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## Maize Genotype and Food Matrix Affect the Provitamin A Carotenoid Bioefficacy from Staple and Carrot-fortified Feeds in Mongolian Gerbils (*Meriones unguiculatus*)

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### Abstract

Biofortification to increase provitamin A carotenoids is an agronomic approach to alleviate vitamin A deficiency. Two studies compared biofortified foods using *in vitro* and *in vivo* methods. Study 1 screened maize genotypes ( $n = 44$ ) using *in vitro* analysis, which demonstrated decreasing micellarization with increasing provitamin A. Thereafter, seven 50% biofortified maize feeds that hypothesized a one-to-one equivalency between  $\beta$ -cryptoxanthin and  $\beta$ -carotene were fed to Mongolian gerbils. Total liver retinol differed among the maize groups ( $P = 0.0043$ ). Study 2 assessed provitamin A bioefficacy from 0.5% high-carotene carrots added to 60% staple-food feeds, followed by *in vitro* screening. Liver retinol was highest in the potato and banana groups, maize group retinol did not differ from baseline, and all treatments differed from control ( $P < 0.0001$ ). In conclusion,  $\beta$ -cryptoxanthin and  $\beta$ -carotene have similar bioefficacy; meal matrix effects influence provitamin A absorption from carrot; and *in vitro* micellarization does not predict bioefficacy.

### Keywords

$\alpha$ -retinol; biofortification; carrots; nixtamalization

### Introduction

Biofortification of staple crops to improve provitamin A carotenoid concentrations is a promising agronomic approach to increase vitamin A (VA) intake in populations. One such

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**Author Contributions:** SS and SC conducted gerbil studies. SS, BG, and SAA conducted *in vitro* analyses. SS, BG, and SAT analyzed data. SG analyzed samples. NPR and KVP selected and provided biofortified maize. PWS provided high  $\beta$ C carrots. SAT, NPR, and KVP designed research. SS, BG, and SAT wrote the manuscript. All authors have read and approved the final version of the paper.

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crop is maize, which makes up 55-70% of energy intake in countries such as Mexico and Zambia.<sup>1,2</sup> The provitamin A carotenoids,  $\beta$ -carotene ( $\beta$ C),  $\beta$ -cryptoxanthin ( $\beta$ CX), and  $\alpha$ -carotene ( $\alpha$ C), are present in typical yellow maize in low concentrations<sup>3</sup> and higher concentrations in biofortified varieties.<sup>4,5</sup> The bioefficacy to make retinol from provitamin A carotenoids in maize was demonstrated by maintaining liver retinol stores of VA-depleted Mongolian gerbils (*Meriones unguiculatus*).<sup>4,6</sup> Furthermore, favorable bioconversion factors, which were more efficient than the Institute of Medicine (IOM) values of 12  $\mu$ g  $\beta$ C equivalents to 1  $\mu$ g retinol,<sup>7</sup> were measured from single test meals made with biofortified maize in US women<sup>8</sup> and Zimbabwean men.<sup>9</sup>

Several studies have evaluated the effects of the relative carotenoid ratio, food matrices, meal components, and food processing on bioavailability, which is defined as provitamin A carotenoid that is absorbed and available for physiological function. For example,  $\beta$ CX and  $\beta$ C from biofortified maize are equally efficacious at maintaining baseline retinol stores in gerbils;<sup>10</sup> cooking carotenoid-containing foods increases bioefficacy;<sup>11</sup> and dietary fat in meals increases carotenoid absorption.<sup>12-14</sup> However, carotenoid bioavailability from combined meals prepared with staple crops (biofortified or not) and vegetables, such as carrots and green leaves,<sup>5</sup> has not been determined.

*In vitro* carotenoid bioaccessibility screening methods (i.e., measuring provitamin A carotenoid released from the food matrix) involve digestion assays and may predict carotenoid bioavailability *in vivo*.<sup>15-18</sup> The micellarization efficiency (i.e., the fraction of carotenoid transferred from digesta to aqueous fraction) of maize carotenoids was higher for porridge than extruded puffs and bread,<sup>17</sup> indicating that wet-cooking enhances bioaccessibility. *In vitro* digestions have been coupled with Caco-2 cell uptake as a model to screen the relative absorption of carotenoids from micelles with direct proportionality to the amount of provitamin A in cassava.<sup>16</sup> *In vitro* digestions were used to determine carotenoid bioavailability from vegetables;<sup>19,20</sup> however, it has not been coupled with animal studies to assess the same foods.

Two studies coupled *in vitro* and *in vivo* components. Study 1 screened 44 maize genotypes (*Zea mays* sp.) with different  $\beta$ CX to  $\beta$ C ratios. Thereafter, 50% maize feeds, assuming 1:1 rather than the theoretical 2:1 retinol activity equivalency between  $\beta$ CX and  $\beta$ C, were fed to Mongolian gerbils to assess *in vivo* bioaccessibility and bioconversion to VA, i.e., bioefficacy. The hypothesis was that bioaccessibility of provitamin A carotenoids is correlated to concentration when measured by *in vitro* methods and that  $\beta$ CX will be as bioefficacious as  $\beta$ C in maize at the molar level *in vivo*. Study 2 assessed the bioefficacy of  $\alpha$ C and  $\beta$ C from a small amount of biofortified, high- $\beta$ C carrots (*Daucus carota* L.) when added to 60% staple-food feeds of gerbils, and compared this with *in vitro* carotenoid bioaccessibility. In prior studies, high- $\beta$ C carrots provided an abundant amount of retinol to gerbils.<sup>21</sup> In study 2, the hypothesis was that small amounts of high- $\beta$ C carrots will be an effective complementary food to maintain liver retinol reserves in gerbils despite potential effects of the combined food matrix.

## Materials and Methods

### Maize and Carrots

Maize genotypes, including lines and synthetics, from the International Maize and Wheat Improvement Center (CIMMYT)/HarvestPlus maize provitamin A biofortification project were grown in Mexico at Agua Fria, Puebla (20°32'N, 97°28' W; 110 m above sea level). Ears were harvested, dried, and grain was stored at -20°C before shipping to University of Wisconsin (UW)-Madison. Genotypes were selected based on carotenoid profile and contrasting  $\beta$ CX: $\beta$ C. Carrots from the USDA carrot breeding and genetics program were grown by the University of California Desert Research and Extension Station in sandy, loam soil in October and harvested in March the following year. Carrots were refrigerated at 2°C until shipped overnight from California to Wisconsin. Upon arrival, they were immediately returned to 2°C and utilized for feed preparation after freeze-drying. Genotypes used (i.e., HCM and B2327) were selected for high  $\beta$ C concentrations.

