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Thermal adaptation and clinal mitochondrial DNA variation of European anchovy

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Natural populations of widely distributed organisms often exhibit genetic clinal variation over their geographical ranges. The European anchovy, Engraulis encrasicolus, illustrates this by displaying a two-clade mitochondrial structure clinally arranged along the eastern Atlantic. One clade has low frequencies at higher latitudes, whereas the other has an anti-tropical distribution, with frequencies decreasing towards the tropics. The distribution pattern of these clades has been explained as a consequence of secondary contact after an ancient geographical isolation. However, it is not unlikely that selection acts on mitochondria whose genes are involved in relevant oxidative phosphorylation processes. In this study, we performed selection tests on a fragment of 1044 bp of the mitochondrial cytochrome b gene using 455 individuals from 18 locations. We also tested correlations of six environmental features: temperature, salinity, apparent oxygen utilization and nutrient concentrations of phosphate, nitrate and silicate, on a compilation of mitochondrial clade frequencies from 66 sampling sites comprising 2776 specimens from previously published studies. Positive selection in a single codon was detected predominantly (99%) in the anti-tropical clade and temperature was the most relevant environmental predictor, contributing with 59% of the variance in the geographical distribution of clade frequencies. These findings strongly suggest that temperature is shaping the contemporary distribution of mitochondrial DNA clade frequencies in the European anchovy.

1. Introduction

I have called the principle, by which each slight variation, if useful, is preserved by the term of Natural Selection. [[1,](#page-7-0) pp. 60–61]

Mitochondrial DNA (mtDNA) has been widely used in evolutionary biology research over the past 20 years under the implicit assumption of neutrality [\[2\]](#page-7-0). However, there is strong evidence that this molecule may be under positive selection, often related to thermal adaptation and aerobic capacity ([\[3](#page-7-0)] and references therein). The assumption that mtDNA polymorphisms are neutral has been tested in the historical demographic context, but rarely have these tests been taken further. Genetic variation affected by selection and not chiefly by demography can compromise mtDNA markers' usefulness to correctly estimate demographic changes, population structure or to date biogeographic events. In this event, molecular markers under selection may be useful to understand the processes that shape species distribution patterns and local adaptation.

Mitochondrial genes are involved in oxidative phosphorylation processes (OXPHOS complex) by which means the electron transport chain (ETC) creates a trans-membrane proton gradient that generates adenosine triphosphate (ATP) [[4](#page-7-0)]. The ETC is formed by protein complexes of subunits that are encoded in either nuclear or mtDNA. Non-synonymous single nucleotide polymorphisms in any of the genes encoding ETC subunits can potentially affect the quality of

electron flow or influence other relevant binding sites, such as that of coenzyme Q or CoQ [[5](#page-7-0)]. It is therefore plausible that non-synonymous changes in the mtDNA will impact the fitness of organisms given the pivotal role of mitochondrial bioenergetics on adaptation to environmental variability [\[6](#page-7-0)].

An increasing number of studies have detected positive selective sweeps in the mitochondria, including adaptation to extreme O_2 requirements of flying capacity in bats [[7](#page-7-0)], low energy diet in large body mammals [\[8\]](#page-7-0), high-altitude resistance in alpacas and monkeys [[8](#page-7-0)–[10\]](#page-7-0) and climatemediated adaption in humans [[11](#page-7-0)–[13\]](#page-7-0). Although there are few studies of mtDNA selection in marine fishes, selection in mitochondria has been invoked to explain patterns of genetic variation in the slippery-dick labrid (Halichoeres bivittatus) [\[14](#page-7-0)], the association between the distribution of mitochondrial lineages and sea surface temperature in the walleye pollock (Theragra chalcogramma) [\[15](#page-7-0)] and the cause of mitonuclear coevolution that increases aerobic capacity and swimming performances in billfishes (Xiphiidae and Istiophoridae families [[16\]](#page-7-0)). Selection is also suspected to have promoted amino acid changes in proton pumping that influenced fitness in Pacific salmon species [[17\]](#page-7-0) and the Atlantic herring (Clupea harengus) [[18\]](#page-7-0).

