinical status and peak oxygen consumption ( $pVO_2$ ) in HFpEF.<sup>13</sup> The multi-center design, rigorous entry criteria and comprehensive phenotypic characterization of the RELAX cohort afford a unique opportunity to enhance our understanding of the pathophysiology of HFpEF by evaluating factors associated with exercise capacity in HFpEF. We hypothesized that measures of resting LV diastolic function, myocardial contractility and vascular function are associated with  $pVO_2$  in HFpEF independently of age, sex, body size, hemoglobin and chronotropic function.

## Methods

The RELAX trial was a multi-center, randomized clinical trial conducted within the National Heart Lung and Blood Institute (NHLBI) sponsored HF clinical research network (HFN). The institutional review boards of the participating HFN clinical centers approved the RELAX study and all the subjects provided informed consent prior to participation in the study. The rationale and study design and the primary results of the RELAX trial have been previously published.<sup>13, 14</sup> All participants underwent a baseline cardiopulmonary exercise test (CPXT), a six minute walk test and a 2-D and Doppler transthoracic echocardiogram. Cardiac magnetic resonance imaging (CMR) without administration of contrast was performed in those without claustrophobia, implantable cardiac device or body size limitation (body circumference too large to fit in CMR chamber). Those in atrial fibrillation did not undergo CMR due to technical challenges with ECG gating in atrial fibrillation.

The current study evaluated the baseline data obtained prior to randomization. This ancillary study was designed and approved by the HFN ancillary studies committee prior to study completion. All analyses were completed by the HFN data coordinating center.

### Study subjects

The RELAX trial enrolled 216 ambulatory subjects with HFpEF. Entry criteria specified NYHA class II-IV HF symptoms, LVEF  $\geq$  50% and objective evidence of HF (HF hospitalization or invasively documented elevation in LV filling pressures at rest or left atrial enlargement in the setting of chronic diuretic therapy for HF). Further, at study entry, patients were required to have  $pVO_2 \geq$  60% of the age/sex predicted normal value<sup>15</sup> and either an elevated ( $\geq$  400 pg/ml) N terminal pro-brain natriuretic peptide (NT-proBNP) or elevated ( $\geq$  200 pg/ml) BNP plasma level or previously documented elevated LV filling pressures (at rest or with exercise) at the time NT-proBNP or BNP was not elevated.<sup>14</sup>

### Doppler echocardiography

Brachial blood pressure (BP) and heart rate (HR) were measured while the echocardiogram was being recorded. LV cavity dimension and wall thicknesses were measured from 2-D images and used to calculate EF. Reported EF preferentially used biplane Simpson's method, modified Quinones formula, single plane volumetric or visual estimate. Endocardial fractional shortening (eFS), mid wall fiber shortening (mFS) and end systolic wall stress (eESS) were measured as previously described. Contractility was assessed by indexing eFS (stress corrected; sc-eFS) or mFS (sc-mFS) to (log transformed) to eESS.<sup>16</sup> Stroke volume (SV) was calculated from the time velocity integral of the pulsed wave Doppler signal of LV outflow tract (LVOT) flow and LVOT area. Pulmonary artery systolic pressure (PASP) was calculated from the peak tricuspid regurgitant velocity and the estimated right atrial pressure using the simplified Bernoulli equation. The early diastolic medial mitral annular tissue velocity ( $e'$ ) was used as a measure of LV relaxation. The early mitral inflow deceleration time (DT) is a frequently reported diastolic function measure which reflects the combined effects of relaxation, LV stiffness and filling pressures. The ratio of the early transmitral flow velocity (E) to  $e'$  (E/ $e'$ ) were used as a measure of LV filling pressure.

A modification of a previously established ordinal diastolic function grading system was also utilized.<sup>17</sup> The HFN core echocardiography laboratory (Mayo Clinic, Rochester, MN) completed all measurements according to the American Society of Echocardiography recommendations.

Pulse pressure (PP) and mean arterial pressure (MAP) were calculated using standard formulae. End-systolic pressure (ESP) was estimated as  $0.9 \times$  systolic blood pressure (SBP).<sup>18</sup> Effective arterial elastance ( $E_a$ ;  $ESP/SV$ ), total systemic arterial compliance (SAC;  $SV/PP$ ) and systemic vascular resistance (SVR;  $(MAP/[cardiac\ output]) \times 80$ ) were derived as previously described.<sup>18</sup>

### Cardiac magnetic resonance (CMR)

Brachial BP and HR were measured during the CMR. Aortic distensibility was measured using aortic maximal ( $CSA_{max}$ ) and minimal cross sectional area ( $CSA_{min}$ ) as (aortic

$CSA_{max} - aortic\ CSA_{min} / (aortic\ CSA_{min} \times (PP) \times (10^{-3}\text{ mmHg}))$ .<sup>4</sup> The HFN core CMR laboratory (Duke University, Durham, NC) performed all CMR measurements.

### Cardiopulmonary exercise test and six minute walk test

A symptom limited cardiopulmonary exercise test was performed on a cycle or treadmill using specifically designed CPXT protocols and analyzed by the HFN core CPXT laboratory (Massachusetts General Hospital, Boston, MA) using established methodologies as previously described.<sup>14</sup> Briefly, this custom CPXT protocol incorporated an initial low work rate with linear increase in order to yield a linear oxygen uptake during exercise. The protocol consisted of a 5-min rest period with collection of gas exchange data, followed by a 3-min period of low-then a 10W/min symptom-limited incremental ramp. A cycle ergometer and two treadmill protocols (< or = 80kg, to account for the influence of weight on treadmill work rate) were designed to match the workload at a given exercise time.<sup>14</sup> Ventilatory anaerobic threshold was determined by the modified V-slope method.<sup>19</sup> All personnel who performed testing were blinded to treatment allocation of the study subjects.

