



Increased Expression of CCN2, Epithelial Membrane Antigen, and Fibroblast Activation Protein in Hepatocellular Carcinoma with Fibrous Stroma Showing Aggressive Behavior

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Abstract

Tumor behavior is affected by the tumor microenvironment, composed of cancer-associated fibroblasts (CAFs). Meanwhile, hepatocellular carcinomas (HCC) with fibrous stroma reportedly exhibit aggressive behavior suggestive of tumor-stroma interaction. However, evidence of the crosstalk remains unclear. In this study, CCN2, epithelial membrane antigen (EMA), fibroblast activation protein (FAP), and keratin 19 (K19) expression was studied in 314 HCCs (cohort 1), 42 scirrhous HCCs (cohort 2), and 36 chronic hepatitis/cirrhosis specimens by immunohistochemistry. Clinicopathological parameters were analyzed according to the expressions of these markers. In tumor epithelial cells from cohort 1, CCN2 and EMA were expressed in 15.3% and 17.2%, respectively, and their expressions were more frequent in HCCs with fibrous stroma ($\geq 5\%$ of tumor area) than those without ($P < 0.05$ for all); CCN2 expression was well correlated with K19 and EMA expression. In tumor stromal cells, FAP expression was found in 6.7%. In cohort 2, CCN2, EMA, and FAP expression was noted in 40.5%, 40.5%, and 66.7%, respectively, which was more frequent than that in cohort 1 ($P < 0.05$ for all). Additionally, EMA expression was associated with the expression of K19, CCN2, and FAP ($P < 0.05$ for all); EMA expressing tumor epithelial cells showed a topographic closeness to FAP-expressing CAFs. Analysis of disease-free survival revealed CCN2 expression to be a worse prognostic factor in both cohort 1 ($P = 0.005$) and cohort 2 ($P = 0.023$), as well as EMA as a worse prognostic factor in cohort 2 ($P = 0.048$). In conclusion, expression of CCN2, EMA, and FAP may be involved in the activation of CAFs in HCC, giving rise to aggressive behavior. Significant correlation between EMA-expressing tumor cells and FAP-expressing CAFs and their topographic closeness suggests possible cross-talk between tumor epithelial cells and stromal cells in the tumor microenvironment of HCC.

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Introduction

The biological behavior of tumors is reportedly affected by not only malignant tumor cells themselves but also by the tumor microenvironment including tumor stroma [1–3]. The tumor stroma is a complicated system that consists of signaling molecules, extracellular matrix proteins, proteolytic enzymes, blood vessels, and a variety of cellular components, such as cancer-associated fibroblasts (CAFs) and immune cells [4,5]. CAFs in tumor stroma are histologically categorized as myofibroblasts or activated fibroblasts, and they have been reported to be associated with aggressive biological behavior, poor prognosis, and resistance to

chemotherapy and radiation therapy in breast cancer, pancreatic cancer, and colon cancer [6–10]. Therefore, CAFs could influence the biological characteristics of tumor cells through tumor-stroma cross-talk. However, crosstalk between tumor cells and activated fibroblasts has not been fully explored in HCCs.

Hepatocellular carcinoma (HCC) is the seventh most common malignancy worldwide and the third greatest cause of cancer related mortality, especially in Asia and sub-Saharan Africa [11]. Most HCCs contain no or only little amounts of fibrous stroma; nevertheless, some HCCs without history of preoperative treatment exhibit various amounts of fibrous stroma between tumor

nests. In a previous study, we showed that HCC specimens with abundant fibrous stroma, known as scirrhous HCC, exhibit an aggressive biological behavior and the expression of “stemness”-related markers, along with activation of TGF- β signature and epithelial-mesenchymal transition (EMT)-related genes [12].

CCN2 (previously known as connective tissue growth factor, IGFBP8, etc.), a fibrogenic cytokine, is involved in virtually all fibrotic pathologies, both benign and malignant [13]. Recently, CCN2 expression was reported to be impeded by TGF- β receptor inhibition, resulting in a decrease of the stromal components in HCC [14]. Epithelial membrane antigen (EMA) is a member of a family of transmembrane mucin glycoproteins, with a high carbohydrate content and extensive O-linked glycosylation of its extracellular domain [15]. Recently, EMA mRNA was reported to be up-regulated in a co-culture study of hepatoma cells and activated hepatic stellate cells (HSCs), compared to stromal cells cultured alone [16]. Furthermore, clinical studies have reported a relationship between EMA expression and poor prognosis in various malignant tumors, including lung cancer, gastric cancer, gallbladder cancer, and HCC [17–20]. Fibroblast activation protein (FAP), a member of the serine protease family, has been reported to increase stromal cell proliferation and invasiveness, as well as reduce cell apoptosis [21]. FAP is also recognized as a useful marker of CAFs, selectively expressed in fibroblasts of several epithelial cancers, and is reported to be related to worse prognosis of pancreatic adenocarcinoma and colon cancer [21–24].

Concerning the tumor microenvironment of HCCs, the molecular mechanism involved in the formation of tumor fibrous stroma and tumor-stroma cross-talk remains unclear. Thus, we attempted to evaluate the expressions of CCN2, EMA, and FAP and their correlation with clinicopathological features of HCCs. As well, their topographic expression patterns were further examined in HCCs with abundant fibrous stroma (scirrhous HCCs).

Materials and Methods

Patients and clinicopathological analysis

The HCC specimens included in this study were morphologically typical of HCC, and cases that could be classified as combined hepatocellular-cholangiocarcinoma or with a history of preoperative treatment were excluded. Formalin-fixed, paraffin-embedded HCC specimens were obtained from the archives of the Department of Pathology, Severance Hospital, Yonsei University College of Medicine. Liver specimens were provided by the Liver Cancer Specimen Bank, National Research Resource Bank program of the Korea Science and Engineering Foundation of the Ministry of Science and Technology. This study was approved by the Institutional Review Board of Severance Hospital, Yonsei University College of Medicine (Seoul, Korea). The Institutional Review Board waived the need for consent (4-2013-0912).