The European anchovy provides an ideal system to investigate adaptive selection. It is distributed throughout tropical, subtropical and cold-temperate coastal areas (ca 60° N – 40° S), facing contrasting environmental features, which implies an impressive tolerance to a broad range of temperatures $(2-30^{\circ}C)$ and salinities $(5-41\%)$. This species also shows both morphological and genetic variability across its distributional area, displaying a dual-clade mitochondrial structure, arranged into a clinal frequency in the eastern Atlantic [\[19](#page-7-0)]. Clade A is present throughout the whole geographical distribution, but with lower frequencies at higher latitudes, whereas clade B has an anti-tropical distribution, with frequencies decreasing towards the tropics. This structure may reflect post-glacial secondary contact after an ancient isolation [\[20](#page-7-0)]. Nevertheless, one cannot exclude the relevance of other processes such as sex-biased dispersal, nuclear allelic convergence, incomplete mtDNA lineage sorting, adaptive introgression, demographic disparities, gamete incompatibility or, as considered in this work, adaptive selection [\[21](#page-7-0),[22\]](#page-7-0) in promoting the observed genetic divergence.

Recently, a mito-genomic survey of a widely distributed marine mammal, the killer whale, showed high levels of amino acid conservation and only two positively selected codons, both in the cytochrome b (Cyt b) gene, correlated with temperature adaptation [[23\]](#page-7-0). Here, we focus on this gene to explore a putative instance of positive selection shaping the distribution of the two European anchovy Engraulis encrasicolus genetic lineages. We suggest that the Cytb of the European anchovy may be potentially affected by positive selective regimes that influence metabolism and constrain the distribution of mtDNA clades. We expect to detect non-synonymous substitutions that would provide selective advantage to one of the clades, possibly altering the function of the protein, promoting a better adaptation to local environment. We correlate the present-day distribution of mtDNA lineages of anchovies with various environmental factors. Our study is part of an emerging effort to better understand the role of natural selection in shaping the geographical distribution of genetic variation of organisms and their adaptation to changing environments.

2. Material and methods

(a) Sample collection, DNA extraction and

amplification and sequence alignment

We collected 455 eastern Atlantic specimens of the European anchovy E. encrasicolus, from 18 locations, from Norway to South Africa, the Baltic and the Mediterranean Seas, covering most of the geographical distribution of the species ([figure 1;](#page-2-0) electronic supplementary material, table S1). Fish were purchased at small coastal fish markets, as artisanal fisherman do not venture far, to ensure the correct origin of fish, or were collected on scientific cruises (see Acknowledgements). A small portion of white muscle or fin tissue were preserved in 96% ethanol and stored at -20° C. DNA extraction, polymerase chain reaction, purification and sequencing were performed for a 1044 bp fragment of the mitochondrial Cytb as described by Silva et al. [[19](#page-7-0)]. Sequences were deposited in GenBank (see Data accessibility). The sequences were aligned using CLUSTAL W [[24](#page-7-0)] and visually inspected in GENEIOUS v. 5.4 [\[25\]](#page-7-0).

(b) Tests of recombination and selection

We tested the alignment for evidence of mitochondrial Cytb recombinants using Genetic Algorithms for Recombination Detection (GARD) analysis [[26](#page-7-0)] implemented in the online interface www.datamonkey.org [[27](#page-7-0)]. To assess whether selection was acting on Cytb, the Z-test [\[28\]](#page-7-0) was performed in MEGA v. 5 [\[29\]](#page-7-0). We further implemented likelihood and Bayesian-based methods to identify site-specific Cytb positive selection where the rate of non-synonymous substitution (dN) is greater than the rate of synonymous substitution (dS). We applied a suit of different algorithms for selection inference to our data: single likelihood ancestor counting (SLAC), fixed effects likelihood (FEL) [\[30\]](#page-7-0), internal fixed effects likelihood (IFEL) [[31](#page-7-0)], fast unconstrained Bayesian approximation (FUBAR) [\[32\]](#page-7-0) and mixed effects model of evolution (MEME) [[33](#page-7-0)] to our data. SLAC is based on the reconstruction of the ancestral sequences and the counts of dS and dN at each codon position of the phylogeny. The FEL method estimates the ratio of non-synonymous to synonymous substitutions on a site-by-site basis for the entire tree, whereas IFEL does the same estimation only on the interior branches. FUBAR detects positive selection much faster than the other methods by using a likelihood instead of a Bayesian approximation. MEME is capable of identifying instances of both episodic and pervasive positive selection at the level of an individual site. Sites with p-values less than 0.05 for SLAC, FEL, IFEL and MEME, posterior probability of more than 0.9 for FUBAR, were considered as being under selection. We applied all these methods to prevent against our results being an artefact of a particular methodology or a set of assumptions.

