

WJG 20th Anniversary Special Issues (5): Colorectal cancer**p38 α MAPK pathway: A key factor in colorectal cancer therapy and chemoresistance**

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Abstract

Colorectal cancer (CRC) remains one of the most common malignancies in the world. Although surgical resection combined with adjuvant therapy is effective at the early stages of the disease, resistance to conventional therapies is frequently observed in advanced stages, where treatments become ineffective. Resistance to cisplatin, irinotecan and 5-fluorouracil chemotherapy has been shown to involve mitogen-activated protein kinase (MAPK) signaling and recent studies identified p38 α MAPK as a mediator of resistance to various agents in CRC patients. Studies published in the last decade showed a dual role for the p38 α pathway in mammals. Its role as a negative regulator of proliferation has been reported in both normal (including cardiomyocytes, hepatocytes, fibroblasts, hematopoietic and lung cells) and

cancer cells (colon, prostate, breast, lung tumor cells). This function is mediated by the negative regulation of cell cycle progression and the transduction of some apoptotic stimuli. However, despite its anti-proliferative and tumor suppressor activity in some tissues, the p38 α pathway may also acquire an oncogenic role involving cancer related-processes such as cell metabolism, invasion, inflammation and angiogenesis. In this review, we summarize current knowledge about the predominant role of the p38 α MAPK pathway in CRC development and chemoresistance. In our view, this might help establish the therapeutic potential of the targeted manipulation of this pathway in clinical settings.

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Key words: p38 mitogen-activated protein kinase; Chemoresistance; Molecularly-targeted drugs; Colorectal cancer; Cell death

Core tip: The pro-apoptotic effects of p38 α activation are long recognized; however, a significant number of reports describes the involvement of p38 α in cancer-specific metabolism, survival, proliferation, and chemoresistance of colorectal cancer cells and tissues. p38 α blockade exerts its chemosensitizing effects through nuclear accumulation of FoxO3A and activation of its pro-apoptotic gene expression program, thereby improving the efficacy of anti-cancer treatments. Apoptosis induction upon p38 α inhibition requires Bax, which may thus serve as a predictive bio-marker for p38 α -targeted therapy response. The novel role described for the p38-FoxO3A axis in chemoresistance might offer new options for combined therapies and personalized medicine approaches.

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INTRODUCTION

Colorectal cancer (CRC) is the third most frequent cancer in males and the second in females. The majority of CRC patients have sporadic disease with no apparent evidence of inheritance, while the remaining 25% have a family history of CRC suggesting a hereditary contribution, exposure to common high risk factors among family members, or a combination of both. Genetic mutations have been identified as the cause of inherited cancer risk in some tumor-prone families; these mutations are estimated to account for only 5% to 6% of CRC cases overall^[1]. The knowledge derived from the study of syndromes with Mendelian dominant inheritance, which are characterized by a primary predisposition to benign or malignant tumors of the large bowel, has provided important clues regarding the molecular events driving CRC progression from normal epithelium to adenoma (mutations of APC/ β -catenin, KRAS/BRAF and then DCC/SMAD2/SMAD4/TGF β RII) to carcinoma [PI3KCA, PTEN, p53, BAX, with the involvement of the DNA mismatch repair (MMR) genes MLH1, MSH2, PMS2 and MSH6].

Current treatment for CRC is based on combination therapies, which in most cases include surgery, local radiotherapy and chemotherapy. The type and timing of treatment depend on stage classification. Besides, pre-operative therapy for colorectal cancer has been used before surgery, resulting in improved quality of surgery, reduced toxic effects and increased radiosensitivity. The main disadvantage of pre-operative chemoradiotherapy is related to possible over-treatment of patients with early stage or undetected metastatic disease before surgery.

Current clinical trials keep on investigating the incorporation of more effective combination chemotherapies into the multimodality treatment of this disease. Currently, 5-FU combined with leucovorin and irinotecan (FOLFIRI) is the recommended first-line chemotherapy for CRC. Other frequently used compounds include capecitabine, a more convenient alternative to intravenous infusions of 5-FU for patients who are able to tolerate oral chemotherapy, and platinum-based drugs, such as oxaliplatin and cisplatin^[2-4]. Oxaliplatin is used in combination with leucovorin and 5-FU in the FOLFOX regimen. In addition, targeted therapies with monoclonal antibodies designed to inhibit EGF (such as cetuximab or panitumumab) or VEGF (bevacizumab) signaling have been proven of potential benefit in addition to standard chemoradiotherapy^[5].

Clinical trials are now directed to evaluate new drug combinations, treatment schedules, and radiotherapy approaches, and to set up new methods of diagnostic imaging with the aim of enhancing tumor regression, increasing overall survival, and improving the quality of life for CRC patients.

There is still much to be learned about the treatment of colorectal cancers and increasing efforts are needed to complement traditional chemotherapy with targeted molecular approaches.

MAPKs and p38 MAPK signaling

MAPKs are expressed in all cell types and regulate a variety of physiological processes such as cell growth, metabolism, differentiation and cell death. To date, six distinct groups of MAPKs have been characterized in mammals: the extracellular signal-regulated kinases ERK 1/2, ERK 3/4, ERK5, ERK 7/8, the Jun N-terminal kinases JNK 1/2/3 and the p38 MAPKs p38 α / β / γ / δ ^[6,7].