This study was performed in specimens from two cohorts of patients with HCC. Cohort 1 consisted of 314 cases of HCC from January 2007 to March 2011; there were 254 males and 60 females, ranging in age from 28 to 81 years (55.6 ± 10.1 , mean \pm SD). To investigate the spatial relationship between epithelial cells and CAFs, cohort 2 included 42 cases of HCC with abundant fibrous stroma ($\geq 50\%$ of the tumor area) (scirrhous HCC). The specimens were obtained from September 2001 to December 2010, there were 29 males and 13 females, whose ages ranged from 27 to 71 years (range, 53.7 ± 8.3 , mean \pm SD). Twenty-five cases were included in both of cohort 1 and 2. As a control group, 36 non-tumor tissues of chronic hepatitis/cirrhosis were studied.

Histopathologic analysis was performed for both cohorts on whole sections of representative tissue blocks. For each case, tumor size, differentiation according to Edmondson-Steiner grade, tumor capsule formation, lymphovascular invasion, multiplicity of tumors, presence of fibrous stroma, and pathology of non-neoplastic liver were recorded. In cohort 1, presence of fibrous stroma was defined as fibrotic areas $\geq 5\%$ of the tumor area.

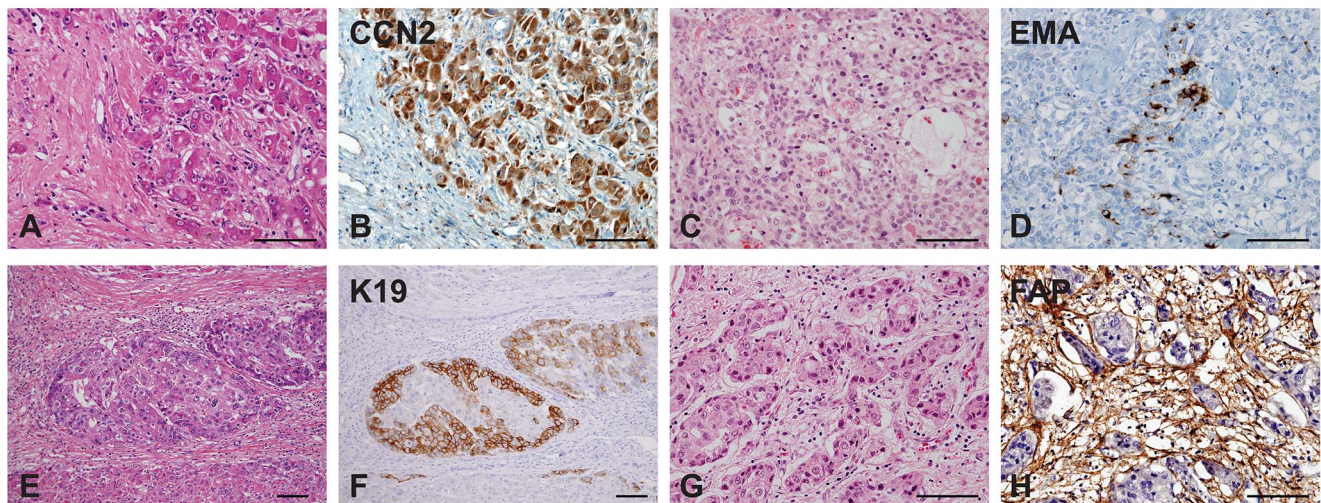


Figure 1. Connective tissue growth factor (CCN2), epithelial membrane antigen (EMA), fibroblast activation protein (FAP), and keratin 19 (K19) expression in hepatocellular carcinomas (HCCs) of cohort 1. A) Small nests of tumor cells are surrounded by fibrous stroma. B) Immunohistochemistry for CCN2 exhibits diffuse cytoplasmic expression in tumor epithelial cells of HCC. C–D) Immunohistochemistry for EMA reveals patchy or focal expression pattern in tumor epithelial cells of HCC. E–F) K19 is focally positive in the periphery of tumor nests. (G–H) FAP is expressed in the cytoplasm of cancer-associated fibroblasts of tumor stroma. (Scale bars represent 100 μ m.)
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Table 1. Clinicopathological characteristics of HCCs according to CCN2, EMA, and FAP expression in cohort 1 (n = 314).

	CCN2 (in tumor epithelial cells)			EMA (in tumor epithelial cells)			FAP (in tumor stromal cells)			P
	Positive (%)	Negative (%)	P	Positive (%)	Negative (%)	P	Positive (%)	Negative (%)	P	
Frequency	48 (15.3)	266 (84.7)		54 (17.2)	260 (82.8)		21 (6.7)	293 (93.3)		
Sex			0.259			0.795			0.254	
Female	12 (25.0)	48 (18.0)		11 (20.4)	49 (18.8)		6 (28.6)	54 (18.4)		
Male	36 (75.0)	218 (82.0)		43 (79.6)	211 (81.2)		15 (71.4)	239 (81.6)		
Age (years)			0.328			0.494			0.207	
<55	18 (37.5)	120 (45.1)		26 (48.1)	112 (43.1)		12 (57.1)	126 (43.0)		
≥55	30 (62.5)	146 (54.9)		28 (51.9)	148 (56.9)		9 (42.9)	167 (57.0)		
Etiology			0.697			0.112			0.089	
Non-viral	5 (10.4)	33 (12.4)		10 (18.5)	28 (10.8)		5 (23.8)	33 (11.3)		
HBV	39 (81.3)	220 (82.7)		41 (75.9)	218 (83.8)		16 (76.2)	243 (82.9)		
HCV	4 (8.3)	13 (4.9)		3 (5.6)	14 (5.4)		0 (0.0)	17 (5.8)		
Cirrhosis			0.035			0.169			0.495	
Absent	15 (31.2)	127 (47.7)		29 (53.7)	113 (43.5)		11 (52.4)	131 (44.7)		
Present	33 (68.8)	139 (52.3)		25 (46.3)	147 (56.5)		10 (47.6)	162 (55.3)		
Tumor size (cm)			0.388			0.138			0.586	
<5	36 (75.0)	214 (80.5)		39 (72.2)	211 (81.2)		18 (85.7)	232 (79.2)		
≥5	12 (25.0)	52 (19.5)		15 (27.8)	49 (18.8)		3 (14.3)	61 (20.8)		
Edmondson grade			0.141			0.844			0.128	
I/II	19 (39.6)	136 (51.1)		26 (48.1)	129 (49.6)		7 (33.3)	148 (50.5)		
III/IV	29 (60.4)	130 (48.9)		28 (51.9)	131 (50.4)		14 (66.7)	145 (49.5)		
Capsule formation			0.049			0.141			0.406	
Absent	13 (27.1)	41 (15.4)		13 (24.1)	41 (15.8)		5 (23.8)	49 (16.7)		
Present	35 (72.9)	225 (84.6)		41 (75.9)	219 (84.2)		16 (76.2)	244 (83.3)		
Vascular invasion			0.604			0.400			0.990	
Absent	19 (39.6)	116 (43.6)		26 (48.1)	109 (41.9)		9 (42.9)	126 (43.0)		
Present	29 (60.4)	150 (56.4)		28 (51.9)	151 (58.1)		12 (57.1)	167 (57.0)		
Multiplicity			0.198			0.705			0.031	
Single	37 (77.1)	225 (84.6)		46 (85.2)	216 (83.1)		21 (100.0)	241 (82.3)		
Multiple	11 (22.9)	41 (15.4)		8 (14.8)	44 (16.9)		0 (0.0)	52 (17.7)		
Stage (by AJCC)			0.096			0.747			0.615	
I-II	43 (89.6)	254 (95.5)		52 (96.3)	245 (94.2)		21 (100.0)	276 (94.2)		
III-IV	5 (10.4)	12 (4.5)		2 (3.7)	15 (5.8)		0 (0.0)	17 (5.8)		
Fibrous stroma			0.028			0.003			0.219	
Absent	36 (75.0)	232 (87.2)		39 (72.2)	229 (88.1)		16 (76.2)	252 (86.0)		