### *In Vitro* Digestion, Isolation of Micellarized Fraction, and Analyses

For study 1, maize genotypes ( $n = 44$ ) were prescreened using HPLC (Supplemental Table 1) and *in vitro* methods.<sup>16,17</sup> From these data, four genotypes were selected along with three others for gerbil study 1. Three genotypes had high  $\beta$ C and four had high  $\beta$ CX concentrations (Table 1). Biofortified maize and feeds from study 2 were weighed (~3 g) in triplicate and subjected to *in vitro* digestion as described by Thakkar et al.<sup>16</sup> and modified by Kean et al.<sup>17</sup> The method involves an oral phase using  $\alpha$ -amylase; gastric phase with porcine pepsin and pH adjustment with HCl; and an intestinal phase using porcine pancreatin, lipase, and bile extracts to mimic what happens *in vivo*. After the intestinal phase, the digesta is comprised of the fluid and food products. Digesta were transferred to polycarbonate tubes for high-speed centrifugation (10,000  $g$  for 1 h). Aqueous fractions (5-10 mL) were collected and syringe filtered into 15 mL centrifuge tubes, covered with nitrogen, and stored at -80°C until analysis.<sup>21-23</sup> Digesta and aqueous fractions (3 mL) were placed in glass tubes with internal standard ( $\beta$ -*apo*-8'-carotenal, 50  $\mu$ L) and ethanol with 0.1% butylated hydroxytoluene (500  $\mu$ L). The carotenoids were extracted three times with hexanes (1 mL), pooled, dried under nitrogen, reconstituted in 100  $\mu$ L 50:50 methanol:dichloroethane, and 50  $\mu$ L injected into a photodiode array HPLC.

### Gerbil Study Designs

For studies 1 and 2, male 34-40 d-old Mongolian gerbils (Charles River Laboratories; Kingston, NY) were group housed (2-3/cage) during VA-depletion and treatment (2/cage). During depletion, gerbils were weighed daily for 2 wk, and thereafter three times/wk. Room temperature and humidity were held constant with a 12-h light/dark cycle. Animal handling procedures were approved by the College of Agriculture and Life Sciences Animal Care and Use Committee at UW-Madison.

For study 1, gerbils ( $n = 97$ ) were fed 50% white maize feed as a wash-out for 5 wk. A baseline kill ( $n = 7$ ) was performed via exsanguination while under isoflurane anesthesia. Remaining gerbils were divided into 9 treatment groups ( $n = 10$ /group) and fed 50% white (VA- and VA+ groups) or seven orange maize feeds (G1-G7) prepared as published.<sup>6</sup> The

feeds were developed hypothesizing that 1 molecule  $\beta\text{C}$  = 1 molecule  $\beta\text{CX}$  = 1 molecule retinol from the feed or supplement (Table 1). This contrasts with the theoretical relationship of 0.5 molecule  $\beta\text{C}$  = 1 molecule  $\beta\text{CX}$  = 1 molecule retinol. Oral supplements, administered using a positive displacement pipette, consisted of retinyl acetate (VA+ group) or oil only, which was given to groups G1-G7 and the VA- control. The VA+ dose was matched to the nmol  $\beta\text{C}$  +  $\beta\text{CX}$  consumed from the orange maize on the prior day and oil doses were matched by volume. Gerbils were fed for 4 wk and then killed for tissue collection.

For study 2, gerbils ( $n = 66$ ) were randomly separated into 6 treatment groups and acclimated to their study treatment by increasing staple amounts by 15% each week during depletion (4 wk). Feeds ultimately contained 60% white staple food [i.e., potato (PT), rice (RC), banana (BN), or maize (MZ)] + carrot, or VA-free feed with (CA) and without (VA-) carrot (Table 2). After depletion, one gerbil from each group ( $n = 6$ ) was killed to determine initial serum and liver retinol concentrations. During treatment (4 wk), 0.5% freeze-dried high  $\beta\text{C}$  carrots were added to the staple feeds and the CA group. After treatment, the gerbils were killed and blood and livers were collected. Blood was centrifuged 15 min at 2200 g in serum separator Vacutainer tubes (Becton Dickinson; Franklin Lakes, NJ). Livers and serum were stored at  $-80^{\circ}\text{C}$ .

## Feed Preparation for Study 2

Green bananas were purchased from a local grocery store, peeled and sliced, lyophilized for  $\sim 6$  d, ground into flour, and kept frozen ( $-20^{\circ}\text{C}$ ) until mixed into feeds. Nixtamalized (processed) white maize meal that contained no carotenoids (Masa<sup>TM</sup>), precooked dehydrated potato flakes (Roundy's<sup>TM</sup>), and precooked instant rice (Roundy's<sup>TM</sup>) were purchased from a grocery store. Nixtamalization is a common processing method where the maize grains are soaked in limewater prior to grinding to improve consistency, increase calcium, reduce phytic acid, and enhance niacin bioavailability from the maize.<sup>24</sup> Potato flakes and rice were ground into fine powders using a Vitamix<sup>TM</sup> blender. Basal mixes were formulated for each food item with assistance from a feed nutritionist (Harlan-Teklad, Madison, WI) to provide VA- and carotenoid-free, isoenergetic and isonitrogenous feeds, which were mixed weekly with 0.5% carrot and stored at  $-20^{\circ}\text{C}$  (Table 2).

## Carotenoid, Retinoid, Resistant Starch, and Fiber Analyses

Maize (0.6 g), feeds (0.6 g), and carrots (0.01 g) were analyzed each week in triplicate for carotenoid concentrations using a modified procedure after grinding with a mortar and pestle.<sup>23</sup> Serum preparation followed published methods.<sup>22</sup> Originally, the livers were analyzed for retinyl esters and carotenoids without saponification;<sup>4</sup> however, after initial data analyses, liver retinol was re-analyzed using a saponification procedure in order to better assess total retinol in study 1 and total  $\alpha$ -retinol concentrations in study 2 derived from carrot  $\alpha\text{C}$ .<sup>22</sup> For liver carotenoids, an unsaponified 5-mL aliquot of the 25 mL liver extract was dried, re-suspended in 100  $\mu\text{L}$  50:50 methanol: dichloroethane, and 50  $\mu\text{L}$  was injected into an HPLC system as published.<sup>23</sup> Resistant starch and fiber were analyzed using previously published methods.<sup>25,26</sup>

## Statistical Analysis and Calculation of Bioconversion Factors

Values are reported as means  $\pm$  SD. Data were analyzed using the General Linear Model procedure in the Statistical Analysis System (SAS Institute, version 9.2). Outcomes of interest (i.e., gerbil weights, retinol,  $\alpha$ -retinol, and carotenoid concentrations) were evaluated using one-way ANOVA and differences among treatment groups were determined using least significant difference tests. One and two-tailed t-tests were used when appropriate. For calculation of bioconversion factors, the mean total liver retinol of the negative control group was subtracted from each treatment group prior to calculation. In study 1, bioconversion factors were calculated in reference to the retinyl acetate dosed group.<sup>6</sup> In study 2, bioconversion factors were calculated by taking into account the amount of retinol utilized during the treatment period by the negative control group and the amount of provitamin A carotenoids ingested.<sup>27</sup>  $\alpha < 0.05$  was considered significant.