MAPK signaling shows a cascade organization, in which activation of upstream kinases by receptors leads to sequential activation of a MAPK module (MAPKKK, MAPKK, MAPK). In this context, specific interactions between MAPKs and their substrates are crucial for mediating signaling input and output^[8,9]. Indeed, mechanisms are described that limit protein interactions to preserve signaling specificity. In particular, MAPKs only phosphorylate the consensus motif S/TP in their target proteins and a second level of specificity is warranted by a conserved docking domain that binds kinases allowing substrate phosphorylation^[10]. In these complexes, structural scaffold proteins link together the components of the signaling pathway to assure effective signaling transmission between kinases.

p38 MAPKs are a family of serine/threonine-directed kinases classified as “stress-activated” kinases. Activation of p38 MAPKs has been observed in response to a variety of extracellular stimuli in different organisms, and p38 homologues have been identified in yeast (hog1 and Spc/Sty1), fruit fly (p38a/b/c), worm (pmk-2) and frog (p38)^[11-13]. In yeast, the p38 pathway is involved in the response to extracellular stress stimuli and cell cycle-related events^[14,15]. Mammalian p38 MAPKs play similar roles and their activation allows cells to interpret a wide range of external signals and respond appropriately by generating a plethora of different biological effects such as inflammation, differentiation, cell proliferation and survival in a tissue-specific and signal-dependent manner^[16,17].

In mammals, four genes have been identified that encode p38 MAPKs: MAPK14 (p38 α), MAPK11 (p38 β), MAPK12 (p38 γ) and MAPK13 (p38 δ). p38 α and p38 β are closely related proteins that might have overlapping functions. While p38 α and p38 β expression is abundant in most tissues, p38 γ and δ seem to be expressed in a tissue-specific manner, *e.g.*, p38 γ is detected in muscle and p38 δ is predominant in skin, small intestine and kidney^[18,19]. Besides being tissue-specific, the expression profile of p38 isoforms also seems to be influenced by the differentiation status of the cell. For example, undifferentiated intestinal epithelial cells express the α , β and γ isoforms, while differentiated cells express the α , γ and δ isoforms^[20,21]. Additionally, three alternative splicing isoforms of the *MAPK14* gene have been reported. The Mxi2 variant is identical to p38 α in amino acids 1-280

and showed reduced binding of p38 MAPK substrates; however, it can bind to ERK1/2 MAPKs, modulating their nuclear import^[22,24]. The Exip variant has a unique 53-amino acid C-terminus and is insensitive to usual activating treatments; nevertheless, it is able to regulate the NF κ B pathway^[25]. The CSB1 variant shows a 25 amino acids difference in its internal sequence, but its contribution is unknown^[22].

Various combinations of upstream kinases regulate the activation of p38 isoforms. There are two major MAPKKs known to activate p38: MAPKK3 and MAPKK6, which are activated by their upstream kinases, such as MTK1 (also known as MEKK4) and the apoptosis signal-regulating kinase 1 (ASK1)^[19], but other MAPKK-independent mechanisms involving the growth arrest and DNA-damage-inducible protein alpha (GADD45 α) and the ataxia telangiectasia and Rad3-related protein (ATR) have also been described^[26,27]. p38 MAPK is relatively inactive in its non-phosphorylated form and becomes rapidly activated by phosphorylation of two Thr-Gly-Tyr motifs^[28,29]. Phosphorylated p38 proteins can activate several transcription factors, such as ATF-2, CHOP-1, MEF-2, p53, and Elk-1, but also a variety of kinases, including MNK1, MNK2, MSK1, PRAK, MAPKAPK2 and MAPKAPK3, that are involved in controlling cytoplasmic and/or nuclear signaling networks and response to cytokines, growth factors, toxins and pharmacological drugs.

P38 MAPK PATHWAYS IN HUMAN CANCER

Uncontrolled proliferation is a result of altered signaling mechanisms and a hallmark of cancer^[30]. The genetic basis of signaling cascade deregulation relies on somatic mutations in components of these pathways, as reported in a large-scale screening study on the status of protein kinases in tumors. However, the functional meaning of these mutations remains still unclear and genetic alterations cannot explain, *per se*, the loss of intracellular equilibrium in cancer^[31].

The p38 MAPK pathway, together with various signaling cascades such as JNK, ERK, AMPK and PI3K^[30,32,33], regulates the balance between cell survival and cell death with direct effects on the development of various cancers. The tight regulation of survival/death signals by p38 MAPKs can result in opposite molecular functions in tumor development. Indeed, p38 MAPKs can play a dual role: they can either mediate cell survival or promote cell death through different mechanisms.

Most reports support the pro-apoptotic role of p38 MAPKs; for example, p38 α and/or p38 β are mediators of apoptosis in several cell types such as neurons^[34-37] or cardiac cells^[38-40]. In other cell types, p38 MAPKs activate apoptosis through transcriptional and post-transcriptional mechanisms, upon stimulation with TNF- α ^[41], TGF- β ^[42] or oxidative stress^[43]. Moreover, p38 α sensitizes cardiomyocytes and MEF-derived cell lines to apoptosis

induced by different stimuli through up-regulation of the pro-apoptotic proteins Fas and Bax and down-regulation of the activity of the ERK and Akt survival pathways^[44,45]. In accordance with these observations, overexpression of p38 α enhances apoptosis induced by the constitutive active mutant MKK3bE in cardiomyocytes; however, overexpression of p38 β promotes cell survival^[46].

The role of p38 as a tumor suppressor has also been highlighted by using genetically modified mouse models. Several studies taking advantage of mouse models deficient for p38 or its upstream activators (such as MAPKKs, GADD45 α , MAPK5) demonstrated higher susceptibility to tumor development^[47-50]. Concordant with the anti-tumorigenic function of p38, in some types of cancer inactivation of tumor suppressor pathways is promoted by the up-regulation of negative regulators of p38 MAPK, such as the phosphatases DUSP26 and PPM1D, and inhibitors of MAPKKs upstream activators (*e.g.*, ASK1 and GST-proteins)^[51-53].

p38 α negatively regulates malignant transformation induced by oncogenic H-Ras, and several mechanisms have been proposed to explain this putative tumor-suppressor role, including inhibition of the ERK pathway^[54], induction of premature senescence^[55], stimulation of p53-dependent cell cycle arrest^[51] and upregulation of cell cycle inhibitors, such as p16^{INK4a}^[49] and p21^{Cip1}^[56]. Other reports indicate that p38 α may antagonize malignant transformation induced by N-Ras in fibroblasts^[57] and by K-Ras in colon cancer cells^[58], although the mechanisms involved are poorly understood.