While published normative values for  $pVO_2$  were used to document exercise limitation for study entry,<sup>15</sup> age, sex, body size and modality predicted  $pVO_2$  was also calculated using the Wasserman equation and the % predicted  $VO_2$  achieved was calculated.<sup>20</sup> The Wasserman equation estimates predicted  $pVO_2$  based on age, sex, body size, exercise modality and accounts for the training effect of obesity on  $pVO_2$  in healthy persons allowing a 6 ml/min increase in predicted  $pVO_2$  for each kg of weight over ideal body weight.

The age, sex, height and weight predicted six minute walk distance (6MWD) and % predicted 6MWD were calculated.<sup>21</sup>

Age-predicted maximal HR was defined by the formula  $(220 - \text{age})$ .<sup>22</sup> Chronotropic index defined as the predicted heart rate reserve achieved during exercise<sup>23</sup> and was calculated as  $([\text{peak HR} - \text{rest HR}] / [\text{age predicted maximal HR} - \text{rest HR}])$ . Chronotropic incompetence was deemed present if the chronotropic index was <0.8 for patients not on beta blockers and <0.62 for those taking beta blockers.

### Statistical analysis

Data are presented as median (25<sup>th</sup>, 75<sup>th</sup> percentile) or % frequency as appropriate. Non-parametric rank tests and chi square test for independence (or Fisher's exact test) were used for group comparison of continuous and categorical variables, respectively. Pearson's correlation was used for bivariate analyses. For multivariable analyses, linear regression models were constructed. To display the association of resting ventricular or vascular function parameters with  $pVO_2$  after adjustment for age, sex, body size, hemoglobin and chronotropic index, the increment in the model  $R^2$  (partial  $R^2$ ) and p value for each parameter were calculated.

All of the analyses were 2-tailed, and a  $p < 0.05$  was considered statistically significant. Analysis was completed by the HFN data-coordinating center (Duke Clinical Research Institute, Durham, NC) using SAS statistical software (SAS Institute Inc., Cary, NC).

## Results

### Clinical characteristics of the RELAX participants

Baseline characteristics of the RELAX participants (n=216) have been published previously.<sup>13</sup> Briefly, the median age of the participants was 69 years and 48% were women. Patients had NYHA class II (47%) or III (53%) HF symptoms. Most patients were obese (median BMI was 33 kg/m<sup>2</sup>). Other comorbidities including hypertension (85%), history of atrial fibrillation (51%), diabetes mellitus (43%), coronary artery disease (39%) and chronic obstructive pulmonary disease (COPD; 19%) were common.

### Baseline clinical characteristics and exercise capacity

Adequate baseline CPXT data were available on 215 of the 216 patients enrolled in RELAX. Median pVO<sub>2</sub> was 11.70 ml/kg/min which represented 41% of the age and sex predicted normal value<sup>15</sup> and 67% of pVO<sub>2</sub> predicted from the Wasserman equation,<sup>20</sup> whereas median six minute walk distance (6MWD) was 307 meters (67% of predicted).<sup>21</sup>

Baseline characteristics of subjects were assessed according to tertiles of pVO<sub>2</sub> (Table 1) which resulted in categories of pVO<sub>2</sub> < 13.50 (upper), 10.84 to 13.49 (middle) and < 10.85 ml/kg/min (lower). The median pVO<sub>2</sub> in the three tertiles were 15.90, 11.70 and 9.40 ml/kg/min, respectively.

Patients with lower pVO<sub>2</sub> were older, more likely to be women and more obese (Table 1). There was no difference in the prevalence of hypertension, coronary artery disease, or COPD across the tertiles of pVO<sub>2</sub>. However, atrial fibrillation, diabetes mellitus, renal dysfunction (lower eGFR and higher serum creatinine) and anemia (lower hemoglobin) were more common in those with lower pVO<sub>2</sub>.

There was no difference in the frequency of use of beta blockers, calcium channel blockers, or digoxin across the tertiles of pVO<sub>2</sub>. However, patients with lower pVO<sub>2</sub> were more likely to be on loop diuretics and required higher doses of diuretics (Table 1).

Patients with lower pVO<sub>2</sub> had more severe symptoms (NYHA class); however, the Minnesota living with HF questionnaire (MLWHF) total score was not significantly different across the tertiles of pVO<sub>2</sub>. Patients with lower pVO<sub>2</sub> had evidence of more severe congestion (elevated jugular venous pressure, peripheral edema) and higher NT-proBNP levels (Table 1).

### Functional capacity in HFpEF

Patients with lower pVO<sub>2</sub> had lower % predicted pVO<sub>2</sub> (Wasserman equation) and shorter 6MWD (Table 2). Peak respiratory exchange ratio (RER) was not significantly related to pVO<sub>2</sub>, likely due to an RER of ~1 in most subjects and the narrow range of RER achieved (median 1.09, 1.02 - 1.15). Subjects with lower pVO<sub>2</sub> exercised for shorter duration and achieved lower heart rate, chronotropic index and blood pressure at peak exercise. Patients with lower pVO<sub>2</sub> had lower submaximal exercise capacity (VO<sub>2</sub> at anaerobic threshold, AT).

### Relationship of cardiovascular structure and function to exercise capacity

Subjects with lower  $pVO_2$  tended to have smaller LV diastolic diameter and had lower LV mass but not LV mass indexed to body size (Table 3). There was no difference in geometry (relative wall thickness) across the tertiles of  $pVO_2$ .

Neither EF nor other indices of myocardial contractility (stress corrected eFS or mFS) were different across the tertiles of  $pVO_2$  (Table 3).

Patients with lower  $pVO_2$  had more severe diastolic dysfunction, evidenced by higher mitral inflow E/A ratio and E/e' and shorter deceleration time although e' was not different across tertiles of  $pVO_2$ . Using an ordinal diastolic dysfunction severity scale, those with lower  $pVO_2$  had more advanced diastolic dysfunction. However, this difference was not statistically significant as the majority of patients enrolled in RELAX had a pseudonormal or restrictive pattern (Table 3).