## Results

### Study 1

***In Vitro* Digestion of Maize Genotypes**—Typically, when the  $\beta$ CX to  $\beta$ C ratio was  $< 0.045$ , micellared  $\beta$ CX was  $\sim 6\%$ . However, above this parameter,  $\beta$ CX was highly micellared at all concentrations (Figure 1A). Incorporating all data points resulted in a positive relationship of  $\beta$ CX concentration and micellaredization ( $P = 0.017$ ). After removing the  $\beta$ CX: $\beta$ C  $< 0.045$  maize genotypes ( $n = 6$ ), the slope was not different from zero ( $P = 0.58$ ).  $\beta$ C micellaredization efficiency and total carotenoid micellared had significant negative relationships with the amount in the maize (Figure 1B and 1C, respectively,  $P < 0.0001$ ). At lower  $\beta$ C concentrations, i.e.,  $< 5.5 \mu\text{g/g}$  maize, micellaredization was  $35.2 \pm 12.6\%$ ; at concentrations  $> 8.1 \mu\text{g/g}$ , it was  $17.2 \pm 6.6\%$  (Figure 1B,  $P < 0.0001$ ). The total amount of  $\beta$ CX +  $\beta$ C micellared was stable across genotypes (Figure 1D,  $r = 0.12$ ,  $P = 0.45$ ).

**Weights and Feed Intakes**—Final gerbil body weights ( $73.0 \pm 5.43 \text{ g}$ ) and liver weights ( $2.49 \pm 0.46 \text{ g}$ ) were not different among groups. Feed intake differed among groups and therefore affected daily hypothetical and theoretical retinol intake (Table 1). Fiber differed by 1.5% ( $P = 0.048$ ) and resistant starch by 1% ( $P < 0.0001$ ) of total dietary content among the feeds (Table 1).

**Tissue Concentrations**—Serum retinol concentrations did not differ among groups ( $1.87 \pm 0.90 \mu\text{mol/L}$ , data not shown). Total liver retinol and retinol concentrations differed among groups (Figure 2,  $P = 0.0043$ ). All biofortified maize groups showed no difference in liver retinol concentration from each other (Figure 2B) and all maize groups maintained baseline concentrations. When corrected for total retinol/liver by multiplying by liver weight, treatment groups differed, but remained similar to baseline. Two of three high  $\beta$ C maize genotypes achieved liver retinol similar to VA+, while the other was not different from VA- (Figure 2A). Two of four high  $\beta$ CX maize genotypes achieved total liver retinol similar to VA+, while the other two were not different from VA- (Figure 2A). When the theoretical retinol intake (Table 1) is compared between the high  $\beta$ C and  $\beta$ CX groups, intakes differed ( $P = 0.010$ ), but this difference was not reflected in the increase in liver

retinol, which supports the hypothesis that  $\beta$ CX is as bioefficacious as  $\beta$ C on a molar level. The bioconversion factors calculated in reference to the VA in oil dose ranged from 1.2 to 6.1  $\mu$ mol provitamin A carotenoid to 1  $\mu$ mol retinol and did not differ between the high  $\beta$ C and  $\beta$ CX maize genotypes (Table 3).

Liver provitamin A carotenoid concentrations reflected those in the feeds and therefore differed ( $P < 0.0001$ ). Total liver  $\beta$ CX was the same among the four high  $\beta$ CX groups ( $0.754 \pm 0.285$  nmol/liver) and low in the high  $\beta$ C groups ( $0.125 \pm 0.203$  nmol/liver). Although there were slight differences in  $\beta$ C concentrations in the high  $\beta$ C groups, total liver  $\beta$ C did not differ among high  $\beta$ C groups ( $3.21 \pm 1.16$  nmol/liver) or among the high  $\beta$ CX groups ( $1.54 \pm 0.53$  nmol/liver). Combined  $\beta$ C and  $\beta$ CX concentrations per g liver [(nmol  $\beta$ C + nmol  $\beta$ CX)/g liver] did not differ among treatment groups, but total liver carotenoid amounts were different ( $P = 0.031$ ), with more carotenoids generally found in the high  $\beta$ C groups than in the high  $\beta$ CX groups, which supports preferential bioconversion of  $\beta$ CX. Furthermore,  $\beta$ CX: $\beta$ C in the feed and liver did not differ in the groups fed high  $\beta$ C maize, but was much lower in the liver than feed in those fed high  $\beta$ CX maize ( $P = 0.0065$ ).

## Study 2

### **Carotenoid, Fiber, and Resistant Starch Concentrations, and Micellarization—**

The concentrations of  $\alpha$ C and  $\beta$ C were higher in the BN feed than other feeds ( $P < 0.0001$ ) due to naturally occurring carotenoids in banana.<sup>11</sup> The theoretical retinol, based on 100% bioefficacy of all feeds (Table 1), exceeded gerbil requirements, which allowed for ample liver storage. Fiber content was lowest in RC feed and highest in the VA-free feeds ( $P < 0.0001$ ). Resistant starch concentration was highest in the BN and RC feeds and lowest in controls ( $P < 0.0001$ ). Micellarization efficiency did not differ nor predict findings *in vivo* (Table 4;  $r = 0.02$ ), where MZ resulted in lower liver retinol concentrations than PT, RC, BN, and CA (Figure 3).

**Weights and Intakes—**Final gerbil weights were higher in the BN and MZ ( $74.1 \pm 6.2$  g) groups than in CA, VA-, and RC groups ( $68.4 \pm 3.0$  g,  $P = 0.0036$ ). Liver weights were highest in the PT group ( $2.61 \pm 0.59$  g) and lowest in the CA, RC, and BN groups ( $2.20 \pm 0.27$  g). Percent liver weight was higher in PT, MZ, and VA- groups ( $3.46 \pm 0.53\%$ ) than BN group ( $2.91 \pm 0.23\%$ ,  $P = 0.020$ ). Feed ( $P = 0.024$ ) and theoretical retinol intakes ( $P < 0.0001$ ) were highest in BN group and lowest in RC group (Table 1).

