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## p75<sup>NTR</sup> is highly expressed in vestibular schwannomas and promotes cell survival by activating NF- $\kappa$ B

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### Abstract

Vestibular schwannomas (VSs) arise from Schwann cells (SCs) and result from the loss of function of merlin, the protein product of the *NF2* tumor suppressor gene. In contrast to non-neoplastic SCs, VS cells survive long-term in the absence of axons. We find that p75<sup>NTR</sup> is over-expressed in VSs compared with normal nerves, both at the transcript and protein level, similar to the response of non-neoplastic SCs following axotomy. Despite elevated p75<sup>NTR</sup> expression, VS cells are resistant to apoptosis due to treatment with pro-NGF, a high affinity ligand for p75<sup>NTR</sup>. Furthermore, treatment with proNGF protects VS cells from apoptosis due to c-Jun N-terminal kinase (JNK) inhibition indicating that p75<sup>NTR</sup> promotes VS cell survival. Treatment of VS cells with proNGF activated NF- $\kappa$ B while inhibition of JNK with SP600125 or siRNA-mediated knockdown reduced NF- $\kappa$ B activity. Significantly, proNGF also activated NF- $\kappa$ B in cultures treated with JNK inhibitors. Thus, JNK activity appears to be required for basal levels of NF- $\kappa$ B activity, but not for proNGF-induced NF- $\kappa$ B activity. To confirm that the increase in NF- $\kappa$ B activity contributes to the prosurvival effect of proNGF, we infected VS cultures with Ad.I $\kappa$ B.SerS32/36A virus, which inhibits NF- $\kappa$ B activation. Compared to control virus, Ad.I $\kappa$ B.SerS32/36A significantly increased apoptosis including in VS cells treated with proNGF. Thus, in contrast to non-neoplastic SCs, p75<sup>NTR</sup> signaling provides a prosurvival response in VS cells by activating NF- $\kappa$ B independent of JNK. Such differences may contribute to the ability of VS cells to survive long-term in the absence of axons.

### Keywords

acoustic neuroma; apoptosis; merlin; Schwann cell; neurotrophin

## Introduction

Vestibular schwannomas (VS) originate from Schwann cells (SCs) of the vestibular nerve and typically occur as either unilateral, sporadic tumors or as bilateral tumors in patients with neurofibromatosis type 2 (NF2) (Evans 2009; Roosli et al. 2012). Both sporadic and NF2-associated VSs result from loss of function of the *NF2* tumor suppressor gene (Rouleau et al. 1993; Stemmer-Rachamimov et al. 1997; Trofatter et al. 1993). Merlin, the protein product of the *NF2* gene, regulates several signaling events that control tumor growth (Xiao et al. 2003; Zhou and Hanemann 2012). Merlin appears to associate transmembrane and signaling molecules with cytoskeletal actin thereby affecting cell-cell attachments, cell motility, and the subcellular localization and activity of transmembrane receptors and signaling molecules in response to cell contact inhibition (McClatchey and Giovannini 2005; Scoles 2008; Welling et al. 2007; Xiao et al. 2003).

Recent evidence suggests that merlin suppresses mitogenic signaling at the cell membrane and in the nucleus (Li et al. 2012; Zhou and Hanemann 2012). At the membrane, merlin inhibits signaling by integrins and tyrosine receptor kinases (RTKs) and the activation of downstream pathways, including the Ras/Raf/MEK/ERK, FAK/Src, PI3K/AKT, Rac/PAK/JNK, mTORC1, and Wnt/ $\beta$ -catenin pathways (Bosco et al. 2010; Chadee and Kyriakis 2004; Chadee et al. 2006; Flaiz et al. 2009; Fraenzer et al. 2003; Houshmandi et al. 2009; James et al. 2009; James et al. 2012; Kaempchen et al. 2003; Kissil et al. 2003; Lim et al. 2003; Lopez-Lago et al. 2009; Rong et al. 2004; Yi et al. 2008; Zhou et al. 2011). Merlin also acts upstream of the Hippo pathway to suppress the function of Yes-associated protein 1 (YAP1), an oncogene implicated in meningioma tumor growth (Baia et al. 2012; Hamaratoglu et al. 2006; Striedinger et al. 2008; Zhang et al. 2010). In the nucleus, merlin suppresses the E3 ubiquitin ligase CRL4 (DCAF1) to inhibit proliferation (Li et al. 2010).

## p75<sup>NTR</sup>

p75<sup>NTR</sup> is the founding member of the TNF receptor superfamily and was the first identified nerve growth factor receptor (Bothwell 1995). p75<sup>NTR</sup> binds mature neurotrophins with low affinity, while proneurotrophins bind avidly to p75<sup>NTR</sup> (Chao 2003; Lee et al. 2001). Neurotrophins also signal through Trk receptors to promote cell survival, which are capable of forming high affinity binding sites with p75<sup>NTR</sup> (Hempstead et al. 1991).

Activation of p75<sup>NTR</sup> elicits a variety of responses, including apoptosis or cell survival, depending on the cellular context. In the absence of Trk receptors p75<sup>NTR</sup> activates NF- $\kappa$ B, the sphingomyelin cycle, and c-Jun N-terminal kinase (JNK) (Dobrowski et al. 1994; Gentry et al. 2000; Harrington et al. 2002; Roux and Barker 2002). Consistent with the notion that p75<sup>NTR</sup> signaling initiates cell death, pro-nerve growth factor (NGF) and pro-brain derived neurotrophic factor (BDNF) induce apoptosis in cells expressing p75<sup>NTR</sup> (Clewes et al. 2008; Koshimizu et al. 2010; Masoudi et al. 2009; Provenzano et al. 2011). This pro-apoptotic function of p75<sup>NTR</sup> requires binding of the co-receptor sortilin as well as  $\gamma$ -secretase-dependent intramembranous cleavage and release of the intracellular domain (Jansen et al. 2007; Kenchappa et al. 2006; Parkhurst et al. 2010; Skeldal et al. 2012). In other cells, p75<sup>NTR</sup> signaling promotes cell survival. What determines whether p75<sup>NTR</sup>

activation leads to cell death or survival remains unknown. However, p75<sup>NTR</sup> activation of the nuclear transcription factor  $\kappa$ B (NF- $\kappa$ B) has been implicated in the pro-survival response (Gentry et al. 2000), whereas activation of JNK is required for the pro-death signal (Friedman 2000; Harrington et al. 2002; Koshimizu et al. 2010; Yoon et al. 1998).

## p75<sup>NTR</sup> and JNK signaling in SCs

Following axotomy, SCs upregulate p75<sup>NTR</sup> expression (Provenzano et al. 2008; Taniuchi et al. 1986). In the absence of reinnervation, denervated SCs ultimately undergo p75<sup>NTR</sup>-mediated apoptosis (Ferri and Bisby 1999; Petratos S 2003; Syroid et al. 2000). Consistent with a pro-apoptotic function of p75<sup>NTR</sup> and JNK in SCs (Parkinson et al. 2001), pro and mature isoforms of NGF activate JNK and induce apoptosis in SCs (Hirata et al. 2001; Provenzano et al. 2011; Soilu-Hanninen et al. 1999; Yeiser et al. 2004). As VSs arise from cells of the SC lineage, they express p75<sup>NTR</sup> similar to denervated SCs (Bonetti et al. 1997; Laskin et al. 2005; Miettinen et al. 2001). In contrast to non-neoplastic SCs, VS cells have the ability to survive long-term in the absence of axonal contact. Recent reports indicate that JNK is persistently active in human VS cells due to the lack of merlin expression (Hilton et al. 2009; Kaempchen et al. 2003; Yue et al. 2011). Significantly, this elevated JNK activity contributes to VS cell proliferation and survival (Yue et al. 2011).

To better understand the factors that contribute to the ability of VS cells to survive in the absence of axonal contact, we investigated the expression level of p75<sup>NTR</sup> in primary VS specimens and the responses of primary cultures derived from acutely resected human VSs to high affinity p75<sup>NTR</sup> ligands. We find that VS cells fail to die in the presence of proNGF unlike their non-neoplastic SC counterparts. Further, proNGF rescues VS cells with suppressed JNK signaling suggesting that, in contrast to its role in normal SCs, p75<sup>NTR</sup> promotes VS cell survival. We also find that proNGF activates NF- $\kappa$ B to promote survival.

