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Disparities in the Burden, Outcomes and Care of Chronic Kidney Disease

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Abstract

Purpose of review—Racial/ethnic and socioeconomic disparities in chronic kidney disease (CKD) have been documented for decades, yet little progress has been made in mitigating them. Several recent studies offer new insights into the root causes of these disparities, point to areas where future research is warranted and identify opportunities for changes in policy and clinical practice.

Recent findings—Recently published evidence suggests that geographic disparities in CKD prevalence exist and vary by race. CKD progression is more rapid for racial/ethnic minority groups as compared to whites and may be largely, but not completely, explained by genetic factors. Stark socioeconomic disparities in outcomes for dialysis patients exist, and vary by race, place of residence and treatment facility. Disparities in access to living kidney donation may be driven primarily by the socioeconomic status of the donor as opposed to recipient factors.

Summary—Recent studies highlight opportunities to eliminate disparities in CKD, including efforts to direct resources to areas and populations where disparities are most prevalent, efforts to understand how to best use emerging information on the contribution of genetic factors to disparities, and continued work to identify modifiable environmental, social, and behavioral factors for targeted interventions among high-risk populations.

Keywords

socioeconomic status; race; ethnicity; renal; kidney transplantation

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CONFLICTS OF INTEREST STATEMENT

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INTRODUCTION

Over the past two decades, disparities in chronic kidney disease (CKD) have been well-documented throughout much of the world. [1–4] Racial and ethnic minorities suffer disproportionately from advanced and progressive pre-end stage renal disease (ESRD) CKD, and more than 3 times the incidence of ESRD when compared to whites. [5] Socioeconomic gradients in ESRD risk have also been well-described, [6,7] with lower socioeconomic status (SES) individuals bearing the greatest burden. Additionally, interactions of race/ethnicity and SES in determining disparities in CKD have been suggested, with racial/ethnic minorities of low SES suffering the worst outcomes. [3,7–9] In this review, we highlight several studies on disparities in CKD published in 2013, and we offer insights regarding studies' implications on efforts to address the root causes of disparities.

DISPARITIES IN THE BURDEN OF CKD

CKD prevalence varies substantially across different nations and geographic variability has also been reported within several nations. In the U.S., Tanner *et al* [10*] described regional variations in prevalence of albuminuria and decreased estimated glomerular filtration rate (eGFR) among black and white adults 45 years or older in the Reasons for Geographic and Racial Differences in Stroke (REGARDS) study. However, differences correlated only modestly with U.S. Renal Data System (USRDS) network-specific ESRD incidence. Further, for whites in the study, the highest correlation was between network-specific mean income <\$20,000 with ESRD incidence rates. Whereas, for blacks, network-specific obesity and history of cardiovascular disease were most correlated with ESRD incidence. These data suggest that CKD prevalence may not explain the well-documented geographic variation in ESRD incidence, and regions with high CKD prevalence may warrant greater resources to prevent the complications and health expenditures associated with CKD [11], independent of their ESRD burden. [10*] These data suggest a potential differential impact of ESRD risk factors across race, and highlight the need for further studies elucidating potential race differences in modifiable CKD risk factors.

In a related analysis of the REGARDS study, Plantinga *et al* found that blacks but not whites residing in the Southeastern U.S. their entire lives were at greater risk of ESRD, but there was no clear geographic pattern for earlier-stage CKD. This potential effect modification by race was strongest among individuals earning less than \$35,000. [12] The Southeastern U.S. is well-known to have higher prevalence of diabetes [13] and hypertension [14] than other regions, and the Southeastern states overlay what is called the 'stroke belt' due to high incidence of cerebrovascular events. [15] While the causes of geographic disparities in CKD are likely multifaceted, and, especially in the case of the racial variation, may include psychosocial stressors such as discrimination [16]--they may be surmountable. In Japan, for example, marked regional variation in ESRD incidence was noted in 1984 to 1991 [17] and was inversely correlated with use of renin-angiotensin system (RAS) inhibitors [18]. This disparity disappeared by 2001 to 2008, presumably due, in part, to increased RAS inhibitor use in the previously disparate regions. [19]

Vart *et al* [20*] investigated the relation of income level and educational attainment to prevalent CKD in general population-based cohorts in the United States [1999–2002 National Health and Nutritional Examination Survey (NHANES)] and The Netherlands [Prevention of Renal and Vascular End-stage Disease (PREVEND 1997–1998)]. In NHANES, income was strongly and independently associated with CKD but education was not. In contrast, in PREVEND, low income was weakly associated with CKD whereas low education had a strong association. If validated in longitudinal studies, these findings imply that improved access to healthcare in the U.S. could pose an opportunity to mitigate socioeconomic disparities in CKD; while efforts to modify health behaviors through education might reflect a more salient modifiable risk factor in countries with long-standing universal access to health care.