**Tissue Concentrations—**Serum retinol concentrations did not differ ( $1.34 \pm 0.19$   $\mu$ mol/L, data not shown). Total liver retinol was highest in the PT and BN groups and lowest in VA- (Figure 3A,  $P < 0.0001$ ). Liver retinol concentrations did not differ among the PT, RC, BN, and CA groups, but the MZ group was lower and did not differ from baseline or VA- groups (Figure 3B,  $P < 0.0001$ ). Total liver  $\alpha$ -retinol differed (Figure 4A,  $P < 0.0001$ ) and was highest in the BN group, which reflected the higher  $\alpha$ C concentration. The MZ group had lower  $\alpha$ -retinol than the BN and PT groups, but did not differ from the RC and CA groups. Liver  $\alpha$ -retinol concentrations differed (Figure 4B,  $P < 0.0001$ ) and were highest in the BN group followed by PT, RC, and CA groups, which did not differ, and MZ, which was lowest. Bioconversion factors were adjusted for differences in provitamin A

carotenoid intakes and ranged from 2.7  $\mu\text{mol}$  carrot provitamin A from PT matrix to 4.6  $\mu\text{mol}$  from MZ matrix producing 1  $\mu\text{mol}$  retinol ( $36 \pm 15\%$  of IOM values; Table 3).

The PT, RC, and BN ( $8.11 \pm 3.27$  nmol  $\alpha\text{C}/\text{liver}$ ) groups had more  $\alpha\text{C}$  than the MZ and CA ( $4.01 \pm 2.04$  nmol  $\alpha\text{C}/\text{liver}$ ) groups ( $P = 0.0009$ ). Similarly,  $\beta\text{C}$  was higher in the PT, RC, and BN ( $12.8 \pm 5.77$  nmol  $\beta\text{C}/\text{liver}$ ) groups than in the MZ and CA ( $6.47 \pm 3.41$  nmol  $\beta\text{C}/\text{liver}$ ) groups ( $P = 0.0025$ ). Liver provitamin A carotenoid concentrations did not reflect those in the feeds. The ratio of  $\alpha\text{C}$  to  $\beta\text{C}$  in the feeds ( $0.35 \pm 0.02$ ) was approximately half of that in the liver ( $0.63 \pm 0.04$ ) ( $P < 0.0001$ ), which may reflect preferential cleavage of  $\beta\text{C}$ . The ratio in the *in vitro* micellarized fraction was  $0.49 \pm 0.16$ , which is mid-way between these two ratios.

## Discussion

Biofortification of staple and horticultural crops with provitamin A carotenoids is a promising technique to diminish VA deficiency.<sup>28,29</sup> In study 1, % total carotenoid and  $\beta\text{C}$  micellarized were negatively correlated with the total amount of provitamin A carotenoid, but the absolute amount micellarized remained relatively stable and was not related to genotype.  $\beta\text{CX}$  was not well-micellarized when concentrations were  $< 0.5$   $\mu\text{g}/\text{g}$  and  $\beta\text{C}$  concentrations were  $> 8$   $\mu\text{g}/\text{g}$ . Considering the physiochemical properties of micelles, several reasons explain these findings, which were consistent in this study and largely based on maize genotypes with a similar pedigree (entries 17, 19-22, and 27 in Supplemental Table 1). *In vitro*,  $\beta\text{CX}$  likely did not meet a critical concentration needed for micelle incorporation in the genotypes that had a low  $\beta\text{CX}:\beta\text{C}$ .<sup>30</sup> Carotenoid interactions are well-documented;<sup>31,32</sup> therefore, the polarity difference between  $\beta\text{C}$  and  $\beta\text{CX}$  may not have allowed the  $\beta\text{CX}$  into the overwhelmingly non-polar micellar microenvironment formed from the high  $\beta\text{C}$  content in these genotypes. Further, lipid profile differentially affects incorporation of xanthophyll and hydrocarbon carotenoids into micelles.<sup>33</sup> Future studies on maize lines that are close to commercialization should include characterization of the endogenous oil. Study 2 demonstrated good micellarization of  $\alpha\text{C}$  and  $\beta\text{C}$  (6 to 26%) compared with other studies (0.2 to 16.7%),<sup>18,31</sup> but perhaps higher variability. This is due to the small amount of carrot added to the feed, which acts more like a fortificant and not an endogenous component, which may lead to higher variability among replicates.

In study 1, gerbil feeds were equalized for total carotenoid content by assuming that 1 mol  $\beta\text{C}$  or  $\beta\text{CX}$  would supply 1 mol retinol;  $\beta\text{CX}$  was as good as  $\beta\text{C}$  on a molar basis for maintaining VA status, confirming previous studies.<sup>6,10</sup> If 2  $\beta\text{CX}:1$   $\beta\text{C}$  applied, the high  $\beta\text{C}$  maize should have resulted in two times the amount of retinol over the high  $\beta\text{CX}$  maize because of the hypothetical study design. Although the gerbils maintained balance, based on no difference from baseline regardless of feed, differences in liver reserves existed among genotypes. This important finding, not explained by fiber or resistant starch, demonstrates that other factors, such as endogenous interactive nutrients, must be investigated especially among commercial biofortified lines that are being developed and released.<sup>28,34</sup> A recent study in Zambian children showed a genotype effect with biofortified maize intake due to different cooking properties.<sup>35</sup> Further, the current study was able to quantify  $\beta\text{CX}$  in the livers of gerbils fed biofortified maize. While  $\beta\text{CX}$  was quantifiable in a study that fed

fruits,<sup>36</sup> prior studies with maize did not find quantifiable  $\beta$ CX. Thus, the amounts in the maize likely exceeded gerbil requirements because  $\beta$ CX was absorbed intact and stored.

A review of human studies concluded that the apparent bioavailability of  $\beta$ CX is much higher than that of  $\beta$ C from Western foods.<sup>37</sup> Researchers need to consider resources available and the question being asked when selecting an experimental model. No animal model can replace human studies, but Mongolian gerbils are better predictors of provitamin A bioefficacy than rats or mice.<sup>38</sup> For example, the range of bioconversion factors among the maize genotypes in study 1 was 2.3 through 11.8  $\mu$ g provitamin A carotenoids to 1  $\mu$ g retinol, which is similar to the range from single test meals of biofortified maize in young US women (3.9 through 13.3  $\mu$ g  $\beta$ C equivalents to 1  $\mu$ g retinol)<sup>8</sup> and Zimbabwean men (1.5 through 5.3  $\mu$ g  $\beta$ C equivalents to 1  $\mu$ g retinol).<sup>9</sup>

In study 2,  $\alpha$ -retinol, retinol,  $\alpha$ C, and  $\beta$ C were quantified. A negative matrix effect occurred on the uptake and storage of  $\alpha$ C and  $\beta$ C from carrot and bioconversion to  $\alpha$ -retinol and retinol when gerbils consumed 60% nixtamalized MZ feed, which was not found in the *in vitro* analysis. On the other hand, PT exhibited the least matrix effects. Based on the gerbil studies, *in vitro* digestion, which evaluates carotenoid release from the food matrix and micellarization, did not predict bioefficacy, which is regulated by *in vivo* processes, such as cellular uptake, bioconversion, and chylomicron packaging and secretion.