## Materials and Methods

### VS and nerve collection and primary VS cultures

The institutional review board of the University of Iowa approved the study protocol. VS were collected from patients undergoing microsurgery for removal of sporadic VS and immediately placed in ice-cold Hank's balanced salt solution until used for cultures or snap frozen in liquid nitrogen until used for RNA or protein extracts. None of the specimens were derived from NF2-associated tumors. Histological analysis confirmed typical schwannoma in each instance. Greater auricular nerve (GAN) and vestibular nerve (VN) specimens were collected after surgical removal from separate patients undergoing neck dissection or vestibular nerve section, respectively, and immediately snap frozen in liquid nitrogen until used for RNA (VN) or protein (GAN) extraction.

### Primary human VS cultures

Primary human VS cultures were prepared from acutely resected tumors as previously described (Hansen et al. 2006; Yue et al. 2011). The cultures were not passaged prior to experimental manipulation. Adenoviral-mediated gene transfer was also performed as previously described with Ad5.empty vector (EV), Ad5.merlin, or Ad5.IkBaS (gift from Dr.

Isaac Samuel), each at  $2 \times 10^8$  pfu/mL (Yue et al. 2011). To knockdown JNK expression cultures were transfected using RNAiMax (Life Technologies, Invitrogen, Carlsbad, CA) with siRNA oligonucleotides targeting JNK1 and JNK2 (Cell Signaling, #6232, Beverly, MA), as previously described (Yue et al. 2011). I-JIP (30-100  $\mu$ M) and SP600125 (20  $\mu$ M) (both from EMD Millipore, Billerica, MA) were used as JNK inhibitors, as before (Yue et al. 2011). At the conclusion of the experiments, the cultures were fixed for 10 min with 4% paraformaldehyde and immunolabeled with anti-S100 (Sigma, St. Louis, MO), p75<sup>NTR</sup> (kindly provided by Dr. Moses Chao) and/or sortilin (Abcam, Cambridge, MA) antibodies followed by secondary detection with Alexa 488, 568, or 647-conjugated secondary antibodies (Life Technologies).

### Non-neoplastic Schwann cell cultures

Non-neoplastic Schwann cell cultures were prepared from neonatal rat or mouse sciatic nerve as previously described (Provenzano et al. 2011; Provenzano et al. 2008). Briefly, sciatic nerves were dissected from P5 pups, washed in ice-cold PBS, and enzymatically digested in 0.125% trypsin with EDTA (Life Technology) and 0.2% collagenase (Sigma) in Hanks balanced salt solution without calcium and magnesium (Life Technology), for 20 minutes in 37°C. Fetal bovine serum at 10% final concentration (Life Technology) was used to quench the trypsin, the tissue was washed twice in DMEM (Life Technology) and then suspended in DMEM with N2 supplements (Life Technology) and 10  $\mu$ g/ml insulin (Sigma). The cells were gently dissociated by titration through fire-polished narrow bored glass pipettes and cultured on laminin-coated 8 well plastic culture slides (Labtek, Campbell, California, USA) or 35 mm tissue culture dishes. Cultures were maintained in serum-free N2 medium until the cells were 70-90% confluent containing over 98% SCs as determined by S100 immunolabeling.

### Schwann cell and schwannoma cell apoptosis

Primary human VS cultures and mouse sciatic nerve Schwann cells maintained in the presence or absence I-JIP or SP600125 (JNK inhibitors) were treated with cleavage resistant proNGF (Alomone Labs, Jerusalem, Israel). After 24 h the cultures were fixed and immunolabeled for S100. Apoptotic nuclei were detected using the terminal deoxynucleotidyl transferase dUTP nick end labeling (TUNEL) method as previously described (Hansen et al. 2008; Yue et al. 2011). Nuclei were labeled with DAPI. Criteria for scoring an apoptotic cell included: S100-positive, TUNEL-positive nucleus, and condensed or fragmented nucleus. The percent of TUNEL-positive VS cells was scored from 10 randomly selected 20X fields for each well, as described elsewhere (Hansen et al. 2008; Yue et al. 2011). Only S100-positive cells were scored. Given the variability in basal apoptotic rates for each primary tumor, the percent of TUNEL-positive VS cells was expressed as a percentage of the control condition, defined as 100%. Apoptosis was confirmed in a subset of cultures by immunoblotting for cleaved caspase 3. Each condition was performed in duplicate and was repeated on 3 VS cultures derived from separate tumors. Statistical significance of differences in the average percent of apoptotic cells among the various treatment conditions was determined by one way ANOVA followed by Dunn's method for normally distributed data or by Kruskal-Wallis one way ANOVA on ranks for nonparametric data using SigmaStat software (Systat Software Inc, Richmond, CA).

## Real-time reverse transcriptase polymerase chain reaction

Total RNA was extracted from 5 VSs and 4 VNs, each treated as a separate specimen. Real-time RT-PCR was performed with the TaqMan® and 7500 Real-Time PCR systems (Life Technologies, Applied Biosystems, Carlsbad, CA) according to manufacturer instructions using FAM-labeled probes. We used primer pairs #4331182 for human p75<sup>NTR</sup> and #4326317E for human GAPDH (Life Technologies, Applied Biosystems). Quantification of gene expression was performed using the ddCt method according to the manufacturer's instructions and expressed as the ratio p75<sup>NTR</sup> to GAPDH transcript levels. A student's non-paired, two-tailed t-test was used to determine statistical differences of transcript levels.

## Western blots

Western blots of protein extracts prepared from VS or GAN tissue or culture lysates were performed as described previously (Brown and Hansen 2008; Hansen et al. 2006; Yue et al. 2011). The primary antibodies used were anti-p75<sup>NTR</sup> (kindly provided by Dr. Moses Chao), phosphorylated JNK (pJNK, Cell Signaling), JNK (Cell Signaling), phosphorylated JUN (pJUN, Cell Signaling), merlin (Santa Cruz), cleaved caspase-3 (Cell Signaling), RIP2 (Enzo Lifesciences, Farmingdale, NY), sortilin (Abcam),  $\beta$ -actin (Sigma), and Rho-GDI (Cell Signaling). Secondary antibodies (dilution, 1:5000-50,000; Santa Cruz) were conjugated with horseradish peroxidase. Blots were developed using Super Signal West Femto kit (Thermo Fisher Scientific, Rockford, IL) and exposed to film (Amersham Hyperfilm TM ECL; GE Healthcare). As needed, membranes were stripped and re-probed with other antibody combinations. Densitometry to quantify protein levels was performed as previously described and statistical significance was determined with a student's non paired, two-tailed t- test.

## NF- $\kappa$ B assay

Protein lysates were prepared 2 h following treatment of primary VS cultures with proNGF or sham. JNK inhibitors were added 30 min prior to treatment with or without proNGF. To determine NF- $\kappa$ B activity, we used a chemiluminescent capture assay (Thermo Fisher Scientific, Pierce, #89858) to capture activated NF- $\kappa$ B bound to the consensus DNA binding site according to the manufacturer's protocol. Activated NF- $\kappa$ B binds to the DNA sequence coating the wells and the bound NF- $\kappa$ B is detected with anti-p50 antibody followed by peroxidase conjugated secondary antibody and chemiluminescent quantification with a luminometer. Results are expressed as a fold change in activity relative to the control condition for each repetition. Each condition was performed in duplicate and repeated on 3 cultures derived from separate tumors. Statistical significance of differences among the treatment conditions was determined by one way ANOVA followed by Dunn's method.

## Results

### Vestibular schwannoma cells express high levels of p75<sup>NTR</sup>

SCs upregulate p75<sup>NTR</sup> expression following denervation by axotomy and in the absence of reinnervation, ultimately undergo p75<sup>NTR</sup>-mediated apoptosis (Ferri and Bisby 1999; Petratos S 2003; Provenzano et al. 2008; Syroid et al. 2000; Taniuchi et al. 1986). Since VS

cells lack axonal contact, we compared the expression level of p75<sup>NTR</sup> in VSs with normal nerve. We used real-time RT-PCR to compare the ratio of p75<sup>NTR</sup> to GAPDH transcript levels in 5 VS tumors and 4 normal human vestibular nerves. As shown in Fig. 1A, there was an >3 fold increase in the mean ratio of p75<sup>NTR</sup> to GAPDH transcript levels in VSs compared to normal vestibular nerve. To confirm increased p75<sup>NTR</sup> protein expression in VSs compared to normal nerves, we quantified p75<sup>NTR</sup> levels in immunoblots of protein lysates from 5 VS and 4 human great auricular nerve (GAN) specimens by densitometry. The blots were reprobbed with anti- $\beta$ -actin to assess protein loading levels. The mean ratio of p75<sup>NTR</sup>/ $\beta$ -actin band intensity was significantly increased in lysates from VSs compared with GAN (Fig. 1B&C). We also immunolabeled frozen sections of VSs and normal vestibular nerves with anti-neurofilament 200 (NF200, neuronal/axon marker) and anti-p75<sup>NTR</sup> antibodies. p75<sup>NTR</sup> immunolabeling was relatively weak in the SCs and neuronal structures in normal vestibular nerves and was more intense in VS tissue, which lacked NF200 labeling (Fig. 1D&E). These results confirm that VSs express higher levels of p75<sup>NTR</sup> compared to normal nerve.

