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# **Gastric Microbiome and Gastric Cancer**

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### **Abstract**

Cancer of the stomach is the fourth most common cancer worldwide. The single strongest risk factor for gastric cancer is *Helicobacter pylori*-associated chronic gastric inflammation. Among persons with *H. pylori* infection, strain-specific components, host immune responses, and environmental factors influence the risk for gastric disease, including adenocarcinoma of the stomach, although only a small proportion of infected persons develop the malignancy. Recent advances in DNA sequencing technology have uncovered a complex community of noncultivatable inhabitants of the human stomach. The interaction between these inhabitants, collectively referred to as the gastric microbiota, and *H. pylori* likely impacts gastric immunobiology and possibly the sequelae of *H. pylori* infection. Thus, characterization of the gastric microbiota in subjects with and without *H. pylori* infection could provide new insight into gastric homeostasis and the pathogenesis of *H. pylori*-associated disease, including gastric cancer.

### **Keywords**

Cancer; stomach; *H. pylori*; microbiota

### **Introduction**

Gastric adenocarcinoma is the second leading cause of cancer-related death worldwide, with approximately 700,000 new cases diagnosed each year. An extremely aggressive malignancy, gastric cancer has a 5-year survival rate in the United States of less than  $15\%$ <sup>1</sup>. Gastric cancer is classified into the less common diffuse type and the more common intestinal type. Diffuse type gastric adenocarcinoma tends to affect younger people and does not progress through distinct histological stages, whereas intestinal type adenocarcinoma is characterized by histological progression from *H. pylori*-associated inflammatory cell invasion to atrophic gastritis, intestinal metaplasia, dysplasia and ultimately adenocarcinoma.

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The strongest risk factor for gastric cancer is chronic *H. pylori* infection. Consequently, gastric cancer is more common in developing countries, where *H. pylori* is endemic, than in developed countries, where *H. pylori* is substantially less common. However, only 1–2% of persons infected with *H. pylori* develop stomach cancer<sup>2</sup>. Factors that contribute to susceptibility to gastric cancer include virulence among  $H$ , *pylori* strains<sup>3,4</sup>, duration of infection<sup>5</sup>, host genetic polymorphisms<sup>6,7</sup> and environmental factors such as diet<sup>8,9</sup>. Similar to the influence of the microbiota in the lower gastrointestinal tract on human health and disease<sup>10</sup>, the microbiota of the stomach likely influences gastric immunobiology and possibly gastric disease.

In this review, we first discuss the co-evolutionary history of *H. pylori* and humans and the possible implications of the eradication of this ancient member of the human gastric microbiota. We next summarize the role of *H. pylori* in gastric cancer pathogenesis. We then discuss how *H. pylori* may influence the overall composition of the gastric microbiota and the potential role of the stomach microbiota in the progression to stomach cancer.

### **The Ancient Relationship between Humans and H. pylori**

*Helicobacter* species are present in the gastrointestinal tracts of many mammals and birds, often exhibiting a host-specific relationship<sup>11</sup>. These associations suggest a co-evolutionary relationship between the bacteria and its host. Evidence for *H. pylori*-host co-evolution is provided by molecular epidemiology studies that compared nucleotide sequences of different *H. pylori* strains and *in vivo* mutation rates to calculate the minimum amount of time since the appearance of the last common ancestor of *H. pylori*12. These studies indicate that the last common bacterial ancestor existed at least 2,500 to 11,000 years ago. Furthermore, the genetic diversity among *H. pylori* strains, like genetic diversity among humans, decreases with distance from East Africa, suggesting *H. pylori* co-evolved with humans at least since their migration out of East Africa nearly 60,000 year ago<sup>12,13</sup>. Thus, *H. pylori* can be regarded as a component of the normal gastric microbiota in humans. Importantly, the phylogenetic origin of *H. pylori* is a strong predictor of the risk for gastric cancer, as *H. pylori* Europe strains are more strongly associated with advanced histological lesions and increased DNA damage than *H. pylori* Africa strains<sup>14</sup>.