In agreement with a prior study in gerbils that were fed high-carotene carrot,<sup>21</sup> as  $\alpha$ C increased,  $\alpha$ C liver reserves increased; however, in that study,  $\alpha$ -retinol was not quantified. Nonetheless, in a subsequent study,  $\alpha$ C was as effective as  $\beta$ C in maintaining liver retinol reserves when fed at double the amount, and quantification of  $\alpha$ -retinol implied central cleavage of  $\alpha$ C.<sup>22</sup> Bioconversion factors in that study revealed 2  $\alpha$ C to 1  $\beta$ C, but factors were less efficient than IOM values for supplements.<sup>7,22</sup> In the current study, a small amount of carrot was fed so that the regulatory systems would not be overwhelmed by carotenoids. In the prior carrot study, the group that was fed high-carotene carrots had only 14% more retinol in the liver than the group fed typical orange carrots but had 120% more  $\beta$ C.<sup>21</sup> While post-absorptive bioconversion occurs,<sup>39</sup> the major site for meeting daily retinol needs is bioconversion at the gut level. The relative amount of carotenoid fed affects bioconversion.<sup>34</sup> In humans and gerbils, extra dietary carotenoid that is not converted to retinol is absorbed and stored, but not currently considered when determining bioavailability. The  $\beta$ C absorbed from biofortified carrot and stored in the liver was 2650% higher in the prior study at 3.3%<sup>21</sup> than the current study at 0.5% of the feed. Carrot intake, in contrast to VA supplements, impacts antioxidant capacity of tissues and may support optimal health.<sup>40,41</sup>

The food matrix effect on the uptake of carotenoids from MZ feed was not due to fiber because the CA feed had more fiber. Soluble fiber had no effect on  $\beta$ C efficacy when feeding gerbils orange sweet potato.<sup>14</sup> White maize, as in Masa™ meal, is soaked in limewater (i.e., nixtamalized) to increase some nutrients' bioavailability and other nutrients are sometimes added, which may have affected the bioavailability of the carrot carotenoids. If nixtamalization, which is widely practiced, does have a negative effect on carotenoid utilization, it needs to be further investigated in biofortified maize products. The processed



maize in this study had a negative impact on the bioconversion factor, which is a similar finding to commercially processed banana.<sup>36</sup> The BN group utilized endogenous carotenoids despite the presence of resistant starch, which is congruent with previous studies<sup>11</sup> and supported by the higher liver  $\alpha$ -retinol. All of the mixed feeds maintained or enhanced total liver reserves by the addition of a small amount of carrot as a source of provitamin A carotenoids. As a global measure of bioavailability, the nmol/liver of (retinol +  $\alpha$ -retinol +  $\beta$ C +  $\alpha$ C) were summed after correcting for the negative control group and differed among the treatments ( $P = 0.05$ ). PT had the most desirable matrix, endogenous BN carotenoids are bioavailable, and nixtamalized MZ had the least desirable outcome for provitamin A bioefficacy from mixed food.

Determining the many factors that affect carotenoid absorption and utilization from biofortified and mixed foods is important for biofortification and nutrition education efforts to alleviate VA deficiency. While biofortification of staple crops with provitamin A carotenoids is efficacious, adding a little carrot (and perhaps other high provitamin A fruits and vegetables) to the diet had a greater impact on VA status of the gerbils, which highlights the importance of multipronged approaches to eradicate VA deficiency.

## Supplementary Material

Refer to Web version on PubMed Central for supplementary material.

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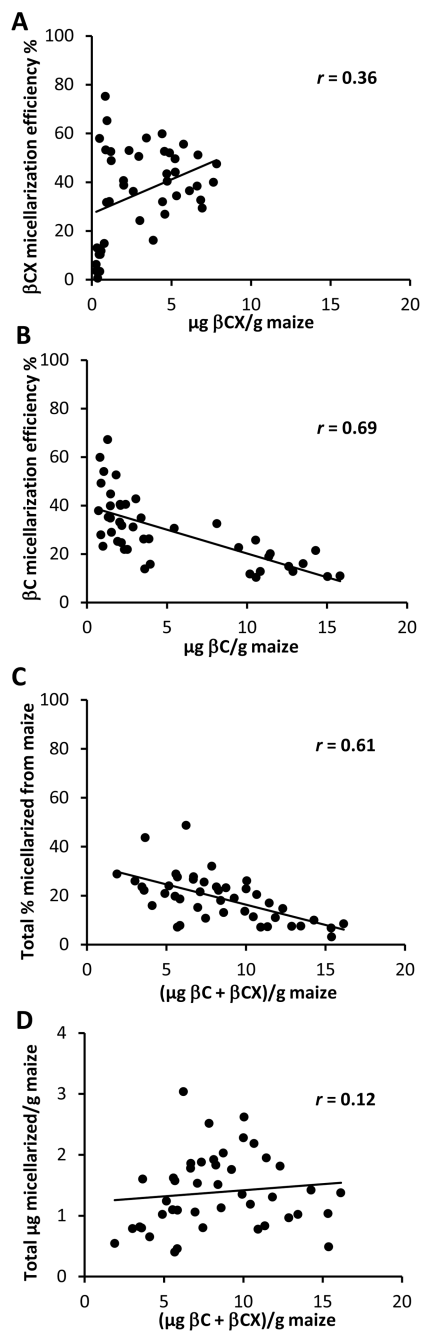
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## Abbreviations