### ProNGF fails to induce apoptosis in VS cells

Pro isoforms of neurotrophins, such as proNGF and proBDNF, are high affinity ligands for p75<sup>NTR</sup> and induce apoptosis in SCs *in vitro* and *in vivo* (Petraatos S 2003; Provenzano et al. 2011; Provenzano et al. 2008). To determine whether VS cells are likewise susceptible to proNGF-mediated apoptosis, we treated primary VS cultures with escalating doses of cleavage-resistant proNGF. After 24 h the cultures were fixed, immunolabeled with anti-S100 antibodies, and apoptotic nuclei were detected with the TUNEL method. The percent of TUNEL-positive VS cell nuclei was determined. Treatment of cultures of primary human VS cells with proNGF (0.1-3 nM) failed to significantly increase the percent of TUNEL-positive VS cell nuclei (Fig. 2). We confirmed that proNGF (0.1 nM) induces apoptosis in non-neoplastic mouse SCs (Fig 2D). These results suggest that VS cells are resistant to proNGF-mediated apoptosis despite high levels of p75<sup>NTR</sup> expression.

Sortilin functions as a p75<sup>NTR</sup> co-receptor critical for proNGF-mediated apoptosis (Jansen et al. 2007; Skeldal et al. 2012). Immunoblots and immunostaining confirmed that cultured VS cells express sortilin (Fig. 3A-D) Likewise, we confirmed that cultured VS cells and normal rat sciatic nerve SC cultures express receptor-interacting protein 2 (RIP2) (Fig. 3E), an adaptor protein with a carboxy-terminal caspase activation and recruitment domain (CARD) that is necessary for p75<sup>NTR</sup>-mediated SC apoptosis (Khursigara et al. 2001). These results indicate that cultured VS cells express the necessary co-receptor and RIP2 for proNGF-mediated apoptosis.

### ProNGF protects VS cells from apoptosis due to inhibition of JNK

Because ProNGF induces apoptosis in sympathetic neurons and SCs by activating JNK whereas JNK activity promotes VS cell survival (Linggi et al. 2005; Yue et al. 2011), we next sought to characterize the interaction of p75<sup>NTR</sup> and JNK signaling on VS cell survival. Primary human VS cultures were treated with I-JIP, a peptide inhibitor that blocks JNK activation by disrupting binding to the JNK scaffolding protein, JIP, or SP600125, a small molecule JNK inhibitor that competitively blocks kinase activity. We have previously

shown that these inhibitors effectively and specifically reduce JNK signaling in VS cultures (Yue et al. 2011). A subset of cultures were simultaneously treated with proNGF. As previously reported, I-JIP (30 or 100  $\mu$ M) and SP600125 (20  $\mu$ M), significantly increased VS cell apoptosis (Fig 4A-D). We confirmed apoptosis in cultures by immunoblotting protein lysates from the cultures with anti-active caspase 3 antibody (Fig. 4E). Treatment with proNGF significantly reduced the percent of TUNEL-positive VS cells and the extent of caspase 3 activation in the presence of the JNK inhibitors (Fig. 4A-E). To further verify that p75<sup>NTR</sup> ligands protect VS cells from apoptosis we treated cultures maintained in the presence of SP600125 with proBDNF. As with proNGF, proBDNF failed to induce VS cell apoptosis and protected the cells from apoptosis due to SP600125 (Fig. 4D). We have previously confirmed the ability of proBDNF to induce apoptosis in non-neoplastic SCs (Provenzano et al. 2011; Provenzano et al. 2008). To determine whether other potential survival factors could likewise prevent VS cell apoptosis due to JNK inhibition we treated cultures with 3 nM neuregulin  $\beta$ -1, a high affinity ErbB2/B3 ligand and potent growth factor for SC and VS cells (Hansen et al., 2006; Hansen et al. 2008; Yue et al. 2011). In contrast to proNGF, neuregulin  $\beta$ -1 significantly increased the percent of apoptotic VS cells (Fig. 4H).

To confirm that proNGF rescues VS cells from apoptosis due to loss of JNK activity, we transfected VS cultures with siRNA oligonucleotides targeting JNK1 and JNK2 and maintained the cultures in the presence or absence of proNGF. We verified that these oligonucleotides effectively and specifically reduce JNK1/2 expression in VS cells (Fig. 4G) as previously shown (Yue et al. 2011). Control cultures were transfected with a scrambled oligonucleotide. As before, treatment with proNGF significantly reduced the percent of VS cells undergoing apoptosis due to inhibition of JNK signaling (Fig. 4F). We considered the possibility that proNGF could be signaling through Trk receptors, the high affinity receptor tyrosine kinases for mature neurotrophins such as NGF, to promote VS cell survival. However, Trks were not detected in immunoblots of protein lysates from VS cultures using an anti-panTrk antibody capable of detecting all Trk isoforms including TrkA, TrkB, and TrkC (Fig. 4I). The anti-panTrk did detect Trk expression in protein lysates from the rat brain (cerebral cortex). Taken together, these results suggest that p75<sup>NTR</sup>, but not Erb2, signaling promotes survival of VS cells with suppressed JNK activity.

### ProNGF activates NF- $\kappa$ B independent of JNK

In neuroblastoma cells, p75<sup>NTR</sup> signaling activates the transcription factor, NF- $\kappa$ B, in a JNK dependent fashion (Costantini et al. 2005). As previously reported (Yue et al. 2011), we confirmed that JNK activity is persistently high in VS cultures due to lack of functional merlin by immunoblotting protein lysates of VS cultures, treated with Ad5.empty vector or Ad5.merlin, with antibodies that detect phosphorylated JNK (Fig. 5). Treatment with proNGF led to an increase in JNK activity in VS cultures that had not been transduced with adenoviral vectors, reflected by a slight increase in JNK phosphorylation and a further increase in c-Jun phosphorylation (Fig. 5B). We next assayed NF- $\kappa$ B activity in VS cultures. Treatment with proNGF increased NF- $\kappa$ B activity by 2-fold in VS cells (Fig. 5C) whereas the JNK inhibitor SP600125 decreased NF- $\kappa$ B activity by 2-fold (Fig. 5C). Significantly, the increase in NF- $\kappa$ B activity induced by proNGF was not attenuated by SP600125. Similarly,

transfection of VS cultures with siRNA oligonucleotides targeting JNK1/2 decreased basal levels of NF- $\kappa$ B activity but did not reduce NF- $\kappa$ B activation by proNGF (Fig. 5D). These results suggest that basal levels of NF- $\kappa$ B activity depend on JNK in VS cells whereas proNGF can activate NF- $\kappa$ B independent of JNK signaling.

### NF- $\kappa$ B is required for the prosurvival effects of p75<sup>NTR</sup> signaling

We next sought to determine whether the activation of NF- $\kappa$ B by proNGF contributes to the ability of p75<sup>NTR</sup> signaling to promote VS cell survival. To inhibit NF- $\kappa$ B function, we transduced VS cultures with an adenoviral vector that expresses a mutant isoform of I $\kappa$ B $\alpha$  (Ad5.I $\kappa$ B $\alpha$ S. This isoform is not able to be phosphorylated on S32 and S36 and thus blocks NF- $\kappa$ B activation by preventing the dissociation I $\kappa$ B $\alpha$  from NF- $\kappa$ B subunits and their subsequent translocation to the nucleus. We have previously shown that Ad5 vectors transduce >85% of VS cells at the titer used here (Yue et al. 2011). Transfection of VS cultures with Ad5.I $\kappa$ B $\alpha$ S effectively inhibited activation of NF- $\kappa$ B by proNGF and reduced basal levels of NF- $\kappa$ B activity (Fig. 6). The fold increase in NF- $\kappa$ B by proNGF was greater in cultures treated with Ad5.empty vector (Fig. 6) compared with cultures maintained without viral vectors (Fig. 5B) raising the possibility that adenoviral vectors sensitize VS cell cultures to upstream activators of NF- $\kappa$ B. In cultures transduced with an Ad5.empty vector and maintained in SP600125, proNGF significantly reduced the percent of TUNEL-positive VS cells (Fig. 7). The percent of TUNEL-positive VS cells was significantly increased in cultures transduced with Ad5.I $\kappa$ B $\alpha$ S compared with cultures transduced with Ad5.empty vector even in the presence of proNGF (Fig. 7). Thus, inhibition of NF- $\kappa$ B abolishes the ability of proNGF to rescue VS cells indicating that activation of NF- $\kappa$ B is necessary for the prosurvival effect of p75<sup>NTR</sup> on VS cells.