The co-evolution of *H. pylori* with anatomically modern humans is the basis for speculation that the relatively sudden eradication of the bacterium in the modern era through improved hygiene and the widespread use of antibiotics, at least in the developed world, have affected stomach physiology and immunology15. Indeed, the rise of disorders, such as acid-related esophageal diseases, metabolic diseases, and allergic disorders, correlates with the decreasing prevalence of *H. pylori*, particularly in industrialized countries during the past century. Conversely, in regions of the world where *H. pylori* is still relatively common, human populations appear protected from these same diseases and disorders.

*H. pylori* most commonly colonizes the corpus, rather than the antrum, of the stomach, leading to chronic pan-gastric inflammation. Corpus-dominant infection leads to gastric mucosal atrophy, with an increase in gastric pH due to loss of acid-producing parietal cells and more closely mimics the microenvironment of the stomach of our early ancestors. Thus,

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from an evolutionary perspective, the reduced prevalence of *H. pylori* colonization and the resultant high stomach acid content could be considered a physiological deviation from the historically achlorhydric stomach<sup>11</sup>. Accordingly, the reduced prevalence of *H. pylori* beginning in the  $20<sup>th</sup>$  century correlates with an increase in the rate of gastroesophageal reflux disease, Barrett's esophagus, and esophageal adenocarcinoma, at least in North America and Europe<sup>16</sup>.

The gastric corpus produces most of the body's ghrelin, a hormone that signals immediate hunger and appetite<sup>17</sup>. *H. pylori*-infected persons have lower ghrelin levels due to the decrease in ghrelin-producing cells caused by gastric atrophy, and *H. pylori* eradication increases ghrelin levels $18,19$ . Consistent with these observations, cross-sectional studies show that  $H.$  pylori-infected children tend to weigh less than uninfected children<sup>20</sup>. Similarly, *H. pylori*-infected adults in East Asia tend to have a lower body mass index than non-infected adults, and eradication of *H. pylori* is associated with weight gain<sup>21,22</sup>. Although direct evidence is lacking, the available data provide a plausible link between the absence of *H. pylori* and metabolic diseases such as obesity and type 2 diabetes.

According to the hygiene hypothesis, childhood exposure to infections stimulate the developing immune system and protects against allergic and autoimmune diseases through the induction of regulatory T cell (Treg) responses. In recent years, the disappearing microbiota hypothesis has been proposed as an alternative hypothesis<sup>15</sup>. This hypothesis contends that the organisms that protect against allergy and autoimmunity are members of the human microbiota, such as *H. pylori*, that have long been present in the species but are gradually disappearing. In support of this hypothesis, the declining prevalence of *H. pylori* bearing the virulence factor CagA correlates, in part, with an increasing prevalence of certain atopic and allergic diseases  $23$ . Mechanistically, such an association can be explained. in part, by the ability of *H. pylori* to induce a strong gastric and systemic Treg response<sup>24–26</sup>.

# **The Role of H. pylori in Gastric Cancer Pathogenesis**

The two best studied *H. pylori* virulence factors, VacA and CagA, have been linked to the bacterium's carcinogenic potential. The protein VacA is present in all strains of *H. pylori*, although considerable DNA sequence variation in the *vacA* gene results in variable degrees of cellular toxicity and disease severity<sup>27</sup>. VacA induces pore formation in target host cells and promotes apoptosis of gastric epithelial cells, possibly by interfering with mitochondrial function<sup>28</sup>. VacA can also bind to  $CD4^+$  T cells and, after internalization, prevents dephosphorylation of the transcription factor nuclear factor of activated T cells (NFAT). Phosphorylated NFAT remains in the cytosol and therefore cannot activate its target genes such as interleukin (IL)-2 and the IL-2 receptor, resulting in inhibition of antigen-dependent T cell proliferation<sup>29</sup>. In addition, VacA exerts immunosuppressive effects by inducing dendritic cells to express and release the anti-inflammatory cytokines IL-10 and IL-18, which promote Treg differentiation<sup>30,31</sup>. These immunosuppressive activities of VacA likely promote *H. pylori* evasion of the host immune system and a dampened immune response that may enhance gastric tumor survival. Indeed, *H. pylori* strains that express more active forms of VacA *in vitro* are associated with higher rates of stomach cancer than strains that possess less active forms of the virulence factor $27,32,33$ .