Patients with lower  $pVO_2$  had higher PASP (Table 3) but there were no differences in systemic vascular function indices across tertiles of  $pVO_2$  (Table 3).

### Age, sex, body size, hemoglobin and chronotropic reserve as predictors of peak $VO_2$ (ml/min)

As previously established in normal persons and in persons with cardiovascular disease,<sup>24</sup> age, sex, BMI, hemoglobin and chronotropic reserve were associated with  $pVO_2$  in HFpEF (Figure 1) and these variables explained 64% of the variability in  $pVO_2$  (ml/min) and 49% of weight-indexed  $pVO_2$  in the RELAX study population (Table 4). As expected, body mass index (BMI) was negatively associated with weight-indexed  $pVO_2$  (ml/kg/min, Table 1) but positively associated with non-indexed  $pVO_2$  (ml/min) (Figure 1, Table 4). The % predicted  $pVO_2$  (Wasserman equation) was not associated with BMI (Figure 2).

In RELAX, CPXT was performed on a cycle in 81(38%) and on a treadmill in 134 (62%). Adjusting for these variables, there was no association between exercise modality and  $pVO_2$ .

### Relationship of cardiovascular structure and function to peak $VO_2$ (ml/min) adjusting for age, sex, BMI, hemoglobin and chronotropic index

LV diastolic dimension (partial  $R^2$ 1.5,  $p$ =0.008) and LV mass (partial  $R^2$ 1.6,  $p$ =0.008) were associated with  $pVO_2$  (Table 5), whereas RWT had no association with  $pVO_2$ . Stroke volume was positively associated with  $pVO_2$  explaining an additional 2.0% of the variability in  $pVO_2$  in HFpEF patients. There was no association between other resting indices of systolic function and  $pVO_2$  (Table 5).

Indexes of diastolic dysfunction (higher E/e', and shorter DT) were associated with lower  $pVO_2$  with each variable explaining an additional 1% of the variability in  $pVO_2$  in HFpEF. However, the ordinal diastolic dysfunction grade, E/A ratio and LA volume were not associated with  $pVO_2$  (Table 5). PASP showed a strong trend towards association with  $pVO_2$ , but this was not statistically significant ( $p$ =0.09), Table 5.

Higher total systemic arterial load (Ea) and lower SAC were each associated with lower pVO<sub>2</sub> explaining an additional 2.1% (Ea) or 1.5% (SAC) of the variability of pVO<sub>2</sub> in HFpEF. SVR and aortic distensibility were not significantly associated with pVO<sub>2</sub> (Table 5).

## Discussion

In this prospectively identified and rigorously characterized HFpEF cohort, age, sex, body size, hemoglobin and chronotropic reserve collectively explained 64% of the variability in pVO<sub>2</sub>. After accounting for these variables, LV size and mass, parameters reflecting the severity of diastolic dysfunction and elevated LV filling pressures, SV, and systemic arterial function were each only modestly, albeit significantly associated with pVO<sub>2</sub>. These findings suggest that potentially modifiable factors (obesity, anemia and chronotropic incompetence) potentially contribute to exercise intolerance in HFpEF. The relatively weak or absent association of resting measures of LV systolic, LV diastolic or vascular function with exercise capacity suggests that the cardiovascular response to exercise is poorly correlated with resting measures in HFpEF.

### Exercise capacity in RELAX

Despite striking differences in ventricular-vascular properties, studies have shown that the degree of exercise intolerance is similar in HFpEF and HFrEF.<sup>2, 3, 25, 26</sup> Notably, pVO<sub>2</sub> in the HFpEF patients enrolled in RELAX was lower than previously described in HFpEF cohorts with NYHA class II/III symptoms,<sup>2, 25, 27, 28</sup> or in HFrEF with LVEF<35% and similar NYHA class.<sup>29</sup> The severity of exercise intolerance as measured by pVO<sub>2</sub> or 6MWD in the RELAX HFpEF cohort<sup>13</sup> reflects not only the demographics of the HFpEF population as older persons, women and obese persons have lower reference ranges for weight-indexed pVO<sub>2</sub> and 6MWD, but also the RELAX entry criteria which mandated a pVO<sub>2</sub> 60% of predicted for age and sex.<sup>15</sup> In comparison, a recent multicenter trial investigating the effect of spironolactone on exercise capacity in HFpEF used a more liberal entry criteria (pVO<sub>2</sub> 25 ml/mg/min regardless of age and sex)<sup>28</sup> and therefore enrolled a cohort with higher median pVO<sub>2</sub> (16.4 ml/kg/min), milder symptoms, better diastolic function and fewer comorbidities than observed in RELAX. These differences in prospectively enrolled HFpEF cohorts underscore the spectrum of debility in HFpEF and confirm the validity of pVO<sub>2</sub> as a marker of disease severity in HFpEF.

### Association of systolic function with exercise capacity in HFpEF

Contrary to our hypothesis and consistent with small studies in HFrEF,<sup>30</sup> neither resting LV chamber (EF and eFS) nor myocardial (stress corrected mFS) systolic performance were significantly related to exercise capacity in HFpEF although resting SV was.

In a large randomized clinical trial of exercise training in HFrEF, resting EF was positively associated with pVO<sub>2</sub> in HFrEF,<sup>29</sup> but explained only one percent of the variance in pVO<sub>2</sub>.<sup>29</sup>

The association between resting SV and exercise capacity observed here is consistent with a previous study in HFpEF<sup>8</sup> where resting and peak SV were lower in HFpEF than controls and each associated with pVO<sub>2</sub>. Despite normal EF, patients with HFpEF have subtle

reduction in measures of myocardial contractility,<sup>31</sup> blunted increases in EF and SV in response to exercise<sup>7, 9, 32, 33</sup> and modest but significant reduction in EF over time. While lower resting SV may identify patients with more limited ability to enhance stroke volume with exercise, this association was not strong with resting SV explaining only two percent of the variance in pVO<sub>2</sub>.