Table 1. Cont.

	CCN2 (in tumor epithelial cells)		EMA (in tumor epithelial cells)		FAP (in tumor stromal cells)		<i>P</i>
	Positive (%)	Negative (%)	Positive (%)	Negative (%)	Positive (%)	Negative (%)	
Present	12 (25.0)	34 (12.8)	15 (27.8)	31 (11.9)	5 (23.8)	41 (14.0)	0.863
K19 expression	0.018		0.730				
Negative	31 (64.6)	213 (80.1)	41 (75.9)	203 (78.1)	16 (76.2)	228 (77.8)	
Positive	17 (35.4)	53 (19.9)	13 (24.1)	57 (21.9)	5 (23.8)	65 (22.2)	

HCC, Hepatocellular carcinoma; CCN2, Connective tissue growth factor; EMA, Epithelial membrane antigen; FAP, Fibroblast activation protein.
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Clinical data from each patient were obtained from a careful review of their medical records, including hepatitis B virus surface antigen status, hepatitis C virus antibody, and tumor-node-metastasis (TNM) classification according to the 7th American Joint Committee on Cancer/International Union against Cancer (AJCC/UICC) staging system.

Tissue microarray construction

A representative formalin-fixed, paraffin-embedded block containing HCC was selected for each of the 314 available cases of cohort 1. The arrays were constructed in triplicate using a 3-mm punch on a tissue-arraying instrument (Beecher Instruments, Silver Springs, FL, USA). The cases were reviewed on conventional hematoxylin and eosin-slides, and representative areas were marked on each slide. Using a marker pen, the corresponding region was circled on the “donor” paraffin block. The samples were then arrayed on to a “recipient” block.

Immunohistochemistry

Immunohistochemical stain was performed using tissue microarrays from cohort 1 and paraffin-embedded whole tissue sections for topographic assessment from cohort 2. To compare the phenotypical characteristics between CAFs of tumor fibrous stroma and fibroblasts in benign fibrous stroma, 36 cases of chronic hepatitis or cirrhosis, which included at least 10 portal tracts, were also immunostained.

The primary antibodies used were anti-CCN2 (1:300, Abcam, Cambridge, UK), anti-EMA (1:100, Dako, Glostrup, Denmark), anti-FAP (D8, 1:100, Vitatex, Stony Brook, NY, USA), and Keratin 19 (K19) (1:100, Dako). Immunohistochemical stain for CCN2, EMA, and K19 was performed using automated staining system (Discovery XT, Ventana Medical Systems, AZ, USA), and that for FAP was performed using horseradish peroxidase-conjugated anti-rat immunoglobulin and Envision kit (DAKO) according to the manufacturer's instructions. All immunohistochemical markers were assessed by a light microscope. The immunohistochemical staining results were interpreted in a semiquantitative way and given a staining score, from 0 to 3, as follows: 0, staining in <5% of tumor cells; 1, weak staining in ≥5%; 2, moderate staining in ≥5%; and 3, strong staining in ≥5% of the tumor cells. Positive staining was defined as a staining score of 2 or 3, whereas scores of 0 and 1 were regarded as negative. Two pathologists (G. J. Kim and Y. N. Park) assessed the staining results without awareness of the clinicopathological data for each case.

Statistical analysis

Statistical analyses were performed using SPSS software version 20.0 for Windows (SPSS Inc., Chicago, IL, USA). Categorical variables were analyzed by the chi-square test or Fisher's exact test. On survival analysis, clinicopathologic variables were dichotomized and analyzed according to their effect on prognosis. Disease-free survival (DFS) and overall survival (OS) analysis was performed using the Kaplan–Meier method, and differences between the groups were assessed using the log-rank test. Univariate and multivariate survival analyses were carried out using Cox proportional hazard regression models. Only variables significant in the univariate analysis of factors affecting survival were used in the stepwise multivariate analysis. Estimated relative risks of death were expressed as adjusted hazard ratios (HR) and corresponding 95% confidence intervals (CI). Statistical significance was assumed for *P*-values <0.05.