While p38 signaling has been shown to act as a tumor suppressor, this function seems to be effective mainly at the onset of cellular transformation^[59-61], since in various cell lines p38 has been shown to enhance tumor cell growth after acquisition of the malignant phenotype. Enhanced p38 MAPK phosphorylation has been correlated with poor overall survival in patients with HER-2 negative breast cancer^[62] or with hepatocellular carcinoma^[63]. Moreover p38 MAPK is involved in sustaining tumor growth in other types of cancer including follicular lymphoma, lung, thyroid, colorectal, ovarian^[64,65] and breast carcinomas, as well as gliomas and head and neck squamous cell carcinomas^[66-69]. In these cases, depending on the type and stage of the tumor, cancer cells with pro-tumorigenic activation of the p38 MAPK pathway may have a selective advantage.

The potential pro-tumorigenic role of p38 α signaling is based on correlations with bad prognosis in cancer, and there is evidence that this pathway may contribute to the survival or proliferation of cancer cell lines from different origins, including breast^[70], colorectal^[71], prostate^[72] or skin^[73]. In addition, p38 α is involved in cancer cell metabolism by driving HIF1 α stabilization^[74], in chondrosarcoma cell proliferation^[75] and in tumor dormancy^[76]. Typical features of cancer aggressiveness, such as migration and invasion, are also positively regulated by p38 MAPK activation in breast, head and neck squamous

and hepatocellular carcinomas^[66-69]. Importantly, we also detected aberrant activation of p38 in high grade CRC biopsies^[77] and inflammatory bowel disease-associated human CRC specimens (Simone C, unpublished results).

P38 α AND COLORECTAL CANCER: ROLE IN THE AUTOPHAGIC RESPONSE

Signaling networks are important to maintain genome stability during cell cycle to protect cells from tumorigenesis. Besides, additional control mechanisms include cell death and autophagy.

Autophagy is an evolutionarily conserved catabolic process regulating turn over and elimination of proteins, cellular organelles, such as peroxisomes, ribosomes and mitochondria, and the endoplasmic reticulum. The resulting metabolites are recycled for biosynthesis and energy metabolism. This process includes the formation of double-membrane cytosolic vesicles, termed autophagosomes, which then fuse with endosomes and lysosomes to form autolysosomes, wherein degradation finally occurs. Like apoptosis, autophagy has emerged as a key process in physiologic cell response. It is constitutively active at low levels, but can be robustly activated under metabolic stress^[78,79].

Complex connections exist between autophagy, apoptosis and cellular homeostasis; indeed, autophagy can act both as a protector and an executioner. The general view is that autophagy is a survival pathway; however, autophagy induction by prolonged starvation and other stresses can result in cell death^[78,79]. The ability of autophagy to regulate cell death makes it a target in several diseases, such as cancer and neurodegenerative disorders^[80].

Beclin-1/Atg6 has a central role in autophagy by interacting with the “nucleation step” kinase class III PI₃K (Vps34) and with the anti-apoptotic proteins Bcl-2/Bcl-xL. Beclin-1 can be released from Bcl-2/Bcl-xL complexes by pro-apoptotic BH3 proteins to trigger autophagy; however, Bax and Bak (pro-apoptotic proteins) can also be released from these complexes to trigger apoptosis^[81]. Mice lacking beclin-1 or genes that play an important role in the autophagy machinery show increased tumorigenesis^[80,82]. Autophagy appears deregulated during carcinogenesis and it is an important barrier for cellular transformation. However, autophagy has a two-faced role, which depends on the balance between cytoprotective and potentially oncogenic effects *vs* cell-death-promoting and tumor-suppressive effects^[83]. Noticeably, autophagy can support tumor progression by contributing to tumor dormancy^[84]. In mouse hepatocellular carcinoma cells, dormancy is regulated by the activity ratio between ERK and p38 MAPK^[85].

Our group has previously reported that p38 α is required for CRC cell proliferation and survival and that its genetic depletion or the pharmacological blockade of its kinase activity induces growth arrest, autophagy and cell death in a cell type-specific manner^[21,86,87]. Interestingly, in these cells inhibition of the autophagic activity promoted

a dramatic increase in cell death by inducing a molecular switch from autophagic to apoptotic cell death in CRC cells^[21]. Moreover, p38 α blockade interfered with the signal-dependent transcription of a subset of genes involved in cell cycle control, autophagy and cell death^[21,71]. Our results indicate that the autophagy response to p38 α blockade initially represents a survival pathway, while prolonged inactivation of the kinase leads to cell death. Indeed, reactivation of p38 α induces a significant reduction of autophagic markers together with a slow reentry into the cell cycle^[21,88].

Further evidence supporting the role of p38 α as a negative regulator of autophagy comes from studies showing that manipulation of p38-interacting protein and p38 α alters the localization of mATG9, a protein required for autophagosome formation. p38 α mediates starvation-induced mATG9 trafficking to form autophagosomes, suggesting that p38 α could provide the link to nutrient-dependent signaling cascades activated during autophagy^[89]. The role of p38 signaling in the negative control of autophagy has also been described in hepatocytes under hypo-osmotic stress or upon addition of amino acids or insulin^[90], and in cultured Sertoli cells treated with SB203580, a p38 specific inhibitor, which show accumulation of large autophagolysosomes^[91]. Moreover, Keil *et al.*^[92] demonstrated that Atg5, an E3 ubiquitin ligase required for autophagosome elongation and LC3 lipidation, is phosphorylated by p38 α and that regulation of p38 α by GADD45/MEKK4 negatively modulates the autophagic process.