(c) Biochemical sources of intrinsic variation

The Cytb dataset was aligned to a reference sequence available on GenBank (ACC number: NC_009581). Additionally, we used TREESAAP [[34\]](#page-7-0) to categorize 539 biochemical/structural physicochemical changes owing to amino acid replacements into eight magnitude categories and determine whether the observed magnitude of amino acid changes deviates significantly from neutral expectations. We run analyses according to Woolley et al. [\[34](#page-7-0)] and considered only amino acid replacements with significant magnitude categories six to eight ($p < 0.001$). The crystallographic structure of the cytochrome bc1 complex interacting with cytochrome c was taken from the Protein Databank, PDB 3CX5 [[35\]](#page-7-0). The homology model for the *E. encrasicolus* structure, based on a sequence variant with a Methionine residue at position 368 (the yeast sequence has a Valine residue at the homologous position), was obtained from the ModBase database of homology models [[36](#page-7-0)].

Figure 1. (a) Locations used for environmental correlates of mitochondrial clades frequencies; numbers correspond to map code in [table 1](#page-3-0) and the electronic supplementary material, table S1. (b) Climatological sea temperature from World Ocean Atlas 2009 between 0 and 10 m (black line) and clade frequency (clade A, dark grey; clade B, light grey). (c) Importance of environmental variables after hierarchical partitioning analysis; AOU, apparent oxygen utilization.

(d) Environmental correlates of mitochondrial clade frequencies

We compiled *E. encrasicolus* mitochondrial clade frequencies comprising 66 sampling sites from previous studies [\[19,20,37](#page-7-0)–[41\]](#page-8-0) (electronic supplementary material, table S1) and used general linear models (GLM) of the binomial family (logit) to evaluate the correlation between clade frequencies and a variety of environmental variables. Data on temperature, salinity, apparent oxygen utilization and nutrient concentrations (phosphate, nitrate and silicate) for depths less than 10 m were obtained from the World Ocean Atlas 2009, one-degree objectively analysed climatology datasets [[42](#page-8-0)] in NetCDF format and imported as geo-referenced layers into R 2.15.3 [[43](#page-8-0)] using the ncdf [\[44](#page-8-0)] and raster [[45](#page-8-0)] packages (electronic supplementary material, table S1). The package hier.part [\[46](#page-8-0)] was used to quantify the independent correlation of each predictor variable with the clade frequency, a method called hierarchical partitioning [\[47,48\]](#page-8-0). Hierarchical partitioning is a regression technique in which all possible linear models are jointly considered in an attempt to identify the most likely

causal factors, providing a measure of the effect of each variable that is largely independent from that of other variables [[47,48](#page-8-0)].

3. Results

(a) Recombination and selection

From the 455 individuals analysed for the Cytb fragment, 246 polymorphic sites yielded 316 haplotypes, seven amino acid variable sites and 11 amino acid type sequences ([figure 2\)](#page-4-0). No evidence for recombination was found with GARD. Positive selection over all sites was detected on Cytb (clade A: $-5.81; p = 1;$ clade B: $-8.75; p = 1$). In total, four amino acid changes were identified as being under selection, three under purifying selection and one under positive selection (codon 368) ([table 2\)](#page-4-0). However, the significant positive selected site located in the ninth trans-membrane helix of the Cytb, was detected only in clade B with FUBAR, IFEL and FEL methods.

Table 1. Sample summary information. (Map code numbers are represented in figure $1a$. Sampling points = number of independent sampling points in each region; for complete information, see the electronic supplementary material, table S1. N, number of individuals; A, clade A absolute frequencies; B, clade B absolute frequencies.)