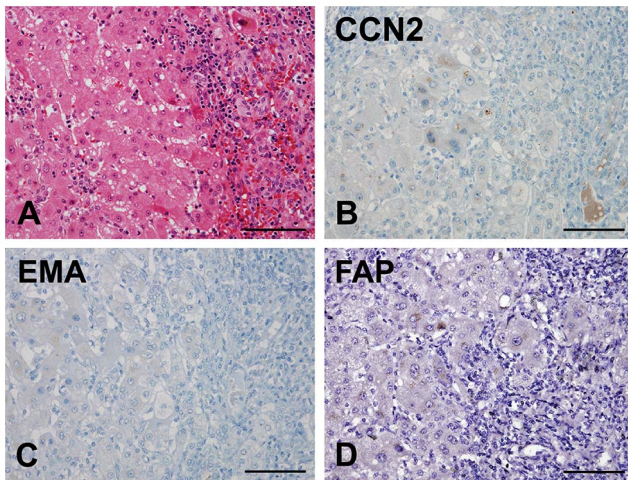


Figure 2. Connective tissue growth factor (CCN2), epithelial membrane antigen (EMA), fibroblast activation protein (FAP), and keratin 19 (K19) expression in liver cirrhosis. A) Liver cirrhosis showing regenerative hepatic nodules and fibrotic septa with chronic inflammatory cells. CCN2 (B), EMA (C), and FAP (D) expression is negative in both hepatocytes and benign stromal cells from cirrhosis specimens. (Scale bars represent 100 μ m.) doi:10.1371/journal.pone.0105094.g002

Results

CCN2, EMA, and FAP expression and the clinicopathological characteristics of HCC (Cohort 1, n = 314)

To assess the expressions of CCN2, EMA, K19, and FAP in HCCs in regards to their clinicopathologic significance, we investigated these markers by immunohistochemistry of tissue microarrays from 314 cases of HCC (cohort 1). Positive expression rates of CCN2, EMA, K19, and FAP were 15.3% (48/314), 17.2% (54/314), 22.3% (70/314), and 6.7% (21/314), respectively. CCN2, EMA, and K19 expression was noted in tumor epithelial cells, but not in CAFs. CCN2 was diffusely expressed throughout the tumor cells upon cytoplasmic staining (Figure 1. A–B). Expression of EMA, on the contrary, was either patchy or diffuse in the tumor cells upon membranous and/or cytoplasmic staining (Figure 1. C–D). K19 was focally expressed in the tumor cells upon cytoplasmic and/or membranous staining (Figure 1. E–F). FAP was, on the other hand, expressed in the cytoplasm of CAFs, but not in tumor epithelial cells (Figure 1. G–H).

The expressions of CCN2, EMA, and FAP were evaluated according to clinicopathologic parameters of HCC (Table 1). CCN2 expression was significantly related to background cirrhosis ($P = 0.035$), absence of tumor capsule ($P = 0.049$), and presence of tumor fibrous stroma in HCC ($P = 0.028$). EMA expression demonstrated a significant association with presence of tumor fibrous stroma only ($P = 0.003$). Expression of CCN2 was significantly correlated with K19 immunoreactivity ($P = 0.018$), whereas EMA expression was not. FAP expression was significantly correlated with a solitary tumor mass ($P = 0.031$), but it was not significantly correlated with any other clinicopathologic parameter ($P > 0.05$).

Expression rates of CCN2 and EMA were significantly correlated with each other ($P = 0.001$). Among 48 cases that exhibited CCN2 expression, 16 cases (33.3%, 16/48) were positive for EMA. In the CCN2 negative cases, however, the expression rate of EMA was relatively low (14.3%, 38/266). The expressions

of CCN2 and FAP showed no significant correlation with each other ($P = 0.752$); two cases (4.2%, 2/48) were positive for FAP in the CCN2 positive group and 19 cases (7.1%, 19/266) were positive for FAP in the CCN2 negative group. As well, the expressions of EMA and FAP also showed no significant correlation with each other ($P = 0.406$); five cases (9.3%, 5/54) were positive for FAP in the EMA positive group and 16 cases (6.2%, 16/260) were positive for FAP in the EMA negative group.

CCN2, EMA, and FAP expression and the clinicopathological characteristics of HCCs with abundant fibrous stroma (scirrhous HCC) (Cohort 2, n = 42)

The expressions of CCN2 and EMA were significantly correlated with each other and also with the presence of tumor fibrous stroma in cohort 1. These results might imply cross-talk between CCN2 and EMA expression and tumor fibrous stromal components. As most HCCs of cohort 1 showed no or little tumor fibrous stroma, we evaluated the expressions of these markers in another cohort of scirrhous HCC, which had abundant ($\geq 50\%$ of tumor area) fibrous stroma. Whole tumor sections from representative paraffin-embedded tissue blocks were used to assess the topographic expression patterns of CCN2, EMA, K19, and FAP in scirrhous HCCs. Therein, the positive expression rates of CCN2, EMA, K19, and FAP were 40.5% (17/42), 40.5% (17/42), 33.3% (14/42), and 66.7% (28/42), respectively. The expression rates of CCN2, EMA, K19, and FAP in cohort 2 were significantly higher than those in cohort 1 ($P < 0.001$, $P = 0.001$, $P = 0.013$, $P < 0.001$, respectively).

In comparison of tumor fibrous stroma of HCC and benign fibrous stroma of the liver, the expressions of CCN2, EMA, and FAP were investigated in specimens of chronic hepatitis/cirrhosis (n = 36) (Figure 2). CCN2 and EMA were not detected in either stromal cells or hepatocytes of chronic hepatitis/cirrhosis specimens. Additionally, FAP was not expressed in the stromal cells of most cases of chronic hepatitis/cirrhosis, and it was only focally detected in stromal cells from three cases (3/36, 8.3%) of chronic hepatitis/cirrhosis. Moreover, FAP was not noted in any benign hepatocytes for all cases. Accordingly, FAP expression was significantly higher in CAFs from HCCs than in benign fibrous stroma from chronic hepatitis/cirrhosis specimens ($P = 0.012$).

The EMA expression rate was significantly higher in HCCs with CCN2 expression, compared to those without ($P = 0.046$). Ten cases (58.8%, 10/17) were positive for EMA among CCN2 positive specimens, while EMA was expressed in only seven (28.0%, 7/25) CCN2 negative specimens. Moreover, the EMA expression rate was also significantly higher in HCCs with FAP expression, compared to those without ($P = 0.020$), in this cohort. Fifteen cases (53.6%, 15/28) were positive for EMA among FAP positive specimens, while EMA was expressed in only two (14.3%, 2/14) FAP negative specimens. Additionally, EMA expression was associated with K19 expression; K19 was positive in 52.9% (9/17) of EMA positive cases and negative in 20.0% (5/25) of EMA negative cases ($P = 0.026$). The expressions of CCN2 and FAP showed no significant correlation with each other ($P = 0.331$); 13 cases (76.5%, 13/17) were positive for FAP among CCN2 positive specimens and 15 cases (60.0%, 15/25) were positive for FAP among CCN2 negative specimens.