### **Association of diastolic function with exercise capacity in HF**

Diastolic function indexes were the most significant correlates of exercise capacity in previous studies in HFpEF,<sup>29, 34</sup> where higher E/A ratio was associated with lower pVO<sub>2</sub> after adjustment for pertinent covariates, explaining an additional 6% of the variance in pVO<sub>2</sub>.<sup>29</sup>

In some previous HFpEF studies, the severity of resting diastolic dysfunction assessed with novel indices was associated with more impaired exercise capacity<sup>7</sup> while larger studies did not find an association between resting conventional Doppler diastolic function indices and exercise capacity.<sup>12, 35</sup> In this fairly advanced HFpEF cohort, resting Doppler indices known to be associated with LV diastolic dysfunction and elevation of LV filling pressures were only modestly associated with impairment in pVO<sub>2</sub> after adjustment for pertinent covariates. This may reflect the variability and/or insensitivity of the diastolic indices to elevation of LV filling pressures. Alternatively, the severity of exercise-induced diastolic dysfunction may be variably related to resting diastolic function. Studies in patients with NYHA class II HF symptoms and normal EF demonstrated marked elevations in pulmonary capillary wedge pressure with leg elevation or exercise despite normal resting wedge pressure.<sup>36, 37</sup>

### **Association of arterial function with exercise capacity in HF**

Arterial and ventricular stiffness increase in parallel with advancing age and particularly in hypertensive heart disease and HFpEF.<sup>38, 39</sup> Arterial stiffness was inversely associated with exercise capacity in healthy adults.<sup>40</sup> Arterial stiffness and/or blunted reduction in Ea or systemic vascular resistance with exercise (impaired vascular reserve) were associated with severity of exercise intolerance in HFpEF.<sup>6, 9, 33, 41</sup> In RELAX, Ea (a combined index of pulsatile and resistive load) had a significant negative correlation with exercise tolerance (pVO<sub>2</sub>) after adjustment for pertinent covariates. This association was driven by the pulsatile (aortic compliance), rather than the resistive component of Ea (SVR). Aortic distensibility measured by CMR is another (inverse) index of arterial stiffening and was directly correlated with pVO<sub>2</sub> in a study of HFpEF patients and healthy controls.<sup>4</sup> In RELAX, where associations were tested only in subjects with HFpEF, aortic distensibility was not associated with pVO<sub>2</sub>. Measures of vascular reserve were not assessed in RELAX. Measures of arterial stiffness were lower in senior athletes than age matched healthy but sedentary controls suggesting that exercise may prevent or reverse arterial stiffening.<sup>40</sup> However, a trial of exercise training in HFpEF showed that training improved exercise capacity without improving arterial stiffness.<sup>41</sup>

### **Comorbid conditions and exercise capacity in HFpEF**

This study confirms that non-cardiovascular (obesity and anemia) and cardiovascular (chronotropic incompetence) comorbidities are potentially associated with impaired exercise



tolerance in HFpEF. Each of these conditions is potentially modifiable and may represent therapeutic targets in HFpEF.<sup>42</sup>

Obesity is common in HFpEF and is paradoxically associated with better survival except at extreme levels of obesity.<sup>43</sup> Obesity is associated with mobility limitation in the general population,<sup>44</sup> where intentional weight loss was associated with improvement in mobility<sup>45</sup>. Guidelines specify indexing pVO<sub>2</sub> to total body mass (weight) for prognostic and diagnostic use. Weight-indexed pVO<sub>2</sub> is inversely related to BMI while non-indexed pVO<sub>2</sub> increases with BMI in healthy persons. Cardiovascular capacity is best reflected by pVO<sub>2</sub> indexed to lean body mass or as expressed as a percent of appropriately predicted pVO<sub>2</sub> (accounting for the training effect of obesity) whereas work capacity is related to ambulatory activity and best expressed as peak VO<sub>2</sub> indexed to body weight.<sup>46</sup> Indeed, HFpEF patients have lower weight-indexed and lean body mass-indexed pVO<sub>2</sub> than controls confirming reduction in both cardiovascular and work capacity in HFpEF.<sup>12</sup>

Here, peak VO<sub>2</sub> was only 71% of that predicted by the Wasserman equation confirming reduced cardiovascular capacity in the RELAX HFpEF cohort. While patients with lower weight-indexed VO<sub>2</sub> were more obese, they also had lower % predicted peak VO<sub>2</sub> and % predicted peak VO<sub>2</sub> was not related to BMI, suggesting that reduced cardiovascular capacity in HFpEF is not merely related to obesity. While cardiovascular capacity (% predicted VO<sub>2</sub>) did not correlate with BMI, the inverse relationship between *work capacity* (pVO<sub>2</sub>/kg) and BMI lends support to investigating the impact of weight reduction on functional status in HFpEF. Unfortunately, no assessment of lean body mass or peripheral muscle strength was obtained in RELAX and thus we cannot comment on whether HFpEF patients had sarcopenic obesity contributing to their exercise intolerance.<sup>11, 12</sup>

As oxygen carrying capacity is strongly correlated with exercise capacity, treatment of anemia with erythropoietin has been studied in HFrEF and HFpEF with no benefit based on 6 minute walk tests. However, in a subgroup of patients that was able to complete a cardiopulmonary exercise test, those randomized to Epoetin Alfa had significant improvement in pVO<sub>2</sub> compared with placebo. Although these findings are inconclusive, treatment of anemia may be of benefit in some HFpEF patients.<sup>47</sup>

The impact of treatment of chronotropic incompetence by withdrawal of negative chronotropic agents and/or rate adaptive pacing has not yet been characterized in HFpEF.