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The *cag* pathogenicity island, a collection of genes that, unlike the *vacA* gene, is not present in every strain of *H. pylori*. Genes within the *cag* pathogenicity island encode proteins that form a type IV bacterial secretion system (T4SS) that injects bacterial components into host cells. One such component, the protein CagA, can undergo phosphorylation upon entering gastric epithelial cells, leading to morphological aberrations, including cell scattering and elongation. In addition, unmodified CagA binds to and inactivates Par1b, resulting in the loss of cell polarity<sup>34</sup>. Transgenic expression of CagA in mice results in gastric epithelial cell proliferation (and a corresponding resistance to apoptosis) and the appearance of carcinomas, leading to the classification of CagA as a bacterial oncoprotein<sup>35</sup>. In addition to CagA itself, the T4SS also injects *H. pylori* peptidoglycan into gastric epithelial cells, activating the PI3K pathway and stimulating cell migration, which also may contribute to carcinogenesis<sup>36</sup>.

A host protein that has received strong attention for its role in the development of carcinogenesis in association with *H. pylori* is β-catenin, a downstream component of the Wnt signal transduction pathway. In the absence of Wnt ligand, cytoplasmic β-catenin is bound within an inhibitory complex consisting of axin, adenomatous polyposis coli (APC), and glycogen synthase kinase-3β (GSK3β). GSK3β constitutively phosphorylates β-catenin, leading to its degradation. Upon Wnt binding to the receptor Frizzled, Dishevelled is activated, preventing GSK3β from phosphorylating β-catenin. Non-phosphorylated β-catenin then is able to translocate into the nucleus and direct the transcription of target genes involved in carcinogenesis. β-catenin is over-expressed or *APC* is mutated in 50% of gastric adenocarcinoma samples, and its localization in the nucleus is increased in gastric adenomas and areas of dysplasia<sup>37</sup>, suggesting aberrant activation of β-catenin is a prerequisite step in the development of stomach cancer. *H. pylori* induces expression of β-catenin target genes in stomach mucosal tissue *in vitro* and in co-cultures with gastric epithelial cells, providing support for the possibility that activation of  $\beta$ -catenin is an important event in which *H*. *pylori* initiates carcinogenesis.

*H. pylori* induces β-catenin activity through injection of CagA into gastric epithelial cells. In addition to its cytoplasmic form, β-catenin also exists in a membrane-bound form that links the E-cadherin receptor to the actin cytoskeleton. Intracellular CagA interacts with Ecadherin, disrupting its association with β-catenin and allowing its translocation into the nucleus. The result of this translocation is the activation of genes involved in gastric cancer, such as caudal type homeobox 1 (*CDX1*) <sup>38</sup>, which encodes an intestine-specific transcription factor that is required for the intestinal metaplasia observed in intestinal type gastric adenocarcinoma. CagA also induces β-catenin activity through the activation of the PI3K-AKT pathway. AKT is phosphorylated by PI3K. Phosphorylated AKT then phosphorylates and inactivates GSK3β, releasing β-catenin for nuclear translocation. Sustained activity of the PI3K-AKT pathway is made possible by CagA binding to the hepatocyte growth factor receptor MET<sup>39</sup>. In addition to CagA, other *H. pylori* constituents such as VacA<sup>40</sup> and the adhesion molecule  $OipA<sup>41</sup>$  have been shown to mediate β-catenin nuclear localization.