Despite the profound differences in the metabolism of normal and cancer cells, in both the activity of the autophagic machinery strongly depends on cell metabolic status^[93]. An altered cellular metabolism is a common feature of cancer cells. Indeed, cancer cells predominantly produce energy by high rates of glycolysis, even in the presence of high oxygen tension, rather than by comparatively low rates of glycolysis followed by oxidation of pyruvate in mitochondria, as in most normal cells^[94]. Cancer cells predominantly produce ATP through constitutive activation of aerobic glycolysis (50% of their ATP is obtained through the glycolytic flux, *vs* 10% observed in normal cells), a process that generally relies on the stabilization and activation of the transcription factor HIF1 α , which regulates the expression of the glucose transporter GLUT1, the rate-limiting enzymes HK1/2 and PKM2, and LDHA, the enzyme responsible for the conversion of pyruvate into lactate. As such, HIF1 α links aerobic glycolysis to carcinogenesis, representing one of the crossroads for tumor suppressor and oncogenic pathways^[95,96].

p38 α is required to sustain HIF1 α protein levels and the expression of its target genes; thus, its inhibition causes a rapid drop in ATP levels in CRC cells. This acute energy need triggers AMPK-dependent nuclear accumulation of FoxO3A and subsequent activation of its transcriptional program, leading to sequential induction of autophagy, cell cycle arrest and cell death. *In vivo*, pharmacological blockade of p38 α has both a cytostatic

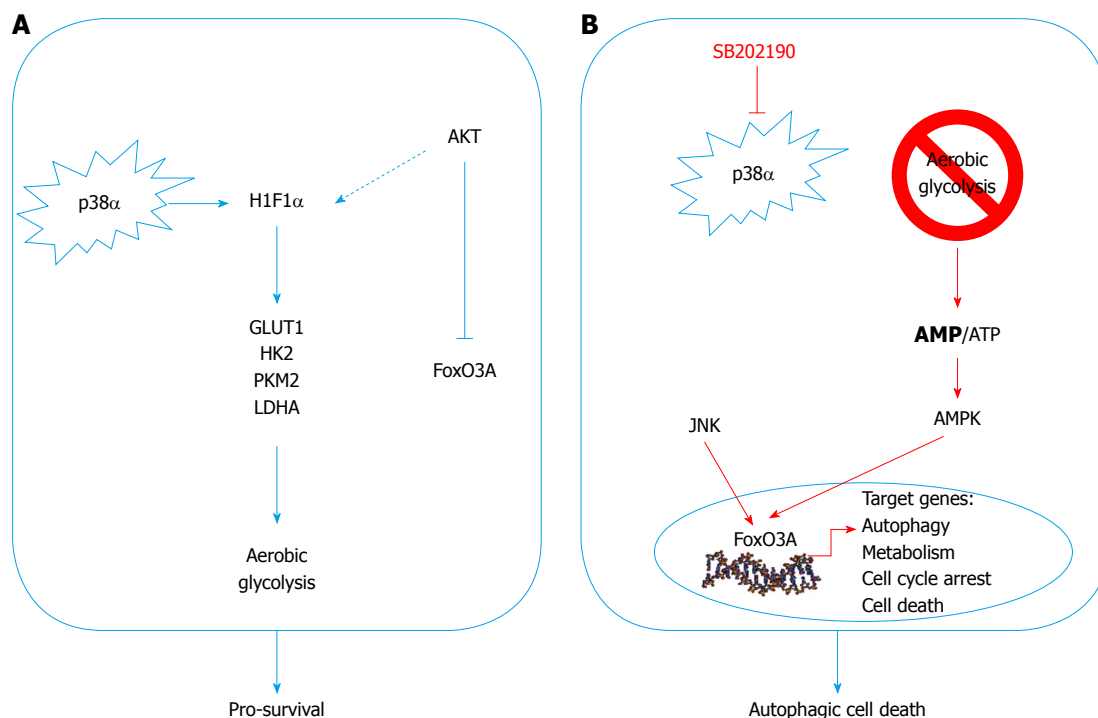


Figure 1 Schematic representation of the p38 α involvement in colorectal cancer. A: p38 α is involved in the regulation of key metabolic cascades in colorectal cancer (CRC), sustaining HIF1 α protein expression and the transcription of HIF1 α target genes, such as GLUT1, HK2, PKM2 and LDHA; B: p38 α blockade causes a significant decrease in the intracellular levels of ATP, which correlates with a time-dependent reduction of HIF1 α protein levels and the consequent decrease in HIF1 α target gene expression and phospho-activation of AMPK. AMPK activity is required for the nuclear accumulation of FoxO3A and the subsequent activation of FoxO3A target genes involved in autophagy, metabolism, cell cycle arrest and cell death, leading to autophagic cell death in CRC *in vitro* and *in vivo*. PI3K/Akt and JNK kinases regulate the nuclear/cytoplasmic shuttling of FoxO3A protein by phosphorylation.

and cytotoxic effect on colorectal neoplasms, and is associated with nuclear enrichment of FoxO3A and expression of its target genes p21 and PTEN^[188].

The signaling perturbation imposed by p38 α inhibition in CRC involves a reduction of S473 Akt phospho-activation together with the enrichment of the PTEN phosphatase, a pattern that correlates with the decreased Akt-dependent phospho-inhibition of FoxO3A on the T32 and S253 residues. Conversely, JNK, a potent activator of FoxO3A, was significantly phospho-activated in SB202190-treated CRC cell lines^[188]. Importantly, PI3K class I enzymes negatively regulate autophagy in several cellular systems including CRC cells, and the JNK pathway has also been reported to be required for starvation-induced autophagy in cancer cells and for autophagic cell death^[97,98]. Of note, PI3K/Akt and JNK can also crosstalk with p38 α by cooperating at the chromatin level, and Akt can be activated by p38 α / β through MAPK/APK2-dependent phosphorylation of serine 473^[99]. Conversely, the antagonism between JNK and p38 α signaling has been shown in different ways, including direct repression of the JNK upstream kinases Grap2/HPK1 and MKK7^[47,100] (Figure 1).