### **Additional factors associated with exercise intolerance in HFpEF**

The contribution of skeletal muscle properties and oxygen extraction to variance in pVO<sub>2</sub> was not addressed in this analysis. Studies have varied as to whether oxygen extraction is altered in HFpEF with studies showing normal to enhanced<sup>8, 32</sup> or reduced<sup>48, 49</sup> extraction with exercise in HFpEF. Deconditioning impairs pVO<sub>2</sub>, whereas exercise training improved exercise capacity in small studies in HFpEF.<sup>50</sup>

### **Limitations**

Because of the cross sectional nature of the study, we cannot prove that factors associated with lower peak VO<sub>2</sub> are causally related to exercise intolerance. The lack of an association

of most resting indices with exercise capacity in RELAX may have been influenced by the entry criteria which restricted entry to those with severe exercise intolerance and resulted in a narrower range of  $p\text{VO}_2$ . Measures of ventricular and vascular function were assessed non-invasively and brachial BP was used as surrogate for central arterial pressure. We have not measured ventricular - vascular function during exercise, we therefore cannot determine the influence of these measures on exercise capacity. The insignificant association between the Minnesota living with heart failure questionnaire and peak  $\text{VO}_2$  may indicate a limitation of disease specific questionnaires; incorporation of non-disease specific questionnaires such as the short form health survey (SF-36) may overcome this limitation.

## Conclusion

In this well-characterized HFpEF cohort, in addition to age and sex, several potentially modifiable factors (obesity, anemia and chronotropic incompetence) are strongly associated with the severity of exercise intolerance in HFpEF. The relatively weak or absent association of resting measures of LV systolic, LV diastolic or vascular function with exercise capacity suggests that cardiovascular response to exercise is poorly correlated with resting measures in HFpEF.

## Supplementary Material

Refer to Web version on PubMed Central for supplementary material.

## Acknowledgments

**Sources of Funding:** This study is supported by the National Institutes of Health grants U10HL084904 (the data coordinating center), U10HL084907 and PO1HL 76611 (MMR), T32-HL007111, Mayo clinic cardiovascular division and Mayo graduate school (SFM). Support for mentoring SFM and RZ as HF research skills development fellows is provided by HL084907 and UL1 RR024150. This study was also supported by UL1 TR000135 from the National Center for Advancing Translational Sciences.

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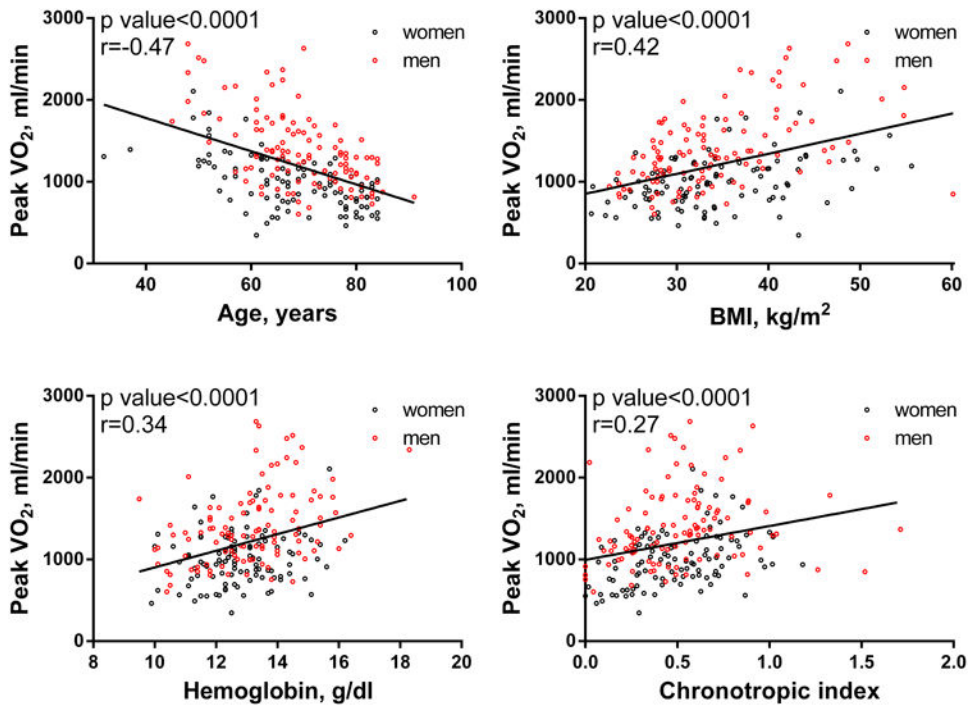


Figure 1. Correlations between pVO<sub>2</sub> (ml/min) and age, BMI, hemoglobin and chronotropic index in men and women