CCN2 was diffusely expressed in tumor epithelial cells without a specific pattern and the CCN2-expressing tumor cells were closely surrounded by tumor stroma with FAP-expressing CAFs (Figure 3. A–C). EMA exhibited focal or diffuse expression in the cytoplasm and/or membranes of tumor epithelial cells. The

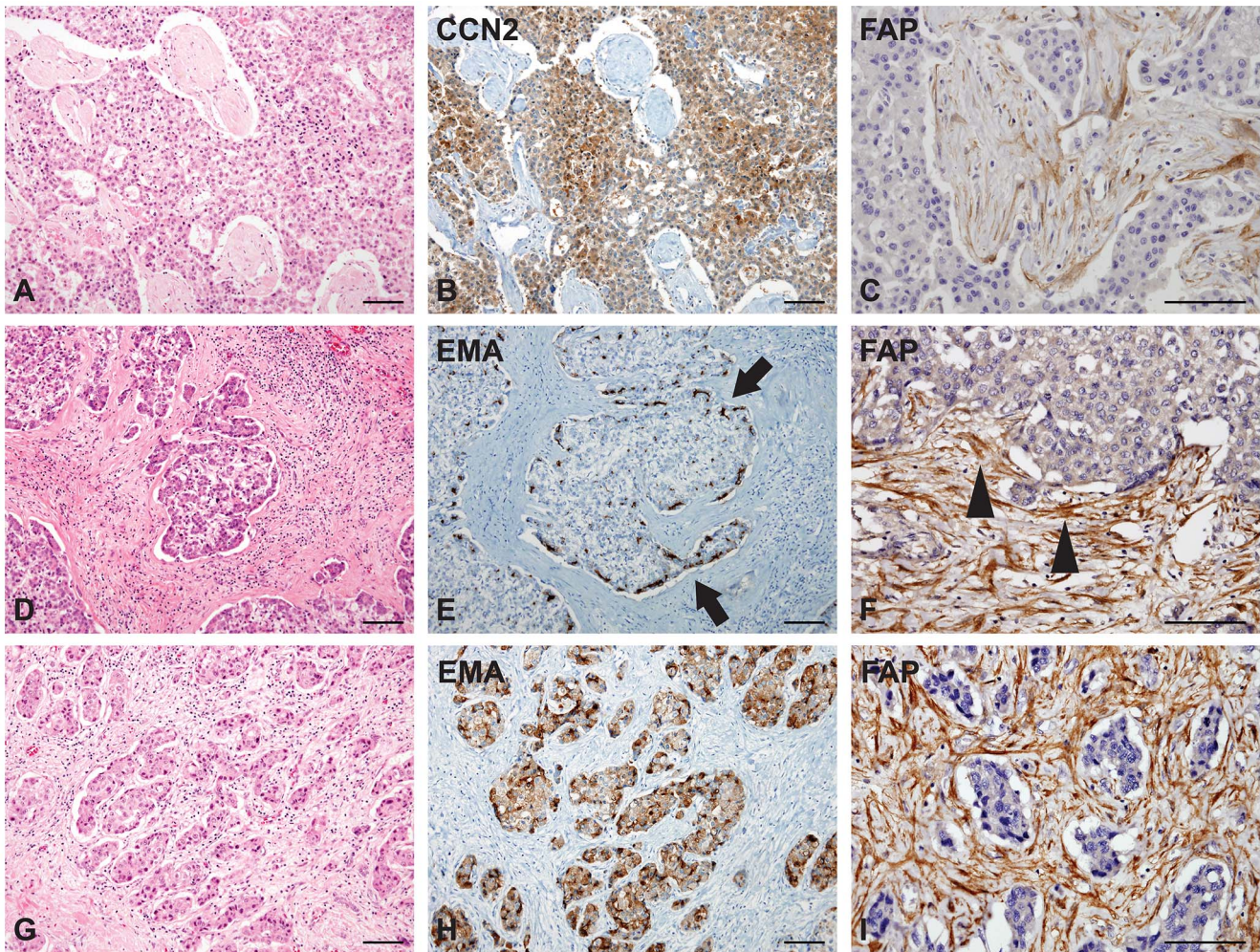


Figure 3. Connective tissue growth factor (CCN2), epithelial membrane antigen (EMA), and fibroblast activation protein (FAP) expression in scirrhous hepatocellular carcinomas (HCCs with abundant fibrous stroma) of cohort 2. A–C) CCN2 (B) is diffusely expressed in the nests of tumor epithelial cells, and the tumor stromal cells between the nests of tumor epithelial cells exhibit strong FAP expression (C). D–F) EMA is mainly expressed in the periphery (E, arrows) of large tumor nests in contact with FAP-expressing cancer-associated fibroblasts (CAFs) of tumor fibrous stroma (F, arrowheads). G–I) HCCs with small nests or a trabecular pattern show diffuse expression for EMA in the tumor epithelial cells (H), which are closely admixed with FAP-expressing CAFs of tumor stroma. (Scale bars represent 100 μ m.)
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topographical expression pattern thereof was further assessed in 17 cases positive for EMA expression, including six cases of a large tumor nest pattern and 11 cases of a small tumor nest/trabecular pattern. Interestingly, EMA expression patterns demonstrated topographic closeness between the tumor epithelial cells and CAFs of tumor stroma: EMA expression was noted at the periphery of tumor nests, where the tumor cells were more closely in contact with the FAP-expressing tumor stroma in 50% (3/6) of cases with a large tumor nest pattern (Figure 3. D–F). Meanwhile, all 11 cases of a small nest/trabecular pattern showed diffuse expression of EMA, and tumor cells expressing EMA were surrounded by FAP-expressing tumor stroma (Figure 3. G–I).

The clinicopathological features of HCC according to the expressions of CCN2, EMA, and FAP in HCCs with fibrous stroma are summarized in Table 2. CCN2 expression rate was significantly higher in large tumors (≥ 5 cm), compared to small ones (< 5 cm) ($P = 0.023$). FAP expression rate was significantly higher in HCCs with vascular invasion, compared to those without ($P = 0.030$).