## Discussion

Limited access to primary tissue has hampered the investigation of human schwannoma tumorigenesis. Transgenic mouse models and transformed cell lines provide useful tools to investigate merlin-dependent tumorigenesis however, they fail to fully recapitulate human disease including VS formation (Giovannini et al. 1999; Giovannini et al. 2000; Gutmann and Giovannini 2002; Hung et al. 2002). Here we used primary tissue derived from acutely resected VSs to investigate the contribution of p75<sup>NTR</sup> to VS cell responses. Although primary cultures limit some of the analyses that can be performed, they provide a more realistic model of human disease compared with transformed cell lines (e.g. HEI193 cells). We find that p75<sup>NTR</sup> expression is elevated in VS cells. This elevated expression represents an increase both in transcript and protein levels. Non-neoplastic SCs likewise increase p75<sup>NTR</sup> expression following axotomy and this increase in p75<sup>NTR</sup> contributes to SC apoptosis following loss of axonal contact (Ferri and Bisby 1999; Petratos S 2003; Provenzano et al. 2008; Syroid et al. 2000; Taniuchi et al. 1986). By contrast, activation of p75<sup>NTR</sup> fails to induce VS cell apoptosis. Further, p75<sup>NTR</sup> provides an anti-apoptotic response in VS cells in contrast to its pro-apoptotic function in non-neoplastic SCs. This key difference in the response of VS cells to p75<sup>NTR</sup> likely contributes to the ability of VS cells to proliferate and survive in the absence of axons, whereas non-neoplastic SCs are eventually lost following nerve injury.



Merlin has previously been shown to decrease the expression and, in some cases, alter the subcellular localization of receptor tyrosine kinases such as ErbB1, ErbB2 and PDGF receptors in schwannoma cells and non-neoplastic SCs (Ammoun et al. 2010; Ammoun et al. 2008; Brown and Hansen 2008; Doherty et al. 2008; Fernandez-Valle et al. 2002; Fraenzer et al. 2003; Hansen and Linthicum 2004; Hansen et al. 2006; Houshmandi et al. 2009; Lallemand et al. 2009; Stonecypher et al. 2006; Torres-Martin et al. 2013; Wickremesekera et al. 2007). Thus, ErbB2 expression remains high in VS cells and contributes to cell proliferation and possibly cell survival and tumor growth (Ahmad et al. 2011; Ammoun et al. 2010; Bush et al. 2012; Clark et al. 2008; Doherty et al. 2008; Hansen et al. 2006; Lallemand et al. 2009; Stonecypher et al. 2006; Torres-Martin et al. 2013). In addition to receptor tyrosine kinases, merlin reduces the expression of other transmembrane receptors such as CD44 (Ahmad et al. 2010). The increased p75<sup>NTR</sup> expression in VS tissue compared to normal nerve raises the possibility that merlin likewise regulates p75<sup>NTR</sup> expression levels.

In addition to regulating the expression of transmembrane receptors, merlin also suppresses cell motility and proliferation by inhibiting the activity of several intracellular kinase signaling cascades including Ras-Raf-MEK-ERK, PI3-K-Akt, JNK, and mTORC1 (Bosco et al. 2010; Chadee and Kyriakis 2004; Chadee et al. 2006; Flaiz et al. 2009; Fraenzer et al. 2003; Houshmandi et al. 2009; Jacob et al. 2008; James et al. 2009; James et al. 2012; Kaempchen et al. 2003; Kissil et al. 2003; Lim et al. 2003; Lopez-Lago et al. 2009; Rong et al. 2004; Yi et al. 2008; Zhou et al. 2011). Of these, the Ras-Raf-MEK-ERK and PI3-K-Akt and mTORC1 cascades appear to principally promote proliferation in schwannoma cells such that MEK, Akt, and mTORC1 inhibitors provide a cytostatic response, but do not consistently lead to cell death. However, treatment of VS cells with OSU-03012, a celecoxib-derived small-molecule inhibitor of phosphoinositide-dependent kinase-1 and Akt, increased cell death (James et al. 2009; Lee et al. 2009; Yue et al. 2011). Thus, whether Akt promotes VS cell survival in addition to proliferation requires confirmation with more specific, non-pharmacological methods to suppress Akt activity. Merlin also suppresses proliferation by inhibiting the function of YAP1 and, in the nucleus, CRL4DCAF1 E3 ubiquitin ligase (Baia et al. 2012; Hamaratoglu et al. 2006; Li et al. 2010; Striedinger et al. 2008; Zhang et al. 2010).

Recent reports confirm that JNK is activated in VS cells in a merlin-dependent fashion and contributes to cell proliferation and survival (Hilton et al. 2009; Kaempchen et al. 2003; Yue et al. 2011). Suppression of mitochondrial superoxide accumulation represents one mechanism by which JNK reduces VS cell apoptosis (Yue et al. 2011). Here we demonstrate a requirement for JNK activity to sustain basal levels of NF- $\kappa$ B in VS cells and this likely also contributes to the necessity of JNK activity to support VS cell survival.

### **VS cells are resistant to the pro-apoptotic effect of p75<sup>NTR</sup> signaling**

The response of cells to p75<sup>NTR</sup> depends on several factors including expression of co-receptors and the differentiation state of the cell (Casaccia-Bonnet et al. 1999). In neurons and some carcinoma cells (e.g. breast) that express Trk receptors, activation of p75<sup>NTR</sup> inhibits apoptosis (Descamps et al. 2001; Hondermarck 2012; Koshimizu et al. 2010; Lu et

al. 2005). In these cases, p75<sup>NTR</sup> appears to function by facilitating neurotrophin binding to the Trk receptors and Trk signaling (Chao et al. 1998). In cells that lack Trk receptors, including non-neoplastic SCs, mature and pro isoforms of NTs induce apoptosis (Kuchler et al. 2011; Provenzano et al. 2011; Truzzi et al. 2011). In these cells p75<sup>NTR</sup> appears to activate JNK to induce apoptosis and requires the co-receptor, sortilin (Bhakar et al. 2003; Linggi et al. 2005; Skeldal et al. 2012; Truzzi et al. 2011; Yoon et al. 1998). By contrast, proNGF, which increases JNK activity in VS cells, fails to induce apoptosis in VS cells. One key difference between non-neoplastic SCs and VS cells is that JNK activity induces apoptosis in the former but promotes cell proliferation and survival in the later (Yue et al. 2011). This ability of VS cells to survive in the presence of increased JNK activity may explain, at least in part, the failure of proNGF to induce VS cell apoptosis. Importantly, we confirmed that VS cells express the p75<sup>NTR</sup> co-receptor, sortilin, and adaptor protein, RIP2, suggesting that the failure of proNGF to induce VS cell apoptosis is not due to the lack of expression of these proteins essential for p75<sup>NTR</sup>-mediated apoptosis (Charalampopoulos et al. 2012; Khursigara et al. 2001).

### **p75<sup>NTR</sup> mediates an anti-apoptotic response in VS cells**

The data presented here suggest that proNGF/p75<sup>NTR</sup> signaling elicits an anti-apoptotic response in VS cells and thereby may contribute to tumorigenesis. In addition to their effects on neuronal development, plasticity and injury, there has been a recent recognition that neurotrophins and their receptors contribute to tumorigenesis. For example proNGF stimulates invasion of melanoma cells through a mechanism involving p75<sup>NTR</sup> and sortilin (Truzzi et al. 2008). In this study, proNGF did not influence melanoma cell survival or proliferation, but did promote melanoma cell migration. On the other hand, inhibition of Trks, all of which are expressed in melanoma cells, led to apoptosis in addition to decreasing migration and proliferation in response to neurotrophins. Similarly, p75<sup>NTR</sup> has been implicated in glioma cell invasion (Johnston et al. 2007; Wang et al. 2010). In breast carcinoma, p75<sup>NTR</sup> appears to promote cell migration and invasion and, at least in some cell lines, exerts anti-apoptotic effects via activation of NF- $\kappa$ B and p21(waf1) (Descamps et al. 2001; Hondermarck 2012; Verbeke et al. 2010). By contrast, p75<sup>NTR</sup> inhibits the invasive and metastatic abilities of gastric cancer cells, at least in part, by NF- $\kappa$ B-dependent up-regulation of tissue inhibitor of matrix metalloproteinase (TIMP)-1 (Jin et al. 2007).