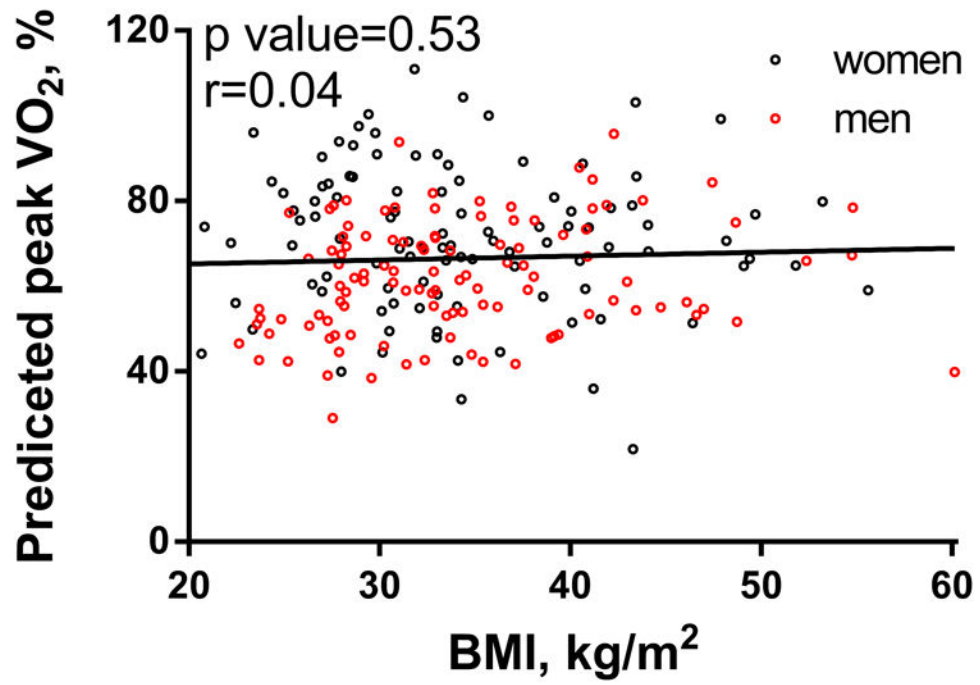


Figure 2. Correlations between BMI and % predicted peak VO<sub>2</sub> in men and women

**Table 1**  
**Baseline subject characteristics by severity of limitation in peak exercise capacity**

	Upper pVO <sub>2</sub> tertile 15.9 (14.4, 16.9)	Middle pVO <sub>2</sub> tertile 11.7 (11.2, 12.4)	Lower pVO <sub>2</sub> tertile 9.4 (8.3, 10.2)	P-Value
<b>N</b>	73	71	71	
<b>Age, years</b>	66 (59, 73)	69 (63, 79)	70 (65, 78)	0.006
<b>Men, n (%)</b>	53 (73%)	32 (45%)	26 (37%)	<0.001
<b>BMI, kg/m<sup>2</sup></b>	32 (28, 37)	32 (27, 38)	35 (31, 41)	0.004
<b>BSA, m<sup>2</sup></b>	2.2 (2.0, 2.3)	2.1 (1.9, 2.3)	2.1 (1.9, 2.3)	0.23
<b>Comorbidities, n (%)</b>				
<b>Ischemic heart disease</b>	31 (43%)	26 (37%)	26 (37%)	0.71
<b>Hypertension</b>	61 (84%)	58 (82%)	63 (89%)	0.47
<b>Atrial fibrillation (history)</b>	29 (40%)	43 (61%)	39 (41%)	0.03
<b>Atrial fibrillation (entry ECG)</b>	20 (27%)	30 (42%)	29 (59%)	0.12
<b>Pacemaker or ICD</b>	7 (10%)	13 (18%)	15 (21%)	0.13
<b>COPD</b>	13 (18%)	11 (16%)	18 (25%)	0.31
<b>Diabetes mellitus</b>	24 (33%)	28 (39%)	40 (56%)	0.01
<b>Medications at enrolment, n (%)</b>				
<b>Beta blocker</b>	56 (77%)	54 (76%)	54 (76%)	0.99
<b>Digoxin</b>	6 (8%)	8 (11%)	8 (11%)	0.78
<b>Calcium blocker</b>	17 (23%)	20 (28%)	28 (39%)	0.10
<b>Loop diuretic</b>	46 (63%)	56 (79%)	63 (89%)	0.001
<b>Furosemide- dose/day, mg</b>	40 (20, 80)	45 (20, 80)	80 (40, 120)	0.002
<b>Clinical characteristics</b>				
<b>NYHA functional class, n (%)</b>				
<b>II</b>	48 (66%)	31 (44%)	21 (30%)	<0.001
<b>III</b>	25 (34%)	40 (56%)	50 (70%)	
<b>MLWHFQ total score</b>	37 (27, 63)	46 (32, 62)	47 (32, 62)	0.36
<b>MLWHFQ physical dimension</b>	21(13, 29)	22 (14, 31)	25 (17, 32)	0.27
<b>Rales, n (%)</b>	4 (5%)	4 (6%)	6 (8%)	0.78
<b>Third heart sound (S3), n (%)</b>	0 (0%)	5 (7%)	4 (6%)	0.06
<b>JVP &gt; 8 cmH<sub>2</sub>O, n (%)</b>	24 (33%)	33 (46%)	38 (54%)	0.04



	Upper pVO <sub>2</sub> tertile 15.9 (14.4, 16.9)	Middle pVO <sub>2</sub> tertile 11.7 (11.2, 12.4)	Lower pVO <sub>2</sub> tertile 9.4 (8.3, 10.2)	P-Value
Moderate to severe edema, n (%)	9 (12%)	13 (18%)	22 (31%)	0.02
<b>Laboratory values</b>				
Creatinine, mg/dl	1.1 (0.8, 1.3)	1.1 (0.8, 1.3)	1.3 (0.9, 1.7)	0.02
eGFR, ml/min/1.73 m <sup>2</sup>	71 (55, 88)	65 (51, 83)	52 (36, 68)	0.0001
Hemoglobin, g/dl	13.4 (12.6, 14.5)	12.6 (11.5, 13.8)	12.4 (11.5, 13.3)	<0.001
NT-proBNP, pg/ml	485 (93, 876)	621 (247, 1296)	1279 (618, 2331)	<0.001

Abbreviations: BMI, body mass index; BSA, body surface area; COPD, chronic obstructive pulmonary disease; ECG, electrocardiogram; JVP, jugular venous pressure; MLWHF, Minnesota living with heart failure questionnaire; eGFR, estimated glomerular filtration rate; NT-proBNP, N-terminal pro-brain natriuretic peptide; NYHA, New York Heart Association; VO<sub>2</sub>, oxygen consumption