CROSTALK BETWEEN THE P38 AND THE MEK/ERK PATHWAYS IN CRC

It is now widely accepted that the MEK/ERK pathway

plays a significant role in CRC formation and progression and that the kinase pathway including RAS, RAF, MEK 1/2, and ERK 1/2 is activated in most human tumors. Mutations in BRAF and KRAS oncogenes occur in 36% of all human cancers, including 15% of colorectal cancers and 40% of melanomas^[101]. Haigis *et al.*^[102] demonstrated that KRAS affects proliferation and differentiation, whereas activated NRAS (a protein of the RAS family) suppresses apoptosis. However, although initial results demonstrated that inhibition of RAF or MEK1 exerts a cytostatic and cytotoxic effect in CRC cells *in vitro* and in xenograft models^[103,104], the MEK1 inhibitor CI-1040 showed poor antitumor activity in phase II clinical trials^[105].

Interestingly, it has been recently reported that ATP-competitive kinase inhibitors have potent antitumor effects on mutant BRAF tumors, with evidence of decreased tumor growth; in contrast, KRAS mutant tumors showed an opposite mechanism, with enhanced tumor growth in xenograft models^[106]. Additionally, Corcoran *et al.*^[107] demonstrated that BRAF-mutant CRC cells were less sensitive to vemurafenib (RAF inhibitor) and displayed incomplete phospho-ERK suppression. They observed a rapid ERK reactivation occurring through EGFR-mediated activation of RAS and CRAS. Taken together, these findings support the idea that RAF and MEK inhibitors might be used depending on the cellular context and in combination with other therapeutic drugs.

Recently, we showed that the crosstalk between the p38 α and ERK pathway is crucial for CRC therapy response. Indeed, p38 α inhibition by SB202190 up-regulates HER3, one of the receptor tyrosine kinases (RTK) of the EGF pathway, in CRC and this effect is dependent upon the activity of FoxO3A and its cofactor Sirt1^[108]. This observation was also confirmed by using a structurally and functionally different p38 α inhibitor, BIRB796, which is in phase III clinical trials for the treatment of inflammation^[109]. In turn, HER3 up-regulation leads to overactivation of the MEK/ERK pathway, even in the presence of mutated RAF or RAS which are still able to over-activate ERK signaling in response to extracellular ligands (*i.e.*, EGF)^[110]. Of note, in breast cancer cells FoxO3A is able to increase expression of RTKs, including HER3, in response to AKT inhibition^[111], and the phosphatase PP2A mediates the interplay between the p38 α and the MEK/ERK pathways in primary fibroblasts and breast epithelial cells^[112,113].

Activation of the MEK/ERK pathway in CRC cells upon p38 α inhibition leads to the rescue of a pro-apoptotic program driven by the extrinsic pathway through transcriptional up-regulation of TRAIL and activation of caspase-8. Concomitant MEK inhibition triggers Bax-dependent apoptosis by enabling signaling propagation through t-Bid and caspase 3. Of note, recent research showed that the MEK/ERK pathway might affect Bid processing by caspase-8, thus resembling the activity of casein kinases I / II, which regulate caspase 8-dependent Bid cleavage by phosphorylation^[114]. Bid phosphorylation at MAPK phosphorylation sites was induced by p38 α inhibition and abrogated by concomitant inhibition of MEK1. Interestingly, the therapeutic efficacy of p38 α /MEK1 inhibition in CRC is independent from mutations in p53, KRAS and BRAF genes, and from the CIN or MIN phenotype; conversely, Bax^{-/-} cells showed almost 50% apoptosis reduction with respect to wild type cells. Combined inhibition of p38 α and MEK1 efficiently reduces the volume of xenografted tumors and AOM/DSS-induced tumors *in vivo*^[77].

Intriguingly, we observed p38 α activation in HT-29, HCT-116 and Caco2 CRC cells treated with MEK1 inhibitors, a phenomenon that does not occur in human primary non-tumorigenic colon cells (Grossi V, Peserico A and Simone C, unpublished results), suggesting that a reciprocal compensatory role of these two pathways may exist in cancer cells. Indeed, inhibition of ERK activity triggers p38 activation also in cervical carcinoma HeLa cells^[115].

Sorafenib, an experimental antitumor agent, potently inhibits nine protein kinases, including BRAF. It has also been shown to target the DFG-out conformational state of p38 α and to affect p38 α kinase activity *in vitro*^[116,117]. Sorafenib induces apoptosis by down-regulating Mcl-1 in several cell lines and xenografted tumors, including HT-29 and HCT-116 CRC cells^[116,118,119]. It has been approved for clinical use by the FDA in hepatocellular carcinoma (HCC) and renal cell carcinoma (RCC), but failed

to prove efficacy in CRC clinical trials^[116,120]. Sorafenib, which is a type-II kinase inhibitor, has been tested in our lab in association with the type-I inhibitor SB202190 in CRC cell lines and animal models to investigate whether combination of these compounds may entail enhanced effects allowing to control more efficiently cancer cell growth^[121]. Simultaneous inhibition of p38 α DFG-in and -out conformations and BRAF leads to a synergistic increase of the apoptotic response in CRC cells, the most suitable type of cell death in tumor treatment. These observations are consistent with structural data and other studies showing that the DFG-in and DFG-out conformations of p38 α can be targeted by type-I and type-II kinase inhibitors, respectively^[117,122,123]. In fact, since sorafenib is able to bind to p38 α , the residual kinase activity exerted by the remaining pool of p38 α in the DFG-in conformation may constitute the basis of sorafenib clinical failure in CRC. Therefore, concomitant inhibition with a type-I inhibitor such as SB202190 is expected to abrogate the residual activity of p38 α with consequent synergistic effects^[121]. For these reasons, it is important to create the basis for a novel drug design paradigm of kinase inhibition, in which both the DFG-in and DFG-out conformations are simultaneously targeted, either with a combination of inhibitors (type-I and type-II inhibitors) or with one single agent acting against both conformations^[124].