### **proNGF activates NF- $\kappa$ B independent of JNK to promote VS cell survival**

NF- $\kappa$ B plays a critical role in immune responses. It is also widely recognized as a key mediator of tumorigenesis (Baldwin 2012). Generally, NF- $\kappa$ B promotes tumor formation and growth by supporting cell survival although it has been found to have pro-apoptotic functions in some circumstances. Likewise, in the nervous system, NF- $\kappa$ B appears to exert either pro-apoptotic or anti-apoptotic effects, depending on the cell type and context (Maggirwar et al. 1998; Mattson et al. 1997; Schneider et al. 1999).

In addition to its role in immune responses and tumorigenesis, NF- $\kappa$ B appears to contribute to normal SC development and response to injury. For example, activation of NF- $\kappa$ B is essential for driving immature SCs into a promyelinating phenotype in dorsal root ganglion-

SC co-cultures (Limpert and Carter 2010; Nickols et al. 2003; Yoon et al. 2008). Peripheral nerve injury significantly increases NF- $\kappa$ B activity and inhibition of NF- $\kappa$ B activation in SCs transiently delays axonal regeneration and compact remyelination (Fernyhough et al. 2005; Fu et al. 2010; Ma and Bisby 1998; Morton et al. 2012; Pollock et al. 2005). Further, activation of p75<sup>NTR</sup> by NGF treatment increases NF- $\kappa$ B activity in SCs in a TRAF6 and RIP2-dependent fashion (Carter et al. 1996; Khursigara et al. 2001; Khursigara et al. 1999; Yeiser et al. 2004). The extent to which the increase of NF- $\kappa$ B activity in SCs following nerve injury results from the concurrent upregulation of p75<sup>NTR</sup> and activation of JNK remains unknown.

Here we find that p75<sup>NTR</sup> activates JNK and NF- $\kappa$ B in primary VS cells. Typically, NF- $\kappa$ B activation by p75<sup>NTR</sup> is TRAF6 and JNK dependent (Costantini et al. 2005). By contrast, p75<sup>NTR</sup> activates JNK and promotes apoptosis in keratinocytes, but suppresses NF- $\kappa$ B activity (Truzzi et al. 2011). The effect of NF- $\kappa$ B activation by p75<sup>NTR</sup> on cell survival appears to depend on the cell type. For example, p75<sup>NTR</sup> activates JNK and NF- $\kappa$ B to induce apoptosis in neuroblastoma cells (Bai et al. 2008; Costantini et al. 2005; Kuner and Hertel 1998). By contrast, NGF activates JNK and NF- $\kappa$ B in the RN22 schwannoma cell line and induces apoptosis in cells with suppressed NF- $\kappa$ B activity (Gentry et al. 2000). Our results indicate that basal NF- $\kappa$ B activity in primary VS cells depends on JNK activity as both SP600125 and siRNA mediated JNK knock-down reduced NF- $\kappa$ B activity. However, we find that p75<sup>NTR</sup> can activate NF- $\kappa$ B independent of JNK in VS cells. This activation of NF- $\kappa$ B, even in cells with suppressed JNK activity, appears to contribute to ability of p75<sup>NTR</sup> to prevent VS cell apoptosis.

NF- $\kappa$ B activation promotes survival of cells treated with many different classes of chemotherapeutics or ionizing radiation and inhibition of NF- $\kappa$ B potentially enhances chemo- and radiotoxicity (Wang et al. 1999; Wang et al. 1996). JNK inhibitors represents one class of chemotherapeutics that reduce VS cell proliferation and survival (Yue et al. 2011). The ability of p75<sup>NTR</sup> to activate NF- $\kappa$ B independent of JNK appears to provide a cytoprotective effect in VS cells treated with JNK inhibitors. Parallel targeting of the p75<sup>NTR</sup>/NF- $\kappa$ B signaling axis offers the prospect of enhancing the response of VS cells to JNK inhibitors and perhaps to other chemotherapeutics and radiation therapy. One advantage of therapeutically targeting p75<sup>NTR</sup> function in VS cells is that it would represent a fairly specific target since inhibition of p75<sup>NTR</sup> signaling supports the survival of non-neoplastic SCs.

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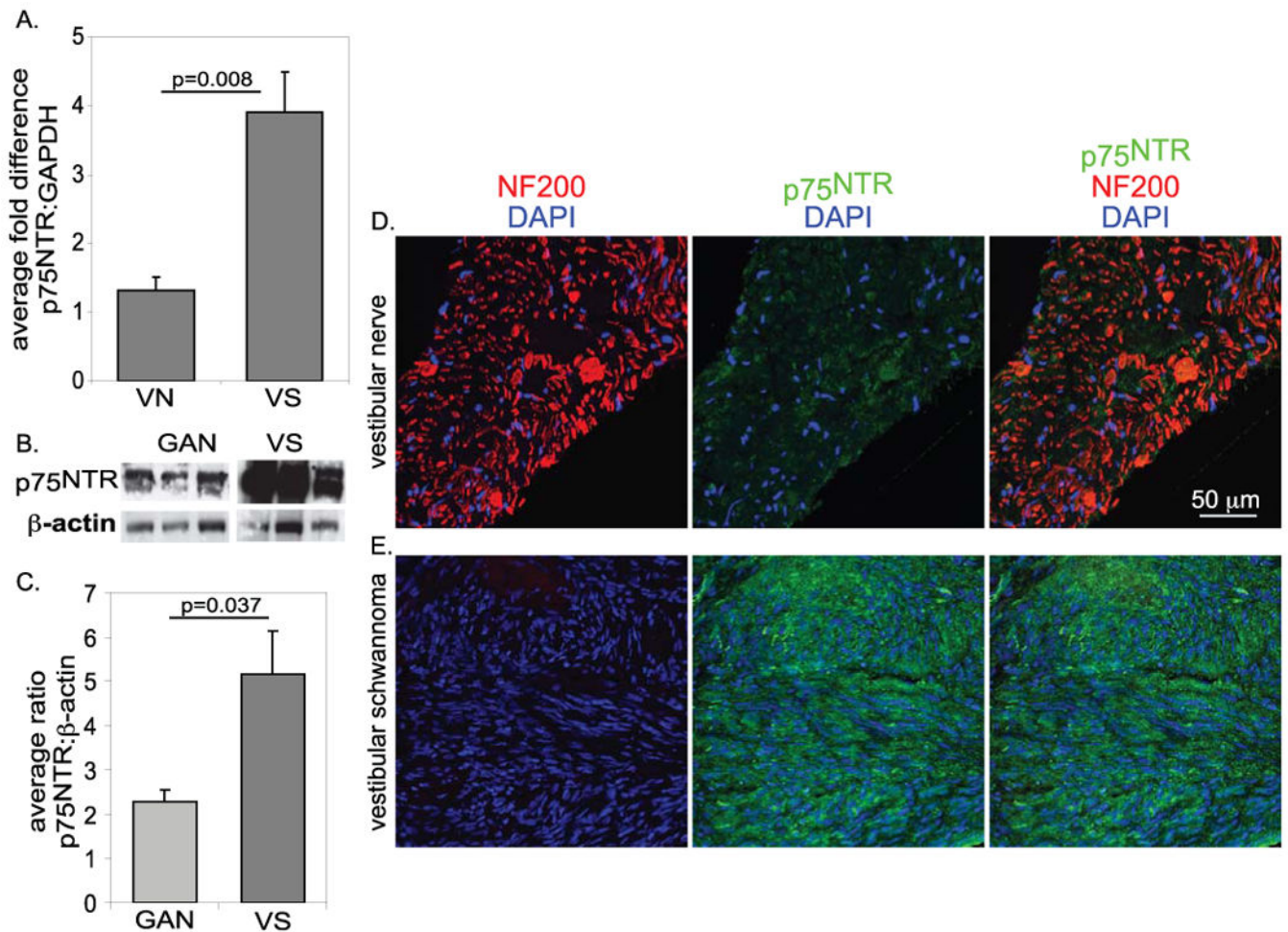