**Table 2**

**Exercise capacity in HFpEF**

	Upper pVO <sub>2</sub> tertile 15.9 (14.4, 16.9)	Middle pVO <sub>2</sub> tertile 11.7 (11.2, 12.4)	Lower pVO <sub>2</sub> tertile 9.4 (8.3, 10.2)	P-value
N	73	71	71	
Six minute walk distance, m	368 (293, 442)	328 (246, 382)	236 (160, 306)	<0.001
Exercise modality				0.017
Cycle	38 (52%)	53 (75%)	43 (61%)	
Treadmill	35 (48%)	18 (25%)	28 (39%)	
Rest hemodynamics				
Systolic BP, mmHg	123 (112, 140)	128 (114, 140)	120 (110, 132)	0.37
Diastolic BP, mmHg	74 (66, 80)	70 (64, 76)	68 (60, 76)	0.04
Heart rate, bpm	67 (60, 76)	69 (60, 80)	70 (60, 76)	0.89
Peak exercise hemodynamics				
Systolic BP, mmHg	162 (146, 190)	158 (134, 172)	138 (120, 158)	<0.001
Diastolic BP, mmHg	80 (61, 90)	72 (64, 80)	70 (60, 73)	0.004
Heart rate, bpm	119 (111, 134)	106 (92, 128)	94 (81, 109)	<0.001
Chronotropic index	0.60 (0.48, 0.72)	0.47 (0.32, 0.67)	0.29 (0.17, 0.52)	<0.001
Chronotropic incompetence	47 (65%)	55 (79%)	62 (87%)	0.007
Respiratory exchange ratio	1.1 (1.0, 1.2)	1.1 (1.0, 1.2)	1.09 (1.0, 1.2)	0.82
Borg score	8 (6, 9)	7 (5, 9)	7 (5, 8)	0.049
Exercise duration, min	12 (10, 14)	10 (8, 11)	7 (6, 9)	<0.001
VO <sub>2</sub> , ml/min	1503 (1289, 1784)	1066 (902, 1278)	860 (689, 1120)	<0.001
VO <sub>2</sub> , ml/kg/min	15.9 (14.4, 16.9)	11.7 (11.2, 12.4)	9.4 (8.3, 10.2)	N/A
VO <sub>2</sub> at AT, ml/min/kg	9.1 (8.3, 10.3)	7.0 (6.3, 7.9)	6.0 (5.4, 6.6)	<0.001
VO <sub>2</sub> at AT (% of pVO <sub>2</sub> )	59 (53, 64)	60 (54, 66)	67 (60, 71)	<0.001
% Predicted pVO <sub>2</sub> <sup>†</sup>	75.48 (68.16, 84.03)	66.84 (56.65, 77.03)	54.9 (45.99, 64.84)	<0.001

Abbreviations: AT, anaerobic threshold; BP, blood pressure; pVO<sub>2</sub>, peak oxygen consumption;

<sup>†</sup>Wasserman Equation

**Table 3**  
**Ventricular and vascular structure and function by severity of limitation in peak exercise capacity**

N	73	71	71	P-value
	Upper pVO <sub>2</sub> tertile 15.9 (14.4, 16.9)	Middle pVO <sub>2</sub> tertile 11.7 (11.2, 12.4)	Lower pVO <sub>2</sub> tertile 9.4 (8.3, 10.2)	
<b>LV structure and geometry</b>				
LV diastolic dimension, cm	4.8 (4.3, 5.4)	4.6 (4.2, 5.0)	4.5 (4.1, 5.1)	0.10
LV mass, g	170 (142, 242)	146 (122, 215)	148 (121, 190)	0.047
LV mass index, g/m <sup>2</sup>	80 (64, 105)	77 (59, 100)	70 (58, 85)	0.11
Relative wall thickness	0.41 (0.35, 0.50)	0.41 (0.36, 0.50)	0.42 (0.36, 0.47)	0.83
<b>LV systolic function</b>				
Ejection fraction, %	61 (56, 68)	60 (55, 65)	61 (57, 65)	0.61
Stroke volume, ml	80 (66, 95)	78 (65, 87)	74 (59, 90)	0.29
Stroke volume index, ml/m <sup>2</sup>	38 (30, 43)	38 (31, 43)	36 (30, 44)	0.62
Stroke work, gm-beat	97 (82, 122)	94 (77, 109)	86 (73, 109)	0.11
eFS, %	38 (34, 45)	38 (33, 42)	37 (34, 42)	0.80
mFS, %	30 (27, 34)	29 (26, 32)	29 (27, 33)	0.68
eESS, g/cm <sup>2</sup>	116 (94, 145)	110 (91, 140)	110 (95, 131)	0.82
Stress corrected eFS*	19 (16, 23)	19 (16, 22)	19 (16, 22)	0.87
Stress corrected mFS*	14 (13, 17)	15 (12, 16)	14 (13, 16)	0.86
<b>LV diastolic function</b>				
E/A ratio	1.1 (0.9, 1.8)	1.6 (1.0, 2.6)	1.7 (1.1, 2.6)	0.03
e' medial, cm/s	6 (5, 8)	6 (5, 8)	5 (4, 7)	0.12
E/e' medial	13 (10, 20)	17 (12, 24)	18 (15, 26)	0.004
Deceleration time, msec	200 (156, 235)	190 (159, 212)	176 (147, 201)	0.04
LA volume index, ml/m <sup>2</sup>	42 (33, 57)	54 (39, 62)	43 (38, 55)	0.06
<b>Diastolic function grade</b>				0.14
Normal	5 (13%)	7 (14%)	2 (3%)	
Abnormal relaxation	1 (3%)	1 (2%)	0 (0%)	
Pseudo-normal	27 (68%)	37 (74%)	47 (80%)	
Restrictive	7 (18%)	5 (10%)	10 (17%)	
PASP, mmHg	36 (30, 46)	41 (32, 49)	47 (36, 56)	0.006

