

Original Article

Two acute kidney injury risk scores for critically ill cancer patients undergoing non-cardiac surgery

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BACKGROUND: Several risk scores have been used in predicting acute kidney injury (AKI) of patients undergoing general or specific operations such as cardiac surgery. This study aimed to evaluate the use of two AKI risk scores in patients who underwent non-cardiac surgery but required intensive care.

METHODS: The clinical data of patients who had been admitted to ICU during the first 24 hours of ICU stay between September 2009 and August 2010 at the Cancer Institute, Chinese Academy of Medical Sciences & Peking Union Medical College were retrospectively collected and analyzed. AKI was diagnosed based on the acute kidney injury network (AKIN) criteria. Two AKI risk scores were calculated: Kheterpal and Abelha factors.

RESULTS: The incidence of AKI was 10.3%. Patients who developed AKI had a increased ICU mortality of 10.9% vs. 1.0% and an in-hospital mortality of 13.0 vs. 1.5%, compared with those without AKI. There was a significant difference between the classification of Kheterpal's AKI risk scores and the occurrence of AKI ($P < 0.001$). There was no significant difference between the number of Abelha's AKI risk scores and the occurrence of AKI ($P = 0.499$). Receiver operating characteristic curves demonstrated an area under the curve of 0.655 ± 0.043 ($P = 0.001$, 95% confidence interval: 0.571–0.739) for Kheterpal's AKI risk score and 0.507 ± 0.044 ($P = 0.879$, 95% confidence interval: 0.422–0.592) for Abelha's AKI risk score.

CONCLUSION: Kheterpal's AKI risk scores are more accurate than Abelha's AKI risk scores in predicting the occurrence of AKI in patients undergoing non-cardiac surgery with moderate predictive capability.

KEY WORDS: Risk factor; Acute kidney injury; Surgery

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INTRODUCTION

The incidence of acute kidney injury (AKI) varies from 7.5% in general surgery patients to 39.8% in patients at intensive care unit (ICU), and it is associated with increased morbidity, mortality, and duration of hospital stay.^[1–4] However, there has little progress in research on prevention and treatment.

Several risk scores have been developed in predicting

AKI for patients undergoing general surgery^[1,3] or specific operations such as cardiac surgery.^[5] These data are of interest to surgeons who attempt to decrease the risk of AKI after surgery. However, the use of a predictive score must be validated before it is used in clinical practice. The aim of the present study is to evaluate the use of two acute kidney injury risk scores for patients who underwent non-cardiac surgery and required intensive care.^[1,3]

METHODS

Patients

This retrospective study was conducted in 536 patients treated at the Intensive Care Unit (ICU), Cancer Hospital (Institute) of the Chinese Academy of Medical Sciences & Peking Union Medical College, China. The ICU is a 10-bed surgical unit. This study was approved by the institutional review board, and informed consent was waived owing to the observational nature of this study.

Measurements

The data of patients who had been admitted to the ICU in the first 24 hours of ICU stay between September 2009 and August 2010 were analyzed retrospectively. The data included age, gender, American Society of Anesthesiologist (ASA) physical status,^[6] co-morbidities of hypertension, coronary heart diseases and diabetic mellitus, a history of renal insufficiency, procedures performed (intra-thoracic surgery, intra-peritoneal surgery, or others), presence of AKI based on the Acute Kidney Injury Network (AKIN) criteria,^[7] revised cardiac risk index (RCRI),^[8] presence of ascites, presence of active congestive heart failure, and type of admission (emergency surgery or elective surgery).

Two AKI risk scores were calculated according to Kheterpal's^[1] and Abelha's^[3] definition. In Kheterpal's AKI risk score, five classifications were based on nine preoperative risk factors (Table 1). Abelha's AKI risk score consisted of four risk factors including high ASA physical status (IV/V), high-risk surgery (defined as intra-peritoneal, intra-thoracic, or supra-inguinal vascular procedures), congestive heart disease and RCRI score >3. One point was appointed to each risk factor, and total score was calculated as the sum of every risk factor in the end.

Patients older than 18 years who had been admitted

Table 1. Kheterpal's AKI risk score (2009)

Risk factors	Classification	Number of risk factors
Age ≥ 56 yr	Class I	0–2
Male sex	Class II	3
Active congestive heart failure	Class III	4
Ascites	Class IV	5
Hypertension	Class V	≥6
Emergency		
Intra-peritoneal surgery		
Renal insufficiency		
Diabetes mellitus		

AKI: acute kidney injury; Adapted from Kheterpal S, Tremper KK, Heung M, et al. Development and validation of an acute kidney injury risk index for patients undergoing general surgery: results from a national data set. (*Anesthesiology* 2009; 110: 505–515).

to the ICU were included in the study. Those who had received non-operative treatments and those had a ICU stay < 24 hours were excluded.