Activation of the PI3K-AKT axis in gastric epithelial cells is also made possible by transactivation of the epidermal growth factor receptor (EGFR) by *H. pylori*. Transactivation is dependent on the cleavage of EGFR membrane-bound ligands by the disintegrin and

metalloproteinase (ADAM) family of proteinases $42$ . Upon ligand stimulation, EGFR dimerizes and phosphorylates PI3K, leading not only to β-catenin nuclear localization but also to increased migration<sup>43</sup> and resistance to apoptosis<sup>44</sup>.

# **The Relationship between H. pylori and Other Members of the Gastric Microbiota**

Culture-based studies previously suggested that the stomach was essentially sterile in normal subjects due to its hostile gastric environment. However, recent advances in both DNA sequencing of the conserved rRNA genes for phylogenetic analysis and computational methods have uncovered an exceedingly rich and complex microbiota in the human gastrointestinal tract<sup>10</sup>, including the stomach. The majority of the gastric microbiota in humans belong to five phyla, including *Bacteroidetes, Firmicutes, Proteobacteria, Actinobacteria*, and *Fusobacteria*. Among these phyla, more than 100 phylotypes have been identified<sup>45</sup>.

Chronic *H. pylori* infection causes reduced gastric acidity and perturbs nutrient availability and local innate responses. Together, these *H. pylori*-associated changes in gastric physiology and immunology likely predispose to alterations in the composition of the gastric microbiota (Figure 1). The effect of *H. pylori* on the composition of the gastric microbiota, however, is incompletely understood. In specific pathogen free (SPF) BALB/c mice, the colonization by *H. pylori* results in the reduced abundance of *Lactobacillus* spp.46 In transgenic insulin-gastrin (INS-GAS) mice, *H. pylori* elevated abundance of *Firmicutes* and a reduction in *Bacteroidetes*47. In contrast, *H. pylori* infection had no effect on the gastric microbiota composition in conventional C57BL/6 mice<sup>49</sup>. However, the administration of antibiotics to C57BL/6 mice altered the composition of the gastric microbiota, and antibiotic-treated mice responded to *H. pylori* infection with less severe inflammation than untreated mice<sup>50</sup>. The antibiotic-treated mice contained increased numbers of cluster IV and XIVa *Clostridium* spp., bacteria known to promote Treg responses in colonic mucosa<sup>51</sup>. The ability of *H. pylori* to alter the gastric microbiota of mice is likely determined by several factors, including the genetic background of the mouse, the strain of *H. pylori*, and the length of infection.

The effect of *H. pylori* on the microbiota of the human stomach has been examined in a limited number of studies. Bik et al.45 reported that *H. pylori* had no effect on the composition of the adult human gastric microbiota, whereas Maldonado-Contreras et al.<sup>52</sup> showed that *H. pylori*-infected adults tended to have a higher abundance of *Spirochetes, Acidobacteria* and non-*Helicobacter Proteobacteria* and a relative decrease in members of the *Actinobacteria, Bacteroidetes* and *Firmicutes* compared with uninfected adult subjects. The difference between the results of these two studies could be related to differences in the method used to detect bacterial DNA. Bik et al. used a cloning approach, while Maldonado-Contreras et al. performed DNA microarrays. Another group has reported that the stomach mucosa of children is rarely colonized by non-*Helicobacter* bacteria, regardless of *H. pylori* status<sup>53</sup>. However, the later group used a culture-based approach that would have excluded non-cultivatable bacteria from detection.