Prognostic significance of CCN2, EMA, and FAP expression

All HCC patients underwent curative resection, and the mean follow-up times were 31.2 months (range, 0–76) in cohort 1 and 44.6 months (range, 8–138) in cohort 2.

Among the 314 HCC patients of cohort 1, DFS rate was significantly lower in HCC patients expressing CCN2, compared to those that did not ($P = 0.005$, Figure 4A); EMA and FAP expression was not associated with patient outcomes in this cohort ($P = 0.362$ and $P = 0.287$, respectively) (Figure 4. B–C). Univariable analysis revealed background cirrhosis ($P = 0.002$), large tumor size (≥ 5 cm) ($P = 0.001$), high Edmondson grade ($P = 0.007$), vascular invasion ($P < 0.001$), multiple tumors ($P < 0.001$), tumor stage III/IV ($P < 0.001$), and CCN2 expression ($P = 0.005$) to be adverse prognostic factors for DFS after surgery. Subsequent multivariable analysis indicated background cirrhosis (HR = 1.815, $P = 0.004$) and vascular invasion (HR = 1.764, $P = 0.015$) as independent prognostic factors for DFS after surgery; CCN2 expression was not significant in multivariate

Table 2. Clinicopathological characteristics of scirrhous HCCs according to CCN2, EMA, and FAP expression in cohort 2 (n=42).

	CCN2 (in tumor epithelial cells)		P	EMA (in tumor epithelial cells)		P	FAP (in tumor stromal cells)		P
	Positive (%)	Negative (%)		Positive (%)	Negative (%)		Positive (%)	Negative (%)	
Frequency	17 (40.5)	25 (59.5)		17 (40.5)	25 (59.5)		28 (66.7)	14 (33.3)	
Sex			0.859			0.505			0.238
Female	5 (29.4)	8 (32.0)		4 (23.5)	9 (36.0)		7 (25.0)	6 (42.9)	
Male	12 (70.6)	17 (68.0)		13 (76.5)	16 (64.0)		21 (75.0)	8 (57.1)	
Age (years)			0.753			0.346			1.000
<55	8 (47.1)	13 (52.0)		10 (58.8)	11 (44.0)		14 (50.0)	7 (50.0)	
≥55	9 (52.9)	12 (48.0)		7 (41.2)	14 (56.0)		14 (50.0)	7 (50.0)	
Etiology			1.000			1.000			1.000
Non-viral	3 (17.6)	5 (20.0)		3 (17.6)	5 (20.0)		5 (17.9)	3 (21.4)	
HBV	13 (76.5)	20 (80.0)		14 (82.4)	19 (76.0)		22 (78.6)	11 (78.6)	
HCV	1 (5.9)	0 (0.0)		0 (0.0)	1 (4.0)		1 (3.5)	0 (0.0)	
Cirrhosis			0.542			0.963			1.000
Absent	10 (58.8)	17 (68.0)		11 (64.7)	16 (64.0)		18 (64.3)	9 (64.3)	
Present	7 (41.2)	8 (32.0)		6 (35.3)	9 (36.0)		10 (35.7)	5 (35.7)	
Tumor size (cm)			0.023			0.324			0.653
<5	7 (41.2)	19 (76.0)		9 (52.9)	17 (68.0)		18 (64.3)	8 (57.1)	
≥5	10 (58.8)	6 (24.0)		8 (47.1)	8 (32.0)		10 (35.7)	6 (42.9)	
Edmondson grade			0.346			0.116			0.100
I/II	7 (41.2)	14 (56.0)		6 (35.3)	15 (60.0)		11 (39.3)	10 (71.4)	
III/IV	10 (58.8)	11 (44.0)		11 (64.7)	10 (40.0)		17 (60.7)	4 (28.6)	
Capsule formation			0.158			1.000			0.545
Absent	2 (11.8)	0 (0.0)		1 (5.9)	1 (4.0)		2 (7.1)	0 (0.0)	
Present	15 (88.2)	25 (100.0)		16 (94.1)	24 (96.0)		26 (92.9)	14 (100.0)	
Vascular invasion			0.731			0.731			0.030
Absent	4 (23.5)	8 (32.0)		4 (23.5)	8 (32.0)		5 (17.9)	7 (50.0)	
Present	13 (76.5)	17 (68.0)		13 (76.5)	17 (68.0)		23 (82.1)	7 (50.0)	
Multiplicity			0.286			1.000			0.590
Single	14 (82.4)	24 (96.0)		15 (88.2)	23 (92.0)		26 (92.9)	12 (85.7)	
Multiple	3 (17.6)	1 (4.0)		2 (11.8)	2 (8.0)		2 (7.1)	2 (14.3)	
Stage (by AJCC)			0.556			1.000			0.254
I-II	15 (88.2)	24 (96.0)		16 (94.1)	23 (92.0)		27 (96.4)	12 (85.7)	
III-IV	2 (11.8)	1 (4.0)		1 (5.9)	2 (8.0)		1 (3.6)	2 (14.3)	
K19 expression			0.824			0.026			0.313
Negative	11 (64.7)	17 (68.0)		8 (47.1)	20 (80.0)		17 (60.7)	11 (78.6)	

Table 2. Cont.

	CCN2 (in tumor epithelial cells)		EMA (in tumor epithelial cells)		FAP (in tumor stromal cells)	
	Positive (%)	Negative (%)	Positive (%)	Negative (%)	Positive (%)	Negative (%)
Positive	6 (35.3)	8 (32.0)	9 (52.9)	5 (20.0)	11 (39.3)	3 (21.4)

HCC, Hepatocellular carcinoma; CCN2, Connective tissue growth factor; EMA, Epithelial membrane antigen; FAP, Fibroblast activation protein.
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analysis (HR = 1.561, $P = 0.056$, Table 3). Additionally, OS rate was not significant different according to expression of these markers ($P > 0.05$).

In cohort 2, consisting of 42 scirrhus HCCs, DFS rates were significantly lower for both CCN2-positive and EMA-positive specimens, compared to negative specimens ($P = 0.023$ and $P = 0.048$, respectively, Figure 4. D–E). Nevertheless, there were no differences in OS rates according to CCN2 and EMA expression ($P = 0.484$ and 0.230 , respectively). As well, expression of FAP showed no correlation with DFS ($P = 0.283$, Figure 4F) and OS ($P = 0.820$), respectively.