$\alpha$ C             $\alpha$ -carotene

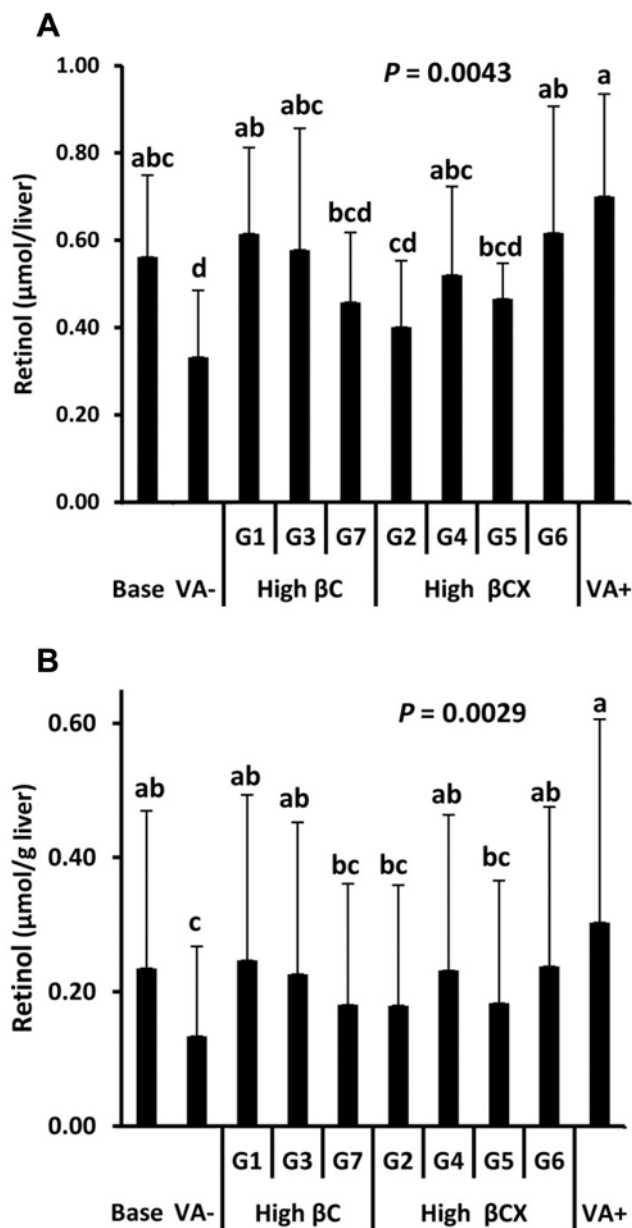
<b>BN</b>	banana group
<b>βC</b>	β-carotene
<b>βCX</b>	β-cryptoxanthin
<b>CA</b>	carrot group
<b>IOM</b>	Institute of Medicine
<b>MZ</b>	maize group
<b>PT</b>	potato group
<b>RC</b>	rice group
<b>UW</b>	University of Wisconsin
<b>VA</b>	vitamin A



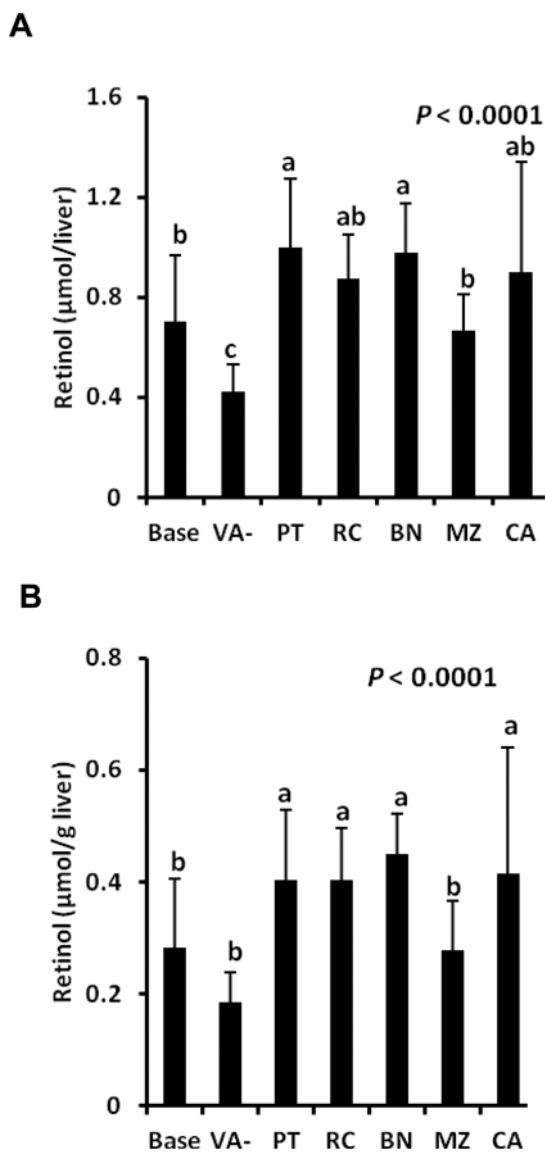
**Figure 1.**

The micellarization efficiencies (concentration in aqueous micellar fraction/concentration in internal digesta  $\times$  100%) of provitamin A carotenoids from biofortified maize genotypes in relationship to the amount of the carotenoid, either  $\beta$ -cryptoxanthin ( $\beta$ CX, Panel A) or  $\beta$ -carotene ( $\beta$ C, Panel B). The total % micellarized [( $\mu$ g  $\beta$ C +  $\beta$ CX in aqueous micellar fraction)/( $\mu$ g  $\beta$ C +  $\beta$ CX in maize)] in relationship to ( $\mu$ g  $\beta$ C +  $\beta$ CX) in maize (Panel C). Total ( $\beta$ C +  $\beta$ CX) micellarized per g maize in relationship to ( $\mu$ g  $\beta$ C +  $\beta$ CX) in maize (Panel

D). It is worth noting that the amount of total provitamin A carotenoid micellarized was the same across combined concentrations. Values are means  $\pm$  SD.

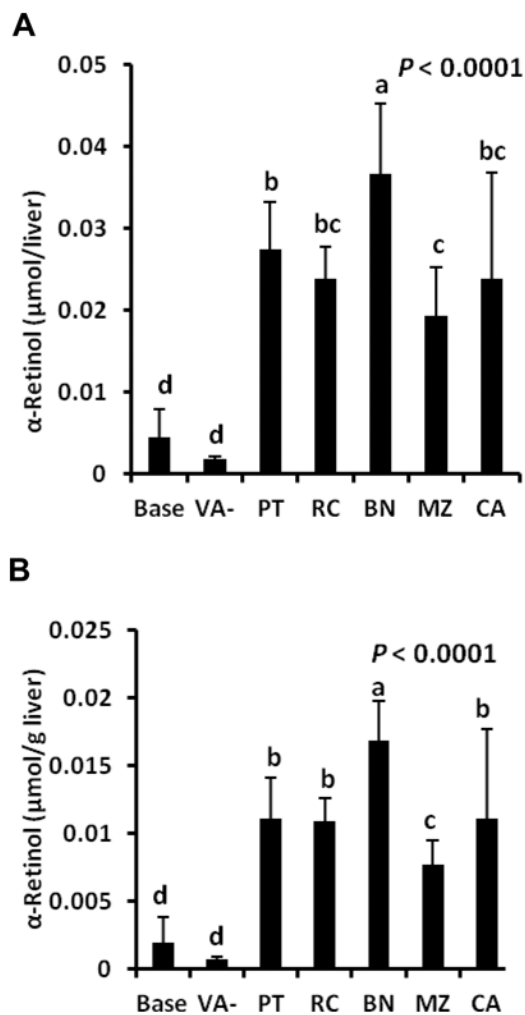


**Figure 2.** Total liver retinol (A) and retinol concentrations (B) from saponified livers in Mongolian gerbils at baseline after 5 wk of being fed a vitamin A-free feed (Base,  $n = 7$ ) or fed vitamin A-free (VA-), 50% high  $\beta$ -carotene maize (G1, G3, G7), high  $\beta$ -cryptoxanthin maize (G2, G4, G5, G6), or vitamin A-free feed with daily retinyl acetate doses (VA+) in study 1 ( $n = 10/\text{group}$ ). All other treatment groups received a plain oil dose matched to the VA+ group for 4 wk. Values are means  $\pm$  SD.