Statistical analysis

The SPSS software package 13.0 for Windows was used for statistical analysis. The data were presented as medians (interquartile range) for continuous variables, and percentages for dichotomous variables. Continuous variables were analyzed using Student's *t* test, and categorical variables were analyzed using the Chi-square test. The area under the receiver operating characteristic curve (AUROC) was used to evaluate the ability of each model to discriminate between patients who developed AKI from those who did not. *P* value < 0.05 was considered statistically significant.

RESULTS

Among the 536 patients admitted consecutively to our surgical ICU in the period of 2009–2010, 14 patients with readmissions, 35 non-operative patients and 70 patients due to ICU LOS < 24 hours were excluded. Thus the study group comprised 447 patients, 295 males and 152 females, with a median age of 67 years (range 18–89 years). Forty-six patients were diagnosed with AKI on the first day of ICU admission, giving an incidence of 10.3% for AKI. The patients who developed AKI had an increased ICU mortality and in-hospital mortality (Table 2). Other characteristics of

Table 2. Characteristics of the study group on admission to the ICU

Clinical variables	AKI group (%) (n=46)	Non-AKI group (%) (n=401)	<i>P</i> value
Age ≥ 56 yr	41 (89.1)	320 (79.8)	0.166
Male sex	37 (80.4)	258 (64.3)	0.032
Hypertension	20 (43.5)	143 (35.7)	0.333
Diabetes mellitus	8 (17.4)	52 (13.0)	0.369
Renal insufficiency	4 (8.7)	2 (0.5)	0.001
Active CHF	0 (0)	0 (0)	1.000
Ascites	1 (2.2)	3 (0.7)	0.085
Type of surgery			
Intra-peritoneal surgery	22 (47.8)	129 (32.2)	0.047
Intra-thoracic surgery	10 (21.7)	154 (38.4)	0.035
Other surgery	14 (30.4)	118 (29.4)	0.866
Emergency surgery	2 (4.3)	23 (5.7)	1.000
ASA physical status (IV/V)	0 (0)	0 (0)	1.000
RCRI > 3	2 (4.3)	8 (2.0)	0.275
ICU LOS (d)	4 (2–6)	2 (1–4)	0.133
ICU mortality	5 (10.9)	4 (1.0)	0.001
Hospital LOS (d)	22 (15–28)	19 (15–26)	0.603
In-hospital death	6 (13.0)	6 (1.5)	<0.001

ICU: intensive care unit; AKI: acute kidney injury; CHF: congestive heart failure; ASA: American Society of Anesthesiologist; RCRI: revised cardiac risk index; LOS: length of stay.

the study group are also shown in Table 2.

There was a significant difference between the classification of Kheterpal's AKI risk score and occurrence of AKI (Figure 1). In class I patients of Kheterpal's AKI score ($n=251$), the occurrence of AKI was 5.6%. The occurrence of AKI in class II ($n=132$), class III ($n=52$) and class IV ($n=12$) patients was 15.2%, 15.4% and 33.3%

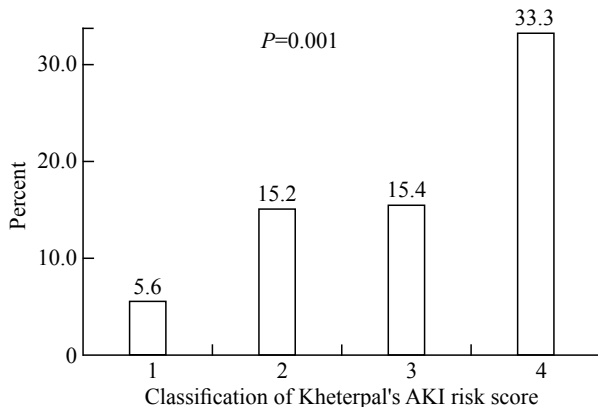


Figure 1. Classification of Kheterpal's AKI risk score and occurrence of AKI.

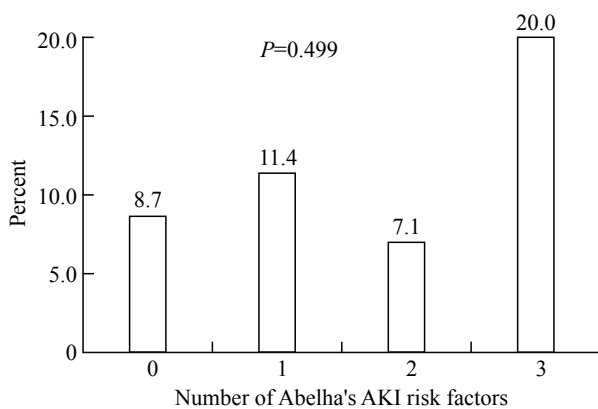


Figure 2. Number of Abelha's AKI risk factors and occurrence of AKI.