Discussion

Tumor behavior is affected by not only malignant tumor cells themselves but also by the tumor microenvironment, including CAFs [2,4,5]. Although, HCCs usually show no or only little amounts of fibrous stroma, in our previous study, we found that so called scirrhus HCCs, HCCs with abundant fibrous stroma, exhibit an aggressive biological behavior, along with expression of stemness-related markers and activation of TGF- β signature and EMT-related genes [12]. These findings suggest tumor-stroma interaction in HCC; however, the activation mechanisms thereof remain unclear.

FAP was initially identified as being expressed in reactive fibroblasts for embryonic development or in chronic inflammation [25,26]. More importantly, FAP is recognized as a marker of CAFs, and is reported to increase stromal cell proliferation and invasiveness, reduce cell apoptosis, and to be associated with worse prognosis in colon cancer and pancreas cancer [21–24]. The present study found that FAP is expressed predominantly in CAFs from the tumor fibrous stroma of HCCs, and is significantly correlated with frequent vascular invasion in scirrhus HCCs. In contrast, FAP expression was rarely found in benign fibrotic tissue of chronic hepatitis/cirrhosis. These findings suggest that FAP is involved in the activation of CAFs in tumor stroma, which differ from benign fibroblasts in the fibrous tissue of chronic hepatitis/cirrhosis.

An *in vitro* co-culture model study of human hepatoma cells and activated HSCs demonstrated increases in EMA mRNA when those cells were cultured together, compared to culture of stromal cells alone [16]. Our study revealed significantly higher rates of EMA expression in HCCs with fibrous stroma ($\geq 5\%$ of tumor area), compared to those without (cohort 1), and this was related to poor DFS in scirrhus HCC patients (cohort 2). These findings were consistent with previous reports that EMA was a poor prognostic factor in HCC [17,27]. Interestingly, in HCCs with large tumor nests, EMA expression was higher at the peripheral portions of the tumor nests where tumor cells were more closely in contact with FAP-expressing CAFs. Meanwhile, in HCCs with small nest/trabecular pattern, EMA expression was rather diffuse: the tumor cells closely intermingled with CAFs expressing FAP. This topographic expression pattern that suggests topographic closeness between the EMA-expressing tumor cells and CAFs of tumor stroma, which was similar to that of K19 expression in HCCs with fibrous stroma reported in our previous study [12]. Furthermore, the frequency of EMA expression was shown to be significantly correlated with that of FAP expression in scirrhus HCCs (cohort 2). Taken together, we discerned that EMA and FAP may be important in tumor-stroma cross-talk via activation of CAFs. To our knowledge, this is the first study to verify topographically the expression patterns of EMA in human HCC tissues with activated CAFs.