Codon 368 contains a Valine or an Alanine in 379 individuals, 25% belonging to clade B, and a Methionine in 66 individuals, 99% of which belonging to clade B ([figure 2](#page-4-0)).

(b) Adaptation at the molecular level

The Cytb sequences of yeast Saccharomyces cerevisae and E. encrasicolus were aligned, showing 50% identity. Alignment of the model with the Cytb monomer in the 3CX5 produced an root mean square deviation of 0.7 Å and allowed identification of the yeast structural homologue of Met 368 in the Engraulis sequence (Valine 369 in yeast) [\(figure 3\)](#page-5-0). TREESAAP identified 15 significant physicochemical properties potentially influenced by positive selection in codon 368 (electronic supplementary material, table S2).

(c) Environmental correlates of mitochondrial clades frequency

The clade frequencies shifted smoothly along latitudinal gradients in the Atlantic Ocean, the Baltic and the Mediterranean ([figure 1](#page-2-0)). Clade A was found in all the sampling locations, whereas clade B was absent from locations off Senegal, Guinea, Ghana and Israel. Clade A was present in higher frequencies mostly at lower latitudes (off Portugal, Morocco, Canary Islands, Senegal, Guinea, Ghana, Angola, northern and central Aegean and Israel), whereas clade B was present in higher frequencies at higher latitudes in the Atlantic Ocean (from the Norwegian coast to the Bay of Biscay and from the Namibia coast to South African waters), the Baltic Sea and in most of northern Mediterranean locations (off Gulf of Lion, Adriatic, Ionian and southern Aegean). Locations in the Bay of Biscay, Ligurian Sea and off Tunisia presented ratios between 0.4 and 0.6. From the six tested environmental variables, silicate was not considered in the final GLM because its inclusion did not significantly improve the model (comparison of the six variable versus the five variable model: χ_1^2 = 1.39, p = 0.24). The coefficients for the remaining variables are shown in [table 3.](#page-5-0) Sea surface temperature was the best clade frequency predictor, with a relative importance of 58.7%, followed by apparent oxygen utilization, phosphate,

Table 2. Positively and negatively selected sites in the cytochrome b gene estimated by FUBAR (*bpp \geq 0.9; ***bpp \geq 0.95; ****bpp \geq 0.99; ****bpp \geq 0.999) and SLAC, IFEL, FEL and MEME models (* $p < 0.05$; ** $p < 0.01$; *** $p < 0.001$; **** $p < 0.0001$).

79	96	$\ 125\ 192\ 303\ 329\ 368$					NA	NB	N
I	A	M	V	V	V	V	279	91	370
M	Α	M	V	V	V	V	$\mathbf{1}$	θ	1
I	A	M	V	V	Ι	V	$\mathbf{1}$	θ	1
I	A	M	A	V	V	V	$\mathbf{1}$	$\overline{0}$	1
I	T	M	V	V	V	V	$\mathbf{1}$	θ	1
Ī	A	M	V	L	V	V	$\overline{0}$	$\overline{2}$	2
I	A	V	V	V	V	V	0	1	1
I	Α	M	V	V	V	A	$\mathbf{1}$	1	\overline{c}
I	A	M	V	V	\overline{V}	M	$\mathbf{1}$	63	64
I	A	V	V	V	V	M	$\overline{0}$	$\mathbf{1}$	$\mathbf{1}$
I	A	M	V	I	$\mathbf V$	M	$\overline{0}$	1	1

Figure 2. Amino acid substitutions in the mitochondrial fragment of cytochrome *b* of *E. encrasicolus*. NA, number of clade A individuals; NB, number of clade B individuals; N, total number of individuals. Shading is meant to evidence differences and similarities between amino acid positions. Box indicates amino acid change under selection.

nitrate and salinity, with relative importance of 14.6%, 11.2%, 8.9% and 6.5%, respectively (figure $1c$).