A recent report presented the latest clinical results about the multikinase inhibitor Regorafenib (Fluoro-Sorafenib), that targets, among others, RAF, wild type BRAF and mutated BRAF, and showed a particularly high inhibitory activity on p38 MAPK^[125]. When administered sequentially with standard chemotherapy, it displayed a good tolerance and a promising activity in patients with CRC. Currently, Regorafenib is being evaluated in phase II clinical trials in combination with FOLFOX6 and FOLFIRI in patients with mutant KRAS or BRAF CRC^[125,126] and is now proposed for an international phase III clinical trial for the treatment of patients with metastatic CRC who have progressed after standard therapies (Figure 2).

P38 α AND CHEMORESISTANCE: P38 INHIBITORS AS TARGETED THERAPEUTICS

Cancer cells can develop chemoresistance in the course of chemotherapy due to signaling pathways that have been altered during tumorigenesis. Following drug exposure, some clones within the cancer tissue can reprogram the expression of a specific set of genes leading to overactive and/or suppressed signaling networks. These adaptive changes may ultimately favor survival by desensitizing cells to drug-induced death signals. Due to this mechanism, patients might suffer from recurrent tumors originated from resistant clones^[127-130].

The occurrence of chemoresistance is responsible for

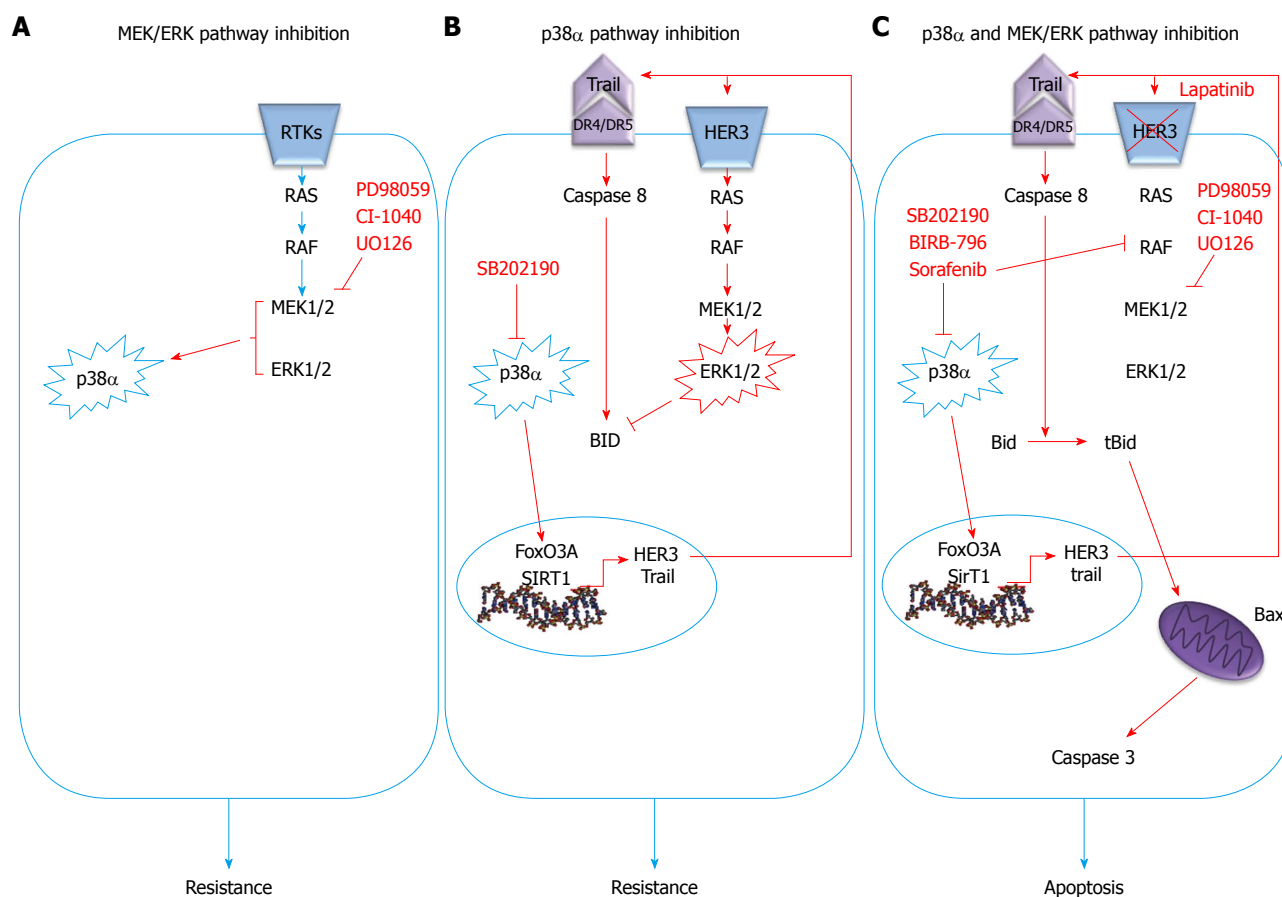


Figure 2 Schematic representation of the p38 α /ERK cross-talk in colorectal cancer. A: Inhibition of MEK1 triggers phospho-activation of the p38 MAPK pathway, indicating the existence of a p38 α /ERK crosstalk in CRC cells; B: p38 α inhibition induces increased expression of HER3, one of the receptor tyrosine kinases (RTK) of the EGF pathway, and this effect is dependent upon the activity of FoxO3A and its cofactor SIRT1. In turn, HER3 up-regulation leads to over-activation of the MEK/ERK pathway. Moreover, p38 α inhibition leads to the rescue of a pro-apoptotic program driven by the extrinsic pathway through transcriptional up-regulation of TRAIL and activation of caspase-8; C: Concomitant MEK inhibition triggers Bax-dependent apoptosis by enabling signaling propagation through t-Bid and caspase 3. Bid phosphorylation at MAPK phosphorylation sites is induced by p38 α inhibition and abrogated by concomitant inhibition of MEK1.

the limited success of various drugs in many cancers. For example, cisplatin, a chemotherapeutic agent frequently used against colorectal tumors, has been shown to induce cell death rates of up to 70% in the first phase of therapy; however, over time this rate gradually decreases to 15% due to the existence of unresponsive (chemoresistant) cells during chronic chemotherapy exposure^[4,131,132].