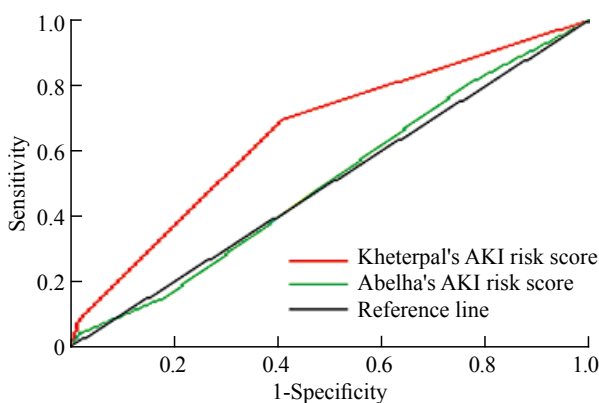


Figure 3. Receiver operating characteristic curves of Kheterpal's AKI risk score and Abelha's AKI score.

respectively. There were no class V patients of Kheterpal's AKI score. On the contrary, there was no significant difference between the number of Abelha's AKI risk factor and occurrence of AKI (Figure 2). The occurrence of AKI in patients with zero risk factor ($n=103$), one risk factor ($n=162$), two risk factors ($n=70$) and three risk factors ($n=10$) was 8.7%, 11.4%, 7.1% and 20.0% respectively. There were no patients with four risk factors of Abelha's AKI risk score. Receiver operating characteristic curves demonstrated an area under the curve of 0.655 ± 0.043 ($P=0.001$, 95% confidence interval: 0.571–0.739) for Kheterpal's AKI score and 0.507 ± 0.044 ($P=0.879$, 95% confidence interval: 0.422–0.592) for Abelha's AKI risk score (Figure 3).

DISCUSSION

Many AKI risk scores have been developed and used to predict the risk of AKI.^[1–4] But there were no validation studies of these risk scores, which restrict the use of these indexes. Thus, the assessment of these AKI risk scores is important before its use in clinical practice.

The overall incidence of AKI in this study was 10.3%, which is in the range of numbers reported elsewhere.^[1–4] But this is higher than that we reported previously (only 3.1% by the RIFLE diagnosis system).^[9] Joannidis et al^[10] reported that increased sensitivity could be determined by the AKIN criteria compared with the RIFLE diagnosis system. More importantly, there was no significant difference in outcome prediction between the two systems of diagnosis.^[11] AKI was found to be associated with ICU mortality and hospital mortality in our study.^[1–4,10,11]

Our study demonstrated moderate predictive capability for Kheterpal's AKI risk score with AUROC of 0.655. In the study of Kheterpal et al,^[1] nine independent preoperative predictors were identified in 57 080 patients and validated in 18 872 patients. In their study AKI risk index was 0.80 in both derivation and validation groups but postoperative factors such as nephrotoxic agents and sepsis were not considered. Large epidemiologic studies^[12] showed that nephrotoxic drugs are contributing factors in 19% to 25% of critically ill patients with severe acute renal failure. Sepsis has been found to be a leading contributing factor for AKI in critical illness.^[13–15] Therefore, analysis by incorporating these two factors into new AKI risk score may be more accurate in predicting the occurrence of AKI.

In our study AUROC of 0.507 was not used for Abelha's AKI score. In Abelha's study, the most important limitation is the exclusion of patients with

preoperative renal dysfunction. Thakar^[16] pointed out that chronic kidney disease has been identified as a major risk factor for perioperative AKI in most studies.^[17] In our study, renal insufficiency was considered as a risk factor in univariate analysis (Table 2). Lack of information about the use of nephrotoxic agents is another limitation discussed earlier.

In short, the first limitation is the small sample of the study. But the incidence and short-term outcome of AKI in this study are comparable to other studies. Thus the result of the study is credible. The second limitation is that patients of this study suffered from cancer, which prevents the application of general surgery.

In conclusion, Khetepal's AKI risk index is accurate than Abelha's AKI risk index in predicting the occurrence of AKI in patients undergoing non-cardiac surgery with moderate predictive capability. Perioperative factors including preoperative, operative and postoperative ones such as sepsis and nephrotoxic agents must be considered in predicting the risk of occurrence of AKI.^[18–20] Prospective studies are needed to identify a robust score to predict AKI after surgery, thus leading to successful clinical trials of prophylactic strategies to prevent this devastating syndrome.

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Ethical approval: This study was approved by the institutional review board.

Conflicts of interest: No competing interests.

Contributors: Xing XZ designed the research, analyzed the data, and wrote the paper. All authors read and approved the final version.

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