## **The Role of the Gastric Microbiota in Gastric Cancer**

Intestinal-type gastric adenocarcinoma is the final stage in the progression from *H. pylori*induced gastritis to gastric atrophy, metaplasia, dysplasia, and finally carcinoma. Despite the effects of *H. pylori* on carcinogenesis summarized above, mucosal atrophy seems to be the most important step in the pathogenesis of gastric cancer. Although treatment for presumed *H. pylori* infection in patients that have developed gastric atrophy may sometimes limit the development of cancer, the lesion nevertheless frequently progresses to cancer<sup>54</sup>. In contrast, eradication of *H. pylori* before the onset of atrophy appears to protect against gastric cancer55. Thus, a key, albeit unresolved, issue is how the gastric microbiota interacts with *H. pylori*, namely, does the gastric microbiota facilitate a more virulent *H. pylori* or, vice versa, does *H. pylori* impact the microbiota to promote carcinogenesis, (Figure 2).

In the INS-GAS mouse model of spontaneous gastric cancer, *H. pylori* accelerated the development of gastric intraepithelial neoplasia, and mice given *H. pylori*-eradicating antibiotics at 8 weeks post-infection were significantly more protected from neoplasia than mice that received antibiotics at 12 and 22 weeks post-infection<sup>56</sup>. Importantly, similar eradication therapy in age-matched *Helicobacter*-free INS-GAS mice also significantly delayed the onset of neoplasia. Gastric atrophy due to autoimmune gastritis also is a risk factor for gastric adenocarcinoma. Taken together, these findings suggest that gastric atrophy induced by *H. pylori* or other factors creates favorable conditions, such as overgrowth of non-*H. pylori* bacteria from the intestine, for gastric carcinogenesis. The observation that earlier antibiotic therapy was more effective in protecting against gastric cancer in both *H. pylori*-infected and non-infected mice may be related to earlier eradication of other cancer-potentiating gastric microbiota.

Further evidence that the gastric microbiota contributes to stomach cancer was provided by Lofgren et al.<sup>47</sup>. These investigators demonstrated that germ-free INS-GAS mice were slower to develop atrophic gastritis and intra-epithelial neoplasia than specific pathogen free (SPF) INS-GAS mice. *H. pylori*-mono-association accelerated development of the atrophy and neoplasia compared with mice that remained germ-free, but the gastritis was less severe and the onset of the neoplasia was delayed compared with *H. pylori*-infected INS-GAS mice that possessed a complex microbiota. Interestingly, male INS-GAS mice infected with *H. pylori* displayed earlier and more severe gastric pathology than their female counterparts, similar to the higher proportion of stomach cancer in men than women $48$ .

The mechanism(s) by which *H. pylori* and its associated microbiota promotes the atrophy that lead to cancer is unclear. One hypothesis is that DNA-damaging reactive oxygen species (ROS) and reactive nitrogen species (RNS) produced by the bacterial communities contributes to carcinogenesis. Mowat et al.<sup>57</sup> compared the effects of the proton pump inhibitor omeprazole, a common component in *H. pylori*-eradication therapy, on *H. pylori*positive and negative patients. During treatment, *H. pylori*-infected persons had a higher gastric pH than non-infected subjects and harbored a significantly greater proportion of non-*H. pylori* bacteria in their stomachs. Some of the non-*H. pylori* bacteria included nitrosating species, bacteria capable of converting nitrite and other nitrogen compounds in gastric fluid to potentially carcinogenic *N*-nitroso compounds. Nitrite in a healthy stomach is virtually

undetectable due to the action of stomach acid, but nitrite levels are increased during hypochlorhydria, providing a mechanistic link between atrophy and stomach cancer.