Thus, studies focusing on specific resistance mechanisms with the aim of finding new therapeutic strategies directed against specific targets have become increasingly desirable to improve patient survival. p38 α might well be one of these targets. Indeed, response to cisplatin treatment is potentiated upon p38 α inhibition, resulting in ROS-dependent upregulation of the JNK pathway in cancer cells, including CRC. *In vivo*, p38 α inhibition cooperates with cisplatin treatment to reduce the size and malignancy of xenografted breast tumors in mice^[133]. Additionally, we recently showed that p38 α signaling is activated in cisplatin-treated CRC cells, and that p38 α genetic ablation or pharmacological blockade sensitizes chemoresistant CRC cells to cisplatin. Furthermore, p38 α inhibitors showed an additive effect with cisplatin in chemosensitive CRC cells, and co-treatment induced

Bax-dependent apoptosis in both sensitive and resistant cells *in vitro* and in xenografted mouse models^[134].

Chemoresistant cells may either show specific resistance to a particular drug or display multidrug resistance. P-glycoprotein (P-gp) is a well-established plasma membrane transporter which is involved in the efflux of chemotherapeutic agents by pumping drugs like cisplatin, vincristine and doxorubicin outside of cancer cells. When high p38 activity is observed in chemoresistant cells, P-gp expression is often upregulated and chemoresistance can be reversed by SB203580 treatment^[135,136]. Using p38 inhibitors together with common chemotherapeutics has also given promising results in different experimental setups. Co-treatment with SB202190 and the chemotherapy drug irinotecan appears to sensitize chemoresistant CRC cells to chemotherapy thus supporting an important role for p38 in controlling chemoresistance^[137,138]. SCIO-469 has been shown to reduce tumor growth in multiple myeloma xenograft tumors and to enhance the effect of bortezomib by inhibiting p38 α . Additionally, VWCS, a peptide inhibitor, has been recently proposed both as a specific p38 α inhibitor and a therapeutic agent^[139-142]. In non-cancerous cells, p38 is involved in the inhibition of

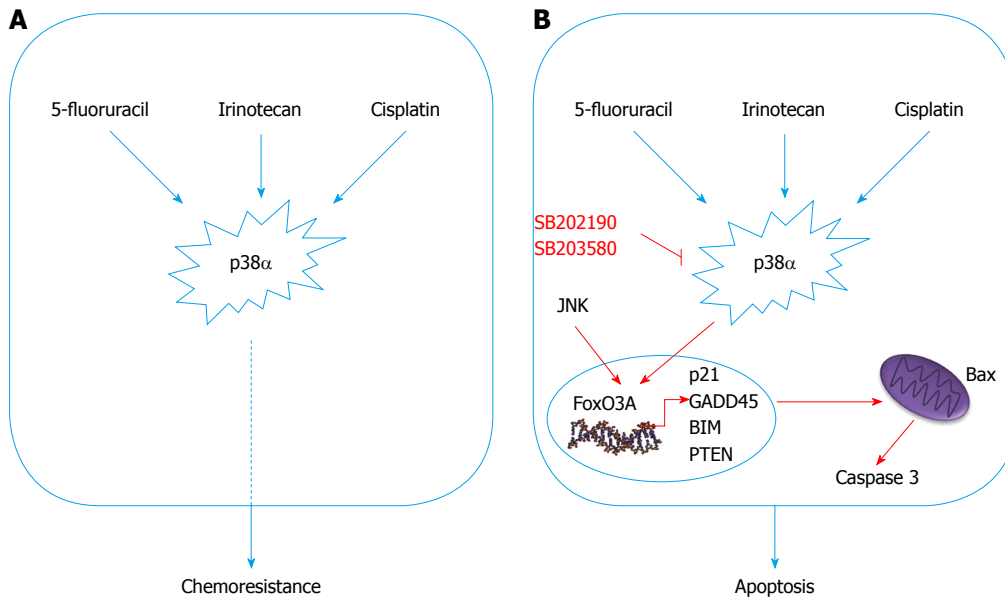


Figure 3 Schematic representation of the p38 α as a mediator of resistance in colorectal cancer. A: p38 α is a mediator of resistance to irinotecan, 5-FU and cisplatin and its activation correlates with impaired response to therapy in colorectal cancer (CRC) patients; B: p38 α pharmacological inhibition combined with chemotherapeutic agents decreases viability of cancer cells and strongly increases Bax-dependent apoptotic cell death by activating the tumor suppressor protein FoxO3A. FoxO3A activation up-regulates transcription of its target genes (p21, PTEN, Bim and GADD45), which forces both chemosensitive and chemoresistant CRC cells to undergo apoptosis.

caspase 3, 8 and 9 activity in different conditions^[143,144]. Similarly, CRC cells treated with SB202190 or SB203580 together with 5-fluorouracil showed increased caspase activity and sensitivity to chemotherapy due to increased Bax expression^[145].

At the molecular level, chemoresistant cells within a CRC tissue may show different characteristics compared to their drug-sensitive parental cells; namely, increased expression of specific anti-apoptotic genes (*e.g.*, BCL2, BCL2L1 and MCL1) has been often detected in chemoresistant clones^[146,147]. Expression of these anti-apoptotic genes is regulated by various upstream molecules; still, Bcl-2 and Bcl-xL upregulation upon DNA damage has been found to take place in a p38-dependent way in human cervical cancer cells^[148]. The requirement of p38 in Bcl-2-dependent apoptosis inhibition after DNA damage has also been reported in E1A/Ras-transformed fibroblasts^[149]. Furthermore, inhibition of p38 by SB203580 appears to block hypoxia-induced Bcl-2 upregulation in endothelial cells, whereas inhibition of related pathways (PKC, ERK1/2 or PI3K) does not affect Bcl-2 expression^[150].