**Figure 3.** Total liver retinol (A) and retinol concentrations (B) from saponified livers in Mongolian gerbils at baseline after 4 wk of being fed a vitamin A-free feed (Base,  $n = 6$ ); or fed 60% potato (PT), rice (RC), banana (BN), or maize (MZ) with 0.5% high  $\beta$ -carotene carrot, or vitamin A-free feed with (CA) or without (VA-) 0.5% high  $\beta$ -carotene carrot for 4 wk in study 2 ( $n = 10$ /group). Values are means  $\pm$  SD.





**Figure 4.** Total liver  $\alpha$ -retinol (A) and liver  $\alpha$ -retinol concentration (B) from saponified livers in Mongolian gerbils at baseline after 4 wk of being fed a vitamin A-free feed (base,  $n = 6$ ); or fed 60% potato (PT), rice (RC), banana (BN), or maize (MZ) with 0.5% high  $\beta$ -carotene carrot, or vitamin A-free feed with (CA) or without (VA-) 0.5% high  $\beta$ -carotene carrot for 4 wk in study 2 ( $n = 10$ /group). Values are means  $\pm$  SD.

**Table 1**  
**Provitamin A carotenoid concentrations, fiber and resistant starch content of feeds, and theoretical retinol intake for Mongolian gerbils (studies 1 and 2)<sup>a,b</sup>**

Component	nmol/g feed				%				g/d		nmol/d	
	$\beta$ -cryptoxanthin	$\beta$ -carotene	Hypothetical retinol	Neutral detergent fiber	Resistant starch	Feed intake/cage	Daily hypothetical intake/cage <sup>c</sup>	Daily theoretical intake/cage <sup>d</sup>				
Study 1												
P value	<0.0001	<0.0001	0.53	0.048	<0.0001	<0.0001	<0.0001	<0.0001	<0.0001	<0.0001	<0.0001	<0.0001
VA -	ND	ND	ND	-	-	NM	NM	-	-	-	-	-
G1	0.005 ± 0.001 <sup>C</sup>	4.45 ± 0.60 <sup>A</sup>	4.46 ± 0.60	10.6 ± 0.07 <sup>A</sup>	5.13 ± 0.14 <sup>D</sup>	10.6 ± 1.8 <sup>D</sup>	47.2 ± 8.1 <sup>D</sup>	94.3 ± 16.1 <sup>C</sup>				
G2	4.08 ± 0.62 <sup>A</sup>	0.92 ± 0.19 <sup>B</sup>	5.00 ± 0.79	9.46 ± 0.45 <sup>C</sup>	5.73 ± 0.14 <sup>BC</sup>	11.5 ± 2.1 <sup>B</sup>	57.3 ± 10.7 <sup>B</sup>	67.8 ± 12.6 <sup>F</sup>				
G3	0.006 ± 0.000 <sup>C</sup>	4.41 ± 0.35 <sup>A</sup>	4.41 ± 0.35	10.6 ± 0.33 <sup>AB</sup>	5.98 ± 0.08 <sup>AB</sup>	11.3 ± 2.2 <sup>BC</sup>	49.9 ± 9.7 <sup>C</sup>	99.8 ± 19.4 <sup>B</sup>				
G4	4.25 ± 0.55 <sup>A</sup>	1.13 ± 0.20 <sup>B</sup>	5.39 ± 0.75	9.85 ± 0.58 <sup>ABC</sup>	5.87 ± 0.06 <sup>AB</sup>	10.9 ± 1.9 <sup>CD</sup>	58.5 ± 10.4 <sup>AB</sup>	71.0 ± 12.8 <sup>EF</sup>				
G5	3.50 ± 0.35 <sup>B</sup>	1.52 ± 0.16 <sup>B</sup>	5.02 ± 0.49	9.08 ± 0.69 <sup>C</sup>	5.42 ± 0.01 <sup>CD</sup>	12.0 ± 1.9 <sup>A</sup>	60.2 ± 9.6 <sup>A</sup>	78.4 ± 12.5 <sup>D</sup>				
G6	4.26 ± 0.49 <sup>A</sup>	1.04 ± 0.23 <sup>B</sup>	5.30 ± 0.67	9.55 ± 0.90 <sup>BC</sup>	5.97 ± 0.02 <sup>AB</sup>	11.5 ± 1.9 <sup>AB</sup>	60.9 ± 9.9 <sup>A</sup>	72.9 ± 11.8 <sup>E</sup>				
G7	0.030 ± 0.046 <sup>C</sup>	4.99 ± 0.84 <sup>A</sup>	5.02 ± 0.86	10.1 ± 0.71 <sup>ABC</sup>	6.08 ± 0.02 <sup>A</sup>	11.3 ± 2.7 <sup>BC</sup>	56.5 ± 13.6 <sup>B</sup>	113 ± 27.0 <sup>A</sup>				
VA +	-	-	-	-	-	NM	49.9	-				
Study 2												
P value	<0.0001	<0.0001	<0.0001	<0.0001	<0.0001	0.024	<0.0001	<0.0001	<0.0001	<0.0001	<0.0001	<0.0001
VA -	ND	ND	ND	NM	0.31 ± 0.01 <sup>D</sup>	10.8 ± 0.20 <sup>AB</sup>	ND	ND	ND	ND	ND	ND
60% Rice	4.23 ± 0.40 <sup>B</sup>	11.8 ± 0.46 <sup>B</sup>	29.5 ± 2.92 <sup>B</sup>	2.65 ± 0.53 <sup>D</sup>	4.54 ± 0.21 <sup>A</sup>	9.89 ± 0.66 <sup>C</sup>	291 ± 19.5 <sup>B</sup>	291 ± 19.5 <sup>B</sup>				
60% Potato	4.05 ± 0.52 <sup>B</sup>	12.1 ± 1.49 <sup>B</sup>	28.3 ± 3.75 <sup>B</sup>	4.63 ± 0.98 <sup>C</sup>	1.20 ± 0.01 <sup>C</sup>	10.4 ± 0.63 <sup>BC</sup>	294 ± 17.8 <sup>B</sup>	294 ± 17.8 <sup>B</sup>				
60% Banana	6.42 ± 0.94 <sup>A</sup>	16.8 ± 2.19 <sup>A</sup>	40.0 ± 4.89 <sup>A</sup>	4.62 ± 0.76 <sup>C</sup>	4.76 ± 0.78 <sup>A</sup>	11.3 ± 0.80 <sup>A</sup>	452 ± 32.2 <sup>A</sup>	452 ± 32.2 <sup>A</sup>				
60% White maize	4.15 ± 0.63 <sup>B</sup>	12.3 ± 1.62 <sup>B</sup>	28.7 ± 4.40 <sup>B</sup>	6.29 ± 0.90 <sup>B</sup>	2.35 ± 0.96 <sup>B</sup>	10.4 ± 0.67 <sup>BC</sup>	297 ± 19.3 <sup>B</sup>	297 ± 19.3 <sup>B</sup>				
Carrot only	4.17 ± 0.68 <sup>B</sup>	12.2 ± 1.81 <sup>B</sup>	28.6 ± 4.89 <sup>B</sup>	9.96 ± 0.51 <sup>A</sup>	0.32 ± 0.02 <sup>D</sup>	10.5 ± 0.41 <sup>BC</sup>	300 ± 11.9 <sup>B</sup>	300 ± 11.9 <sup>B</sup>				