A major goal of microbiota studies is to identify the bacterial species responsible for promoting pathogenic changes that might contribute to carcinogenesis in the stomach. Lertpiriyapong et al.<sup>58</sup> addressed this issue using gnotobiotic INS-GAS mice that were colonized with only three species of Altered Schaedler's flora (ASF), including ASF356 *Clostridium* species, ASF361 *Lactobacillus murinus* and ASF519 *Bacteroides* species. Compared with germ-free INS-GAS mice, mice that were colonized with the restricted ASF (rASF) had more pronounced gastric pathology, including gastric corpus inflammation, epithelial hyperplasia, and dysplasia. Interestingly, rASF mice had similar pathology to *H. pylori*-free SPF mice, although neither group developed gastric neoplasia at the age equivalent of seven months post-infection with *H. pylori*. However, 46% of rASF-colonized mice and 53% of mice colonized by intestinal flora and *H. pylori* developed gastric neoplasia seven months post-infection, in contrast to none of *H. pylori* mono-associated mice. Male mice colonized by *H. pylori* and either ASF or intestinal flora displayed the highest levels of gastric and systemic pro-inflammatory cytokines and cancer-related gene expression compared with the other groups of mice. Taken together, these results suggest that *H. pylori* can act synergistically with even a limited gastric microbiota to promote gastric neoplasia and that a community of bacteria contribute to cancer risk.

In contrast to the above study, Dicksved et al.<sup>59</sup> reported that the composition of the gastric microbiota was not significantly different between stomach cancer patients and dyspeptic subjects. However, the study had considerable limitations, including small sample size and the lack of the most current high-throughput sequencing technology. Indeed, the results of this study underscore the importance of using sequence technology together with computational bioinformatics to define the gastric bacterial composition.

# **Conclusion**

Our understanding of the biology of *H. pylori* and the host response to the bacterium has advanced remarkably during the past three decades through a large body of elegant scientific and clinical investigation. An important consequence of this work is the recognition that *H. pylori*-induced chronic gastritis is the major risk factor for the development of gastric cancer. Recently, high-throughput sequencing technology has uncovered an extensive and complex microbiota in the lower gastrointestinal tract that impacts both health and an array of diseases, but characterization of the microbiota in the upper gastrointestinal tract, especially the stomach, has lagged behind advances in defining the lower tract microbiota. Consequently, information regarding the role of the commensal microbiota in gastric disease, particularly *H. pylori* infection, is in its infancy, especially when compared with rapidly emerging information on the microbiota at other sites. Thus, we predict that elucidating the role of the gastric microbiota in *H. pylori* infection will uncover new insights into the pathogenesis of gastric cancer.

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#### **Figure 1.**

Model for cross-talk between the microbiota and *H. pylori* in predisposing the gastric mucosa for the development of cancer. A) In the *H. pylori*-free stomach, the microbiota coexists peacefully with the gastric mucosa. B) Initial *H. pylori* colonization of the stomach, initiating cross-talk with the microbiota. C) After years of co-colonization, the gastric mucosa atrophies, leading to an increase in pH and changes in nutrient availability and innate immune responses that together promote outgrowth of bacteria that otherwise would not tolerate the gastric environment. *H. pylori* and possibly certain members of the expanded microbiota elicit a strong innate and adaptive inflammatory response that damages the epithelial cell layer, establishing conditions that may promote carcinogenesis. D) After decades of colonization with loss of its ecological niche, *H. pylori* may clear, but the atrophy and non-indigenous microbiota persist, along with their permissive carcinogenic potential. Symbol code: Black, non-*H. pylori Proteobacteria*; Blue, *Acidobacteria*; Red, *Actinobacteria*; Green, *Firmicutes*; Brown, *H. pylori*. Yellow cell, dendritic cell; Orange cell, pro-inflammatory T cell. Relative abundances of bacterial phyla based on Maldonado-Contreras, A, et al., *ISME J*, 2011.



#### **Figure 2.**

Cross-talk between the microbiota and *H. pylori*. Prolonged interaction between the microbiota and *H. pylori* may facilitate the outgrowth of communities of bacteria with a more carcinogenic profile, such as nitrosamine-producing species. Alternatively, the microbiota may promote a more virulent *H. pylori* with an enhanced carcinogenic potential. Yellow cell, dendritic cell; Orange cell, pro-inflammatory T cell.