CONTRIBUTION OF GENETIC FACTORS TO DISPARITIES IN CKD PROGRESSION

In a study by Parsa and Kao *et al* [21**], the effects of variants in the gene encoding apolipoprotein L1 (*APOLI*) on CKD progression was examined in the African American Study of Kidney Disease and Hypertension (AASK), a clinical trial of the effectiveness antihypertensive medications and blood pressure targets on outcomes among blacks with CKD attributed to hypertension, and the Chronic Renal Insufficiency Cohort (CRIC) study, an observational cohort study including both white and black participants with CKD (46% with diabetes). Participants were grouped according to whether they had 2 copies of high-risk *APOLI* variants (high-risk group) or 0 or 1 copy (low-risk group). Fifty-eight percent of the high-risk variant participants in AASK experienced ESRD or doubling of serum creatinine during follow up, compared to 37% of the low-risk group, and *APOLI* status did not modify the effects of proteinuria or the treatment regimens evaluated. In the CRIC study, the multivariable adjusted hazard ratio for the composite renal outcome (ESRD or a 50% reduction in eGFR during follow up) comparing blacks in the high-risk group to all whites was 1.95 (95% CI, 1.39 to 2.73) for persons with diabetes and was 2.68 for those without diabetes. (Table 1) Notably, among blacks in the low-risk group compared to all whites, there remained a 40% greater risk of the composite renal outcome.

There are several important implications of the study by Parsa and Kao *et al*. First, it appears that *APOLI* high-risk variants may increase the risk of progression of CKD among blacks, regardless of the cause of CKD (ie. diabetic or non-diabetic nephropathies), extending insight gained from previous studies addressing this question [22–24]. Second, in AASK, participants' response to hypertension treatment regimen did not differ by *APOLI* variant group, suggesting no current role for genotyping black patients for determination of best pharmacologic therapy [25] or blood pressure target. Rather, the same mainstays of treatment (e.g. angiotensin converting enzyme inhibitors and control of hypertension) appear to be warranted for black patients (and have similar effects on CKD progression) regardless of genotype. Third, as not all AASK participants in the *APOLI* high risk group experienced CKD progression (40% did not), an additional genetic or environmental 'hit' (e.g. HIV infection) is likely necessary to potentiate *APOLI*-associated nephropathy. A recent study suggested that non-HIV infections such as urinary tract JC polyoma virus infection may

have a protective role against *APOLI*-mediated risk of kidney disease. [26] Fourth, even blacks in the low-risk *APOLI* variant group (77%) in the CRIC study had greater risk of CKD progression than whites (Table 1), suggesting racial disparities in CKD progression are not fully explained by genetic factors. As we await further elucidation of the role of high-risk variants in the onset and progression of CKD among blacks, studies performed among this 'lower-risk' population may present the most promising opportunities to identify modifiable root cause for disparities.

CONTRIBUTION OF NON-GENETIC FACTORS TO DISPARITIES IN CKD PROGRESSION

In a study by Derose *et al* [27*] of over 1 million adults in the Kaiser Permanente Southern California managed care system, more extreme rates of eGFR decline were observed in blacks. Projected kidney failure (based on predicted eGFR <15 ml/min/1.73m² at specified times) during CKD stages 3 and 4 was high in blacks, Hispanics, and Asians relative to whites. Death prior to developing ESRD was most common among whites and least common among Asians. Notably, even among the group with projected ESRD, death was 7 times more common than ESRD in whites and about twice as common in Hispanics, Asians, and blacks. In analyses accounting for both, differences in eGFR decline and mortality contributed to racial disparities in the incidence of ESRD. These findings highlight CKD as a strong risk factor for mortality among each major racial/ethnic group, and the importance of considering CKD-related mortality in studies of racial differences in CKD progression. For example, in a U.S. Veteran's Administration study of male patients, black patients with CKD had lower mortality compared with white patients. The survival advantage seen in blacks was accentuated in the setting of more advanced stages of CKD, which was postulated to be due to changes in case-mix and laboratory characteristics occurring during the course of kidney disease. [28]