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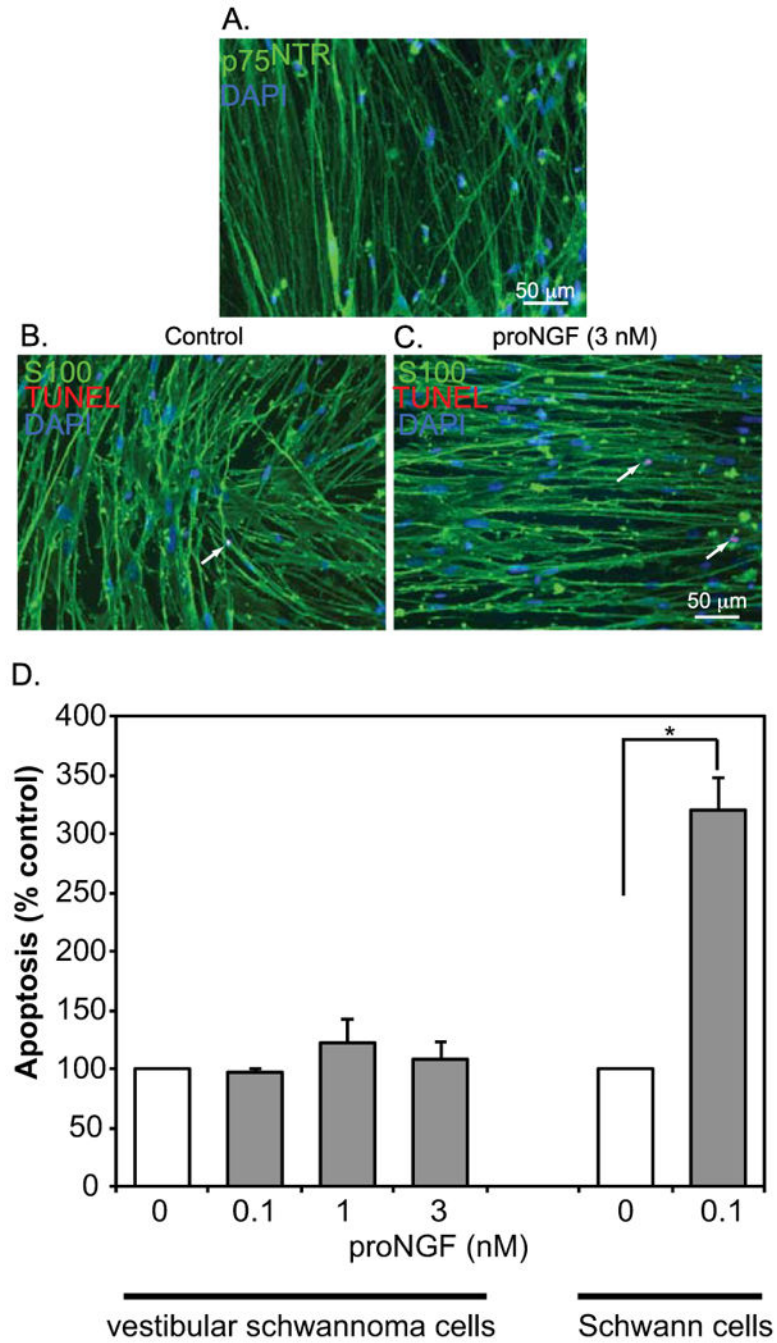
**Main points**

- Vestibular schwannoma (VS) cells express high levels of p75<sup>NTR</sup> and, in contrast to non-neoplastic Schwann cells, are resistant to p75<sup>NTR</sup> and JNK-mediated apoptosis.
- p75<sup>NTR</sup> ligands protect VS cells from apoptosis by activating NF-κB independent of JNK.
- The paradoxical pro-survival effects of p75<sup>NTR</sup> and JNK in VS cells compared to their pro-apoptotic function in non-neoplastic Schwann cells raises the possibility of therapeutically targeting p75<sup>NTR</sup> and/or JNK to specifically limit schwannoma growth.



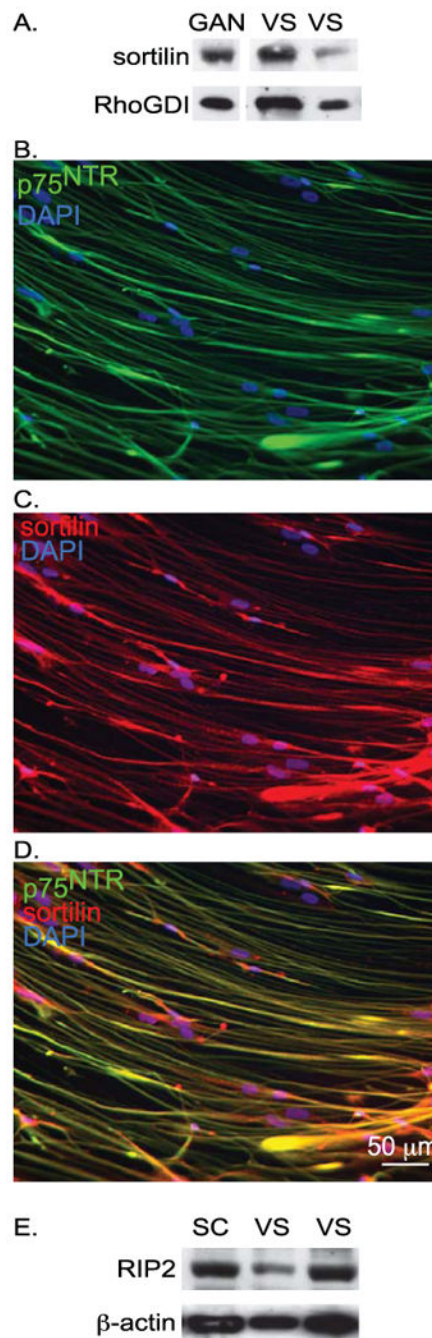
**Figure 1.**

Vestibular schwannomas express high levels of p75<sup>NTR</sup>. **A.** Real-time RT-PCR comparing p75<sup>NTR</sup> expression to GAPDH in normal human vestibular nerve (VN, n=4) and in human vestibular schwannoma (VS, n=5) specimens. **B&C.** Western blot and comparison of anti-p75<sup>NTR</sup> band intensity relative to anti-β-actin band intensity in protein lysates from normal human great auricular nerve (GAN, n=4) and human VS (n=5). The differences in relative p75<sup>NTR</sup> expression levels in A and C were statistically significant by Student's unpaired t-test. **D&E.** Immunostaining of frozen sections of normal human VN (D) and human VS (E) with anti-NF200 (red) and anti-p75<sup>NTR</sup> (green) antibodies. Nuclei were labeled with DAPI (blue).



**Figure 2.** ProNGF fails to induce apoptosis in primary vestibular schwannoma cultures. **A.** Primary VS cultures immunostained with anti-p75<sup>NTR</sup> antibody (green). Nuclei are labeled with DAPI (blue). **B,C.** Primary human VS cultures were treated with escalating doses of proNGF, fixed, immunostained with anti-S100 (green) antibodies and labeled with TUNEL (red) and DAPI (blue). **D.** The average percent of TUNEL-positive, S100-positive condensed nuclei from primary human vestibular schwannoma cultures or non-neoplastic mouse Schwann cell cultures were scored and plotted relative to cultures not treated with