4. Discussion

Our results contribute to a better understanding of the role of natural selection in shaping the distribution of marine organisms, in particular the influence of sea temperature on the distribution of mitochondrial lineages in the European anchovy. Here, we identified one putatively adaptive change in the mitochondrial Cytb gene, associated with clade B, more abundant in low-temperature environments, suggesting that selection is acting on the *E. encrasicolus* mito-genome. Although dN/dS methods are generally biased against detecting positive selection in conservative gene sequences even when single amino acid changes can turn out to be adaptive [[23](#page-7-0)], all applied methods were capable of detecting selection on a single amino acid change, which strengthens the nonetheless circumstantial evidence of the inference.

(a) Genetic clines and environmental correlates of mitochondrial clade frequency

The European anchovy is widely distributed implying an adaptation to distinct environmental features, such as the steep thermal cline in the eastern Atlantic or salinity gradients between the Baltic Sea and the Atlantic Ocean. The two mtDNA clades found in the European anchovy are sympatric over most of the distribution range and exhibit a remarkable latitudinal cline in the eastern Atlantic [[19\]](#page-7-0). Previous studies [\[21,22](#page-7-0),[40,41\]](#page-8-0) assumed the observed two-clade pattern as a consequence of ancient isolations followed by secondary contact. However, genetic clines may represent a balance between selection, genetic drift and dispersal, across time and space [[49,50](#page-8-0)]. These clines are present in different small pelagic fish species and have been related to both historical factors and hydrographic barriers to dispersal in sardines [\[51](#page-8-0)] or maintained by selective pressures in the Atlantic herring [[18\]](#page-7-0). One possible explanation for the origin and persistence of the dual-clade structure in the European anchovy may be an adaptation to the physical

Figure 3. (a) Crystal structure of yeast (S. cerevisae) cytochrome bc1 (complex III) complexed with cytochrome c. The black arrows point to the two Valine 369 residues (368 in the E. encrasicolus sequence); (b) Backbone alignment of the yeast (S. cerevisae) and E. encrasicolus cytochrome b structures.

properties of the environment, in particular sea temperature, as suggested by the GLM ([figure 1](#page-2-0) and table 3). Temperature along the distribution range of the European anchovy varies clinally [\(figure 1\)](#page-2-0) and contributes 58.7% to the model, accounting for five to six times more variance in the geographical distribution of clade frequencies than any other environmental predictor. The second best predictor for the distribution of the mtDNA clade frequencies was apparent oxygen utilization (14.6%). Oxygen availability is of extreme importance in ectothermic small pelagic fishes, especially at higher latitudes where water temperature is low and consequently metabolism decreases. Although anchovies have high capacity for migration, environmental affinity precludes dispersal, contributing to population structure [\[19](#page-7-0)[,40,41,52\]](#page-8-0). Temperature has been identified as one of the major selective forces acting on mtDNA [[53\]](#page-8-0). Temperature-mediated selection was found in humans [\[11](#page-7-0)–[13\]](#page-7-0), where genetic differentiation between pairs of populations is correlated to difference in temperature [\[11](#page-7-0)]. In the marine realm, the influence of temperature in shaping mitochondrial diversity was described in

the walleye pollock (T. chalcogramma) where sea surface temperature and mitochondrial lineages were significantly correlated, showing a latitudinal clinal distribution and higher genetic diversity than under a mutation-drift equilibrium model [[15\]](#page-7-0).

(b) Adaptation at the molecular level

Small pelagic fishes are ectothermic and metabolic rates increase with increasing water temperature [\[54](#page-8-0)]. Anchovies have high-metabolic requirements and more than 95% of the myofibrils are adjacent to mitochondria, suggesting a high dependence on aerobic metabolism [\[55](#page-8-0)]. When water temperature decreases, body temperature and metabolic rates decrease, probably affecting swimming performance [\[56](#page-8-0)], muscle-associated energetic needs [\[55](#page-8-0),[57\]](#page-8-0), egg size and fecundity [[58\]](#page-8-0).