In the Kidney Early Evaluation Program, a nationwide community-based health screening study of over 120,000 individuals at high risk of kidney disease, Chang *et al* [29*] found that, among persons with preserved eGFR at baseline, the risk of developing treated ESRD during follow up was increased among blacks (as compared to Non-Hispanic whites), irrespective of their presence of albuminuria at baseline. Notably, this study found no differences in incident ESRD among Hispanics compared to Non-Hispanic whites, and no differences according to education or health insurance status. These findings suggest screening for CKD and CKD risk modification may be warranted among blacks with or without albuminuria. A study by Gutiérrez *et al* demonstrated that that higher urinary albumin-to-creatinine ratio (ACR) was associated with greater risk of incident but not recurrent coronary heart disease in black individuals when compared with whites, suggesting that blacks have greater susceptibility to vascular disease and underscoring the potential benefits of screening among blacks. [30*]

Towards understanding risk factors for CKD progression among the urban poor, Hall *et al* [31*] conducted a study of this population being treated at the Community Health Network (CHN) in San Francisco, California. They found that younger age, male sex, non-White race/ethnicity, public health insurance coverage (Medicare and Medicaid), diabetes, lower

eGFR, higher proteinuria, lower hemoglobin level, and lower serum albumin concentration were significantly associated with a higher adjusted risk of progression to ESRD. In contrast, they observed no significant association between other factors including substance abuse, HIV/ AIDS, viral hepatitis (HBV or HCV), and non- English language with higher risk of ESRD after adjustment for traditional risk factors. Importantly, the authors note that the availability of interpreter services and access to ambulatory care in the CHN may have attenuated unfavorable outcomes typically associated with linguistic barriers to care. These findings emphasize the importance of continued focus on control of traditional risk factors to mitigate disparities in CKD progression among disadvantaged populations.

A study in Alberta, Canada found that progression to kidney failure was 3 times faster for First Nations as compared to Non-First Nations participants in an analysis of over 1.8 million adults. Similar to the findings by Chang *et al* among U.S. racial/ethnic groups [29*], this difference was not explained by the greater prevalence of albuminuria among the First Nations participants, and albuminuria conferred a similar risk of progression to kidney failure for First Nations and Non-First Nations people [32]. Potential explanations for this disparity offered by the authors, and opportunities for intervention, include First Nations people's documented receipt of lower quality health care and limited access to specialist care. [33,34]

In the PREdialysis PATient REcord study based in the The Netherlands, black and white adults were compared at the initiation of pre-dialysis nephrology care in a universal health care system. Blacks initiated pre-dialysis care at higher eGFRs than whites, and blacks experienced more rapid renal function decline. [35] These findings underscore the likely additional contributions of behavioral and genetic contributions to racial disparities beyond access to health care.

DISPARITIES IN DIALYSIS OUTCOMES

In a 2013 analysis of all USRDS patients (nearly 600,000) initiating hemodialysis between 2000 and 2008, patients' residence in areas with higher median household income was associated with improved survival. Using measures of income distributional inequality and residential racial segregation, Kimmel *et al* [36**] reported that among whites, income inequality was associated with increased risk of mortality. Among blacks exclusively, residence in highly racially segregated areas was associated with increased mortality. (Table 2) These complex results both identify high-risk populations for mortality on dialysis and shed light on knowledge gaps in ESRD disparities. Studies are urgently needed that measure contextual experiences of CKD patients (i.e. discrimination [16]), neighborhood factors that vary by median income and residential segregation (i.e. availability of healthy foods [37]), and relate these to dialysis outcomes.

Hall *et al* [38**] conducted an analysis of the USRDS data and categorized dialysis facilities according to the proportion of racial-ethnic minority patients initiating dialysis at each facility during 2005–2008. The predominantly 'minority-serving' facilities (i.e. those with the greatest proportion of minorities initiating dialysis) were markedly larger, and were less likely to offer home dialysis therapies than facilities serving predominantly white patients. A

significantly greater proportion of minority-serving dialysis facilities reported worse than expected survival as compared with facilities serving predominantly white patients, despite similar clinical performance measures for anemia and dialysis adequacy across minority-serving status. Further, wait-listing for transplantation was lower among the predominantly minority-serving facilities. Authors suggested differences may have been, in part, attributable to differences in the presence and quality of pre-dialysis care.