<sup>a</sup>Values are means ± SD, *n* = 3 replicates (diet analysis), and *n* = 5 (feed intake and daily theoretical retinol intake). Means in a column with superscripts without a common capital letter differ. Feed intake and theoretical retinol are per cage (*n* = 2/cage, *n* = 10/treatment group). ND, not detected; NM, not measured; VA+, positive control; VA-, negative control.

<sup>b</sup>The limit for detection for carotenoids is <0.001 nmol and that for resistant starch is 0.08%. Assay includes all resistant starch.

<sup>c</sup>Daily hypothetical retinol intake assumes 1 mol  $\beta$ -carotene = 1 mol  $\beta$ -cryptoxanthin = 1 mol retinol.

<sup>d</sup>Daily theoretical retinol intake assumes 100% bioefficacy (i.e., 1 mol  $\beta$ -carotene provides 2 mol retinol and 1 mol  $\alpha$ -carotene or  $\beta$ -cryptoxanthin provides 1 mol retinol). This calculation (theoretical retinol X feed intake) accounts for losses in provitamin A concentrations over time.

Table 2

Composition of experimental feeds for study 2 designed in consultation with a nutritionist at the feed supplier (Harlan-Teklad, Madison, WI, USA) that were fed to Mongolian gerbils to determine vitamin A efficacy of high  $\beta$ -carotene carrot mixed with a variety of staple foods

Ingredient	60% Potato	60% Rice	60% Banana <sup>a</sup>	60% White Maize	Vitamin A-free <sup>b</sup>
	g/kg feed				
Component	600	600	600	600	---
Casein	146	149	172	140	200
L-Cystine	2.57	2.57	2.57	2.57	3
Sucrose	145	143	75.1	170	360.5
Maltodextrin	0	0	21.7	0	120
Com starch	0	0	29.4	0	150
Cottonseed oil <sup>c</sup>	55.6	53.7	48.5	36.5	60
Cellulose	0	0	0	0	60
Mineral Mix, AIN-93M-MX	34.6	34.6	34.6	34.6	35
Magnesium oxide	1.73	1.73	1.73	1.73	1.75
Calcium phosphate, dibasic	1.98	1.98	1.98	1.98	2
Vitamin Mix <sup>d</sup> , w/o choline, A, D, E <sup>2</sup>	4.94	4.94	4.94	4.94	5
Vitamin E, DL- $\alpha$ -tocopheryl acetate	0.239	0.239	0.239	0.239	0.242
Vitamin D3, cholecalciferol	0.004	0.004	0.004	0.004	0.044
Choline bitartrate	0.004	0.004	0.004	0.004	2.5
Fiber	146	149	172	140	200

<sup>a</sup> All banana was chopped, freeze-dried and ground.

<sup>b</sup> Vitamin A-free feed was used for both the V A- diet and the 0.5% Carrot diet.

<sup>c</sup> Fat content was equalized in the feeds as well as nitrogen and energy.

<sup>d</sup> Vitamin mix provided the following, mg/kg purified diet: biotin, 0.4; calcium pantothenate, 66.1; folic acid, 2; inositol, 110.1; menadione, 49.6; niacin, 99.1; p-aminobenzoic acid, 110.1; pyridoxine-HCl, 22; riboflavin, 22; thiamin-HCl, 22; vitamin B12 (0.1% in mannitol), 29.7; ascorbic acid (97.5%), 1016.6.

**Table 3**  
**Bioconversion factors in Mongolian gerbils fed high  $\beta$ -carotene or high  $\beta$ -cryptoxanthin maize or 0.5% freeze-dried carrots with different staple food matrices**

Study 1	$\mu\text{mol}$ provitamin A: $\mu\text{mol}$ retinol	$\mu\text{g}$ provitamin A: $\mu\text{g}$ retinol	IOM reference <sup>a</sup>	% IOM value
High $\beta$ -carotene				
G1	1.2	2.3	12.0	19
G3	1.5	2.8	12.0	23
G7	3.3	6.3	12.1	52
High $\beta$ -cryptoxanthin				
G2	6.1	11.8	21.8	54
G4	2.3	4.4	21.5	20
G5	3.3	6.4	20.4	31
G6	1.6	3.0	21.6	14
Study 2				
Carrot alone	3.2	6.0	15.1	40
Rice matrix	3.0	5.7	15.2	38
Potato matrix	2.7	5.1	15.0	34
Banana matrix	4.4	8.2	15.3	54
Maize matrix	4.6	8.5	15.0	57

<sup>a</sup>The Institute of Medicine (IOM) values<sup>7</sup> were calculated by determining the proportion of  $\beta$ -cryptoxanthin and  $\alpha$ -carotene in the diet compared with the  $\beta$ -carotene and multiplying by the IOM values of 12:1 for  $\beta$ -carotene and 24:1 for  $\beta$ -cryptoxanthin and  $\alpha$ -carotene.

**Table 4**  
**Micellarization efficiencies (aqueous divided by digesta fraction X 100) of  $\alpha$ - and  $\beta$ -carotene from 0.5% freeze-dried carrot powder mixed with staple foods in feeds fed to Mongolian gerbils in study 2<sup>a</sup>**

Component	Micelle fraction $\alpha$ -carotene	Micelle fraction $\beta$ -carotene	Micelle fraction theoretical retinol
	%		
60% Potato	6.5 $\pm$ 5.0	5.7 $\pm$ 3.0	18 $\pm$ 5.3
60% Rice	12 $\pm$ 7.4	13 $\pm$ 5.4	37 $\pm$ 11
60% Banana	26 $\pm$ 20	14 $\pm$ 14	54 $\pm$ 23
60% Maize	10. $\pm$ 0.2	12 $\pm$ 1.0	33 $\pm$ 11
Carrot only	11 $\pm$ 5.0	9.0 $\pm$ 5.2	29 $\pm$ 8.3

<sup>a</sup>Values are means  $\pm$  SD,  $n = 3$ /staple food. Methods were those of Thakkar et al.<sup>16</sup> modified by Kean et al.<sup>17</sup> No difference in micellarized carotenoids were observed among the feeds ( $P > 0.05$ ).