Cyclooxygenase-2 (Cox-2), a downstream protein of p38 α , is frequently found upregulated in response to several chemotherapeutics and its inhibition by non-steroidal anti-inflammatory drugs (NSAIDs) is thought to reduce the risk of CRC development by inducing cell death in adenomas^[151,152]. Since Cox-2 activity is also associated with p38 activation, its upregulation has been shown to be reduced in SB203580-treated CRC cells, resulting in increased apoptosis in response to ursolic acid^[153]. These studies show that chemoresistant CRC cells may induce a p38-mediated resistance mechanism to support survival or to delay the ongoing cell death processes. Inhibition

of p38 by SB203580 has also been reported as an effective sensitizer reducing cell migration/invasion and enhancing sensitivity to etoposide treatment through Cox-2 downregulation in neuroblastomas^[154].

Due to correlation with metastatic potential, cell migration in advanced tumors is another important issue to overcome in cancer therapy. p38 α acts as a potent inducer of tumor invasion by regulating matrix metalloproteinases (MMP1, MMP3 and MMP13) in metastatic cancer cells^[155]. TGF β , which contributes to tumor progression and cell migration, acts through the p38 MAPK pathway, and p38 activity is required for TGF β -mediated migration^[67]. Death receptor-3-mediated p38 activation is required to promote survival and migration of CRC cells, and SB202190 treatment was found to decrease metastasis in *in vivo* breast cancer models^[156,157]. These studies indicate that the p38 MAPK pathway is also involved in metastasis and affects cancer cell behavior beyond chemoresistance in advanced cancers (Figure 3).

CONCLUSION

The current idea is that tumorigenesis requires deregulation of several cellular processes and acquisition of particular features: independence from proliferation signals, evasion of apoptosis, insensitivity to anti-growth signals, unlimited replicative potential and ability to invade, metastasize and sustain angiogenesis for nutrient supply^[30]. In addition, cancer cells need to acquire drug resistance and avoid oncogene-induced senescence^[158]. In recent years, an emerging role has also been established for a subclass of neoplastic cells within tumors, termed cancer stem cells (CSCs)^[30]. Importantly, CSCs show similar

characteristics to normal intestinal stem cells, from which they are believed to originate. They are thought to be responsible for cancer relapse and to contribute to tumor chemoresistance. Recent reports identified the p38-Hsp27 axis as a survival pathway in hypoxic and serum-starved colorectal CSCs^[159]. Moreover, the p38-Hsp27 pathway has been shown to mediate CSCs drug resistance to cisplatin^[160] and anti-angiogenic agents^[161].

Although the association of p38 α activation with pro-apoptotic functions has been studied for years, in this review we showed that there are a significant number of reports highlighting its involvement in cancer cell survival, proliferation and chemoresistance. Indeed, p38 α is over-active in CRC cells and tissues and is required to maintain cancer-specific metabolism^[87]. Combined use of p38 α inhibitors (SB202190, SB203580, BIRB796) and autophagy inhibitors (3MA, bafilomycin), MEK inhibitors (PD98059, UO126, CI-1040), HER2 inhibitors (lapatinib), multikinase inhibitors (sorafenib) or chemotherapeutic agents (5-FU, irinotecan, cisplatin) significantly reduced CRC growth *in vitro* and in preclinical models by inducing a higher degree of apoptosis compared to each single treatment^[21,71,77,121,134]. Thus, targeting p38 α in CRC offers oncologists various options for combined therapies and personalized medicine approaches: p38 α inhibitors may be used in association with autophagy inhibitors, with molecularly-targeted drugs directed against the EGF pathway and with conventional chemotherapy. Besides, the phosphorylation status of p38 MAPK might be used as a marker of resistance and a predictor of therapy response in CRC.

p38 α blockade exerts its chemosensitizing effects through nuclear accumulation of the transcription factor FoxO3A and activation of its pro-apoptotic gene expression program. Besides being involved in the response to the above mentioned p38 inhibitors and to cisplatin, FoxO3A is also implicated in the cellular response to paclitaxel, doxorubicin, imatinib, PI3K-Akt inhibitors, EGFR/HER2 inhibitors, and ionizing radiation^[162]. Elucidation of the cellular players involved in resistance to chemotherapy and sensitization to cell death is a key issue for improving the efficacy of anti-cancer strategies, since response to treatment is often compromised by the development of chemoresistance. In this light, the new role described for the p38-FoxO3A axis in chemoresistance might prove of high importance for the design of new therapeutic strategies for CRC in combination with the above mentioned drugs.

Bax is required for proper apoptosis induction in all the combined therapeutic applications involving p38 α inhibitors described for CRC in this review. Thus, Bax status may also represent a predictive bio-marker for p38 α -targeted therapy response. Retention of one Bax wild-type allele is still sufficient to transduce apoptotic signals, while inactivation of the second allele produces apoptosis resistance. Importantly, Bax inactivating mutations have been described in more than 50% of CRCs characterized by a MIN phenotype, however these only account for

10%-15% of all CRCs^[163].

Several p38 α inhibitors passed phase I clinical trials and are currently in phase II or III for inflammatory diseases and cancer^[109,164]. Of note, LY2228820 dimesylate, a selective inhibitor of p38 MAPK, passed a human phase I study in patients with advanced cancer^[165]. Thus, these agents could be available for future combined therapy with chemotherapeutic agents and molecularly targeted drugs. Still, it is important that further clinical trials are developed to investigate the incorporation of more effective combination chemotherapy regimens into the multimodality treatment of CRC.

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