DISPARITIES IN ACCESS TO KIDNEY TRANSPLANTATION

Several studies in 2013 attempted to unravel the complex relation of race, SES and access to kidney transplantation. In Australia, a study of non-Indigenous adults by Grace *et al* [39*] revealed that patients from socioeconomically advantaged areas were more likely to receive a kidney from a living donor, both before and after dialysis initiation. However, SES was not associated with the receipt of a kidney from a deceased donor. Authors suggest that financial barriers and comorbid disease of potential donors may limit access to living kidney donation (LKD) in low SES areas, whereas the provision of subsidized immunosuppressive drugs for the life of the allograft may contribute to equal access to deceased donor transplants.

In a study of race-specific barriers to LKD in the U.S., Gill *et al* [40**] used data on all African-American and white living kidney donors to examine the associations between LKD and donor median household income and race. They found that LKD was greater in the higher-income quintiles for both racial groups. Of particular note, the total incidence of LKD was higher in the African-American population than in the white population, but ratios varied by income. The incidence of LKD was lower among African-Americans than whites in the lowest income quintile, but higher among African-Americans in the three highest income quintiles (Figure 1). These findings suggest racial disparities in access to LKD are strongly influenced by financial barriers, which could disproportionately influence racial minorities' access to LKD.

In a Canadian study, socioeconomic disparities in access to transplantation varied by age as well as race. Promislow *et al* found that rates of both live and deceased donor transplants were lower among Aboriginals under the age of 60 years compared to Caucasians, but were similar among those older than 60 years. [41] A single-center study conducted in Miami, Florida found black and Hispanic patients had longer waiting times from initiating dialysis to transplant wait listing when compared to whites. This disparity was largely explained by differences in SES and lower rates of preemptive transplantation among racial/ethnic minority patients. [42]

CONCLUSION

Worldwide disparities persist in CKD prevalence, progression and treatment. Recent studies point to geographic disparities in CKD, racial/ethnic disparities in kidney function decline, and disparities in ESRD treatment-related outcomes. Studies highlight numerous opportunities to eliminate disparities, including efforts to direct resources to areas and populations where disparities are most prevalent, efforts to understand how to best use

emerging information on the contribution of genetic factors to disparities, and continued work to identify modifiable environmental, social, and behavioral factors for targeted interventions to improve the care and outcomes of CKD for all patients.

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23990679] Living kidney donation (LKD) was greater in the higher-income quintiles for both African Americans and whites. The total incidence of LKD was higher among African-Americans than whites, but ratios varied by income. The incidence of LKD was lower among African-Americans than whites in the lowest income quintile, but higher among African-Americans in the three highest income quintiles, suggesting that racial disparities in access to LKD are strongly influenced by financial barriers.

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KEY POINTS

- Geographic disparities in CKD prevalence exist and vary by race.
- CKD progression is more rapid for racial/ethnic minority groups as compared to whites and may be largely, but not completely, explained by genetic factors.
- Stark socioeconomic disparities in outcomes for dialysis patients exist, and vary by race, place of residence and treatment facility.
- Disparities in access to living kidney donation may be driven primarily by the socioeconomic status of the donor as opposed to recipient factors.