	Upper pVO <sub>2</sub> tertile 15.9 (14.4, 16.9)	Middle pVO <sub>2</sub> tertile 11.7 (11.2, 12.4)	Lower pVO <sub>2</sub> tertile 9.4 (8.3, 10.2)	P-value
<b>Systemic arterial function</b>				
Pulse pressure, mmHg	52 (46, 68)	60 (48, 72)	55 (47, 66)	0.16
Ea, mmHg/ml	1.51 (1.18, 1.71)	1.53 (1.18, 1.87)	1.52 (1.23, 1.95)	0.46
SVR, dyne.sec.cm <sup>-5</sup>	1336 (1159, 1584)	1326 (1085, 1637)	1507 (1111, 1724)	0.42
SVRI, dyne.sec.cm <sup>-5</sup> .m <sup>2</sup>	2873 (2447, 3376)	2648 (2295, 3540)	3016 (2456, 3562)	0.60
SAC, ml.mmHg <sup>-1</sup>	1.45 (1.12, 1.85)	1.27 (0.96, 1.76)	1.33 (1.06, 1.70)	0.20
SACi, ml.mmHg <sup>-1</sup> .m <sup>-2</sup>	0.70 (0.55, 0.88)	0.64 (0.50, 0.78)	0.66 (0.53, 0.79)	0.36
Aortic distensibility, mmHg <sup>-1</sup>	1.42 (0.74, 2.90)	1.06 (0.67, 1.22)	1.20 (0.63, 2.05)	0.14

Abbreviations: cESS, circumferential endocardial systolic wall stress; Ea, arterial elastance; eFS, endocardial fractional shortening; LV, left ventricle; LA, left atrial; mFS, midwall fractional shortening; PASP, pulmonary artery systolic pressure; SAC, systemic arterial compliance; SVR, systemic vascular resistance;

\* =%/log10 (g/cm<sup>2</sup>)

**Table 4**  
**Age, sex, BMI, hemoglobin and chronotropic index association with  $\dot{V}O_2$  Regression coefficients with 95% confidence intervals are shown**

<b>Peak <math>\dot{V}O_2</math> indexed to weight (ml/kg/min) N=212; Model <math>R^2 = 0.49</math></b>			
	<b>Regression Coefficient (ml/kg/min)</b>	<b>Confidence interval</b>	<b>P-value</b>
Age (per year)	-0.11	-0.15, -0.08	<0.001
Sex (women vs men)	-1.88	-2.50, -1.27	<0.001
BMI (per kg/m <sup>2</sup> )	-0.11	-0.15, -0.06	<0.001
Hemoglobin (per g/dl)	0.58	0.37, 0.79	<0.001
Chronotropic index (per 0.1)	0.36	0.25, 0.47	<0.001
<b>Peak <math>\dot{V}O_2</math> (ml/min) N=212; Model <math>R^2 = 0.64</math></b>			
	<b>Regression Coefficient (ml/min)</b>	<b>Confidence interval</b>	<b>P-value</b>
Age (per year)	-12.73	-16.81, -8.66	<0.0001
Sex (women vs men)	-391.87	-465.58, -318.16	<0.0001
BMI (per kg/m <sup>2</sup> )	20.22	14.59, 25.85	<0.0001
Hemoglobin (per g/dl)	68.72	43.67, 93.78	<0.0001
Chronotropic index (per 0.1)	40.47	27.11, 53.83	<0.0001

**Table 5**

Age, Sex, BMI, hemoglobin and chronotropic index adjusted association of cardiovascular structure and function with peak  $\text{VO}_2$  (ml/min): LV diastolic dimension, LV mass, stroke volume,  $e'$ , SAC and mitral deceleration time were positively associated with  $\text{pVO}_2$ , whereas mitral  $E/e'$  ratio, Ea and NTproBNP were negatively associated with  $\text{pVO}_2$ .

Associated variable	Model N	Model $R^2$	Partial $R^2$ for variable	P-value
<b>LV structure and geometry</b>				
LV diastolic dimension, mm	163	0.6658	0.0153	0.008
LV mass, gram	157	0.6638	0.0162	0.008
Relative wall thickness	157	0.6480	0.0004	0.68
<b>LV systolic function</b>				
Ejection fraction, %	209	0.6428	0.0007	0.54
Stroke volume, ml	184	0.6471	0.0196	0.002
eFS, %	140	0.6468	0.0022	0.36
mFS, %	139	0.6465	0.023	0.36
cESS, $\text{g}/\text{cm}^2$	135	0.6478	0.0002	0.79
Stress corrected eFS	135	0.6502	0.0026	0.33
Stress corrected mFS	135	0.6500	0.0024	0.35
<b>LV diastolic function</b>				
E/A ratio	140	0.6601	0.0061	0.12
Medial $e'$ , cm/s	195	0.6328	0.0077	0.048
Medial $E/e'$	187	0.6364	0.0140	0.009
Deceleration time, msec	148	0.6213	0.0019	0.03
LA volume, ml	191	0.6362	0.0091	0.41
Diastolic function grade	136	0.5232	0.0106	0.09
PASP, mmHg	148	0.5643	0.0140	0.22
<b>Systemic arterial function</b>				
Pulse pressure, mmHg	203	0.6458	0.0002	0.74
Systolic BP, mmHg	203	0.6476	0.0019	0.30
Ea, mmHg/ml	177	0.6510	0.0207	0.002
SVR, $\text{dyne}\cdot\text{sec}\cdot\text{cm}^{-5}$	174	0.6443	0.0059	0.10
SAC, ml/mmHg	177	0.6456	0.0154	0.007
Aortic distensibility, $\text{mmHg}^{-1}$	84	0.7054	0.0040	0.31
NT ProBNP, pg/ml	209	0.6623	0.0189	0.0009

Abbreviations: as in Table 3