We hypothesized that the substitution of a Valine for a Methionine in codon 368 could play a role in the ETC, enhancing the electron transfer process from Cytb to Cytc. However, analysis of the crystal structure of the Cytb (cytochrome bc1, complex III) shows that the Valine replacement by Methionine at position 368 is not likely to affect efficiency of the electron transfer mechanism ([figure 3\)](#page-5-0), for the following reasons. First, a direct effect is unlikely, owing to the marginal positioning of residue 368, well clear of the Cytb electron pathways. Second, conformational effects are also unlikely, because a single replacement of Valine by Methionine should not cause major structural changes, particularly at the protein surface, as is the case here. The latter consideration is supported by the similarity between the crystal structure and the ModBase model at this position ([figure 3](#page-5-0)). Other possible causes may be related to protein trafficking, membrane integration or stability of the putative cytochrome c reductase–oxidase super-complex as indicated by the distribution of amino acid residues in the 18 non-redundant families of thermophilic proteins (KUMS000101; electronic supplementary material, table S2). A change in heat capacity (HUTJ700101; electronic supplementary material, table S2) could be related to a variation in the entropic component, the free energy of folding of Cytb (hydrophobic effect), but its significance depends on the magnitude of the heat capacity change, which should be very small for a single residue replacement. Also this effect tends to be much less important for a protein working in a non-polar environment like a lipid membrane. Another plausible explanation for positive selection in codon 368 is related to a response to oxidative stress. Chemically reactive molecules containing oxygen, known as ROS (reactive oxygen species), are formed as a natural by-product of the normal metabolism of oxygen [[59\]](#page-8-0). In ectothermic animals, ROS increases at low temperatures, causing oxidative damage to cells [\[60](#page-8-0)]. Methionine residues may act as catalytic antioxidants, protecting both the protein and other macromolecules [\[61](#page-8-0)]. Cells with decreased Methionine content in Eschirichia coli were more susceptible to oxidative stress and exhibited lower rates of survival [\[61](#page-8-0)]. The biochemical intricacy of the phosphorilative oxidation processes precludes us from predicting the exact functional implications of the substitution, and we are limited to the suggestion that it will have an impact on the overall ATP production by the respiratory chain, and consequently on the overall metabolic performance of clade B.

(c) Neutrality versus non-neutrality of mitochondrial DNA in marine fishes

The vast majority of population genetics and phylogeography studies in marine fishes do not reveal any evidence of potential climate selection in the mtDNA. This observation may be explained because deviations to neutrality are usually performed in an historical demography context, with tests such as Tajima D [\[62\]](#page-8-0), or Fu's Fs test [[63](#page-8-0)]. The studies report many instances of deviations, but those deviations are mostly interpreted as population expansions [\[64](#page-8-0)]. Interestingly, negative D values can also indicate natural selection, but this possibility is seldom explored. The result is that most phylogeography studies over the past 30 years relied on mitochondrial markers assuming that selection was not acting on the molecule. However, the panorama is changing, and the neutrality assumption was contested by a meta-analysis of over 1600 animal species [[65](#page-8-0)]. In marine fishes, we accounted for several instances where mtDNA non-neutrality was invoked to explain the genetic patterns detected [\[14](#page-7-0)–[18\]](#page-7-0). It is possible that many more instances of selection may be hidden in the demographic analysis and remained unreported. Neutrality assumptions may have compromised studies' interpretations and biased conclusions. This paper shows that the role-played by selection may reflect the effect of environmental drivers on geographical/genetic patterns and not the species historical demography. It is therefore relevant that future studies properly address the deviations of neutrality commonly found in demographic inferences, to evaluate the putative role of selection.

5. Conclusion

Over the past 30 years, most genetic studies performed in marine fish species assumed that mtDNA was neutral and did not account for the influence of selective pressures in the estimation of population structure. Our results contribute to the growing body of evidence that mtDNA of natural populations is affected by selective pressures that need to be accounted for in historical interpretations of biogeographic scenarios. Moreover, our findings strongly suggest that the contemporary distribution of mtDNA clade frequencies in the European anchovy is being shaped by temperature. Additionally, molecular adaptations to different metabolic requirements may be the key to understand how species will adapt to future climate change.

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Data accessibility. DNA sequences: GenBank accession nos. JQ716609– JQ716625; JQ716629-JQ716748; JX683020-JX683113; KF601435-KF601545. Data information of samples used for environmental correlates analyses and number of individuals sequenced used for selection tests uploaded as electronic supplementary material.

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