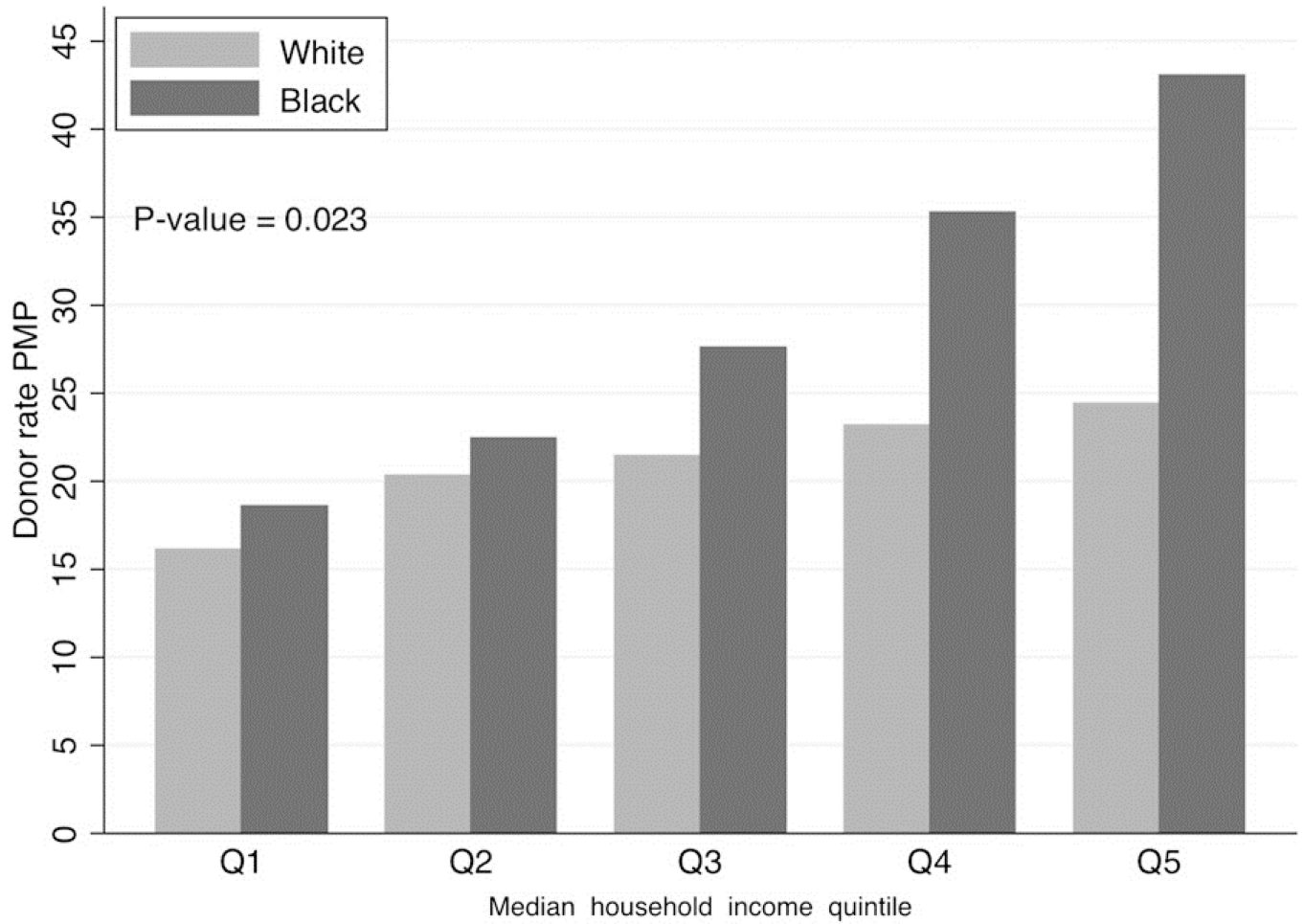


Figure 1. Age and sex standardized living donor rates per million population (PMP) by quintile of median household income in African-American and white populations. Reprinted with permission from Ref [40**]

Table 1
Multivariable Analyses of Differences in the eGFR Slope and Risk of the \hat{C} Composite Renal Outcome in the CRIC Study