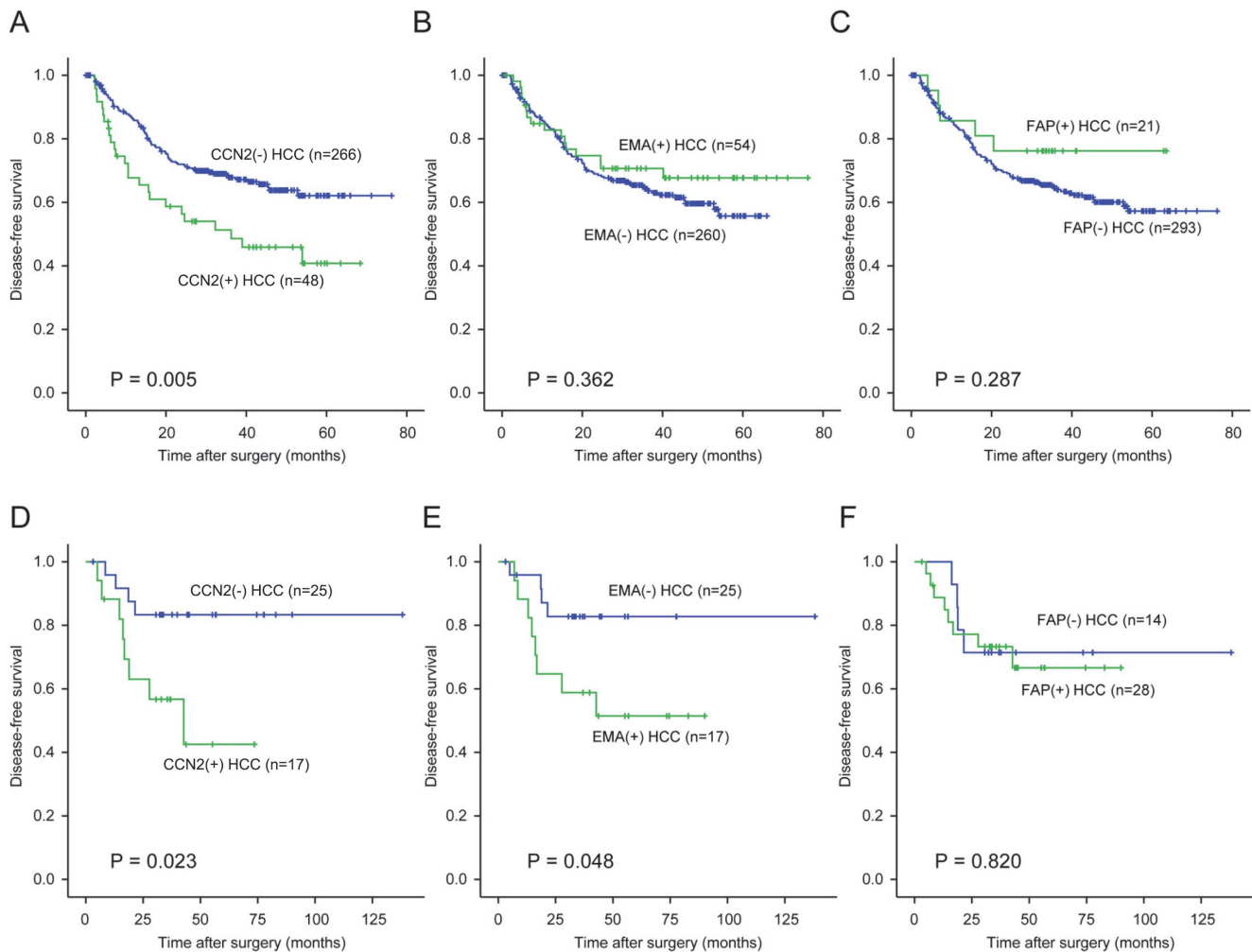


Figure 4. Disease-free survival (DFS) analysis of hepatocellular carcinoma (HCC) patients according to the expressions of connective tissue growth factor (CCN2), epithelial membrane antigen (EMA), and fibroblast activation protein (FAP). A–C) DFS analysis of HCC patients in cohort 1. HCC patients with positive expression of CCN2 (A) exhibit a significantly worse DFS curve compared to those without ($P=0.005$). There is no significant difference in DFS rate according to expression of EMA (B) or FAP (C). D–F) DFS analysis of scirrhou HCC patients (cohort 2). CCN2 (D) and EMA (E) expression significantly influences DFS rates among scirrhou HCC patients ($P=0.023$ and $P=0.048$, respectively), whereas there is no significant difference in DFS rate according to FAP expression (F). doi:10.1371/journal.pone.0105094.g004

CCN2 is a fibrogenic cytokine that mediates almost all fibrotic processes [13]. Overexpression of CCN2 in fibroblasts produces large amounts of extracellular matrix and enhances benign fibrotic changes in the pancreas, kidney, lung, and liver [28–31]. In addition to benign fibrotic processes, CCN2 overexpression is also known to be responsible for pathologic fibrosis, including desmoplastic reaction in cancer [32]. Inhibition of TGF- β , which is typically activated in HCCs with fibrous stroma [12], was reported to downregulate CCN2 and block tumor-stroma cross-talk and tumor progression in HCC [14]. Two prior studies assessing the prognostic effects of CCN2 expression in HCCs disclosed that the expression levels of intra tumoral CCN2 were significantly higher in HCCs with bone metastasis [33,34]. Moreover, the CCN2 mRNA was expressed in tumor cells of EMT-phenotype in HCC, facilitating migration, invasion, and progression of the tumor cells *in vitro* [35]. In accordance with these studies, we discovered that CCN2 expression is related to more infiltrative growth without tumor capsule and worse DFS in HCCs. Although CCN2 is well known as fibrogenic cytokine, to

our knowledge, no study has reported on a relationship between CCN2 expression and tumor fibrous stromal components in HCC. Herein, we demonstrated significant CCN2 expression in HCCs with fibrous stroma ($\geq 5\%$ of the tumor area) (cohort 1) and even greater expression in scirrhou HCCs (fibrous stroma $\geq 50\%$ of the tumor area) (cohort 2). The expression of CCN2 was correlated with absence of capsule formation, which is a characteristic pathological feature of invasive tumor growth, as well as frequent K19 expression (cohort 1), larger tumor size (cohort 2), and shorter disease free survival (cohort 1 and 2). Furthermore, expression of CCN2 was shown to be associated with EMA expression in both cohorts, which seems to be important in epithelial-stromal interactions in HCC. Taken together, we suggest that CCN2 expression is involved in the activation of CAFs and tumor fibrous stroma formation, which is related to the aggressive biological behavior of HCC. [12]

Interestingly, CCN2 expression was well correlated with K19 expression in the HCC specimens of this study. We previously reported that HCCs expressing stemness-related markers, such as

Table 3. Univariate and multivariate analysis of disease-free survival rate for HCC in cohort 1.

	Univariate			Multivariate		
	HR	95% CI	P	HR	95% CI	P
Sex						
Female	1					
Male	0.989	0.620–1.579	0.965			
Age (years)						
<55	1					
≥55	1.200	0.820–1.755	0.349			
Etiology						
Non-viral	1					
Viral	0.939	0.536–1.645	0.825			
Cirrhosis						
Absent	1			1		
Present	1.859	1.251–2.761	0.002	1.815	1.208–2.729	0.004
Tumor size (cm)						
<5	1			1		
≥5	1.977	1.309–2.986	0.001	1.533	0.952–2.468	0.079
Edmondson grade						
I/II	1			1		
III/IV	1.685	1.150–2.469	0.007	1.186	0.779–1.804	0.427
Vascular invasion						
Absent	1			1		
Present	2.179	1.437–3.302	<0.001	1.764	1.118–2.784	0.015
Multiplicity						
Single	1			1		
Multiple	2.250	1.470–3.445	<0.001	1.399	0.787–2.488	0.253
Stage (by AJCC)						
I–II	1			1		
III–IV	4.652	2.636–8.211	<0.001	2.214	0.921–4.898	0.077
Fibrous stroma						
Not abundant	1					
Abundant	0.931	0.548–1.581	0.790			
K19 expression						
Negative	1					
Positive	0.952	0.609–1.487	0.828			
CCN2 expression						
Negative	1			1		
Positive	1.886	1.207–2.948	0.005	1.561	0.989–2.465	0.056
EMA expression						
Negative	1					
Positive	0.782	0.460–1.329	0.363			
FAP expression						
Negative	1					
Positive	0.617	0.252–1.515	0.292			

HCC, Hepatocellular carcinoma; CCN2, Connective tissue growth factor; EMA, Epithelial membrane antigen; FAP, Fibroblast activation protein.
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K19, exhibited greater formation of fibrous stroma, more vascular invasion, and more aggressive clinical outcomes upon activation of EMT-related genes [36]. The correlations between CCN2, K19, and fibrous stroma are of interest, in that they might imply that

stemness is regulated by tumor stroma, as in various other tumors. [37–39] Accordingly, the underlying molecular mechanisms thereof should be further investigated, as delineating the micro-

environmental regulation of stemness might provide new targets for cancer therapy. [40]

In conclusion, the expressions of CCN2, EMA, and FAP may be involved in the formation of tumor fibrous stroma, along with activation of CAFs in HCC, giving rise to aggressive behavior. Significant correlation between EMA-expressing tumor cells and FAP-expressing CAFs and their topographic closeness suggest possible cross-talk between epithelial cells and stromal cells in the tumor microenvironment of HCC.

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Author Contributions

Conceived and designed the experiments: GJK HR YNP. Performed the experiments: GJK HR JEY HK JSC YNP. Contributed reagents/materials/analysis tools: JEY JEK JSL HK JSC. Wrote the paper: GJK HR YNP.