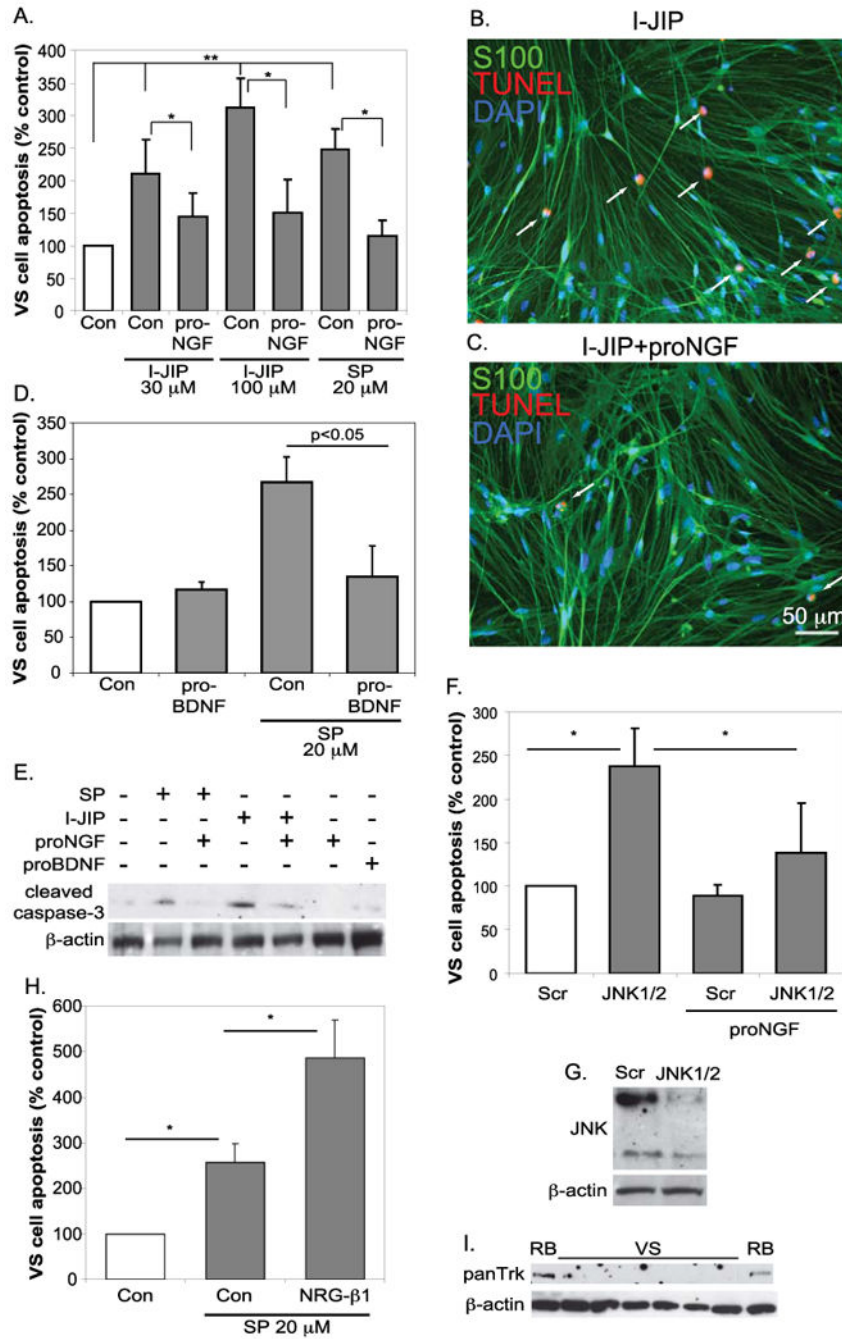
proNGF (control). Error bars present standard error of the mean. Vestibular schwannoma data are from cultures derived from six separate patients while data for non-neoplastic Schwann cells were derived from 3 separate cultures. There was no significant difference between treatment conditions for the vestibular schwannoma cultures by one way ANOVA. \* $p < 0.01$  by Student's two tailed t-test.

**Figure 3.**

Primary vestibular schwannoma cultures express sortilin and RIP2. **A.** Western blot of protein lysates from human GAN and human VS specimens probed with anti-sortilin antibodies. The blots were stripped and re-probed with anti-Rho-GDI antibodies to compare protein loading. Blots present the lysates with the highest and lowest sortilin expression compared with Rho-GDI. Blots for lysates from 3 additional tumors demonstrated similar expression levels (not shown). **B-D.** Immunostaining of primary VS cultures with anti-p75<sup>NTR</sup> (B, green) and anti-sortilin (C, red) antibodies (D, combined). Nuclei were labeled

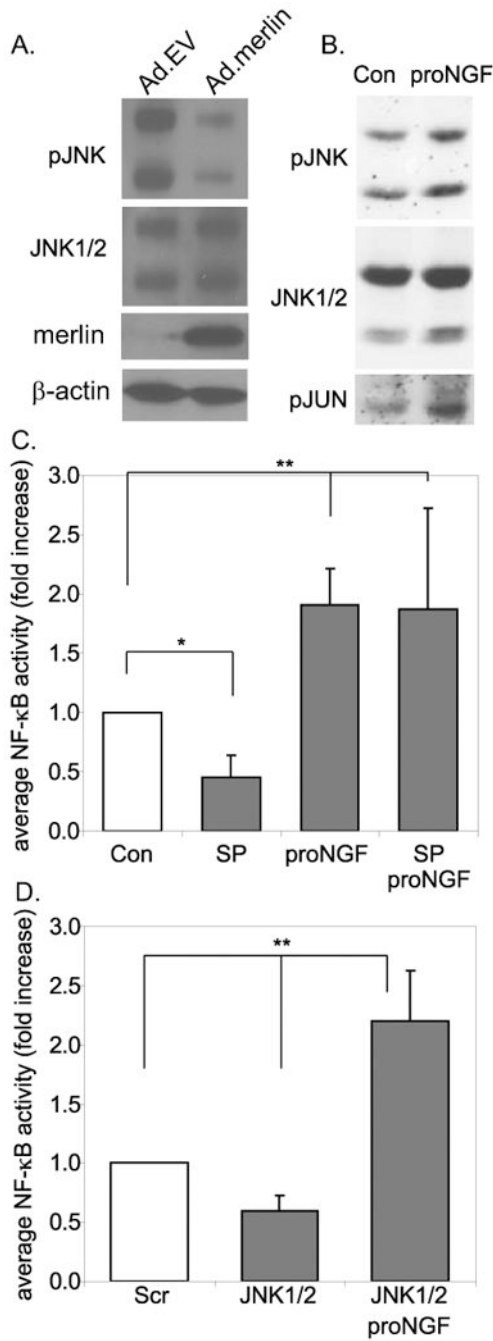
with DAPI (blue). **E.** Western blot of protein lysates from rat SC and primary human VS cultures probed with anti-RIP2 antibodies. Blots present the lysates with the highest and lowest sortilin expression compared with b-actin. Blots for lysates from 2 additional cultures from separate tumors demonstrated similar expression levels (not shown).





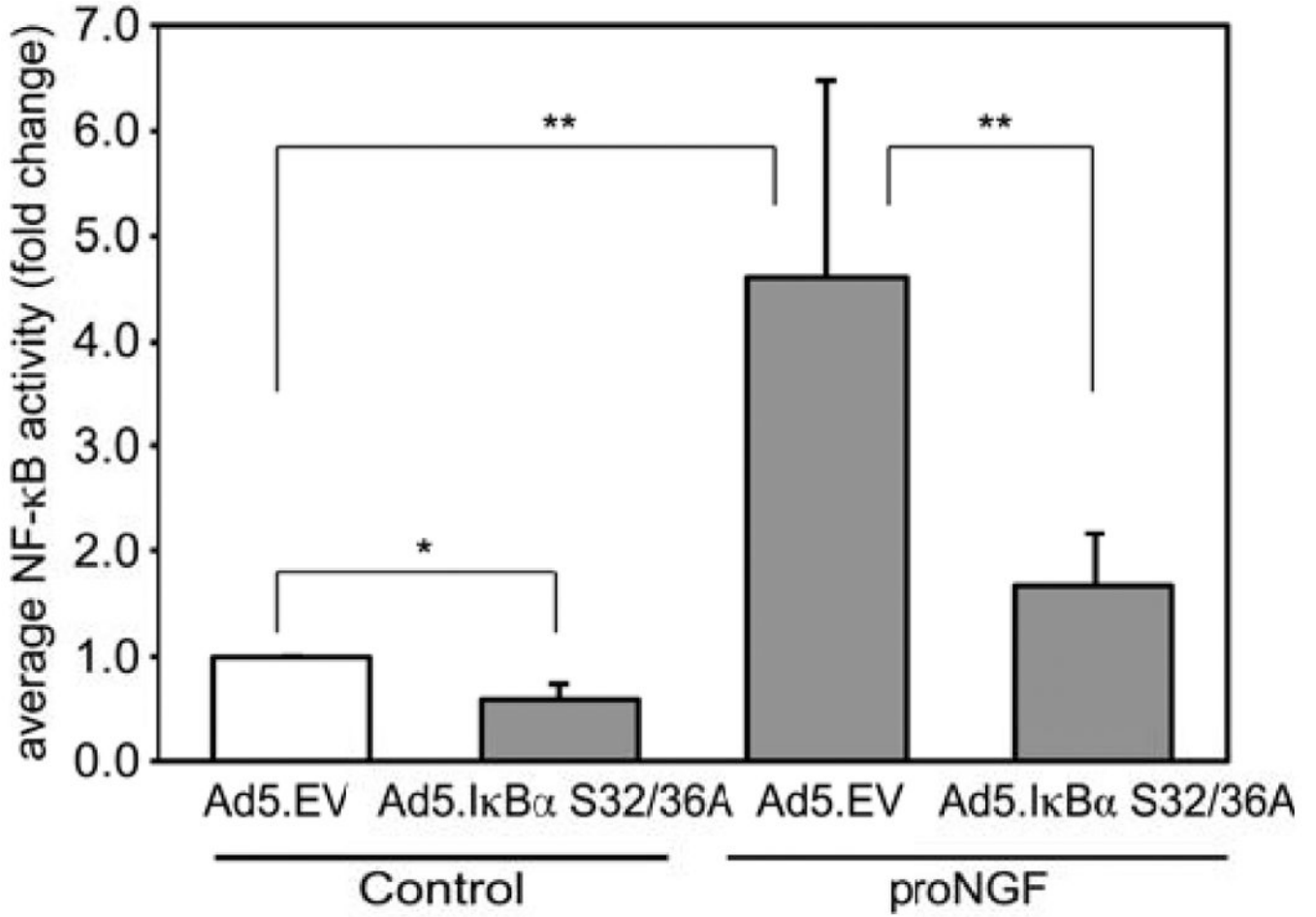
**Figure 4.** ProNGF protects VS cells from apoptosis due to JNK inhibition. **A-D.** Primary VS cultures were maintained in the presence of the JNK inhibitors, I-JIP or SP600125, with or without treatment with proNGF (3 nM) (A) or proBDNF (3 nM) (D). Cultures were immunostained with anti-S100 (green) and labeled with TUNEL (red). Nuclei were labeled with DAPI (blue). The average percent of TUNEL-positive, S100-positive condensed nuclei were scored and plotted relative to cultures not treated with JNK inhibitors or proNGF (control). Data are from cultures derived from six separate patients. \* $p < 0.05$ , \*\* $p < 0.01$  by one way