Multivariate Model and Comparison Group	Difference in eGFR Slope			Risk of Composite Renal Outcome		
	With Diabetes ml/min/1.73 m ² /yr (95% CI)	Without Diabetes ml/min/1.73 m ² /yr (95% CI)	P value	With Diabetes hazard ratio (95% CI)	Without Diabetes hazard ratio (95% CI)	P value
Model 3						
All black patients vs. all white patients	-0.48 (-0.88 to -0.09)	-0.17 (-0.48 to 0.13)	0.02	1.49 (1.18 to 1.88)	1.80 (1.31 to 2.49)	<0.001
Black patients with APOLI high risk vs. all white patients	-1.32 (-2.02 to -0.63)	-1.05 (-1.54 to -0.56)	<0.001	1.95 (1.39 to 2.73)	2.68 (1.78 to 4.05)	<0.001
Black patients with APOLI low risk vs. all white patients	-0.35 (-0.75 to 0.06)	0.08 (-0.25 to -0.40)	0.09	1.40 (1.10 to 1.78)	1.57 (1.11 to 2.21)	0.01
Model 4						
All black patients vs. all white patients	-0.21 (-0.55 to 0.14)	-0.21 (-0.50 to 0.08)	0.25	1.34 (1.06 to 1.70)	1.98 (1.44 to 2.72)	<0.001
Black patients with APOLI high risk vs. all white patients	-0.79 (-1.41 to -0.17)	-0.81 (-1.26 to -0.35)	0.01	1.58 (1.12 to 2.24)	2.84 (1.84 to 4.38)	<0.001
Black patients with APOLI low risk vs. all white patients	-0.11 (-0.47 to 0.25)	-0.03 (-0.34 to 0.27)	0.55	1.29 (1.01 to 1.65)	1.78 (1.28 to 2.49)	<0.001

[^]The composite outcome was incident end-stage renal disease or a reduction of 50% in the eGFR from baseline. The APOLI risk was based on the recessive genetic model. Model 3 is the multivariable-adjusted base model with adjustment for age, sex, clinical site, baseline eGFR, education level, treatment by a nephrologist, the use of either an angiotensin-converting-enzyme inhibitor or an angiotensin-receptor blocker, systolic blood pressure, body-mass index, glycoated hemoglobin level, and smoking status. Model 4 includes all the variables in model 3 plus the total 24-hour urinary protein excretion. Adapted with permission PENDING from Ref [21]**].

Table 2

Adjusted Hazard Ratios (95% Confidence Interval, CI) for Death Associated with Income, Gini Index (measure of income inequality), and Residential Segregation, by Race

Characteristics	White (n=370,861)		Black (n=209,768)	
	HR (95% CI)	P Value	HR (95% CI)	P Value
Income				
\$2,500 to <\$20,000	1.02 (0.96–1.09)	0.53	1.08 (1.05–1.11)	<0.001
\$20,000 to <\$30,000	1.04 (1.02–1.05)	<0.001	1.04 (1.01–1.06)	0.002
\$30,000 to <\$40,000	1.00		1.00	
\$40,000 to <\$50,000	0.99 (0.98–1.01)	0.30	0.96 (0.93–0.99)	0.01
\$50,000 to <\$60,000	0.97 (0.96–0.99)	<0.001	0.95 (0.91–1.00)	0.03
\$60,000 to <\$70,000	0.95 (0.93–0.98)	<0.001	0.91 (0.86–0.96)	0.001
\$70,000	0.94 (0.92–0.97)	<0.001	0.95 (0.89–1.00)	0.05
Gini Index [^]				
First quartile (lowest)	1.00		1.00	
Second quartile	1.00 (0.98–1.02)	1.00	0.98 (0.93–1.04)	0.51
Third quartile	1.01 (0.99–1.03)	0.20	0.96 (0.91–1.01)	0.10
Fourth quartile (highest)	1.06 (1.04–1.09)	<0.001	0.99 (0.94–1.04)	0.63
Residential segregation				
First quartile (lowest)	1.00		1.00	
Second quartile	1.00 (0.98–1.03)	0.81	1.06 (1.02–1.11)	0.006
Third quartile	1.01 (0.99–1.03)	0.35	1.07 (1.03–1.12)	0.002
Fourth quartile (highest)	1.01 (0.99–1.04)	0.27	1.13 (1.09–1.18)	<0.001

Cox proportional hazards model adjusted for income, Gini Index, segregation, sex, age and year of dialysis initiation, body mass index, smoking, diabetes, polycystic kidney disease, AIDS nephropathy, insurance, prior employment status, and comorbid conditions (chronic obstructive pulmonary disease, congestive heart failure, peripheral vascular disease, cerebrovascular disease, atherosclerotic heart disease, and cancer).

[^] Quartile definitions for Gini Index and residential segregation were as follows: for Gini Index, 0.33–0.41, 0.41–0.43, 0.43–0.46, and 0.46–0.60; for residential segregation, 0–39.50, 39.50–48.95, 48.95–58.60, and 58.60–94.00. Adapted with permission PENDING from Ref [36**].