ANOVA followed by Dunn's method. **E.** Western blot of protein lysates from primary VS cultures treated with the indicated reagents probed with anti-cleaved caspase-3 antibodies. Blots were stripped and reprobbed with anti- $\beta$ -actin antibodies. **F.** Average percent apoptosis in primary VS cultures transfected with scrambled or anti-JNK1/2 siRNA oligonucleotides and maintained in the presence or absence of proNGF. Comparison for differences among conditions by one way ANOVA followed by Dunn's method. **G.** Western blot of protein lysates from primary VS cultures transfected with scrambled (Scr) or JNK1/2 targeted siRNA oligonucleotides and probed with anti-JNK antibodies. Blots were stripped and reprobbed with anti- $\beta$ -actin antibodies. **H.** Average percent apoptosis in primary VS cultures treated with or without neuregulin (NRG)  $\beta$ -1 and maintained in the presence or absence of proNGF. Comparison for differences among conditions by Kruskal-Wallis one way ANOVA on ranks. **I.** Western blot of protein lysates from primary VS and rat brain (RB) specimens with an anti-panTrk antibody. The blots were stripped and reprobbed with anti- $\beta$  actin antibodies.

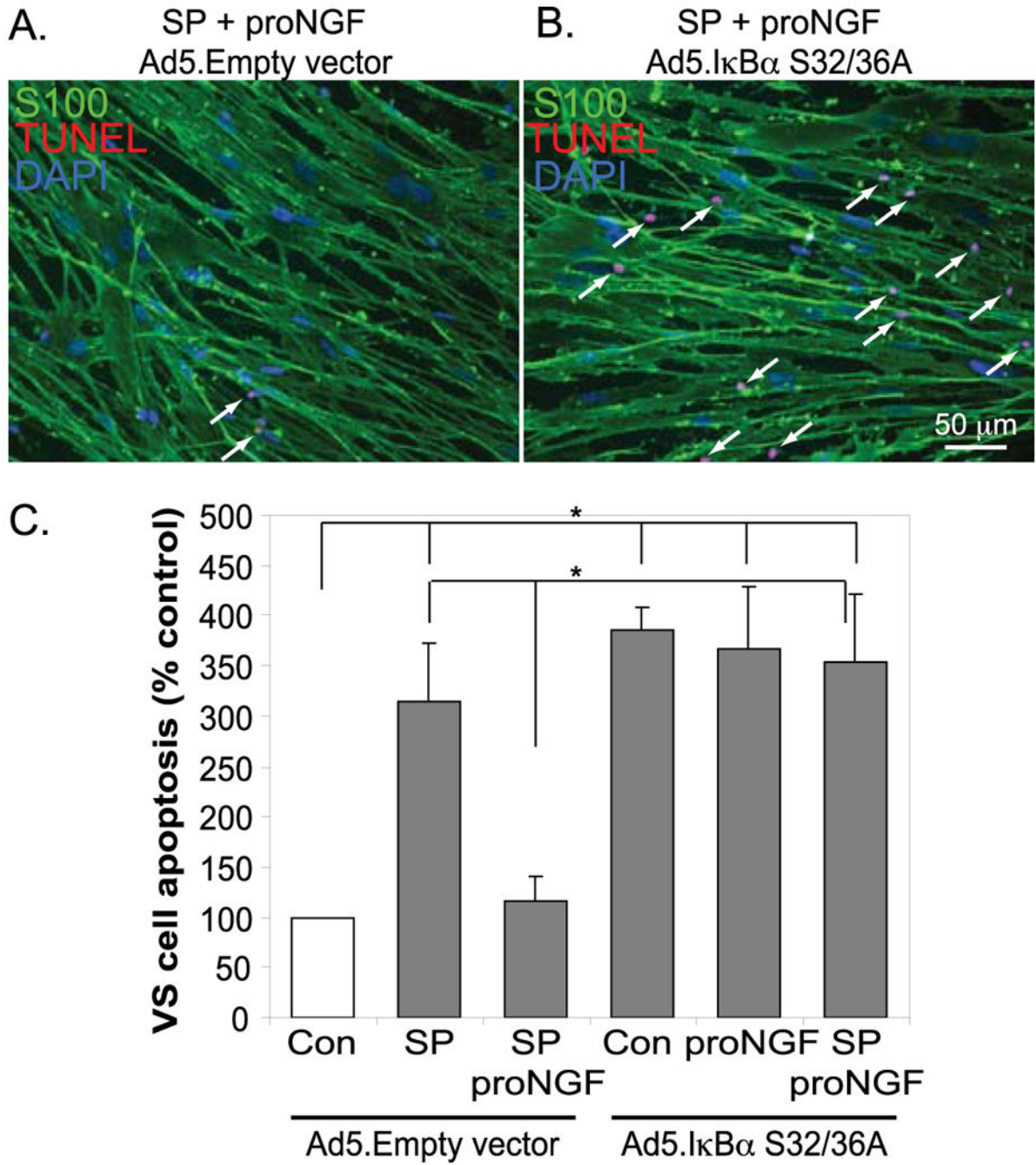


**Figure 5.** ProNGF activates JNK and NF-κB in primary VS cultures. **A.** Western blots of protein lysates from primary VS cultures treated Ad5.empty vector (Ad.EV) or Ad5.merlin (Ad.merlin) and probed with anti-phosphorylated JNK antibodies. The blots were stripped and reprobbed with non phospho-specific anti-JNK. Parallel blots were probed with anti-merlin and subsequently with anti-b-actin antibodies.**B.** Western blots of protein lysates from primary VS cultures treated with proNGF and probed with anti-phosphorylated JNK. The blots were stripped and reprobbed with non phospho-specific anti-JNK and subsequently

with anti-phosphorylated c-JUN antibodies. **C&D.** Average NF- $\kappa$ B activity, relative to control, in primary VS cultures treated with SP600125 (SP) or proNGF or transfected with scrambled or JNK1/2 specific siRNA oligonucleotides. Data in B are from cultures derived from six separate patients and in C from four separate patients. \* $p < 0.05$ , \*\* $p < 0.01$  by one way ANOVA followed by Dunn's method.



**Figure 6.** Expression of IκBα.S32/36A inhibits NF-κB in primary VS cultures. Average NF-κB activity in primary VS cultures transduced with with Ad5.empty vector (EV) or Ad5.IκBα.S32/36A and treated with proNGF or without proNGF. Activity is expressed as fold change relative to control (Ad5.EV without proNGF). Data are from cultures derived from four separate patients. \*p<0.05, \*\*p<0.01 by one way ANOVA followed by Holm Sidak post hoc method.



**Figure 7.** Inhibition of NF-κB limits the ability of proNGF to rescue of VS cell from apoptosis. Primary VS cultures were transduced with Ad5.Empty vector or Ad5.IκBαS32/36A and maintained in the presence or absence of SP600125 (SP, 20 μM) with or without treatment with proNGF (3 nM). **A&B.** Cultures were immunostained with anti-S100 (green) and labeled with TUNEL (red). Nuclei were labeled with DAPI (blue). **C.** The average percent of TUNEL-positive, S100-positive condensed nuclei were scored and plotted relative to

control (Con) cultures. Data are from cultures derived from four separate patients. \* $p < 0.05$  by one way ANOVA followed by Dunn's method.